

## Week 5 at a glance

### We will be learning and practicing to:

- Clearly and unambiguously communicate computational ideas using appropriate formalism. Translate across levels of abstraction.
  - Translating between symbolic and English versions of statements using precise mathematical language
  - Using appropriate signpost words to improve readability of proofs, including 'arbitrary' and 'assume'
- Know, select and apply appropriate computing knowledge and problem-solving techniques. Reason about computation and systems. Use mathematical techniques to solve problems. Determine appropriate conceptual tools to apply to new situations. Know when tools do not apply and try different approaches. Critically analyze and evaluate candidate solutions.
  - Judging logical equivalence of compound propositions using symbolic manipulation with known equivalences, including DeMorgan's Law
  - Writing the converse, contrapositive, and inverse of a given conditional statement
  - Determining what evidence is required to establish that a quantified statement is true or false
  - Evaluating quantified statements about finite and infinite domains
- Apply proof strategies, including direct proofs and proofs by contradiction, and determine whether a proposed argument is valid or not.
  - Identifying the proof strategies used in a given proof
  - Identifying which proof strategies are applicable to prove a given compound proposition based on its logical structure
  - Carrying out a given proof strategy to prove a given statement
  - Carrying out a universal generalization argument to prove that a universal statement is true
  - Using proofs as knowledge discovery tools to decide whether a statement is true or false

### TODO:

Project due May 7, 2024. No review quiz this week.

Test 1, in class this week, on Friday May 3, 2024. The test covers material in Weeks 1 through 4 and Monday of Week 5. To study for the exam, we recommend reviewing class notes (e.g. annotations linked on the class website, podcast, supplementary video from the class website), reviewing homework (and its posted sample solutions), and in particular *\*working examples\** (extra examples in lecture notes, review quizzes, discussion examples) and getting feedback (office hours and Piazza). Some practice questions (and their solutions) are available on the class website, linked from Week 5 and from the Assignments page.

# Monday: Nested Quantifiers

Recall the definitions: The set of RNA strands  $S$  is defined (recursively) by:

Basis Step:  $A \in S, C \in S, U \in S, G \in S$   
 Recursive Step: If  $s \in S$  and  $b \in B$ , then  $sb \in S$

where  $sb$  is string concatenation.

The function  $rnalen$  that computes the length of RNA strands in  $S$  is defined recursively by:

$rnalen : S \rightarrow \mathbb{Z}^+$   
 Basis Step: If  $b \in B$  then  $rnalen(b) = 1$   
 Recursive Step: If  $s \in S$  and  $b \in B$ , then  $rnalen(sb) = 1 + rnalen(s)$

The function  $basecount$  that computes the number of a given base  $b$  appearing in a RNA strand  $s$  is defined recursively by:

$basecount : S \times B \rightarrow \mathbb{N}$   
 Basis Step: If  $b_1 \in B, b_2 \in B$   $basecount((b_1, b_2)) = \begin{cases} 1 & \text{when } b_1 = b_2 \\ 0 & \text{when } b_1 \neq b_2 \end{cases}$   
 Recursive Step: If  $s \in S, b_1 \in B, b_2 \in B$   $basecount((sb_1, b_2)) = \begin{cases} 1 + basecount((s, b_2)) & \text{when } b_1 = b_2 \\ basecount((s, b_2)) & \text{when } b_1 \neq b_2 \end{cases}$

## Alternating nested quantifiers

True universal definition of function in codomain  
 $\forall s \in S \exists n \in \mathbb{N} (basecount((s, U)) = n)$

In English: For each strand, there is a nonnegative integer that counts the number of occurrences of U in that strand.

Negation:  $\neg \forall s \in S \exists n \in \mathbb{N} (basecount((s, U)) = n) \stackrel{\text{De Morgan's Law}}{=} \exists s \in S \neg (\exists n \in \mathbb{N} (basecount((s, U)) = n)) = \exists s \in S \forall n \in \mathbb{N} (basecount((s, U)) \neq n)$   
 $\exists n \in \mathbb{N} \forall s \in S (basecount((s, U)) = n)$

False  
 In English: There is a nonnegative integer that counts the number of occurrences of U in every strand.

Negation:  $\forall n \in \mathbb{N} \exists s \in S (basecount((s, U)) \neq n)$

Are these statements true or false?

ORIG

$$\forall s \in S \exists b \in B (\text{basecount}(s, b) = 3)$$

P	$\neg P$
T	F
F	T

In English: For each RNA strand there is a base that occurs 3 times in this strand.

Pick a strand, for that strand find a b that occurs three times.

