# CS2040C Data Structures and Algorithms

List ADT and its implementations

#### Outline

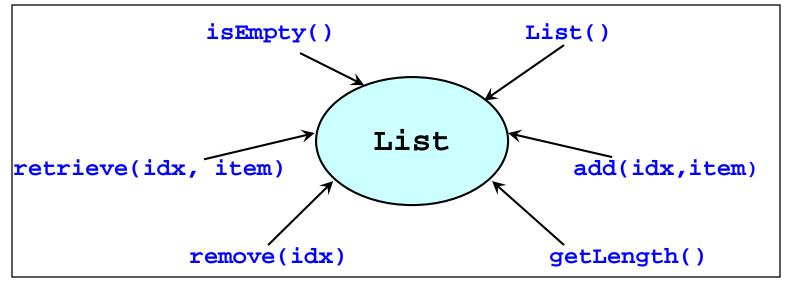
- List ADT
  - Specification
- Implementations for List ADT
  - Array Based
  - Linked List Based
    - Variations of Linked List
- Linked List in STL

# List ADT

Is your name on the guest list?

#### List ADT

- A sequence of items where positional order matters <a<sub>1</sub>, a<sub>2</sub>,..., a<sub>n-1</sub>, a<sub>n</sub>>
- Lists are very pervasive in computing
  - e.g. student list, list of events, list of appointments, etc.



idx: Position, integer
item: Data stored in list,

can be any data type

The list ADT

## List ADT: C++ Specification

```
A template class
template <typename T>
class List {
    public:
        List();
        bool isEmpty() const;
        int getLength() const;
        void insert(int index, const T& newItem)
            throw (SimpleException);
        void remove(int index)
                                                  Use the SimpleException
            throw (SimpleException);
                                                   class in previous lecture
        void retrieve(int index, T& dataItem) const
            throw (SimpleException);
    private:
        //Implementation dependent
       // See subsequent implementation slides
}; // end List class
```

#### Some design decisions

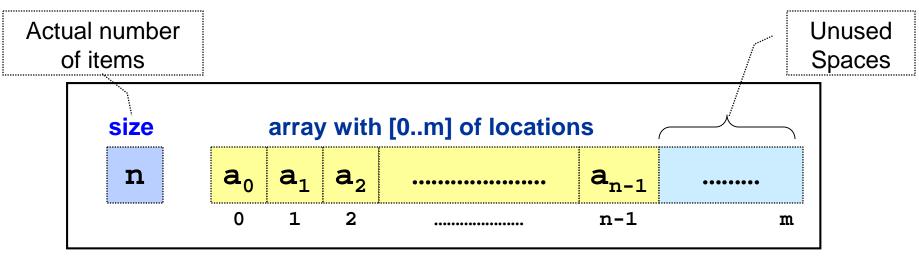
- Why template class?
  - List can be used on a wide range of data types
  - e.g. integer list, string list, even BankAcct list!
- Why exception?
  - Force the user of List class to handle wrong usage
- Why split the template class?
  - splitting the header + implementation highlights the specification and the implementation of an ADT (Need to include only the .cpp file in the user program)
- Alternatively, when you code a template class, you can combine the specification and implementation into a single .h file (inclusion model)

(user only has to include the .h file)

# List ADT using Array

## Implement List ADT: Using Array

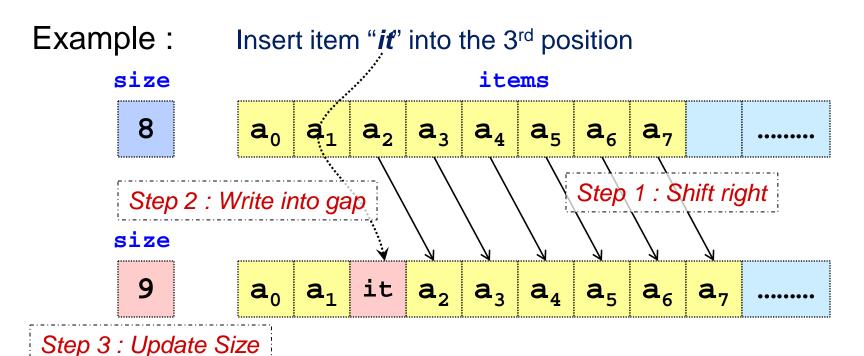
- Array is a prime candidate for implementing the ADT
  - Simple construct to handle a collection of items
- Advantage:
  - Very fast retrieval



Internal of the list ADT, Array Version

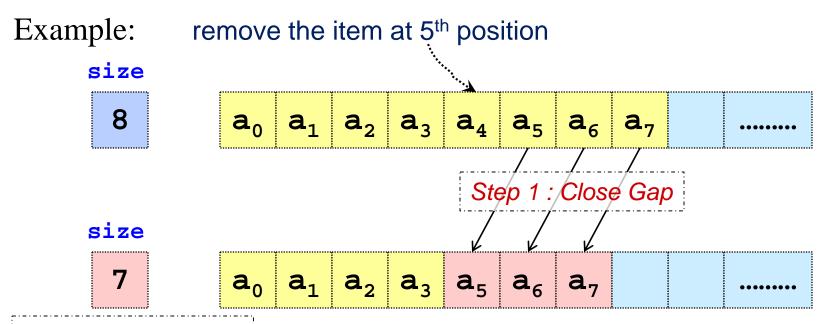
#### Insertion: Using Array

- Simplest Case: Insert to the end of array
- Other Insertions:
  - Some items in the list need to be shifted
  - Worst case: Inserting at the head of array



#### Deletion: Using Array

- Simplest Case: Delete item from the end of array
- Other deletions:
  - Items need to be shifted
  - Worst Case: Deleting at the head of array



