

# Algorithm redundancy

Real-life representations are often prone to corruption. Biological codes, like RNA, may mutate naturally<sup>1</sup> and during measurement; cosmic radiation and other ambient noise can flip bits in computer storage<sup>2</sup>. One way to recover from corrupted data is to introduce or exploit redundancy.

Consider the following algorithm to introduce redundancy in a string of 0s and 1s.

Create redundancy by repeating each bit three times

```

1 procedure redun3( $a_{k-1} \cdots a_0$ : a nonempty bitstring)
2 for  $i := 0$  to  $k-1$ 
3    $c_{3i} := a_i$ 
4    $c_{3i+1} := a_i$ 
5    $c_{3i+2} := a_i$ 
6 return  $c_{3k-1} \cdots c_0$ 

```

Decode sequence of bits using majority rule on consecutive three bit sequences

```

1 procedure decode3( $c_{3k-1} \cdots c_0$ : a nonempty bitstring whose length is an integer multiple of 3)
2 for  $i := 0$  to  $k-1$ 
3   if exactly two or three of  $c_{3i}, c_{3i+1}, c_{3i+2}$  are set to 1
4      $a_i := 1$ 
5   else
6      $a_i := 0$ 
7 return  $a_{k-1} \cdots a_0$ 

```

Give a recursive definition of the set of outputs of the *redun3* procedure, *Out*,

Consider the message  $m = 0001$  so that the sender calculates  $redun3(m) = redun3(0001) = 000000000111$ .

Introduce \_\_\_\_ errors into the message so that the signal received by the receiver is \_\_\_\_\_ but the receiver is still able to decode the original message.

*Challenge: what is the biggest number of errors you can introduce?*

Building a circuit for lines 3-6 in *decode* procedure: given three input bits, we need to determine whether the majority is a 0 or a 1.

$c_{3i}$	$c_{3i+1}$	$c_{3i+2}$	$a_i$	Circuit
1	1	1		
1	1	0		
1	0	1		
1	0	0		
0	1	1		
0	1	0		
0	0	1		
0	0	0		

<sup>1</sup>Mutations of specific RNA codons have been linked to many disorders and cancers.  
<sup>2</sup>This RadioLab podcast episode goes into more detail on bit flips: <https://www.wnycstudios.org/story/bit-flip>

## Cartesian product definition

**Definition:** The **Cartesian product** of the sets  $A$  and  $B$ ,  $A \times B$ , is the set of all ordered pairs  $(a, b)$ , where  $a \in A$  and  $b \in B$ . That is:  $A \times B = \{(a, b) \mid (a \in A) \wedge (b \in B)\}$ . The Cartesian product of the sets  $A_1, A_2, \dots, A_n$ , denoted by  $A_1 \times A_2 \times \dots \times A_n$ , is the set of ordered n-tuples  $(a_1, a_2, \dots, a_n)$ , where  $a_i$  belongs to  $A_i$  for  $i = 1, 2, \dots, n$ . That is,

$$A_1 \times A_2 \times \dots \times A_n = \{(a_1, a_2, \dots, a_n) \mid a_i \in A_i \text{ for } i = 1, 2, \dots, n\}$$

## Rna mutation insertion deletion example

Trace the pseudocode to find the output of  $mutation(\text{AUC}, 3, \text{G})$

Fill in the blanks so that  $insertion(\text{AUC}, \_, \_) = \text{AUCG}$

Fill in the blanks so that  $deletion(\_, \_) = \text{G}$

## Rna rna len basecount definitions

*Recall the definitions:* The set of RNA strands  $S$  is defined (recursively) by:

$$\begin{array}{ll} \text{Basis Step:} & \mathbf{A} \in S, \mathbf{C} \in S, \mathbf{U} \in S, \mathbf{G} \in S \\ \text{Recursive Step:} & \text{If } s \in S \text{ and } b \in B, \text{ then } sb \in S \end{array}$$

where  $sb$  is string concatenation.

The function  $rnalen$  that computes the length of RNA strands in  $S$  is defined recursively by:

$$\begin{array}{ll} & rnalen : S \rightarrow \mathbb{Z}^+ \\ \text{Basis Step:} & \text{If } b \in B \text{ then } rnalen(b) = 1 \\ \text{Recursive Step:} & \text{If } s \in S \text{ and } b \in B, \text{ then } rnalen(sb) = 1 + rnalen(s) \end{array}$$

The function  $basecount$  that computes the number of a given base  $b$  appearing in a RNA strand  $s$  is defined recursively by:

$$\begin{array}{ll} & basecount : S \times B \rightarrow \mathbb{N} \\ \text{Basis Step:} & \text{If } b_1 \in B, b_2 \in B \quad basecount( (b_1, b_2) ) = \begin{cases} 1 & \text{when } b_1 = b_2 \\ 0 & \text{when } b_1 \neq b_2 \end{cases} \\ \text{Recursive Step:} & \text{If } s \in S, b_1 \in B, b_2 \in B \quad basecount( (sb_1, b_2) ) = \begin{cases} 1 + basecount( (s, b_2) ) & \text{when } b_1 = b_2 \\ basecount( (s, b_2) ) & \text{when } b_1 \neq b_2 \end{cases} \end{array}$$

## Proof strategies quantification finite domain

When a predicate  $P(x)$  is over a **finite** domain:

- To show that  $\forall x P(x)$  is true: check that  $P(x)$  evaluates to  $T$  at each domain element by evaluating over and over. This is called “Proof of universal by **exhaustion**”.
- To show that  $\forall x P(x)$  is false: find a **counterexample**, a domain element where  $P(x)$  evaluates to  $F$ .
- To show that  $\exists x P(x)$  is true: find a **witness**, a domain element where  $P(x)$  evaluates to  $T$ .
- To show that  $\exists x P(x)$  is false: check that  $P(x)$  evaluates to  $F$  at each domain element by evaluating over and over. DeMorgan’s Law gives that  $\neg \exists x P(x) \equiv \forall x \neg P(x)$  so this amounts to a proof of universal by exhaustion.

# Proof strategy universal generalization

**New! Proof by universal generalization:** To prove that  $\forall x P(x)$  is true, we can take an arbitrary element  $e$  from the domain of quantification and show that  $P(e)$  is true, without making any assumptions about  $e$  other than that it comes from the domain.

An **arbitrary** element of a set or domain is a fixed but unknown element from that set.

## Quiz translating counting quantifiers

Suppose  $P(x)$  is a predicate over a domain  $D$ .