Write the negation and use De Morgan's law to find a logically equivalent version where the negation is applied only to the BC predicate (not next to a quantifier).

$$\neg \forall s \in S \exists b \in B (\text{basecount}(s, b) = 3) \\ \equiv \exists s \in S \forall b \in B (\text{basecount}(s, b) \neq 3)$$

NEGATION

Is the original statement **True** or **False**?

Find counterexample for original statement, or a witness for its negation.

Consider  $S = A$   
Want to show  $\forall b \in B (\text{basecount}(A, b) \neq 3)$

B	basecount(A, b)	$\neq 3$
A	1	T
C	0	T
U	0	T
G	0	T

Extra practice:

$$\neg \exists s \in S \exists b \in B (\text{basecount}(s, b) = 3) \\ \forall s \in S \forall b \in B (\text{basecount}(s, b) \neq 3)$$

## Proof strategies

When a predicate  $P(x)$  is over a **finite** domain:

like  $B = \{A, C, G, U\}$

- To show that  $\forall x P(x)$  is true: check that  $P(x)$  evaluates to T at each domain element by evaluating over and over. This is called "Proof of universal by **exhaustion**".
- To show that  $\forall x P(x)$  is false: find a **counterexample**, a domain element where  $P(x)$  evaluates to F.
- To show that  $\exists x P(x)$  is true: find a **witness**, a domain element where  $P(x)$  evaluates to T.
- To show that  $\exists x P(x)$  is false: check that  $P(x)$  evaluates to F at each domain element by evaluating over and over. DeMorgan's Law gives that  $\neg \exists x P(x) \equiv \forall x \neg P(x)$  so this amounts to a proof of universal by exhaustion.

**New! Proof by universal generalization:** To prove that  $\forall x P(x)$  is true, we can take an arbitrary element  $e$  from the domain of quantification and show that  $P(e)$  is true, without making any assumptions about  $e$  other than that it comes from the domain.

An **arbitrary** element of a set or domain is a fixed but unknown element from that set.

Suppose  $P(x)$  is a predicate over a domain  $D$ .

1. ~~True~~ or False: To translate the statement "There are at least two elements in  $D$  where the predicate  $P$  evaluates to true", we could write

$$\boxed{\exists x_1 \in D} \exists x_2 \in D (P(x_1) \wedge P(x_2))$$

There's an element  $x_1$  and an element  $x_2$  (both in  $D$ ) so that  $P$  evaluates to T at both  $x_1$  and  $x_2$ .

Fix:  $\exists x_1 \in D \exists x_2 \in D (x_1 \neq x_2 \wedge P(x_1) \wedge P(x_2))$

2. ~~True~~ or False: To translate the statement "There are at most two elements in  $D$  where the predicate  $P$  evaluates to true", we could write

$$\forall x_1 \in D \forall x_2 \in D \forall x_3 \in D ( (P(x_1) \wedge P(x_2) \wedge P(x_3)) \rightarrow (x_1 = x_2 \vee x_2 = x_3 \vee x_1 = x_3) ) )$$

when  $P$   
evaluates to T  
at all of  
 $x_1, x_2, x_3$

the elements  
named  $x_1, x_2, x_3$   
are not distinct.

# Wednesday: Proof Strategies and Sets

## Definitions:

A **set** is an unordered collection of elements. When  $A$  and  $B$  are sets,  $A = B$  (set equality) means

$$\forall x (x \in A \leftrightarrow x \in B)$$

*if & only if*  
universal  $\uparrow \quad \uparrow$

When  $A$  and  $B$  are sets,  $A \subseteq B$  (" $A$  is a **subset** of  $B$ ") means

$$\forall x (x \in A \rightarrow x \in B)$$

universal  $\rightarrow$  conditional

When  $A$  and  $B$  are sets,  $A \subsetneq B$  (" $A$  is a **proper subset** of  $B$ ") means

$$(A \subseteq B) \wedge (A \neq B)$$

$A$  is a subset of  $B$  AND  $A$  is not equal to  $B$ .

**New! Proof of conditional by direct proof:** To prove that the conditional statement  $p \rightarrow q$  is true, we can assume  $p$  is true and use that assumption to show  $q$  is true.

**New! Proof of conditional by contrapositive proof:** To prove that the implication  $p \rightarrow q$  is true, we can assume  $q$  is false and use that assumption to show  $p$  is also false.

**New! Proof of disjunction using equivalent conditional:** To prove that the disjunction  $p \vee q$  is true, we can rewrite it equivalently as  $\neg p \rightarrow q$  and then use direct proof or contrapositive proof.

