

CS2020

# Data Structures and Algorithms

**Welcome!**

# Coding Quiz

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- Date: March 10 / 11 / 13
  - Administered during your Discussion Group
  - Location: iCube 3-45/3-47
  - Do not skip Discussion Group next week.
- Practice problems:
  - See posted sample problems
  - See last year's Coding Quiz
  - Talk to your tutor.
  - If you want more practice problems, ask.

# Administrative

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## Advice:

- Coding under time pressure is hard.
  - Don't rush: read the problem carefully.
  - Don't rush: plan before you code.
  - Document your code as you go.
  - Don't get stuck if something doesn't work.
- Use your time wisely.

# Administrative

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## Advice:

- Test your solution
  - Working code is important.
  - Test “corner-cases.”
- Several possible solutions
  - First, ignore efficiency.
  - Develop a solution that works.
  - Test it. Test it. Test it.
  - Then, improve the efficiency.

# Administrative

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## Advice:

- Use good coding style
  - Deductions for code that is badly formatted
- Explain your solution
  - Credit for well-documented code.

# Administrative

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## Advice:

- Don't submit code that does not even compile!

If you can not solve the problem correctly, then submit simple code that solves the problem simply.

# Today: Data Structures

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# Last time...

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Binary search trees

Dictionaries (Abstract Data Type)

Balanced search trees

AVL trees



# Dynamic Data Structures

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1. Maintain a set of items
2. Modify the set of items
3. Answer queries.

# Dynamic Data Structures

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- Operations that create a data structure
  - build (preprocess)
- Operations that modify the structure
  - insert
  - delete
- Query operations
  - search, select, etc.

# Example: QuestionTree

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- Operations that create a data structure
  - `buildTree(Objects[])`
- Operations that modify the structure
  - `insert`
  - `delete`
- Query operations
  - `findQuery`

# What are trees good for?

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- Symbol tables
  - insert, delete, search
- Dictionaries
  - insert, delete, search, successor, predecessor
- Bags, Heaps, etc.

# Augmented Data Structures

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Many problems require storing additional data in a standard data structure.

Augment more frequently than invent...

# Today

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Three examples of augmenting balanced BSTs

1. Order Statistics
2. Interval Queries
3. Orthogonal Range Searching

# Augmenting data structures

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## Basic methodology:

1. Choose underlying data structure  
(tree, hash table, linked list, stack, etc.)
2. Determine additional info needed.
3. Verify that the additional info can be maintained as the data structure is modified.  
(subject to insert/delete/etc.)
4. Develop new operations using the new info.

# Order Statistics

---

Input

A set of integers.

Output: `select(k)`

The  $k^{\text{th}}$  item in the set.

52	7	13	43	22	92	18	9	65	67	87	25
----	---	----	----	----	----	----	---	----	----	----	----



`select(4)`



select(1) returns:

52	7	13	43	22	92	18	9	65	67	87	25
----	---	----	----	----	----	----	---	----	----	----	----

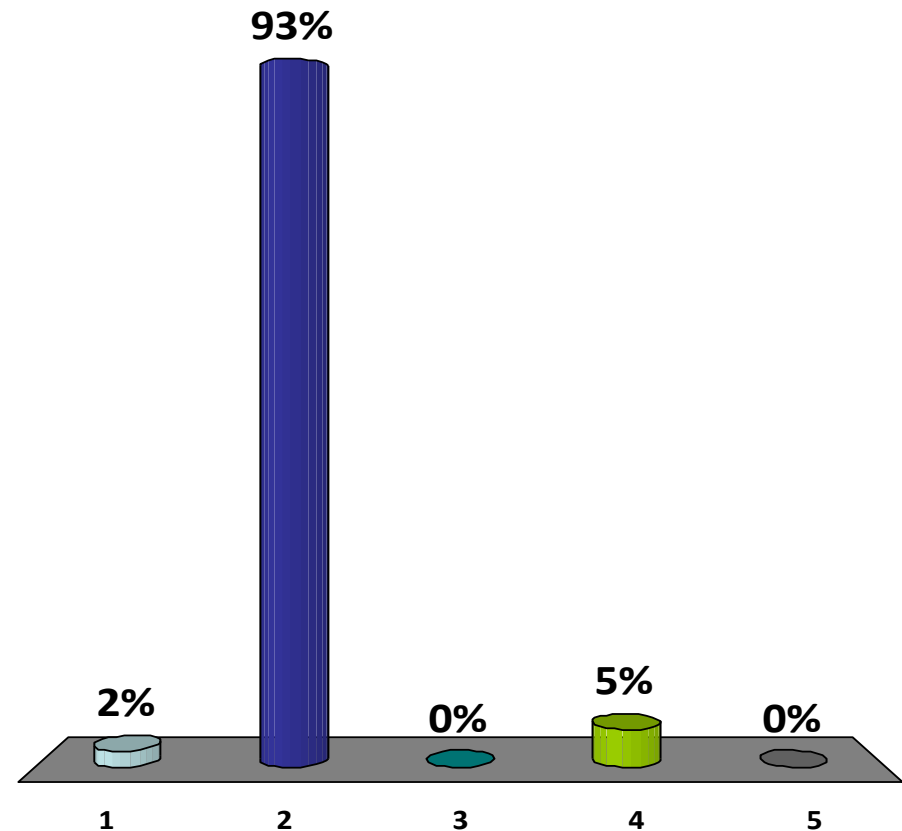
1. 52

✓ 2. 7

3. 13

4. 43

5. 25



# Order Statistics

---

Input

A set of integers.

Output: `select(k)`

The  $k^{\text{th}}$  item in the set.

52	7	13	43	22	92	18	9	65	67	87	25
----	---	----	----	----	----	----	---	----	----	----	----



`select(4)`

# Order Statistics

---

Input

A set of integers.

Output: `select(k)`

The  $k^{\text{th}}$  item in the set.

7	9	13	18	22	25	43	52	65	67	87	92
---	---	----	----	----	----	----	----	----	----	----	----



`select(4)`

# Order Statistics

---

Input

A set of integers.

Output:  $\text{select}(k)$   $\longrightarrow$  Sort:  $O(n \log n)$

The  $k^{\text{th}}$  item in the set.

7	9	13	18	22	25	43	52	65	67	87	92
---	---	----	----	----	----	----	----	----	----	----	----



$\text{select}(4)$

# Order Statistics

---

Input

A set of integers.

Output:  $\text{select}(k)$   $\longrightarrow$  QuickSelect:  $O(n)$

The  $k^{\text{th}}$  item in the set.

7	9	13	18	22	25	43	52	65	67	87	92
---	---	----	----	----	----	----	----	----	----	----	----



$\text{select}(4)$

# Order Statistics

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Solution 1:

Sort:  $O(n \log n)$

Solution 2:

QuickSelect:  $O(n)$

7	9	13	18	22	25	43	52	65	67	87	92
---	---	----	----	----	----	----	----	----	----	----	----



select(4)

# Order Statistics

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## Solution 1:

Preprocess: sort ---  $O(n \log n)$

Select:  $O(1)$

## Solution 2:

Preprocess: nothing ---  $O(1)$

QuickSelect:  $O(n)$

# Dynamic Data Structures

---

- Operations that create a data structure
  - build (preprocess)
- Operations that modify the structure
  - insert
  - delete
- Query operations
  - search, select, etc.



# Dynamic Order Statistics

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Implement a data structure that supports:

- insert(int key)
- delete(int key)

and also:

- select(int k)

7	9	13	18	22	25	43	52	65	67	87	92
---	---	----	----	----	----	----	----	----	----	----	----



select(4)

# Dynamic Order Statistics

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Solution 1:

**Basic structure:** sorted array A.

**insert(int item):** add item to sorted array A.

**select(int k):** return  $A[k]$

7	9	13	18	22	25	43	52	65	67	87	92
---	---	----	----	----	----	----	----	----	----	----	----

# Dynamic Order Statistics

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Solution 1:

**Basic structure:** sorted array A.

**insert(int item):** add item to sorted array A.

- $O(n)$  time

**select(int k):** return  $A[k]$

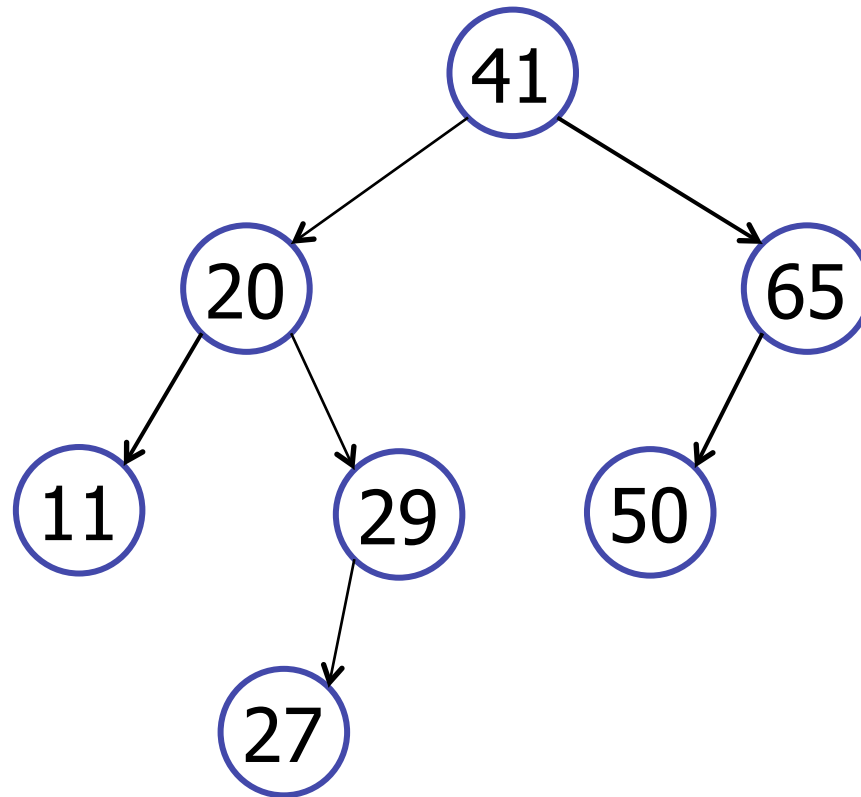
- $O(1)$  time

7	9	13	18	22	25	43	52	65	67	87	92
---	---	----	----	----	----	----	----	----	----	----	----

# Dynamic Order Statistics

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Solution 2: use a (balanced) tree

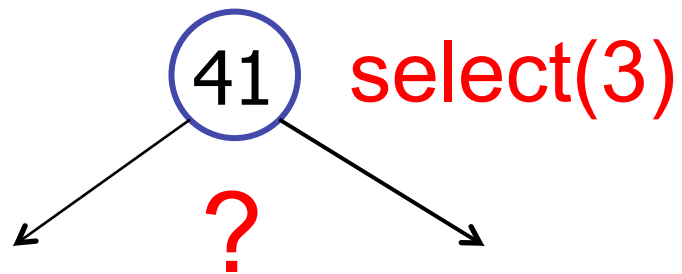


<b>11</b>	<b>20</b>	<b>27</b>	<b>29</b>	<b>41</b>	<b>50</b>	<b>65</b>
-----------	-----------	-----------	-----------	-----------	-----------	-----------

# Dynamic Order Statistics

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Solution 2: use a tree



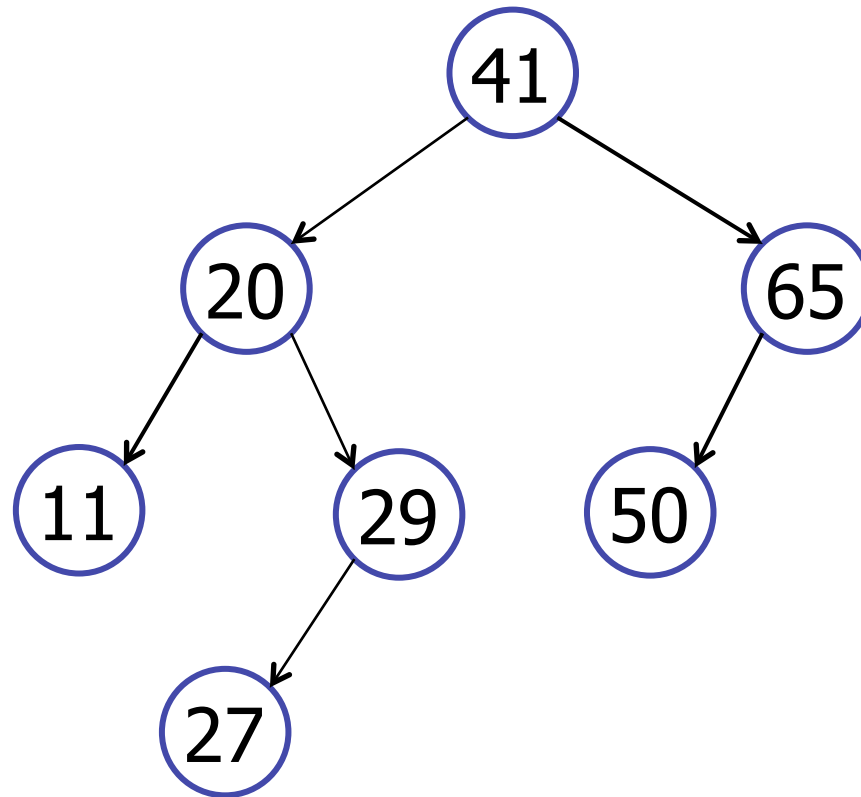
11	20	27	29	41	50	65
----	----	----	----	----	----	----

# Dynamic Order Statistics

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Solution 2: use a tree

**select(k):**  $O(k)$   
in-order iterator

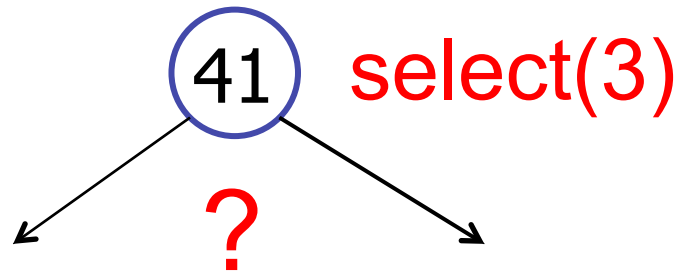


<b>11</b>	<b>20</b>	<b>27</b>	<b>29</b>	<b>41</b>	<b>50</b>	<b>65</b>
-----------	-----------	-----------	-----------	-----------	-----------	-----------

# Dynamic Order Statistics

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Solution 2: Augment! What to store in each node?

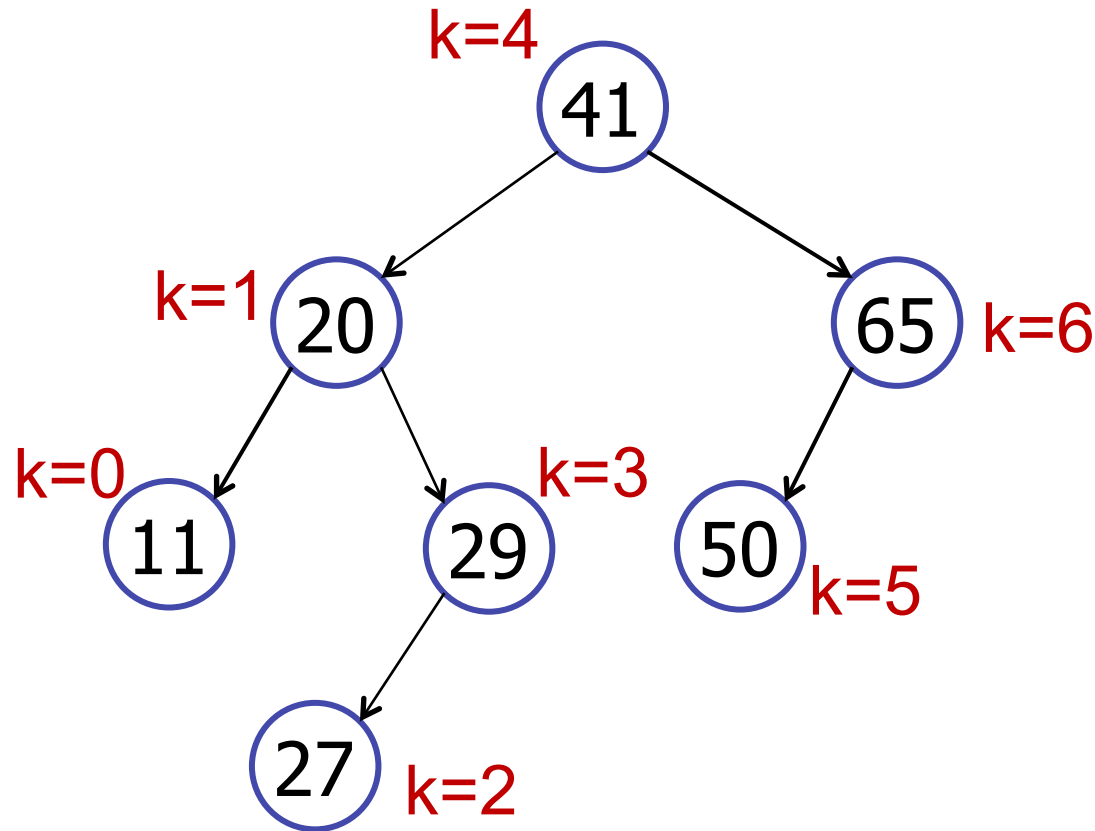


11	20	27	29	41	50	65
----	----	----	----	----	----	----

# Dynamic Order Statistics

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Solution 2: use a tree, store rank in every node



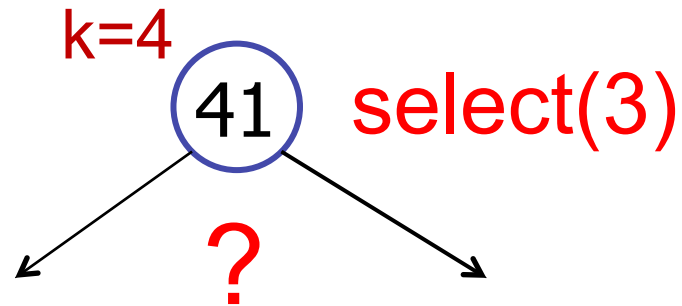
11	20	27	29	41	50	65
----	----	----	----	----	----	----



# Dynamic Order Statistics

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Solution 2: use a tree, store rank in every node

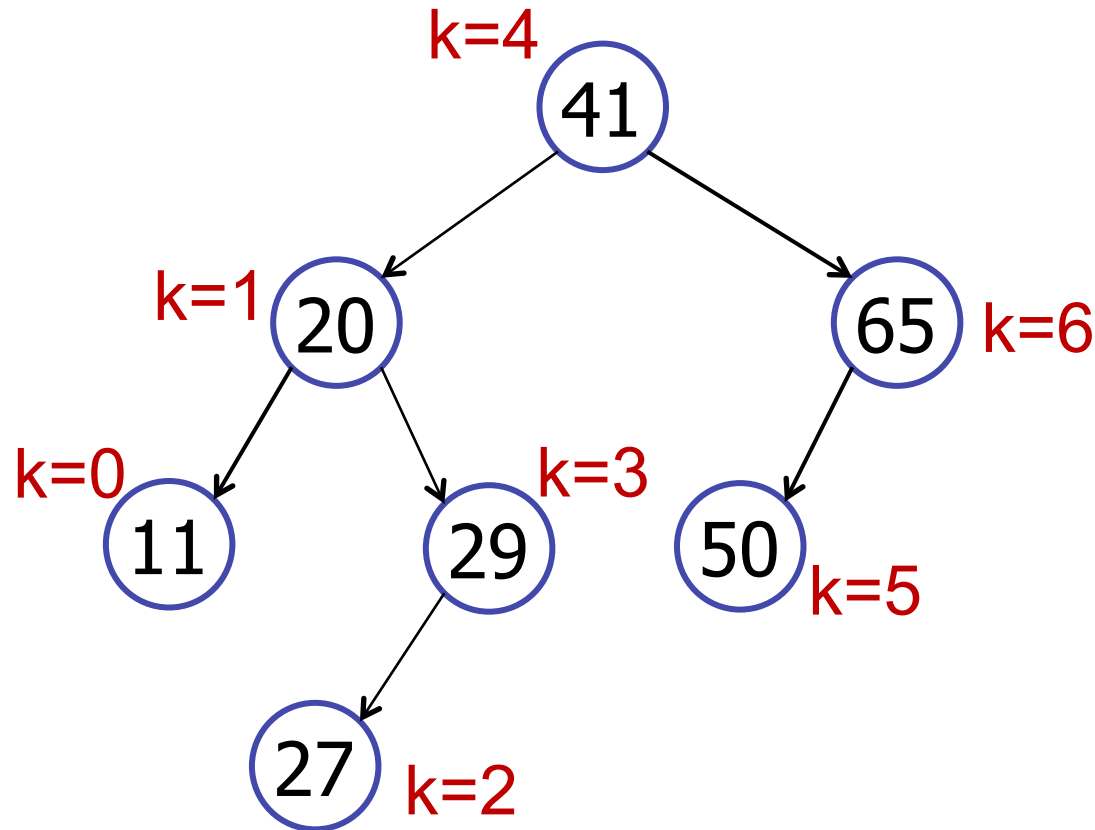


11	20	27	29	41	50	65
----	----	----	----	----	----	----

# Dynamic Order Statistics

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Solution 2: use a tree, store rank in every node

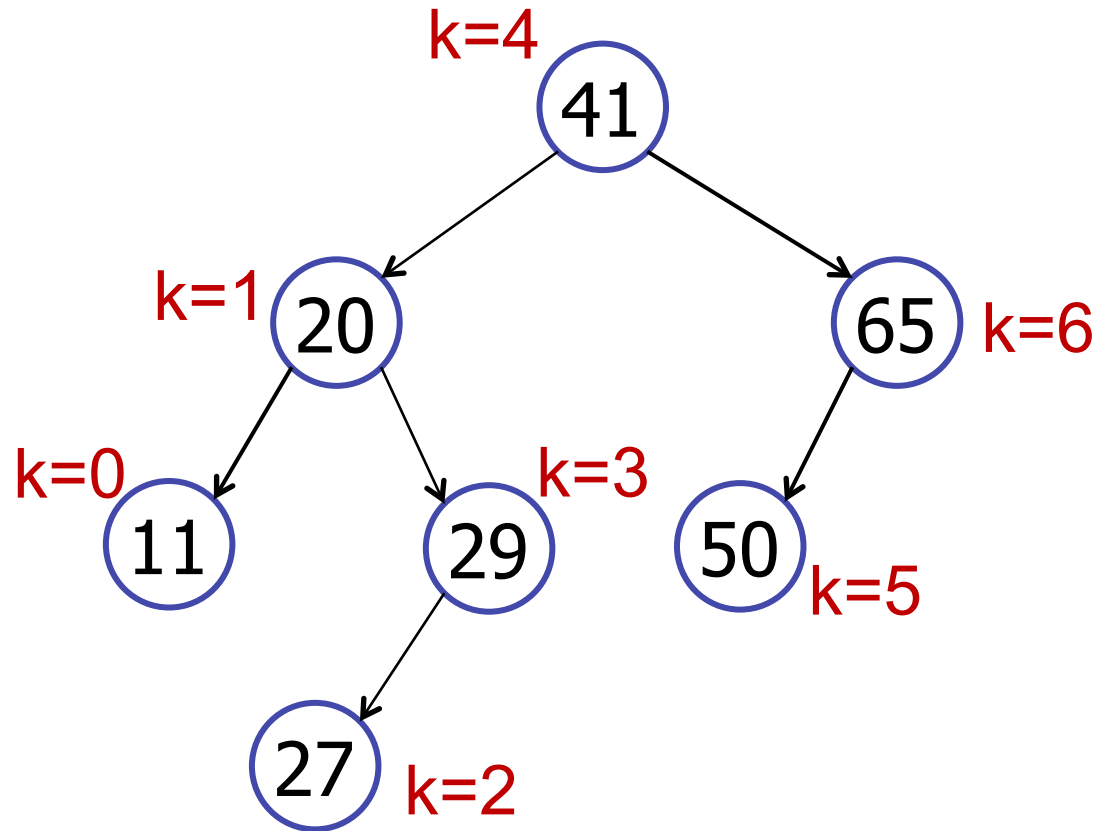


11	20	27	29	41	50	65
----	----	----	----	----	----	----

# Dynamic Order Statistics

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Solution 2: use a tree, store rank in every node

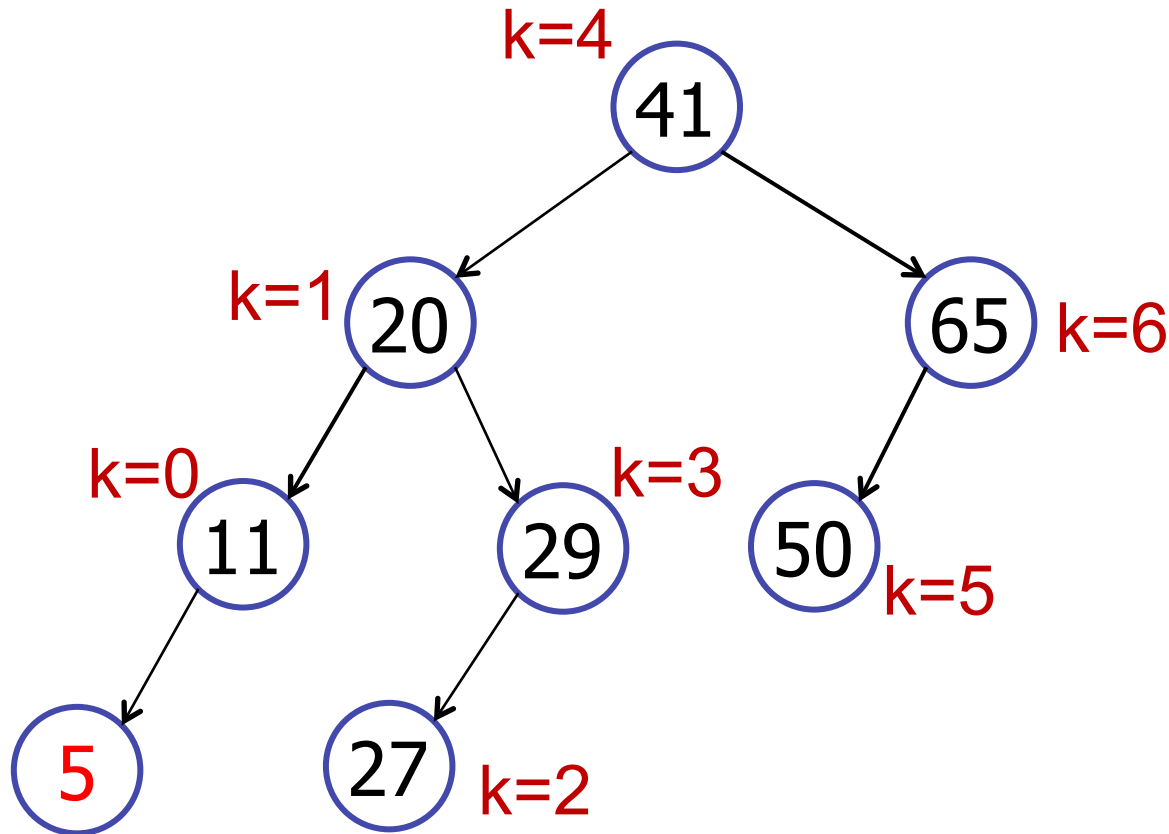


Problem: insert(5) requires updating all the ranks!

# Dynamic Order Statistics

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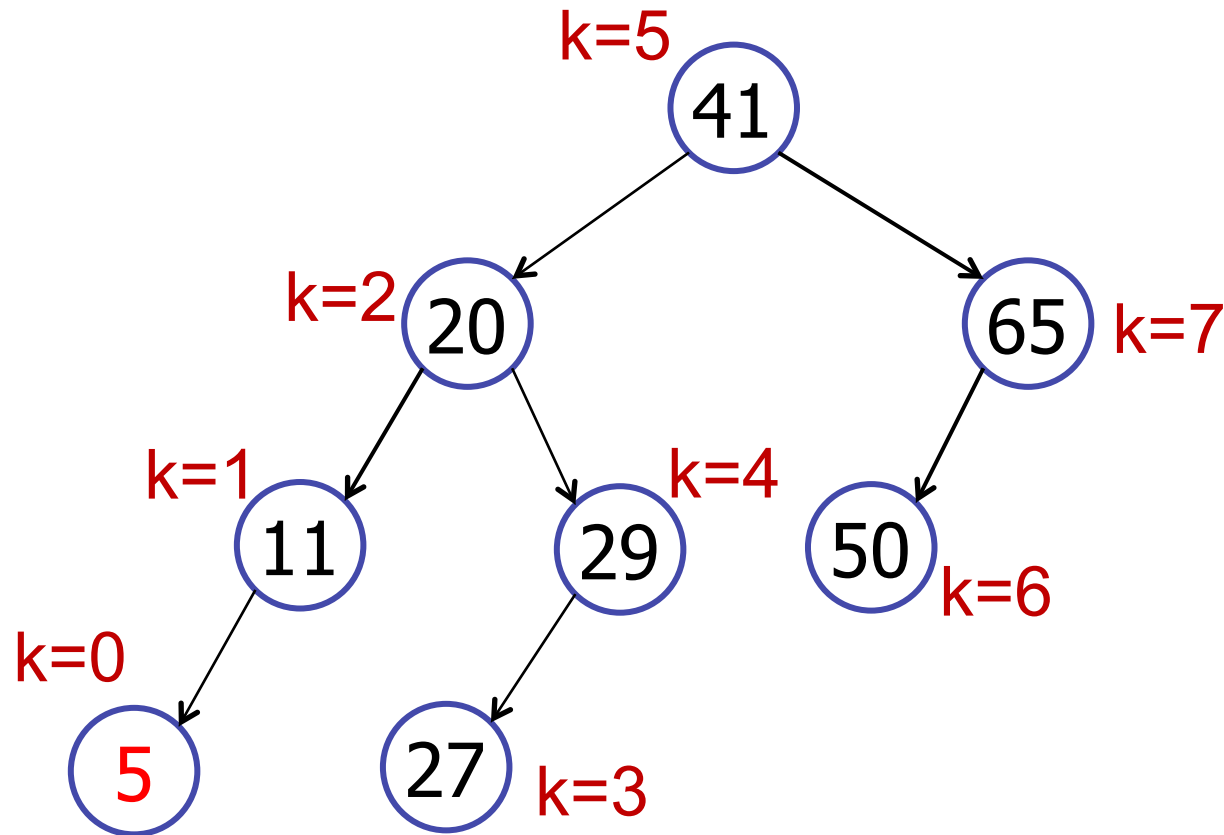
Solution 2: store rank in every node



<b>5</b>	<b>11</b>	<b>20</b>	<b>27</b>	<b>29</b>	<b>41</b>	<b>50</b>	<b>65</b>
----------	-----------	-----------	-----------	-----------	-----------	-----------	-----------

# Dynamic Order Statistics

Solution 2: store rank in every node

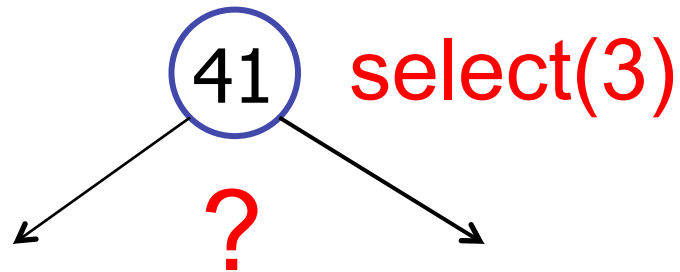


5	11	20	27	29	41	50	65
---	----	----	----	----	----	----	----

# Dynamic Order Statistics

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What should we store in each node?

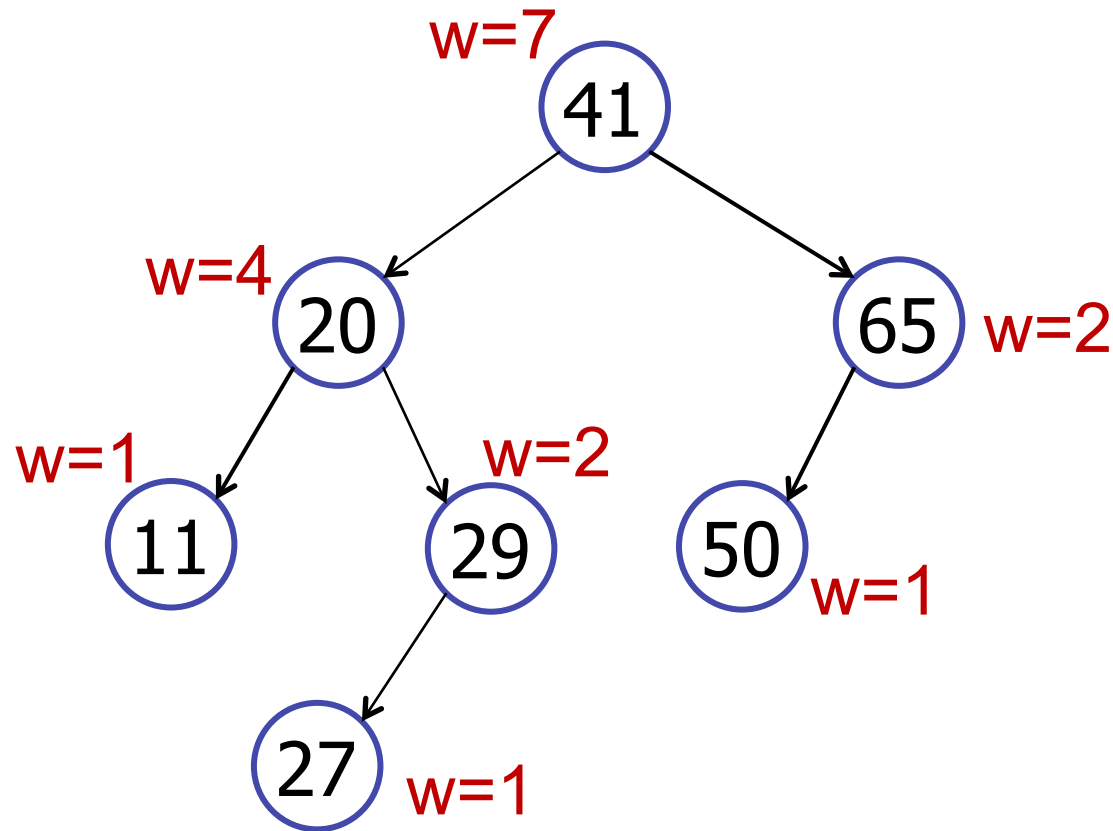


11	20	27	29	41	50	65
----	----	----	----	----	----	----

# Dynamic Order Statistics

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Solution 3: store size of sub-tree in every node



# Dynamic Order Statistics

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Solution 3: store size of sub-tree in every node

The weight of a node is the size of the tree rooted at that node.

Define weight:

$$w(\text{leaf}) = 1$$

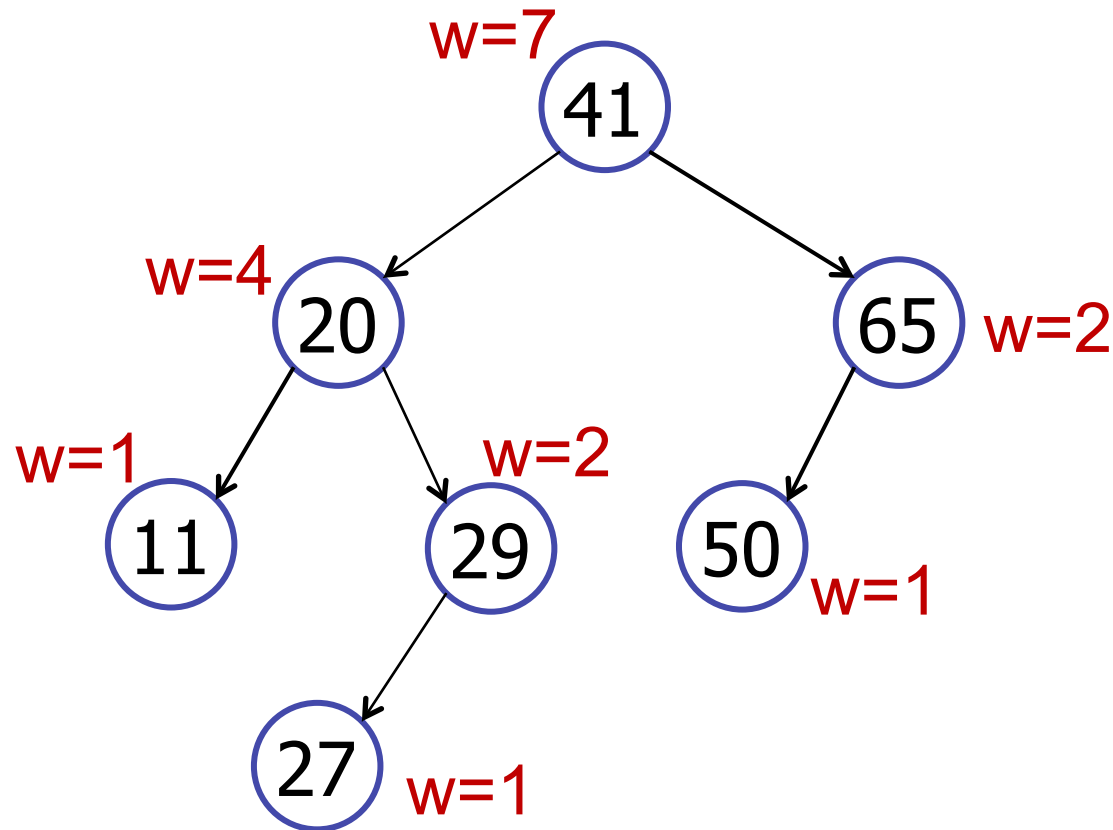
$$w(v) = w(v.\text{left}) + w(v.\text{right}) + 1$$



# Dynamic Order Statistics

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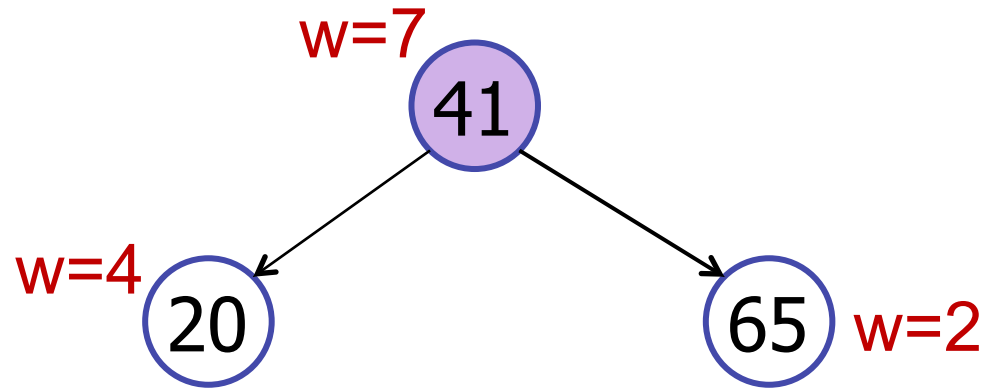
Solution 3: store size of sub-tree in every node



# Dynamic Order Statistics

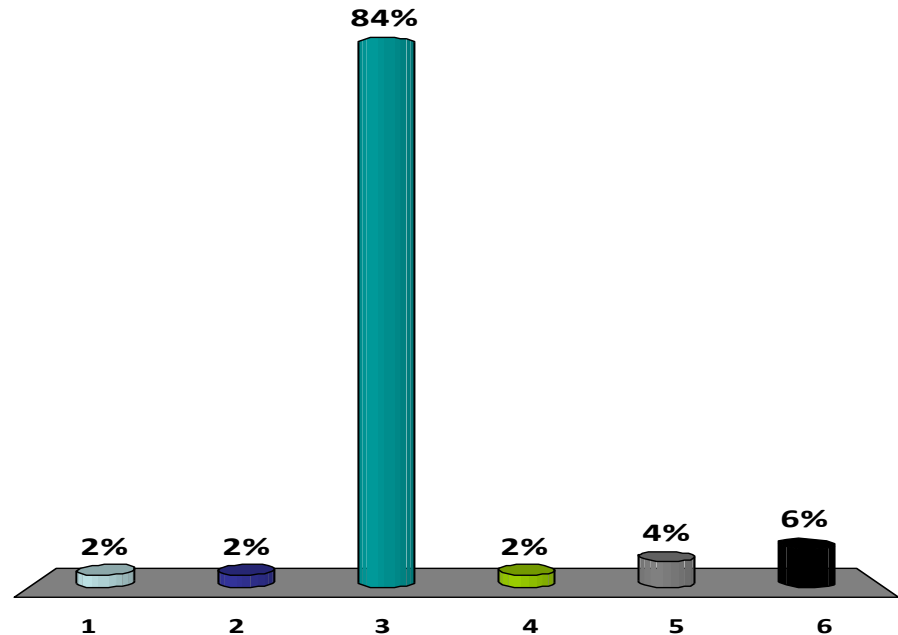
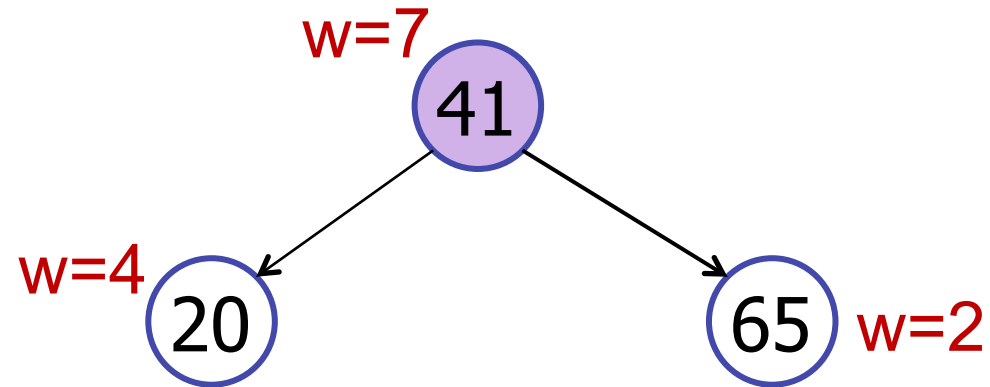
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Example: `select(3)`



What is the rank of 41?

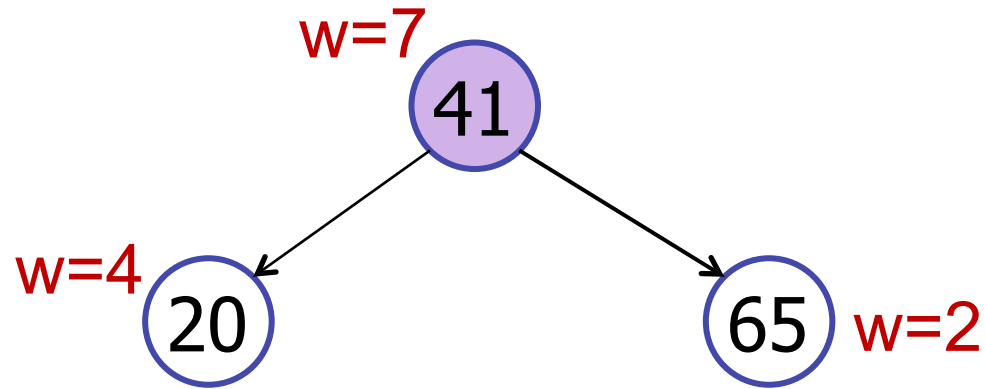
1. 1
2. 3
- ✓ 3. 5
4. 7
5. 9
6. Can't tell.



# Dynamic Order Statistics

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Example: `select(3)`

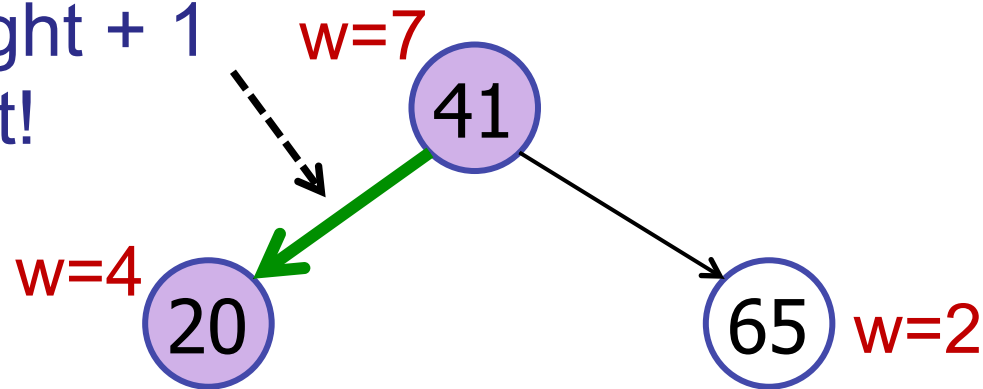


# Dynamic Order Statistics

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Example: **select(3)**

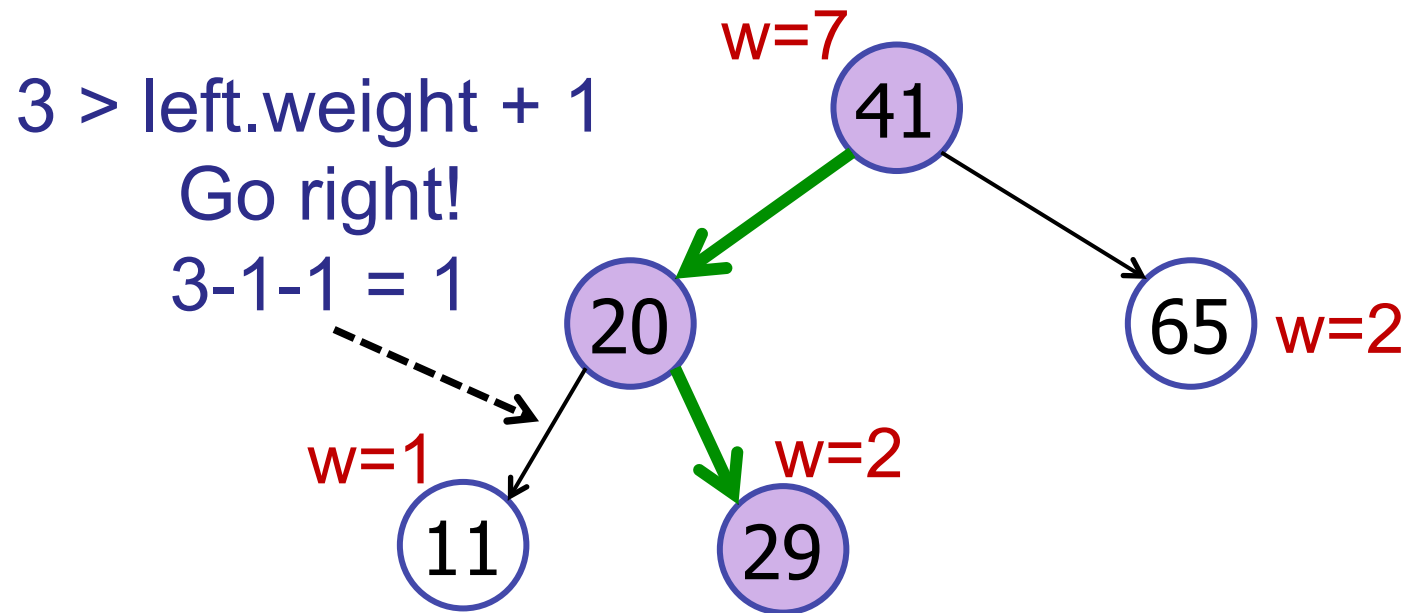
$3 < \text{left.weight} + 1$   
Go left!



