

Natural Language Processing

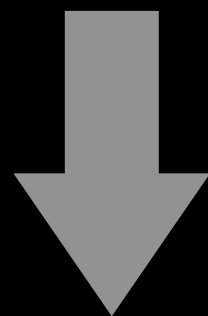
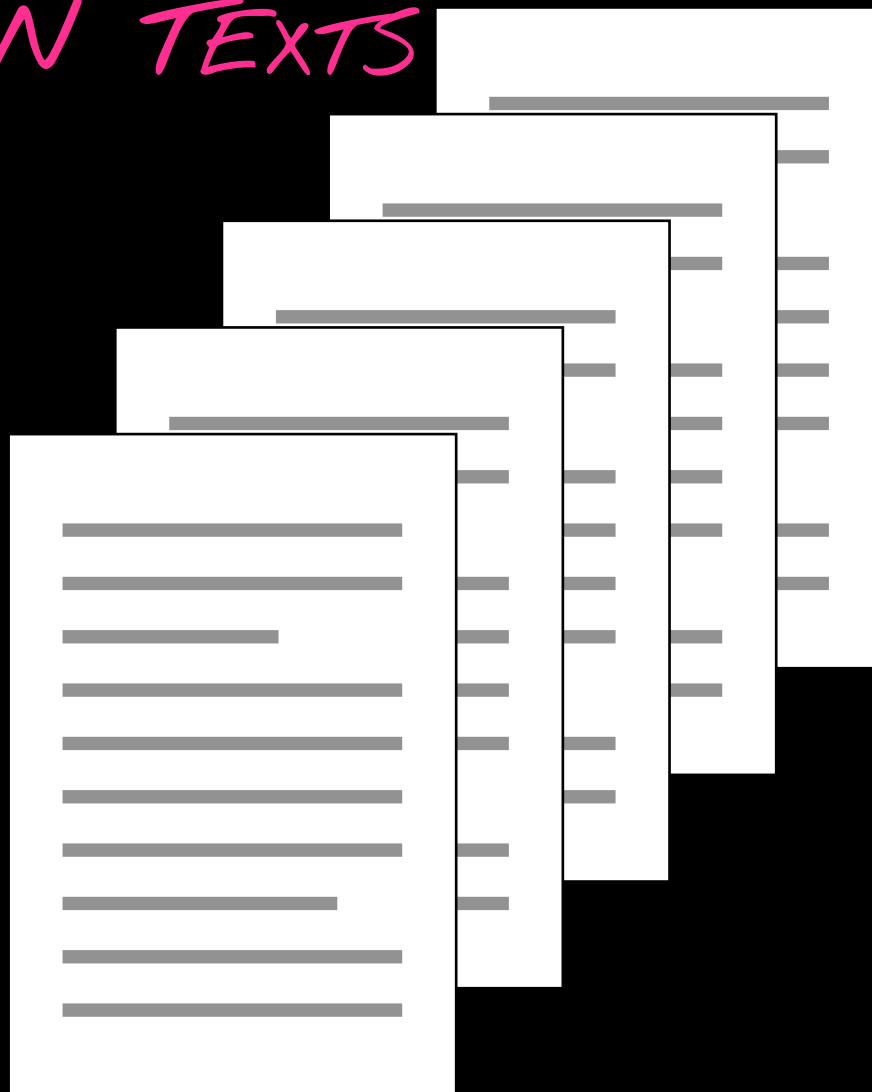
Lecture 16

Dirk Hovy

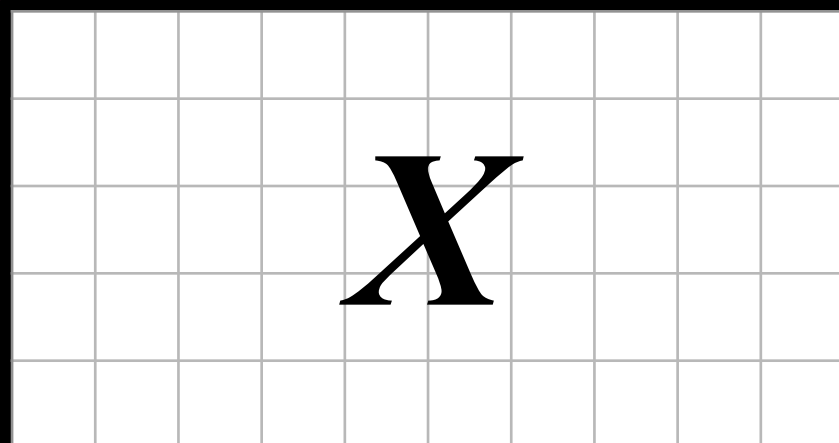
dirk.hovy@unibocconi.it

 @dirk_hovy

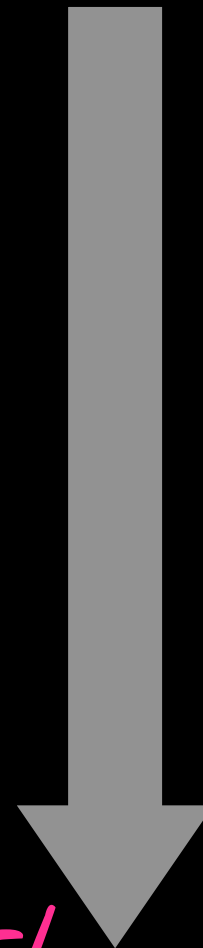
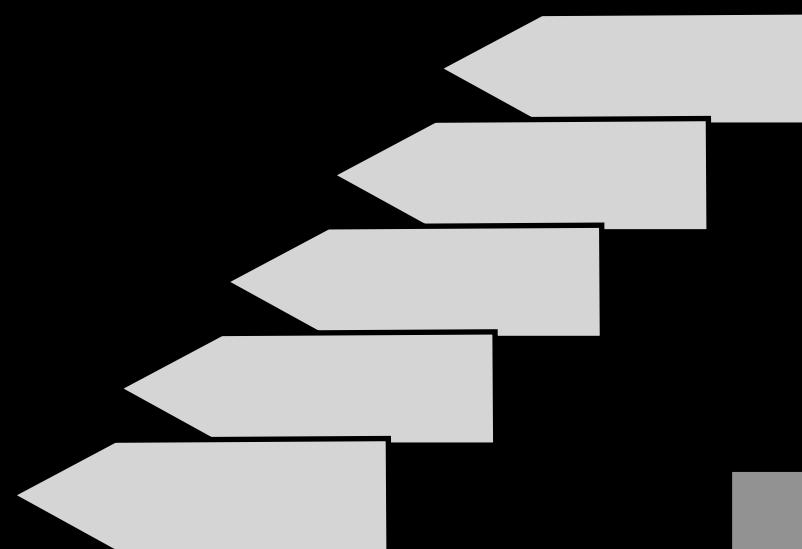
N TEXTS