*or equivalently  $\neg q \rightarrow \neg p$*

**New! Proof by Cases:** To prove  $q$ , we can work by cases by first describing all possible cases we might be in and then showing that each one guarantees  $q$ . Formally, if we know that  $p_1 \vee p_2$  is true, and we can show that  $(p_1 \rightarrow q)$  is true and we can show that  $(p_2 \rightarrow q)$ , then we can conclude  $q$  is true.

**New! Proof of conjunctions with subgoals:** To show that  $p \wedge q$  is true, we have two subgoals: subgoal (1) prove  $p$  is true; and, subgoal (2) prove  $q$  is true.

To show that  $p \wedge q$  is false, it's enough to prove that  $\neg p$ .

To show that  $p \wedge q$  is false, it's enough to prove that  $\neg q$ .

To prove that one set is a subset of another, e.g. to show  $A \subseteq B$ :

Let  $x$  be an arbitrary element

Assume, towards a direct proof, that  $x \in A$ . *univ. generalization*

Want to show that  $x \in B$ . *direct proof*

To prove that two sets are equal, e.g. to show  $A = B$ :

Let  $x$  be an arbitrary element. *Want to show* WTS  $x \in A \leftrightarrow x \in B$ .

Subgoals

Goal ① WTS  $x \in A \rightarrow x \in B$ .

Goal ② WTS  $x \in B \rightarrow x \in A$ .

$A = B$  means

$$A \subseteq B \wedge B \subseteq A$$

To disprove a conditional  $p \rightarrow q$ : show  $p$  is true and  $q$  is false.  
 $\neg(p \rightarrow q) \equiv \neg(p \vee q) \equiv \neg p \wedge \neg q \equiv p \wedge \neg q$   
 Example:  $\{43, 7, 9\} = \{7, 43, 9, 7\}$   
 To prove, we need to prove  $\forall x (x \in \{43, 7, 9\} \leftrightarrow x \in \{7, 43, 9, 7\})$   
 ...

Prove or disprove:  $\{A, C, U, G\} \subseteq \{AA, AC, AU, AG\}$

WTS  $\neg \forall x (x \in \{A, C, U, G\} \rightarrow x \in \{AA, AC, AU, AG\})$

It's enough to find a counterexample, namely  $x$  where  $x \in \{A, C, U, G\} \rightarrow x \in \{AA, AC, AU, AG\}$  is false.  
 i.e. WTS is that  $x \in \{A, C, U, G\}$  and  $x \notin \{AA, AC, AU, AG\}$ .

Consider  $x = U$ . Then  $U \in \{A, C, U, G\}$  and  $U \notin \{AA, AC, AU, AG\}$  and so gives the required counterexample to subset inclusion.

Prove or disprove: For some set  $B$ ,  $\emptyset \in B$ .

WTS  $\exists B (\emptyset \in B)$

Witness  $B = \{\emptyset\}$  works because  $\emptyset \in \{\emptyset\}$   
 so existential statement is true  $\square$

Prove or disprove: For every set  $B$ ,  $\emptyset \in B$ .

Want to disprove  $\forall B (\emptyset \in B)$

Consider  $B = \emptyset$  or  $B = \mathbb{R}$  or  $B = \mathbb{N}$   
 or  $\mathbb{Z}$   
 and WTS  $B$  is a counterexample

Prove or disprove: The empty set is a subset of every set.

WTS  $\forall B (\emptyset \subseteq B)$

i.e. WTS  $\forall B (\forall x (x \in \emptyset \rightarrow x \in B))$

Towards universal generalization, consider arbitrary  $B$  and arbitrary  $x$ . WTS  $x \in \emptyset \rightarrow x \in B$ . True because its hypothesis is false.  
 False.

~~Prove~~ or **disprove**: The empty set is a proper subset of every set.

Statement can be translated as  $\forall B (\emptyset \subsetneq B)$   
A counterexample would be a set  $B$  where  
 $\neg (\emptyset \subsetneq B)$ . Consider  $B = \emptyset$ . Then it is not the  
case that  $\emptyset \subsetneq \emptyset$  so one of the conjuncts  
required for proper subset inclusion is false, as required.