1. True or False: To translate the statement “There are at least two elements in  $D$  where the predicate  $P$  evaluates to true”, we could write

$$\exists x_1 \in D \exists x_2 \in D (P(x_1) \wedge P(x_2))$$

2. True or False: To translate the statement “There are at most two elements in  $D$  where the predicate  $P$  evaluates to true”, we could write

$$\forall x_1 \in D \forall x_2 \in D \forall x_3 \in D ( (P(x_1) \wedge P(x_2) \wedge P(x_3)) \rightarrow (x_1 = x_2 \vee x_2 = x_3 \vee x_1 = x_3) ) )$$

## Sets equality subset definition

**Definitions:**

A **set** is an unordered collection of elements. When  $A$  and  $B$  are sets,  $A = B$  (set equality) means

$$\forall x (x \in A \leftrightarrow x \in B)$$

When  $A$  and  $B$  are sets,  $A \subseteq B$  (“ $A$  is a **subset** of  $B$ ”) means

$$\forall x (x \in A \rightarrow x \in B)$$

When  $A$  and  $B$  are sets,  $A \subsetneq B$  (“ $A$  is a **proper subset** of  $B$ ”) means

$$(A \subseteq B) \wedge (A \neq B)$$

## Proof strategies conditionals

**New! Proof of conditional by direct proof:** To prove that the conditional statement  $p \rightarrow q$  is true, we can assume  $p$  is true and use that assumption to show  $q$  is true.

**New! Proof of conditional by contrapositive proof:** To prove that the implication  $p \rightarrow q$  is true, we can assume  $q$  is false and use that assumption to show  $p$  is also false.

**New! Proof of disjunction using equivalent conditional:** To prove that the disjunction  $p \vee q$  is true, we can rewrite it equivalently as  $\neg p \rightarrow q$  and then use direct proof or contrapositive proof.

## Proof strategies proof by cases

**New! Proof by Cases:** To prove  $q$ , we can work by cases by first describing all possible cases we might be in and then showing that each one guarantees  $q$ . Formally, if we know that  $p_1 \vee p_2$  is true, and we can show that  $(p_1 \rightarrow q)$  is true and we can show that  $(p_2 \rightarrow q)$ , then we can conclude  $q$  is true.

## Proof strategies ands

**New! Proof of conjunctions with subgoals:** To show that  $p \wedge q$  is true, we have two subgoals: subgoal (1) prove  $p$  is true; and, subgoal (2) prove  $q$  is true.

To show that  $p \wedge q$  is false, it's enough to prove that  $\neg p$ .

To show that  $p \wedge q$  is false, it's enough to prove that  $\neg q$ .

## Sets proof strategies

To prove that one set is a subset of another, e.g. to show  $A \subseteq B$ :

To prove that two sets are equal, e.g. to show  $A = B$ :

## Sets equality example

Example:  $\{43, 7, 9\} = \{7, 43, 9, 7\}$

## Sets basic proofs

**Prove or disprove:**  $\{A, C, U, G\} \subseteq \{AA, AC, AU, AG\}$

**Prove or disprove:** For some set  $B$ ,  $\emptyset \in B$ .

**Prove or disprove:** For every set  $B$ ,  $\emptyset \in B$ .

**Prove or disprove:** The empty set is a subset of every set.

**Prove or disprove:** The empty set is a proper subset of every set.

**Prove or disprove:**  $\{4, 6\} \subseteq \{n \mid \exists c \in \mathbb{Z}(n = 4c)\}$

**Prove or disprove:**  $\{4, 6\} \subseteq \{n \bmod 10 \mid \exists c \in \mathbb{Z}(n = 4c)\}$



# Proofs signposting

Consider ..., an **arbitrary** .... **Assume** ..., we **want to show** that .... Which is what was needed, so the proof is complete  $\square$ .

*or, in other words:*

Let ... be an **arbitrary** .... **Assume** ..., **WTS** that ... **QED**.

# Set operations union intersection powerset

**Cartesian product:** When  $A$  and  $B$  are sets,

$$A \times B = \{(a, b) \mid a \in A \wedge b \in B\}$$

Example:  $\{43, 9\} \times \{9, \mathbb{Z}\} =$

Example:  $\mathbb{Z} \times \emptyset =$

**Union:** When  $A$  and  $B$  are sets,

$$A \cup B = \{x \mid x \in A \vee x \in B\}$$

Example:  $\{43, 9\} \cup \{9, \mathbb{Z}\} =$

Example:  $\mathbb{Z} \cup \emptyset =$

**Intersection:** When  $A$  and  $B$  are sets,

$$A \cap B = \{x \mid x \in A \wedge x \in B\}$$

Example:  $\{43, 9\} \cap \{9, \mathbb{Z}\} =$

Example:  $\mathbb{Z} \cap \emptyset =$

**Set difference:** When  $A$  and  $B$  are sets,

$$A - B = \{x \mid x \in A \wedge x \notin B\}$$

Example:  $\{43, 9\} - \{9, \mathbb{Z}\} =$

Example:  $\mathbb{Z} - \emptyset =$

**Disjoint sets:** sets  $A$  and  $B$  are disjoint means  $A \cap B = \emptyset$

Example:  $\{43, 9\}, \{9, \mathbb{Z}\}$  are not disjoint

Example: The sets  $\mathbb{Z}$  and  $\emptyset$  are disjoint

**Power set:** When  $U$  is a set,  $\mathcal{P}(U) = \{X \mid X \subseteq U\}$

Example:  $\mathcal{P}(\{43, 9\}) =$

Example:  $\mathcal{P}(\emptyset) =$

# Logical operators full truth table

Input		Output				
$p$	$q$	Conjunction $p \wedge q$	Exclusive or $p \oplus q$	Disjunction $p \vee q$	Conditional $p \rightarrow q$	Biconditional $p \leftrightarrow q$
$T$	$T$	$T$	$F$	$T$	$T$	$T$
$T$	$F$	$F$	$T$	$T$	$F$	$F$
$F$	$T$	$F$	$T$	$T$	$T$	$F$
$F$	$F$	$F$	$F$	$F$	$T$	$T$
		" $p$ and $q$ "	" $p$ xor $q$ "	" $p$ or $q$ "	"if $p$ then $q$ "	" $p$ if and only if $q$ "

## Hypothesis conclusion

The only way to make the conditional statement  $p \rightarrow q$  false is to \_\_\_\_\_

The **hypothesis** of  $p \rightarrow q$  is \_\_\_\_\_ The **antecedent** of  $p \rightarrow q$  is \_\_\_\_\_

The **conclusion** of  $p \rightarrow q$  is \_\_\_\_\_ The **consequent** of  $p \rightarrow q$  is \_\_\_\_\_

## Converse inverse contrapositive

The **converse** of  $p \rightarrow q$  is \_\_\_\_\_

The **inverse** of  $p \rightarrow q$  is \_\_\_\_\_

The **contrapositive** of  $p \rightarrow q$  is \_\_\_\_\_

## Compound propositions recursive definition

We can use a recursive definition to describe all compound propositions that use propositional variables from a specified collection. Here's the definition for all compound propositions whose propositional variables are in  $\{p, q\}$ .

