# **CS2040C Tut 7**

Hash Table - Collision Resolution Introduction to Binary Search Tree

# **Collision Resolutions**

Open Addressing Closed Addressing

## **Open vs Closed Addressing**

#### **Closed Addressing**

Where the object will be slotted in is *completely* dependent on the hash function.

#### **Open Addressing**

Where the object will be slotted in depends on *other* objects in the Hash Table.

(The address can *vary*)

(Self-read)

## **Closed Addressing**

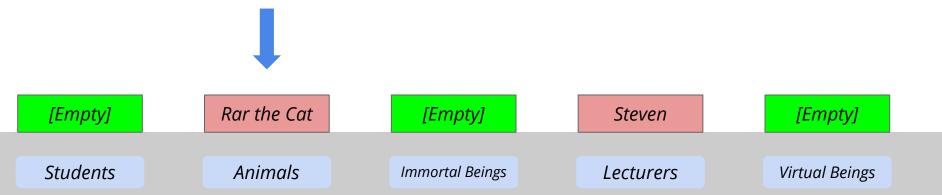
Imagine there is a street with houses.

Some are empty, some are occupied.

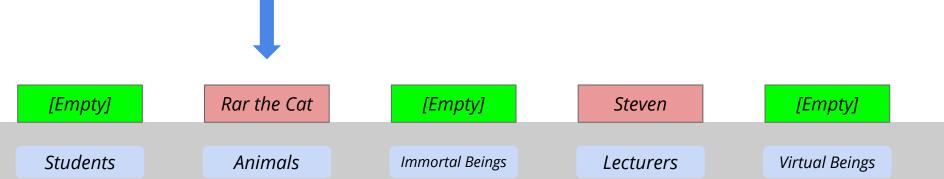
[Empty] Rar the Cat [Empty] Steven [Empty]

A new person "Jacq the Dino" comes along.

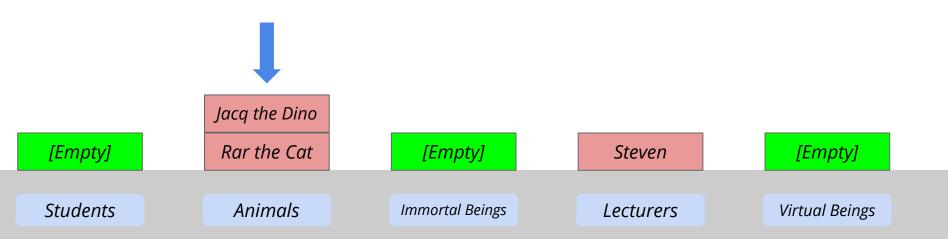
We use our hash function to determine where to place her.



However, the house at the chosen location, is already occupied. :(



In **closed** addressing, instead of finding a new house... We simply 'build a new floor' at the same position... and place the element there.



As we add more and more people, there are

Some positions with more people and

some positions with nobody.

However, we know they are *always in the position they are hashed to.* 

SoC Cat

Jacq the Dino

[Empty]

Rar the Cat

Bell Curve God

Steven

[Empty]

Students

Animals

Immortal Beings

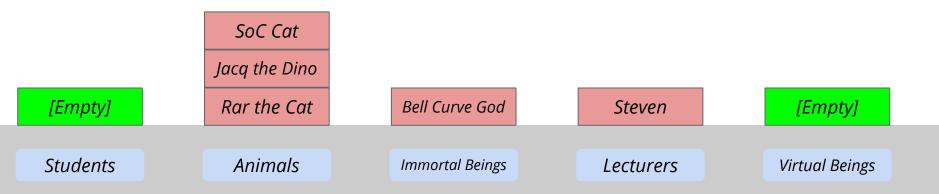
Lecturers

Virtual Beings

#### **Implementation**

We can use an *array of* vectors (or Singly/Doubly LL).

Each position will be a *vector* (*resizeable array*) so that it can accommodate more people if needed.

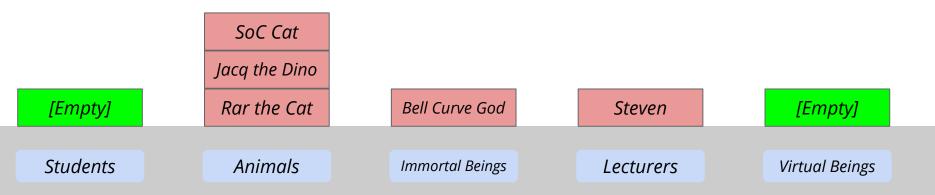


#### **Implementation**

Recall that finding an element in a vector is  $O(\alpha)$ .

