# CPEN 400Q Lecture 16 Mixed states and density matrices

Monday 10 March 2025

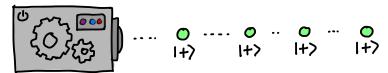
#### Announcements

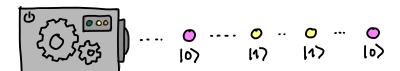
- Quiz 7 today
- Project MT checkpoint report due Friday 12pm
- A3 available (due Tuesday 25 March 23:59). Some reminders regarding academic integrity:
  - collaboration on assignments is allowed, but please honestly fill out contribution statement
  - use of ChatGPT permitted only for spelling/grammar check on literacy assignments; not permitted on other assignments

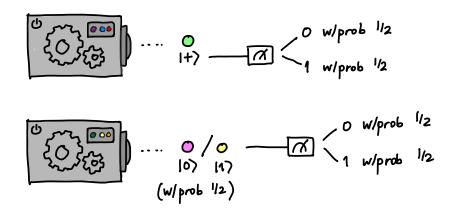
## Learning outcomes

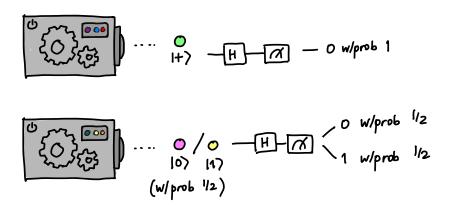
- Define a mixed state
- Express quantum states using density matrices
- Perform quantum computation in the density matrix formalism

Are these two devices the same?









What is the second box doing?

The second box prepares a mixed state.

A pure state can be expressed as a single ket vector, e.g.,

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$$

A **mixed state** is a *probabilistic mixture of pure states*.

$$? = ???$$

Mixed states are represented by **density matrices**.

The density matrix of a pure state  $|\psi\rangle$  is

$$\rho = |\psi\rangle \langle \psi|$$

**Exercise**: what are the density matrices for  $|0\rangle$  and  $|1\rangle$ ?

$$\rho_0 = |0\rangle \langle 0| = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$$
$$\rho_1 = |1\rangle \langle 1| = \begin{pmatrix} 0 \\ 1 \end{pmatrix} \begin{pmatrix} 0 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$$

Density matrices of mixed states are linear combinations of density matrices of pure states:

$$\rho = \sum_{i} p_{i} |\psi_{i}\rangle \langle \psi_{i}|, \quad \sum_{i} p_{i} = 1.$$

**Exercise**: A system prepares  $|+\rangle$  with probability 1/3, and  $|0\rangle$  with probability 2/3. What is its state?

$$\begin{split} \rho &= \frac{1}{3} |+\rangle \left< + | + \frac{2}{3} |0\rangle \left< 0 | \right. \\ &= \frac{1}{3} \cdot \frac{1}{2} \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} + \frac{2}{3} \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \\ &= \begin{pmatrix} \frac{5}{6} & \frac{1}{6} \\ \frac{1}{6} & \frac{1}{6} \end{pmatrix} \end{split}$$

Density matrices have some nice properties.

- they are Hermitian
- they have trace 1
- they are positive semi-definite (all eigenvalues are  $\geq 0$ )
- (for pure states only) they are projectors, i.e.,  $\rho^2 = \rho$

Check with our example:

$$\rho = \begin{pmatrix} \frac{5}{6} & \frac{1}{6} \\ \frac{1}{6} & \frac{1}{6} \end{pmatrix}$$

- clearly Hermitian
- $Tr \rho = 5/6 + 1/6 = 1$
- $\blacksquare$  eigenvalues are 0.872678 and 0.127322, both  $\ge 0$
- not pure, so  $\rho^2 \neq \rho$

Fun activity: show properties hold for general  $ho = \sum_i p_i |\psi_i\rangle \langle \psi_i|$ 

## Working with density matrices and mixed states

We can do all the normal things we do to pure states (i.e., operations, measurements) with mixed states as well.