Basis Step:  $p$  and  $q$  are each a compound proposition  
Recursive Step: If  $x$  is a compound proposition then so is  $(\neg x)$  and if  $x$  and  $y$  are both compound propositions then so is each of  $(x \wedge y), (x \oplus y), (x \vee y), (x \rightarrow y), (x \leftrightarrow y)$

## Compound propositions precedence

Order of operations (Precedence) for logical operators:

Negation, then conjunction / disjunction, then conditional / biconditionals.

Example:  $\neg p \vee \neg q$  means  $(\neg p) \vee (\neg q)$ .

# Logical equivalence identities

## (Some) logical equivalences

*Can replace  $p$  and  $q$  with any compound proposition*

$$\neg(\neg p) \equiv p$$

**Double negation**

$$p \vee q \equiv q \vee p$$

$$p \wedge q \equiv q \wedge p$$

**Commutativity** Ordering of terms

$$(p \vee q) \vee r \equiv p \vee (q \vee r)$$

$$(p \wedge q) \wedge r \equiv p \wedge (q \wedge r)$$

**Associativity** Grouping of terms

$$p \wedge F \equiv F$$

$$p \vee T \equiv T$$

$$p \wedge T \equiv p$$

$$p \vee F \equiv p$$

**Domination** aka short circuit evaluation

$$\neg(p \wedge q) \equiv \neg p \vee \neg q$$

$$\neg(p \vee q) \equiv \neg p \wedge \neg q$$

**DeMorgan's Laws**

$$p \rightarrow q \equiv \neg p \vee q$$

$$p \rightarrow q \equiv \neg q \rightarrow \neg p$$

**Contrapositive**

$$\neg(p \rightarrow q) \equiv p \wedge \neg q$$

$$\neg(p \leftrightarrow q) \equiv p \oplus q$$

$$p \leftrightarrow q \equiv q \leftrightarrow p$$

*Extra examples:*

$p \leftrightarrow q$  is not logically equivalent to  $p \wedge q$  because \_\_\_\_\_

$p \rightarrow q$  is not logically equivalent to  $q \rightarrow p$  because \_\_\_\_\_

# Logical operators english synonyms

## Common ways to express logical operators in English:

**Negation**  $\neg p$  can be said in English as

- Not  $p$ .
- It's not the case that  $p$ .
- $p$  is false.

**Conjunction**  $p \wedge q$  can be said in English as

- $p$  and  $q$ .
- Both  $p$  and  $q$  are true.
- $p$  but  $q$ .

**Exclusive or**  $p \oplus q$  can be said in English as

- $p$  or  $q$ , but not both.
- Exactly one of  $p$  and  $q$  is true.

**Disjunction**  $p \vee q$  can be said in English as

- $p$  or  $q$ , or both.
- $p$  or  $q$  (inclusive).
- At least one of  $p$  and  $q$  is true.

**Conditional**  $p \rightarrow q$  can be said in English as

- |                               |                               |
|-------------------------------|-------------------------------|
| • if $p$ , then $q$ .         | • $q$ follows from $p$ .      |
| • $p$ is sufficient for $q$ . | • $p$ is sufficient for $q$ . |
| • $q$ when $p$ .              | • $q$ is necessary for $p$ .  |
| • $q$ whenever $p$ .          | • $p$ only if $q$ .           |
| • $p$ implies $q$ .           |                               |

**Biconditional**

- $p$  if and only if  $q$ .
- $p$  iff  $q$ .
- If  $p$  then  $q$ , and conversely.
- $p$  is necessary and sufficient for  $q$ .



# Predicate examples finite domain

Input $x$	Output		
	$V(x)$ $[x]_{2c,3} > 0$	$N(x)$ $[x]_{2c,3} < 0$	$Mystery(x)$
000	$F$		$T$
001	$T$		$T$
010	$T$		$T$
011	$T$		$F$
100	$F$		$F$
101	$F$		$T$
110	$F$		$F$
111	$F$		$T$

The domain for each of the predicates  $V(x)$ ,  $N(x)$ ,  $Mystery(x)$  is \_\_\_\_\_.

Fill in the table of values for the predicate  $N(x)$  based on the formula given.

## Predicate truth set definition

**Definition:** The **truth set** of a predicate is the collection of all elements in its domain where the predicate evaluates to  $T$ .

Notice that specifying the domain and the truth set is sufficient for defining a predicate.

## Predicate truth set example

The truth set for the predicate  $V(x)$  is \_\_\_\_\_.

The truth set for the predicate  $N(x)$  is \_\_\_\_\_.

The truth set for the predicate  $Mystery(x)$  is \_\_\_\_\_.



# Quantification definition

The **universal quantification** of predicate  $P(x)$  over domain  $U$  is the statement “ $P(x)$  for all values of  $x$  in the domain  $U$ ” and is written  $\forall x P(x)$  or  $\forall x \in U P(x)$ . When the domain is finite, universal quantification over the domain is equivalent to iterated *conjunction* (ands).

The **existential quantification** of predicate  $P(x)$  over domain  $U$  is the statement “There exists an element  $x$  in the domain  $U$  such that  $P(x)$ ” and is written  $\exists x P(x)$  for  $\exists x \in U P(x)$ . When the domain is finite, existential quantification over the domain is equivalent to iterated *disjunction* (ors).

An element for which  $P(x) = F$  is called a **counterexample** of  $\forall x P(x)$ .

An element for which  $P(x) = T$  is called a **witness** of  $\exists x P(x)$ .

## Quantification logical equivalence

Statements involving predicates and quantifiers are **logically equivalent** means they have the same truth value no matter which predicates (domains and functions) are substituted in.

**Quantifier version of De Morgan’s laws:**  $\neg \forall x P(x) \equiv \exists x (\neg P(x))$   $\neg \exists x Q(x) \equiv \forall x (\neg Q(x))$

## Quantification examples finite domain

Examples of quantifications using  $V(x), N(x), Mystery(x)$ :

**True or False:**  $\exists x ( V(x) \wedge N(x) )$

**True or False:**  $\forall x ( V(x) \rightarrow N(x) )$

**True or False:**  $\exists x ( N(x) \leftrightarrow Mystery(x) )$

Rewrite  $\neg \forall x ( V(x) \oplus Mystery(x) )$  into a logical equivalent statement.

Notice that these are examples where the predicates have *finite* domain. How would we evaluate quantifications where the domain may be infinite?

# Rna rnaalen basecount definitions

*Recall the definitions:* The set of RNA strands  $S$  is defined (recursively) by:

Basis Step:  $\mathbf{A} \in S, \mathbf{C} \in S, \mathbf{U} \in S, \mathbf{G} \in S$   
Recursive Step: If  $s \in S$  and  $b \in B$ , then  $sb \in S$

where  $sb$  is string concatenation.