Recall that deleting any element in a vector is also  $O(\alpha)$ .

We will try to make  $\alpha$  as small as we can.



Imagine again there is a street with houses.

Some are empty, some are occupied.

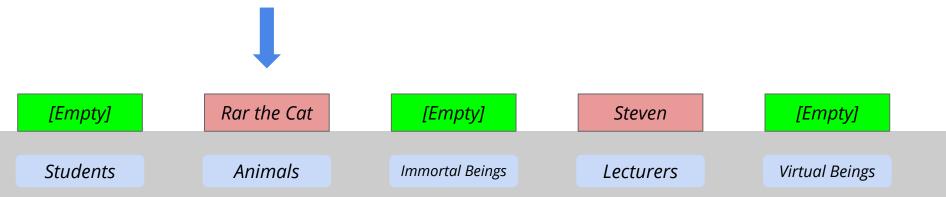
And you have that same 'hash function' from just now too.

 [Empty]
 Rar the Cat
 [Empty]
 Steven
 [Empty]

 Students
 Animals
 Immortal Beings
 Lecturers
 Virtual Beings

Similarly, a new person "Jacq the Dino" comes along.

We determine where she should go, depending on the hash function.



However, the house is already occupied:(

In open addressing, we cannot build another floor.

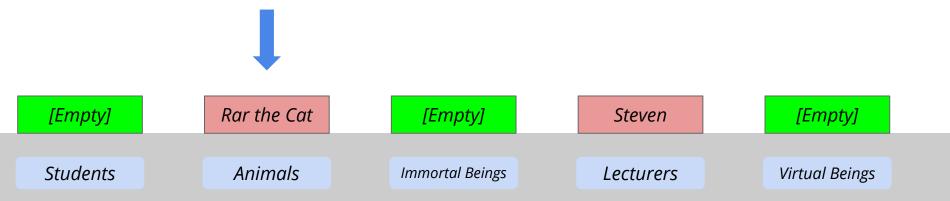
We thus need to find another (vacant) house for "Jacq the Dino".

 [Empty]
 Rar the Cat
 [Empty]
 Steven
 [Empty]

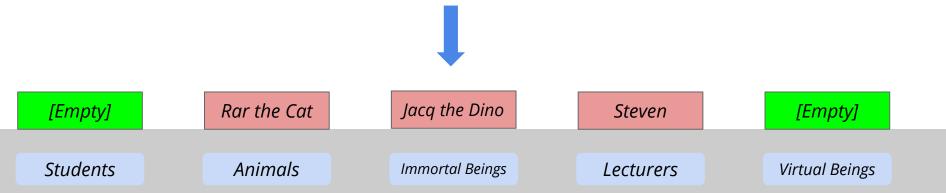
 Students
 Animals
 Immortal Beings
 Lecturers
 Virtual Beings

There are several ways to do so:

- Go down the road one by one and find the first vacant house on the right. (Linear Probing aka LP)
- Restart from the front if needed.



If we used Linear Probing, "Jacq the Dino" will end up here!



Now lets see when "SoC Cat" comes.

Full...



[Empty]

Rar the Cat

Jacq the Dino

Steven

[Empty]

Students Animals

Immortal Beings

Lecturers

Now lets see when "SoC Cat" comes.

Full... full...



[Empty] Rar the Cat

Jacq the Dino

Steven

[Empty]

Students

Animals

Immortal Beings

Lecturers

(Self-read)

# **Open Addressing - Linear Probing**

Now lets see when "SoC Cat" comes.

Full... full... still full...



[Empty]

Rar the Cat

Jacq the Dino

Steven

[Empty]

Students

Animals

Immortal Beings

Lecturers

Now lets see when "SoC Cat" comes.

Full... full... still full... vacant!



[Empty]

Rar the Cat

Jacq the Dino

Steven

SoC Cat

Students

**Animals** 

Immortal Beings

Lecturers

Now when "Bell Curve God" arrives, he will end up there. After probing a full cycle...

