

Searching and sorting in the Java class libraries

Algorithms

- ◆ Java has *polymorphic* algorithms to provide functionality for different types of collections
 - Sorting (e.g. sort)
 - Shuffling (e.g. shuffle)
 - Routine Data Manipulation (e.g. reverse, addAll)
 - Searching (e.g. binarySearch)
 - Composition (e.g. frequency)
 - Finding Extreme Values (e.g. max)

Sequential search

- Searching for a particular word in an **ArrayList** *words*

```
int index = words.indexOf(word) ;
if (index >= 0) {
    System.out.println(word + " is word #"
        + index) ;
} else {
    System.out.println(word + " is not
        found.") ;
}
```

<i>index</i>	0	1	2	3	4	5	6	...
<i>value</i>	It	was	the	best	of	times	it	...

- sequential search:** One that examines each element of a list in sequence until it finds the target value or reaches the end of the list.
 - ♦ The `indexOf` method above uses a sequential search.

Binary search algorithm

- **binary search:** An algorithm that searches for a value in a sorted list by repeatedly eliminating half the list from consideration.
- ♦ Can be written iteratively or recursively
- ♦ implemented in Java as method `Arrays.binarySearch` in `java.util` package

Binary search algorithm

- Algorithm pseudocode (searching for a value K):
 - ♦ Start out with the search area being from indexes 0 to $length-1$.
 - ♦ Examine the element in the middle of the search area.
 - If it is K , stop.
 - Otherwise,
 - If it is smaller than K , eliminate the upper half of the search area.
 - If it is larger than K , eliminate the lower half of the search area.
 - Repeat the above examination.

Binary search example

Passes of a binary search for a number between 1 and 100.



```
guess: 50
response/hint: higher
conclusion: 51 <= number <= 100
```



```
guess: 75
response/hint: lower
conclusion: 51 <= number <= 74
```



```
guess: 62
response/hint: higher
conclusion: 63 <= number <= 74
```



```
guess: 68
response/hint: higher
conclusion: 69 <= number <= 74
```



```
guess: 71
response/hint: higher
conclusion: 72 <= number <= 74
```



```
guess: 73
response/hint: correct!
```

Using binarySearch

Java provides two binary search methods:

- ▶ `Arrays.binarySearch` (for an array)

```
// binary search on an array:
```

```
int[] numbers = {-3, 2, 8, 12, 17, 29, 44, 58, 79};
```

```
int index = Arrays.binarySearch(numbers, 29);
```

```
System.out.println("29 is found at index " + index);
```

- ▶ `Collections.binarySearch` (for a List)

Using binarySearch

```
// binary search on ArrayList with the same values:  
int index = Collections.binarySearch(list,29) ;  
System.out.println("29 is found at index " +  
index) ;
```

- ◆ Note that the values in the array / list are in sorted order.
- ◆ If they are not, binarySearch is not guaranteed to work properly.

Randomly shuffling a List

```
import java.util.*;

public class Shuffle {
    public static void main(String[] args) {
        List<String> list = Arrays.asList(args);
        Collections.shuffle(list);
        System.out.println(list);
    }
}
```

Shuffling

- **shuffling**: Rearranging the elements of a list into a random order.
 - ♦ Java has a shuffle method for a `List`, `Collections.shuffle`:

```
String[] ranks = {"2", "3", "4", "5", "6", "7", "8", "9",  
                  "10", "Jack", "Queen", "King", "Ace"};  
String[] suits = {"Clubs", "Diamonds", "Hearts", "Spades"};  
ArrayList<String> deck = new ArrayList<String>();  
for (String rank : ranks) {    // build sorted deck  
    for (String suit : suits) {  
        deck.add(rank + " of " + suit);  
    }  
}  
  
Collections.shuffle(deck);  
System.out.println("Top card = " + deck.get(0));
```

- ♦ **Output (for one example run):**
Top card = 10 of Spades

Sorting

- **sorting:** Rearranging the values in a list into a given order (often into their natural ascending order).
- ♦ One of the fundamental problems in computer science
- ♦ Many sorts are *comparison-based* (must determine order through comparison operations on the input data)
 - <, >, compareTo, ...