*N-BY-D
MATRIX*



LABELS



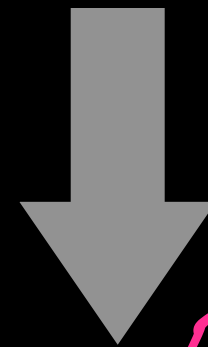
*N-BY-1
VECTOR*



y

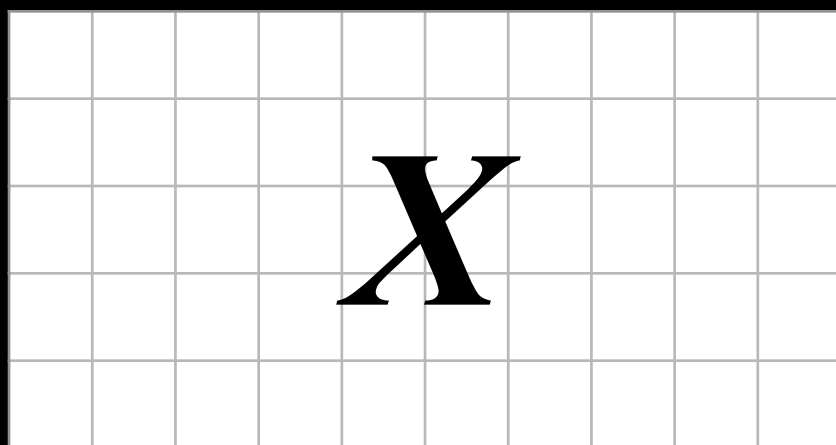
Fitting

$$f(\mathbf{X}) = y$$



D-BY-1

VECTOR



X



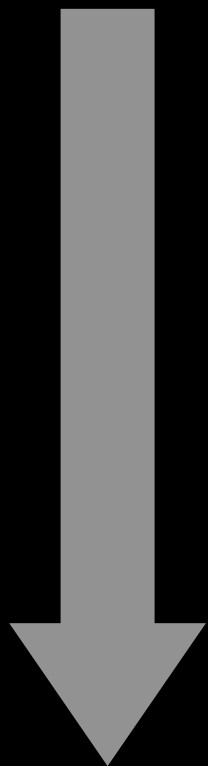
w^T



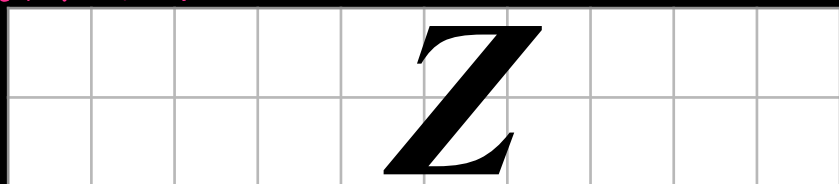
y

Predicting

$$f(\mathbf{Z}) = \mathbf{Z} \mathbf{w}^T = \hat{y}$$

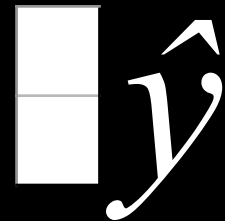


*K-BY-D
MATRIX*



\mathbf{w}

*1-BY-K
VECTOR*



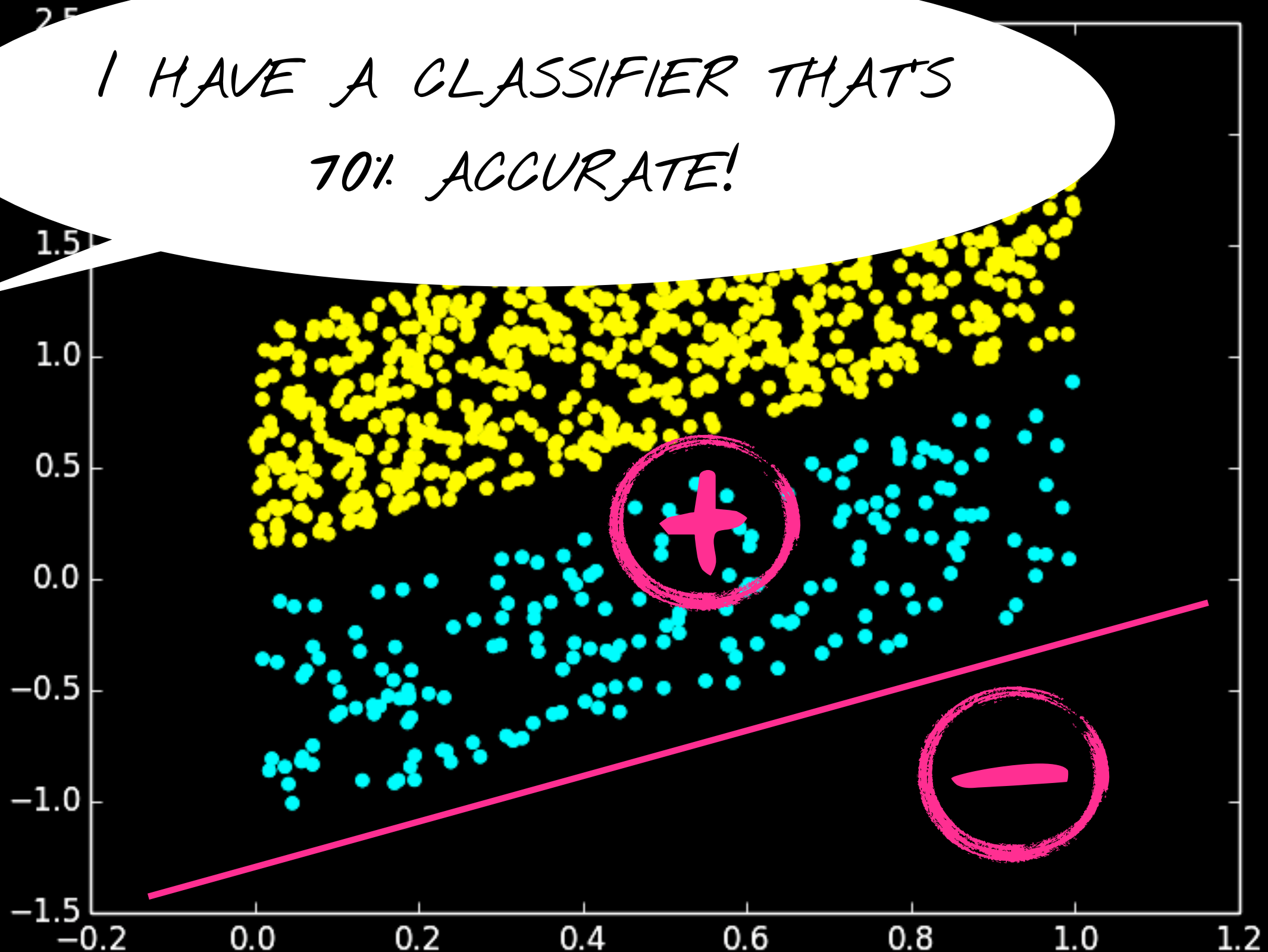
\hat{y}

Evaluating Performance

Performance Problems

I HAVE A CLASSIFIER THAT'S
70% ACCURATE!

x	y	\hat{y}
frog	1	1
deer	1	1
wolf	1	1
dog	1	1
bear	1	1
fish	1	1
bird	1	0
cat	1	0
stone	0	1
tree	0	0



A 70% ACCURATE CLASSIFIER

g o i d	predicted		
		1	0
	1	TP	FN
	0	FP	TN

True and False

$$\text{accuracy} = (TP + TN) / (P + N)$$

$$\text{precision} = TP / (TP + FP)$$

$$\text{recall} = TP / (TP + FN)$$

$$F1 = 2 (\text{prec} \times \text{rec}) / (\text{prec} + \text{rec})$$

TARGET = LABEL 1

x	y	\hat{y}	
frog	1	1	true positive
deer	1	1	
wolf	1	1	
dog	1	1	
bear	1	1	
fish	1	1	false negative
bird	1	0	
cat	1	0	
stone	0	1	false positive
tree	0	0	true negative

$$\text{ACCURACY} = 7/10 = 0.7$$

$$\text{PRECISION} = 6/7 = 0.86$$

$$\text{RECALL} = 6/8 = 0.75$$

$$F1 = 0.81$$

g o i d	predicted		
		1	0
	1	TP	FN
	0	FP	TN

Changing Target

TARGET = LABEL 0

x	y	\hat{y}	
frog	0	0	true negative
deer	0	0	
wolf	0	0	
dog	0	0	
bear	0	0	
fish	0	0	
bird	0	1	false positive
cat	0	1	
stone	1	0	false negative
tree	1	1	true positive

$$\text{accuracy} = (TP + TN) / (P + N)$$

$$\text{precision} = TP / (TP + FP)$$

$$\text{recall} = TP / (TP + FN)$$

$$F1 = 2 (\text{prec} \times \text{rec}) / (\text{prec} + \text{rec})$$

$$\text{ACCURACY} = 7/10 = 0.7$$

$$\text{PRECISION} = 1/3 = 0.33$$

$$\text{RECALL} = 1/2 = 0.5$$

$$F1 = 0.4$$

g o i d	predicted		
		1	0