 Bell Curve God
 Rar the Cat
 Jacq the Dino
 Steven
 SoC Cat

 Students
 Animals
 Immortal Beings
 Lecturers
 Virtual Beings

As you can see, the hash function *does not have much* meaning anymore...

It only serves to determine the **starting position**.

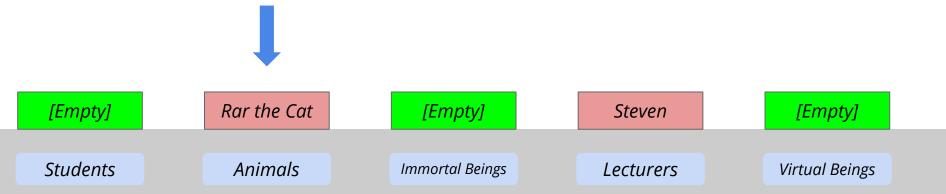
(This might complicate *some* cases where the key stores important information)

 Bell Curve God
 Rar the Cat
 Jacq the Dino
 Steven
 SoC Cat

 Students
 Animals
 Immortal Beings
 Lecturers
 Virtual Beings

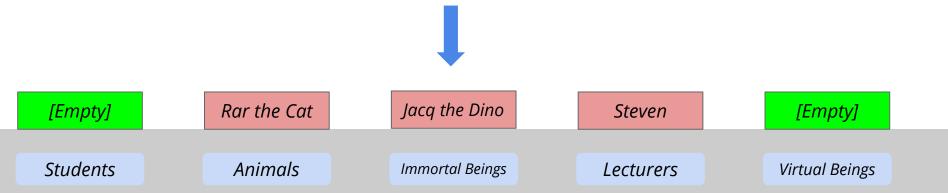
Instead of checking adjacent houses, we can skip some houses based on a quadratic formula

- 1st house, 4th house, 9th house ... etc
- Quadratic Probing aka QP



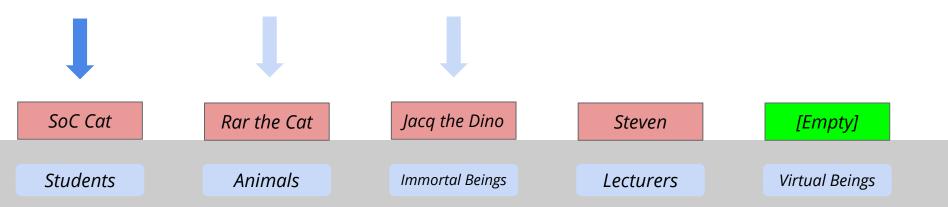
# **Open Addressing - Quadratic Probing**

If we used Quadratic Probing, "Jacq the Dino" will still end up here!



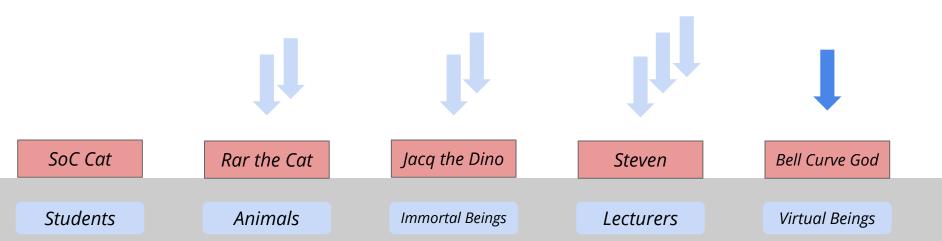
# **Open Addressing - Quadratic Probing**

But when "SoC Cat" comes, he will end up... here.



## **Open Addressing - Quadratic Probing**

But when "Bell Curve God" comes, he will keep checking... and checking .. and end up at the last empty slot after **18** tries....



We can also vary the order to check, based on another hash!

- Let x = hash2("Jacq the Dino") = 3
- hash2("SoC Cat") = 2
- hash2("Bell Curve God") = 4

 [Empty]
 Rar the Cat
 [Empty]
 Steven
 [Empty]

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 Animals
 Immortal Beings
 Lecturers
 Virtual Beings

We can also vary the order to check, based on another hash!

- Then we use the second hash value x, to vary our steps!
- Checks: base, base + x, base + 2x, ... etc



[Empty] Rar the Cat [Empty] Steven Jacq the Dino

Students Animals Immortal Beings Lecturers

ecturers Virtual Beings

"SoC Cat" with  $\mathbf{x} = 2$ 



"Bell Curve God" with  $\mathbf{x} = 4$ 

But his base slot is already empty.