Step 2 : Update Size

## List ADT (Array): C++ Specification

```
const int MAX LIST = 50;
                                                 Maximum number of items
template <typename T>
class List {
public:
   List();
  bool isEmpty() const;
   int getLength() const;
   void insert(int index, const T& newItem)
                                                      Methods from slide 5.
       throw (SimpleException);
                                                          No change.
   void remove(int index)
       throw (SimpleException;
   void retrieve(int index, T& dataItem) const
       throw (SimpleException);
private:
                                                  Items stored in an array
   T items[MAX LIST];
   int size;
                                                     Number of items
}; // end List class
```

#### Implement List ADT (Array): 1/4

```
#include "ListA.h"
template <typename T>
List<T>::List()
    size = 0;
template <typename T>
bool List<T>::isEmpty() const
    return _size == 0;
template <typename T>
int List<T>::getLength() const
    return _size;
```

This syntax indicates that isEmpty() method belongs to the template class List<T>

ListA.cpp

## Implement List ADT (Array): 2/4

```
template <typename T>
void List<T>::retrieve(int userIdx, T& dataItem) const
   throw (SimpleException)
                                   User counts from 1 to N, but array indices
    int index = userIdx - 1;
                                     range from 0 to N-1. Need to convert.
    if ((index >= 0) && (index < _size) )</pre>
                                                     Index is within range
         dataItem = _items[index];
    else
                                                     Out of range index
         throw SimpleException("Bad Index!");
```

- Exception message should be more meaningful:
  - e.g. "Bad index in retrieve() method"
  - A shorter message is used to conserve slide space

## Implement List ADT (Array): 3/4

```
template <typename T>
void List<T>::insert( int userIdx, const T& newItem )
   throw (SimpleException)
    int index = userIdx - 1;
    if ( size >= MAX LIST)
        throw SimpleException("List is full in insert()!");
    if ( (index>=0) && (index < size + 1) ) {
        for (int pos = size-1; pos >= index; --pos) Shift item(s)
            items[pos+1] = items[pos];
                                                       to the right
        items[index] = newItem;
        ++ size;
    } else
        throw SimpleException("Bad Index in insert()!");
```

ListA.cpp

## Implement List ADT (Array): 4/4

```
template <typename T>
void List<T>::remove( int userIdx )
    throw (SimpleException)
    int index = userIdx - 1;
    if ((index >= 0) && (index < _size)){</pre>
        for (int pos = index; pos < _size-1; ++pos) Shift item(s)</pre>
                                                          to the left
             _items[pos] = _items[pos+1];
        -- size;
                   decrease size
    } else
        throw SimpleException("Bad Index in remove()!");
                                                    ListA.cpp
```

# List ADT: Sample User Program 1/2

```
#include <iostream>
#include "ListA.cpp"
int main()
    List<int> intList; A list of integers
    int rItem;
           Prepare to catch exceptions
        intList.insert(1, 333);
                                     Several insertions
        intList.insert(1, 111);
                                        to try out the
        intList.insert(3, 777);
                                     insert() method
        intList.insert(3, 555);
        intList.retrieve(1, rItem);
        cout << "First item is " << rItem << endl;</pre>
        intList.retrieve( intList.getLength() , rItem);
        cout << "Last item is " << rItem << endl;</pre>
```

# List ADT: Sample User Program 2/2

```
//continue from previous slide
    intList.remove(1);
                                                Several deletions to
                                                    try out the
    intList.remove(2);
                                                remove() method
    intList.remove( intList.getLength() );
    intList.retrieve(1, rItem);
    cout << "First item is " << rItem << endl;</pre>
    intList.retrieve( intList.getLength() , rItem);
    cout << "Last item is " << rItem << endl;</pre>
} catch (SimpleException sExcpt) {
    cout << sExcpt.getMessage() << endl;</pre>
```

- Not a very exciting program ©
  - intended to test the ADT implementation

#### Efficiency (time) of Array Implementation

#### Retrieval:

Fast: one access

#### Insertion:

- Best case: No shifting of elements
- Worst case: Shifting of all N elements

#### Deletion:

- Best case: No shifting of elements
- Worst case: Shifting of all N elements

#### Efficiency (space) of Array Implementation

Size of array is restricted to MAX\_LIST

#### Problem:

- Maximum size is not known in advance
  - MAX\_LIST is too big => unused space is wasted
  - MAX\_LIST is too small => run out of space easily

#### Solution:

- Make MAX\_LIST a variable
- When array is full:
  - Create a larger array
  - 2. Move the elements from the old array to the new array
- No more limits on size, but space wastage and copying overhead is still a problem

## Observations about Array

- For fixed-size collections
  - Arrays are great

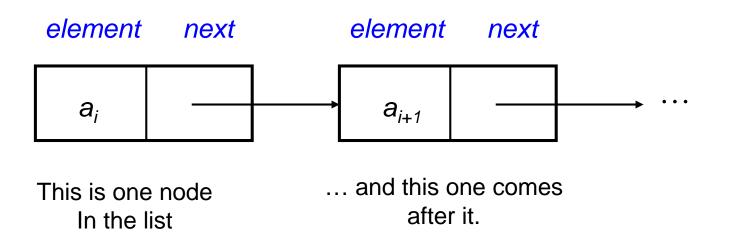
- For variable-size collections, where dynamic operations such as insert/delete are common
  - Array is a poor choice of data structure

For such applications, there is a better way.....