	1	TP	FN
	0	FP	TN

MICRO Averaging

WEIGH BY CLASS SIZE

$$\text{accuracy} = (TP + TN) / (P + N)$$

$$\text{precision} = TP / (TP + FP)$$

$$\text{recall} = TP / (TP + FN)$$

$$F1 = 2 (\text{prec} \times \text{rec}) / (\text{prec} + \text{rec})$$

TARGET=1 TARGET=0

x	y	ŷ	x	y	ŷ
frog	1	1	frog	0	0
deer	1	1	deer	0	0
wolf	1	1	wolf	0	0
dog	1	1	dog	0	0
bear	1	1	bear	0	0
fish	1	1	fish	0	0
bird	1	1	bird	0	0
cat	1	0	cat	0	1
stone	0	1	stone	1	0
tree	0	0	tree	1	1

$$ACC = 7/10 + 7/10 = 14/20 = 0.7$$

$$PREC = 6/7 + 1/3 = 7/10 = 0.7$$

$$REC = 6/8 + 1/2 = 7/10 = 0.7$$

$$F1 = 0.7$$

g o i d	predicted		
		1	0
	1	TP	FN
	0	FP	TN

MACRO Averaging

WEIGH ALL CLASSES EQUALLY

$$\text{accuracy} = (TP + TN) / (P + N)$$

$$\text{precision} = TP / (TP + FP)$$

$$\text{recall} = TP / (TP + FN)$$

$$F1 = 2 (\text{prec} \times \text{rec}) / (\text{prec} + \text{rec})$$

TARGET=1 TARGET=0

x	y	ŷ	x	y	ŷ
frog	1	1	frog	0	0
deer	1	1	deer	0	0
wolf	1	1	wolf	0	0
dog	1	1	dog	0	0
bear	1	1	bear	0	0
fish	1	1	fish	0	0
bird	1	1	bird	0	0
cat	1	0	cat	0	1
stone	0	1	stone	1	0
tree	0	0	tree	1	1

$$ACC = (0.7 + 0.7) / 2 = 0.7$$

$$PREC = (0.86 + 0.33) / 2 = 0.6$$

$$REC = (0.5 + 0.75) / 2 = 0.63$$

$$F1 = 0.61$$

g o i d	predicted		
	1	0	
	1	0	
	TP	FN	
	FP	TN	

"Cheating": Total Recall

PREDICT MAJORITY CLASS FOR ALL

TARGET = LABEL 1

x	y	\hat{y}
frog	1	1
deer	1	1
wolf	1	1
dog	1	1
bear	1	1
fish	1	1
bird	1	1
cat	1	1
stone	0	1
tree	0	1

true positive

false positive

$$\text{accuracy} = (TP + TN) / (P + N)$$

$$\text{precision} = TP / (TP + FP)$$

$$\text{recall} = TP / (TP + FN)$$

$$F1 = 2 (\text{prec} \times \text{rec}) / (\text{prec} + \text{rec})$$

$$\text{ACCURACY} = 8/10 = 0.8$$

$$\text{PRECISION} = 8/10 = 0.8$$

$$\text{RECALL} = 8/8 = 1.0$$

$$F1 = 0.9$$

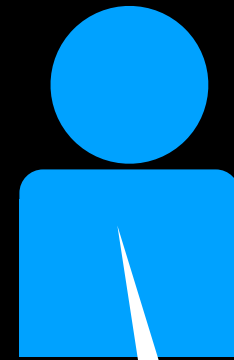
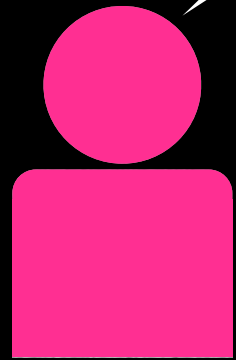
Metrics Overview