The function *rnaalen* that computes the length of RNA strands in  $S$  is defined recursively by:

$\text{rnaalen} : S \rightarrow \mathbb{Z}^+$   
Basis Step: If  $b \in B$  then  $\text{rnaalen}(b) = 1$   
Recursive Step: If  $s \in S$  and  $b \in B$ , then  $\text{rnaalen}(sb) = 1 + \text{rnaalen}(s)$

The function *basecount* that computes the number of a given base  $b$  appearing in a RNA strand  $s$  is defined recursively by:

$\text{basecount} : S \times B \rightarrow \mathbb{N}$   
Basis Step: If  $b_1 \in B, b_2 \in B$   $\text{basecount}( (b_1, b_2) ) = \begin{cases} 1 & \text{when } b_1 = b_2 \\ 0 & \text{when } b_1 \neq b_2 \end{cases}$   
Recursive Step: If  $s \in S, b_1 \in B, b_2 \in B$   $\text{basecount}( (sb_1, b_2) ) = \begin{cases} 1 + \text{basecount}( (s, b_2) ) & \text{when } b_1 = b_2 \\ \text{basecount}( (s, b_2) ) & \text{when } b_1 \neq b_2 \end{cases}$

## Predicate rna example

**Example predicates on  $S$ , the set of RNA strands (an infinite set)**

$H : S \rightarrow \{T, F\}$  where  $H(s) = T$  for all  $s$ .

Truth set of  $H$  is \_\_\_\_\_

$F_A : S \rightarrow \{T, F\}$  defined recursively by:

Basis step:  $F_A(\mathbf{A}) = T, F_A(\mathbf{C}) = F_A(\mathbf{G}) = F_A(\mathbf{U}) = F$

Recursive step: If  $s \in S$  and  $b \in B$ , then  $F_A(sb) = F_A(s)$ .

Example where  $F_A$  evaluates to  $T$  is \_\_\_\_\_

Example where  $F_A$  evaluates to  $F$  is \_\_\_\_\_

# Predicates example *rnalen* basecount

Using functions to define predicates:

$L$  with domain  $S \times \mathbb{Z}^+$  is defined by, for  $s \in S$  and  $n \in \mathbb{Z}^+$ ,

$$L( (s, n) ) = \begin{cases} T & \text{if } rnalen(s) = n \\ F & \text{otherwise} \end{cases}$$

In other words,  $L( (s, n) )$  means  $rnalen(s) = n$

$BC$  with domain  $S \times B \times \mathbb{N}$  is defined by, for  $s \in S$  and  $b \in B$  and  $n \in \mathbb{N}$ ,

$$BC( (s, b, n) ) = \begin{cases} T & \text{if } basecount( (s, b) ) = n \\ F & \text{otherwise} \end{cases}$$

In other words,  $BC( (s, b, n) )$  means  $basecount( (s, b) ) = n$

Example where  $L$  evaluates to  $T$ : \_\_\_\_\_ Why?

Example where  $BC$  evaluates to  $T$ : \_\_\_\_\_ Why?

Example where  $L$  evaluates to  $F$ : \_\_\_\_\_ Why?

Example where  $BC$  evaluates to  $F$ : \_\_\_\_\_ Why?

$$\exists t \ BC(t) \qquad \exists (s, b, n) \in S \times B \times \mathbb{N} \ (basecount( (s, b) ) = n)$$

In English:

Witness that proves this existential quantification is true:

$$\forall t \ BC(t) \qquad \forall (s, b, n) \in S \times B \times \mathbb{N} \ (basecount( (s, b) ) = n)$$

In English:

Counterexample that proves this universal quantification is false:

# Predicates projecting example rna basecount

## New predicates from old

1. Define the **new** predicate with domain  $S \times B$  and rule

$$\text{basecount}( (s, b) ) = 3$$

Example domain element where predicate is  $T$ :

2. Define the **new** predicate with domain  $S \times \mathbb{N}$  and rule

$$\text{basecount}( (s, \mathbf{A}) ) = n$$

Example domain element where predicate is  $T$ :

3. Define the **new** predicate with domain  $S \times B$  and rule

$$\exists n \in \mathbb{N} (\text{basecount}( (s, b) ) = n)$$

Example domain element where predicate is  $T$ :

4. Define the **new** predicate with domain  $S$  and rule

$$\forall b \in B (\text{basecount}( (s, b) ) = 1)$$

Example domain element where predicate is  $T$ :

## Predicate notation

**Notation:** for a predicate  $P$  with domain  $X_1 \times \cdots \times X_n$  and a  $n$ -tuple  $(x_1, \dots, x_n)$  with each  $x_i \in X$ , we can write  $P(x_1, \dots, x_n)$  to mean  $P( (x_1, \dots, x_n) )$ .

# Nested quantifiers

## Nested quantifiers

$$\forall s \in S \forall b \in B \forall n \in \mathbb{N} (\text{basecount}(s, b) = n)$$

In English:

Counterexample that proves this universal quantification is false:

$$\forall n \in \mathbb{N} \forall s \in S \forall b \in B (\text{basecount}(s, b) = n)$$

In English:

Counterexample that proves this universal quantification is false:

## Sets proof strategies

To prove that one set is a subset of another, e.g. to show  $A \subseteq B$ :

To prove that two sets are equal, e.g. to show  $A = B$ :

# Sets basic proofs operations

Let  $W = \mathcal{P}(\{1, 2, 3, 4, 5\})$

Example elements in  $W$  are:

**Prove or disprove:**  $\forall A \in W \forall B \in W (A \subseteq B \rightarrow \mathcal{P}(A) \subseteq \mathcal{P}(B))$

**Prove or disprove:**  $\forall A \in W \forall B \in W (\mathcal{P}(A) = \mathcal{P}(B) \rightarrow A = B)$

**Prove or disprove:**  $\forall A \in W \forall B \in W \forall C \in W (A \cup B = A \cup C \rightarrow B = C)$

## Proof strategies road map

We now have propositional and predicate logic that can help us express statements about any domain. We will develop proof strategies to craft valid argument for proving that such statements are true or disproving them (by showing they are false). We will practice these strategies with statements about sets and numbers, both because they are familiar and because they can be used to build cryptographic systems. Then we will apply proof strategies more broadly to prove statements about data structures and machine learning applications.

## Numbers facts

1. Addition and multiplication of real numbers are each commutative and associative.
2. The product of two positive numbers is positive, of two negative numbers is positive, and of a positive and a negative number is negative.
3. The sum of two integers, the product of two integers, and the difference between two integers are each integers.
4. For every integer  $x$  there is no integer strictly between  $x$  and  $x + 1$ ,
5. When  $x, y$  are positive integers,  $xy \geq x$  and  $xy \geq y$ .

# Factoring definition

**Definition:** When  $a$  and  $b$  are integers and  $a$  is nonzero,  $a$  **divides**  $b$  means there is an integer  $c$  such that  $b = ac$ .