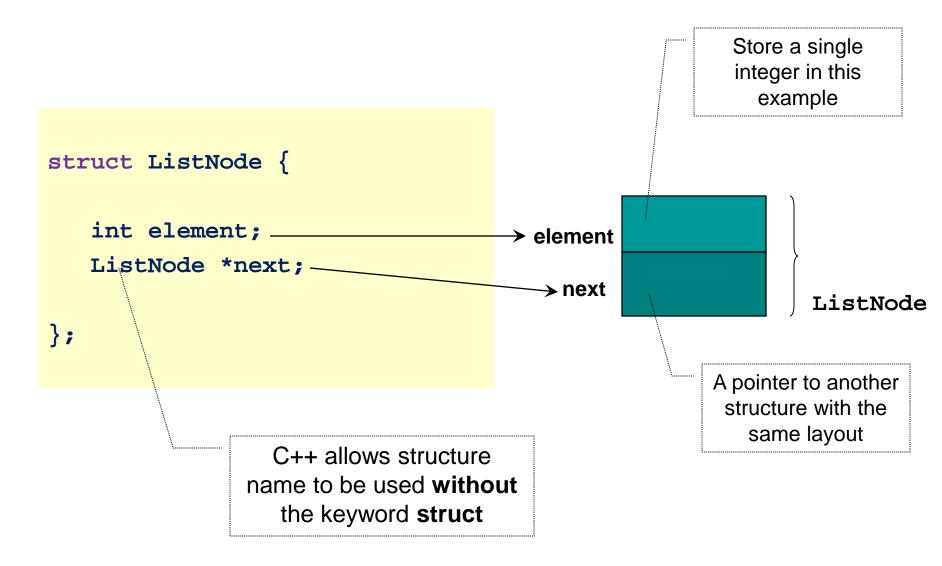
# List ADT using Linked List

#### Implement List ADT using Linked List

- Pointer Based Linked List:
  - Allow elements to be non-contiguous in memory
  - Order the elements by associating each with its neighbour(s) through pointers

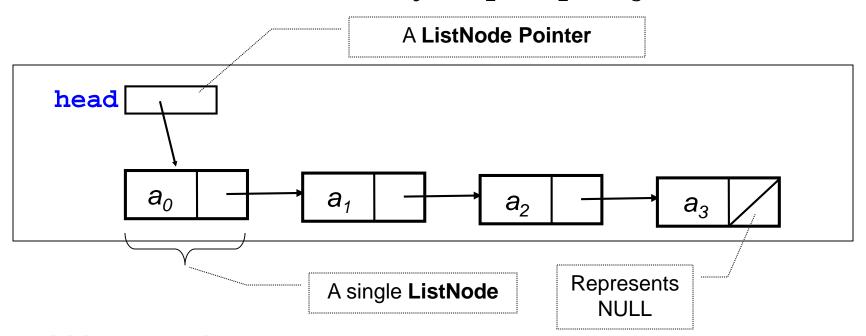


# A single node in the Linked List



#### An example of a Linked List

List of four items  $< a_0, a_1, a_2, a_3 >$ 



- We need:
  - head pointer to indicate the first node
  - NULL in the next pointer field of last node

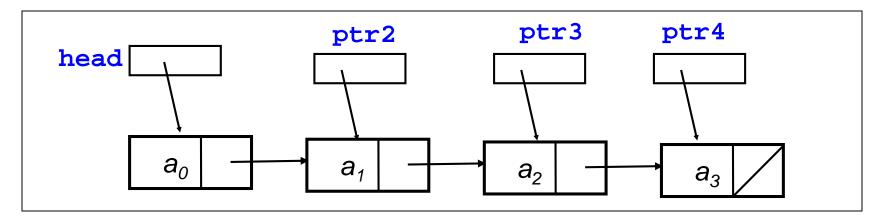
## Building a Linked List: An Example

The previous list can be built with the following code

```
ListNode* ptr4 = new ListNode;
ptr4 ->element = a<sub>3</sub>;
ptr4 -> next = NULL;

ListNode* ptr3 = new ListNode;
ptr3 ->element = a<sub>2</sub>;
ptr3 -> next = ptr4;
```

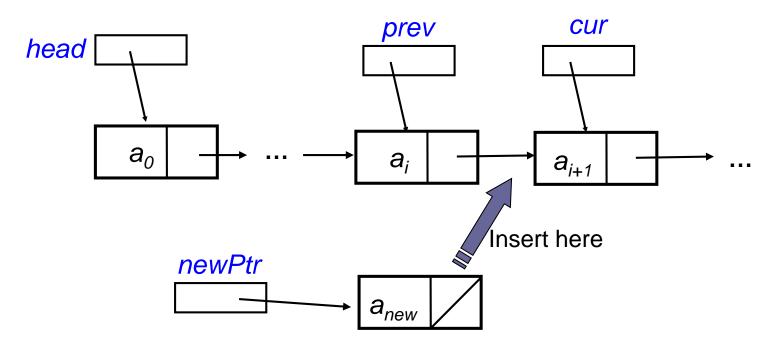
```
ListNode* ptr2 = new ListNode;
ptr2 ->element = a<sub>1</sub>;
ptr2 -> next = ptr3;
ListNode* head = new ListNode;
head ->element = a<sub>0</sub>;
head -> next = ptr2;
```



Do we need ptr2, ptr3, ptr4 after the list is built?

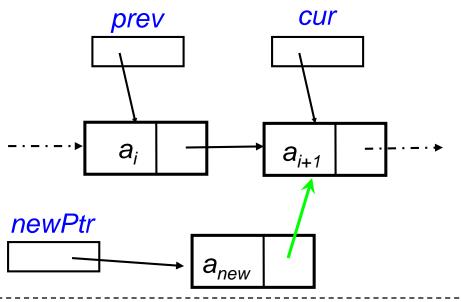
#### Insertion: Using Linked List

- Assume we have the following:
  - newPtr pointer:
    - Pointing to the new node to be inserted
  - prev, cur pointers:
    - Pointing to two consecutive nodes respectively
    - The new node is to be inserted in between



## Insertion: Using Linked List

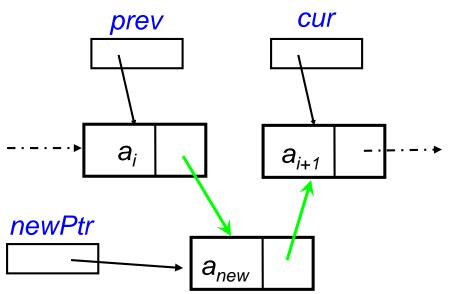
# Step 1: newPtr->next = cur; OR newPtr->next = prev->next;