- **accuracy** can be too general
- **precision** and **recall** are per-class measures
- **precision** = how many of instances labeled as target class are actually *in* target class?
- **recall** = how many of *all* target class instances in data identified correctly?
- **F1** = symmetric mean of precision and recall

Significance Testing

What does a p -Value Tell Us?

*THIS CLASSIFIER IS 70%
ACCURATE! (ON MY DATA SET)*



...AND ON MINE?

Bootstrap Sampling

1
1
1
0
0

y

1
0
1
1
0

$\hat{y}1$

$3/5$

1
0
0
1
1

$\hat{y}2$

$1/5$

COMPARE ON SUBSETS

1
1
0

1
0
1

$1/3$

1
0
1

$1/3$

1
1
0

0
1
0

$1/3$

0
0
1

$0/3$

1
1
0

1
1
1

$2/3$

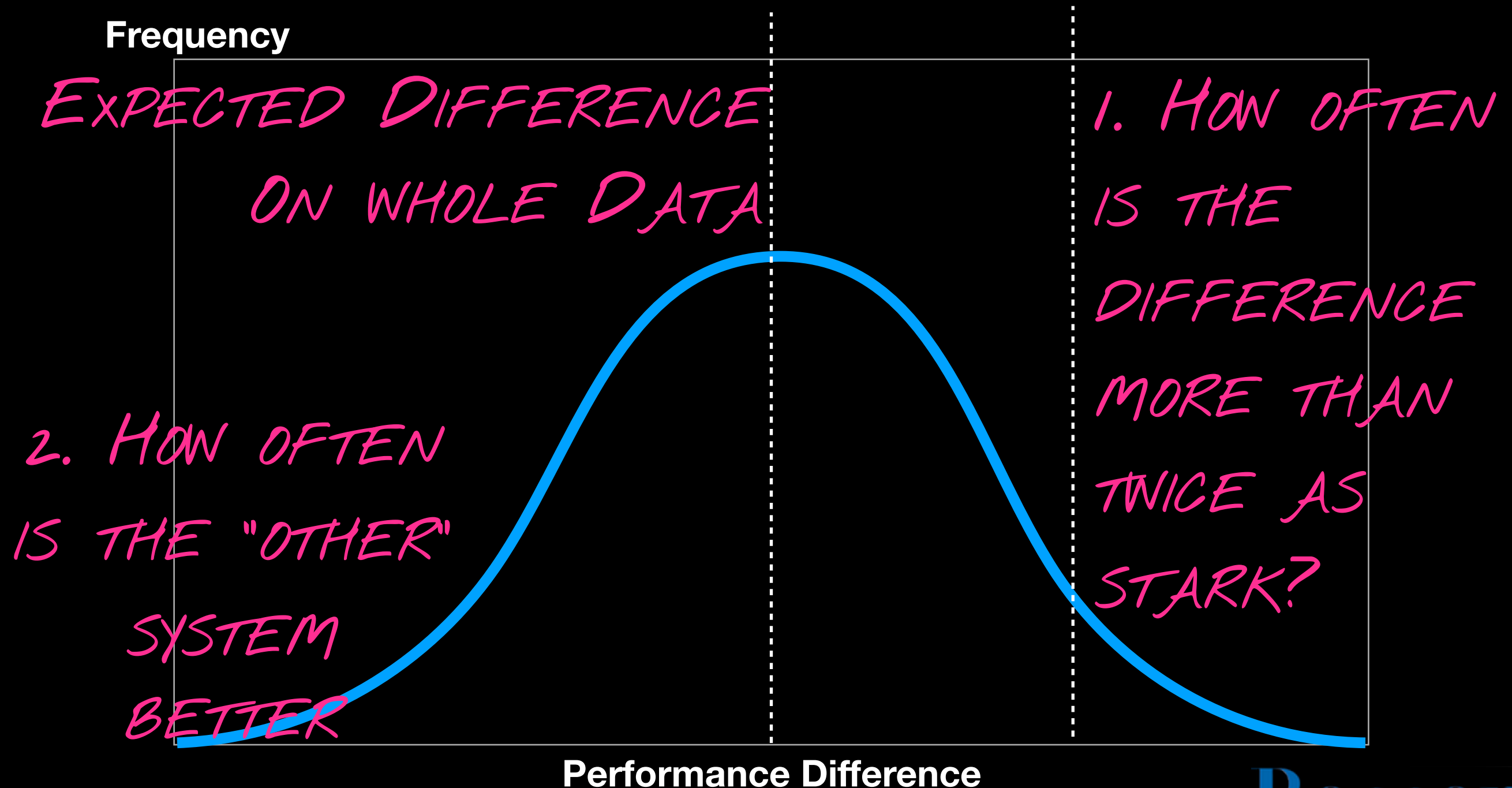
1
0
1

$1/3$

Bootstrap Sampling

SAMPLED DIFFERENCES FOLLOW NORMAL DISTRO.

CENTRAL LIMIT THEOREM



Bootstrap Sampling

	System 1	System 2	Difference(1-2)
full	82.13	81.89	0.24
1	81.96	82.03	-0.07
2	81.86	82.61	-0.75
3	81.70	81.44	0.26
4	82.42	82.77	-0.35
5	81.89	81.06	0.83
6	81.39	81.24	0.15
7	81.96	81.58	0.37
8	82.57	81.65	0.92
9	82.50	82.67	-0.17
10	83.07	81.84	1.23

p-value

0.3

Note: Significance is Binary!