# Dynamic Order Statistics

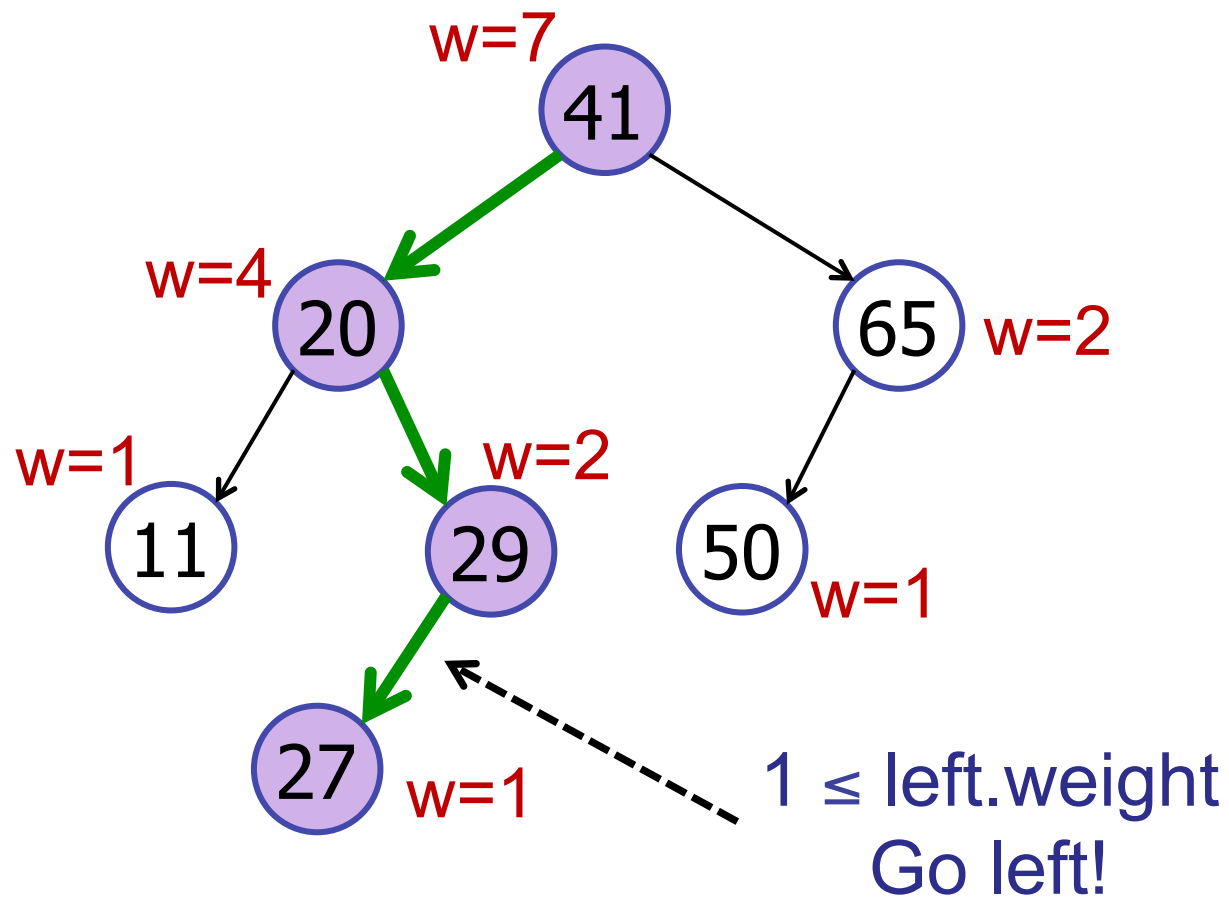
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Example: `select(3)`



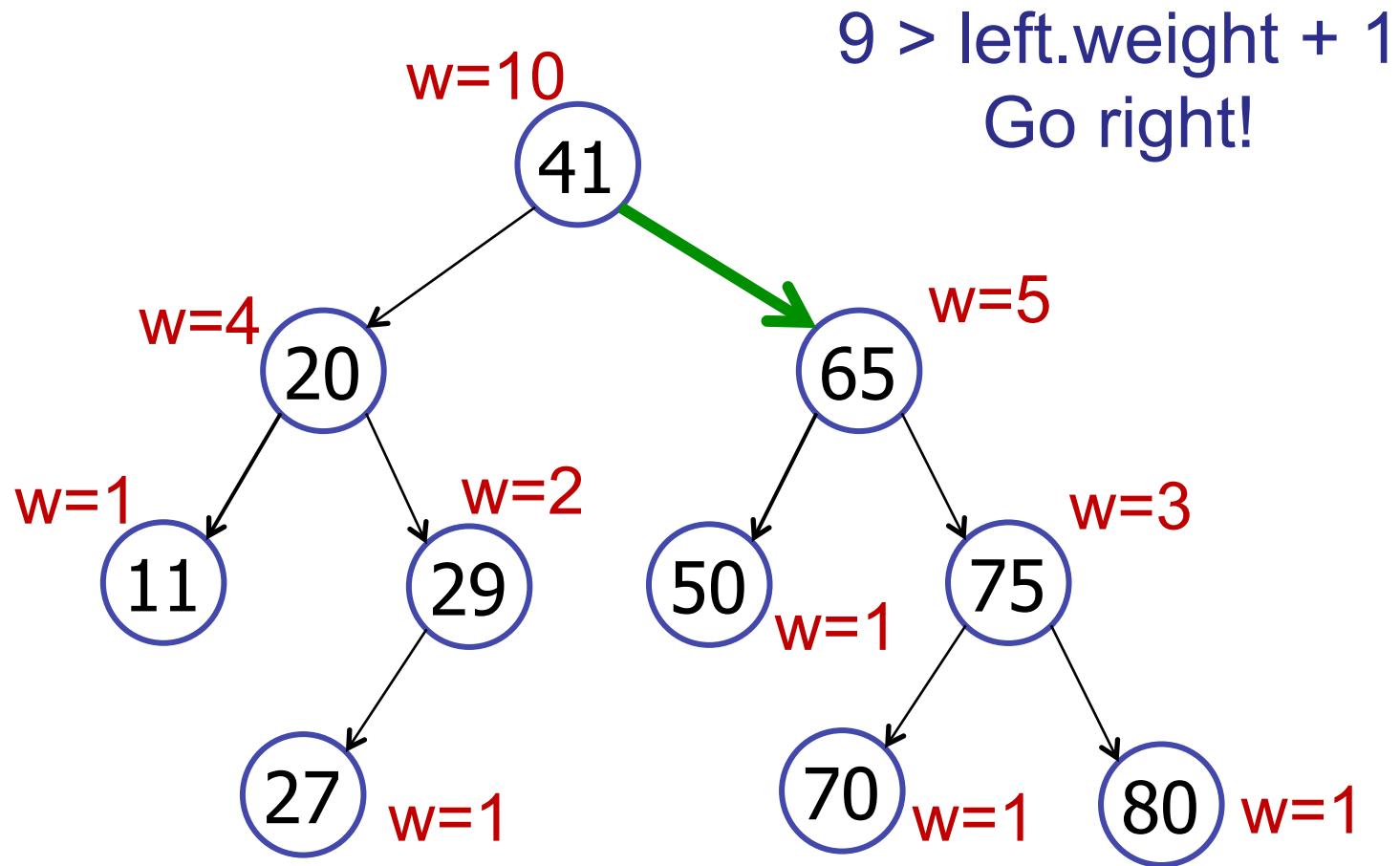
# Dynamic Order Statistics

Example: `select(3)`



# Dynamic Order Statistics

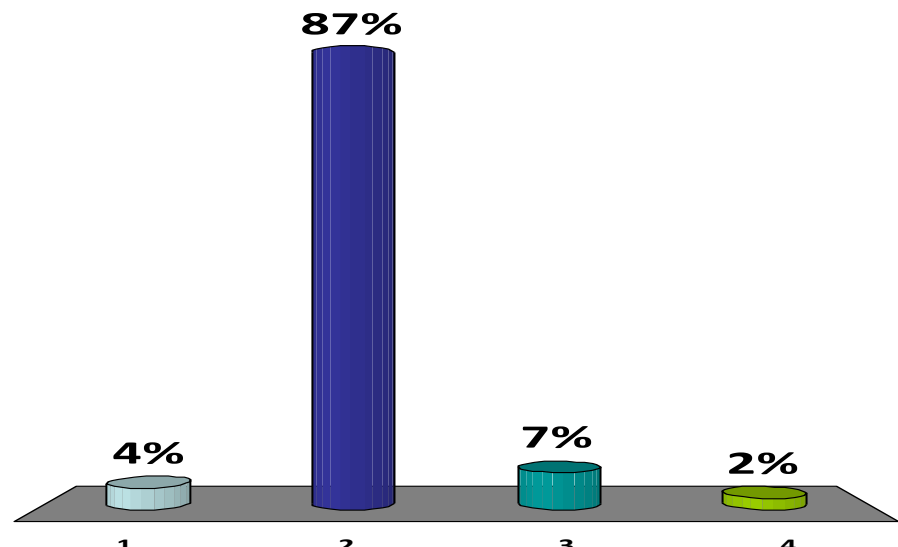
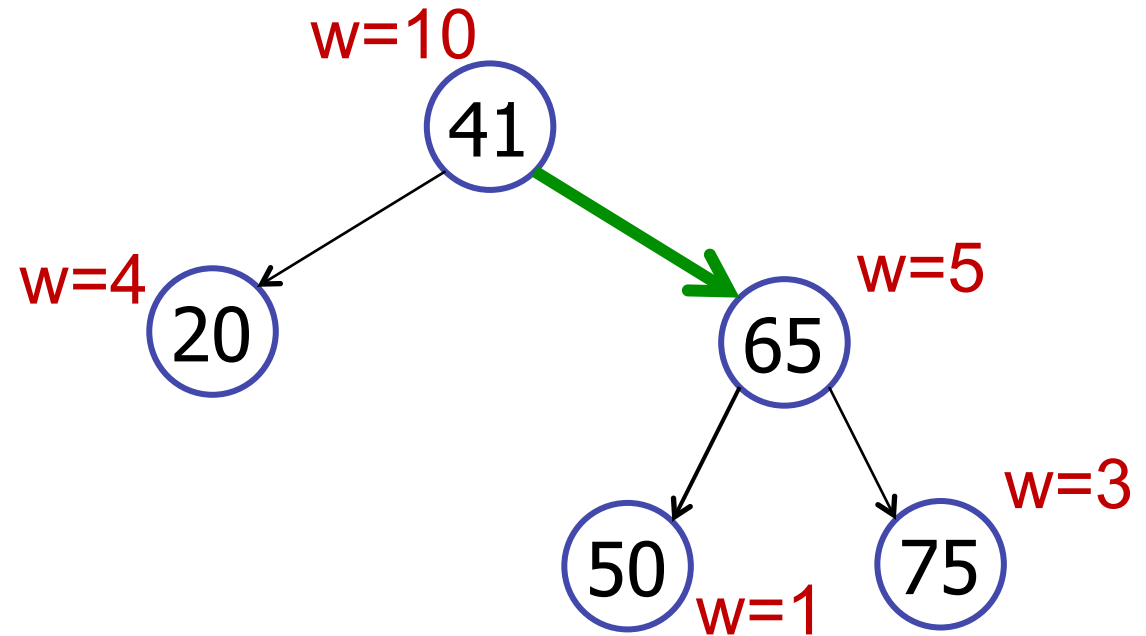
Example: `select(9)`





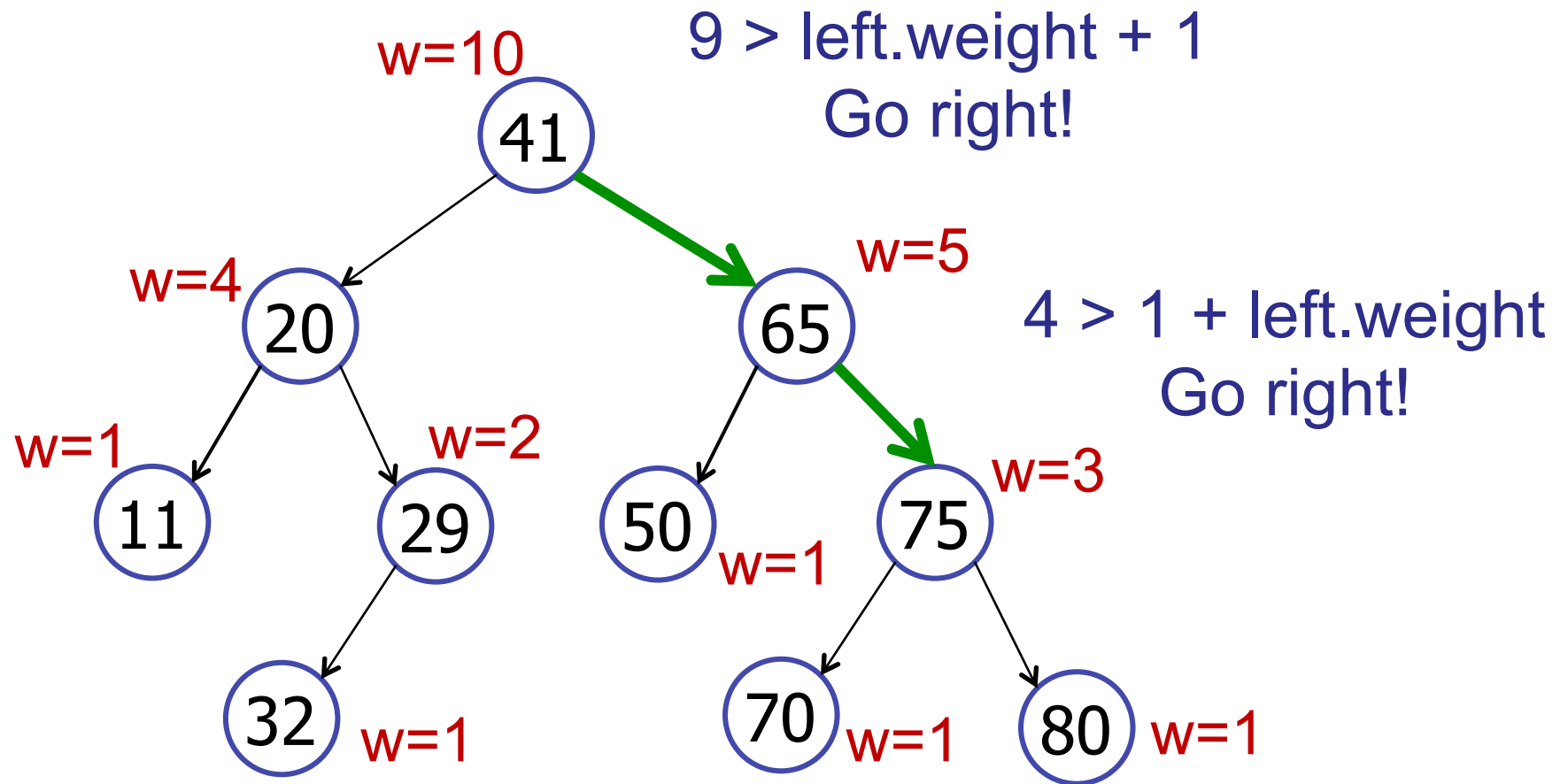
select(9)

1. Go left at 65
2. Go right at 65
3. Stop at 65
4. I'm confused



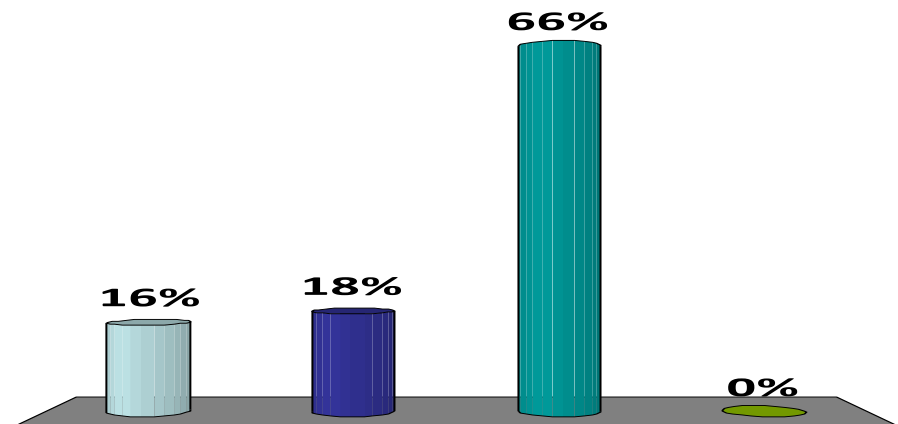
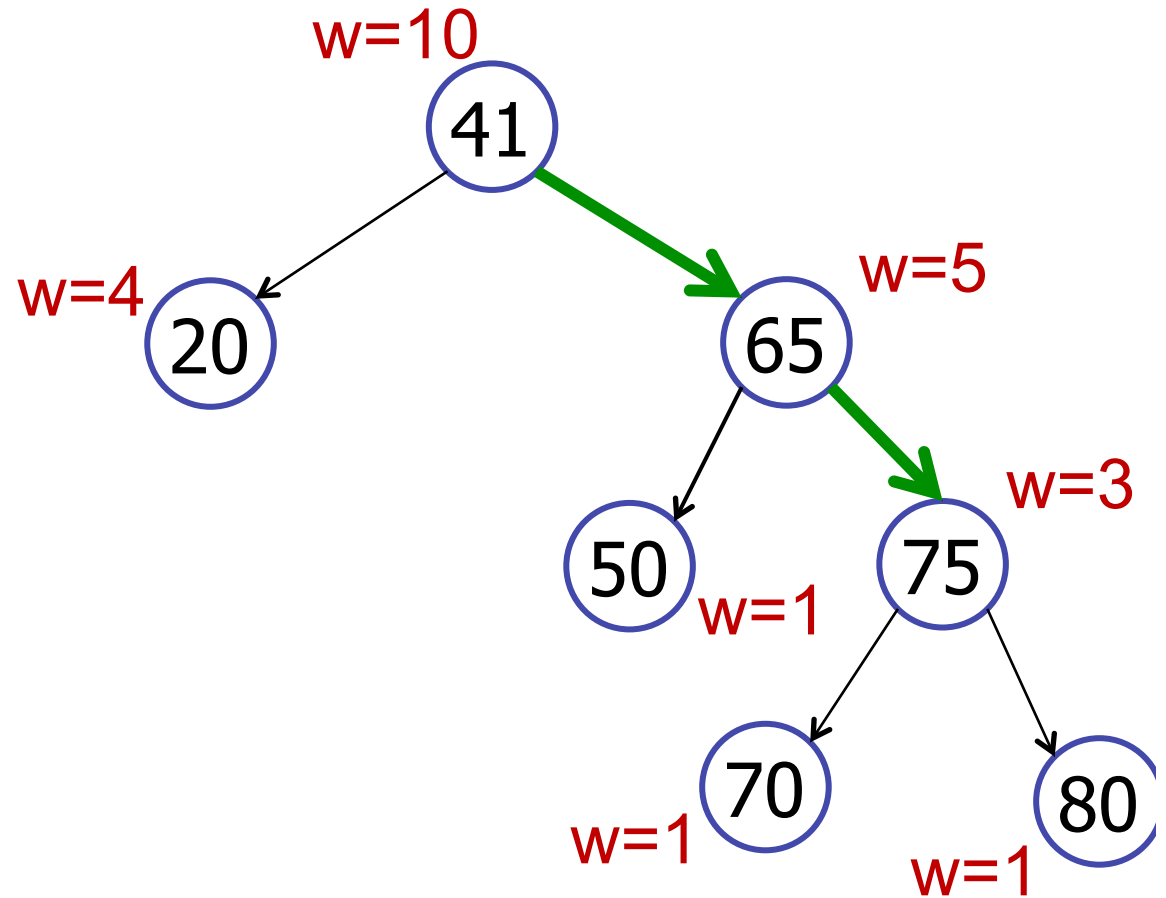
# Dynamic Order Statistics

select(9)



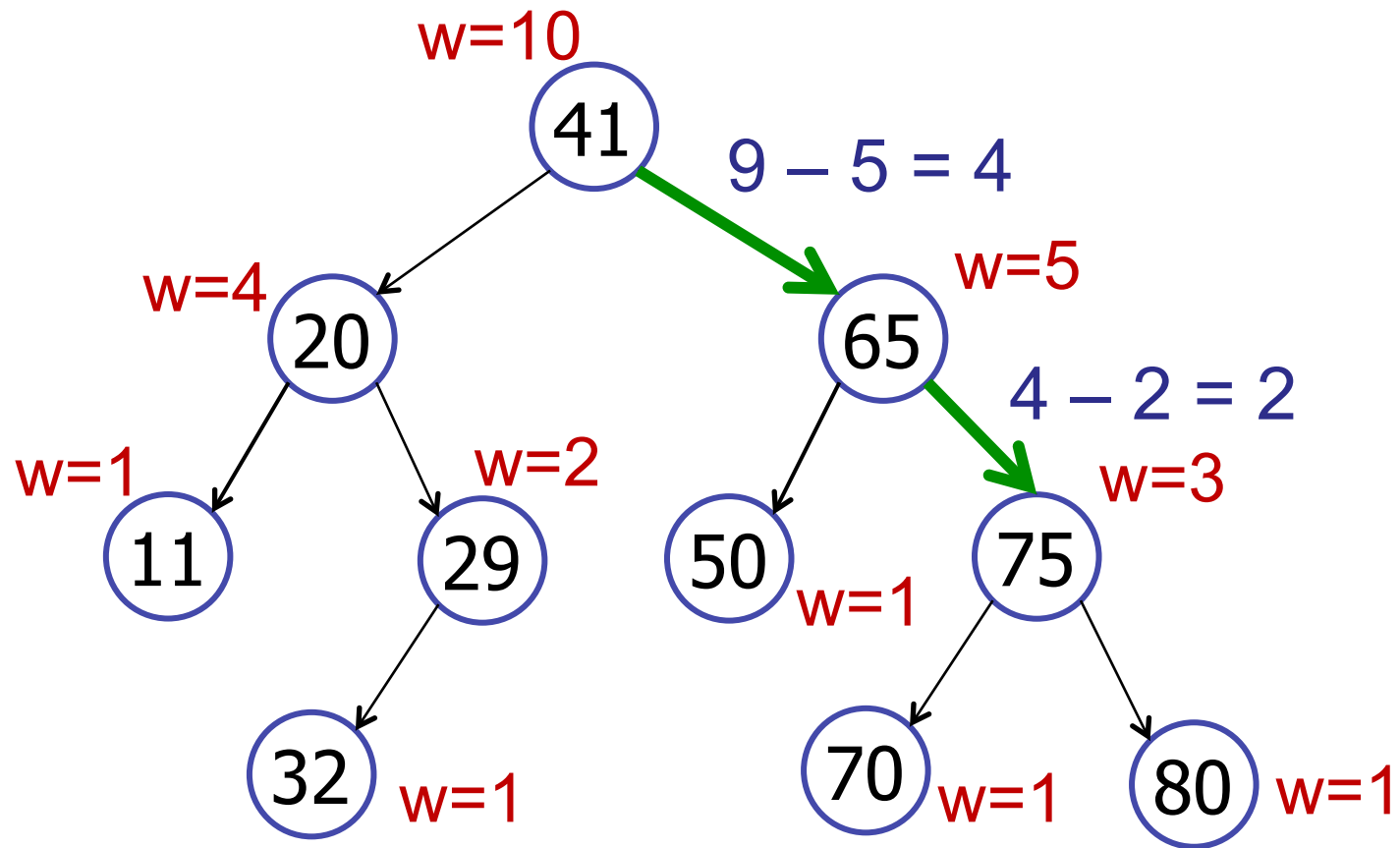
select(9)

1. Go left at 75
2. Go right at 75
3. Stop at 75
4. I'm confused



# Dynamic Order Statistics

select(9)



# Dynamic Order Statistics

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select(k)

$r = m\_left.weight + 1;$

if ( $k == r$ ) then  
    return  $v$ ;

else if ( $k < r$ ) then  
    return  $m\_left.select(k)$ ;

else if ( $k > r$ ) then  
    return  $m\_right.select(k-r)$ ;

# Dynamic Order Statistics

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`select(k)` : finds the node with rank  $k$

Example: find the 10th tallest student in the class.

# Dynamic Order Statistics

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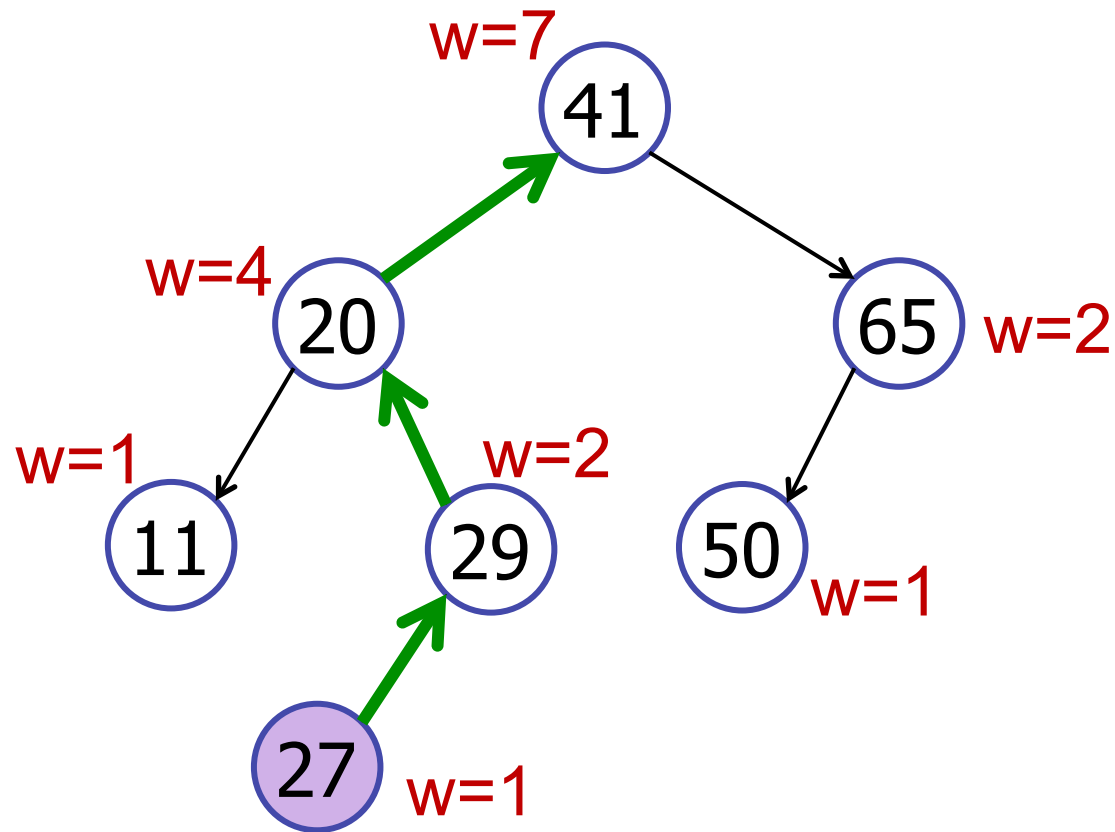
$\text{rank}(v)$  : computes the rank of a node  $v$

Example: determine the percentile of Johnny's height. Is Johnny in the 10<sup>th</sup> percentile or the 90<sup>th</sup> percentile?

# Dynamic Order Statistics

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Example:  $\text{rank}(27)$



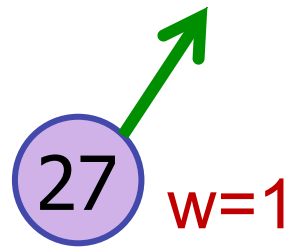
$\text{rank} = 1$



# Dynamic Order Statistics

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Example:  $\text{rank}(27)$

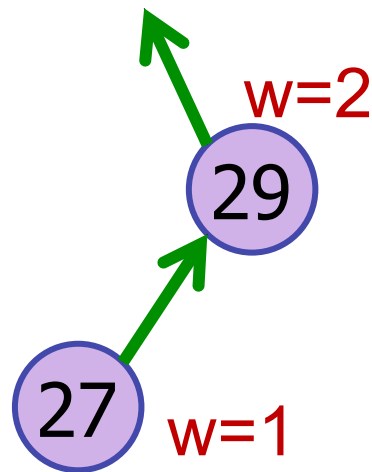


$\text{rank} = 1$

# Dynamic Order Statistics

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Example:  $\text{rank}(27)$

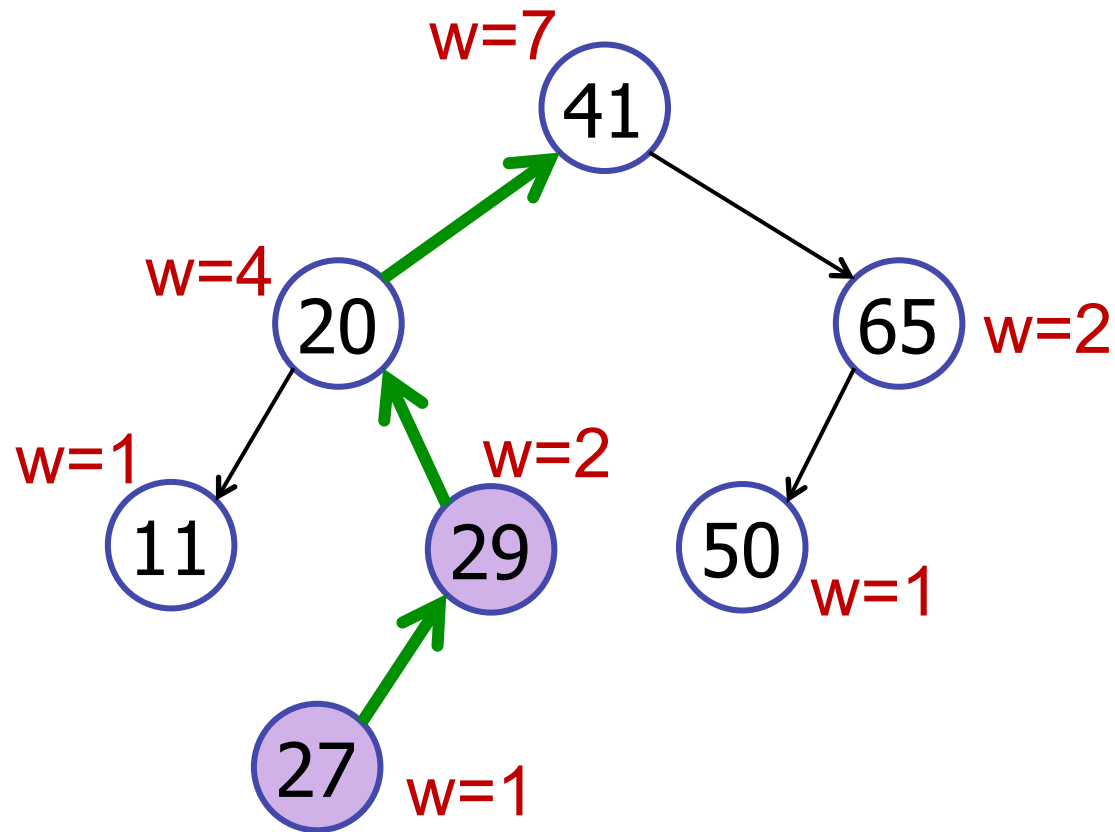


$\text{rank} = 1$

# Dynamic Order Statistics

---

Example:  $\text{rank}(27)$

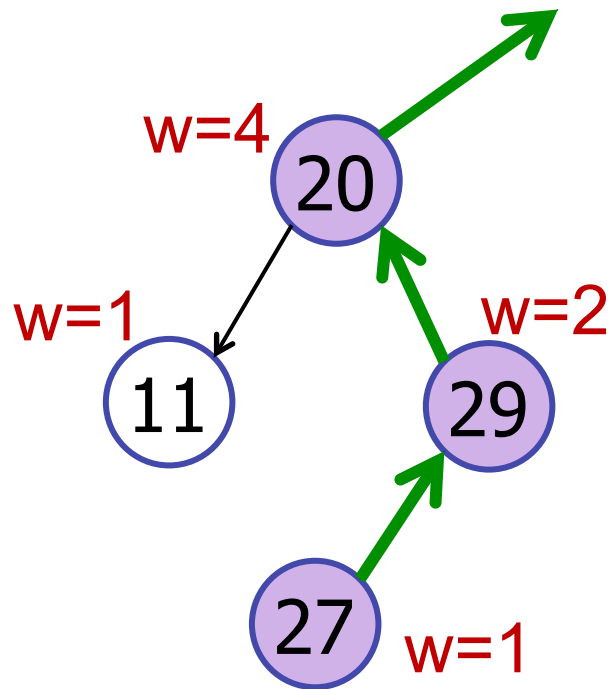


rank = 1

# Dynamic Order Statistics

---

Example:  $\text{rank}(27)$

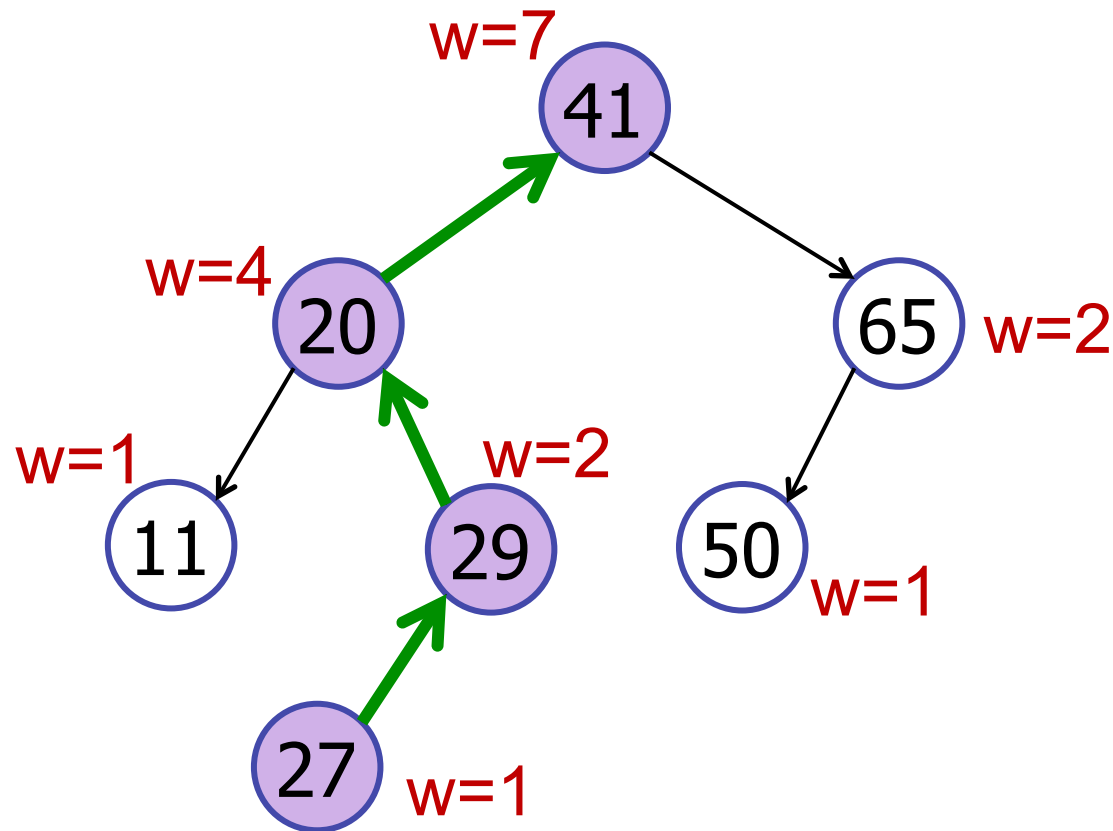


$$\text{rank} = 1 + 2$$

# Dynamic Order Statistics

---

Example:  $\text{rank}(27)$



$$\text{rank} = 1 + 2 = 3$$

# Dynamic Order Statistics

---

Rank( $v$ ) : computes the rank of a node  $v$

rank()

$r = \text{left.weight} + 1;$

$\text{node} = \text{this};$

**while** ( $\text{node} \neq \text{null}$ ) **do**

**if** node is right child **then**

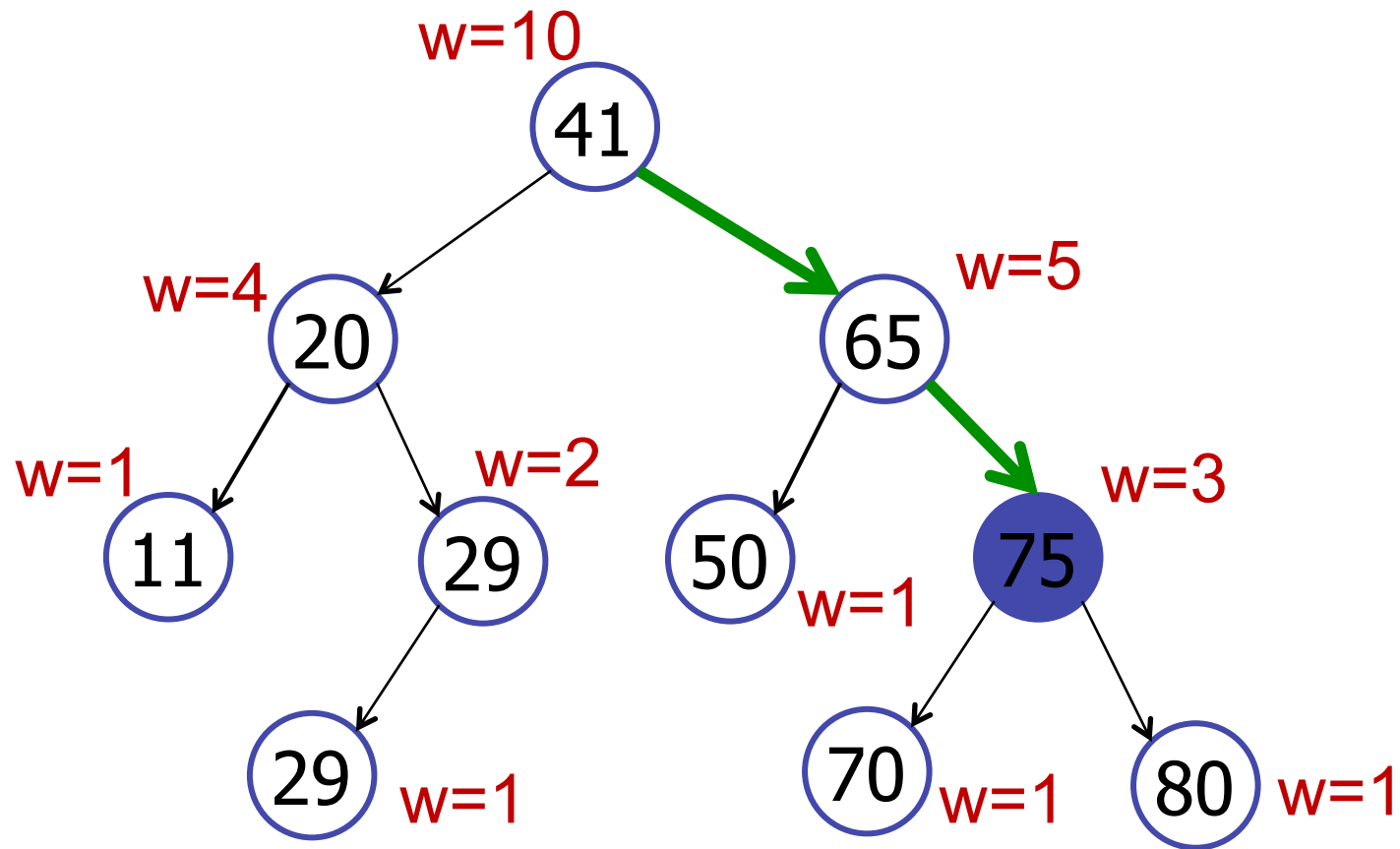
$r += \text{node.parent.left.weight} + 1;$

$\text{node} = \text{node.parent};$

**return**  $r;$

# Dynamic Order Statistics

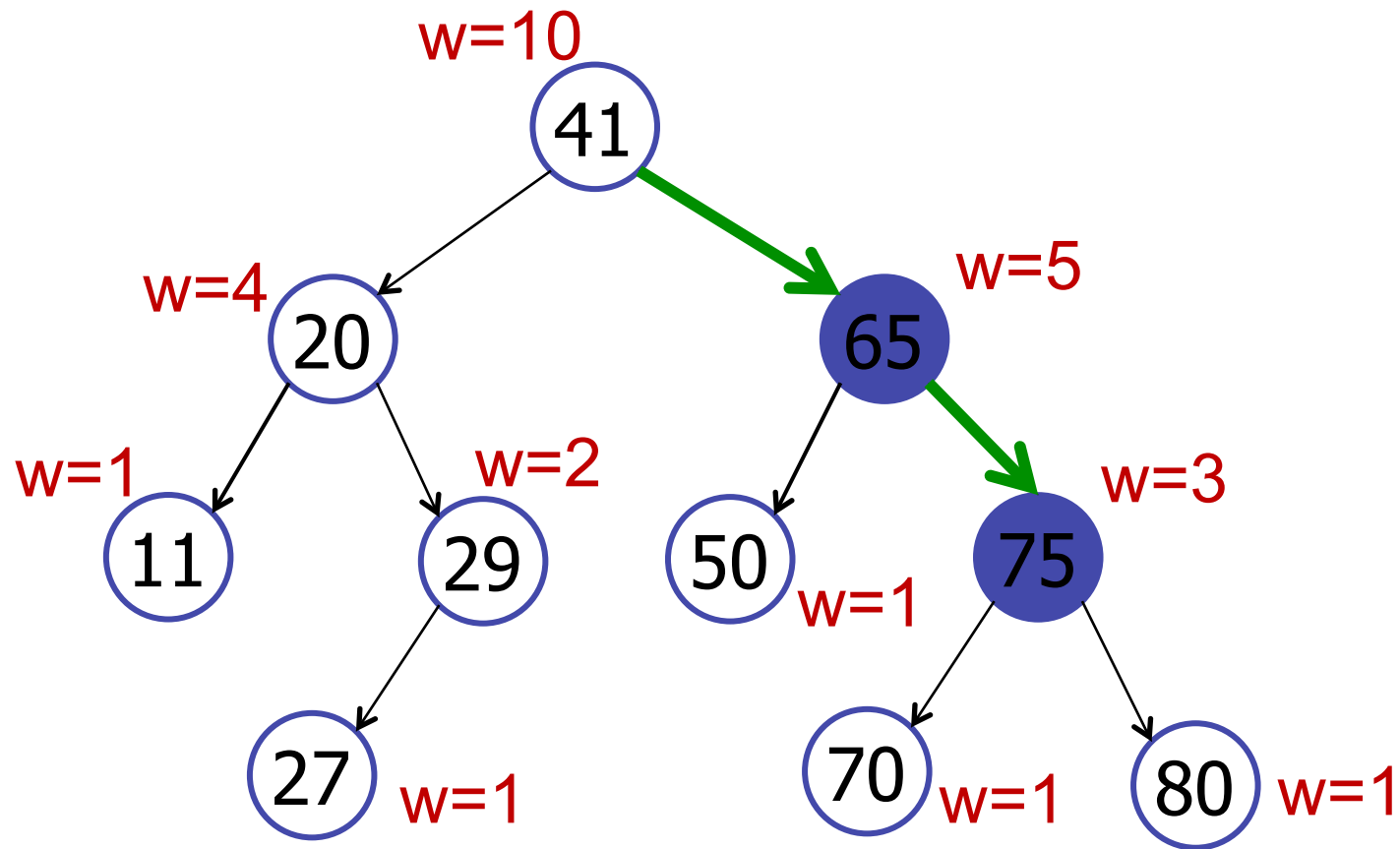
rank(75)



rk = 2

# Dynamic Order Statistics

rank(75)

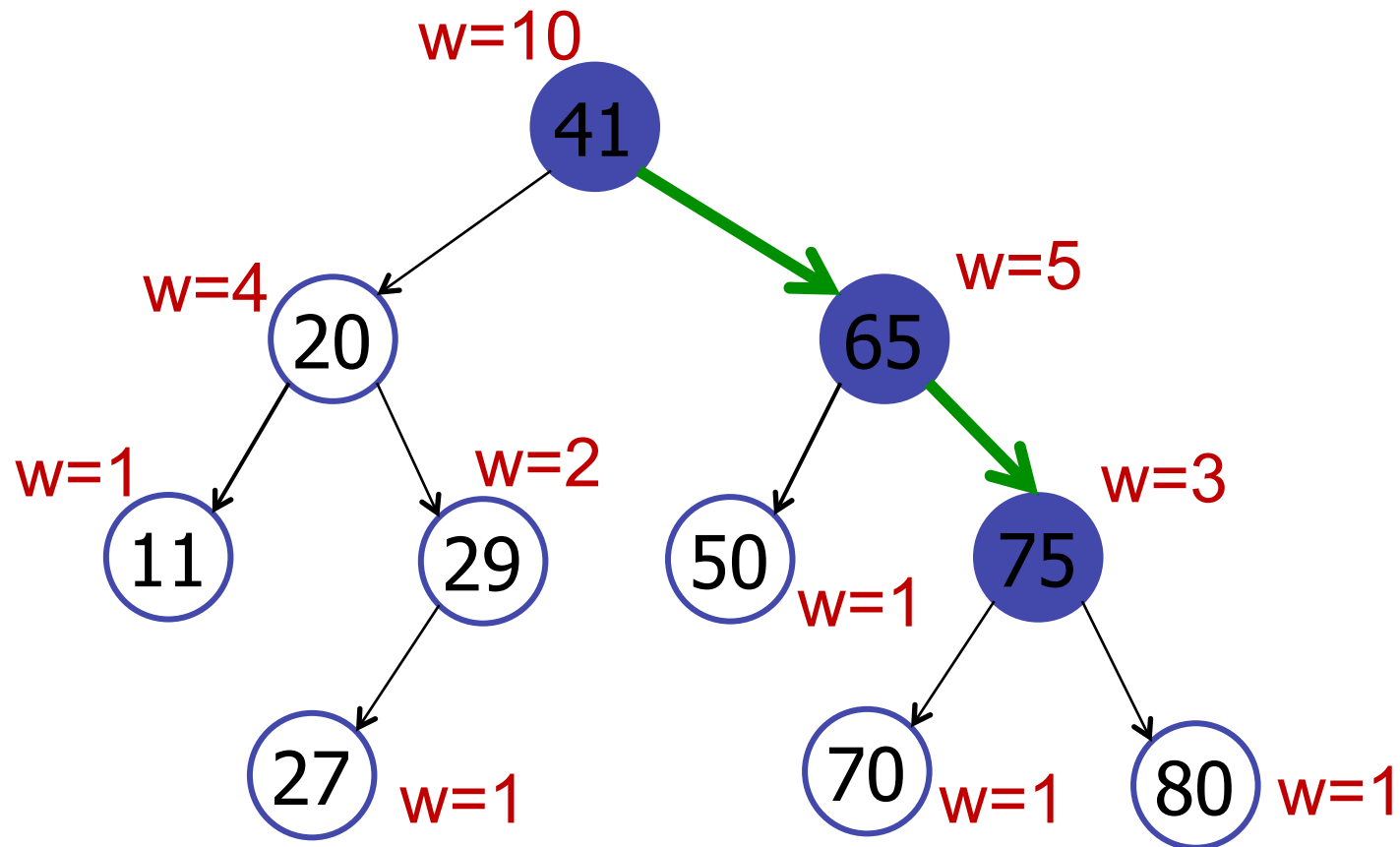


$$rk = 2 + 2$$



# Dynamic Order Statistics

rank(75)



$$rk = 2 + 2 + 5 = 9$$

# Dynamic Order Statistics

---

Rank( $v$ ) : computes the rank of a node  $v$

rank()

$r = \text{left.weight} + 1;$

$\text{node} = \text{this};$

**while** ( $\text{node} \neq \text{null}$ ) **do**

**if** node is right child **then**

$r += \text{node.parent.left.weight} + 1;$

$\text{node} = \text{node.parent};$

**return**  $r;$

# Augmenting data structures

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## Basic methodology:

1. Choose underlying data structure:

AVL tree

2. Determine additional info needed:

Weight of each node

3. Maintained info as data structure is modified.

Update weights as needed

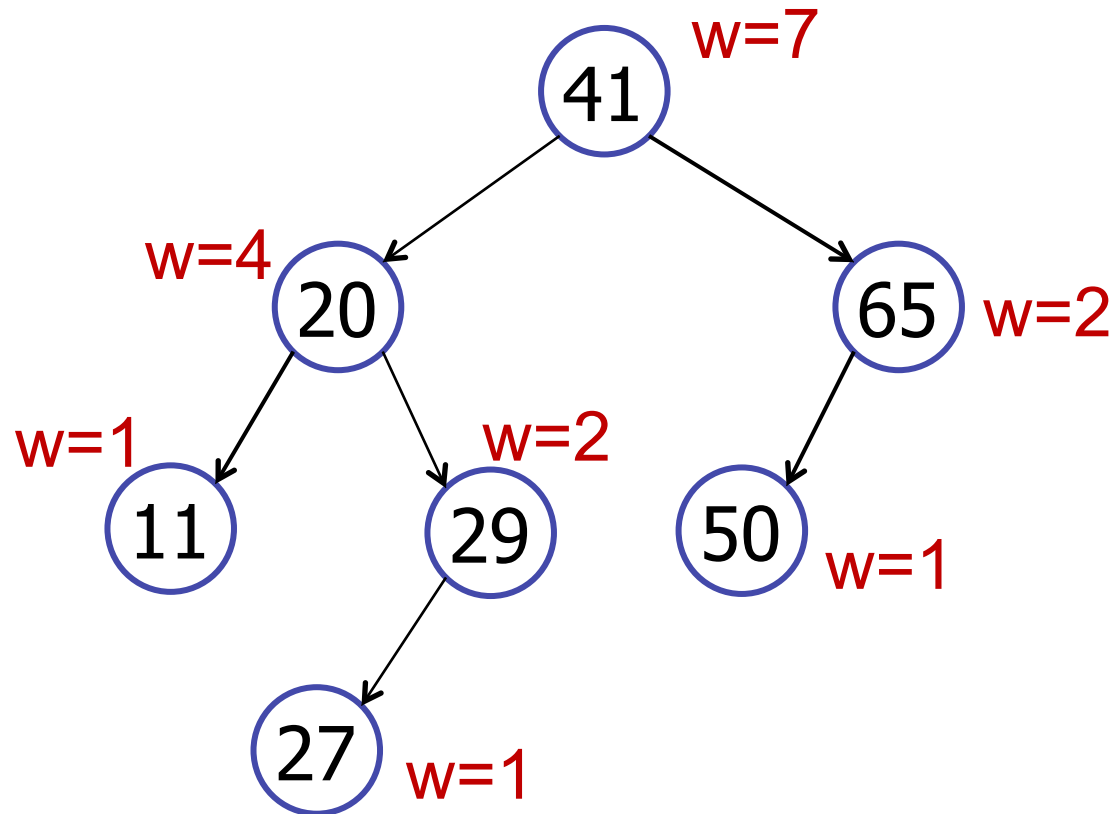
4. Develop new operations using the new info.