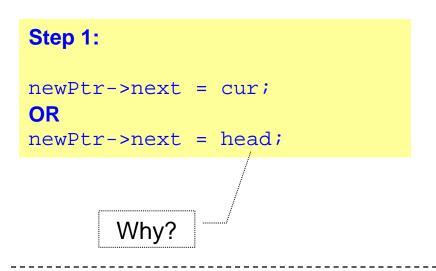
#### Step 2:

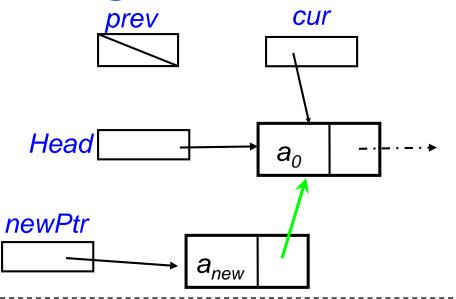
prev->next = newPtr;

**Question:** Can we do insertion without the cur pointer?



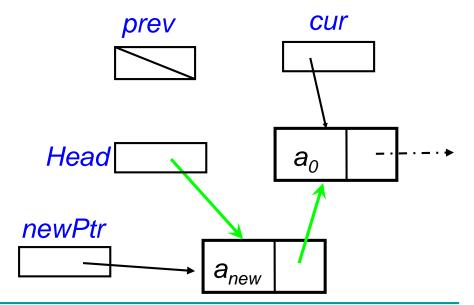
## Insertion at Head: Using Linked List





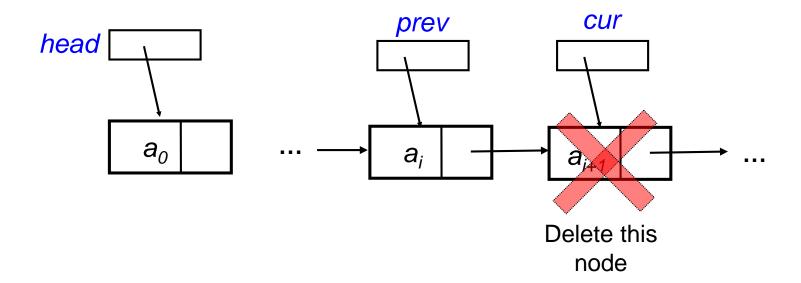
#### Step 2:

head = newPtr;



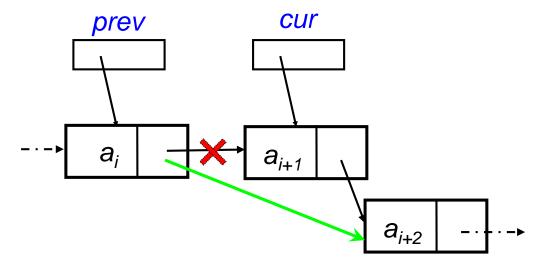
## Deletion: Using Linked List

- Assume we have the following:
  - prev, cur pointers:
    - Pointing to two consecutive nodes respectively
    - cur points to the node to be deleted



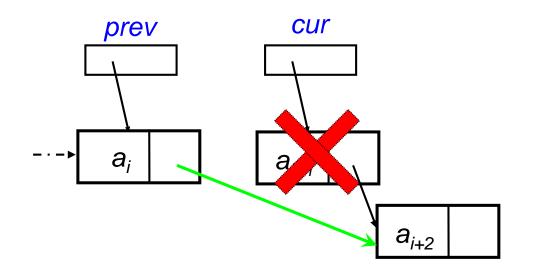
#### Deletion: Using Linked List

# Step 1: prev->next = cur->next;



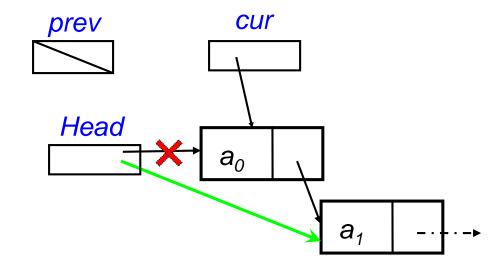
#### Step 2:

delete cur;
cur = NULL;



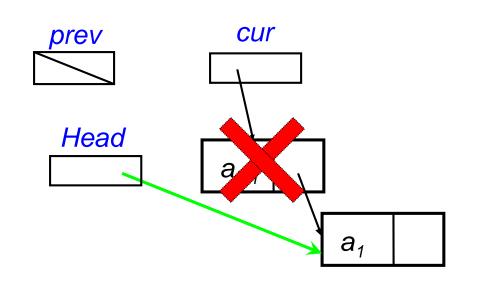
# Deletion at Head: Using Linked List

# Step 1: head = cur->next OR head = head->next;



#### Step 2:

delete cur;
cur = NULL;



## Setting up **prev** and **cur** pointers

- We need a method to move along the list (traverse the list)
  - Set up prev and cur pointers
  - Stop when cur points to the target node
- Target node can be indicated by:
  - Index : Stop at Index<sup>th</sup> node
  - Value: Stop at node with a particular value
- Pseudo-code for both versions will be discussed
- We will use the *Index method* for performing the traversal for implementation List ADT:
  - □ Index are provided for insert(), remove(), retrieve()

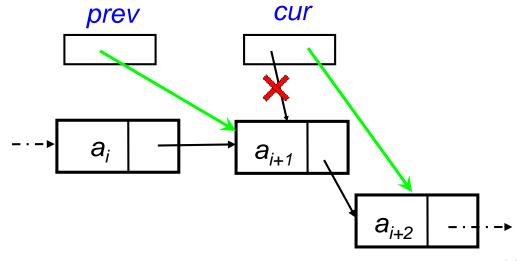
#### Traversing the Linked List

To move forward one node

# Step 1: prev = cur; $a_i \qquad a_{i+1}$

#### Step 2:

cur = cur->next;



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# Traversing the Linked List using Index

```
ListNode* find(int index) const
/* Purpose: Return pointer to Index'th node in list */
/* Note: Not actual C++ code */
   ListNode *prev, *cur;
   prev = NULL;
   cur = Head of List;
  make sure 1 <= index <= length of list
   for (I = 1; I < index; I++){
        prev = cur;
        cur = next;
   return cur;
```

#### Question:

Do we need prev in this case?