Symbolically,  $F( (a, b) ) =$  \_\_\_\_\_ and is a predicate over the domain \_\_\_\_\_

Other (synonymous) ways to say that  $F( (a, b) )$  is true:

$a$  is a **factor** of  $b$        $a$  is a **divisor** of  $b$        $b$  is a **multiple** of  $a$        $a|b$

When  $a$  is a positive integer and  $b$  is any integer,  $a|b$  exactly when  $b \bmod a = 0$

When  $a$  is a positive integer and  $b$  is any integer,  $a|b$  exactly  $b = a \cdot (b \text{ div } a)$

# Factoring translation examples

*Translate these quantified statements by matching to English statement on right.*

$\exists a \in \mathbb{Z}^{\neq 0} ( F( (a, a) ) )$

Every nonzero integer is a factor of itself.

$\exists a \in \mathbb{Z}^{\neq 0} ( \neg F( (a, a) ) )$

No nonzero integer is a factor of itself.

$\forall a \in \mathbb{Z}^{\neq 0} ( F( (a, a) ) )$

At least one nonzero integer is a factor of itself.

$\forall a \in \mathbb{Z}^{\neq 0} ( \neg F( (a, a) ) )$

Some nonzero integer is not a factor of itself.

## Factoring basic claims

**Claim:** Every nonzero integer is a factor of itself.

**Proof:**

**Prove or Disprove:** There is a nonzero integer that does not divide its square.

**Prove or Disprove:** Every positive factor of a positive integer is less than or equal to it.



## Factoring basic claims continued

**Claim:** Every nonzero integer is a factor of itself and every nonzero integer divides its square.

## Factoring even odd

**Definition:** an integer  $n$  is **even** means that there is an integer  $a$  such that  $n = 2a$ ; an integer  $n$  is **odd** means that there is an integer  $a$  such that  $n = 2a + 1$ . Equivalently, an integer  $n$  is **even** means  $n \bmod 2 = 0$ ; an integer  $n$  is **odd** means  $n \bmod 2 = 1$ . Also, an integer is even if and only if it is not odd.

## Prime number definition

**Definition:** An integer  $p$  greater than 1 is called **prime** means the only positive factors of  $p$  are 1 and  $p$ . A positive integer that is greater than 1 and is not prime is called composite.

## Primes basic claims

*Extra examples:* Use the definition to prove that 1 is not prime, 2 is prime, 3 is prime, 4 is not prime, 5 is prime, 6 is not prime, and 7 is prime.

**True or False:** The statement “There are three consecutive positive integers that are prime.”

*Hint:* These numbers would be of the form  $p, p + 1, p + 2$  (where  $p$  is a positive integer).

**Proof:** We need to show \_\_\_\_\_

**True or False:** The statement “There are three consecutive odd positive integers that are prime.”

*Hint:* These numbers would be of the form  $p, p + 2, p + 4$  (where  $p$  is an odd positive integer).

**Proof:** We need to show \_\_\_\_\_

# Rna rna len basecount definitions

*Recall the definitions:* The set of RNA strands  $S$  is defined (recursively) by:

$$\begin{array}{ll} \text{Basis Step:} & \mathbf{A} \in S, \mathbf{C} \in S, \mathbf{U} \in S, \mathbf{G} \in S \\ \text{Recursive Step:} & \text{If } s \in S \text{ and } b \in B, \text{ then } sb \in S \end{array}$$

where  $sb$  is string concatenation.

The function  $rnalen$  that computes the length of RNA strands in  $S$  is defined recursively by:

$$\begin{array}{ll} & rnalen : S \rightarrow \mathbb{Z}^+ \\ \text{Basis Step:} & \text{If } b \in B \text{ then } rnalen(b) = 1 \\ \text{Recursive Step:} & \text{If } s \in S \text{ and } b \in B, \text{ then } rnalen(sb) = 1 + rnalen(s) \end{array}$$

The function  $basecount$  that computes the number of a given base  $b$  appearing in a RNA strand  $s$  is defined recursively by:

$$\begin{array}{ll} & basecount : S \times B \rightarrow \mathbb{N} \\ \text{Basis Step:} & \text{If } b_1 \in B, b_2 \in B \quad basecount( (b_1, b_2) ) = \begin{cases} 1 & \text{when } b_1 = b_2 \\ 0 & \text{when } b_1 \neq b_2 \end{cases} \\ \text{Recursive Step:} & \text{If } s \in S, b_1 \in B, b_2 \in B \quad basecount( (sb_1, b_2) ) = \begin{cases} 1 + basecount( (s, b_2) ) & \text{when } b_1 = b_2 \\ basecount( (s, b_2) ) & \text{when } b_1 \neq b_2 \end{cases} \end{array}$$

## Structural induction motivating example rna

**Claim**  $\forall s \in S ( \text{rnalen}(s) > 0 )$

**Proof:** Let  $s$  be an arbitrary RNA strand. By the recursive definition of  $S$ , either  $s \in B$  or there is some strand  $s_0$  and some base  $b$  such that  $s = s_0b$ . We will show that the inequality holds for both cases.

**Case:** Assume  $s \in B$ . We need to show  $\text{rnalen}(s) > 0$ . By the basis step in the definition of  $\text{rnalen}$ ,

$$\text{rnalen}(s) = 1$$

which is greater than 0, as required.

**Case:** Assume there is some strand  $s_0$  and some base  $b$  such that  $s = s_0b$ . We will show (*the stronger claim*) that

$$\forall u \in S \forall b \in B ( \text{rnalen}(u) > 0 \rightarrow \text{rnalen}(ub) > 0 )$$

Consider an arbitrary RNA strand  $u$  and an arbitrary base  $b$ , and assume towards a direct proof, that

$$\text{rnalen}(u) > 0$$

We need to show that  $\text{rnalen}(ub) > 0$ .

$$\text{rnalen}(ub) = 1 + \text{rnalen}(u) > 1 + 0 = 1 > 0$$

as required.

## Proof strategies structural induction

**Proof by Structural Induction** To prove a universal quantification over a recursively defined set:

**Basis Step:** Show the statement holds for elements specified in the basis step of the definition.

**Recursive Step:** Show that if the statement is true for each of the elements used to construct new elements in the recursive step of the definition, the result holds for these new elements.

# Structural induction example *rnalen* basecount

**Claim**  $\forall s \in S (rnalen(s) \geq basecount( (s, \mathbf{A}) ))$ :

**Proof:** We proceed by structural induction on the recursively defined set  $S$ .

**Basis Case:** We need to prove that the inequality holds for each element in the basis step of the recursive definition of  $S$ . Need to show

$$\begin{aligned} & ( rnalen(\mathbf{A}) \geq basecount( (\mathbf{A}, \mathbf{A}) ) ) \wedge ( rnalen(\mathbf{C}) \geq basecount( (\mathbf{C}, \mathbf{A}) ) ) \\ & \wedge ( rnalen(\mathbf{U}) \geq basecount( (\mathbf{U}, \mathbf{A}) ) ) \wedge ( rnalen(\mathbf{G}) \geq basecount( (\mathbf{G}, \mathbf{A}) ) ) \end{aligned}$$

We calculate, using the definitions of *rnalen* and *basecount*:

**Recursive Case:** We will prove that

$$\forall u \in S \forall b \in B ( rnalen(u) \geq basecount( (u, \mathbf{A}) ) \rightarrow rnalen(ub) \geq basecount( (ub, \mathbf{A}) ) )$$

Consider arbitrary RNA strand  $u$  and arbitrary base  $b$ . Assume, as the **induction hypothesis**, that  $rnalen(u) \geq basecount( (u, \mathbf{A}) )$ . We need to show that  $rnalen(ub) \geq basecount( (ub, \mathbf{A}) )$ .