Select and Rank

# Augmented Trees

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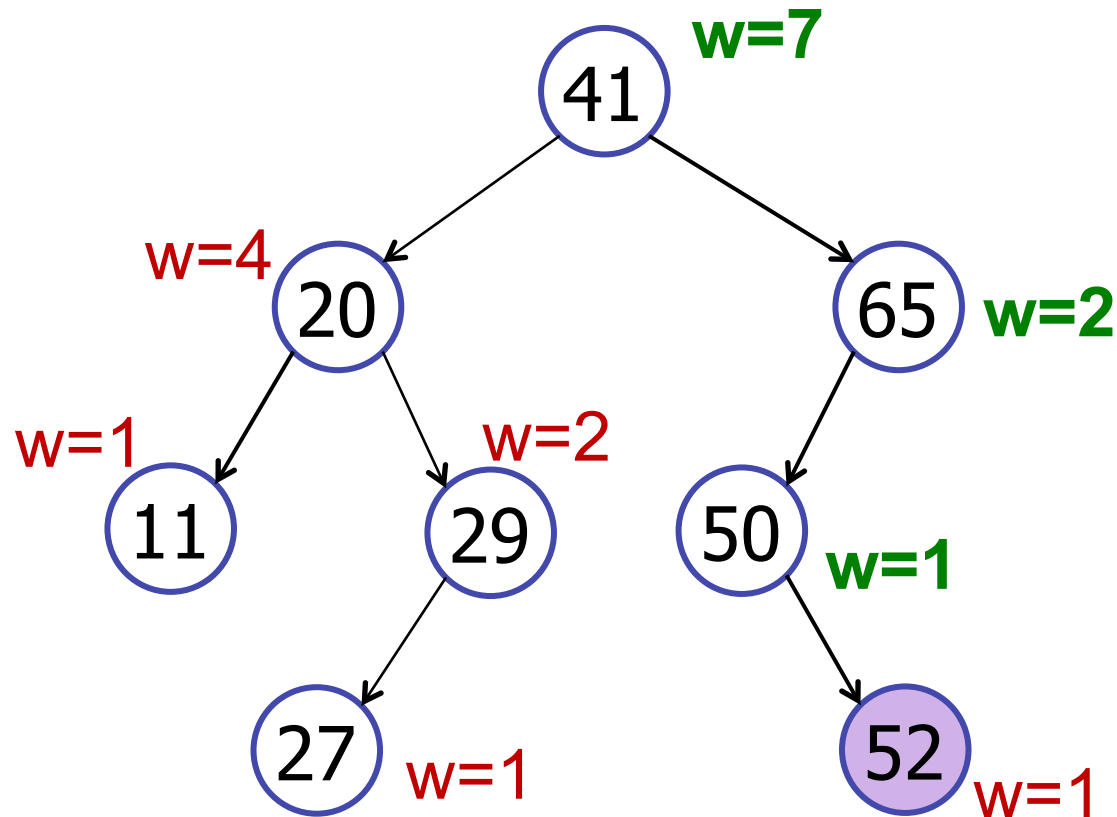
Maintain weight during insertions:



# Augmented Trees

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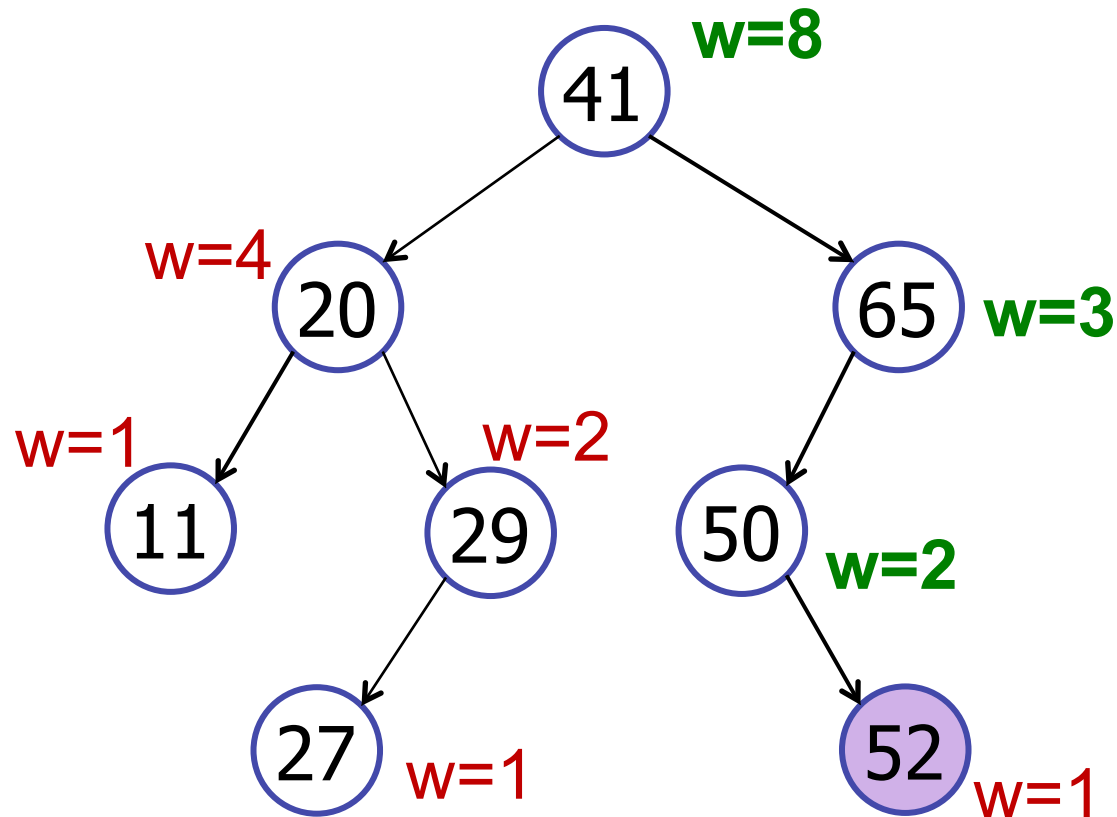
Maintain weight during insertions:



# Augmented Trees

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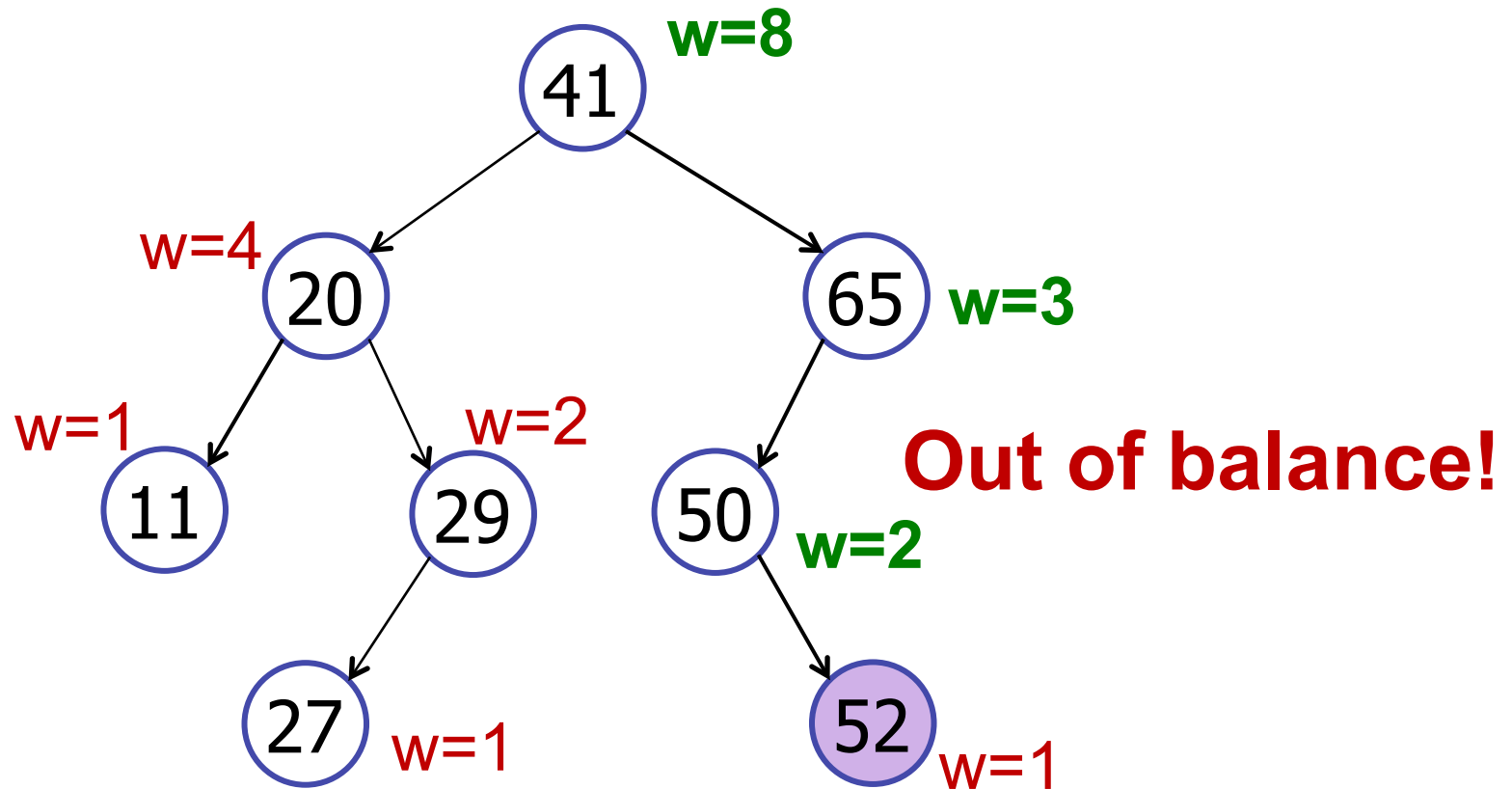
Maintain weight during insertions:



# Augmented Trees

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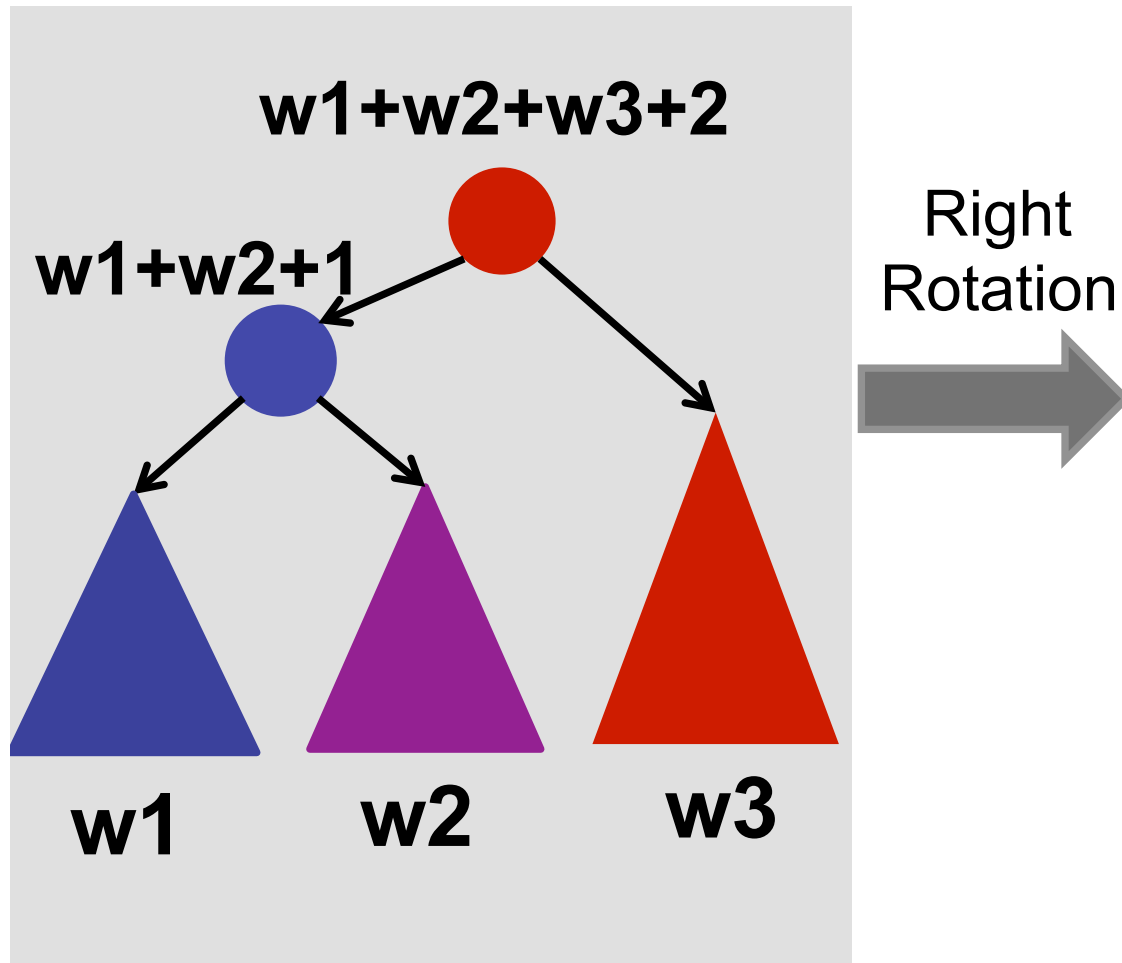
Maintain weight during insertions:



# Augmented Trees

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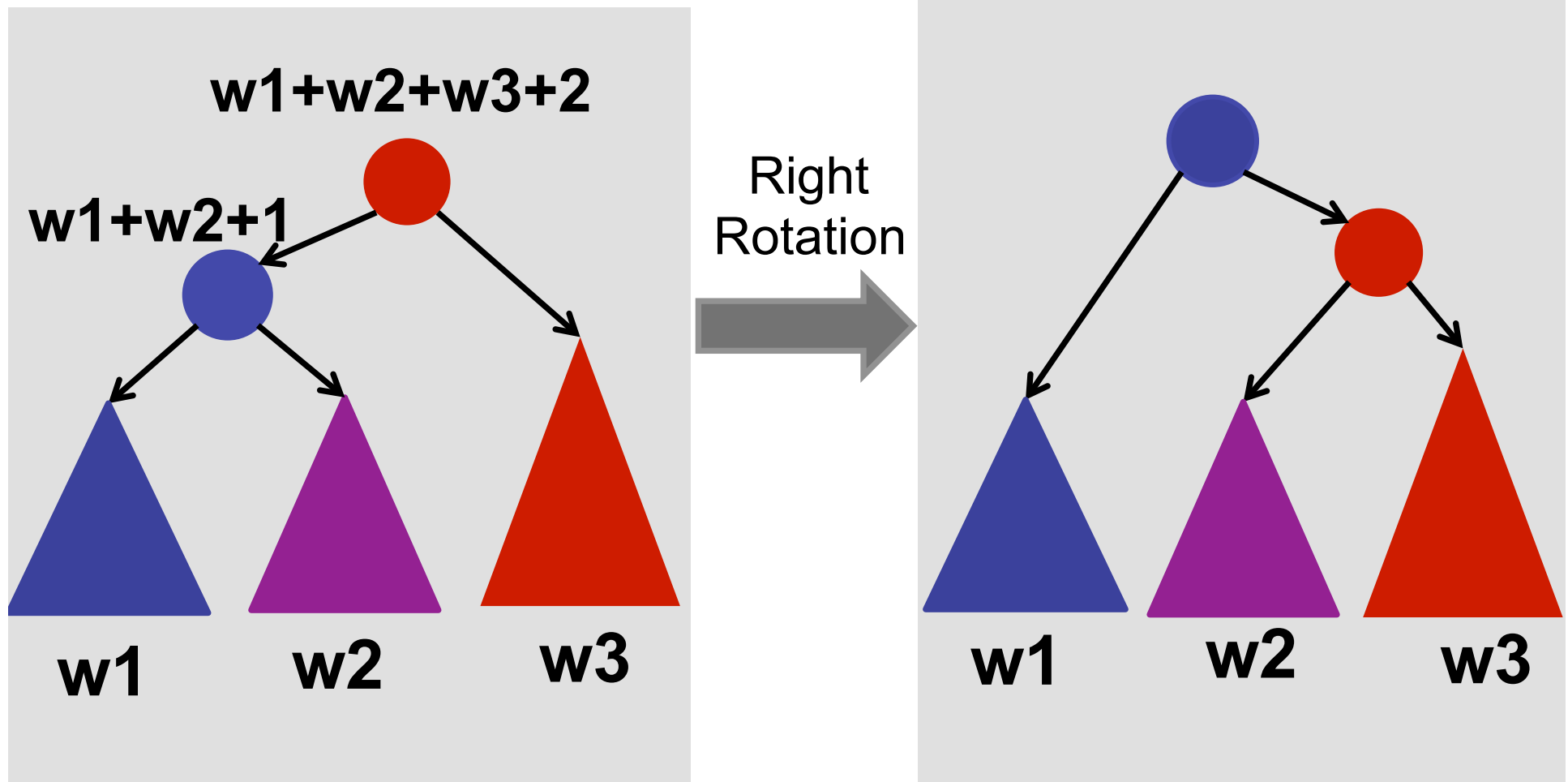
Maintain weight during rotations:





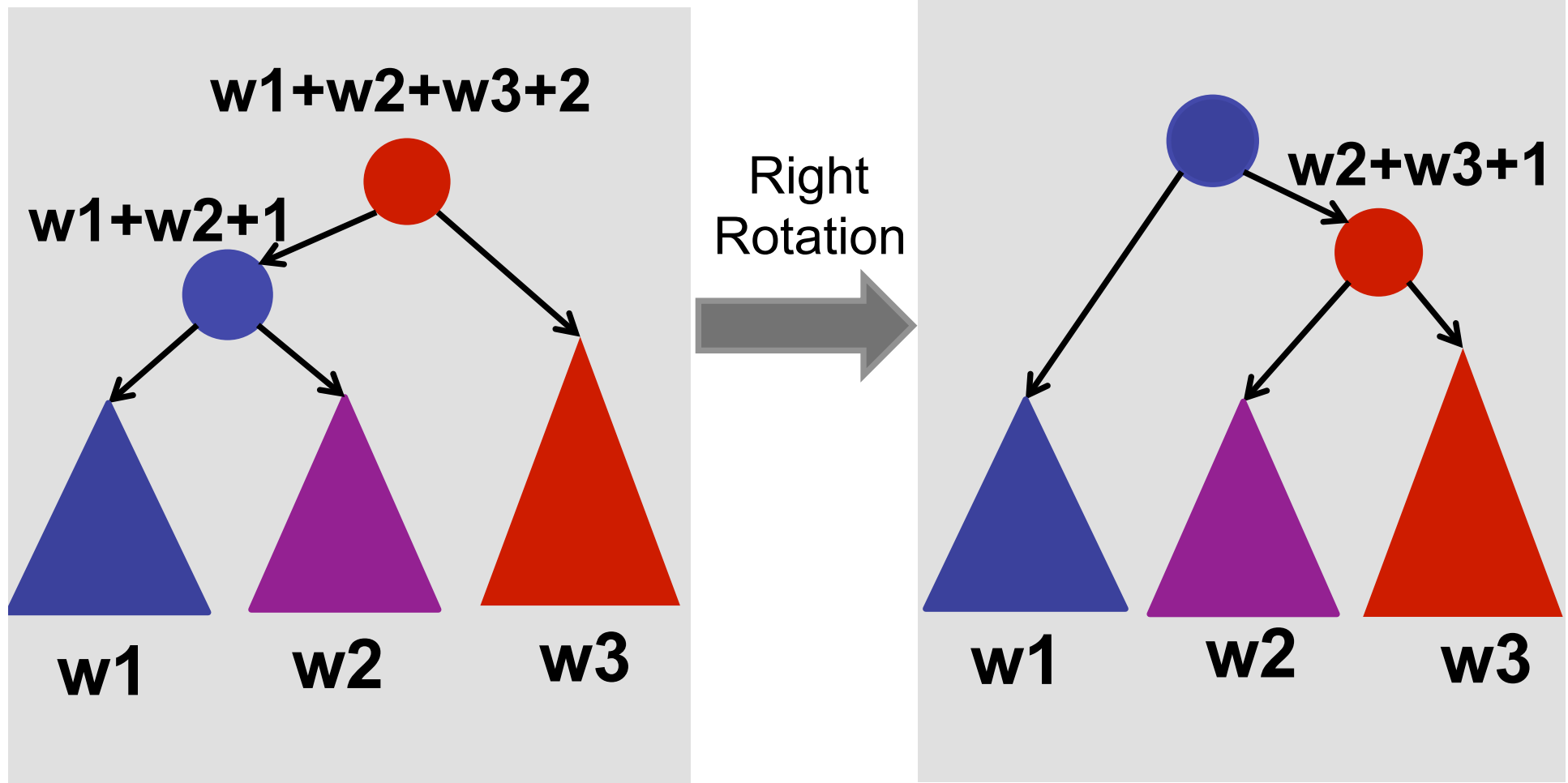
# Augmented Trees

Maintain weight during rotations:



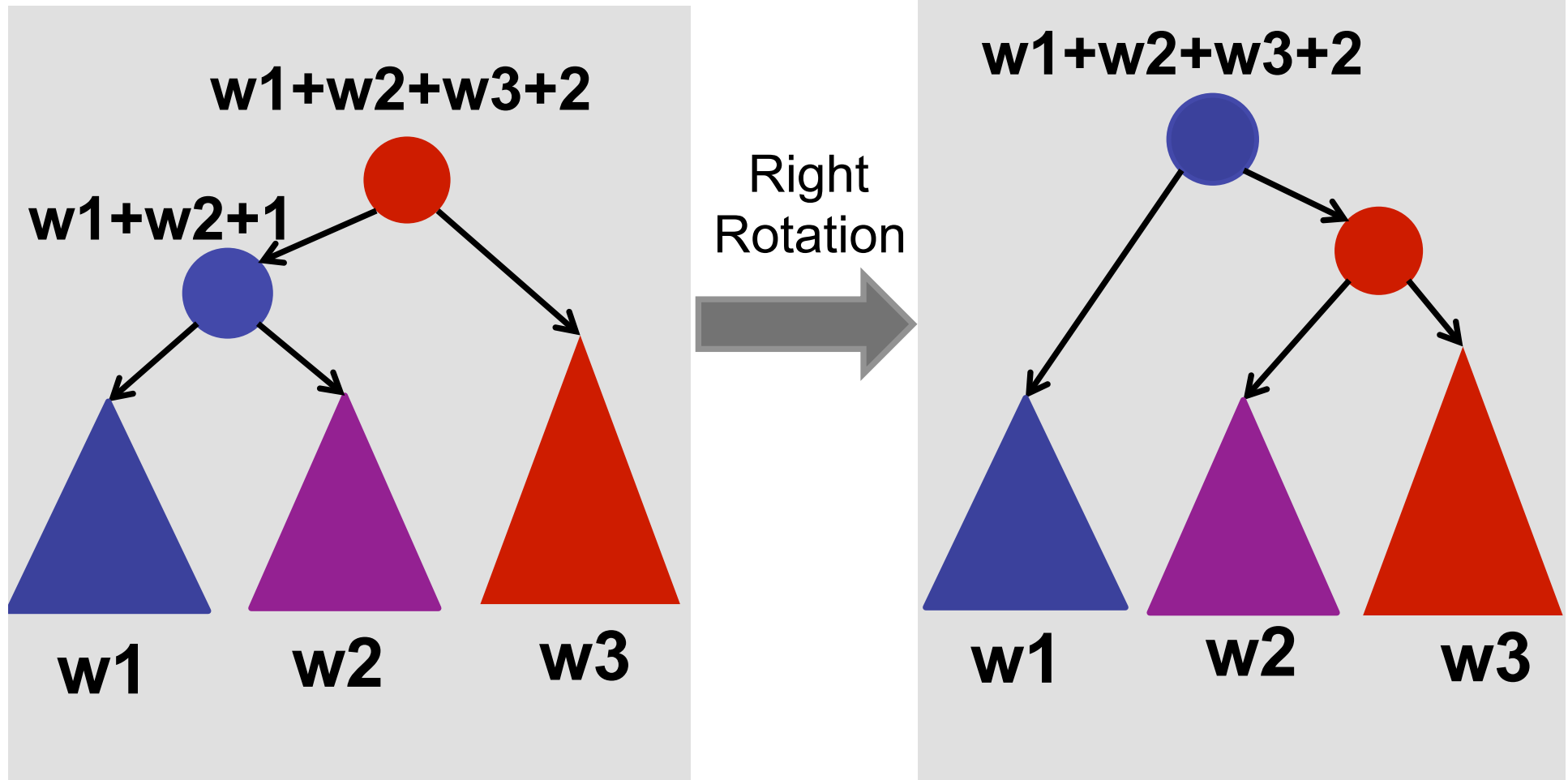
# Augmented Trees

Maintain weight during rotations:



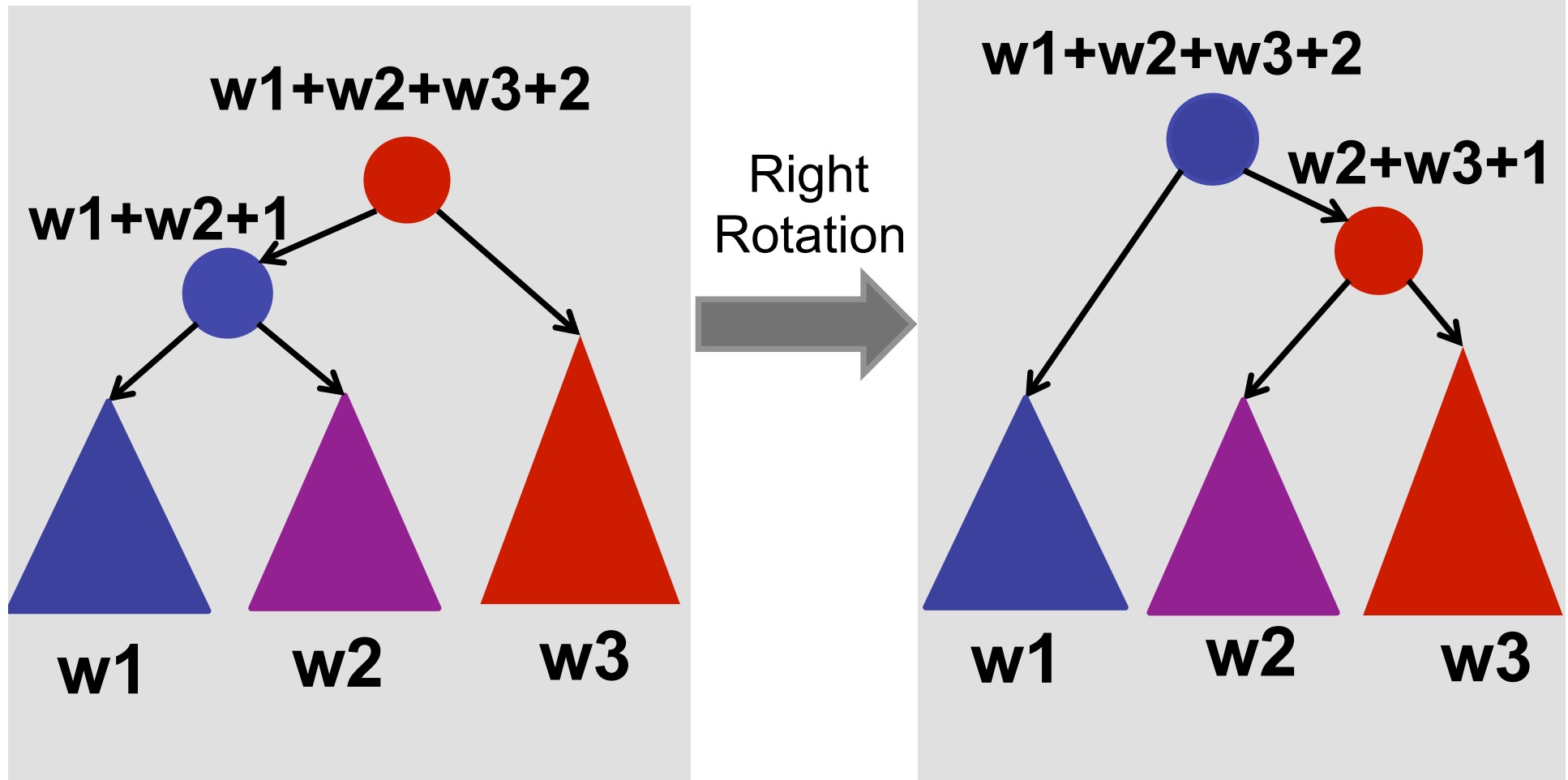
# Augmented Trees

Maintain weight during rotations:



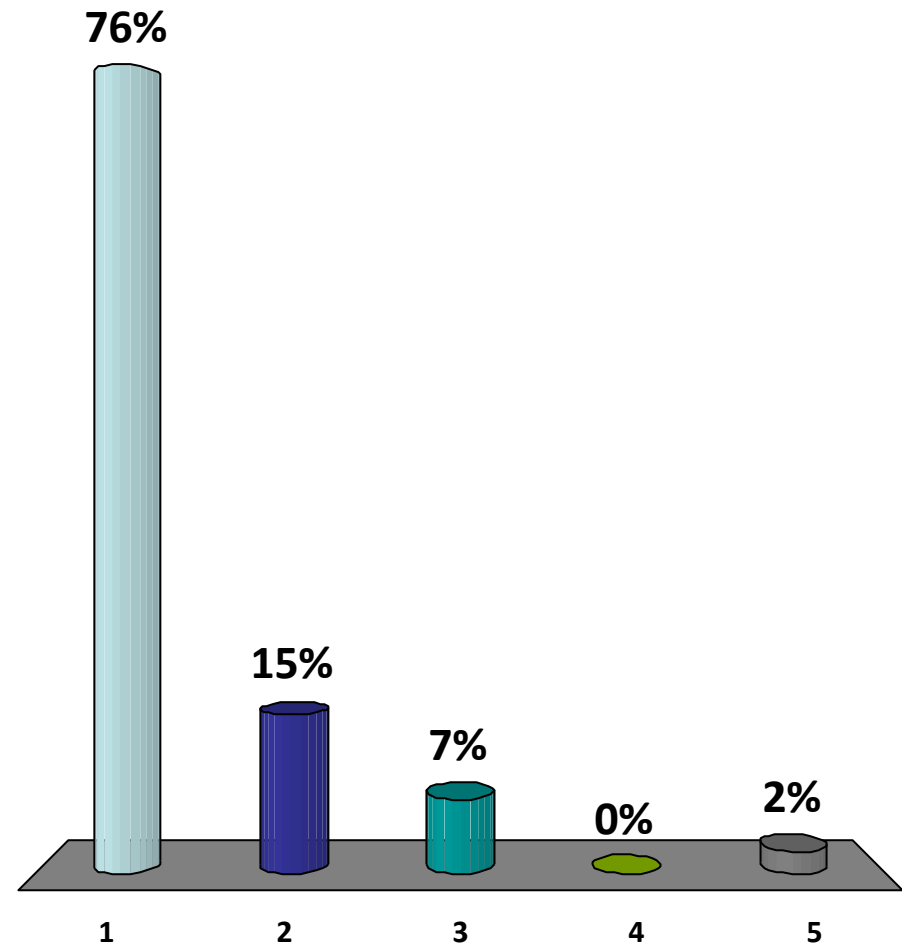
# Augmented Trees

Maintain weight during rotations:



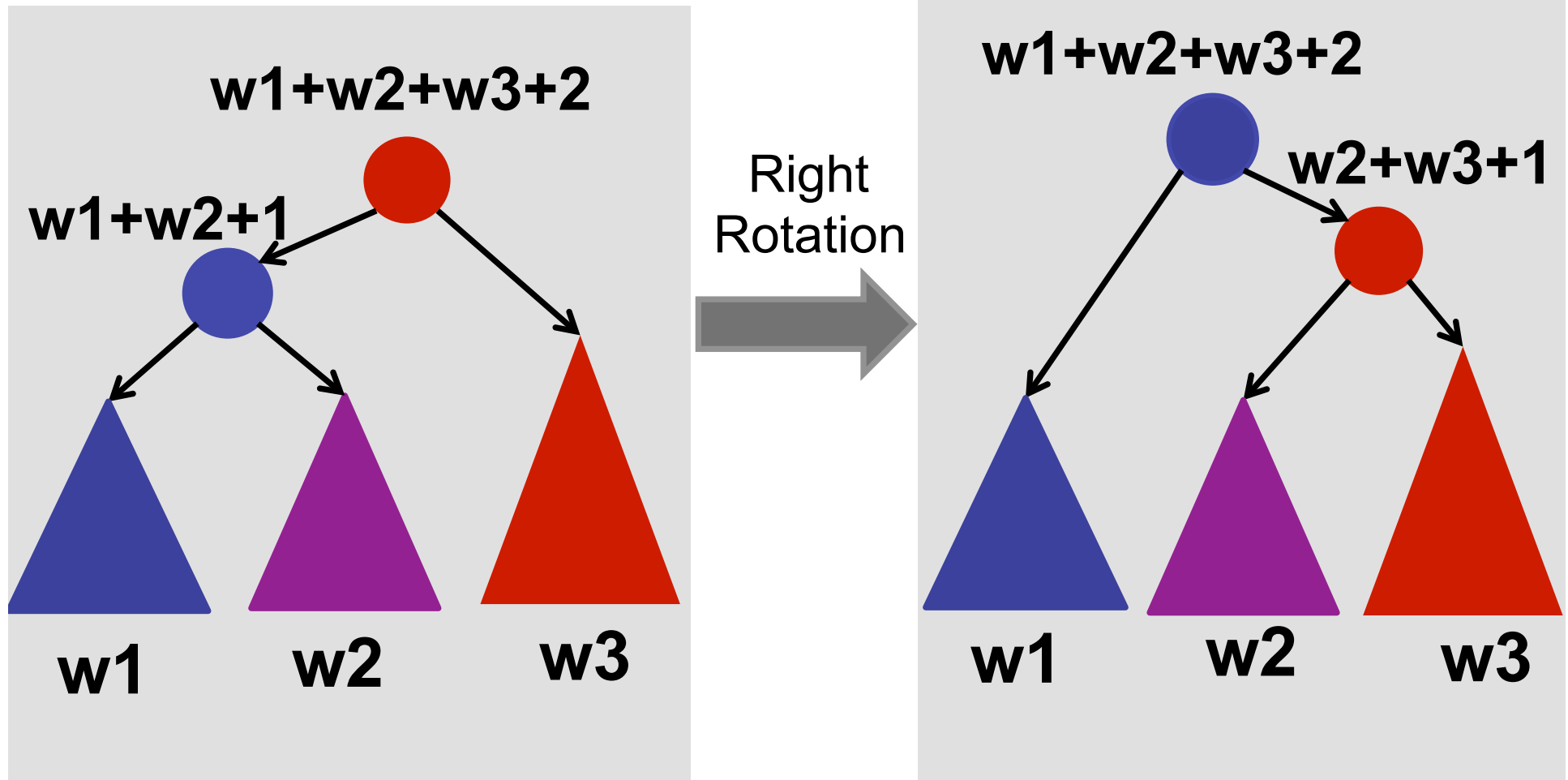
How long does it take to update the weights during a rotation?

1.  $O(1)$
2.  $O(\log n)$
3.  $O(n)$
4.  $O(n^2)$
5. What is a rotation?



# Augmented Trees

Maintain weight during rotations:



# Augmenting data structures

---

## Basic methodology:

1. Choose underlying data structure  
(tree, hash table, linked list, stack, etc.)
2. Determine additional info needed.
3. Verify that the additional info can be maintained as the data structure is modified.  
(subject to insert/delete/etc.)
4. Develop new operations using the new info.

# Today

---

Three examples of augmenting balanced BSTs

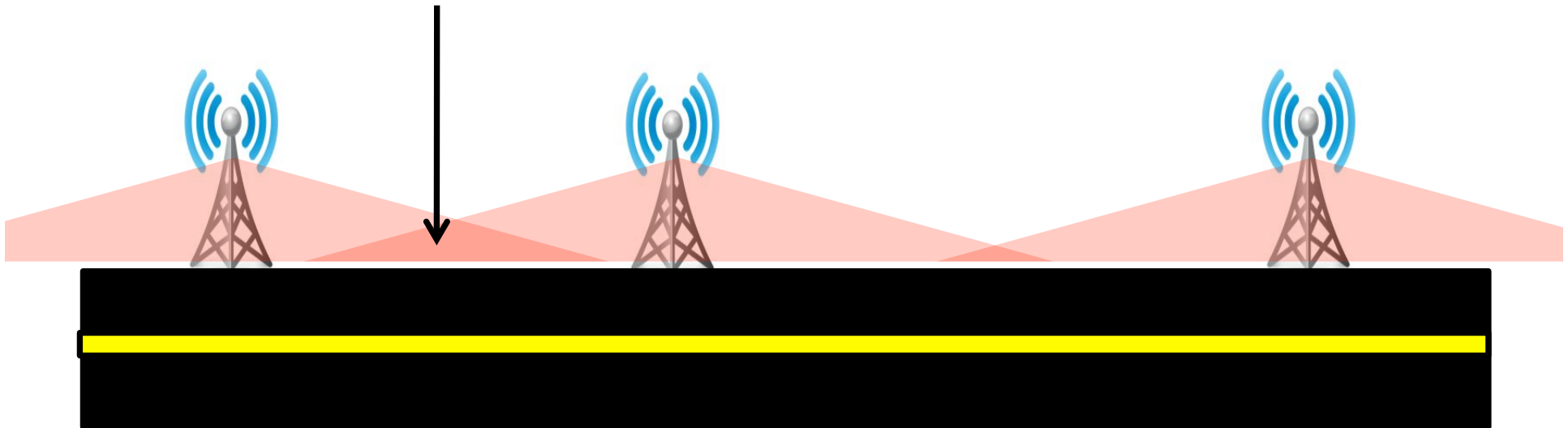
1. Order Statistics
2. Intervals
3. Orthogonal Range Searching



# Cell Tower Coverage

---

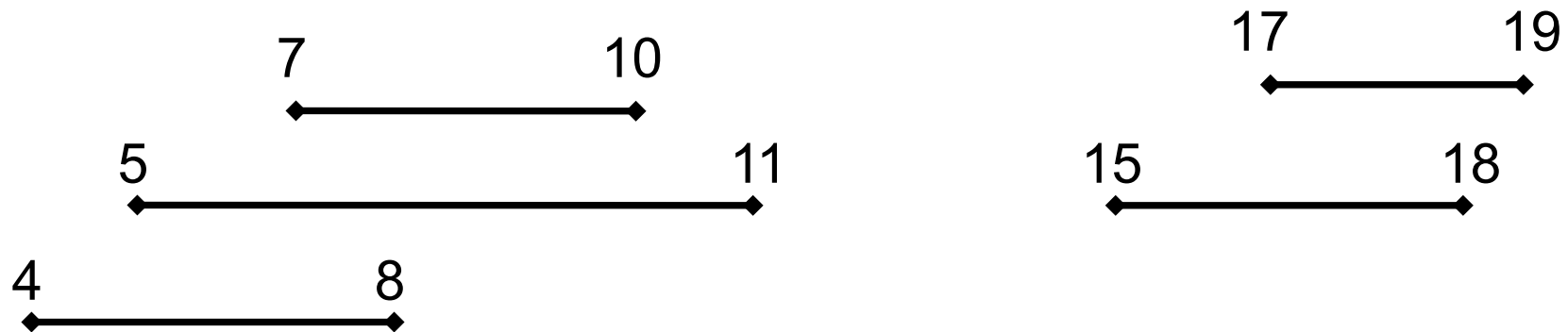
Find a tower that covers my location.



# Cell Tower Coverage

---

Find a tower that covers my location.