# Traversing the Linked List using Value

```
ListNode* findValue( int value ) const
/* Purpose: Return pointer to a node with value indicated in
  list */
/* Note: Not actual C++ code */
   ListNode *prev, *cur;
   prev = NULL;
   cur = Head of List;
   while (not found AND cur is not NULL) {
        if ( cur->element == value ){
            found = true;
        } else {
            prev = cur;
            cur = next;
   return cur;
```

#### List ADT (Linked List): C++ Specification 1/2

```
template <typename T>
class List {
    public:
                                                          Destructor declared
         List();
         ~List();
         bool isEmpty() const;
        int getLength() const;
        //The three main operations of List ADT
        void insert(int index, const T& newItem)
            throw (SimpleException);
                                                          Methods from slide 5.
                                                              No change.
       void remove(int index)
            throw (SimpleException);
       void retrieve(int index, T& dataItem) const
            throw (SimpleException);
   //... more declarations on next slide ...
```

ListP.h

#### List ADT (Linked List): C++ Specification 2/2

```
//... continued from previous page
private:
                                                    Structure declaration can
    struct ListNode {
                                                          be private
        T item;
                          //note the "T"
         ListNode *next;
    };
                                                        Number of items
    int size;
                                                     Pointer to the linked list
    ListNode* head;
    ListNode* find(int index) const;
                                                    To locate a node given the
                                                            index
                                                    This is also an example of
}; // end List class
                                                        private method.
```

ListP.h

#### Implement List ADT (Linked List): 1/8

```
template <typename T>
List<T>::List()
{ _size = 0;
   head = NULL;
template <typename T>
List<T>::~List()
   while (!isEmpty())
        remove(1);
template <typename T>
bool List<T>::isEmpty() const
     return size == 0;
template <typename T>
int List<T>::getLength() const
     return _size;
```

We need a destructor to return each node to the system.

ListP.cpp

#### Implement List ADT (Linked List): 2/8

```
template <typename T>
typename List<T>::ListNode* List<T>::find(int index) const
    if ( (index < 1) | (index > getLength()) )
        return NULL;
    else // count from the beginning of the list.
        ListNode*cur = head;
        for (int skip = 1; skip < index; ++skip)</pre>
            cur = cur->next;
        return cur;
      // end if
                                                 ListP.cpp
```

The next slide explains the syntax used above

#### Implement List ADT (Linked List): 3/8

```
template <typename T>

typename List<T>::ListNode* List<T>::find(int index) const
{
    //.. Body Not Shown
}
```

This is the return type of **find()** method. **List<T>::** is needed because **ListNode** is a **private declaration** in template class **List**.

typename is required to inform the compiler that List<T>::ListNode is a datatype

Normal syntax to indicate find() is a method in template class List<T>

So, the above simply means:

```
ListNode* find(int index) const
{    ...
```

#### Implement List ADT (Linked List): 4/8

```
template <typename T>
void List<T>::retrieve(int userIdx, T& dataItem) const
    throw (SimpleException)
   if ( (userIdx < 1) || (userIdx > getLength()) )
      throw SimpleException("Bad Index in retrieve()");
   else { // get pointer to node, then data in node
      ListNode *cur = find(userIdx);
                                          Use the find()
                                             method
      dataItem = cur->item;
```

ListP.cpp

#### Implement List ADT (Linked List): 5/8

```
template <typename T>
void List<T>::insert(int userIdx, const T& newItem)
   throw (SimpleException)
    int newLength = getLength() + 1;
    if ( (userIdx < 1) | | (userIdx > newLength) )
        throw SimpleException("Bad Index in insert()");
    else {
        ListNode *newPtr = new ListNode;
                                                 Allocate a new
        size = newLength;
                                               node and initialize
                                                   the value
        newPtr->item = newItem;
    //Continue on next slide
```

## Implement List ADT (Linked List): 6/8

```
//continued from previous slide
// attach new node to list
                                                 Special Case: Insert at
    if (userIdx == 1) {
                                                  head. See Slide 28
        newPtr->next = head;
        head = newPtr;
    } else {
                                                  General Case: Other
                                                 insertions. See Slide 27
        ListNode *prev = find(userIdx-1);
        newPtr->next = prev->next;
        prev->next = newPtr;
} //end if
```

ListP.cpp

## Implement List ADT (Linked List): 7/8

```
template <typename T>
void List<T>::remove(int userIdx)
    throw (SimpleException)
    ListNode *cur;
    if ( (userIdx < 1) | | (userIdx > getLength()) )
        throw SimpleException("Bad index in remove()");
    else {
        -- size;
                     Reduce size
        /* continue on next slide */
```

#### Implement List ADT (Linked List): 8/8

```
//Continued from previous slide
 if (userIdx == 1) {
     cur = _head; // save pointer to node
     head = head->next;
 } else {
     ListNode *prev = find(userIdx - 1);
     cur = prev->next; // save pointer to node
     prev->next = cur->next;
    // end if
 cur->next = NULL;
                       Free memory
 delete cur;
                       space pointed
                       by cur pointer
 cur = NULL;
// end if
```

Special Case: Delete at head. See slide 31.

General Case:
Other deletions.
See slide 30.