Using the recursive step in the definition of the function *rnalen*:

$$rnalen(ub) = 1 + rnalen(u)$$

The recursive step in the definition of the function *basecount* has two cases. We notice that  $b = \mathbf{A} \vee b \neq \mathbf{A}$  and we proceed by cases.

*Case i.* Assume  $b = \mathbf{A}$ .

Using the first case in the recursive step in the definition of the function *basecount*:

$$basecount( (ub, \mathbf{A}) ) = 1 + basecount( (u, \mathbf{A}) )$$

By the **induction hypothesis**, we know that  $basecount( (u, \mathbf{A}) ) \leq rnalen(u)$  so:

$$basecount( (ub, \mathbf{A}) ) = 1 + basecount( (u, \mathbf{A}) ) \leq 1 + rnalen(u) = rnalen(ub)$$

and, thus,  $basecount( (ub, \mathbf{A}) ) \leq rnalen(ub)$ , as required.

*Case ii.* Assume  $b \neq \mathbf{A}$ .

Using the second case in the recursive step in the definition of the function *basecount*:

$$basecount( (ub, \mathbf{A}) ) = basecount( (u, \mathbf{A}) )$$

By the **induction hypothesis**, we know that  $basecount( (u, \mathbf{A}) ) \leq rnalen(u)$  so:

$$basecount( (ub, \mathbf{A}) ) = basecount( (u, \mathbf{A}) ) \leq rnalen(u) < 1 + rnalen(u) = rnalen(ub)$$

and, thus,  $basecount( (ub, \mathbf{A}) ) \leq rnalen(ub)$ , as required.

## Proofs signposting kinds of claims

To organize our proofs, it's useful to highlight which claims are most important for our overall goals. We use some terminology to describe different roles statements can have.

**Theorem:** Statement that can be shown to be true, usually an important one.

Less important theorems can be called **proposition**, **fact**, **result**, **claim**.

**Lemma:** A less important theorem that is useful in proving a theorem.

**Corollary:** A theorem that can be proved directly after another one has been proved, without needing a lot of extra work.

**Invariant:** A theorem that describes a property that is true about an algorithm or system no matter what inputs are used.

# Structural induction example robot grid



**Theorem:** A robot on an infinite 2-dimensional integer grid starts at  $(0,0)$  and at each step moves to diagonally adjacent grid point. This robot can / cannot (*circle one*) reach  $(1,0)$ .

**Definition** The set of positions the robot can visit  $Pos$  is defined by:

Basis Step:  $(0,0) \in Pos$

Recursive Step: If  $(x,y) \in Pos$ , then

are also in  $Pos$

*Example elements of  $Pos$  are:*

**Lemma:**  $\forall (x,y) \in Pos$  ( $x+y$  is an even integer )

*Why are we calling this a lemma?*

Proof of theorem using lemma: To show is  $(1,0) \notin Pos$ . Rewriting the lemma to explicitly restrict the domain of the universal, we have  $\forall (x,y) ( (x,y) \in Pos \rightarrow (x+y \text{ is an even integer}) )$ . Since the universal is true,  $( (1,0) \in Pos \rightarrow (1+0 \text{ is an even integer}) )$  is a true statement. Evaluating the conclusion of this conditional statement: By definition of long division, since  $1 = 0 \cdot 2 + 1$  (where  $0 \in \mathbb{Z}$  and  $1 \in \mathbb{Z}$  and  $0 \leq 1 < 2$  mean that 0 is the quotient and 1 is the remainder),  $1 \bmod 2 = 1$  which is not 0 so the conclusion is false. A true conditional with a false conclusion must have a false hypothesis:  $(1,0) \notin Pos$ , QED.  $\square$

Proof of lemma by structural induction:

**Basis Step:**

**Recursive Step:** Consider arbitrary  $(x,y) \in Pos$ . To show is:

$(x+y \text{ is an even integer}) \rightarrow (\text{sum of coordinates of next position is even integer})$

Assume as the induction hypothesis, **IH** that:



# Structural induction example sum of powers

The set  $\mathbb{N}$  is recursively defined. Therefore, the function  $sumPow : \mathbb{N} \rightarrow \mathbb{N}$  which computes, for input  $i$ , the sum of the nonnegative powers of 2 up to and including exponent  $i$  is defined recursively by

Basis step:  $sumPow(0) = 1$

Recursive step: If  $x \in \mathbb{N}$ , then  $sumPow(x + 1) = sumPow(x) + 2^{x+1}$

$sumPow(0) =$

$sumPow(1) =$

$sumPow(2) =$

Fill in the blanks in the following proof of

$$\forall n \in \mathbb{N} (sumPow(n) = 2^{n+1} - 1)$$

**Proof:** Since  $\mathbb{N}$  is recursively defined, we proceed by \_\_\_\_\_.

**Basis case:** We need to show that \_\_\_\_\_. Evaluating each side:  $LHS = sumPow(0) = 1$  by the basis case in the recursive definition of  $sumPow$ ;  $RHS = 2^{0+1} - 1 = 2^1 - 1 = 2 - 1 = 1$ . Since  $1 = 1$ , the equality holds.

**Recursive case:** Consider arbitrary natural number  $n$  and assume, as the \_\_\_\_\_ that  $sumPow(n) = 2^{n+1} - 1$ . We need to show that \_\_\_\_\_. Evaluating each side:

$$LHS = sumPow(n + 1) \stackrel{\text{rec def}}{=} sumPow(n) + 2^{n+1} \stackrel{\text{IH}}{=} (2^{n+1} - 1) + 2^{n+1}.$$

$$RHS = 2^{(n+1)+1} - 1 \stackrel{\text{exponent rules}}{=} 2 \cdot 2^{n+1} - 1 = (2^{n+1} + 2^{n+1}) - 1 \stackrel{\text{regrouping}}{=} (2^{n+1} - 1) + 2^{n+1}$$

Thus,  $LHS = RHS$ . The structural induction is complete and we have proved the universal generalization.  $\square$

# Proof strategy mathematical induction

## Proof by Mathematical Induction

To prove a universal quantification over the set of all integers greater than or equal to some base integer  $b$ ,

**Basis Step:** Show the property holds for  $b$ .

**Recursive Step:** Consider an arbitrary integer  $n$  greater than or equal to  $b$ , assume (as the **induction hypothesis**) that the property holds for  $n$ , and use this and other facts to prove that the property holds for  $n + 1$ .