For a pure state  $|\psi\rangle$  and operation U,

$$|\psi\rangle \to |\psi'\rangle = U|\psi\rangle$$

As mixed states,

$$|\psi\rangle\langle\psi| \rightarrow |\psi'\rangle\langle\psi'| = (U|\psi\rangle)(\langle\psi|U^{\dagger})$$

## Working with density matrices and mixed states

More generally,

$$\rho \to \rho' = U\rho U^{\dagger}$$

$$= U \left( \sum_{i} p_{i} |\psi_{i}\rangle \langle \psi_{i}| \right) U^{\dagger}$$

$$= \sum_{i} p_{i} U |\psi_{i}\rangle \langle \psi_{i}| U^{\dagger}$$

$$= \sum_{i} p_{i} |\psi'_{i}\rangle \langle \psi'_{i}|$$

## Working with density matrices and mixed states

**Exercise**: what is the output of applying H to our mixed state from the previous exercises? ( $|+\rangle$  w/prob. 1/3,  $|0\rangle$  w/prob. 2/3)

Recall that for a pure state  $|\psi\rangle$ , the probability of measuring and observing it in state  $|\varphi\rangle$  is

$$\Pr(\varphi) = |\langle \varphi | \psi \rangle|^2$$

We can rewrite this...

$$\begin{aligned} \Pr(\varphi) &= |\langle \varphi | \psi \rangle|^2 \\ &= \langle \varphi | \psi \rangle \langle \psi | \varphi \rangle \\ &= \langle \psi | \varphi \rangle \langle \varphi | \psi \rangle \\ &= \langle \psi | \ (|\varphi \rangle \langle \varphi |) \ |\psi \rangle \end{aligned}$$

 $\Pi_{\varphi} = |\varphi\rangle\langle\varphi|$  is the density matrix of  $|\varphi\rangle$ , which is a *projector*.

In a projective measurement, the  $\{\Pi_k\}$  "project" a state down to the consituent eigenstate.

# **Example**: Apply projector $\Pi_0$ to $|+\rangle$ :

$$\Pi_{0}|+\rangle = |0\rangle\langle 0| \cdot \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)$$

$$= |0\rangle \cdot \frac{1}{\sqrt{2}}(\langle 0||0\rangle + \langle 0||1\rangle)$$

$$= \frac{1}{\sqrt{2}}|0\rangle$$

## Apply projector again:

$$\begin{array}{rcl} \Pi_0\Pi_0|+\rangle & = & |0\rangle\langle 0|\,|0\rangle\langle 0|\,|+\rangle \\ & = & |0\rangle\langle 0|\,|+\rangle \\ & = & \frac{1}{\sqrt{2}}|0\rangle \end{array}$$

For mixed states, measurement follows the Born rule:

$$Pr(outcome i) = Tr(\Pi_i \rho)$$

where  $\{\Pi_i\}$  is a **positive operator-valued measure (POVM)**.

The POVM elements satisfy

$$\sum_{i} \Pi_{i} = I$$

We can show this is equivalent to a projective measurement when  $\rho$  is pure.

For an  $m \times m$  matrix A,

$$\operatorname{Tr}(A) = \sum_{k=0}^{m-1} \langle k | A | k \rangle$$

Pr(outcome i) = Tr(
$$\Pi_i \rho$$
)  
= Tr( $\Pi_i | \psi \rangle \langle \psi |$ )  
=  $\sum_{k=0}^{m-1} \langle k | \Pi_i | \psi \rangle \langle \psi | k \rangle$   
=  $\sum_{k=0}^{m-1} \langle \psi | k \rangle \langle k | \Pi_i | \psi \rangle$   
=  $\langle \psi | \left( \sum_{k=0}^{m-1} | k \rangle \langle k | \right) \Pi_i | \psi \rangle$   
=  $\langle \psi | \Pi_i | \psi \rangle$ 

Example: 
$$\{|+\rangle \langle +|, |-\rangle \langle -|\}$$
.