SoC Cat

Rar the Cat

Bell Curve God

Steven

Jacq the Dino

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#### **Implementation**

We just need an array of elements.

However, the usual practice is to declare much more space than we need. (In general, >= 4 times :D)

Reduce time associated with repeatedly probing.

SoC Cat

Rar the Cat

Bell Curve God

Steven

Jacq the Dino

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#### **Implementation**

When deleting elements, we need to flag it as deleted instead.

Soc Cat

DEL

Bell Curve God

Steven

Jacq the Dino

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#### **Implementation**

To **find** an element, we follow the same *path* we took when we add the element.

Continue until we find the element...

Or until we see an empty slot.

SoC Cat

DEL

Bell Curve God

Steven

Jacq the Dino

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Virtual Beings

#### **Implementation**

During *find* operation, we cannot treat 'deleted' elements as empty. Why?



SoC Cat

DEL

Bell Curve God

Steven

Jacq the Dino

Students

Animals

Immortal Beings

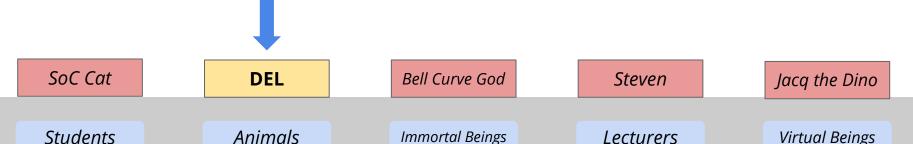
Lecturers

#### **Implementation**

#### Why?

Imagine if we want to find "SoC Cat" below.

We will stop at the first index if we don't check beyond the deleted marker.



#### **Implementation**

During *insert* operation,

We **can** overwrite the deleted markers.

Does not affect our *find* operation.



#### LP vs QP vs DH vs SC

#### **Open Addressing**

- Require deleted markers
- Inefficient if there are many deletions and insertions

#### **Separate Chaining**

Unable to fully utilize unused addresses/'slots'

### LP vs QP vs DH vs SC

# **Open Addressing**

- Can rehash every 2<sup>K</sup> operations (why?)
- More cache friendly (esp LP)
  - Spatial locality

# **Separate Chaining**

Easy to get obtain elements with same hash

# **Actual Implementations**

#### **C++**

- unordered\_map uses Separate Chaining
  - · Linear space to number of elements inside

#### Java

- Also uses Separate Chaining
  - Optimized to use Binary Search Tree within each slot if there are too many collisions

# **Hash Table Applications**

Key-Value Mappings

A *mini* population census is to be conducted on every person in your (*not so large*) neighbourhood.

We are only interested in storing every person's **name** and age.

→ age is Integer, name is String

Retrieve **age** by **name**.

Retrieve **name(s)** by **age**.

To retrieve **age** by **name**:

<Key, Value> : <name, age>

h(name): Standard string hashing method

https://visualgo.net/en/hashtable?slide=4-7

To retrieve **age** by **name**:

<Key, Value> : <name, age>

#### Collision resolution:

- Double Hashing
  - Unlikely to use up all the 'slots'

To retrieve **name(s)** by **age**:

<Key, Value> : <age, name>

h(age) = age

Direct Addressing Table (aka just-an-array)

To retrieve **name(s)** by **age**:

<Key, Value> : <age, name>

#### Collision resolution:

- Separate Chaining
  - · Already multiple values for the same *key*, no choice

A *much larger* population census is also conducted across the country.

We are only interested in storing every person's **name** and age.  $\rightarrow$  age is *Integer*, name is *String* 

Retrieve **name(s)** of people who are **X** = 17 years or older.

Retrieve **name(s)** of people who are **X** = 17 years or older:

- Age is likely to be from [0, 120]
- We can still use Direct Addressing Table
  - With separate chaining (like Q2 P1)
- Loop through all possible ages ≥ X

A *different* population census is conducted across the country.

We are only interested in storing every person's **name** and age.  $\rightarrow$  age is *Integer*, name is *String* 

However, we now want to retrieve **name and age** of people with a given **last name**.