## Compound proposition definitions

**Proposition:** Declarative sentence that is true or false (not both).

**Propositional variable:** Variable that represents a proposition.

**Compound proposition:** New proposition formed from existing propositions (potentially) using logical operators. *Note:* A propositional variable is one example of a compound proposition.

**Truth table:** Table with one row for each of the possible combinations of truth values of the input and an additional column that shows the truth value of the result of the operation corresponding to a particular row.

## Logical equivalence

**Logical equivalence :** Two compound propositions are **logically equivalent** means that they have the same truth values for all settings of truth values to their propositional variables.

**Tautology:** A compound proposition that evaluates to true for all settings of truth values to its propositional variables; it is abbreviated  $T$ .

**Contradiction:** A compound proposition that evaluates to false for all settings of truth values to its propositional variables; it is abbreviated  $F$ .

**Contingency:** A compound proposition that is neither a tautology nor a contradiction.

# Tautology contradiction contingency examples

Label each of the following as a tautology, contradiction, or contingency.

$p \wedge p$

$p \oplus p$

$p \vee p$

$p \vee \neg p$

$p \wedge \neg p$

## Logical equivalence extra example

*Extra Example:* Which of the compound propositions in the table below are logically equivalent?

Input		Output				
$p$	$q$	$\neg(p \wedge \neg q)$	$\neg(\neg p \vee \neg q)$	$(\neg p \vee q)$	$(\neg q \vee \neg p)$	$(p \wedge q)$
$T$	$T$					
$T$	$F$					
$F$	$T$					
$F$	$F$					

# Algorithm definition

**New!** An algorithm is a finite sequence of precise instructions for solving a problem.

Algorithms can be expressed in English or in more formalized descriptions like pseudocode or fully executable programs.

Sometimes, we can define algorithms whose output matches the rule for a function we already care about. Consider the (integer) logarithm function

$$\text{log}_b : \{b \in \mathbb{Z} \mid b > 1\} \times \mathbb{Z}^+ \rightarrow \mathbb{N}$$

defined by

$$\text{log}_b( (b,n) ) = \text{greatest integer } y \text{ so that } b^y \text{ is less than or equal to } n$$

Calculating integer part of base  $b$  logarithm

1

2

3

4

5

6

**procedure**  $\text{log}_b(b,n$ : positive integers with  $b > 1$ )  
 $i := 0$   
**while**  $n > b - 1$   
     $i := i + 1$   
     $n := n \text{ div } b$   
**return**  $i$  { $i$  holds the integer part of the base  $b$  logarithm of  $n$ }

Trace this algorithm with inputs  $b = 3$  and  $n = 17$

	$b$	$n$	$i$	$n > b - 1?$
Initial value	3	17		
After 1 iteration				
After 2 iterations				
After 3 iterations				

Compare: does the output match the rule for the (integer) logarithm function?

# Fixed width definition

**Definition** For  $b$  an integer greater than 1,  $w$  a positive integer, and  $n$  a nonnegative integer \_\_\_\_\_, the **base  $b$  fixed-width  $w$  expansion of  $n$**  is

$$(a_{w-1} \cdots a_1 a_0)_{b,w}$$

where  $a_0, a_1, \dots, a_{w-1}$  are nonnegative integers less than  $b$  and

$$n = \sum_{i=0}^{w-1} a_i b^i$$

# Fixed width example

Decimal $b = 10$	Binary $b = 2$	Binary fixed-width 10 $b = 2, w = 10$	Binary fixed-width 7 $b = 2, w = 7$	Binary fixed-width 4 $b = 2, w = 4$
$(20)_{10}$				

# Fixed width fractional definition

**Definition** For  $b$  an integer greater than 1,  $w$  a positive integer,  $w'$  a positive integer, and  $x$  a real number the **base  $b$  fixed-width expansion of  $x$  with integer part width  $w$  and fractional part width  $w'$**  is  $(a_{w-1} \cdots a_1 a_0 . c_1 \cdots c_{w'})_{b,w,w'}$  where  $a_0, a_1, \dots, a_{w-1}, c_1, \dots, c_{w'}$  are nonnegative integers less than  $b$  and

$$x \geq \sum_{i=0}^{w-1} a_i b^i + \sum_{j=1}^{w'} c_j b^{-j} \quad \text{and} \quad x < \sum_{i=0}^{w-1} a_i b^i + \sum_{j=1}^{w'} c_j b^{-j} + b^{-w'}$$

3.75 in fixed-width binary, integer part width 2, fractional part width 8	
0.1 in fixed-width binary, integer part width 2, fractional part width 8	

```
welcome $jshell
| Welcome to JShell -- Version 10.0.1
| For an introduction type: /help intro

[jshell> 0.1
$1 ==>

[jshell> 0.2
$2 ==>

[jshell> 0.1 + 0.2
$3 ==>

[jshell> Math.sqrt(2)
$4 ==>

[jshell> Math.sqrt(2)*Math.sqrt(2)
$5 ==>

[jshell> █
```

Note: Java uses floating point, not fixed width representation, but similar rounding errors appear in both.

# Negative int expansions

**Representing negative integers in binary:** Fix a positive integer width for the representation  $w$ ,  $w > 1$ .

	To represent a positive integer $n$	To represent a negative integer $-n$
Sign-magnitude	$[0a_{w-2} \cdots a_0]_{s,w}$ , where $n = (a_{w-2} \cdots a_0)_{2,w-1}$  Example $n = 17$ , $w = 7$ :	$[1a_{w-2} \cdots a_0]_{s,w}$ , where $n = (a_{w-2} \cdots a_0)_{2,w-1}$  Example $-n = -17$ , $w = 7$ :
2s complement	$[0a_{w-2} \cdots a_0]_{2c,w}$ , where $n = (a_{w-2} \cdots a_0)_{2,w-1}$  Example $n = 17$ , $w = 7$ :	$[1a_{w-2} \cdots a_0]_{2c,w}$ , where $2^{w-1} - n = (a_{w-2} \cdots a_0)_{2,w-1}$  Example $-n = -17$ , $w = 7$ :

## Calculating 2s complement

For positive integer  $n$ , to represent  $-n$  in 2s complement with width  $w$ ,

- Calculate  $2^{w-1} - n$ , convert result to binary fixed-width  $w - 1$ , pad with leading 1, or
- Express  $-n$  as a sum of powers of 2, where the leftmost  $2^{w-1}$  is negative weight, or
- Convert  $n$  to binary fixed-width  $w$ , flip bits, add 1 (ignore overflow)

*Challenge: use definitions to explain why each of these approaches works.*

# Representing zero

## Representing 0:

So far, we have representations for positive and negative integers. What about 0?