<i>index</i>	0	1	2	3	4	5	6	7
<i>value</i>	15	2	8	1	17	10	12	5

<i>index</i>	0	1	2	3	4	5	6	7
<i>value</i>	1	2	5	8	10	12	15	17

Sorting in the class libraries

- Java provides two sorting methods:

- ♦ `Arrays.sort` (for an array)

```
// demonstrate the Arrays.sort method
```

```
String[] strings = {"c", "b", "g", "h", "d", "f", "e",  
"a"};
```

```
System.out.println(Arrays.toString(strings));
```

```
Arrays.sort(strings);
```

```
System.out.println(Arrays.toString(strings));
```

- ♦ Output:

```
[c, b, g, h, d, f, e, a]
```

```
[a, b, c, d, e, f, g, h]
```

- ♦ **`Collections.sort`** (for a `List`)

Custom ordering : Comparisons

Comparing objects;
Comparable, compareTo, and
Comparator

Comparing objects

- Operators like `<` and `>` do not work with objects in Java.
 - ◆ But we do think of some types as having an ordering (e.g. `Dates`).
 - ◆ (In other languages, we can enable `<`, `>` with *operator overloading*.)
- **natural ordering**: Rules governing the relative placement of all values of a given type.
 - ◆ Implies a notion of equality (like `equals`) but also `<` and `>`.
 - ◆ **total ordering**: All elements can be arranged in $A \leq B \leq C \leq \dots$ order.
- **comparison function**: Code that, when given two values *A* and *B* of a given type, decides their relative ordering:
 - ◆ $A < B$, $A == B$, $A > B$

The Comparable interface

- The standard way for a Java class to define a comparison function for its objects is to implement the `Comparable` interface.

```
public interface Comparable<T> {  
    public int compareTo(T other);  
}
```

- A call of `A.compareTo(B)` should return:
a value < 0 if **A** comes "before" **B** in the ordering,
a value > 0 if **A** comes "after" **B** in the ordering,
or exactly 0 if **A** and **B** are considered "equal" in the ordering.

Using compareTo

- compareTo can be used as a test in an if statement.

```
String a = "alice";  
String b = "bob";  
if (a.compareTo(b) < 0) {    // true  
    ...  
}
```

Primitives	Objects
if (a < b) { ...	if (a.compareTo(b) < 0) { ...
if (a <= b) { ...	if (a.compareTo(b) <= 0) { ...
if (a == b) { ...	if (a.compareTo(b) == 0) { ...
if (a != b) { ...	if (a.compareTo(b) != 0) { ...
if (a >= b) { ...	if (a.compareTo(b) >= 0) { ...
if (a > b) { ...	if (a.compareTo(b) > 0) { ...

compareTo example

```
public class Point implements Comparable<Point> {  
    // sort by x and break ties by y  
  
    public int compareTo(Point other) {  
        if (x < other.x) {  
            return -1;  
        } else if (x > other.x) {  
            return 1;  
        } else if (y < other.y) {  
            return -1;    // same x, smaller y  
        } else if (y > other.y) {  
            return 1;    // same x, larger y  
        } else {  
            return 0;    // same x and same y  
        }  
    }  
  
    // subtraction trick:  
    // return (x != other.x) ? (x - other.x) : (y - other.y);  
}
```

compareTo tricks

- *subtraction trick* - Subtracting related numeric values produces the right result for what you want compareTo to return:

```
// sort by x and break ties by y
public int compareTo(Point other) {
    if (x != other.x) {
        return x - other.x;    // different x
    } else {
        return y - other.y;    // same x; compare y
    }
}
```

♦ The idea:

- if $x > other.x$, then $x - other.x > 0$
- if $x < other.x$, then $x - other.x < 0$
- if $x == other.x$, then $x - other.x == 0$
- NOTE: This trick doesn't work for doubles (`Math.signum`)

compareTo tricks 2

- *delegation trick* - If your object's fields are comparable (such as strings), use their compareTo results to help you:

```
// sort by employee name, e.g. "Jim" < "Susan"
public int compareTo(Employee other) {
    return name.compareTo(other.getName());
}
```

- *toString trick* - If your object's toString representation is related to the ordering, use that to help you:

```
// sort by date, e.g. "09/19" > "04/01"
public int compareTo(Date other) {
    return toString().compareTo(other.toString());
}
```

compareTo and collections

- Java's **binary search methods call compareTo internally.**

```
String[] a = {"al", "bob", "cari", "dan", "mike"};  
int index = Arrays.binarySearch(a, "dan");    // 3
```

- Java's **TreeSet/TreeMap use compareTo internally for ordering.**
 - ◆ Only classes that implement Comparable can be used as elements.

```
Set<String> set = new TreeSet<String>();  
for (int i = a.length - 1; i >= 0; i--) {  
    set.add(a[i]);  
}  
System.out.println(s);  
// [al, bob, cari, dan, mike]
```

Flawed compareTo method

```
public class BankAccount implements
Comparable<BankAccount> {
    private String name;
    private double balance;
    private int id;

    public int compareTo(BankAccount other) {
        return name.compareTo(other.name); // order by
name
    }

    public boolean equals(Object o) {
        if (o != null && getClass() == o.getClass()) {
            BankAccount ba = (BankAccount) o;
            return name.equals(ba.name)
                && balance == ba.balance && id == ba.id;
        } else {
            return false;
        }
    }
}
```

- What's bad about the above?