ListP.cpp

# Linked List Variations

#### Variations on Linked List

- The linked list implementation shown is known as singly linked list
  - Each node has one pointer (a single link)
- Many other variations
  - Doubly Linked List
  - Circular Linked List
  - Dummy Head Node
  - Tailed Linked List
  - □ Etc.
- Some variations can be combined:
  - Circular Doubly-Linked List
  - Circular Linked List with Dummy Head Node

etc

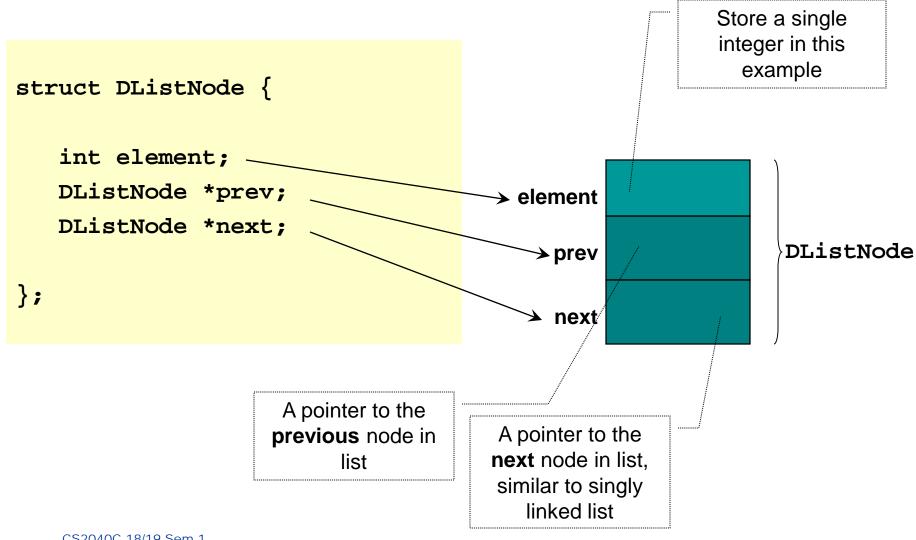
# Doubly Linked List

Two is better than one

#### Doubly Linked List: Motivation

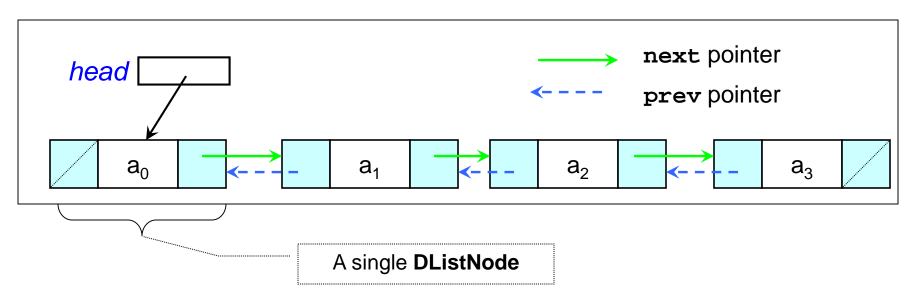
- Singly Linked List only facilitates movement in one direction (from head to end of list)
  - Can get to next node in list easily
  - Cannot go to the previous node
  - The last node takes the longest time to reach
- Doubly Linked List facilitates movement in both directions
  - Can get to next node in list
  - Can get to previous node in list
  - Simplifies most of the methods

## A single node in Doubly Linked List



## An example of Doubly Linked List

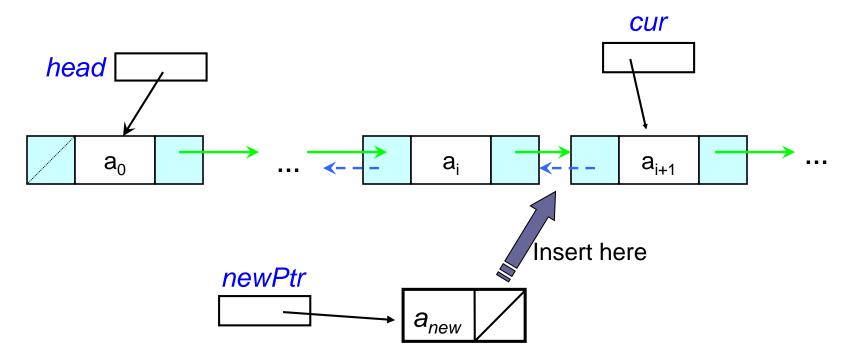
List of four items  $< a_0, a_1, a_2, a_3 >$ 



- We need:
  - head pointer to indicate the first node
  - NULL in the prev pointer field of first node
  - NULL in the next pointer field of last node

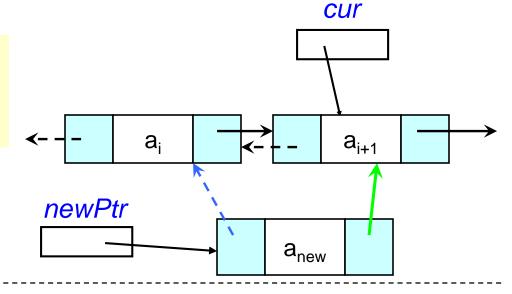
## Insertion: Using Doubly Linked List

- Assume we have the following:
  - newPtr pointer:
    - Pointing to the new node to be inserted
  - cur pointers:
    - The new node is to be inserted before this node



## Insertion: Using Doubly Linked List

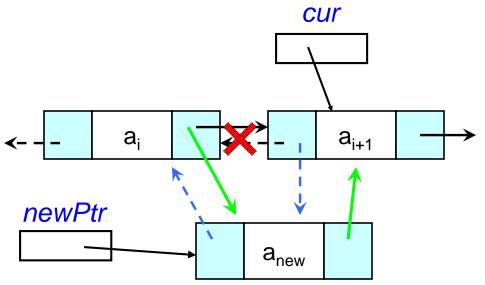
# Step 1: newPtr->next = cur; newPtr->prev = cur->prev;



#### Step 2:

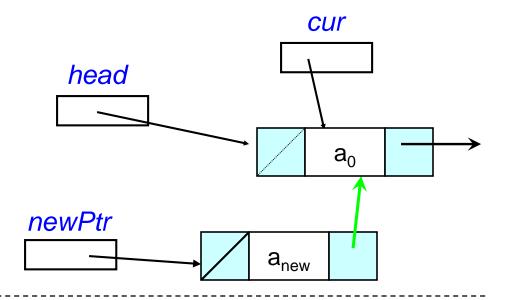
cur->prev->next = newPtr;
cur->prev = newPtr;

Any other alternatives?