	To represent a <b>non-negative</b> integer $n$	To represent a <b>non-positive</b> integer $-n$
Sign-magnitude	$[0a_{w-2} \cdots a_0]_{s,w}$ , where $n = (a_{w-2} \cdots a_0)_{2,w-1}$ Example $n = 0, w = 7$ :	$[1a_{w-2} \cdots a_0]_{s,w}$ , where $n = (a_{w-2} \cdots a_0)_{2,w-1}$ Example $-n = 0, w = 7$ :
2s complement	$[0a_{w-2} \cdots a_0]_{2c,w}$ , where $n = (a_{w-2} \cdots a_0)_{2,w-1}$ Example $n = 0, w = 7$ :	$[1a_{w-2} \cdots a_0]_{2c,w}$ , where $2^{w-1} - n = (a_{w-2} \cdots a_0)_{2,w-1}$ Example $-n = 0, w = 7$ :

# Netflix intro

What data should we encode about each Netflix account holder to help us make effective recommendations?

In machine learning, clustering can be used to group similar data for prediction and recommendation. For example, each Netflix user’s viewing history can be represented as a  $n$ -tuple indicating their preferences about movies in the database, where  $n$  is the number of movies in the database. People with similar tastes in movies can then be clustered to provide recommendations of movies for one another. Mathematically, clustering is based on a notion of distance between pairs of  $n$ -tuples.



# Data types

Term	Examples: (add additional examples from class)
<b>set</b> unordered collection of elements <i>repetition doesn't matter</i> <i>Equal sets agree on membership of all elements</i>	$7 \in \{43, 7, 9\}$ $2 \notin \{43, 7, 9\}$
<b><math>n</math>-tuple</b> ordered sequence of elements with $n$ “slots” ( $n > 0$ ) <i>repetition matters, fixed length</i> <i>Equal <math>n</math>-tuples have corresponding components equal</i>	
<b>string</b> ordered finite sequence of elements each from specified set (called the alphabet over which the string is defined) <i>repetition matters, arbitrary finite length</i> <i>Equal strings have same length and corresponding characters equal</i>	

*Special cases:*

When  $n = 2$ , the 2-tuple is called an **ordered pair**.

A string of length 0 is called the **empty string** and is denoted  $\lambda$ .

A set with no elements is called the **empty set** and is denoted  $\{\}$  or  $\emptyset$ .

# Set operations

To define a set we can use the roster method, set builder notation, a recursive definition, and also we can apply a set operation to other sets.

## New! Cartesian product of sets and set-wise concatenation of sets of strings

**Definition:** Let  $X$  and  $Y$  be sets. The **Cartesian product** of  $X$  and  $Y$ , denoted  $X \times Y$ , is the set of all ordered pairs  $(x, y)$  where  $x \in X$  and  $y \in Y$

$$X \times Y = \{(x, y) \mid x \in X \text{ and } y \in Y\}$$

Conventions: (1) Cartesian products can be chained together to result in sets of  $n$ -tuples and (2) When we form the Cartesian product of a set with itself  $X \times X$  we can denote that set as  $X^2$ , or  $X^n$  for the Cartesian product of a set with itself  $n$  times for a positive integer  $n$ .

**Definition:** Let  $X$  and  $Y$  be sets of strings over the same alphabet. The **set-wise concatenation** of  $X$  and  $Y$ , denoted  $X \circ Y$ , is the set of all results of string concatenation  $xy$  where  $x \in X$  and  $y \in Y$

$$X \circ Y = \{xy \mid x \in X \text{ and } y \in Y\}$$

**Pro-tip:** the meaning of writing one element next to another like  $xy$  depends on the data-types of  $x$  and  $y$ . When  $x$  and  $y$  are strings, the convention is that  $xy$  is the result of string concatenation. When  $x$  and  $y$  are numbers, the convention is that  $xy$  is the result of multiplication. This is (one of the many reasons) why is it very important to declare the data-type of variables before we use them.

*Fill in the missing entries in the table:*

Set	Example elements in this set and their data type:			
$B$	A	C	G	U
	(A, C)		(U, U)	
$B \times \{-1, 0, 1\}$				
$\{-1, 0, 1\} \times B$				
	(0, 0, 0)			
$\{A, C, G, U\} \circ \{A, C, G, U\}$				
	GGGG			

# Defining functions

**New! Defining functions** A function is defined by its (1) domain, (2) codomain, and (3) rule assigning each element in the domain exactly one element in the codomain.

The domain and codomain are nonempty sets.  
The rule can be depicted as a table, formula, piecewise definition, or English description.  
The notation is

“Let the function FUNCTION-NAME: DOMAIN  $\rightarrow$  CODOMAIN be given by  
FUNCTION-NAME( $x$ ) = ...for every  $x \in DOMAIN$ ”.

or

“Consider the function FUNCTION-NAME: DOMAIN  $\rightarrow$  CODOMAIN defined as  
FUNCTION-NAME( $x$ ) = ...for every  $x \in DOMAIN$ ”.

Example: The absolute value function

**Domain**

**Codomain**

**Rule**

## Defining functions recursively

When the domain of a function is a *recursively defined set*, the rule assigning images to domain elements (outputs) can also be defined recursively.

Recall: The set of RNA strands  $S$  is defined (recursively) by:

$$\begin{array}{ll} \text{Basis Step:} & \mathbf{A} \in S, \mathbf{C} \in S, \mathbf{U} \in S, \mathbf{G} \in S \\ \text{Recursive Step:} & \text{If } s \in S \text{ and } b \in B, \text{ then } sb \in S \end{array}$$

where  $sb$  is string concatenation.

**Definition** (Of a function, recursively) A function  $rnalen$  that computes the length of RNA strands in  $S$  is defined by:

$$\begin{array}{lll} & & rnalen : S \rightarrow \mathbb{Z}^+ \\ \text{Basis Step:} & \text{If } b \in B \text{ then} & rnalen(b) = 1 \\ \text{Recursive Step:} & \text{If } s \in S \text{ and } b \in B, \text{ then} & rnalen(sb) = 1 + rnalen(s) \end{array}$$

The domain of  $rnalen$  is

The codomain of  $rnalen$  is

Example function application:

$$rnalen(\mathbf{ACU}) =$$

*Example:* A function  $basecount$  that computes the number of a given base  $b$  appearing in a RNA strand  $s$  is defined recursively:

$$\begin{array}{lll} & & basecount : S \times B \rightarrow \mathbb{N} \\ \text{Basis Step:} & \text{If } b_1 \in B, b_2 \in B & basecount((b_1, b_2)) = \begin{cases} 1 & \text{when } b_1 = b_2 \\ 0 & \text{when } b_1 \neq b_2 \end{cases} \\ \text{Recursive Step:} & \text{If } s \in S, b_1 \in B, b_2 \in B & basecount((sb_1, b_2)) = \begin{cases} 1 + basecount((s, b_2)) & \text{when } b_1 = b_2 \\ basecount((s, b_2)) & \text{when } b_1 \neq b_2 \end{cases} \end{array}$$