To retrieve **name(s)** by **last name**:

<Key, Value> : < last name, person>

Person = pair of (name, age)

Collision resolution:

- Double Hashing (unlikely to have that many different last names)
- Separate Chaining

A grades management program stores a student's **index number** and his/her **final marks** in a module. There are 1,000,000 students, each scoring final marks in [0.0, 100.0].

Store **all** the student's performance.

Print the list of students in **ranking order** that are *more than 65.5.* 

#### Not really...

If

<Key, Value>: <index number, grades> ...

Then we cannot get list of students in ranking order, without looping through everything, and then sort.

#### Not really...

If <Key, Value>: <grades, index number> ...

To deal with issues with *floating points*, we can round grades to a certain precision (3 d.p.) and converting them to integer. Eg:  $98.234 \rightarrow 98234$ 

Iterating through all possible scores > 65.5 is still quite a lot.

# **Binary Search Trees**

Non-Balanced

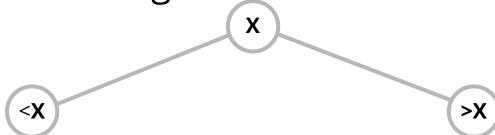
# **General Properties of BST**

#### Main Idea

For every vertex, **X**:

Left child is smaller than **X**.

Right child is larger than **X**.

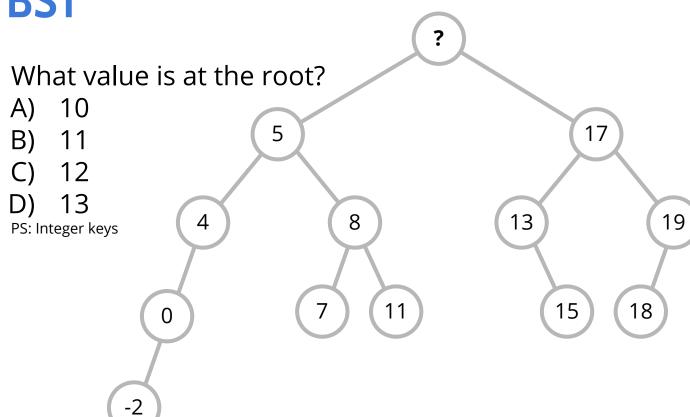


# **General Properties of BST**

A BST is also a *binary tree*.

All vertex has at most 2 children vertices.

A BST is **not** <u>always</u> a *complete* binary tree. Is a **b**BST <u>always</u> a *complete* binary tree?



What value is at the root?

PS: Integer keys

3 

# **General Properties of BST**

- Unique key
  - How would you handle duplicate keys?
  - Store frequency
    - Other ways exist (separate chaining?)