~~Prove~~ or **disprove**:  $\{4, 6\} \subseteq \{n \mid \exists c \in \mathbb{Z} (n = 4c)\}$

Counterexample is 6 because  $6 \in \{4, 6\}$  but  
 $6 \notin \{n \mid \exists c \in \mathbb{Z} (n = 4c)\}$ . To justify the second part of this:  
WTS  $\neg \exists c \in \mathbb{Z} (6 = 4c)$ , namely that  $\forall c \in \mathbb{Z} (6 \neq 4c)$ .  
Towards a proof by universal generalization, consider  
arbitrary integer  $c$ . By properties of integers,  $c \leq 1 \vee c \geq 2$ .  
We proceed by cases:  
Case ① Assume  $c \leq 1$ . We WTS  $6 \neq 4c$ . Multiplying both sides  
of inequality by 4, we have  $4c \leq 4$  so since  $4 \leq 6$ ,  $4c \neq 6$ .  
Case ② Assume  $c \geq 2$ . We WTS  $6 \neq 4c$ . Multiplying both sides  
of inequality by 4, we have  $4c \geq 8$  so since  $6 < 8$ ,  $4c \neq 6$ .  
Since each case guarantees what we want to show and  
the cases are exhaustive, the proof is complete.

~~Prove~~ or **disprove**:  $\{4, 6\} \subseteq \{n \bmod 10 \mid \exists c \in \mathbb{Z} (n = 4c)\}$

Towards universal generalization and direct proof, consider  
arbitrary  $x$  and assume  $x \in \{4, 6\}$ . By definition, this  
means  $x = 4 \vee x = 6$ . WTS  $\exists n (x = n \bmod 10 \wedge \exists c \in \mathbb{Z} (n = 4c))$

Case ① Assume  $x = 4$ . Consider  $n = 4$  and  $c = 1$ .  
These witness the truth of the existentials because  $n = 4 = 4 \cdot 1 = 4c$   
and  $x = 4 = 4 \bmod 10 = n \bmod 10$ .

Case ② Assume  $x = 6$ . Consider  $n = 16$  and  $c = 4$ .  
These witness the truth of the existentials because  $n = 16 = 4 \cdot 4 = 4c$   
and  $x = 6 = 16 \bmod 10 = n \bmod 10$ .

The proof by cases is complete and we have proved the subset inclusion.

Consider ..., an arbitrary .... Assume ..., we want to show that .... Which is what was needed, so  
the proof is complete  $\square$ .

or, in other words:

Let ... be an arbitrary .... Assume ..., WTS that ... QED.  $\square$



**Cartesian product:** When  $A$  and  $B$  are sets,

$$A \times B = \{(a, b) \mid a \in A \wedge b \in B\}$$

Example:  $\{43, 9\} \times \{9, \mathbb{Z}\} = \{(a, b) \mid a \in \{43, 9\} \wedge b \in \{9, \mathbb{Z}\}\} = \{(43, 9), (43, \mathbb{Z}), (9, 9), (9, \mathbb{Z})\}$

Example:  $\mathbb{Z} \times \emptyset = \{(a, b) \mid a \in \mathbb{Z} \wedge \boxed{b \in \emptyset}\} = \emptyset$   
*False*

**Union:** When  $A$  and  $B$  are sets,

$$A \cup B = \{x \mid x \in A \vee x \in B\}$$

Example:  $\{43, 9\} \cup \{9, \mathbb{Z}\} = \{43, 9, \mathbb{Z}\}$

Example:  $\mathbb{Z} \cup \emptyset = \mathbb{Z}$

**Intersection:** When  $A$  and  $B$  are sets,

$$A \cap B = \{x \mid x \in A \wedge x \in B\}$$

Example:  $\{43, 9\} \cap \{9, \mathbb{Z}\} = \{9\}$

Example:  $\mathbb{Z} \cap \emptyset = \emptyset$

**Set difference:** When  $A$  and  $B$  are sets,

$$A - B = \{x \mid x \in A \wedge x \notin B\}$$

Example:  $\{43, 9\} - \{9, \mathbb{Z}\} = \{43\}$

Example:  $\mathbb{Z} - \emptyset = \mathbb{Z}$

**Disjoint sets:** sets  $A$  and  $B$  are disjoint means  $A \cap B = \emptyset$

Example:  $\{43, 9\}, \{9, \mathbb{Z}\}$  are not disjoint because  $\{43, 9\} \cap \{9, \mathbb{Z}\} = \{9\} \neq \emptyset$

Example: The sets  $\mathbb{Z}$  and  $\emptyset$  are disjoint because  $\mathbb{Z} \cap \emptyset = \emptyset$

**Power set:** When  $U$  is a set,  $\mathcal{P}(U) = \{X \mid X \subseteq U\}$

Example:  $\mathcal{P}(\{43, 9\}) = \{\{43, 9\}, \{9\}, \{43\}, \emptyset\}$

Example:  $\mathcal{P}(\emptyset) = \{\emptyset\}$

$$X \subseteq U$$

$$\begin{array}{ccccccc} u_1 & u_2 & & & & & \\ \vee \wedge & \vee \wedge & & & & & \end{array}$$

$$\forall x (x \in X \rightarrow x \in U)$$