Given a state  $\rho$ ,

$$Pr(+) = Tr(|+\rangle \langle +| \rho)$$

$$Pr(-) = Tr(|-\rangle \langle -| \rho)$$

**Exercise**: Show that  $\{|+\rangle \langle +|, |-\rangle \langle -|\}$  form a legit POVM.

$$\left|+\right\rangle \left\langle +\right|+\left|-\right\rangle \left\langle -\right|=\frac{1}{2}\begin{pmatrix}1&1\\1&1\end{pmatrix}+\frac{1}{2}\begin{pmatrix}1&-1\\-1&1\end{pmatrix}=\begin{pmatrix}1&0\\0&1\end{pmatrix}$$

**Exercise**: Suppose we prepare our system in  $|+\rangle$  with probability 1/3 and  $|0\rangle$  with probability 2/3. What is the probability of obtaining the POVM outcome  $\Pi_+ = |+\rangle \langle +|?$ 

$$\begin{array}{ll} \Pr(\Pi_{+}) & = & \operatorname{Tr}(\Pi_{+}\rho) \\ & = & \operatorname{Tr}\left(|+\rangle \left\langle +|\cdot \left(\frac{1}{3}|+\rangle \left\langle +|+\frac{2}{3}|0\rangle \left\langle 0|\right)\right)\right) \\ & = & \operatorname{Tr}\left(\frac{1}{3}|+\rangle \left\langle +|+\frac{2}{3}\frac{1}{\sqrt{2}}|+\rangle \left\langle 0|\right)\right) \\ & = & \frac{1}{3}\operatorname{Tr}(|+\rangle \left\langle +|\right) + \frac{\sqrt{2}}{3}\operatorname{Tr}\left(\frac{1}{\sqrt{2}}\begin{pmatrix} 1 & 0 \\ 1 & 0 \end{pmatrix}\right) \\ & = & \frac{1}{3} + \frac{1}{3} \\ & = & \frac{2}{3} \end{array}$$

## Let's play a game

Suppose I send you a mystery qubit, guaranteed to be either in

$$|\psi_1\rangle = |0\rangle$$
, or  $|\psi_2\rangle = |+\rangle = \frac{1}{\sqrt{2}} (|0\rangle + |1\rangle)$ 

You must correctly determine which state I sent, but *you are only allowed to make one measurement*.

What measurement strategy maximizes your odds of being correct?

## Positive operator-valued measures (POVMs)

Let  $\{M_m\}$  be a set of measurement operators, where the  $M_m$  are not necessarily projectors, and

$$\sum_{m} M_{m}^{\dagger} M_{m} = I, \qquad p(m) = \langle \psi | M_{m}^{\dagger} M_{m} | \psi \rangle.$$

The set of operators

$$E_m = M_m^{\dagger} M_m$$

constitutes a POVM, and can be used to make a measurement on a quantum system.

## Revisit our game

What measurement maximizes odds of distinguishing between

$$|\psi_1\rangle = |0\rangle$$
, or  $|\psi_2\rangle = |+\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)$ 

Choose a set of three POVM elements:

$$E_1 = \frac{\sqrt{2}}{1 + \sqrt{2}} |1\rangle \langle 1|$$

$$E_2 = \frac{\sqrt{2}}{1 + \sqrt{2}} |-\rangle \langle -|$$

$$E_3 = I - E_1 - E_2$$

	$Pr(E_1)$	$Pr(E_2)$	$Pr(E_3)$
$ \psi_1\rangle =  0\rangle$	0	$1-rac{1}{\sqrt{2}}$	$\frac{1}{\sqrt{2}}$
$ \psi_2\rangle =  +\rangle$	$1 - \frac{1}{\sqrt{2}}$	0	$\frac{1}{\sqrt{2}}$

## Next time

#### Next class:

- Mixed states and the Bloch sphere
- Quantum channels

#### Action items:

- 1. Work on project (MT checkpoint due Friday 12:00)
- 2. Work on assignment 3 (due Tuesday 25 March 23:59)

#### Recommended reading:

- Codebook module NT
- Nielsen & Chuang 2.4, 2.2.5-2.2.6