Cut-offs: 0.1 (meh), 0.05 (standard), 0.01 (strict)

<p>(barely) not statistically significant ($p=0.052$)</p> <p>a barely detectable statistically significant difference ($p=0.073$)</p> <p>a borderline significant trend ($p=0.09$)</p> <p>a certain trend toward significance ($p=0.08$)</p> <p>a clear tendency to significance ($p=0.052$)</p> <p>a clear trend ($p<0.09$)</p> <p>a clear, strong trend ($p=0.09$)</p> <p>a considerable trend toward significance ($p=0.069$)</p> <p>a decreasing trend ($p=0.09$)</p> <p>a definite trend ($p=0.08$)</p> <p>a distinct trend toward significance ($p=0.07$)</p> <p>\borderline conventional significance ($p=0.051$)</p> <p>borderline level of statistical significance ($p=0.053$)</p>	<p>borderline significant ($p=0.09$)</p> <p>did not quite reach conventional levels of statistical significance ($p=0.079$)</p> <p>did not quite reach statistical significance ($p=0.063$)</p> <p>did not reach the traditional level of significance ($p=0.10$)</p> <p>did not reach the usually accepted level of clinical significance ($p=0.07$)</p> <p>difference was apparent ($p=0.07$)</p> <p>direction heading towards significance ($p=0.10$)</p> <p>does not appear to be sufficiently significant ($p>0.05$)</p> <p>does not narrowly reach statistical significance ($p=0.06$)</p>	<p>does not reach the conventional significance level ($p=0.098$)</p> <p>effectively significant ($p=0.051$)</p> <p>equivocal significance ($p=0.06$)</p> <p>essentially significant ($p=0.10$)</p> <p>extremely close to significance ($p=0.07$)</p> <p>failed to reach significance on this occasion ($p=0.09$)</p> <p>failed to reach statistical significance ($p=0.06$)</p> <p>fairly close to significance ($p=0.065$)</p> <p>fairly significant ($p=0.09$)</p> <p>falls just short of standard levels of statistical significance ($p=0.06$)</p> <p>fell (just) short of significance ($p=0.08$)</p>	<p>fell barely short of significance ($p=0.08$)</p> <p>scarcely significant ($0.05<p>0.1$)</p> <p>significant at the .07 level</p> <p>significant tendency ($p=0.09$)</p> <p>significant to some degree ($0<p>1$)</p> <p>significant, or close to significant effects ($p=0.08$, $p=0.05$)</p> <p>significantly better overall ($p=0.051$)</p> <p>significantly significant ($p=0.065$)</p> <p>similar but not nonsignificant trends ($p>0.05$)</p> <p>slight evidence of significance ($0.1>p>0.05$)</p> <p>slight non-significance ($p=0.06$)</p> <p>slight significance ($p=0.128$)</p>
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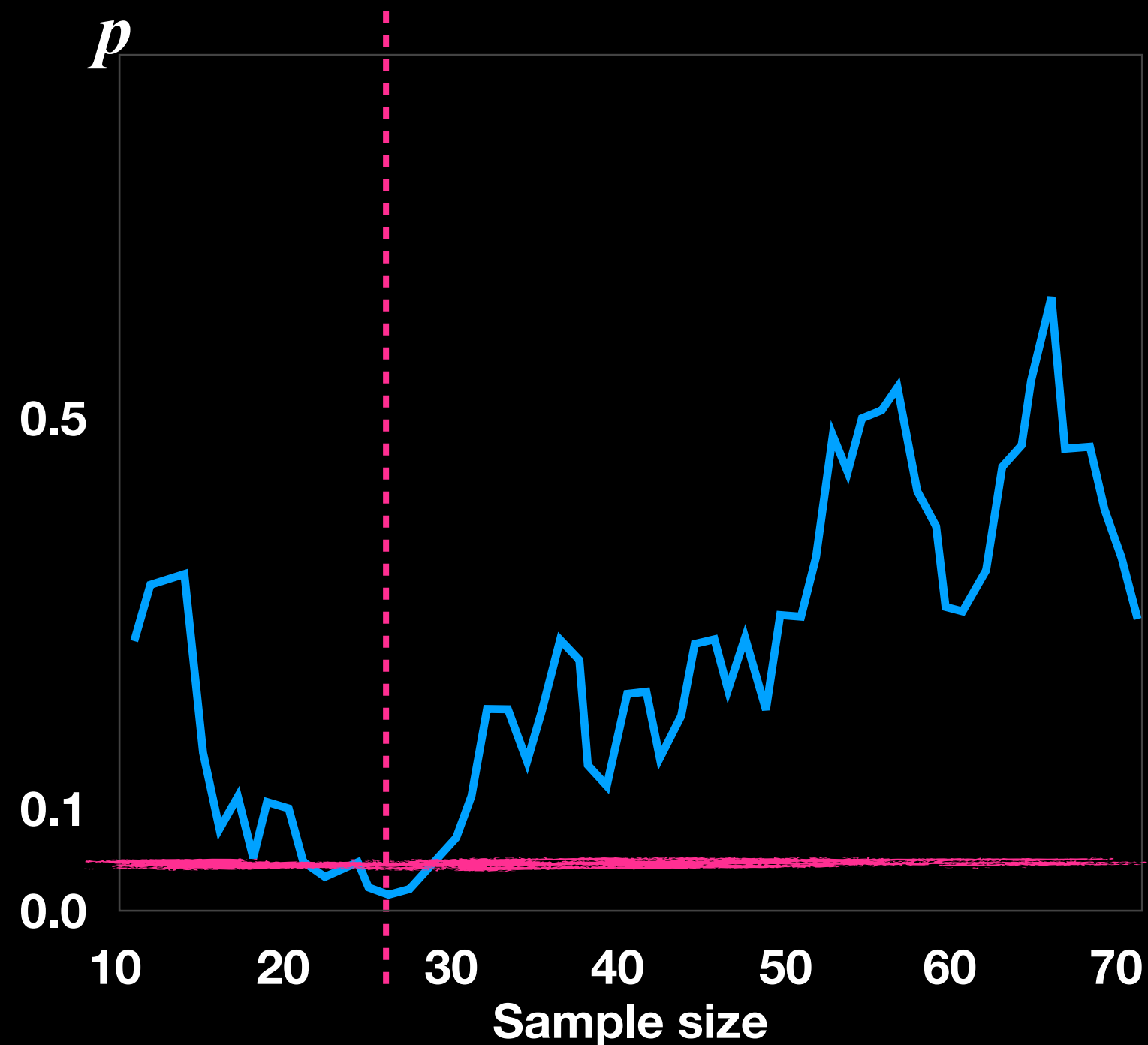
Evaluation Don'ts

Don't choose among metrics

metric	p
precision	0,0899
recall	0,062
f1	0,179
accuracy	0,0014

REPORT!