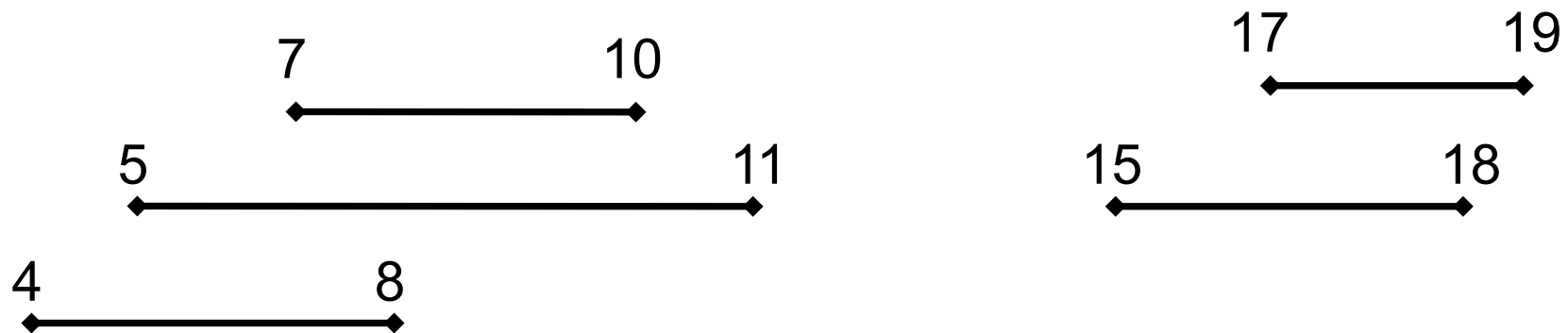


**insert(begin, end)**  
**delete(begin, end)**

# Cell Tower Coverage

---

Find a tower that covers my location.



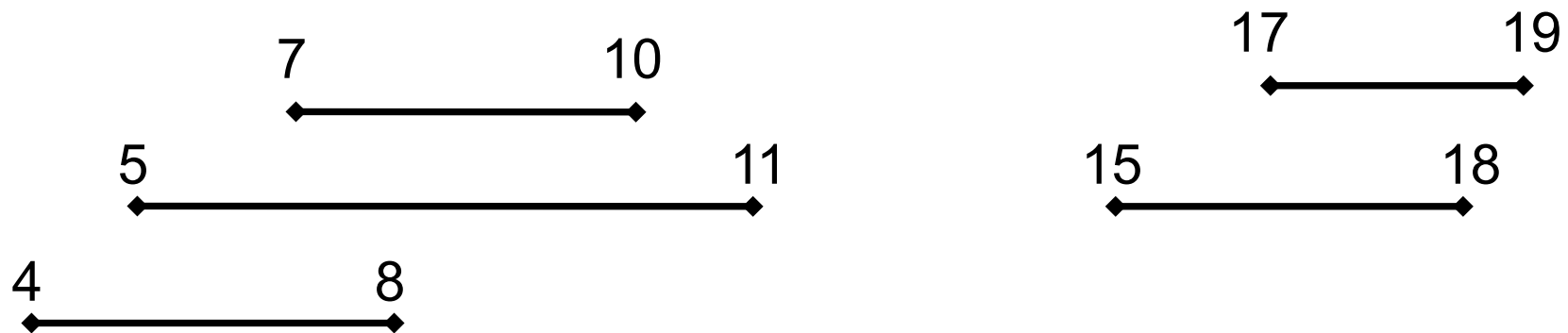
**insert(begin, end)**  
**delete(begin, end)**

**Query: find an interval that overlaps x.**

# Cell Tower Coverage

---

Find a tower that covers my location.



Solution 1: Keep intervals in a list.

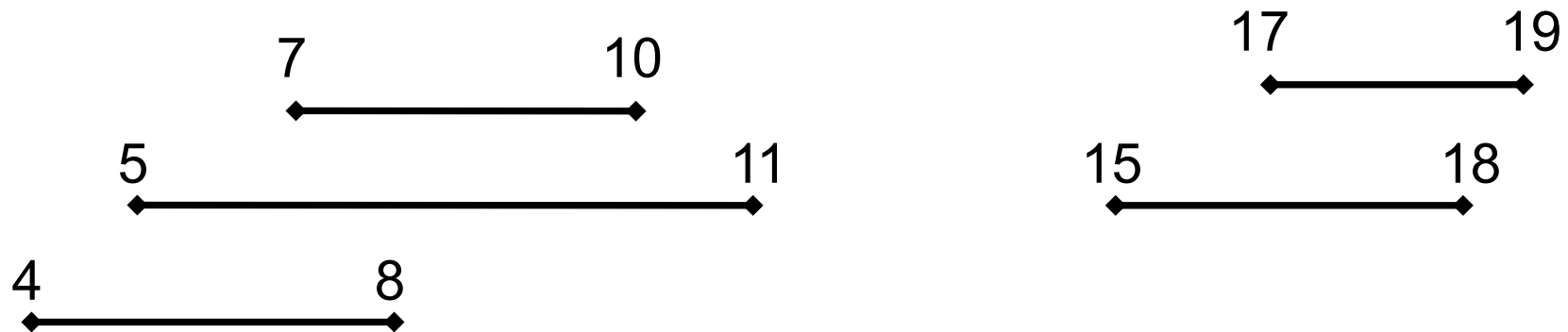
Query: scan entire list.

Does sorting help?

# Cell Tower Coverage

---

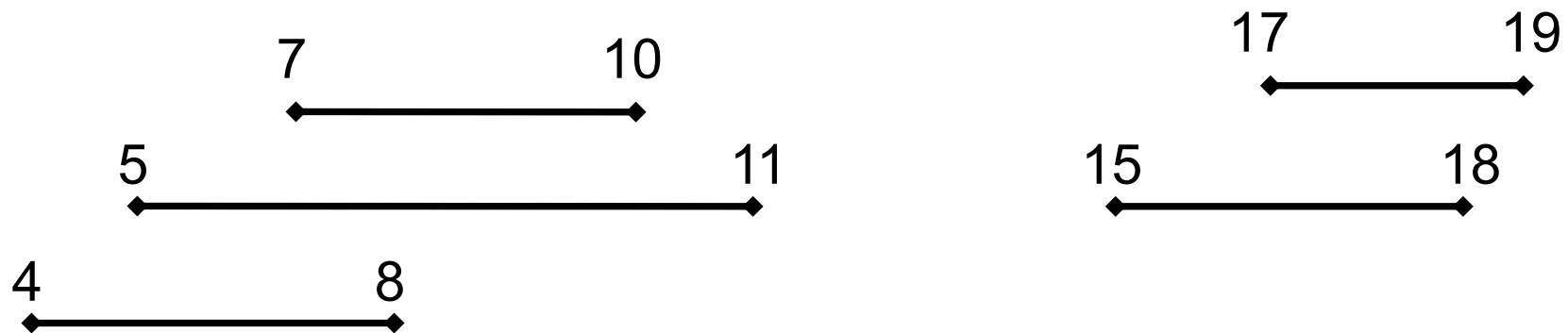
Find a tower that covers my location.



Solution 2:  $O(1)$  queries??

# Cell Tower Coverage

Find a tower that covers my location.



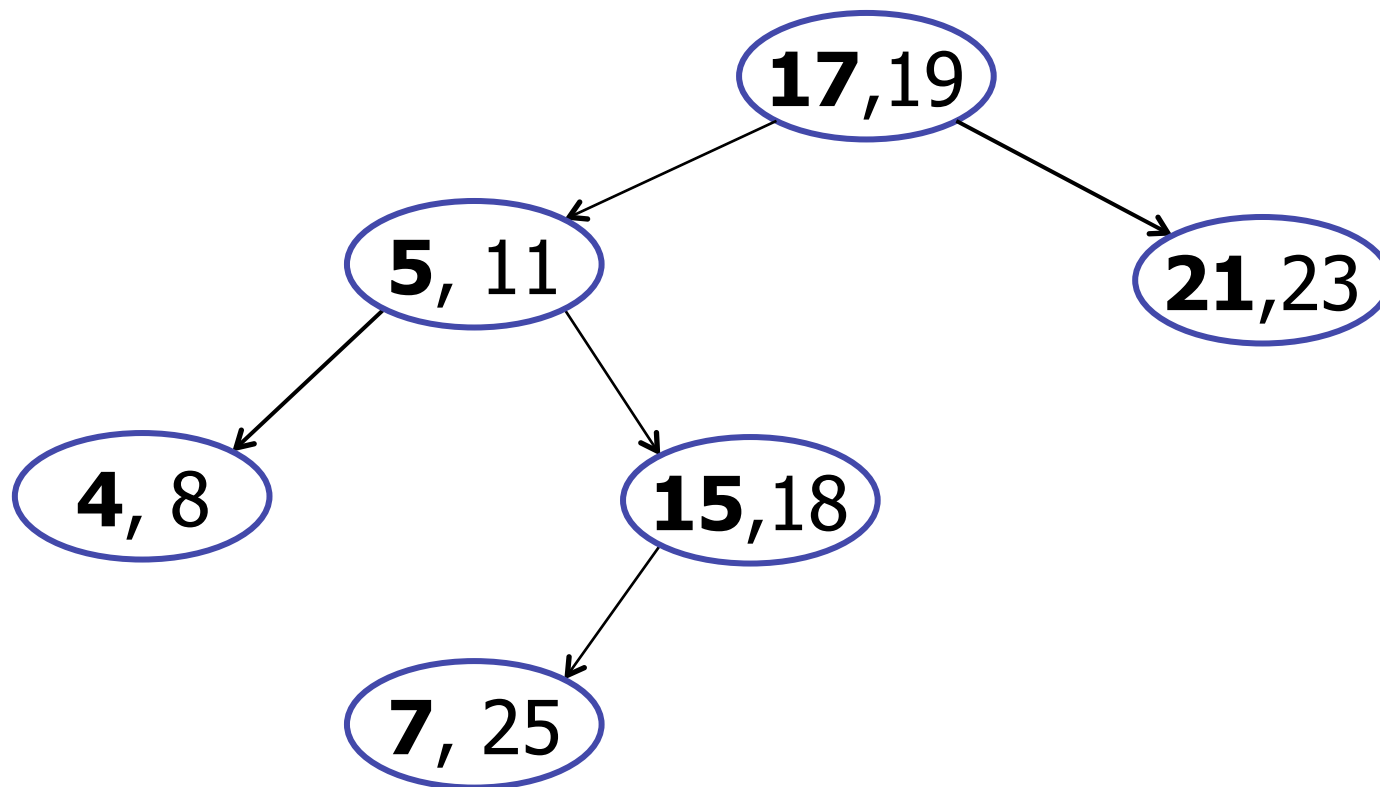
Solution 2:  $O(1)$  queries??

			<b>A</b>	<b>A</b>	<b>A</b>	<b>A</b>	<b>A</b>	<b>B</b>	<b>B</b>	<b>C</b>				<b>D</b>	<b>D</b>	<b>D</b>	<b>D</b>	<b>E</b>	
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20

# Interval Trees

---

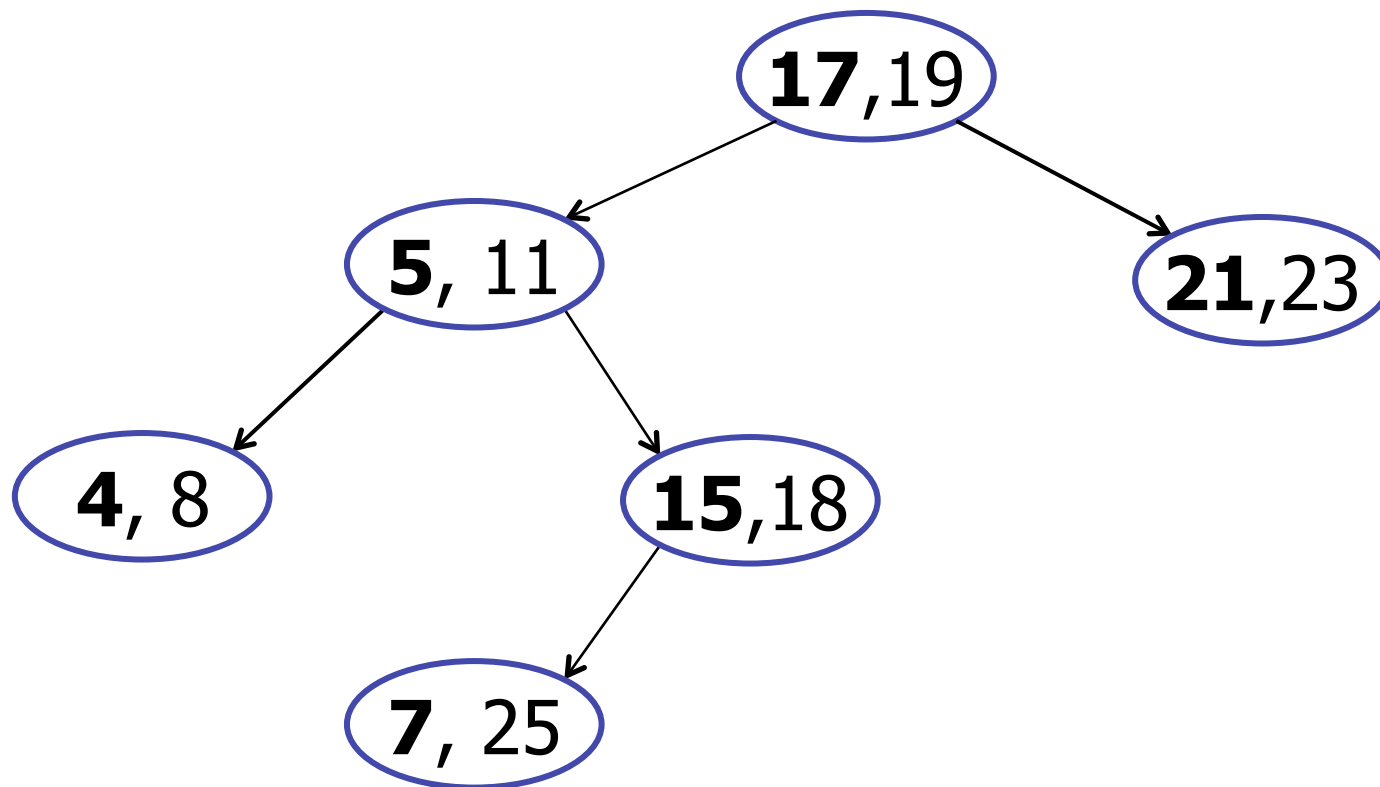
Sorted by left endpoint



# Interval Trees

---

search-interval(25) = ?

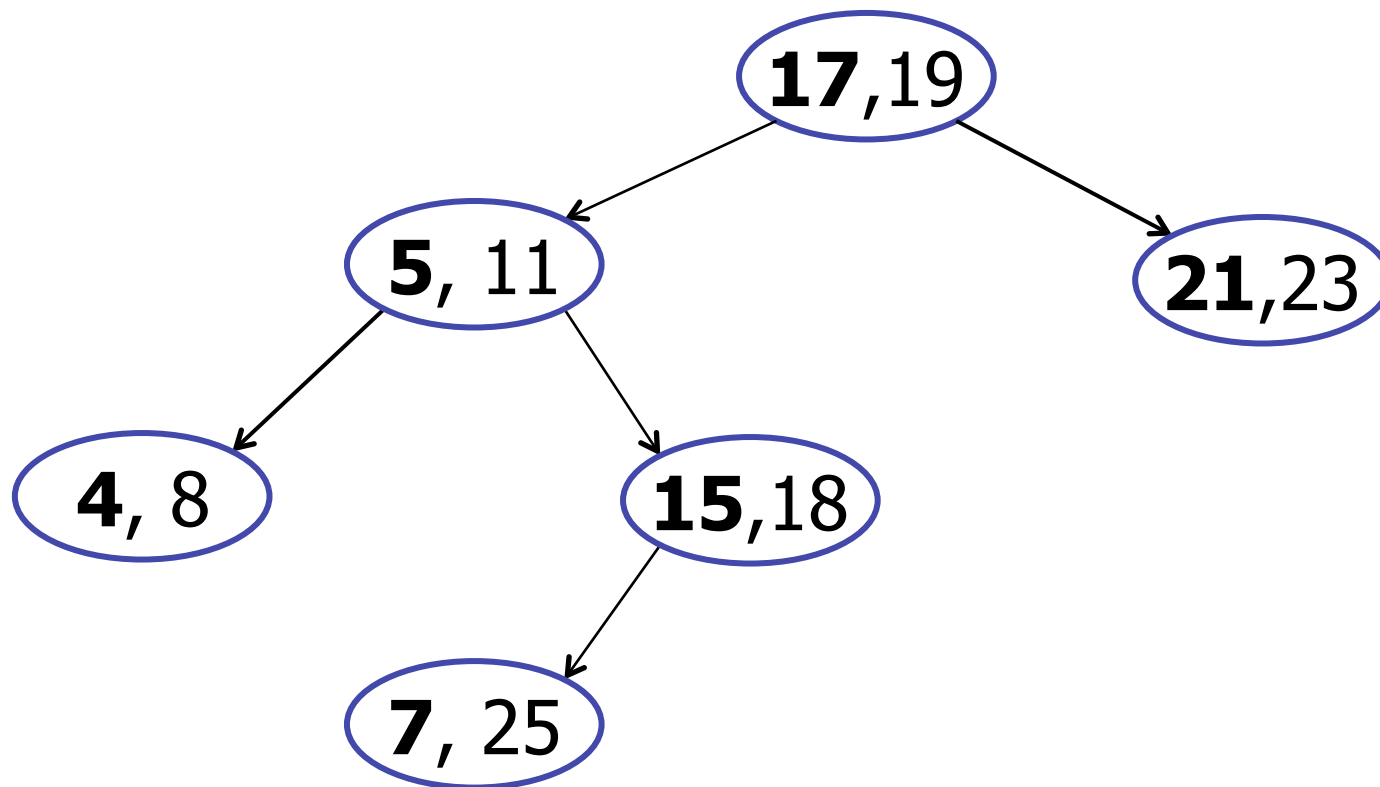




# Interval Trees

---

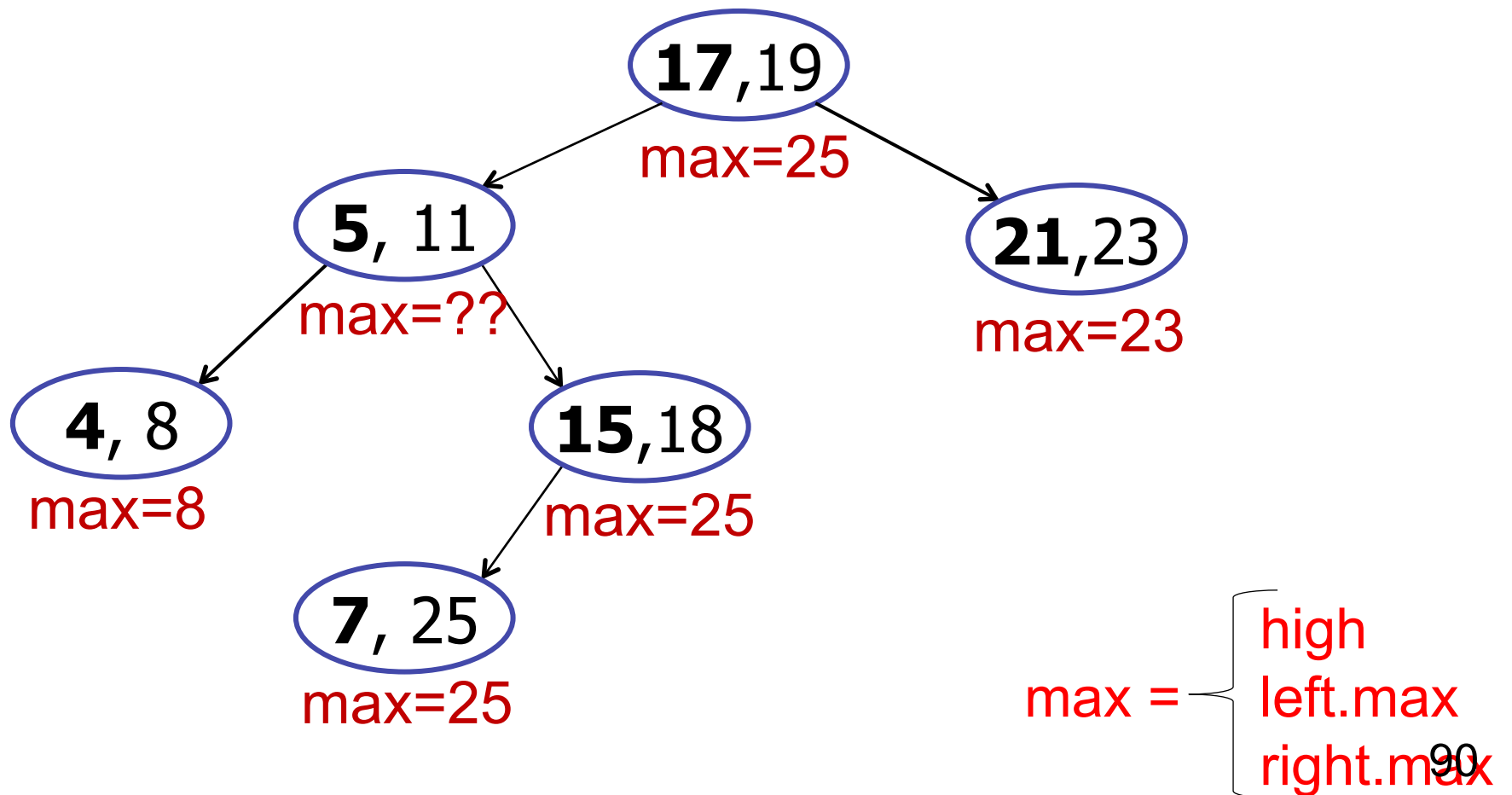
Augment: ??



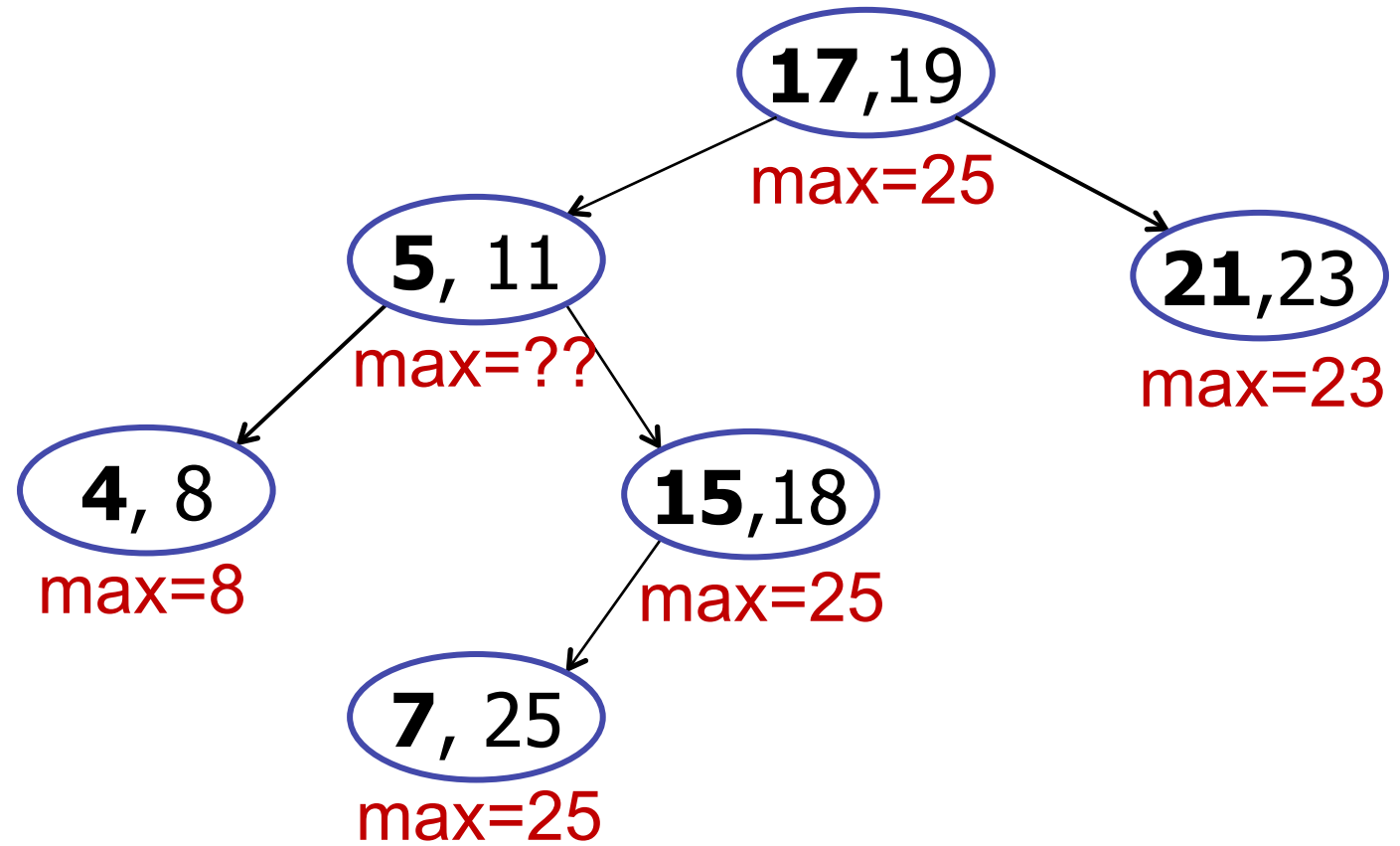
# Interval Trees

---

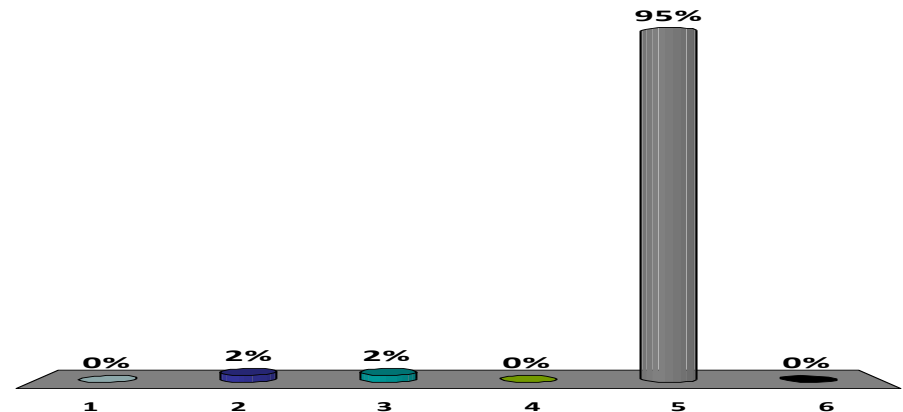
Augment: maximum endpoint in subtree



max=??



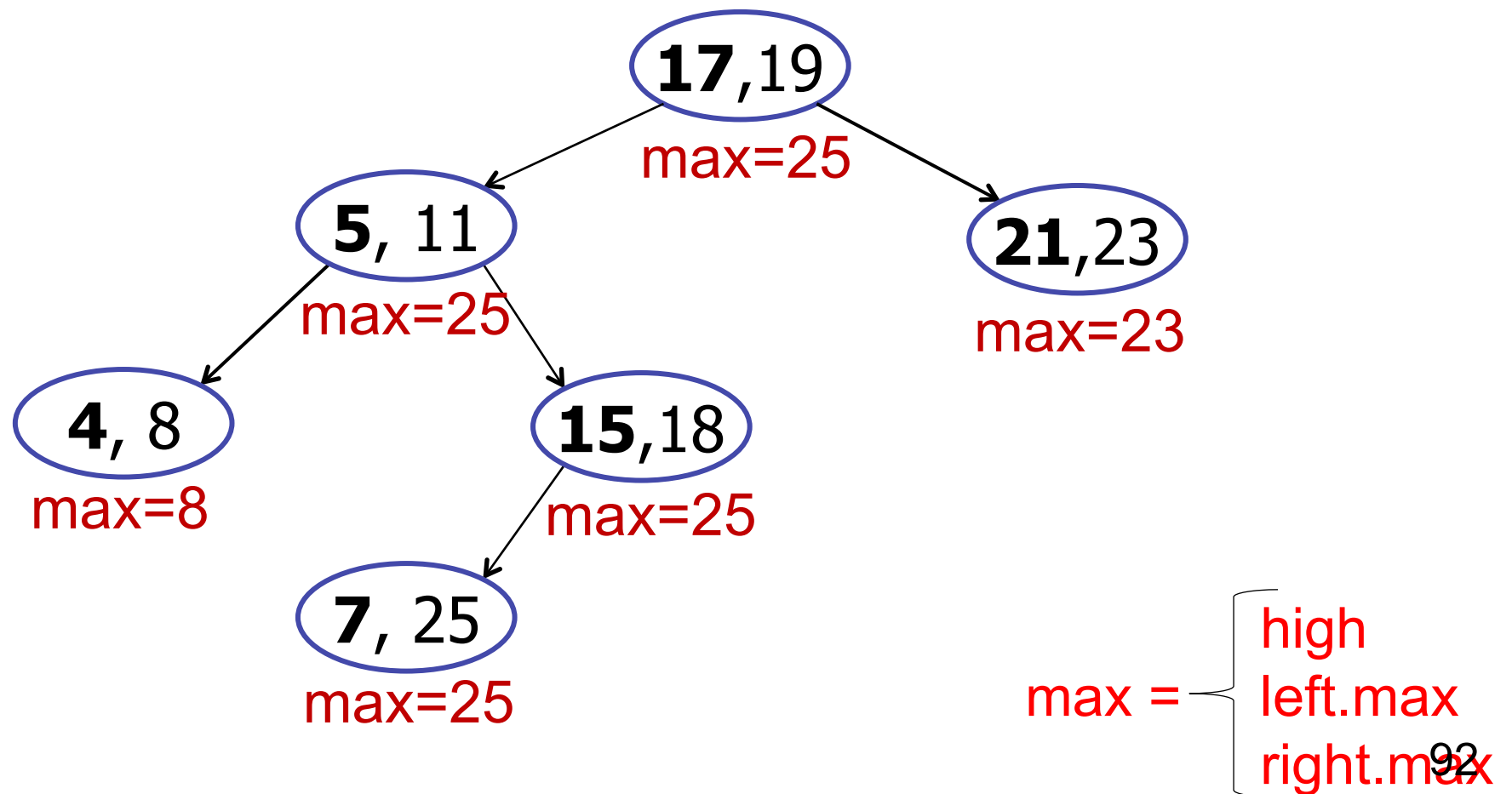
1. 5
2. 8
3. 11
4. 18
5. 25
6. 19



# Interval Trees

---

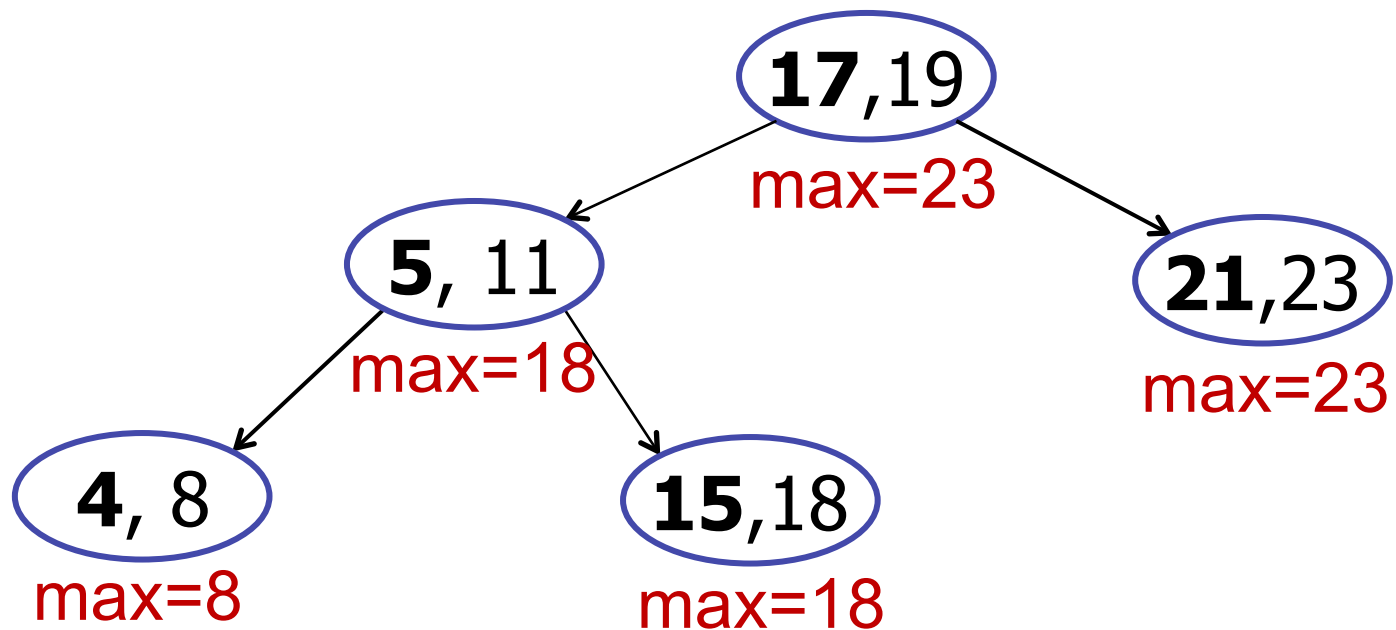
Augment: maximum endpoint in subtree



# Interval Trees

---

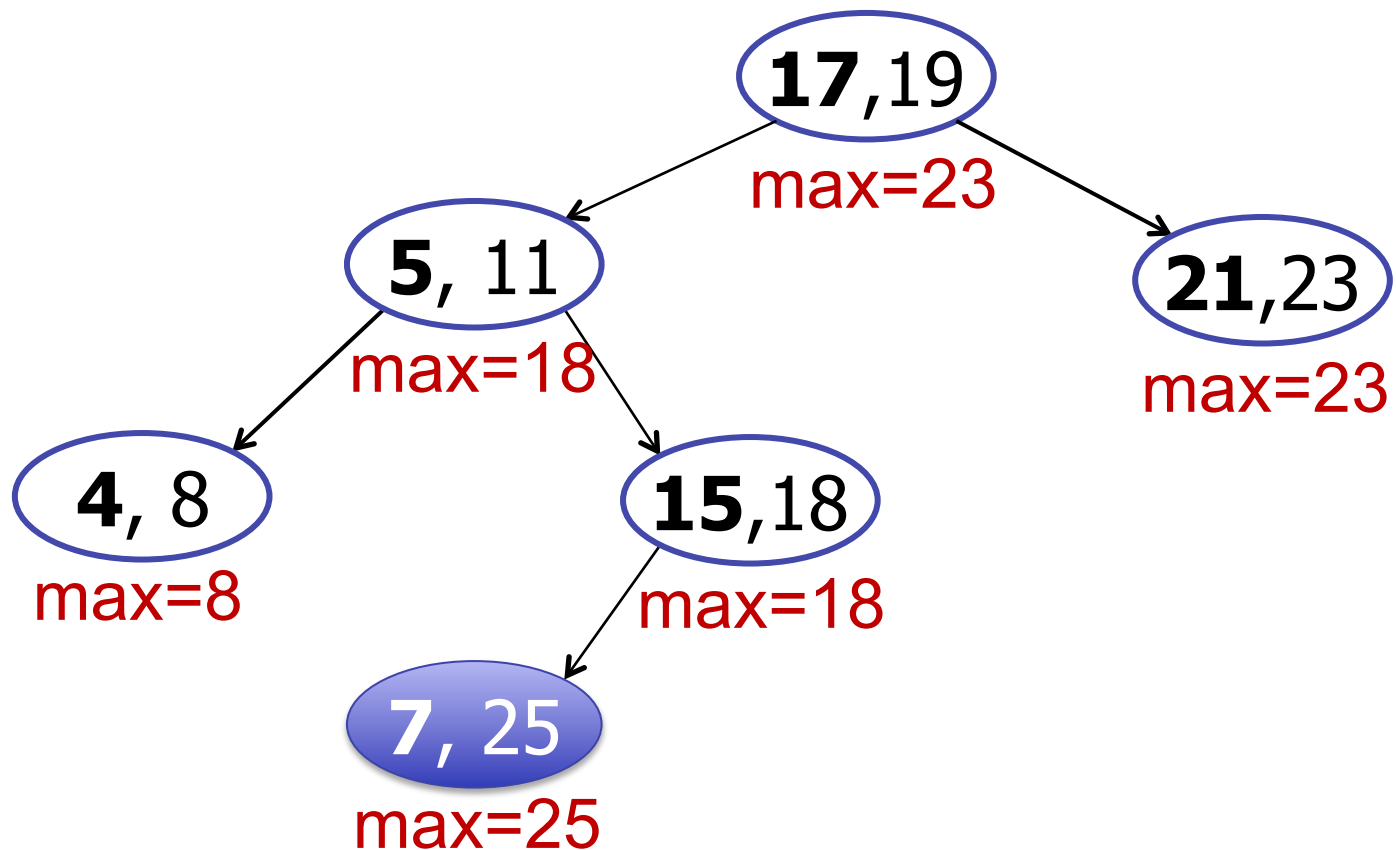
Insertion: *example* – **insert(7, 25)**



# Interval Trees

---

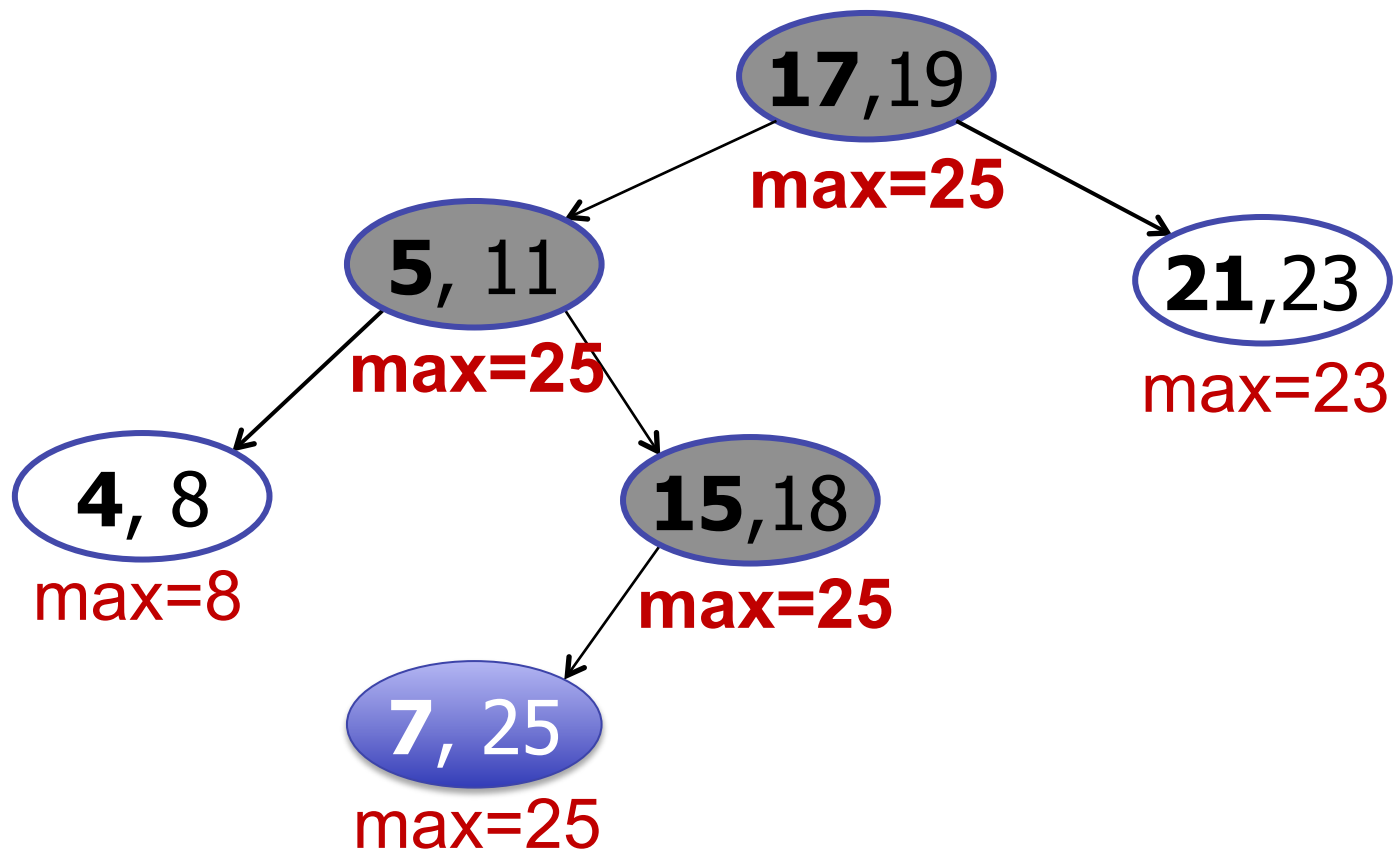
Insertion: *example* – **insert(7, 25)**



# Interval Trees

---

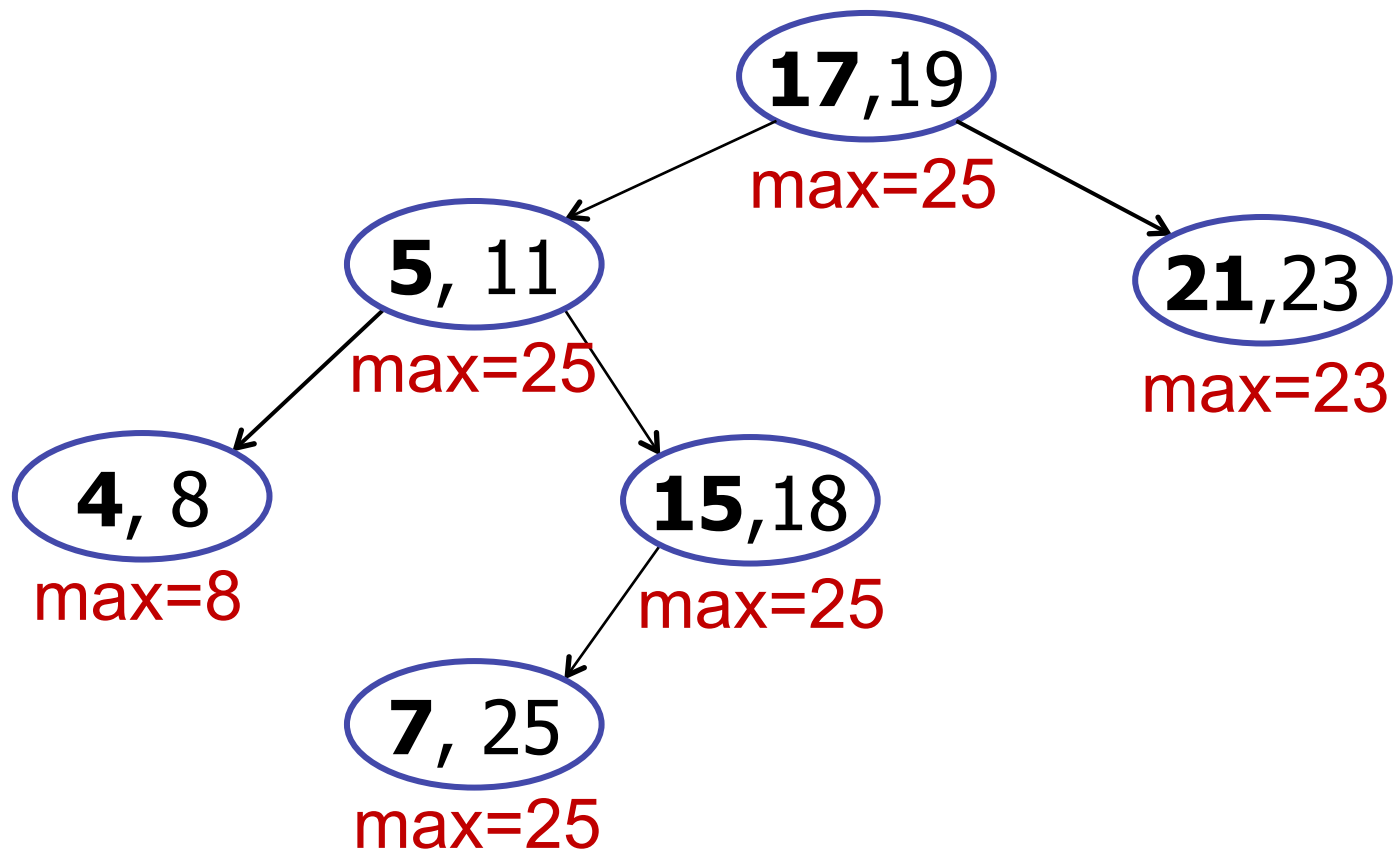
Insertion: *example* – **insert(7, 25)**