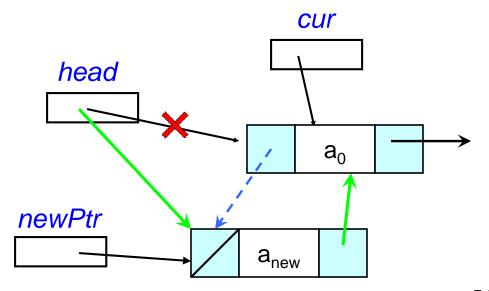
#### Insertion at Head: Using Doubly Linked List

# Step 1: newPtr->next = cur; newPtr->prev = NULL; Question: How do you know cur == head?



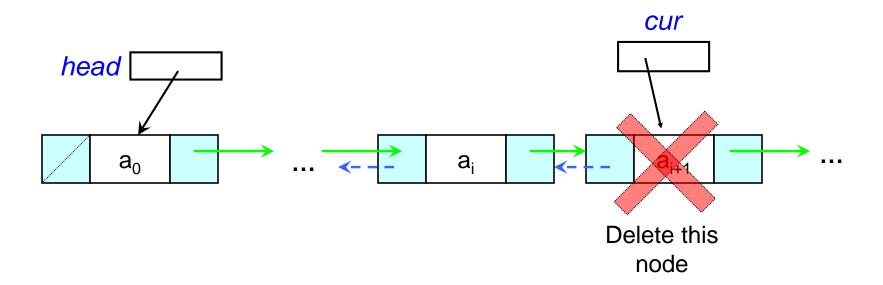
#### Step 2:

```
cur->prev = newPtr;
head = newPtr;
```



## Deletion: Using Doubly Linked List

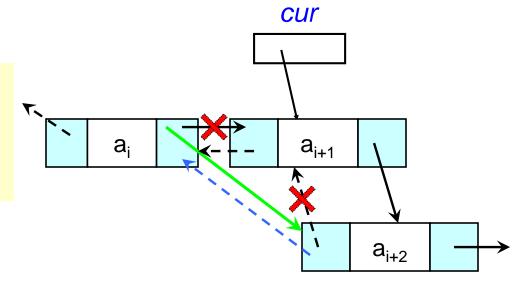
- Assume we have the following:
  - **cur** pointer:
    - Points to the node to be deleted



## Deletion: Using Doubly Linked List

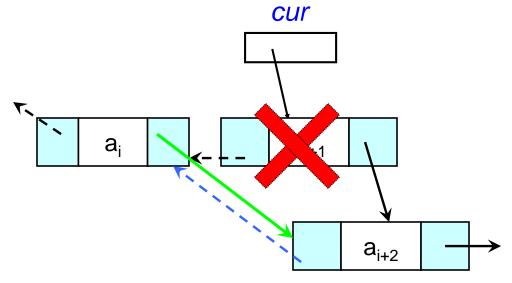
#### Step 1:

```
cur->prev->next = cur->next;
cur->next->prev = cur->prev;
```



#### Step 2:

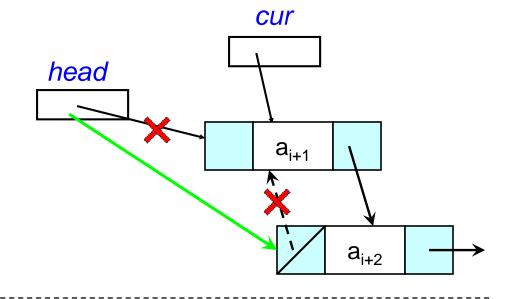
delete cur;
cur = NULL;



#### Deletion at head: Using Doubly Linked List

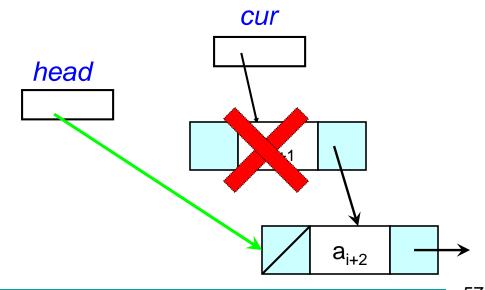
#### Step 1:

```
head = cur->next;
cur->next->prev = NULL;
```



#### Step 2:

```
delete cur;
cur = NULL;
```



#### List ADT (Doubly Linked List): C++ Specification

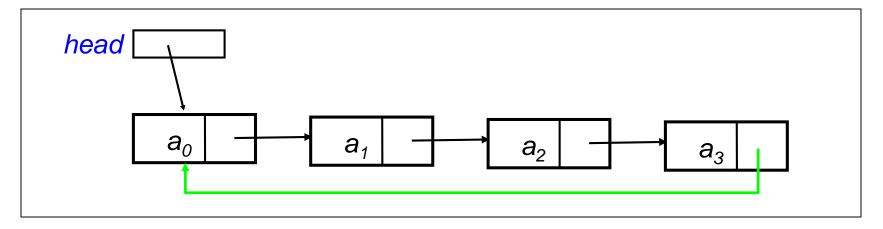
```
//ListDLL.h: List ADT using Doubly Linked List
template <typename T>
class List {
public:
   /* Similar to List ADT using Linked List */
   /* other methods not shown */
private:
   struct DListNode {
                                                    New Structure
       T item;
       DListNode *prev;
       DListNode *next;
   };
   int size;
   DListNode *head;
                                                 Slight change in type
   DListNode* find(int index) const;
}; // end List class
```