Don't choose sample sizes



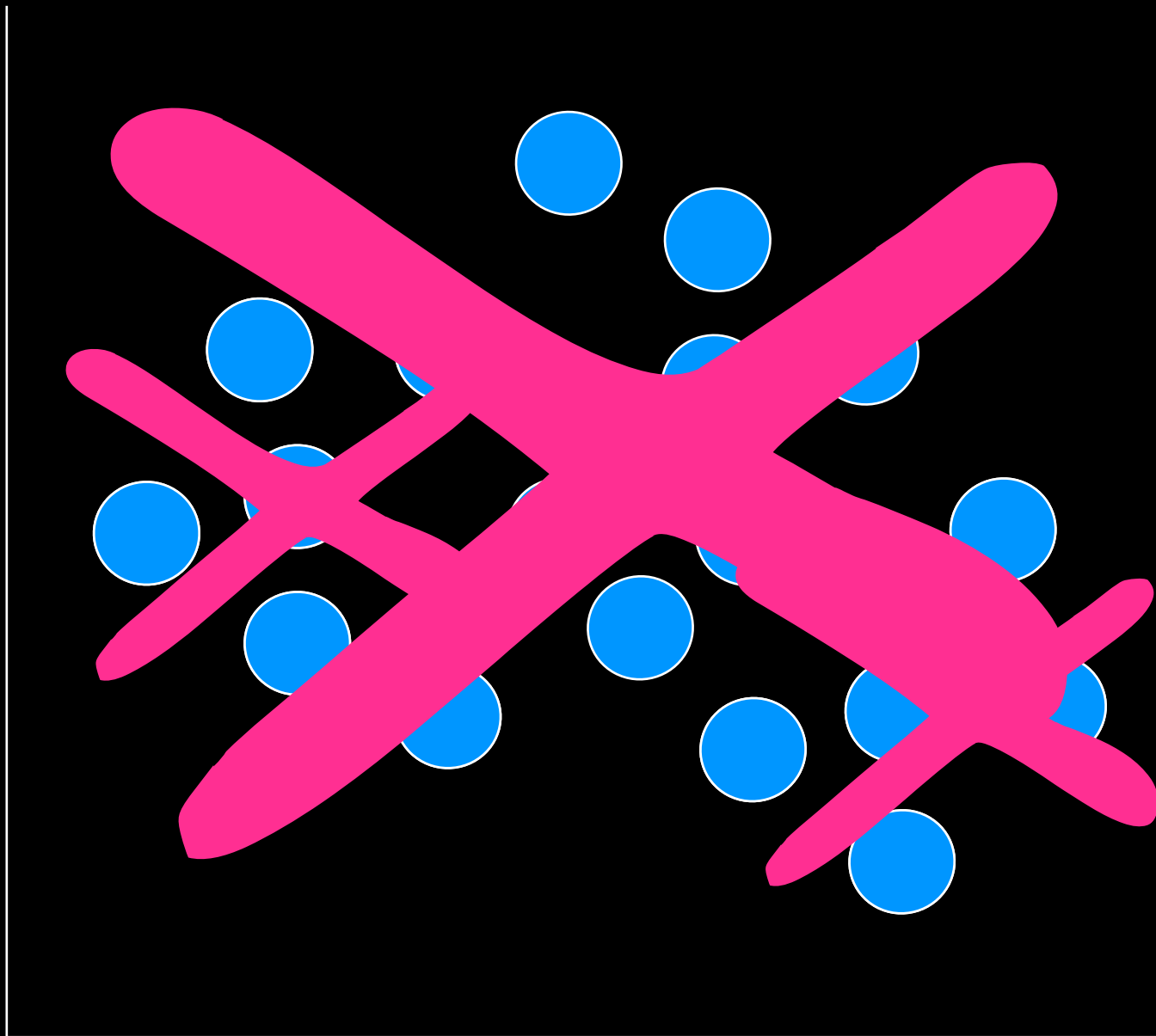
"We observed significant results at a sample size of 26"

...but not with smaller or larger samples!

Don't Choose Subsets

"Young, left-handed, vegetarian atheists are significantly less likely to get X"

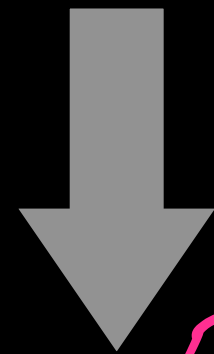
...but the population as a whole isn't!