# Interval Trees

---

Insertion: *out-of-balance*

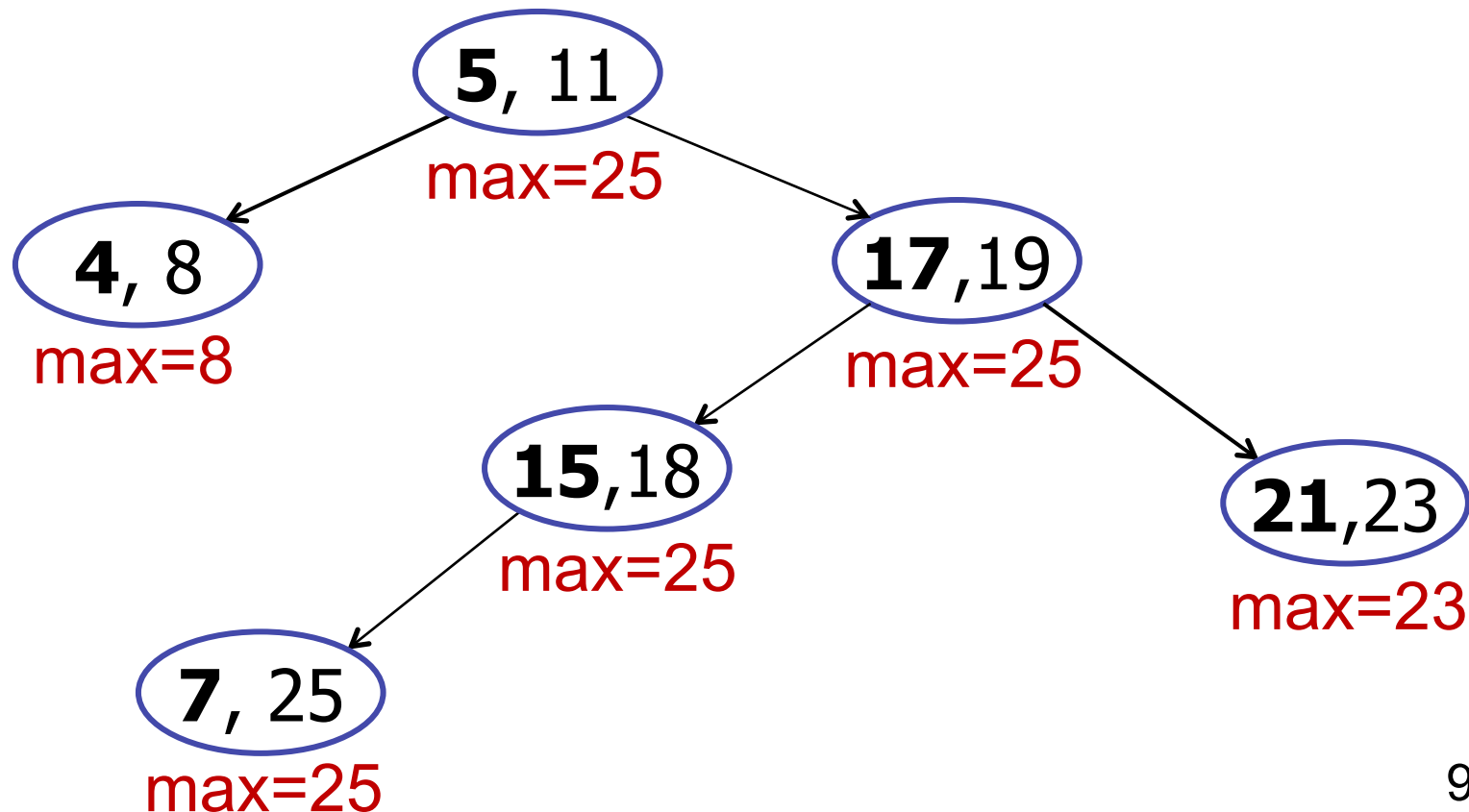




# Interval Trees

---

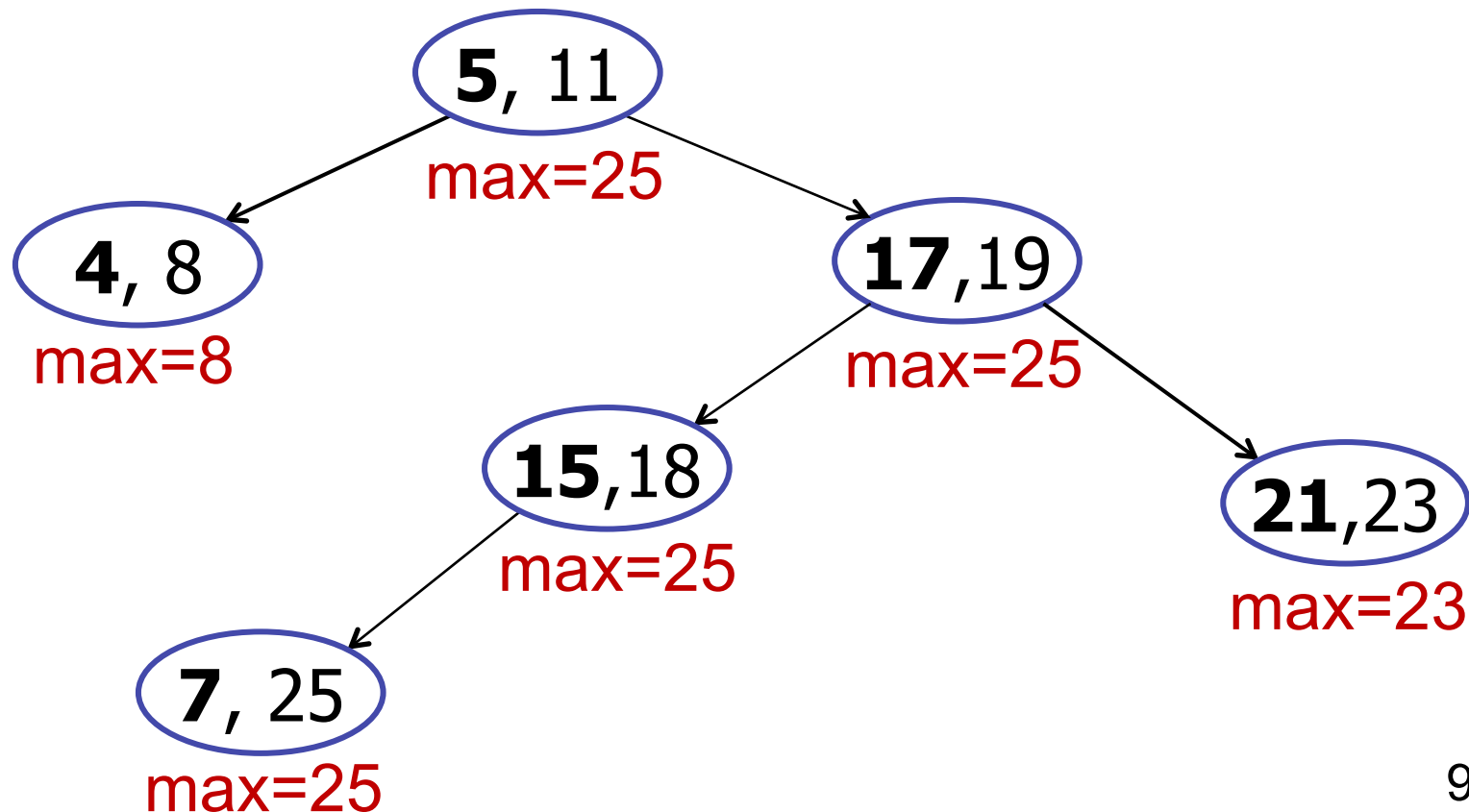
Insertion: *right-rotate* (17, 19)



# Interval Trees

---

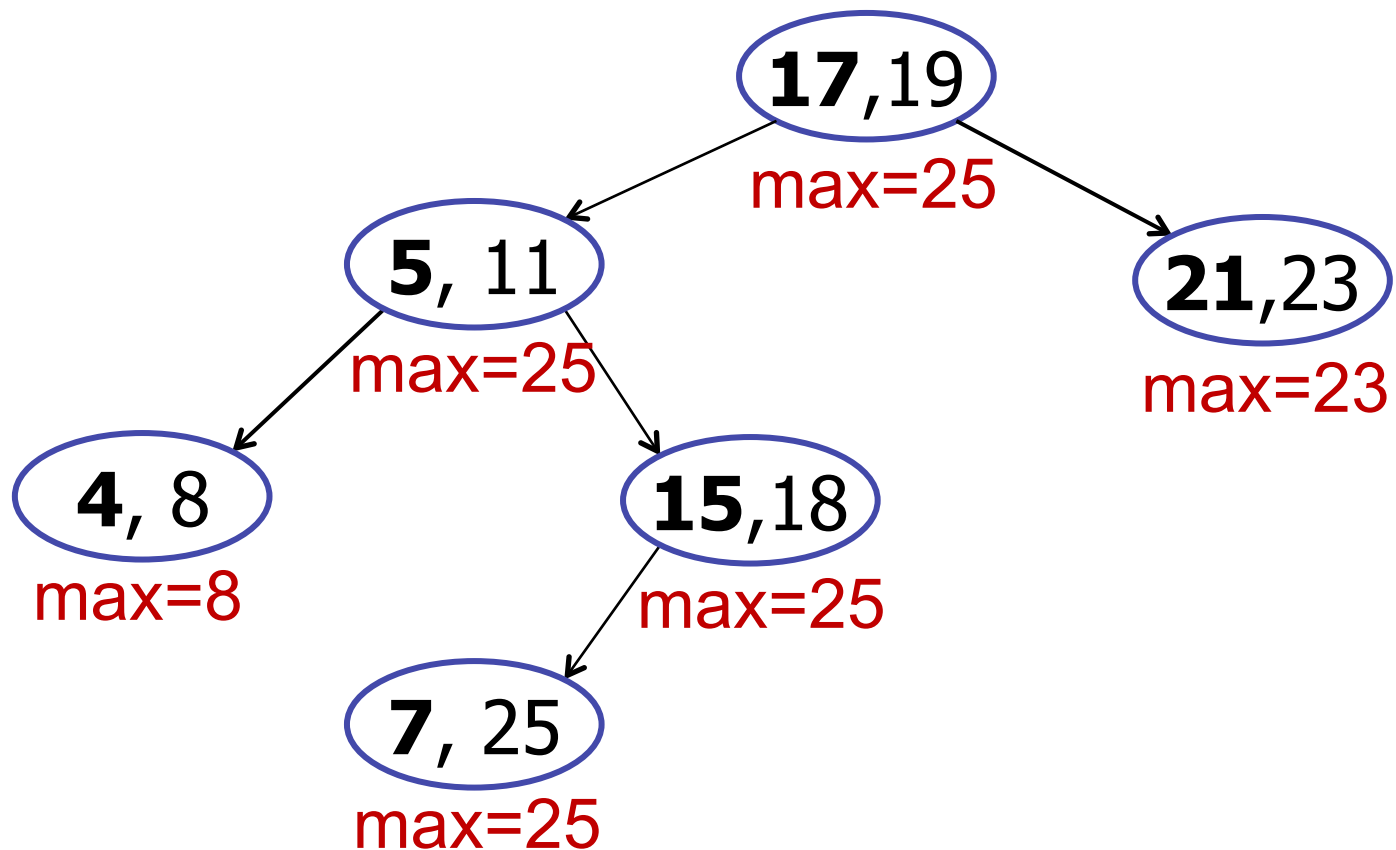
Insertion: *right-rotate* (17, 19), **OOPS!**



# Interval Trees

---

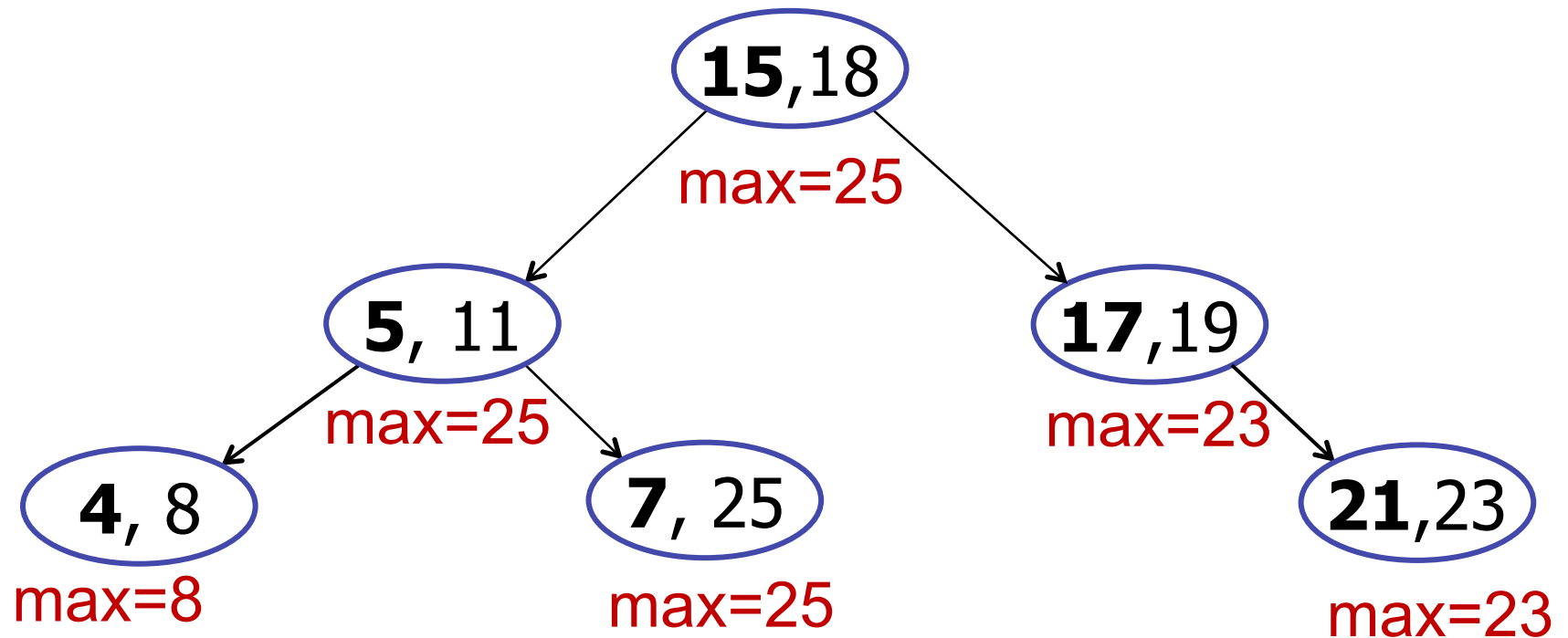
Insertion: *out-of-balance*



# Interval Trees

---

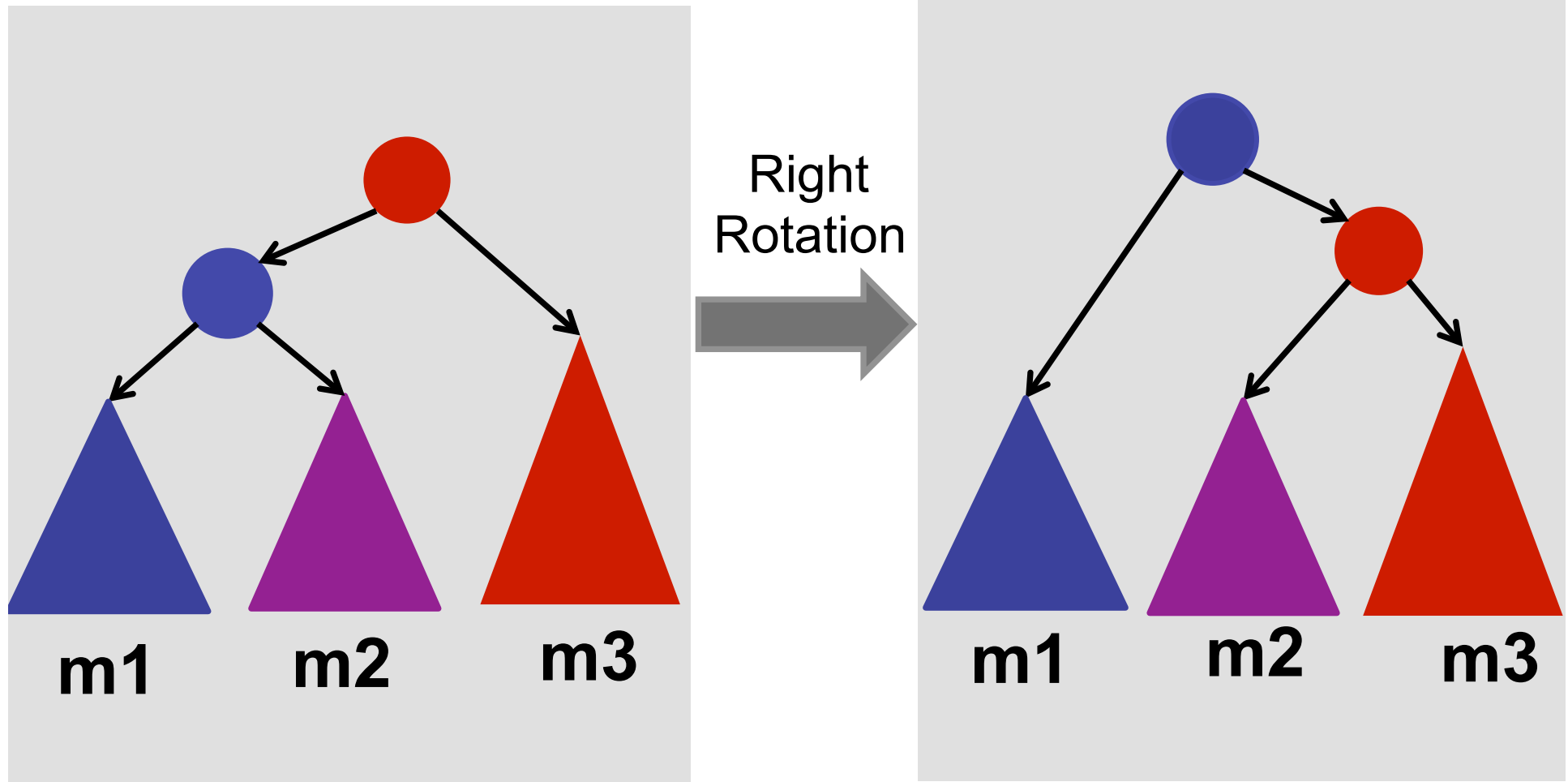
Insertion: *left-rotate*, *right-rotate*



# Interval Trees

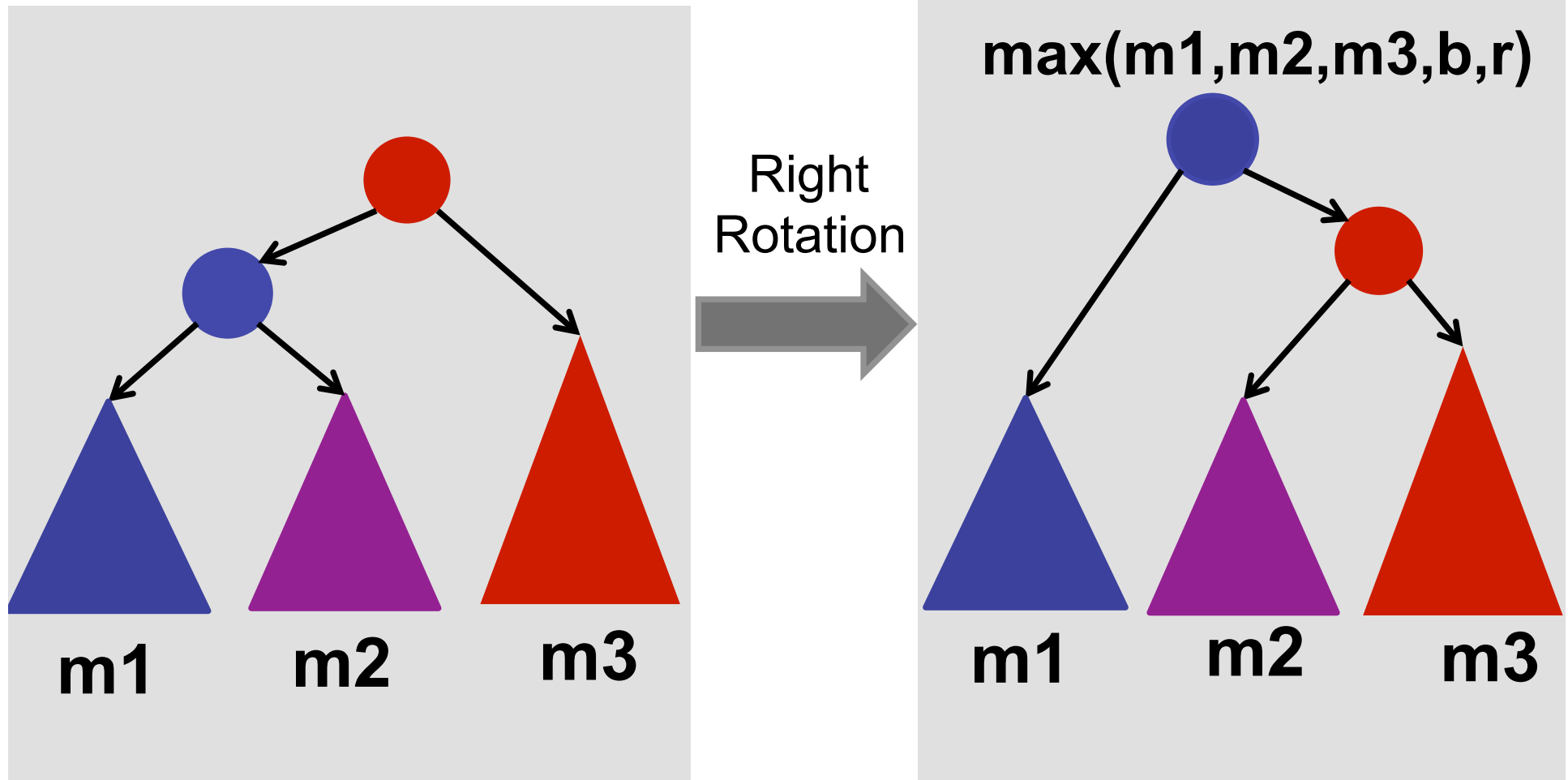
---

Maintain MAX during rotations:



# Interval Trees

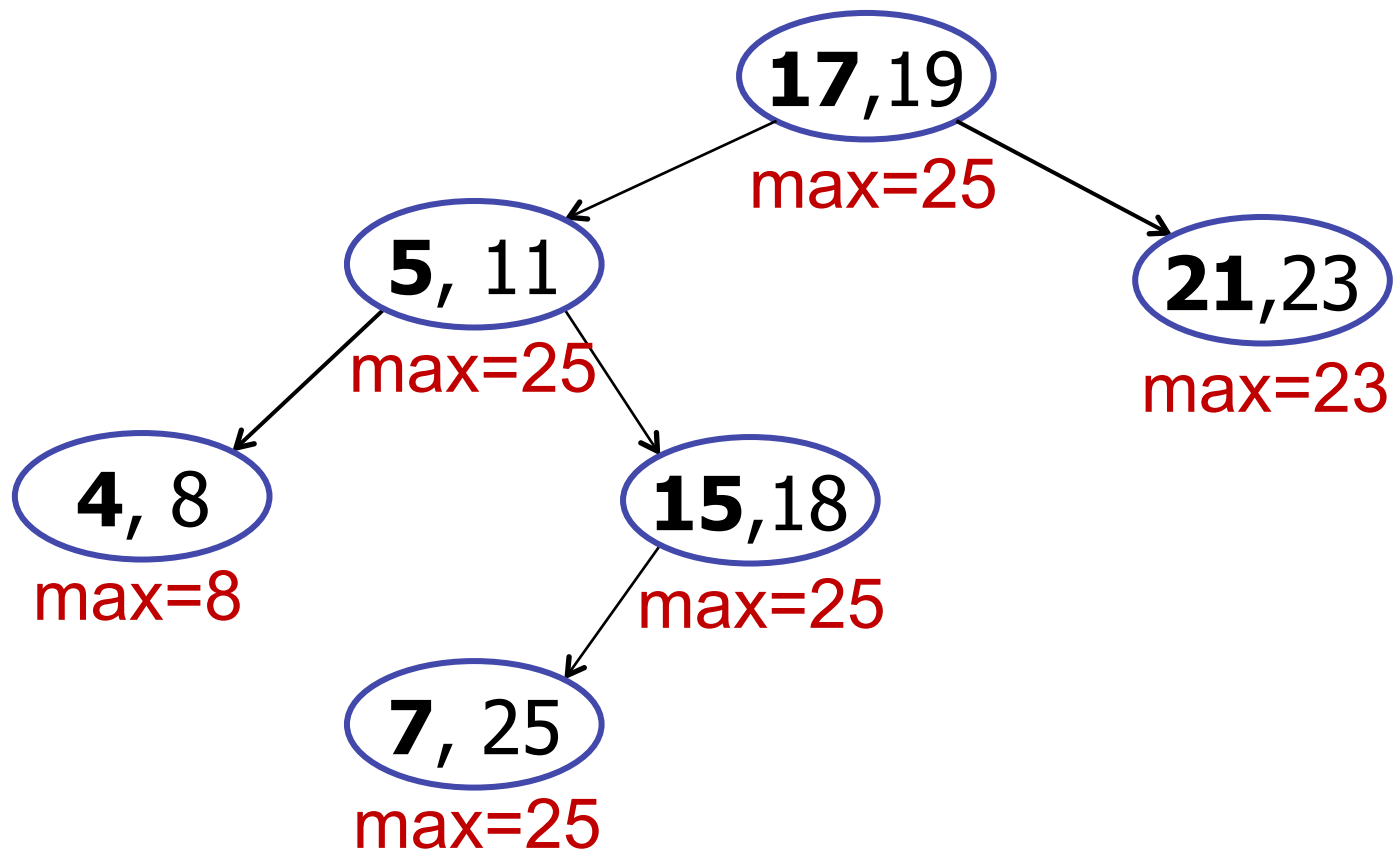
Maintain MAX during rotations:



# Interval Trees

---

Searching: *interval-search*(22)



# Dynamic Order Statistics

---

interval-search(x) : find interval containing x

interval-search(x)

    c = root;

**while** (c != null **and** x is not in c.interval) **do**

**if** (c.left == null) **then**

            c = c.right;

**else if** (x > c.left.max) **then**

            c = c.right;

**else** c = c.left;

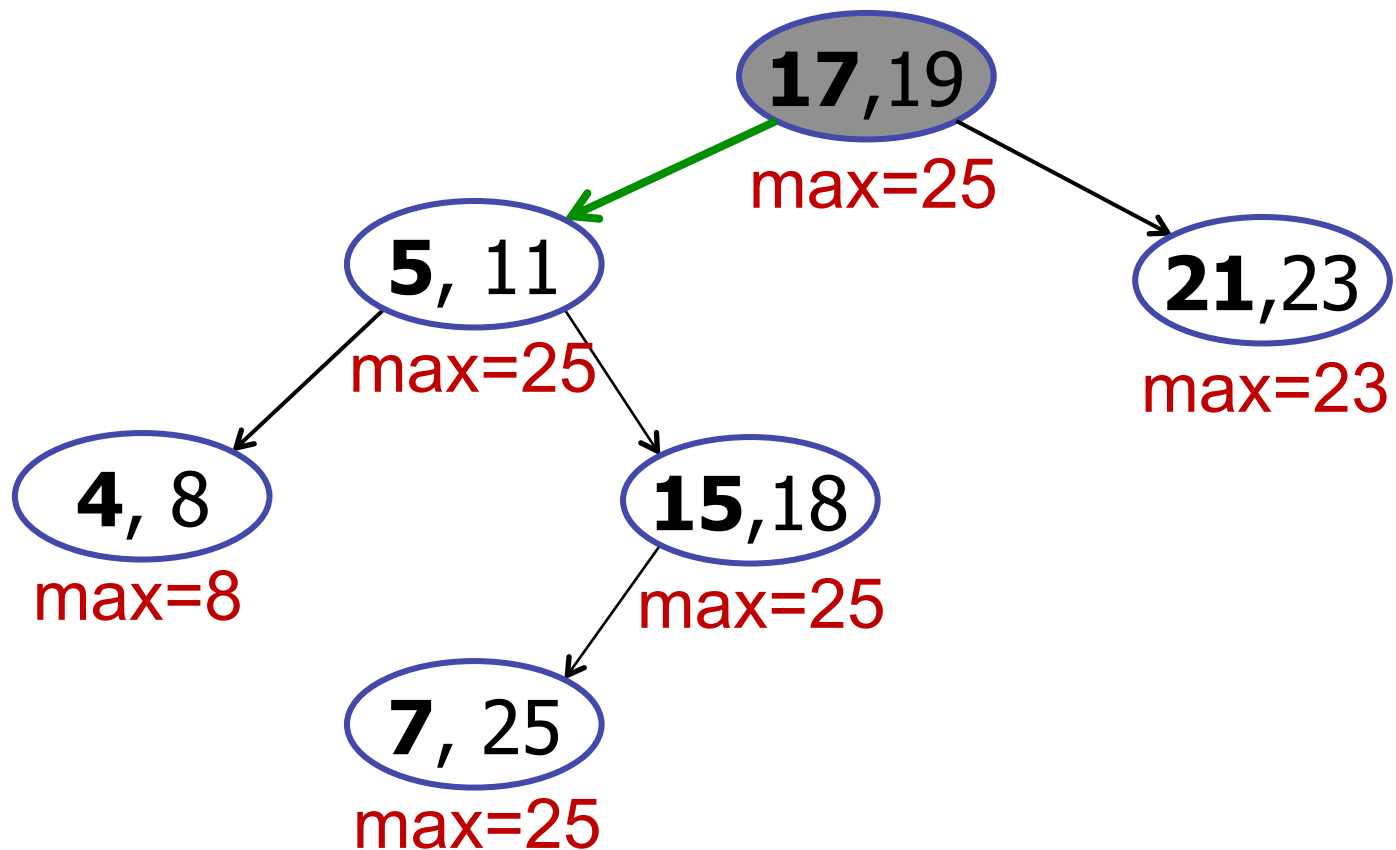
    return c.interval;



# Interval Trees

---

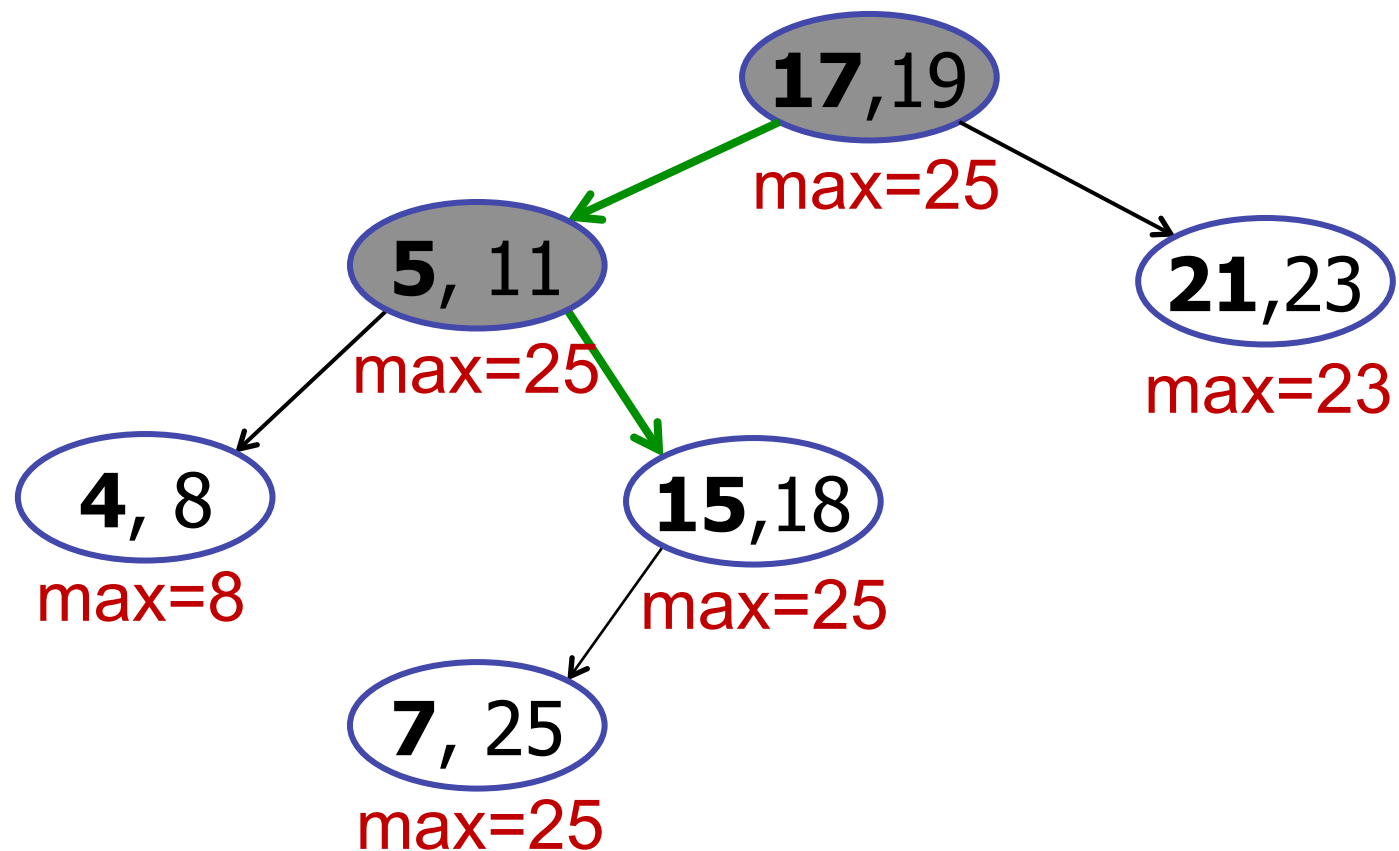
Searching: *interval-search*(22)



# Interval Trees

---

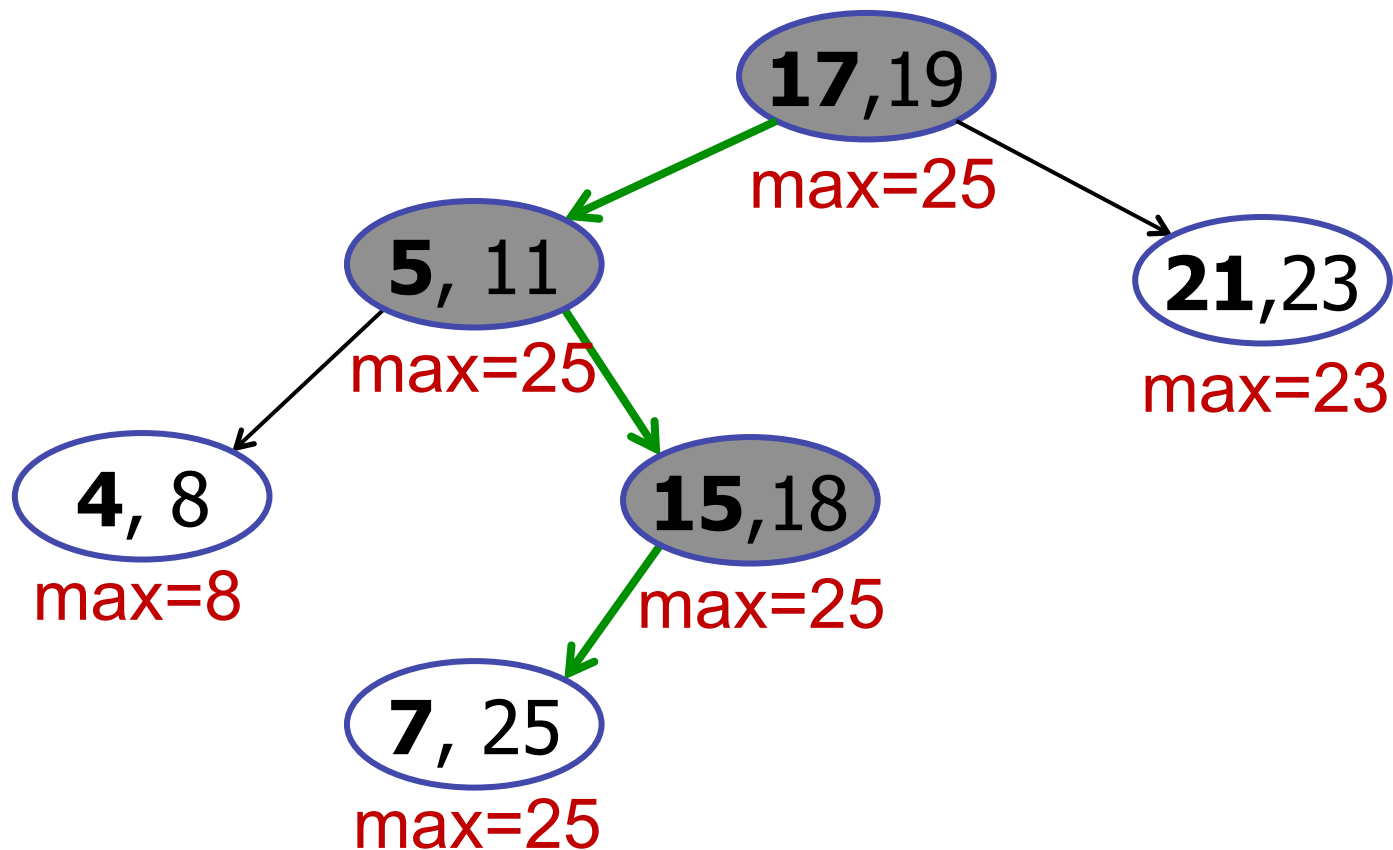
Searching: *interval-search*(22)



# Interval Trees

---

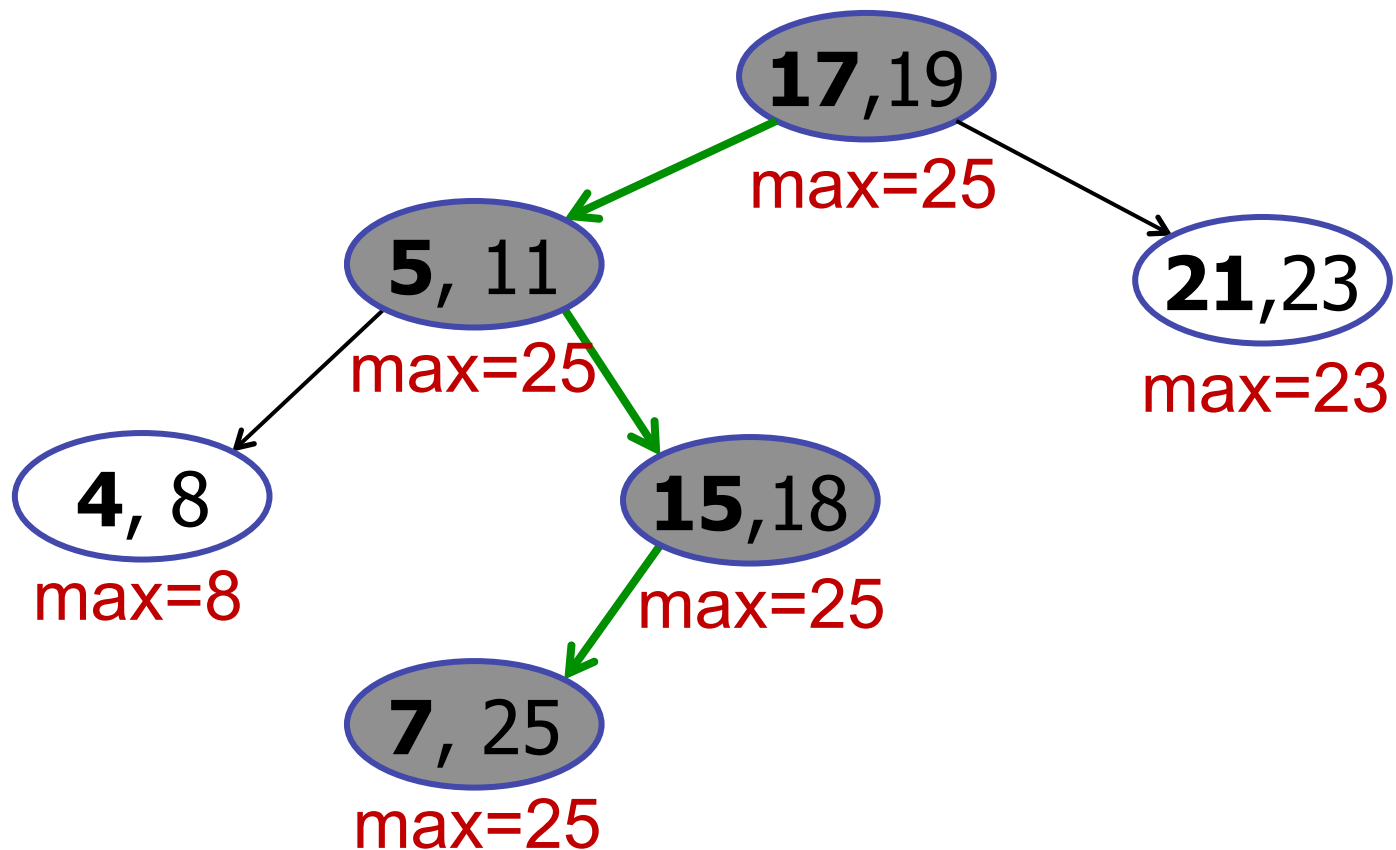
Searching: *interval-search*(22)



# Interval Trees

---

Searching: *interval-search*(22)



# Dynamic Order Statistics

---

interval-search(x) : find interval containing x

interval-search(x)

c = root;

**while** (c != null **and** x is not in c.interval) **do**

**if** (c.left == null) **then**

        c = c.right;

**else if** (x > c.left.max) **then**

        c = c.right;

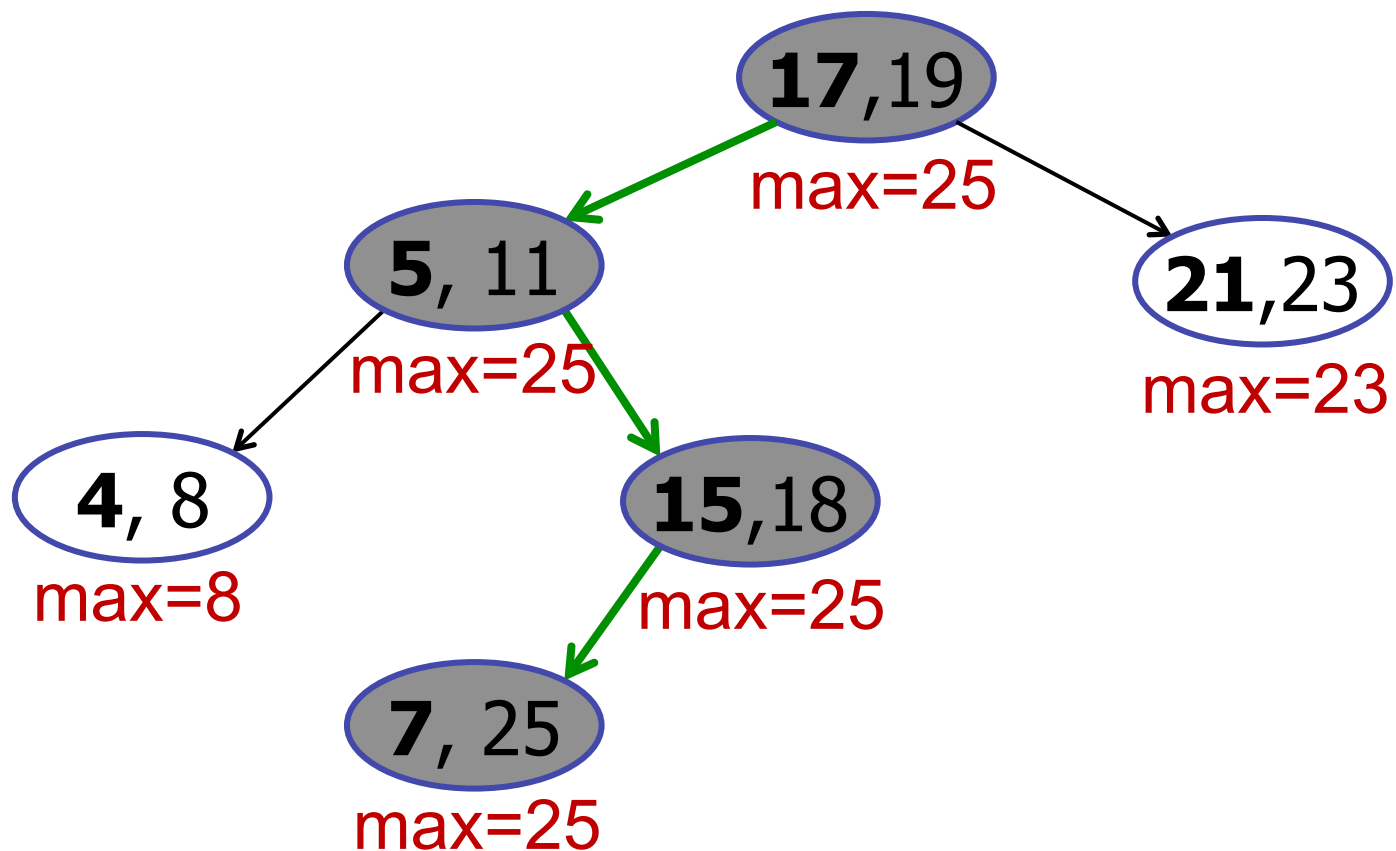
**else** c = c.left;

return c.interval;

# Interval Trees

---

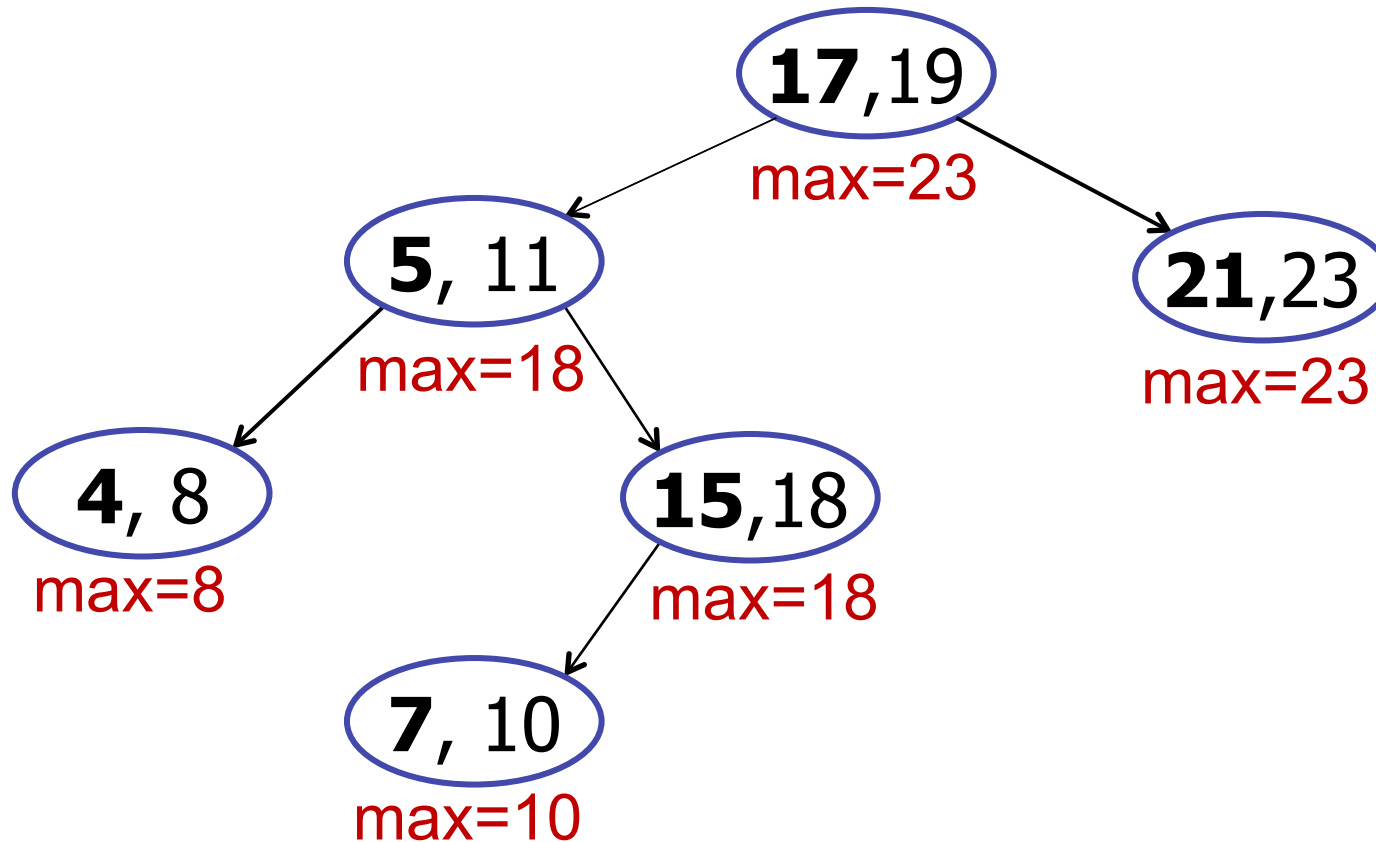
Will any search find (21, 23)?