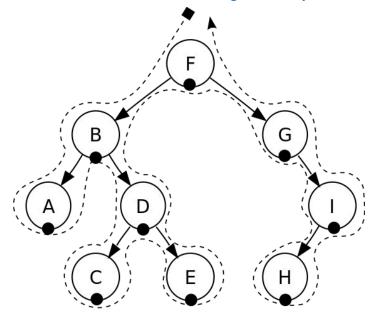
In-order traversal is in order

# Tree Traversals [Credits: SG IOI Training 2017]

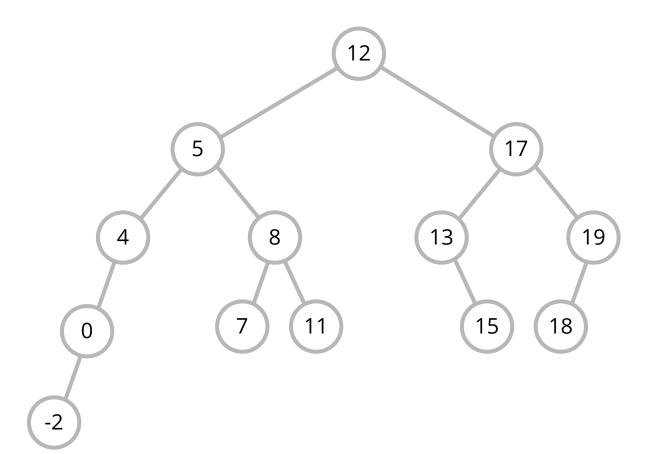
#### **In-order traversal**

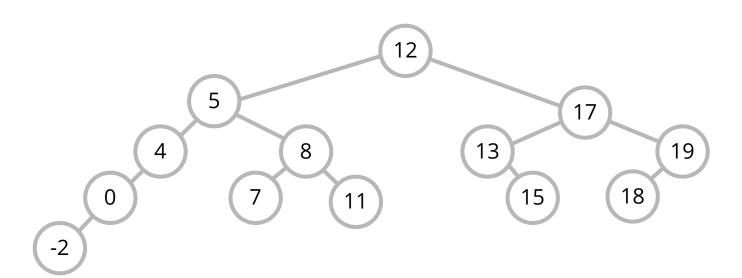
 Perform operations on the vertex after completing the left subtree, but before commencing the right subtree.

# In-order Traversal [Credits: SG IOI Training 2017, Wikipedia]



ABCDEFGHI







– Search(v) / Insert(v) / Remove(v)

Start from the root of the tree, "follow the path":

- If **v** is smaller than node, go left
- If **v** is larger than node, go right

Time complexity: **O(H)** 

https://visualgo.net/en/bst

When a node with 2 children is to be removed:

- It is replaced by its successor
- Remove the *successor* from its original place

Qn 1: Can it be replaced by its *predecessor*?

Qn 1: Can it be replaced by its *predecessor*?

Yes, this works as well.

Qn 2: What if there is no *successor*?

Qn 2: What if there is no *successor*?

Then it will be the maximum element of the BST.

The maximum element of the BST will **not** have 2 children.

Qn 3: What if the *successor* has 2 children?

Qn 3: What if the *successor* has 2 children? In short, this is not possible.

Qn 3: What if the *successor* has 2 children?

That means the successor has a left child and a right child.

The left child must be less than the *successor*.

Then it must be the deleted vertex itself!

Then the deleted vertex will have only left child.

- Find successor
  - Next highest key
  - · *Next* vertex of in-order traversal.

- Find successor
  - Next highest key
  - · *Next* vertex of in-order traversal.



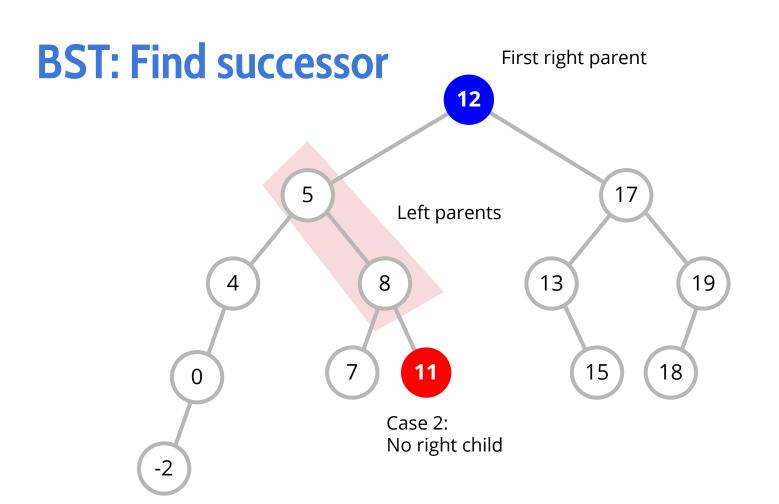
## **BST: Find successor**

#### 2 cases:

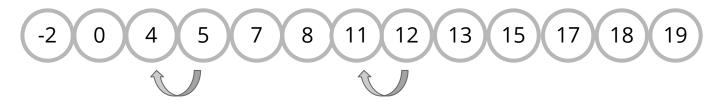
- 1. Has **right** child
  - a. **Left-most** vertex in **right** subtree

- 2. No **right** child
  - a. First **right** parent
  - b. What if there isn't a **right** parent?

**BST: Find successor** 12 Case 1: Has right child 17 Right subtree 13 8 19 4 15 18 Left-most vertex of right subtree -2



- Find predecessor
  - Next *lowest* key
  - · Previous vertex of in-order traversal.
- Inverse operation of successor



# **BST: Find predecessor**

#### 2 cases:

- 1. Has **left** child
  - a. **Right-most** vertex in **left** subtree

- 2. No **left** child
  - a. First **left** parent

**BST:** Find predecessor 12 Case 1: Has left child **17** Left subtree 13 8 19 4 15 18 Right-most vertex of left subtree -2