Regularization

Regularization

$$y = X w^T + e$$

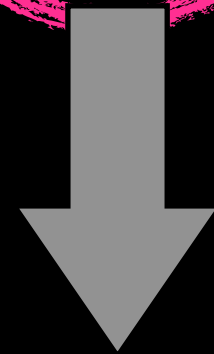


D-BY-1

VECTOR



w^T



$||w||$

Regularization Norms

L1 NORM

$$||W||_1 = \sum_{i=1}^N |w_i|$$

SPARSE



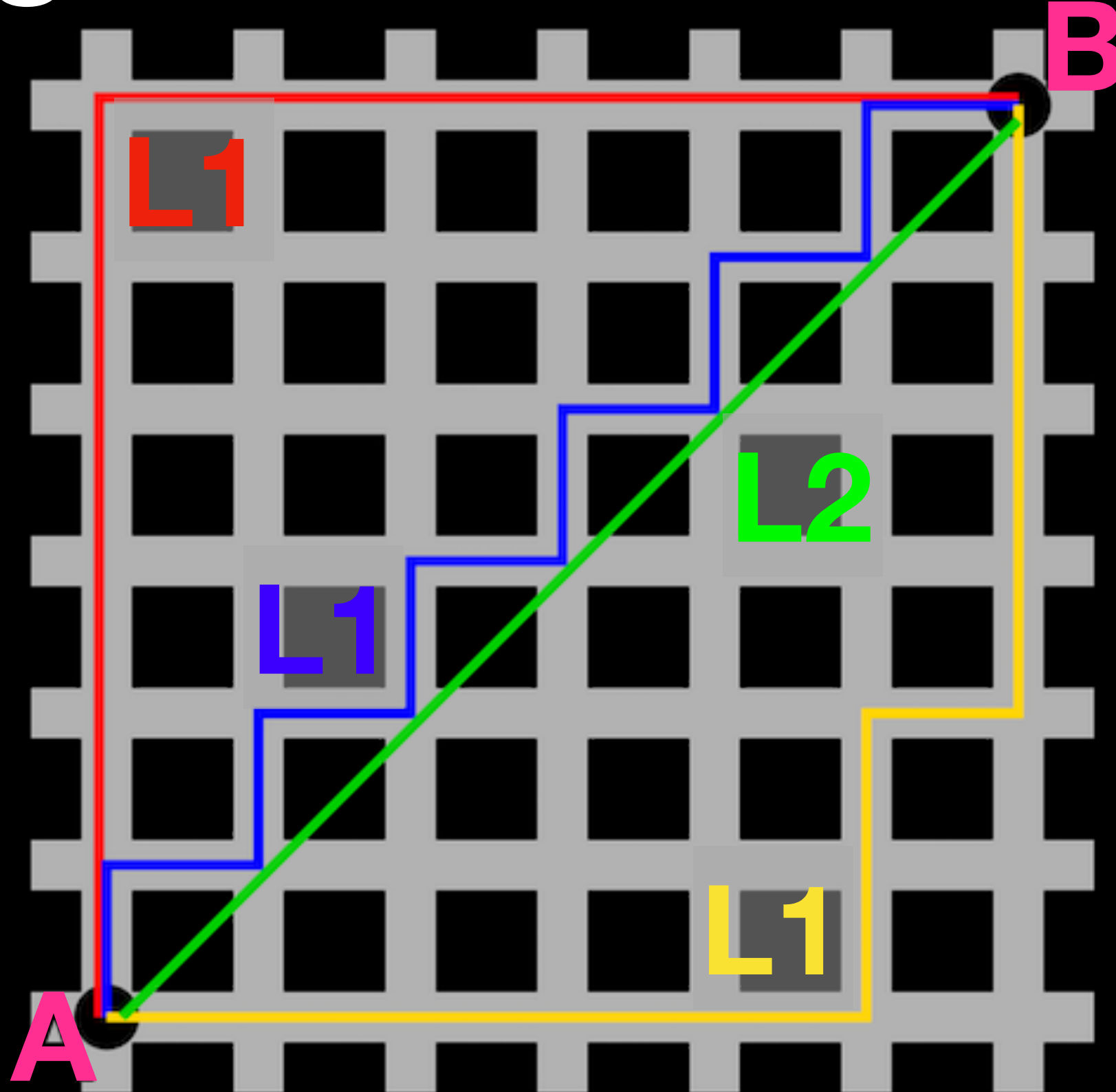
L2 NORM

$$||W||_2 = \sqrt{\sum_{i=1}^N w_i^2}$$

EVENLY DISTRIBUTED

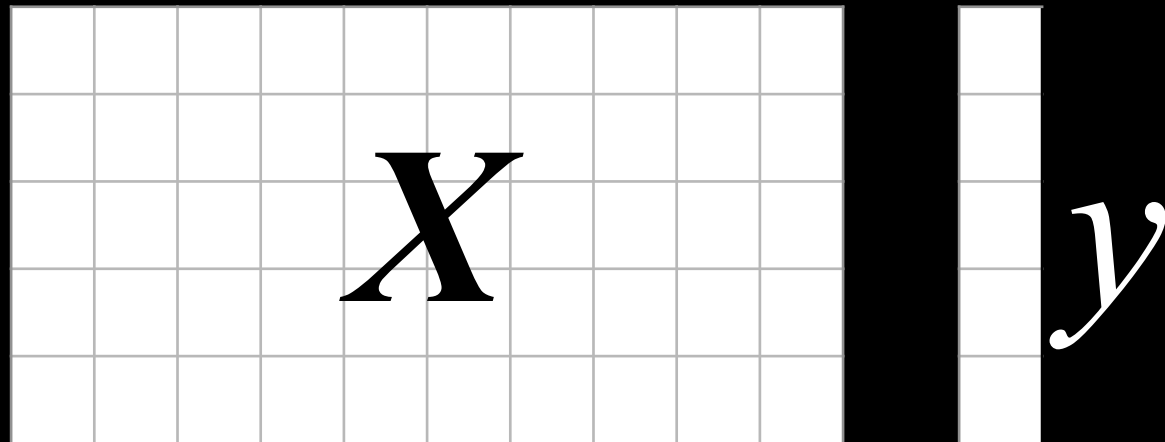


Regularization Norms

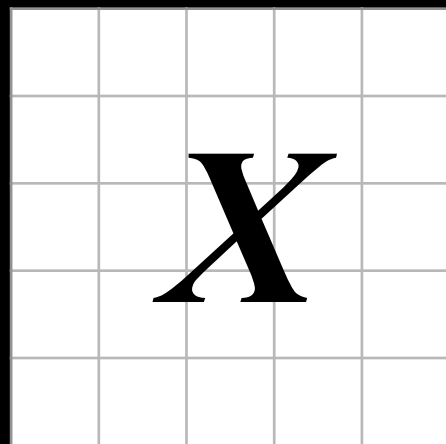


Feature Selection

Dimensionality Reduction

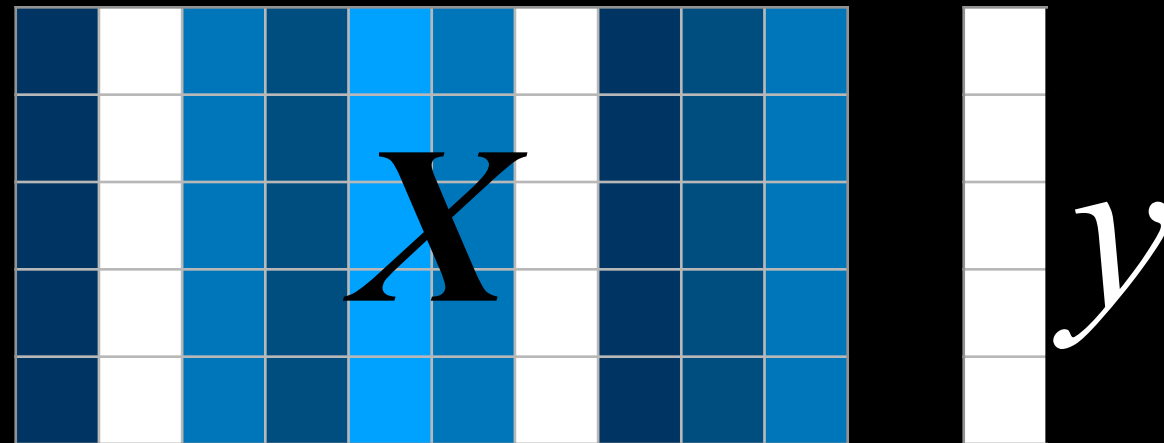


*REDUCE
DIMENSIONALITY TO
PREVENT SPURIOUS