# Interval Trees

---

Searching: *interval-search*(22)

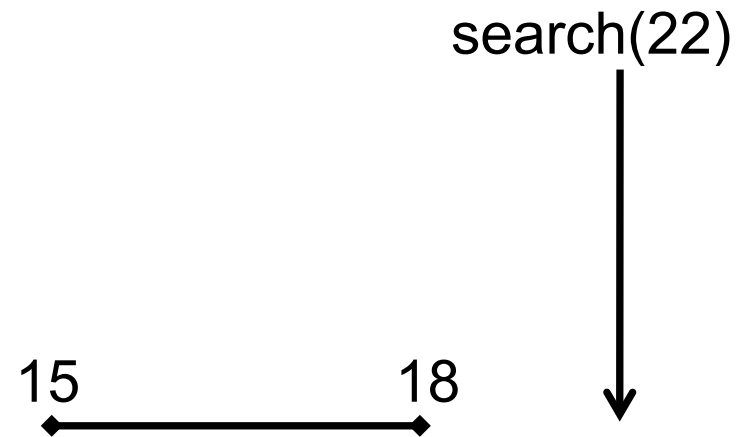
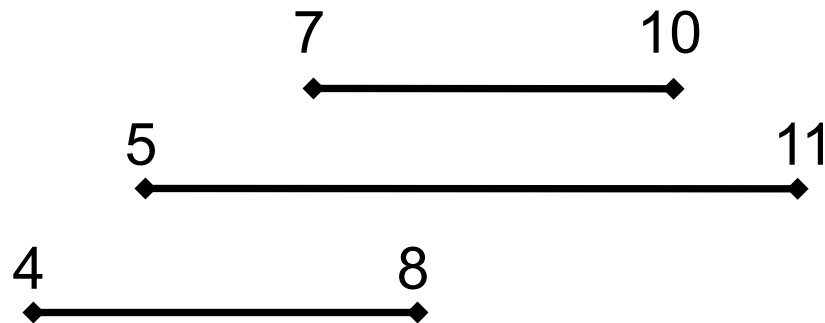


**Claim:** if search goes right, then no overlap in left subtree.

# Interval Trees

---

Max in "left sub-tree" is 18:



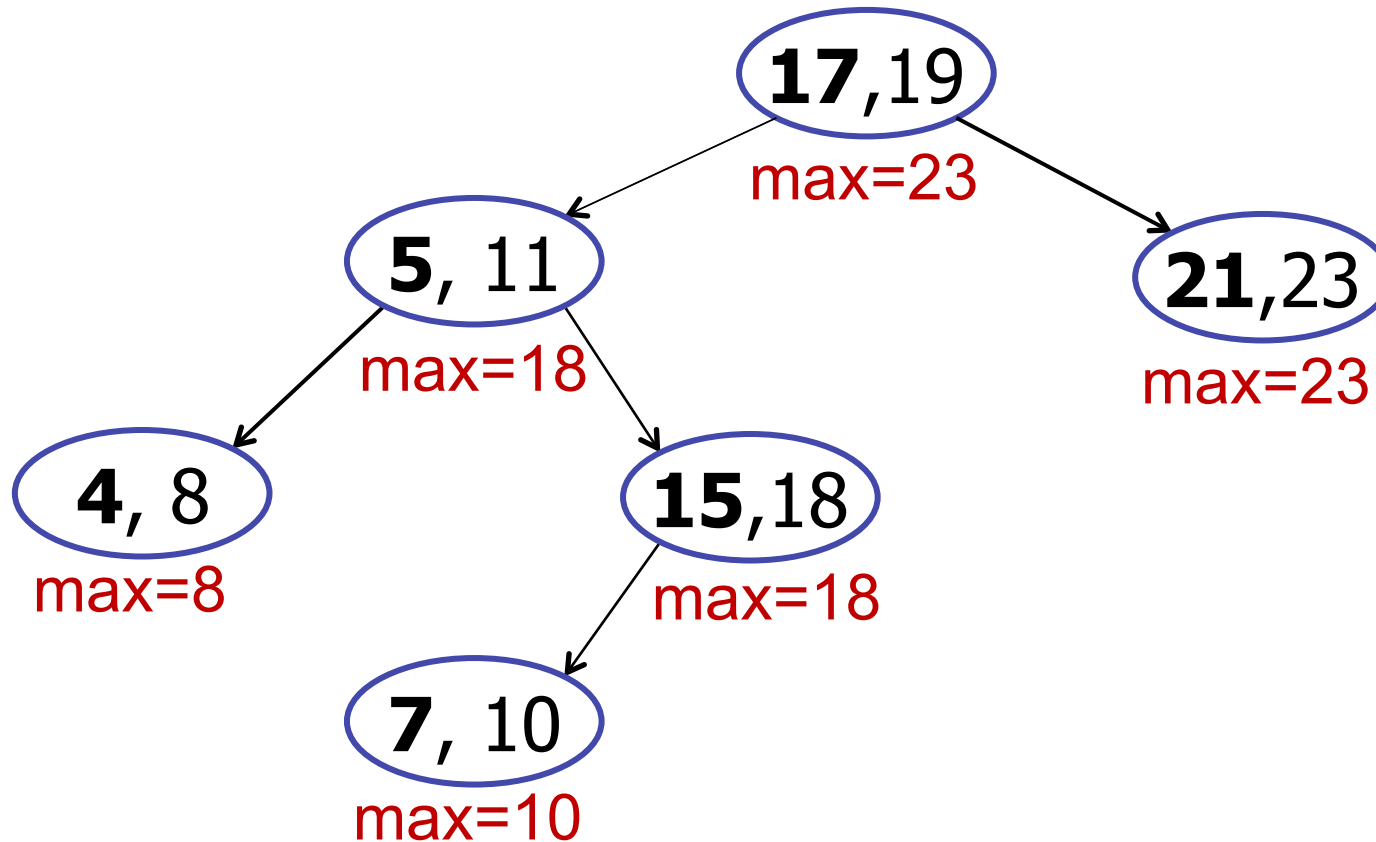
Safe to go right: 22 is not in the left sub-tree.



# Interval Trees

---

Searching: *interval-search(13)*

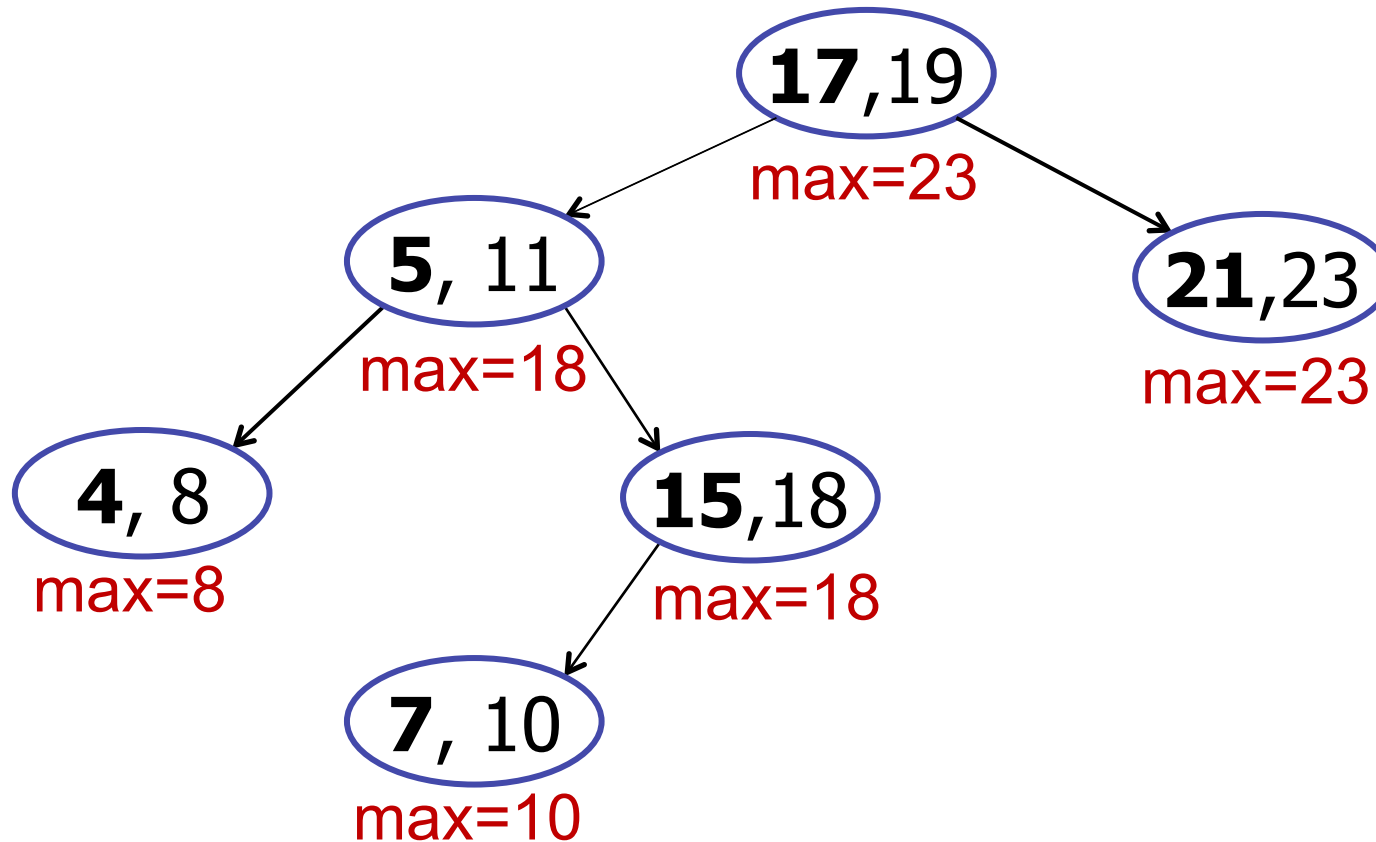


**Claim:** if search goes left and there is no overlap in the left subtree...

# Interval Trees

---

Searching: *interval-search(13)*

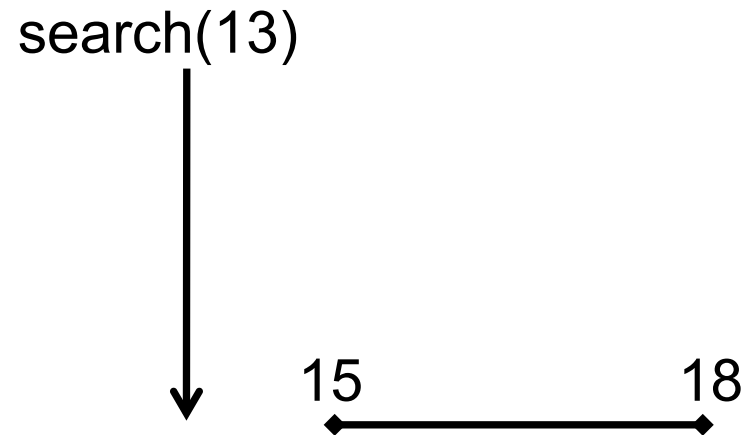


**Claim:** if search goes left, then safe to go left.

# Interval Trees

---

Max in “left sub-tree” is 18:

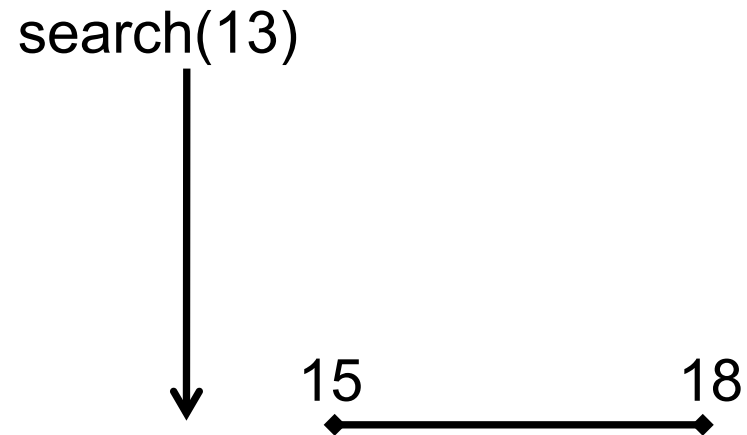


Go left:  $\text{search}(13) < 18$

# Interval Trees

---

Max in “left sub-tree” is 18:

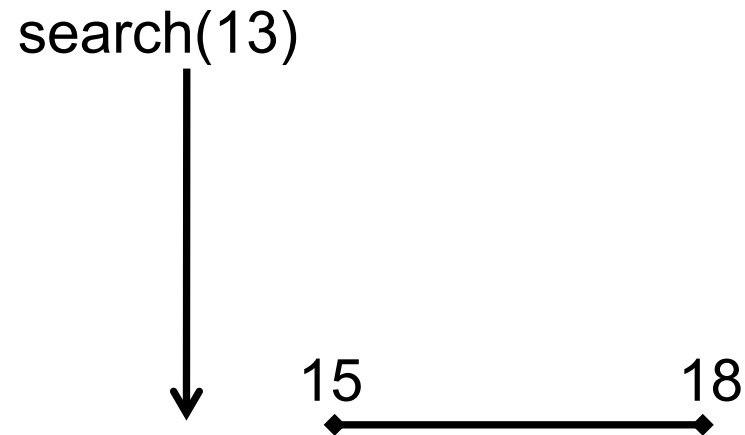


Go left:  $\text{search}(13) < 15 < 18$

# Interval Trees

---

Max in “left sub-tree” is 18:



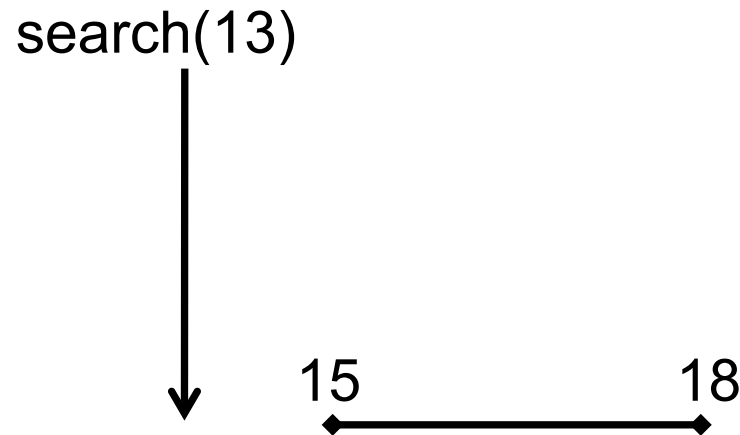
Go left:  $\text{search}(13) < 15 < 18$

Tree sorted by left endpoint.

# Interval Trees

---

Max in “left sub-tree” is 18:



Go left:  $\text{search}(13) < 15 < 18$

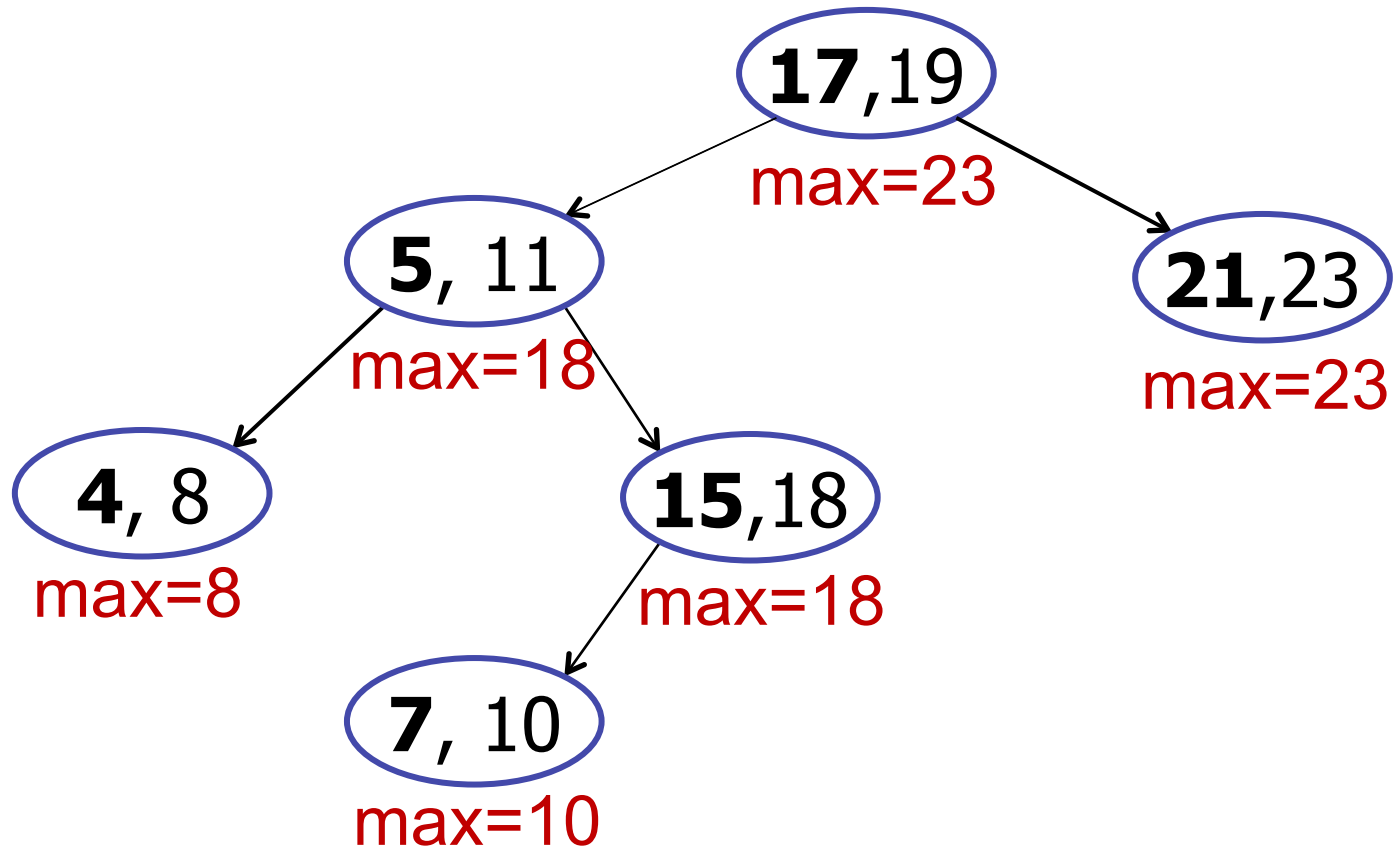
Tree sorted by left endpoint.

$\text{search}(13) < \text{every interval in right subtree}$

# Interval Trees

---

Searching: *interval-search(13)*



**Claim:** if search goes left and no overlap, then  
search < every interval in right sub-tree.

# Interval Trees

---

If search goes right, then no overlap in left subtree.

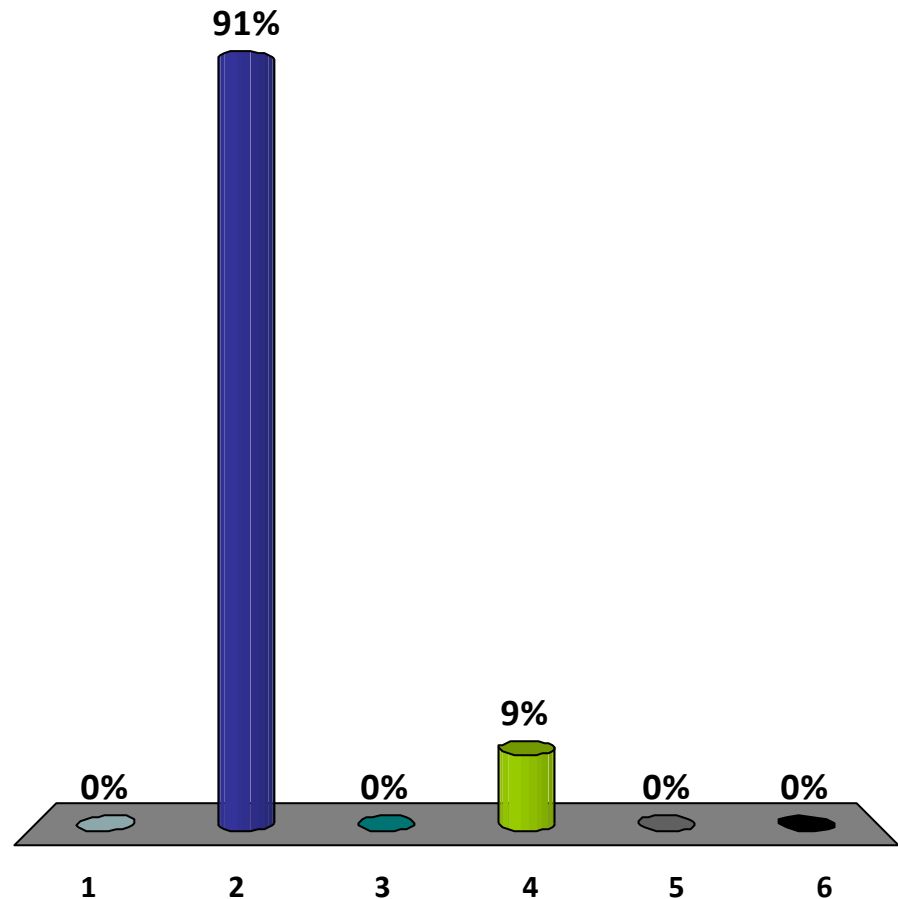
If search goes left, and if there is no overlap in left subtree, then there is no overlap in right subtree either.

Conclusion: search finds an overlapping interval.



The running time of interval-search is:

1.  $O(1)$
2.  $O(\log n)$
3.  $O(n)$
4.  $O(n \log n)$
5.  $O(n^2)$
6. Can't say.

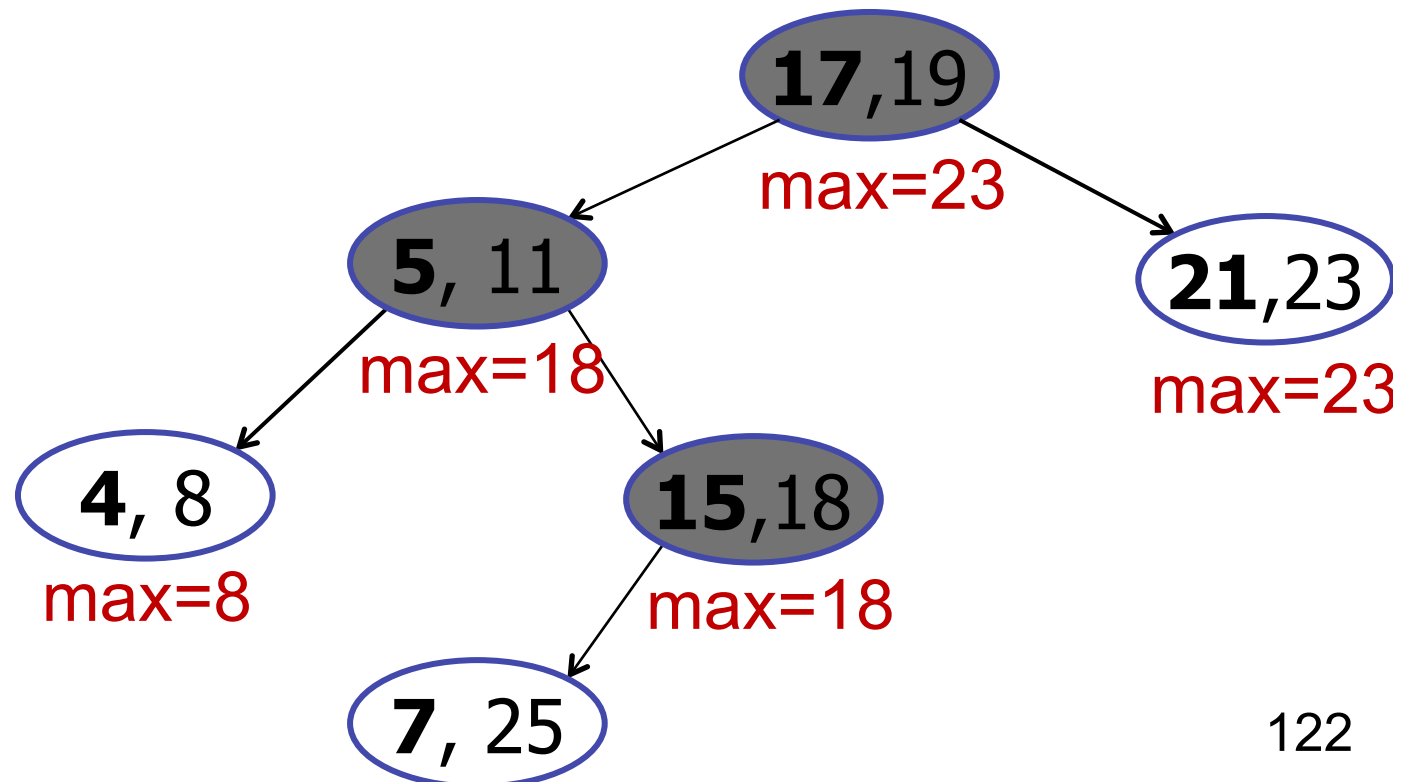


# Interval Trees

---

## Extensions

- What if you want to search for two intervals that overlap?
- Eg: **search(14,16)**

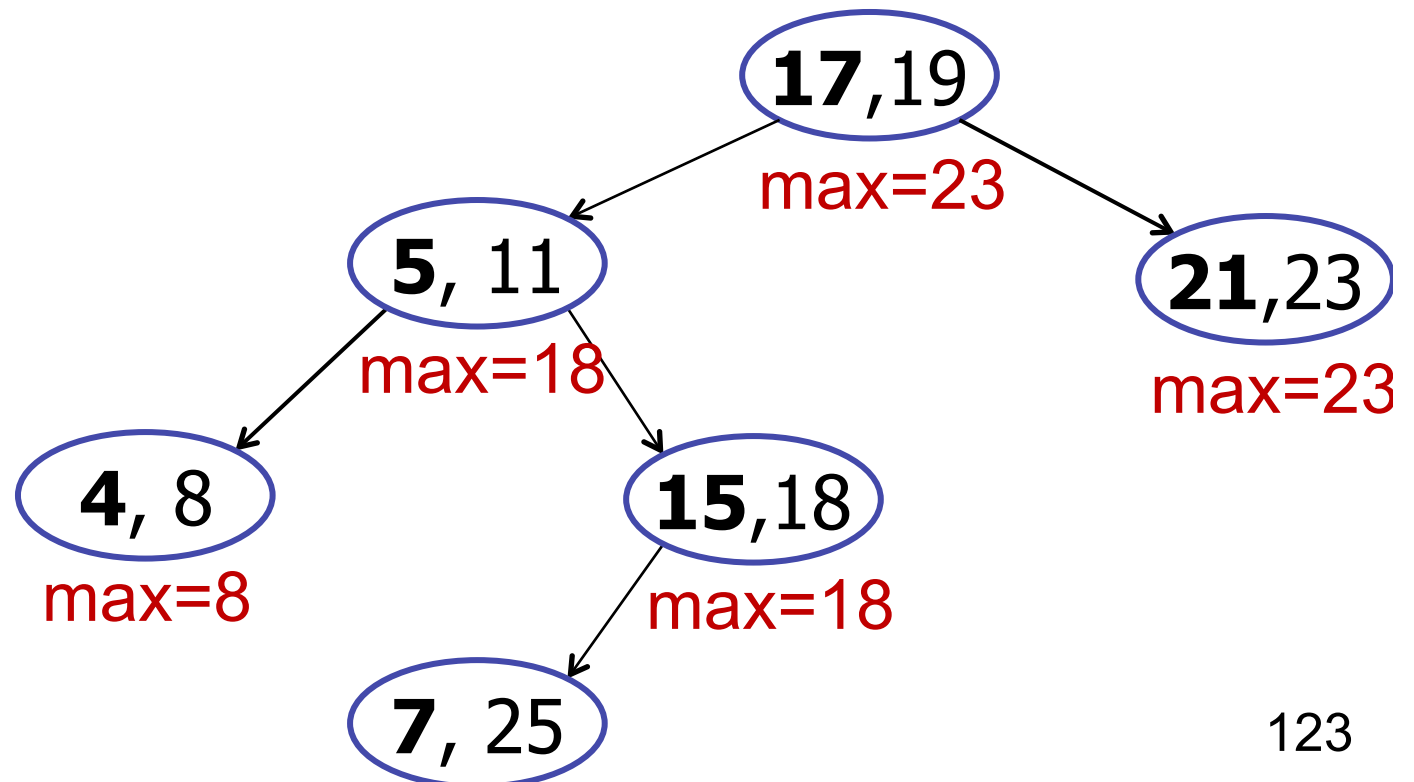


# Interval Trees

---

## Extensions

- Cost for listing all intervals that overlap with point?
- E.g.: `search(22)` returns:
  - (7,25)
  - (21,23)



# Interval Trees

---

## Extensions

- Cost for listing all intervals that overlap with point?

- All-Overlaps Algorithm:

**Repeat** until no more intervals:

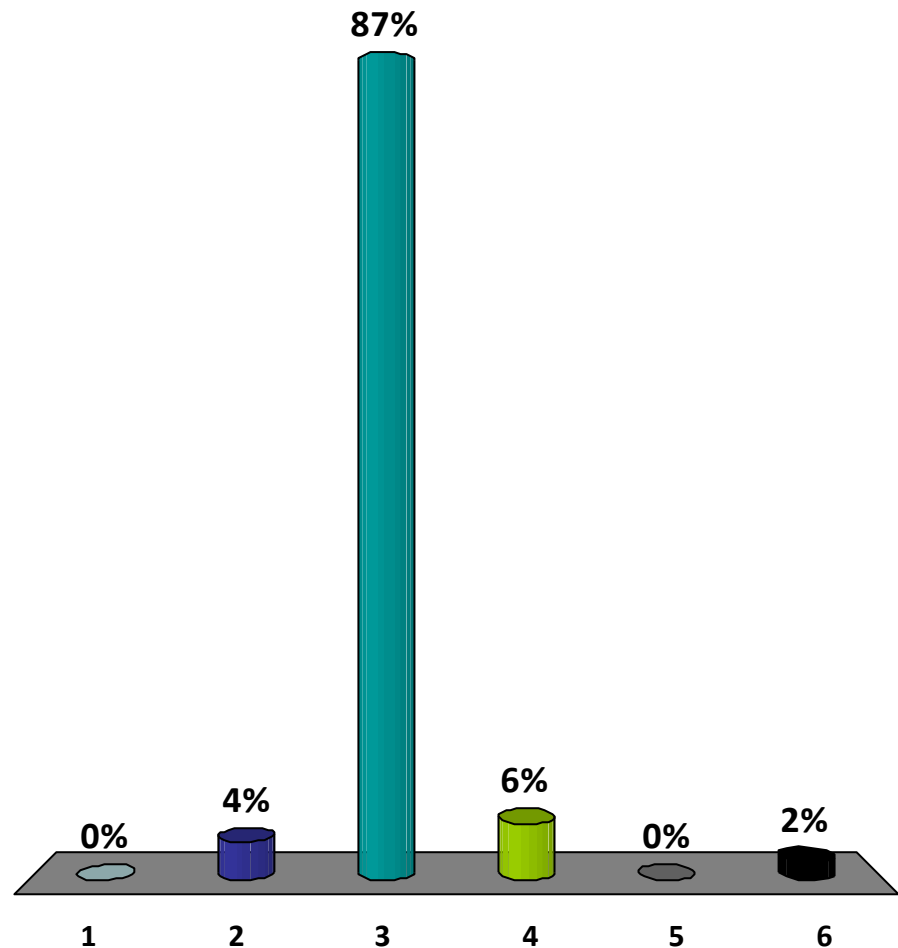
- Search for interval.
- Add to list.
- Delete interval.

**Repeat** for all intervals on list:

- Add interval back to tree.

The running time of All-Overlaps, if there are  $k$  overlapping intervals?

1.  $O(1)$
2.  $O(k)$
3.  $O(k \log n)$
4.  $O(k + \log n)$
5.  $O(kn)$
6.  $O(kn \log n)$



# Interval Trees

---

## Extensions

- Cost for listing all intervals that overlap point?
- All-Overlaps Algorithm:  $O(k \log n)$

**Repeat** until no more intervals:

- Search for interval.
- Add to list.
- Delete interval.

**Repeat** for all intervals on list:

- Add interval back to tree.
- Best known solution:  $O(k + \log n)$

# Today

---

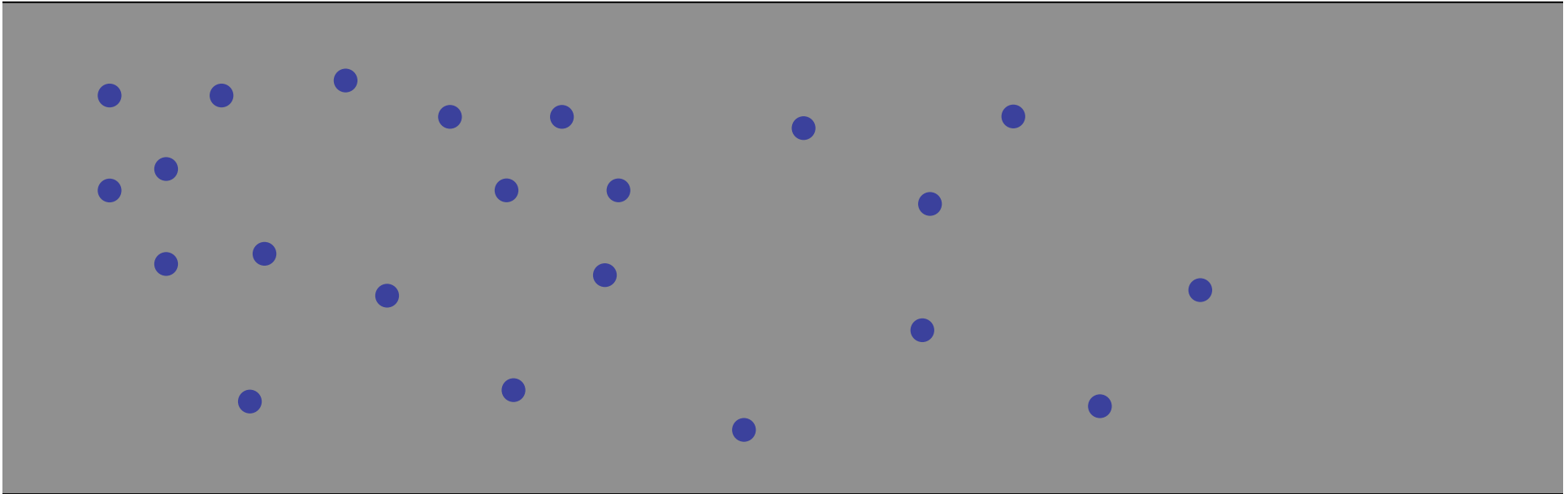
Three examples of augmenting BSTs

1. Order Statistics
2. Intervals
3. Orthogonal Range Searching

# Orthogonal Range Searching

---

Input:  $n$  points in a 2d plane

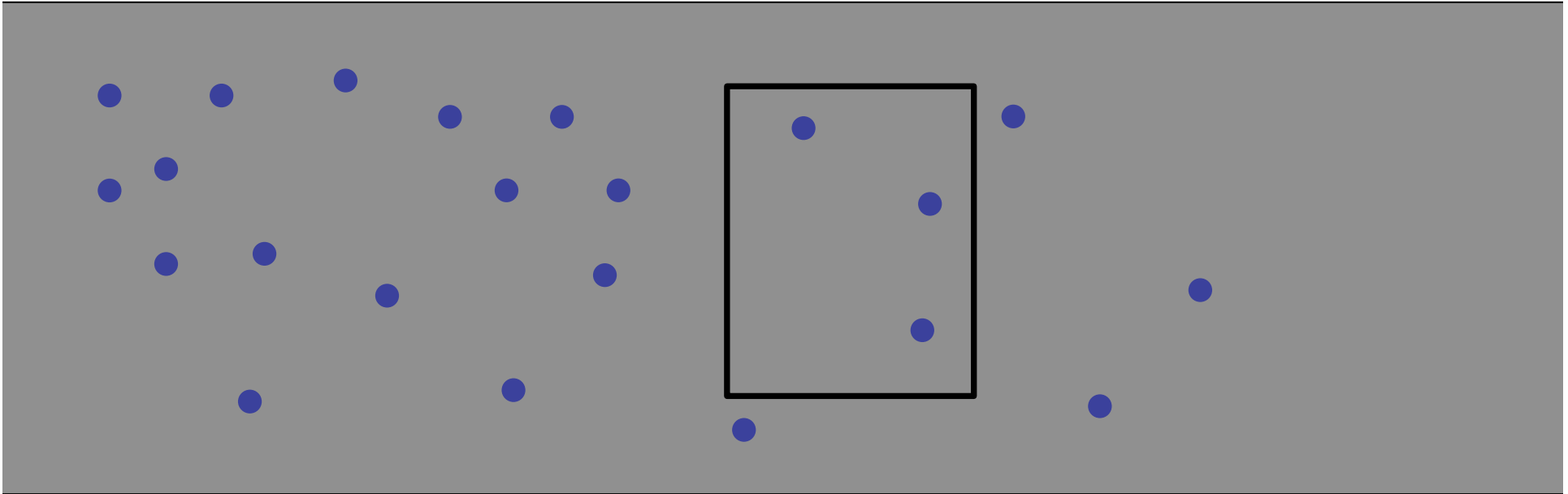




# Orthogonal Range Searching

---

Input:  $n$  points in a 2d plane



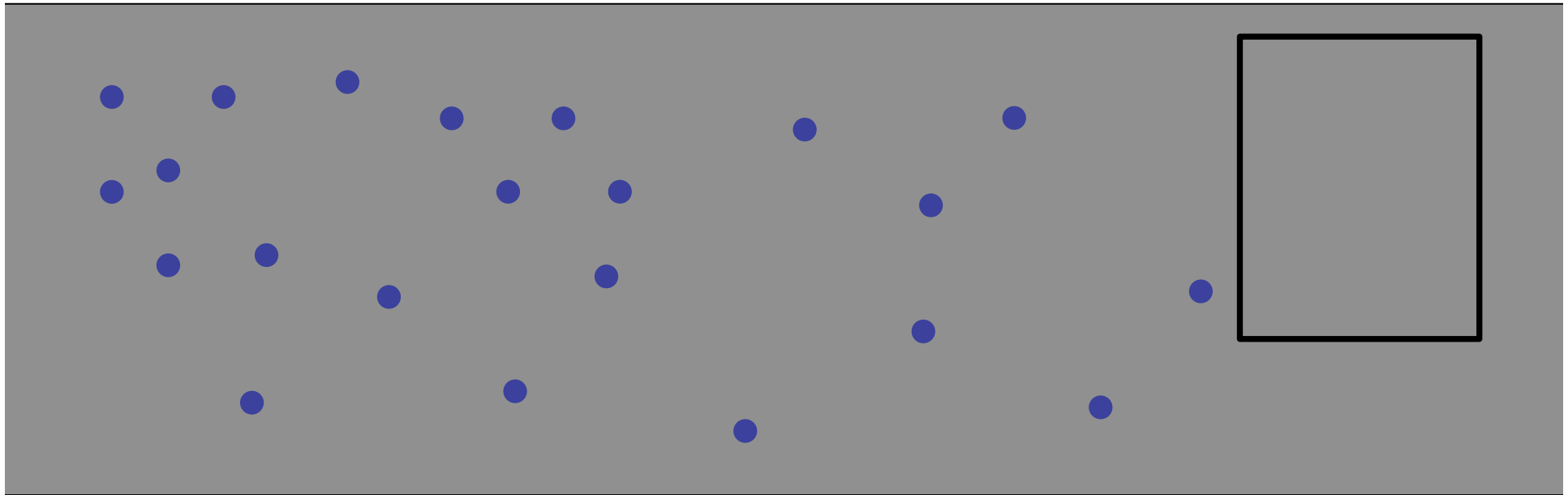
Query: Box

- Contains at least one point?
- How many?

# Orthogonal Range Searching

---

Input:  $n$  points in a 2d plane

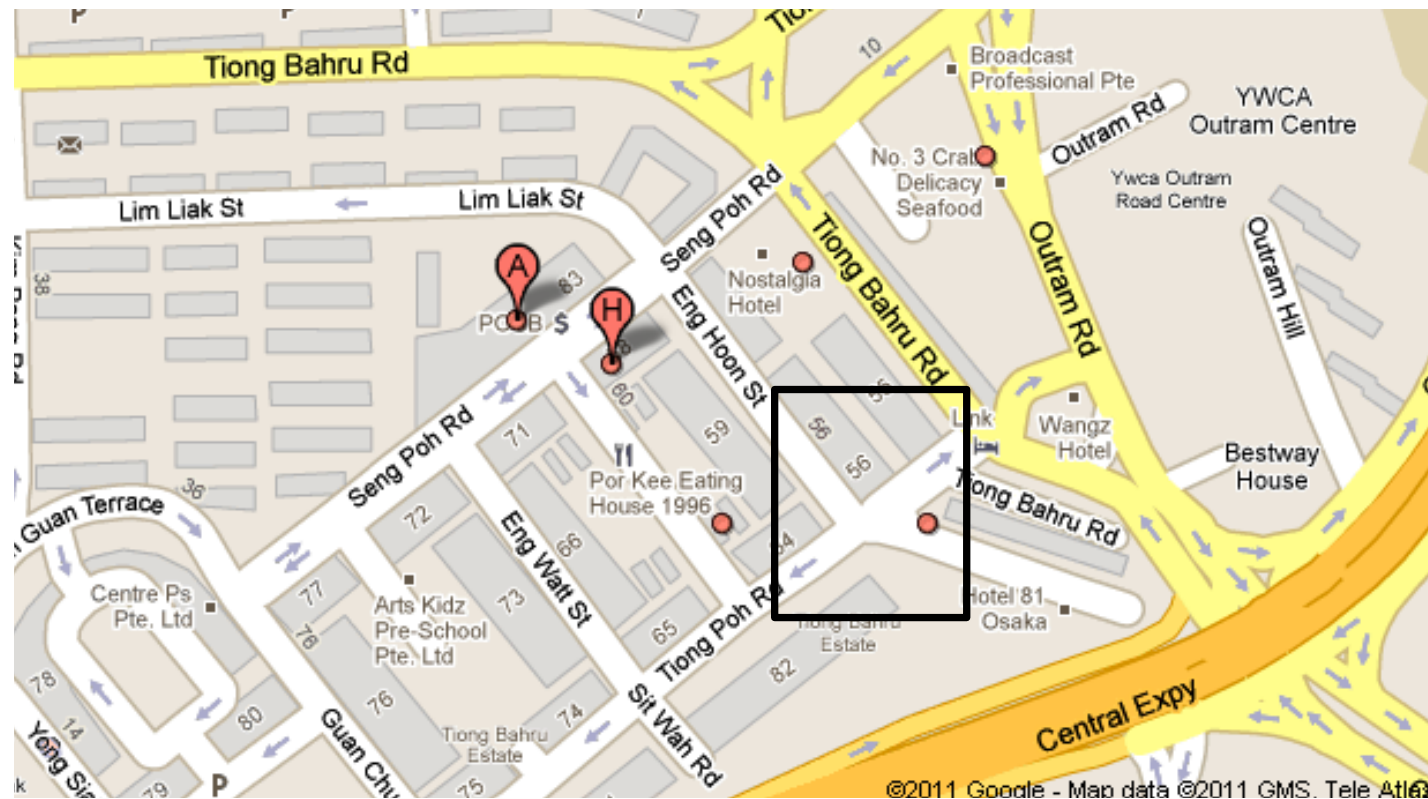


Query: Box

- Contains at least one point?
- How many?

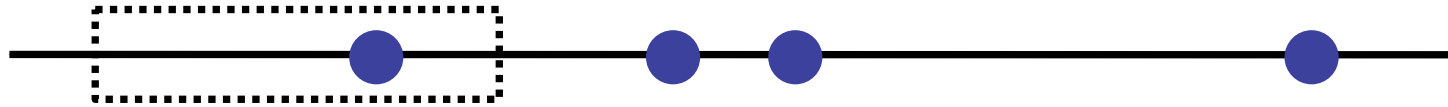
# Practical Example

Are there any good restaurants within one block of me?



# One Dimension

---

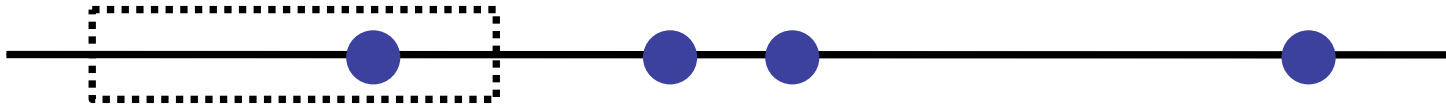


# One Dimension

---

## Range Queries

- Important in databases
- “Find me everyone between ages 22 and 27.”