# Circular Linked List

Go round and round

#### Circular Linked List

- Just a simple addition:
  - The last node in singly linked list points back to the first node



- There is no need to change the ListNode structure at all
- There is no NULL anywhere in the list

#### Circular Linked List: Motivation

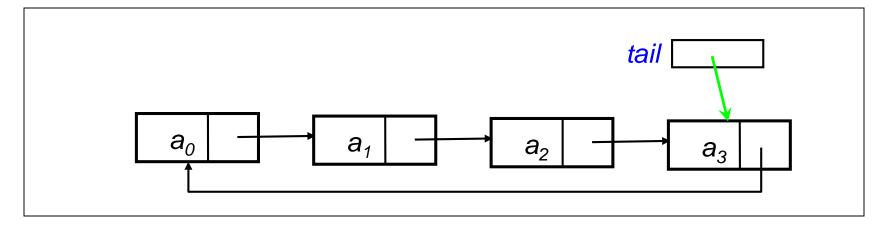
- Useful when we need to repeatedly go through the list
  - "Real World" example: Suppose your TA needs to repeatedly go through the name list until every student has attempted
- How do we know we have passed through the list once (i.e. visited every node)?

```
cur = head
do {
    visit the node cur points to
    cur = cur->next;
} while (cur != head);
```

Simple solution as long as the list is not empty

#### Circular Linked List: Motivation

Even more useful if we keep track of the tail of the list instead of the head:



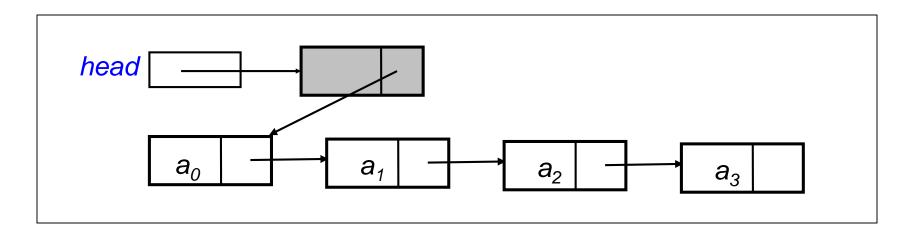
- Can access both the tail and head easily:
  - ... how do we get the head?

# Dummy Head Node

There is a dummy at the head!!

## Dummy Head Node

- There is an extra node at the beginning of the list:
  - It is **not used** to store real element, hence the name **dummy**
  - Simplify the insert(), remove() such that there is no more special case



# Linked List in STL

You mean I don't need to code?!?!

#### Linked List in STL

- Linked List has a standard implementation in STL
- Header File:

```
#include <list>
```

- Basic Idea:
  - Uses iterator to refer to locations in the linked list
  - Provide a standard set of manipulation methods
    - insert(), erase()etc for adding/removing items
    - front(), back() etc for accessing items
    - begin(), end() etc to give access to well defined iterators
    - Refer to reference text or website for more details

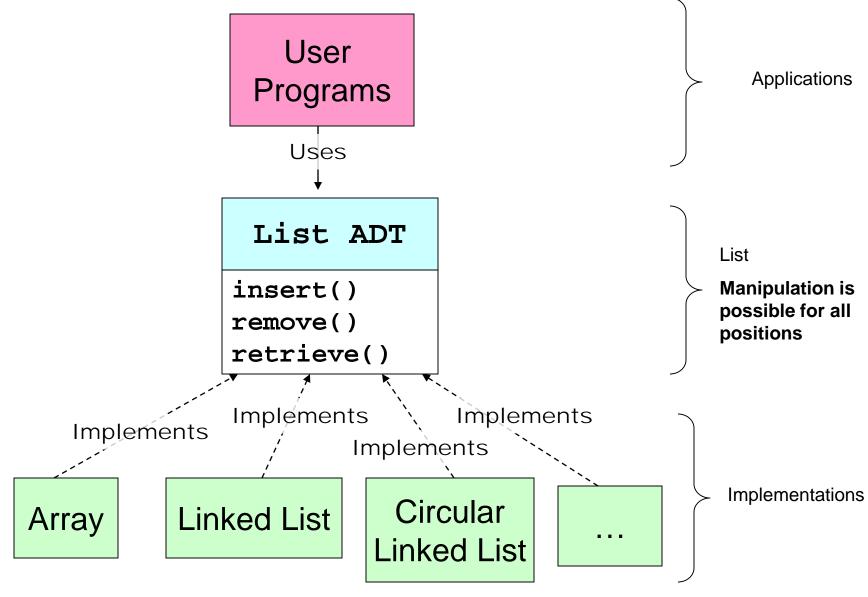
## STL List: Simple Example

```
#include <list>
int main()
                         d1 is a linked list of double values
   list<double> dl;
                                                     insert at head
   dl.insert( dl.begin(), 3.14 );
   dl.insert( dl.begin(), 1.23 );
                                                     insert infront of 3.14
   list<double>::iterator li = dl.begin();
                                                     Use iterator to access items
   cout << *li << endl;</pre>
   li++;
   cout << *li << endl;</pre>
                                                         Output:
   return 0;
                                                         1.23
                                                         3.14
```

#### References

- Carrano's Book
  - Chapter 3
    - List ADT and array based implementation
  - Chapter 4
    - Linked List and STL list

#### Summary



#### Summary

- List ADT
  - Usage
  - Specification
- Implementation of List ADT
  - Array Based
    - Pros and Cons
  - Linked List Based
    - Pros and Cons
    - Variations of Linked List
- STL List