CORRELATIONS WITH
TARGET*

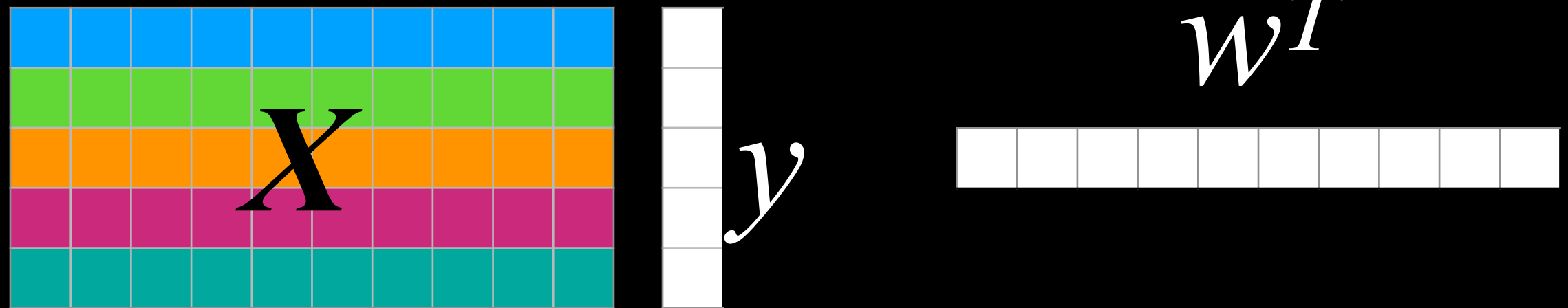


Chi-Squared

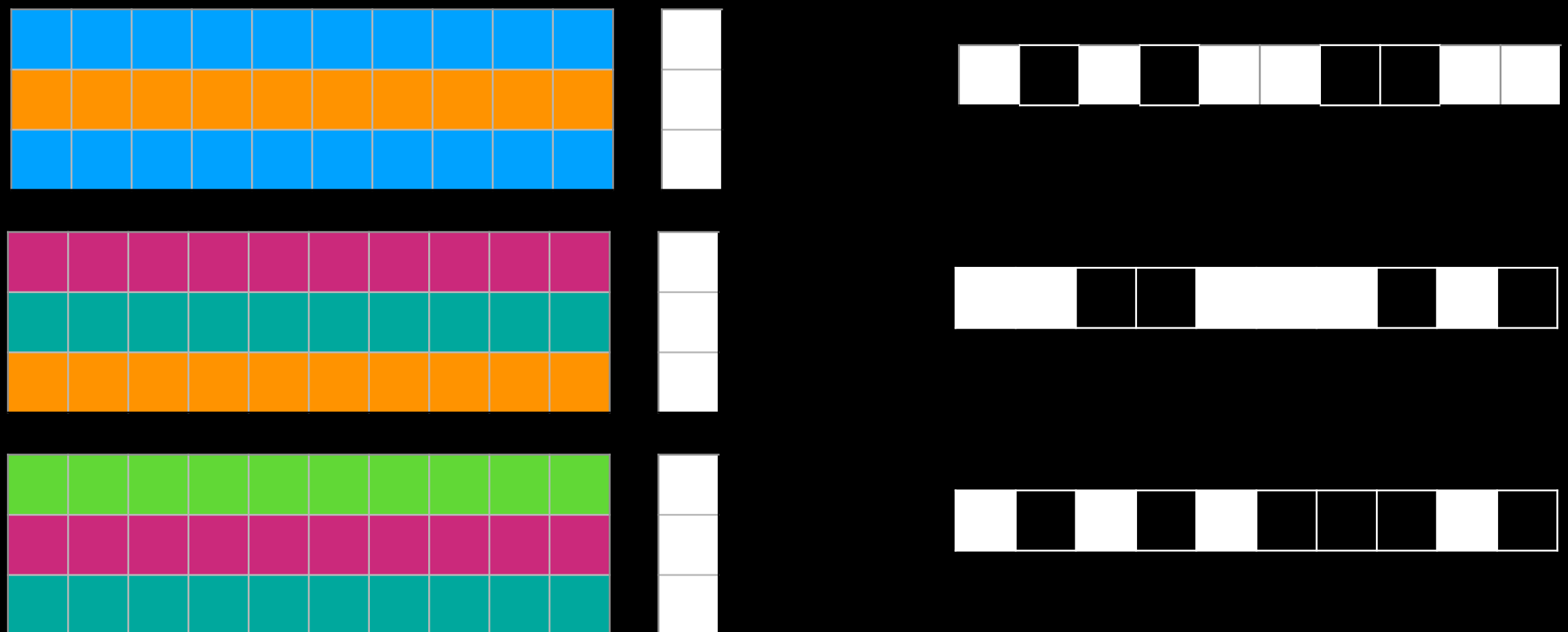
*MEASURE CH² VALUE (CORRELATION) FOR EACH
FEATURE WITH TARGET*



Randomized Logistic Regression



FIT N MODELS WI L1 NORM ON SUBSETS



AVERAGE 1 .3 .6 0 1 .6 .3 0 1 .3

Wrapping Up

Take-home points

- Choose the **appropriate performance metric**
- Choose an **informative baseline**
- Measure **significance** of improvement
- **Regularize, regularize, regularize**
- **Feature selection** can improve performance and provide insights