# One Dimension

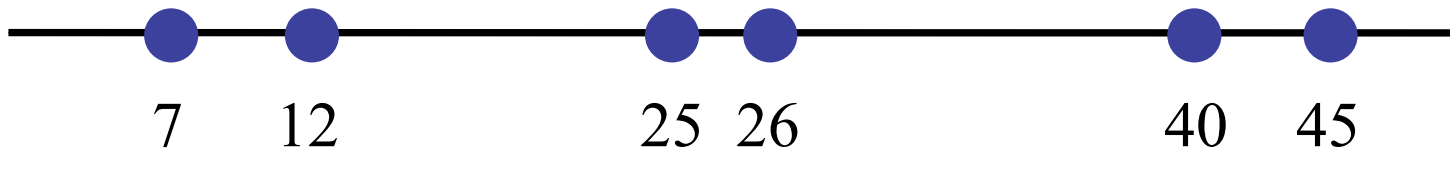
---

## Strategy:

1. Use a binary search tree.
2. Store all points in the leaves of the tree.  
(Internal nodes store only copies.)
3. Each internal node  $v$  stores the MAX of any leaf in the left sub-tree.

# Example

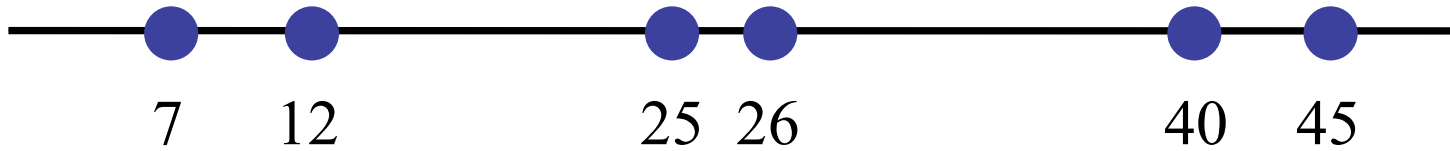
---



# Example

---

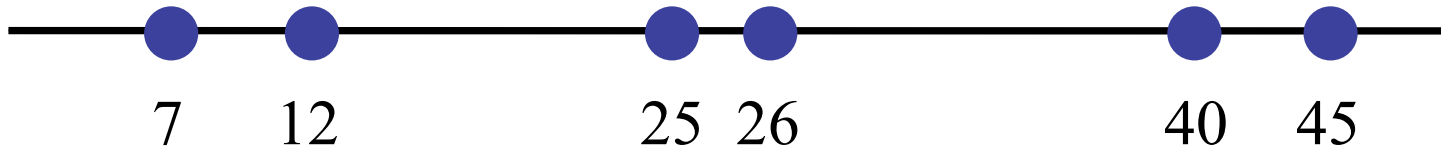
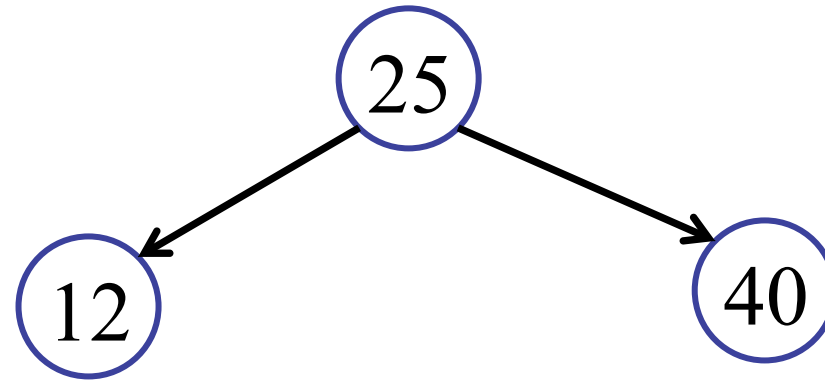
25





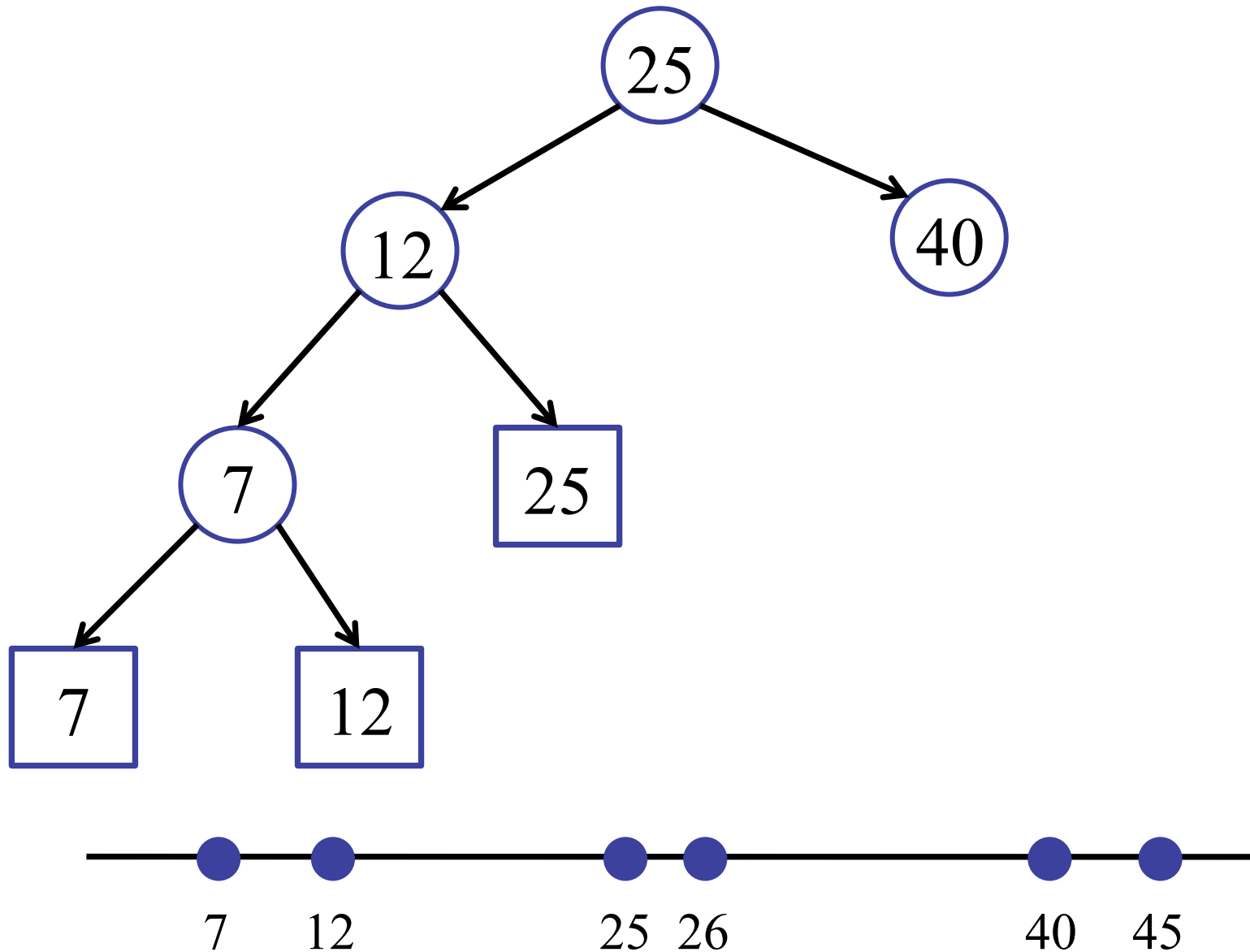
# Example

---



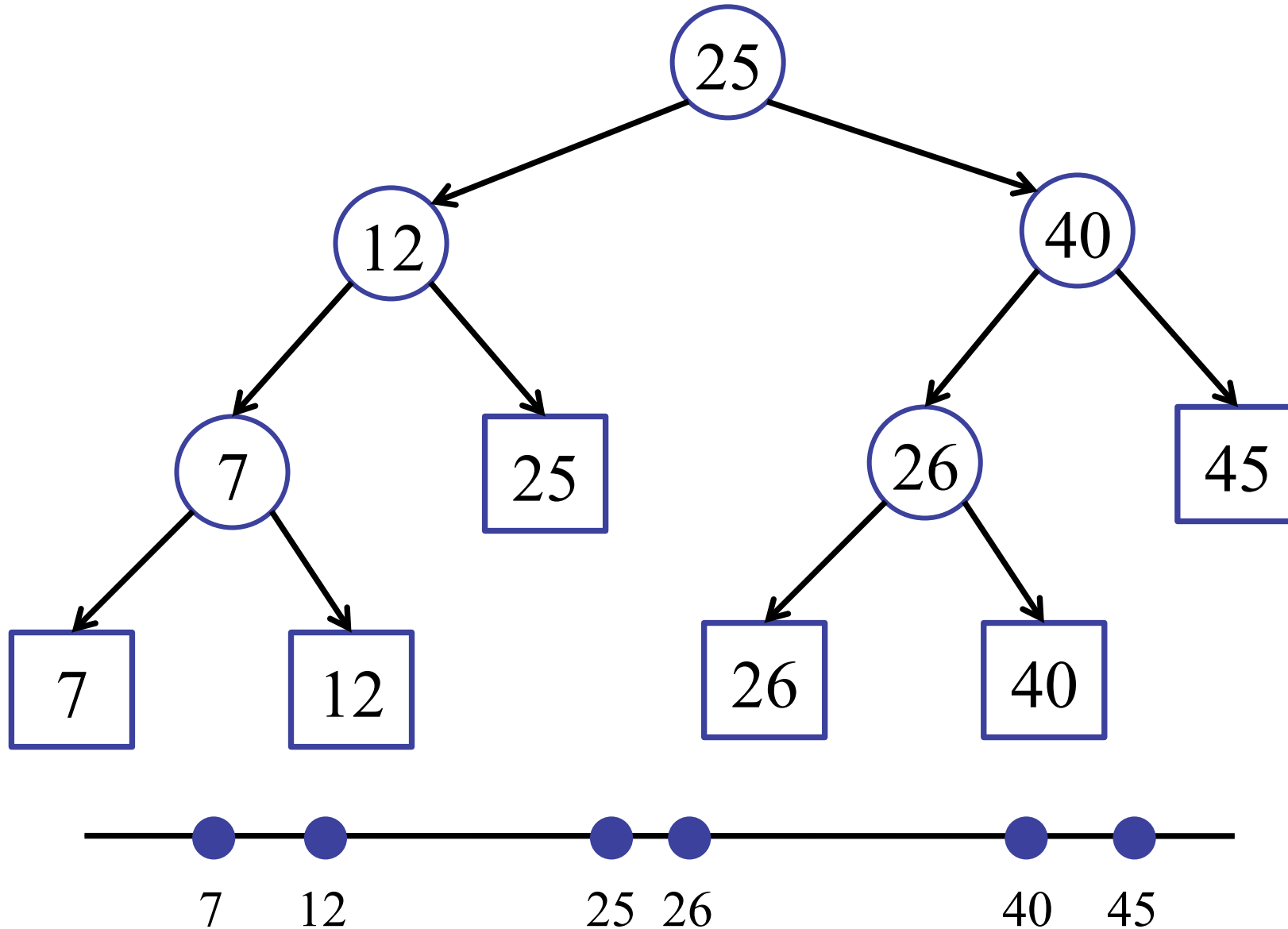
# Example

---



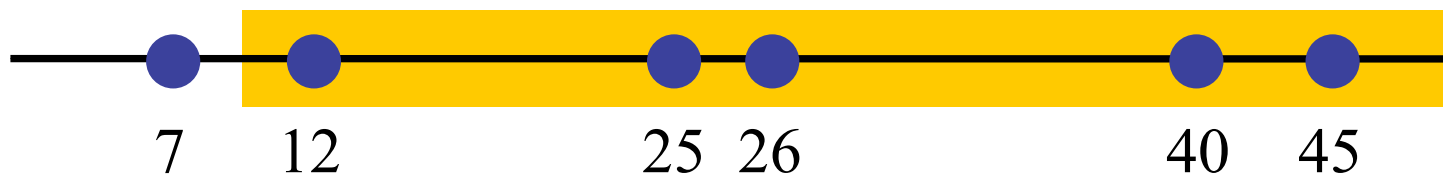
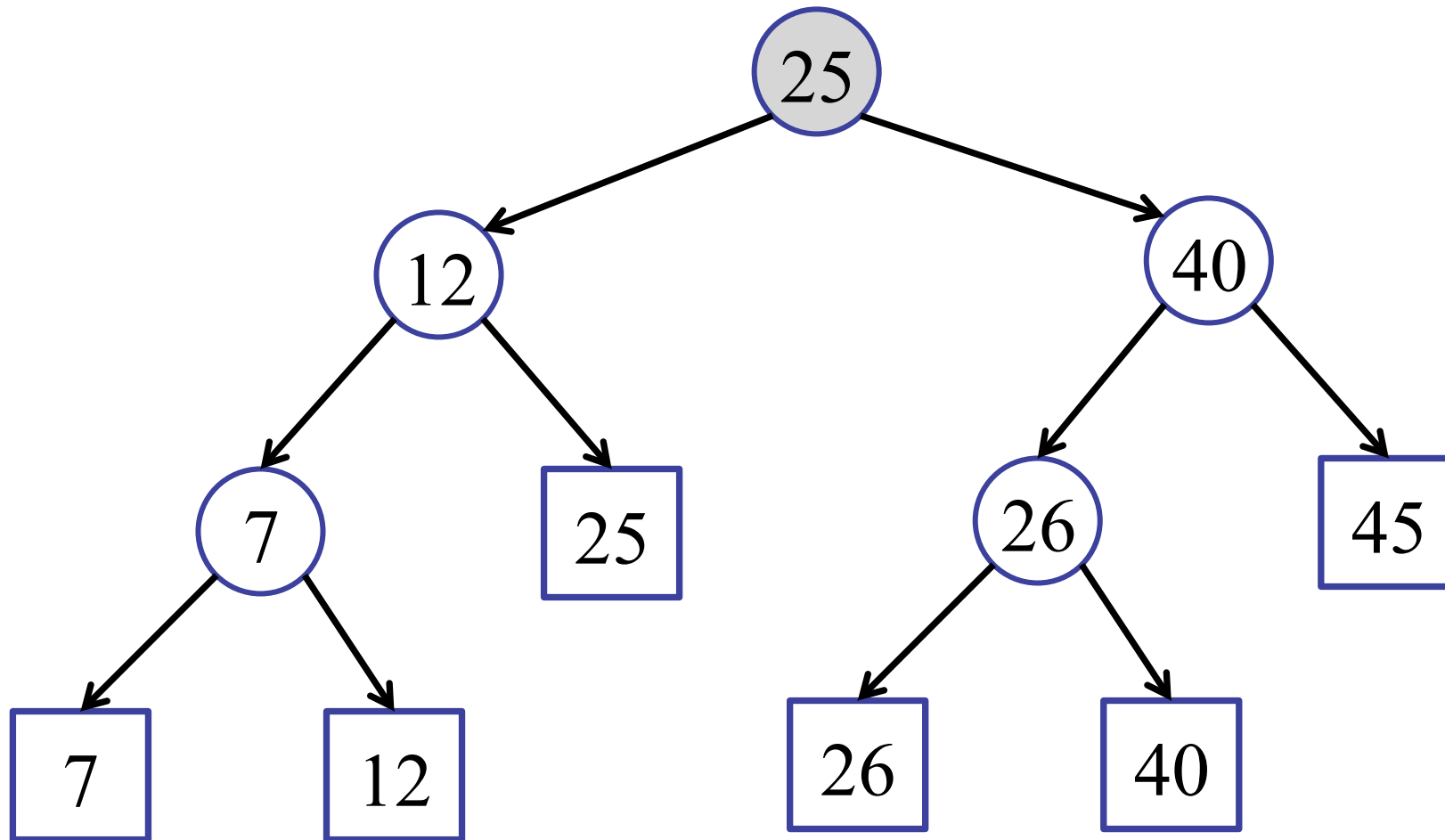
# Note: BST Property

---

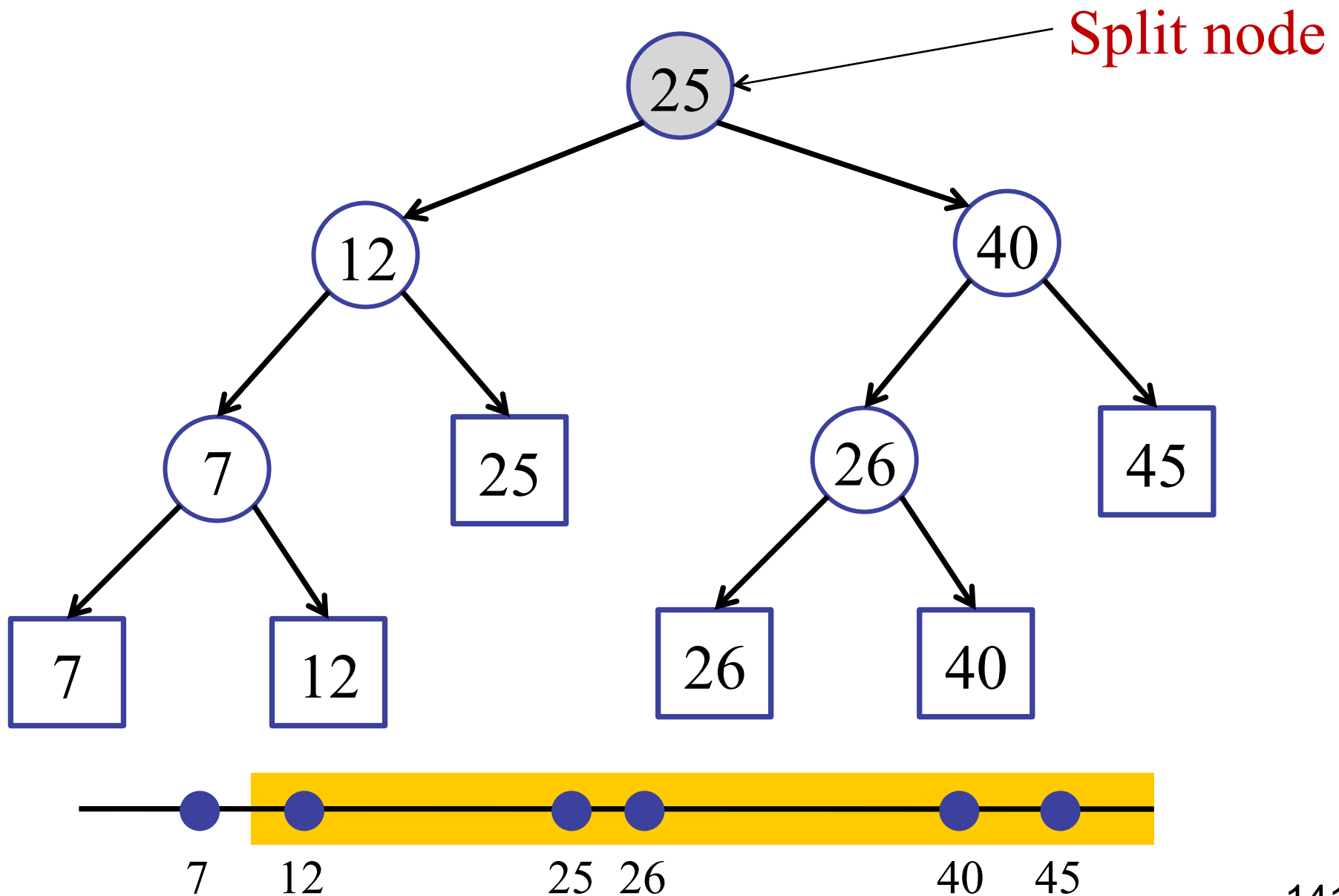


# Example: query(10, 50)

---



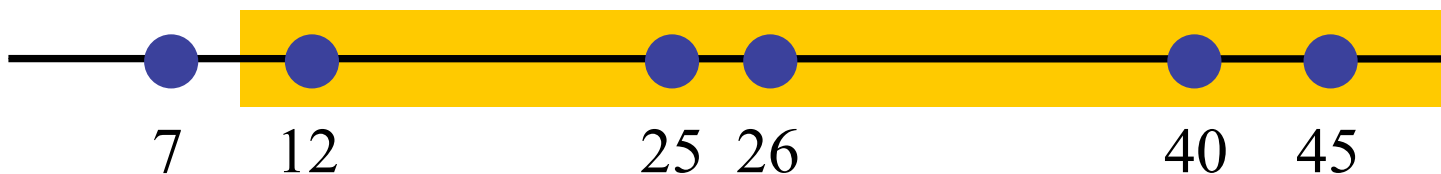
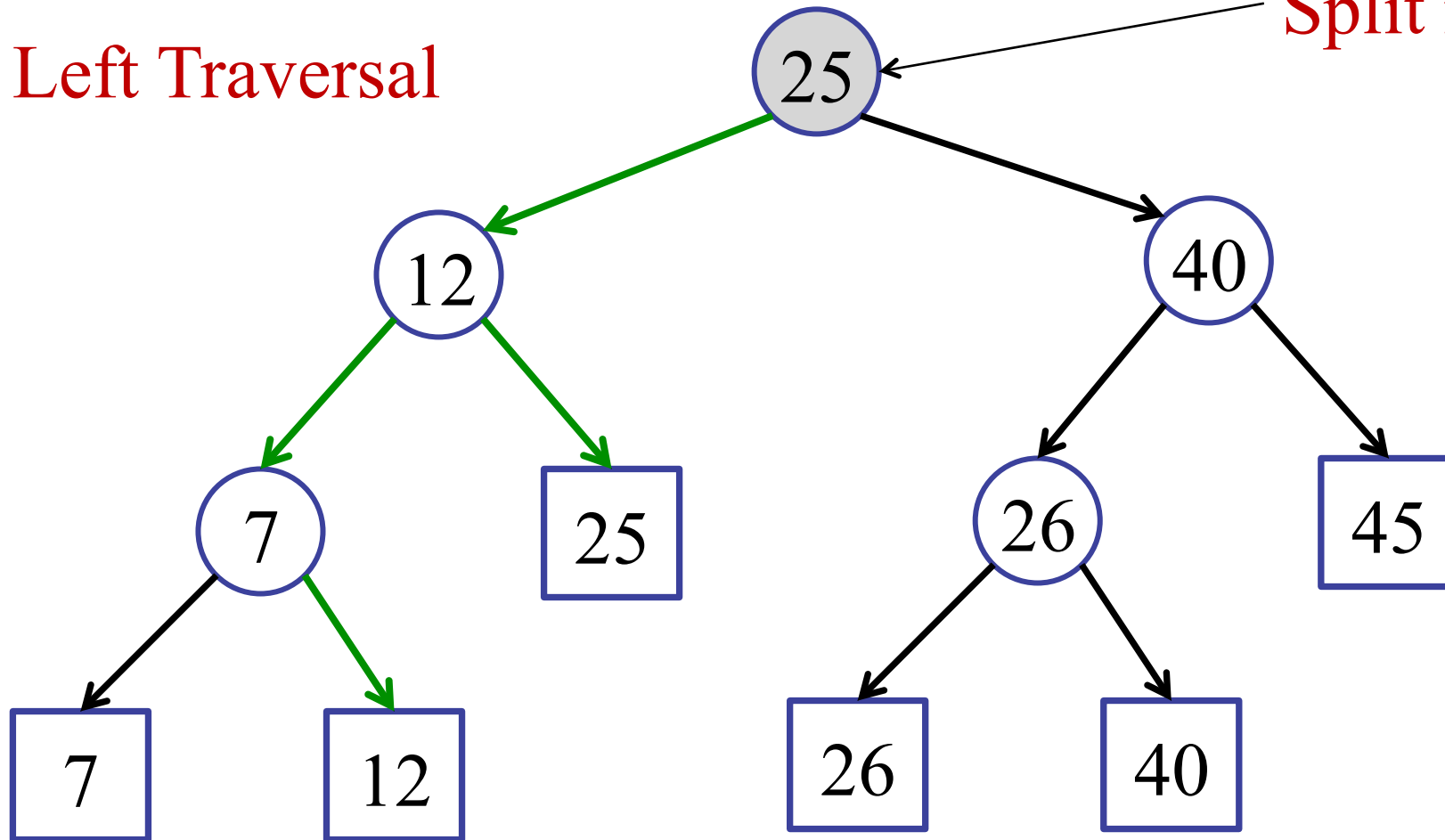
# Example: query(10, 50)



# Example: query(10, 50)

Left Traversal

Split node

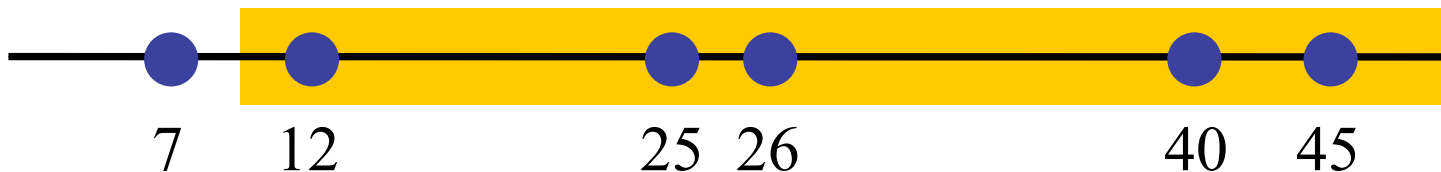
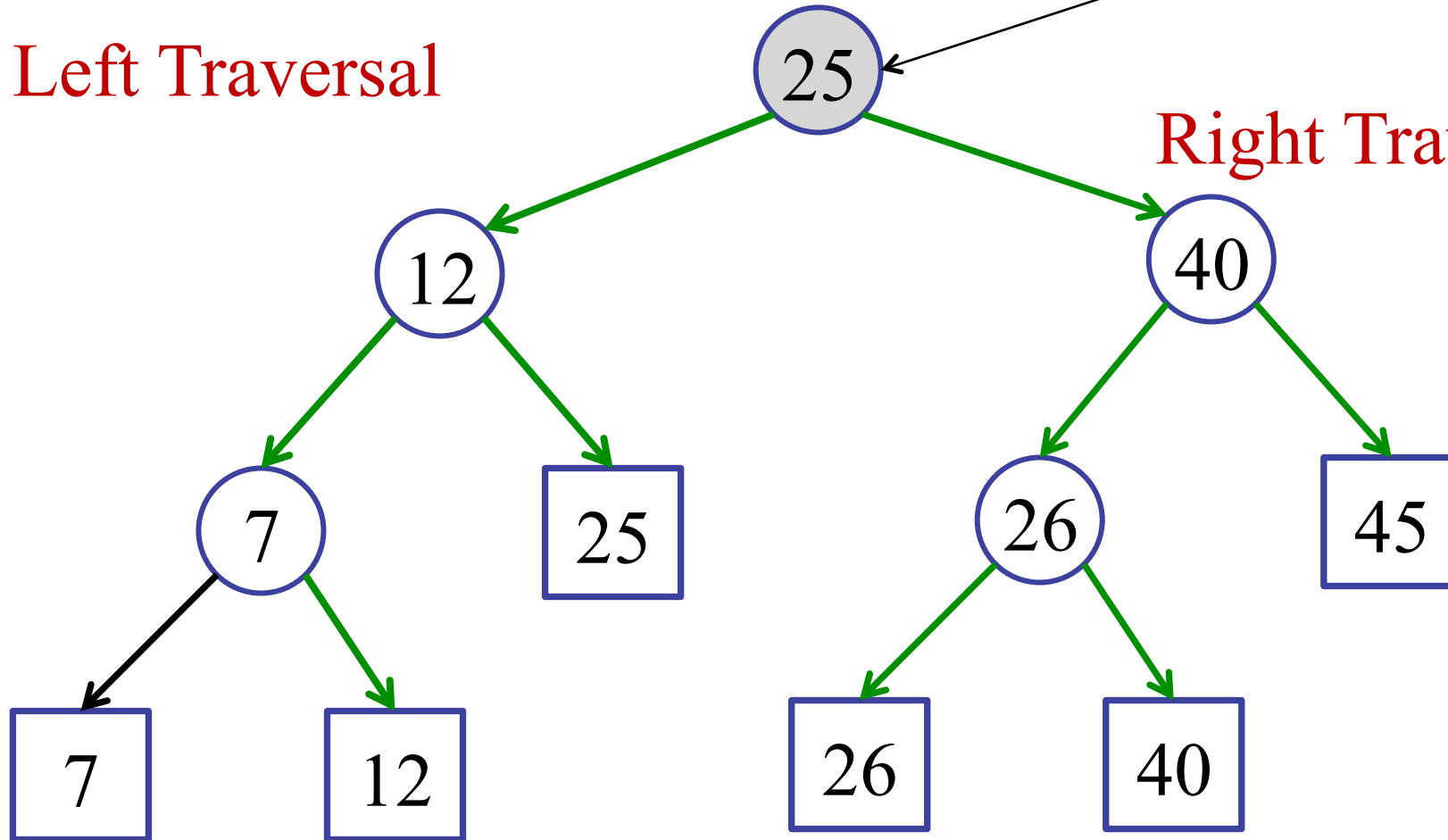


# Example: query(10, 50)

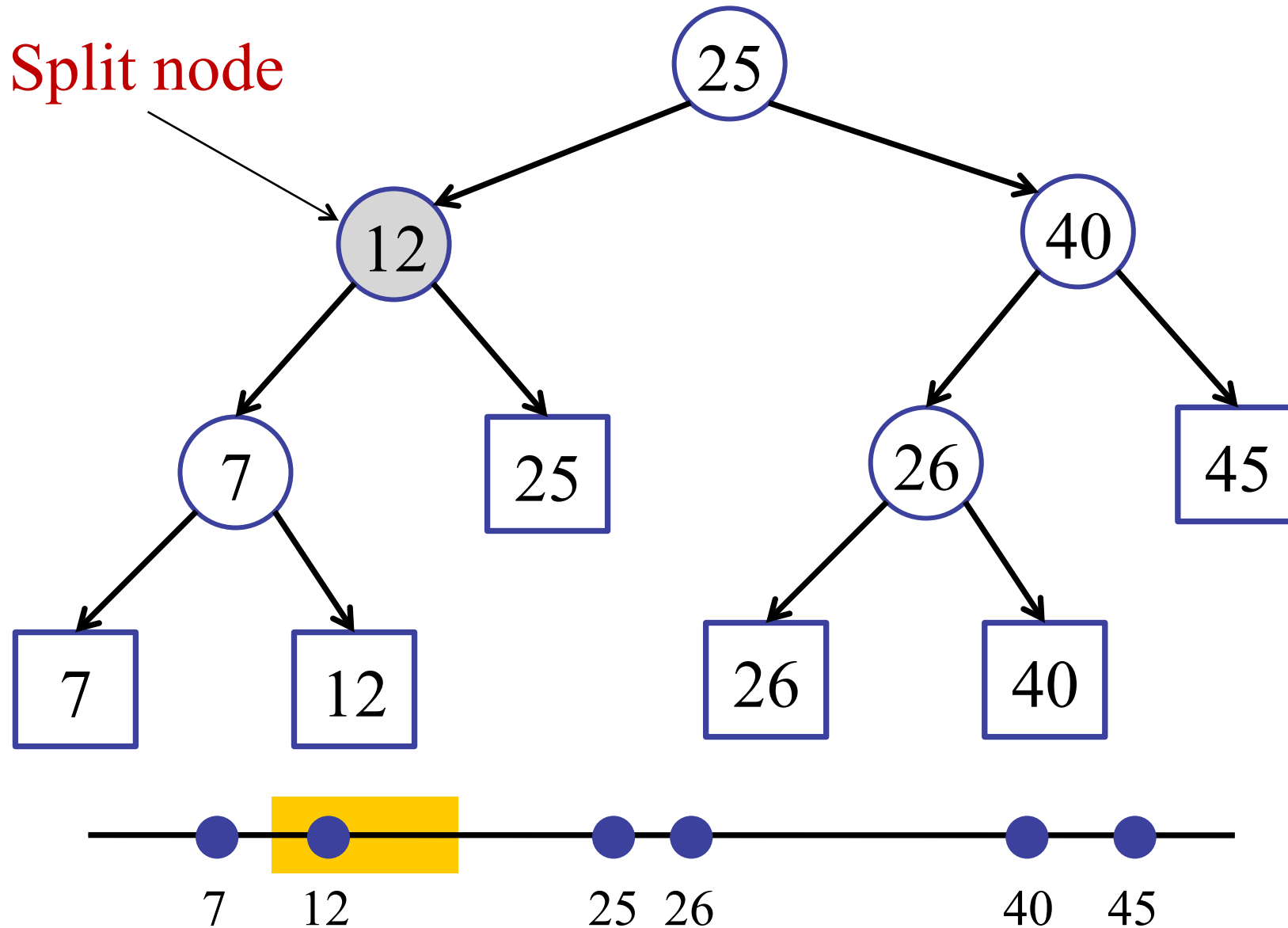
Left Traversal

Split node

Right Traversal

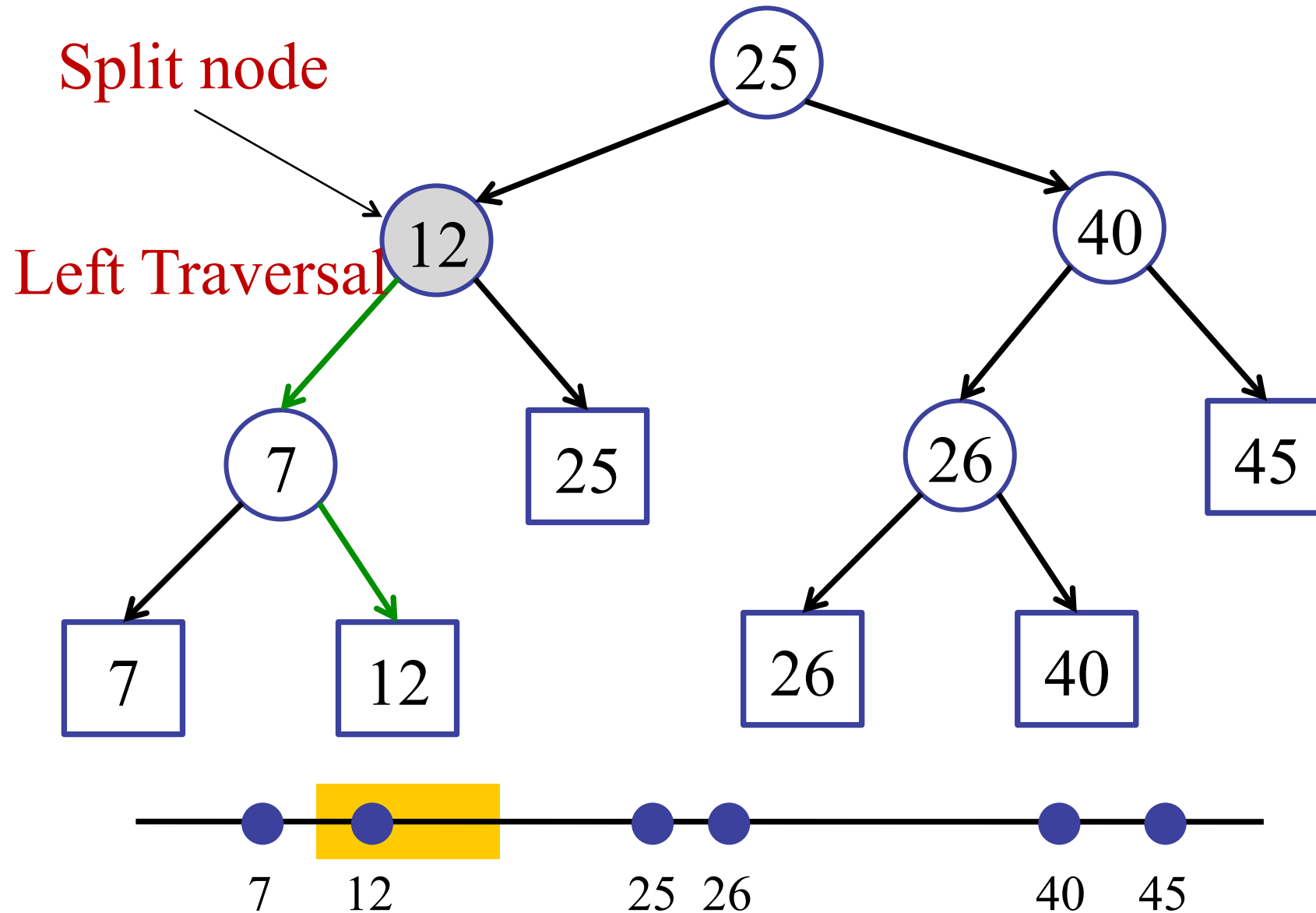


# Example: query(8, 20)





# Example: query(8, 20)



# One Dimensional Range Queries

---

Algorithm:

- Find “split” node.
- Do left traversal.
- Do right traversal.

# One Dimensional Range Queries

---

FindSplit(low, high)

  v = root;

  done = false;

  while !done {

    if (high <= v.key) then v=v.left;

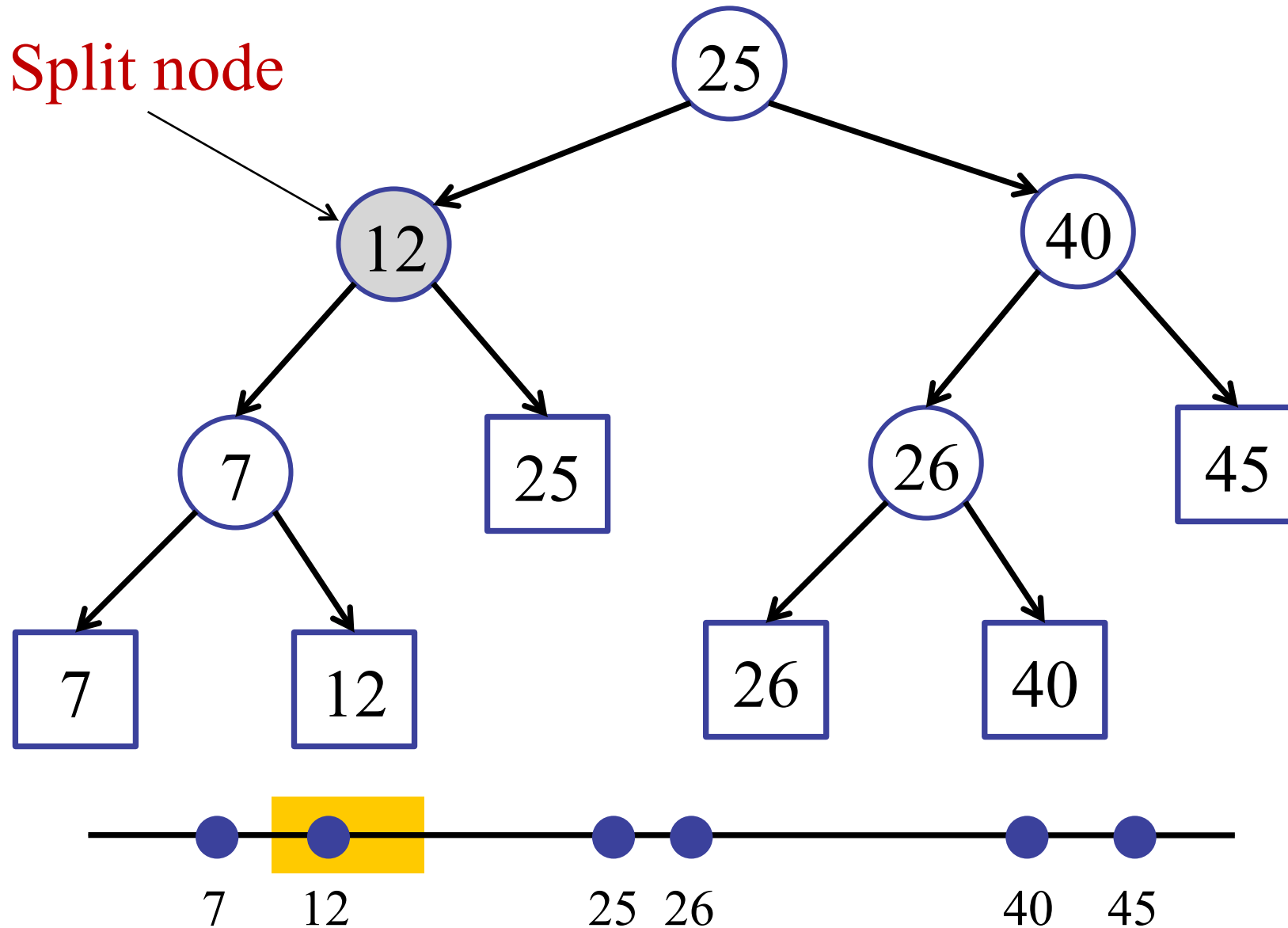
    else if (low > v.key) then v=v.right;

    else (done = true);

  }

  return v;

# Example: query(8, 20)



# One Dimensional Range Queries

---

Algorithm:

- `v = FindSplit(low, high);`
- `LeftTraversal(v, low, high);`
- `RightTraversal(v, low, high);`

# One Dimensional Range Queries

---

LeftTraversal(v, low, high)

if (low <= v.key) {

all-leaf-traversal(v.right);

LeftTraversal(v.left, low, high);

}

else {

LeftTraversal(v.right, low, high);

}

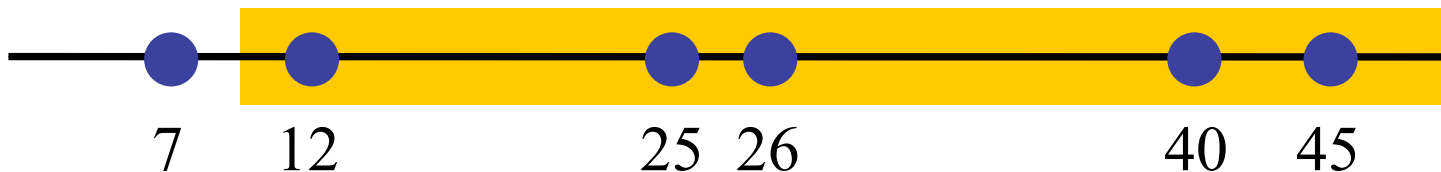
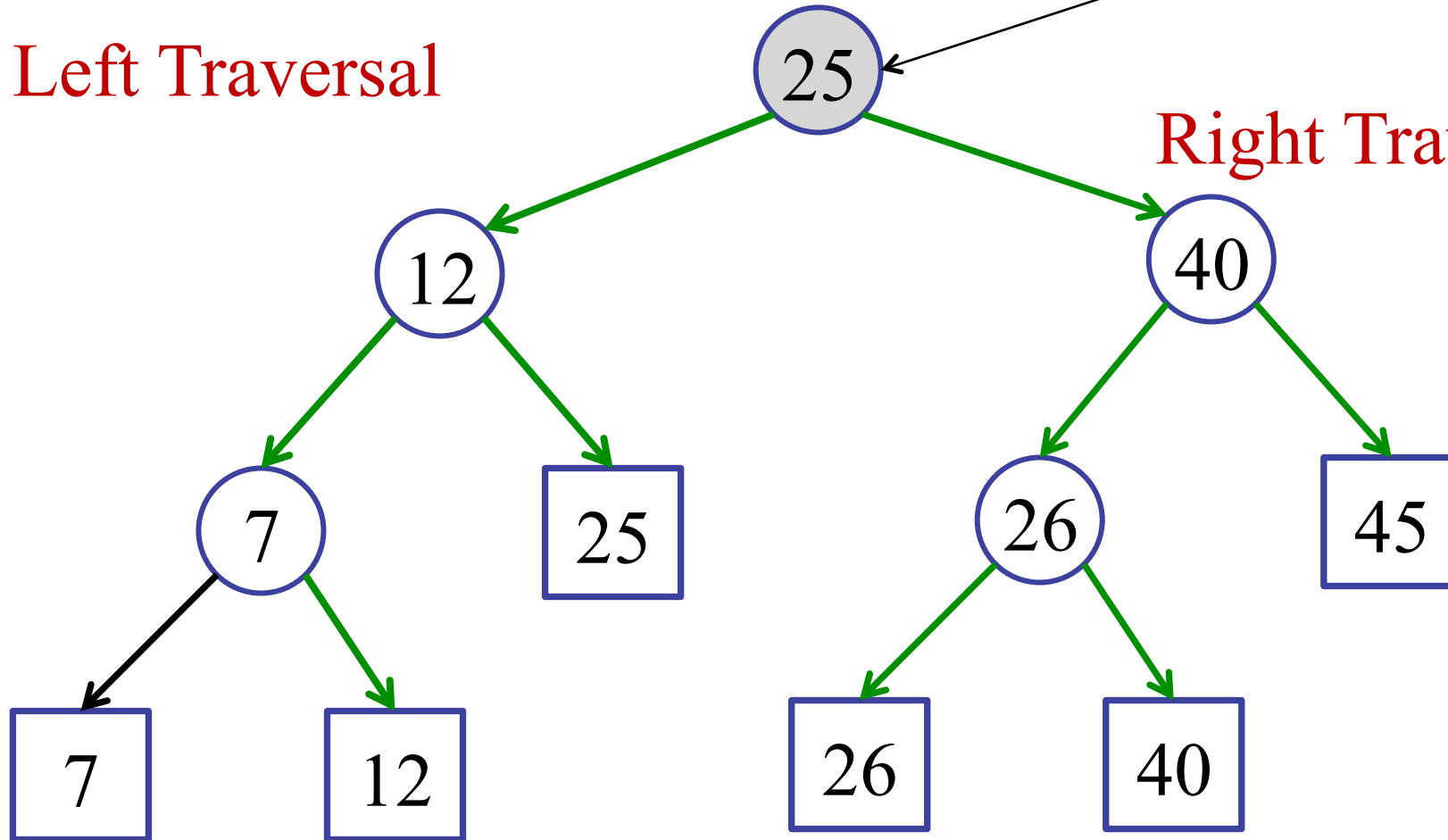
}

# Example: query(10, 50)

Left Traversal

Split node

Right Traversal



# One Dimensional Range Queries

---

```
RightTraversal(v, low, high)
```

```
    if (v.key <= high) {
```

```
        all-leaf-traversal(v.left);
```

```
        RightTraversal(v.right, low, high);
```

```
    }
```

```
    else {
```

```
        RightTraversal(v.left, low, high);
```

```
    }
```

```
}
```

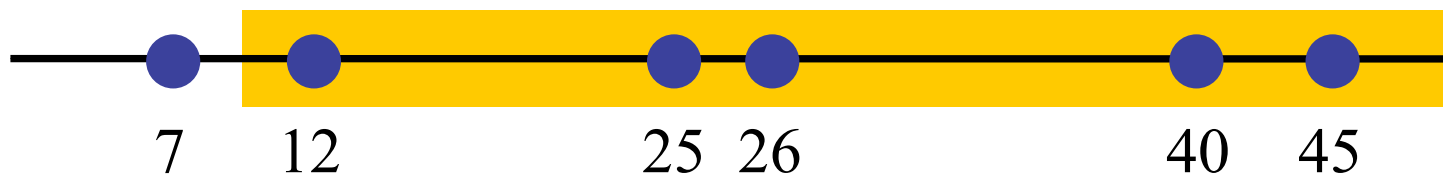
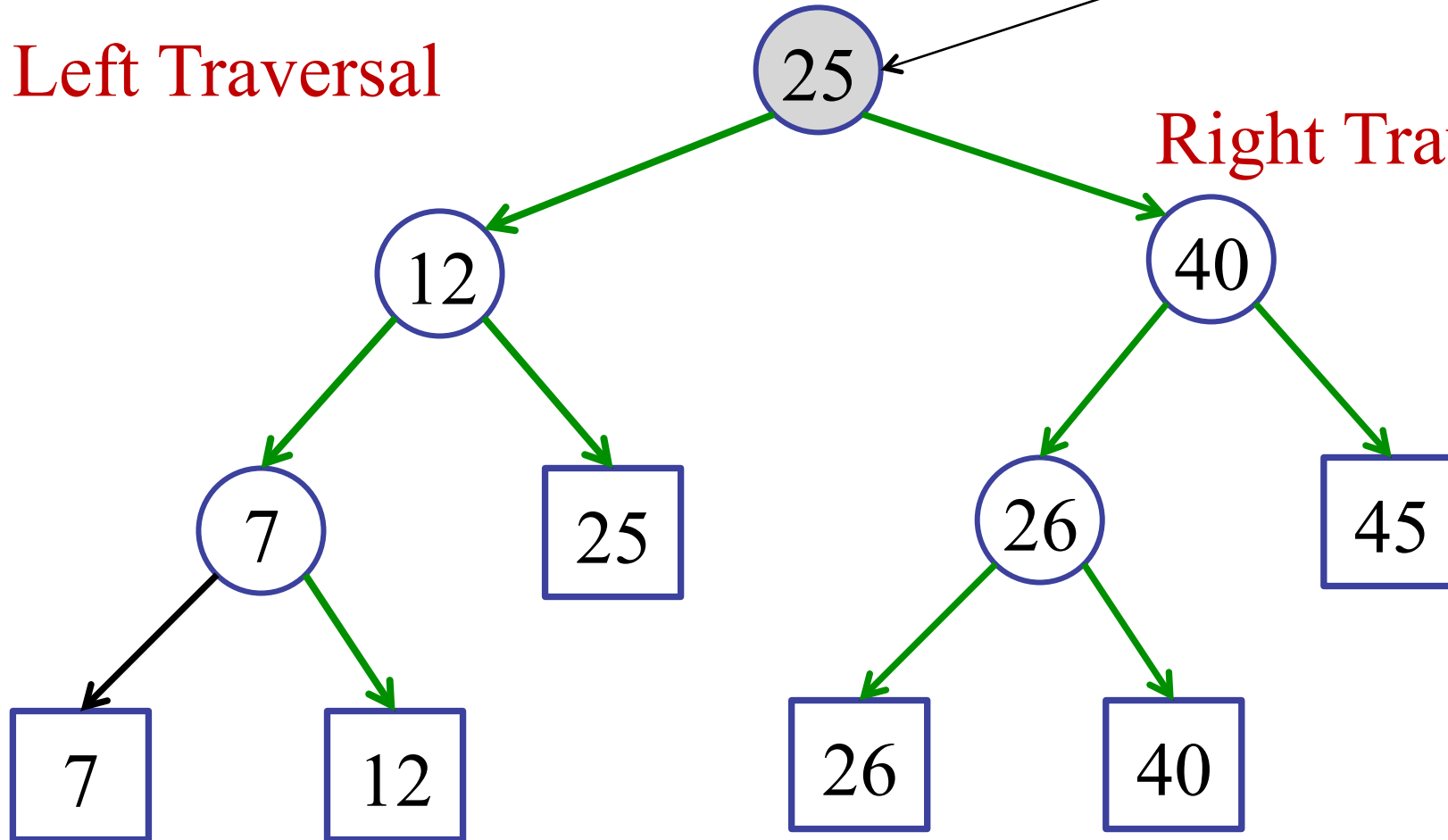


# Example: query(10, 50)

Left Traversal

Split node

Right Traversal



# Analysis

---

## Query time:

- Finding split node:  $O(\log n)$
- Left Traversal:

At every step, we either:

1. Output all right sub-tree and recurse left.
2. Recurse right.

- Right Traversal:

At every step, we either:

1. Output all left sub-tree and recurse right.
2. Recurse left.

# Analysis

---

- Left Traversal:

At every step, we either:

1. Output all right sub-tree and recurse left.
2. Recurse right.

- Counting:

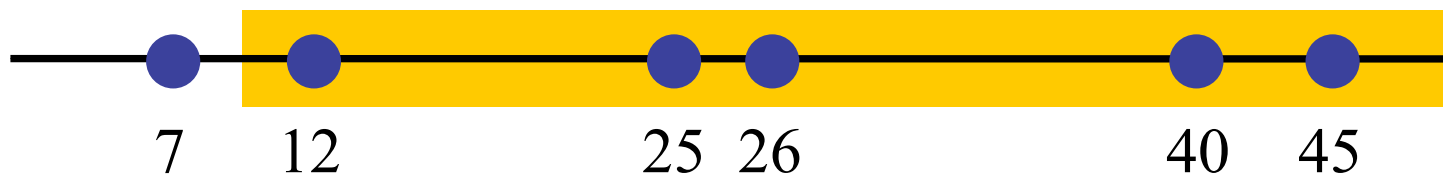
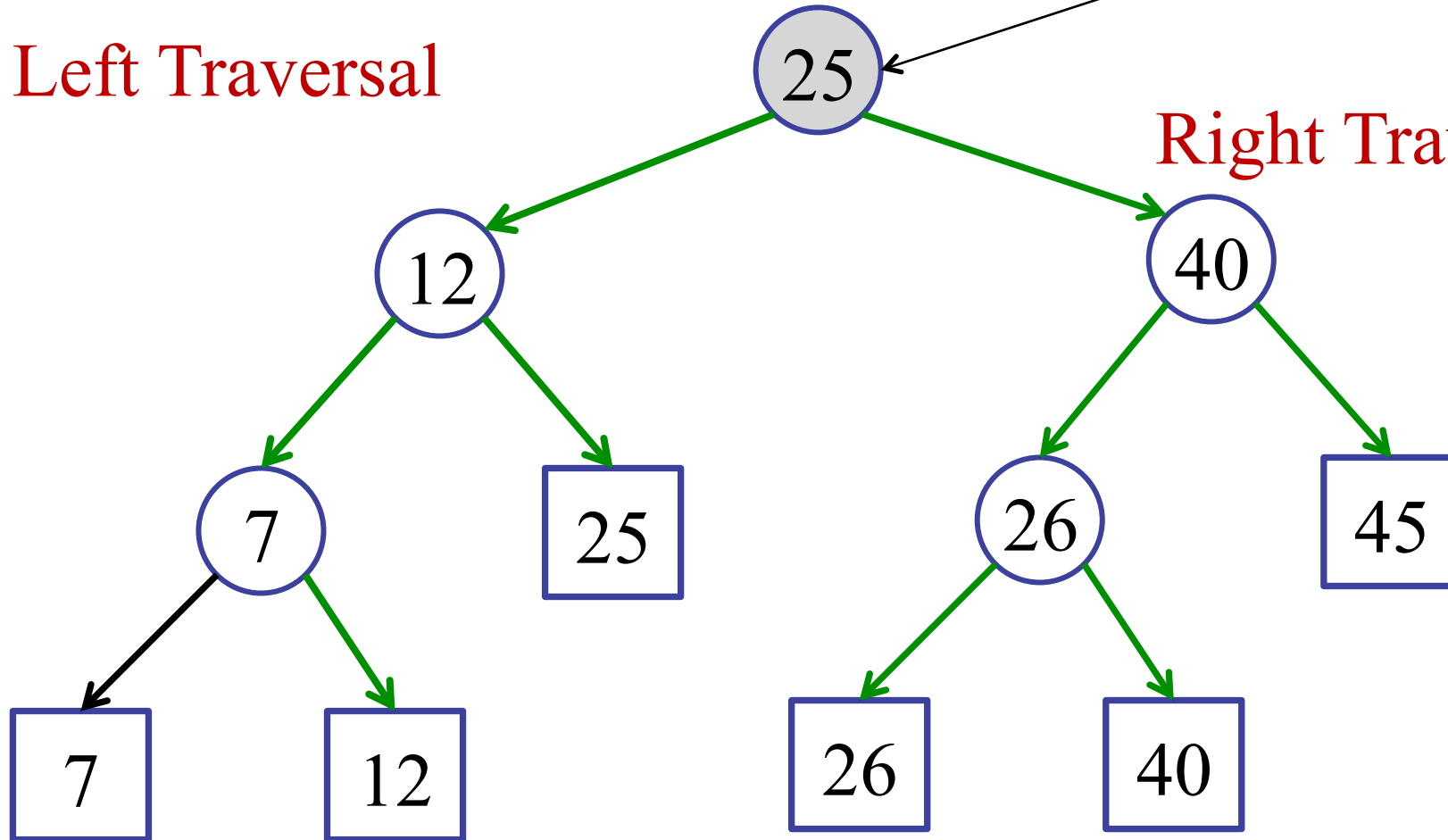
1. Recurse at most  $O(\log n)$  times.
2. How expensive is “output all sub-tree”?

# Example: query(10, 50)

Left Traversal

Split node

Right Traversal



# Analysis

---

- Left Traversal:

At every step, we either:

1. Output all right sub-tree and recurse left.
2. Recurse right.

- Counting:

1. Recurse at most  $O(\log n)$  times.
2. “Output all sub-tree” costs  $O(k)$ .

# Analysis

---

Query time complexity:

$$O(k + \log n)$$

where  $k$  is the number of points output.

Preprocessing (buildtree) time complexity:

$$O(n \log n)$$

Total space complexity:

$$O(n)$$

# One Dimensional Range Queries

---

What if you just want to know *how many* points are in the range?

# One Dimensional Range Queries

---

What if you just want to know *how many* points are in the range?

- Augment the tree!
- Keep a count of the number of nodes in each sub-tree.
- Instead of walking entire sub-tree, just remember the count.



# One Dimensional Range Queries

---

LeftTraversal(v, low, high)

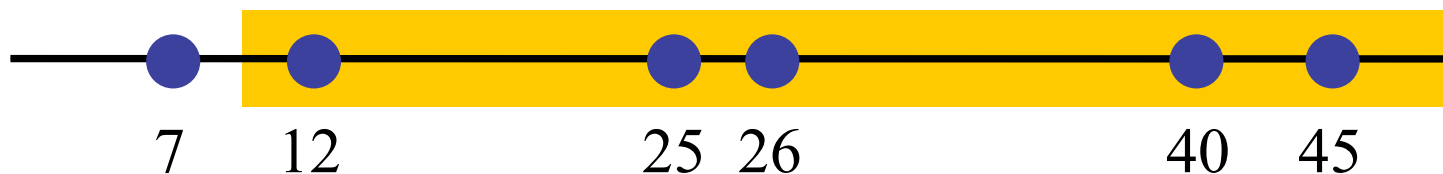
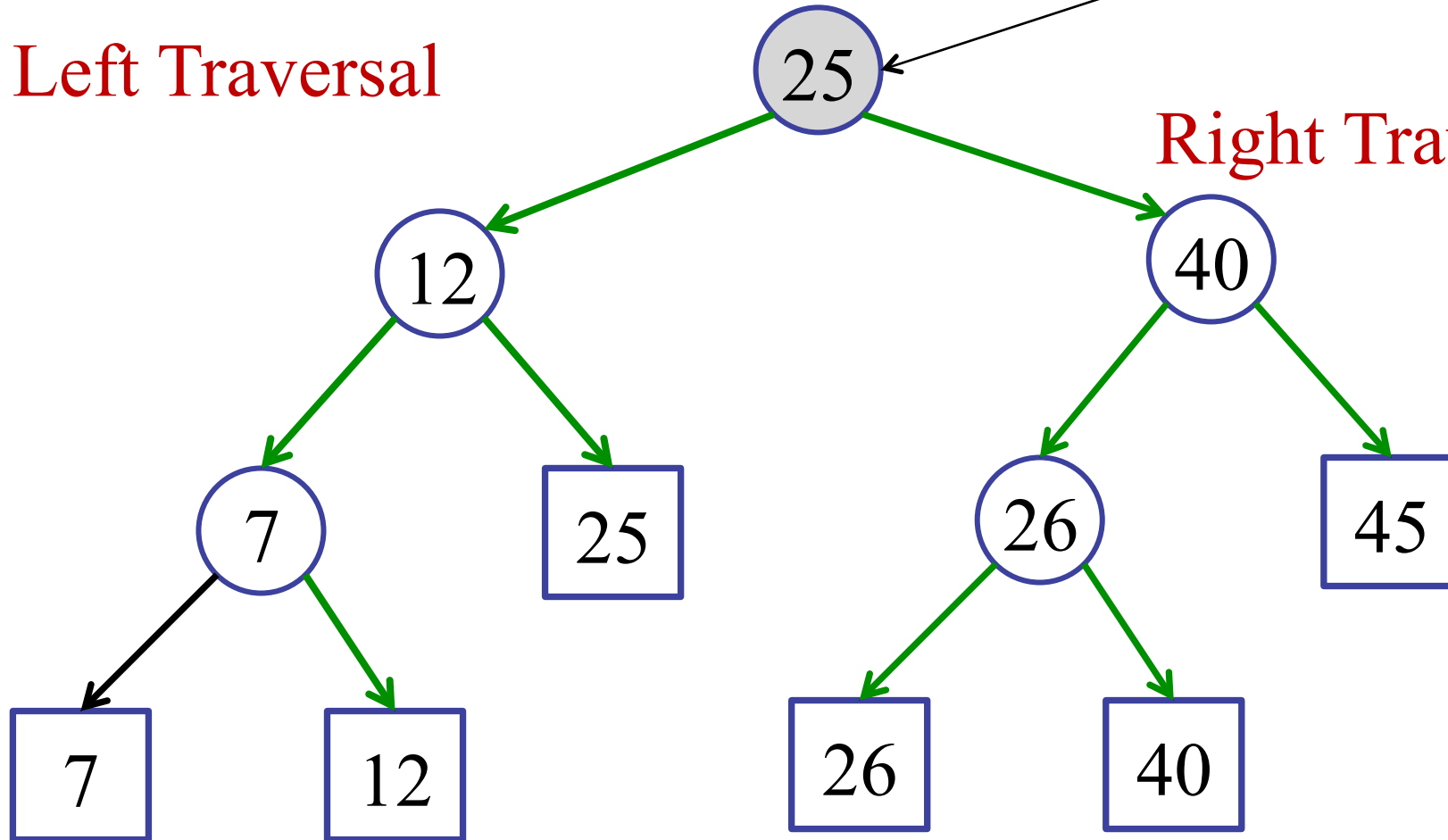
```
    if (low <= v.key) {  
        all-leaf-traversal(v.right);  
        total += v.right.count;  
        LeftTraversal(v.left, low, high);  
    }  
    else {  
        LeftTraversal(v.right, low, high);  
    }  
}
```

# Example: query(10, 50)

Left Traversal

Split node

Right Traversal



# 1D Range Tree

---

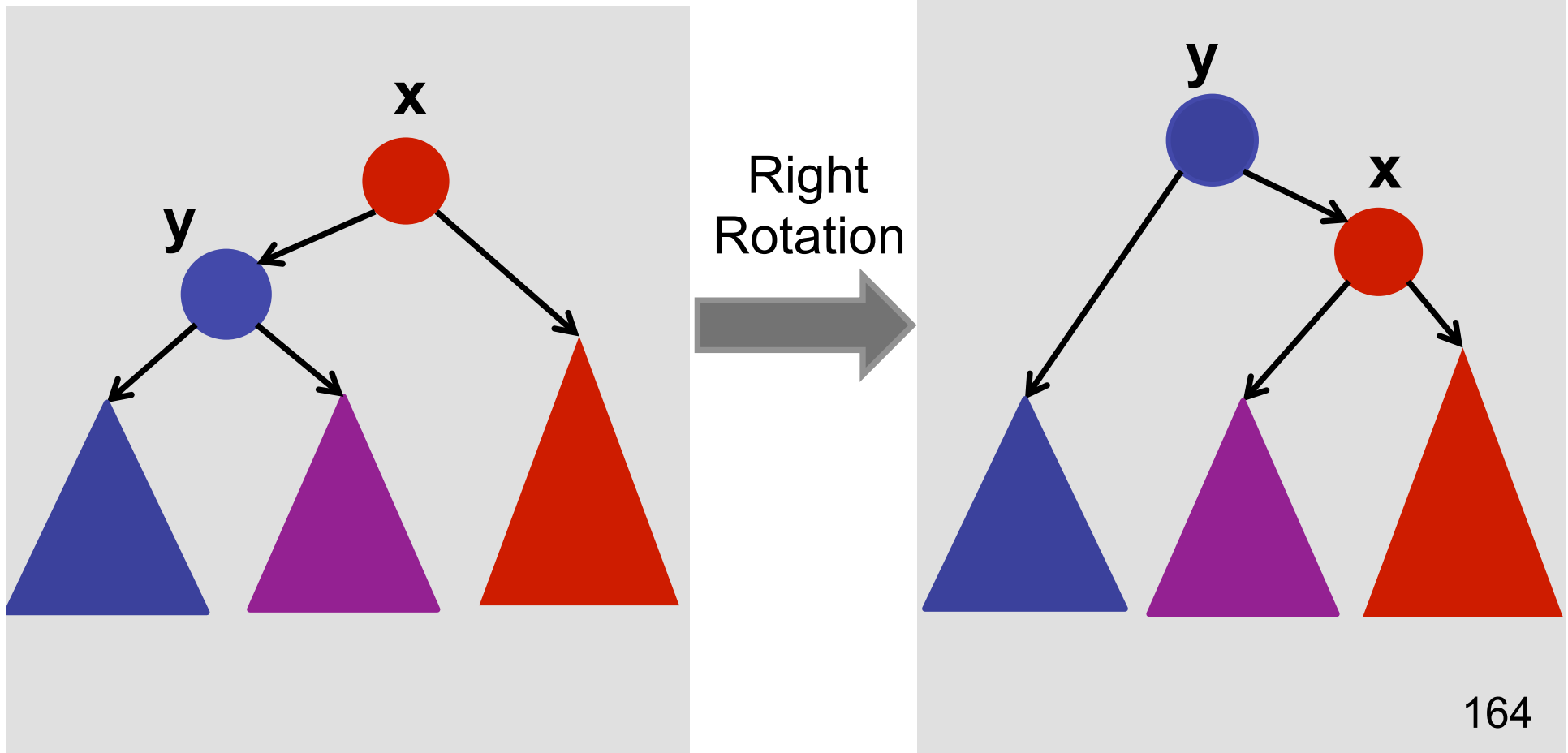
Done??

# One Dimensional Range Queries

---

What about dynamic updates?

- Need to verify rotations!

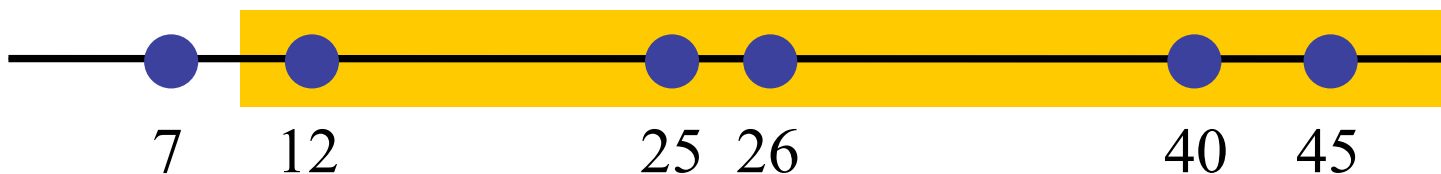
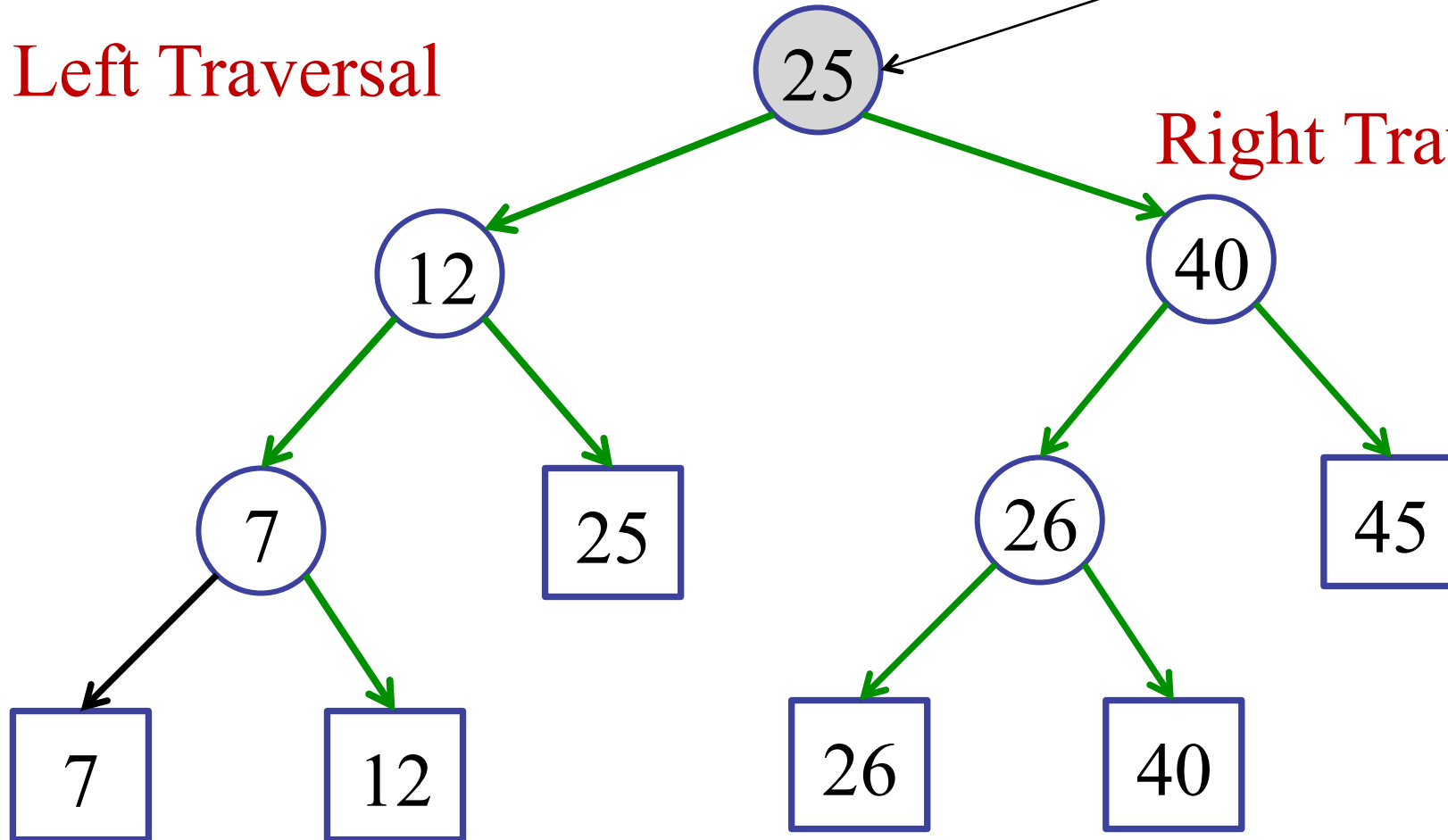


# Example: query(10, 50)

Left Traversal

Split node

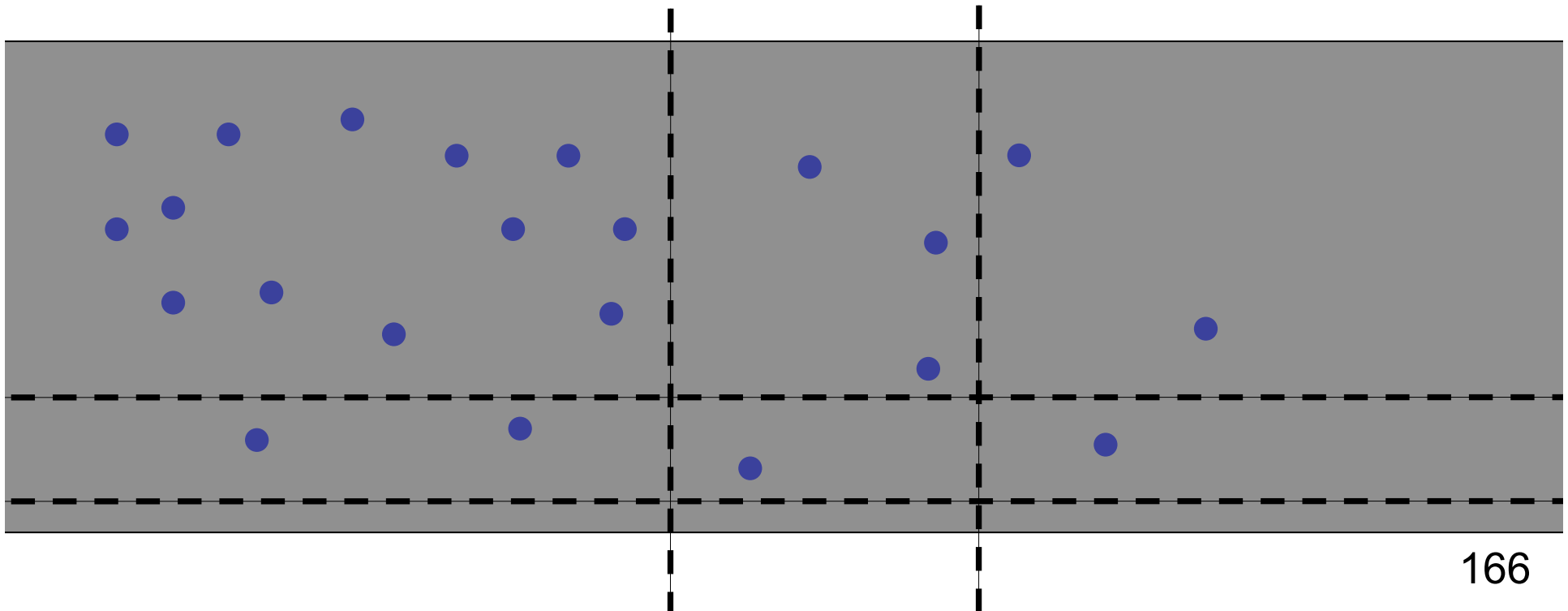
Right Traversal



# Two Dimensional Range Tree

---

Ex: search for all points between dashed lines.

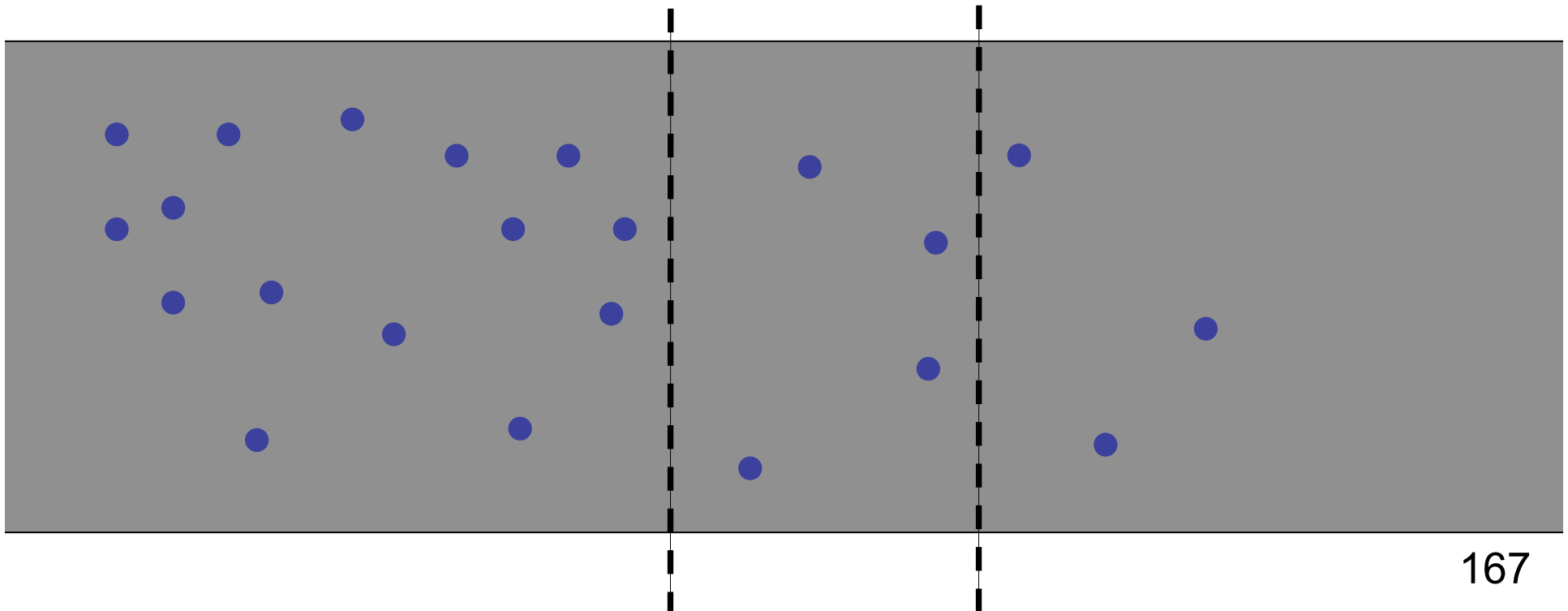


# Two Dimensional Range Tree

---

Step 1:

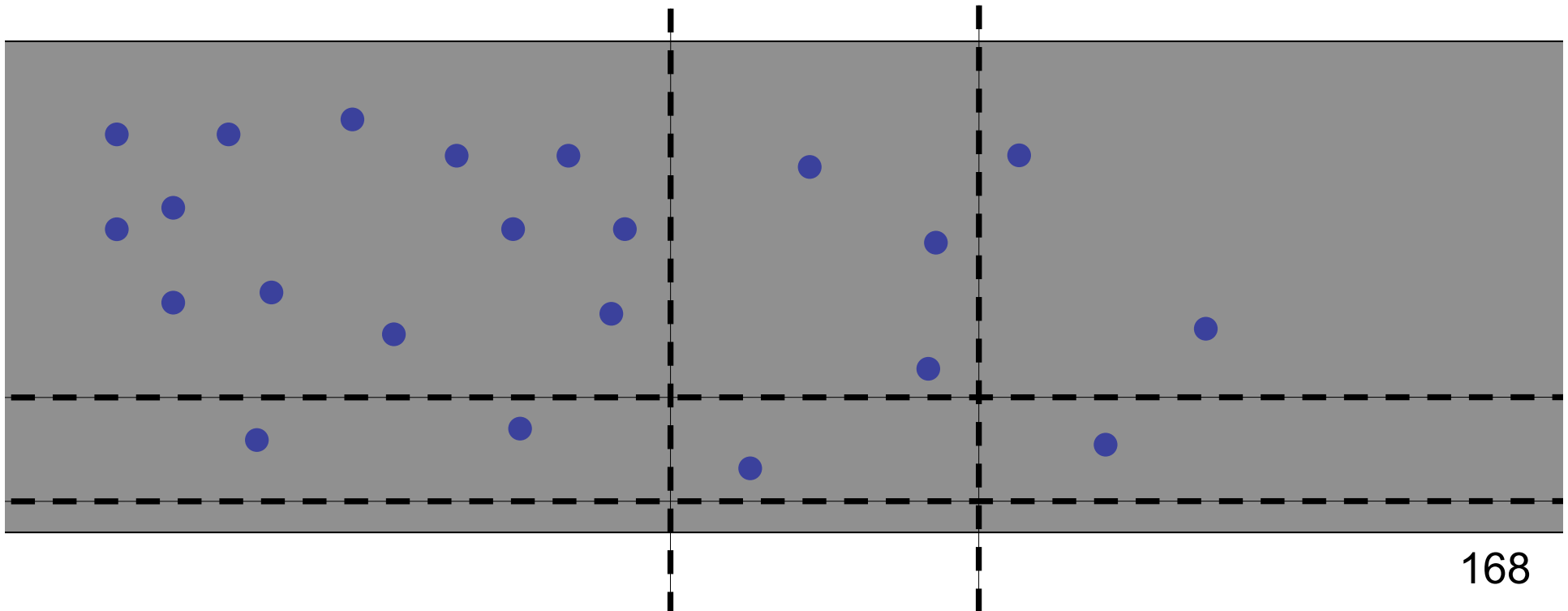
- Create a range-tree on the x-coords.



# Two Dimensional Range Tree

---

**Problem:** can't enumerate entire sub-trees, since there may be too many nodes that don't satisfy the y-restriction.





# One Dimensional Range Queries

---

```
LeftTraversal(v, low, high)
```

```
    if (v.key >= low) {
```

```
        all-leaf-traversal(v.right);
```

```
        LeftTraversal(v.left, low, high);
```

```
    }
```

```
    else {
```

```
        LeftTraversal(v.right, low, high);
```

```
    }
```

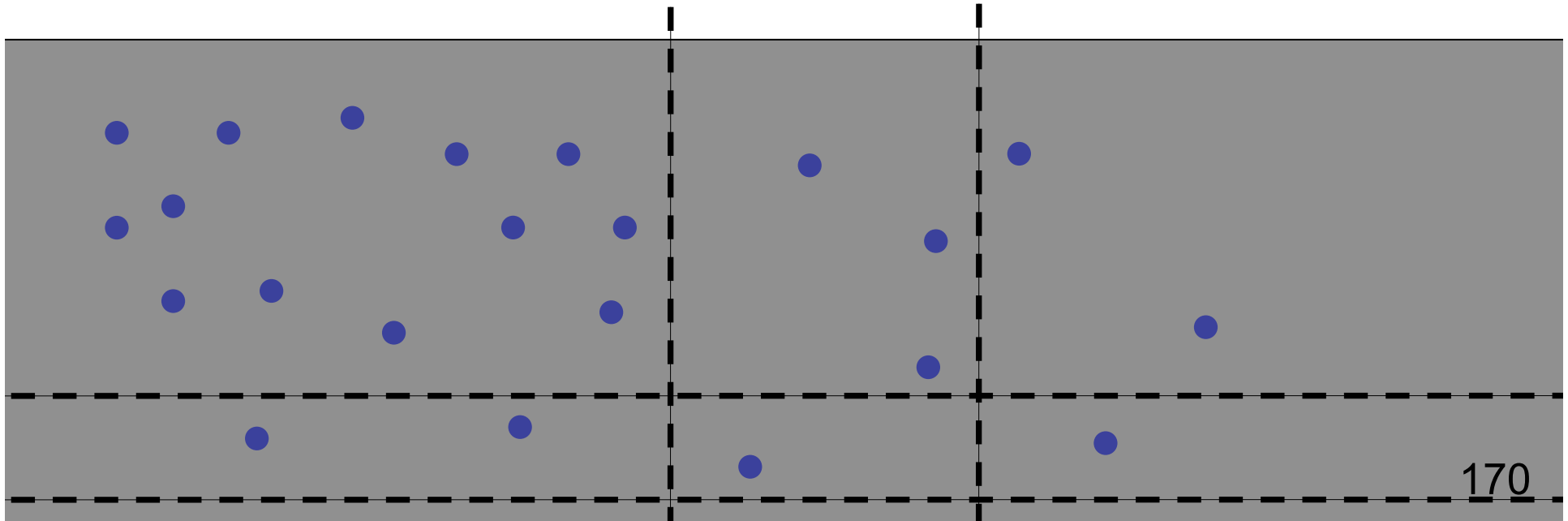
```
}
```

# Two Dimensional Range Tree

---

**Solution:** Augment!

- Each node in the x-tree has a set of points in its sub-tree.
- Store a y-tree at each x-node containing all the points in the sub-tree.



# One Dimensional Range Queries

---

```
LeftTraversal(v, low, high)
```

```
    if (v.key.x >= low.x) {
```

```
        ytree.search(low.y, high);
```

```
        LeftTraversal(v.left, low, high);
```

```
    }
```

```
    else {
```

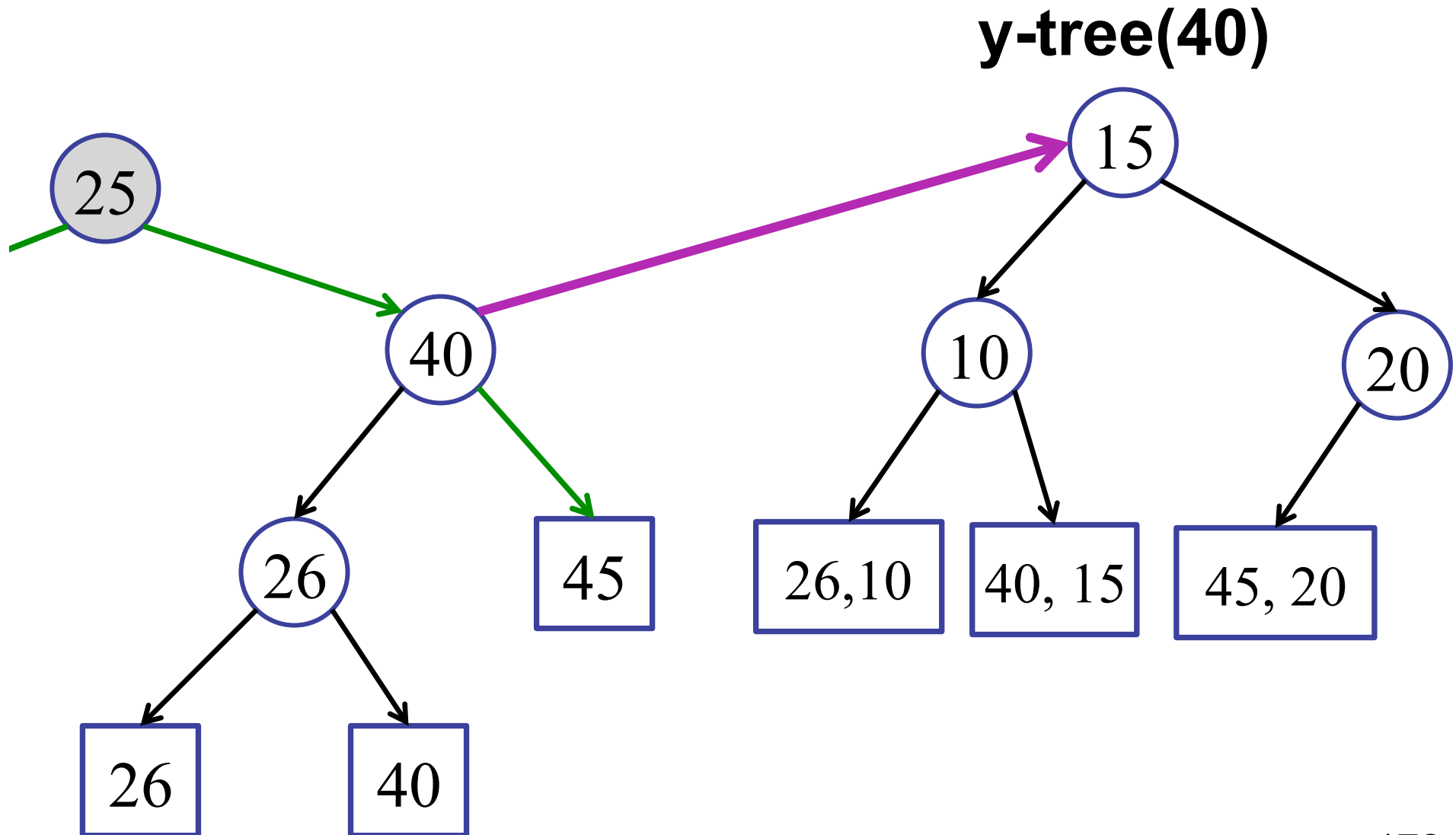
```
        LeftTraversal(v.right, low, high);
```

```
    }
```

```
}
```

# Example:

---



# Analysis

---

Query time:  $O(\log^2 n + k)$

- $O(\log n)$  to find split node.
- $O(\log n)$  recurse steps
- $O(\log n)$  y-tree-searches of cost  $O(\log n)$
- $O(k)$  enumerating output

# Analysis

---

Space complexity:  $O(n \log n)$

- Each point appears in at most one y-tree per level.
- There are at  $O(\log n)$  levels.
- The rest of the x-tree takes  $O(n)$  space.

# Analysis

---

Building the tree:  $O(n \log n)$

- Tricky...
- Left as a puzzle... 😊

NB Challenge of the Day

# Dynamic Trees

---

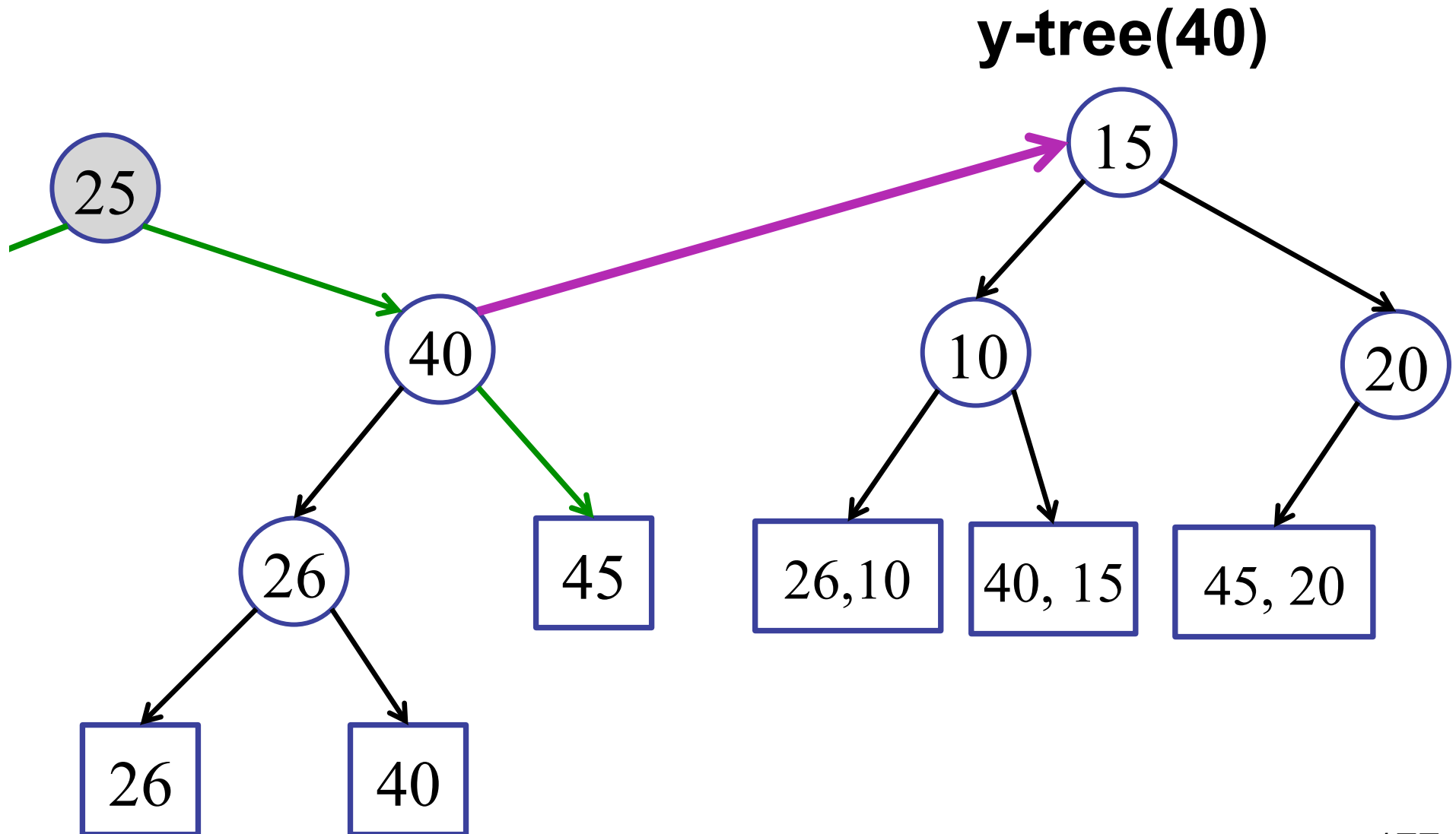
What about inserting/deleting nodes?

- Hard!
- How do you do rotations?
- Every rotation you may have to entirely rebuild the y-trees for the rotated nodes.
- Cost of rotate:  $O(n)$  !!!!



# Example:

---



# d-dimensional

---

What if you want high-dimensional range queries?

- Query cost:  $O(\log^d n + k)$
- buildTree cost:  $O(n \log^{d-1} n)$
- Space:  $O(n \log^{d-1} n)$

Idea:

- Store  $d-1$  dimensional range-tree in each node of a 1D range-tree.
- Construct the  $d-1$ -dimensional range-tree recursively.

# Curse of Dimensionality

---

What if you want high-dimensional range queries?

- Query cost:  $O(\log^d n + k)$
- buildTree cost:  $O(n \log^{d-1} n)$
- Space:  $O(n \log^{d-1} n)$

Idea:

- Store  $d-1$  dimensional range-tree in each node of a 1D range-tree.
- Construct the  $d-1$ -dimensional range-tree recursively.

# Real World (aside)

---

## kd-Trees

- Alternate levels in the tree:
  - vertical
  - horizontal
  - vertical
  - horizontal
- Each level divides the points in the plane in half.

# Real World (aside)

---

## kd-Trees

- Alternate levels in the tree
- Each level divides the points in the plane in half.
- Query cost:  $O(\sqrt{n})$  worst-case
  - Sometimes works better in practice for many queries.
  - Easier to update dynamically.
  - Good for other types of queries: e.g., nearest-neighbor

# Today

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Three examples of augmenting BSTs

1. Order Statistics
2. Intervals
3. Orthogonal Range Searching