The flaw

```
BankAccount ba1 = new BankAccount("Jim", 123, 20.00);  
BankAccount ba2 = new BankAccount("Jim", 456, 984.00);  
  
Set<BankAccount> accounts = new TreeSet<BankAccount>();  
accounts.add(ba1);  
accounts.add(ba2);  
System.out.println(accounts);           // [Jim($20.00)]
```

- Where did the other account go?
 - ◆ Since the two accounts are "equal" by the ordering of `compareTo`, the set thought they were duplicates and didn't store the second.

compareTo and equals

- `compareTo` should generally be consistent with `equals`.
 - ◆ `a.compareTo(b) == 0` should imply that `a.equals(b)`.
- from `Comparable` Java API docs:
 - ◆ ... sorted sets (and sorted maps) without explicit comparators behave strangely when they are used with elements (or keys) whose natural ordering is inconsistent with `equals`. In particular, such a sorted set (or sorted map) violates the general contract for set (or map), which is defined in terms of the `equals` method.
 - ◆ For example, if one adds two keys `a` and `b` such that `(!a.equals(b) && a.compareTo(b) == 0)` to a sorted set that does not use an explicit comparator, the second add operation returns false (and the size of the sorted set does not increase) because `a` and `b` are equivalent from the sorted set's perspective.

What's the "natural" order?

```
public class Rectangle implements Comparable<Rectangle> {  
    private int x, y, width, height;  
  
    public int compareTo(Rectangle other) {  
        // ...?  
    }  
}
```

- What is the "natural ordering" of rectangles?
 - ♦ By x, breaking ties by y?
 - ♦ By width, breaking ties by height?
 - ♦ By area? By perimeter?
- Do rectangles have any "natural" ordering?
 - ♦ Might we ever want to sort rectangles into some order anyway?

Comparator interface

```
public interface Comparator<T> {  
    public int compare(T first, T  
        second) ;  
}
```

- Interface `Comparator` is an external object that specifies a comparison function over some other type of objects.
 - ◆ Allows you to define multiple orderings for the same type.
 - ◆ Allows you to define a specific ordering for a type even if there is no obvious "natural" ordering for that type.

Comparator examples

```
public class RectangleAreaComparator
    implements Comparator<Rectangle> {
    // compare in ascending order by area (WxH)
    public int compare(Rectangle r1, Rectangle
r2) {
        return r1.getArea() - r2.getArea();
    }
}
```

```
public class RectangleXYComparator
    implements Comparator<Rectangle> {
    // compare by ascending x, break ties by y
    public int compare(Rectangle r1, Rectangle
r2) {
        if (r1.getX() != r2.getX()) {
            return r1.getX() - r2.getX();
        } else {
            return r1.getY() - r2.getY();
        }
    }
}
```

Using Comparators

- TreeSet and TreeMap can accept a Comparator parameter.

```
Comparator<Rectangle> comp = new  
    RectangleAreaComparator();
```

```
Set<Rectangle> set = new  
    TreeSet<Rectangle>(comp);
```

- Searching and sorting methods can accept Comparators.

```
Arrays.binarySearch(array, value, comparator)
```

```
Arrays.sort(array, comparator)
```

```
Collections.binarySearch(list, comparator)
```

```
Collections.max(collection, comparator)
```

```
Collections.min(collection, comparator)
```

```
Collections.sort(list, comparator)
```

- Methods are provided to reverse a Comparator's ordering:

```
Collections.reverseOrder()
```

```
Collections.reverseOrder(comparator)
```

Using a separate Comparator

- Program implemented Comparable
 - ◆ Therefore, it had a compareTo method
 - ◆ We could sort *only* by their score
 - ◆ If we wanted to sort students another way, such as by name, we are out of luck
- Now we will put the comparison method in a *separate class* that implements Comparator instead of Comparable
 - ◆ This is more flexible (you can use a different Comparator to sort Students by name or by score), but it's also clumsier
 - ◆ Comparator is in java.util, not java.lang
 - ◆ Comparable requires a definition of compareTo but Comparator requires a definition of compare
 - ◆ Comparator also (sort of) requires equals

Outline of StudentComparator

```
import java.util.*;
```

```
public class StudentComparator  
    implements Comparator {
```

```
    public int compare(Object o1, Object o2) {...}
```

```
    public boolean equals(Object o1) {...}  
}
```

- Note: When we are using this Comparator, we don't need the `compareTo` method in the `Student` class

The compare method

```
public int compare(Object o1, Object o2) {  
    return ((Student)o1).score - ((Student)o2).score;  
}
```

- This differs from `compareTo(Object o)` in `Comparable` in these ways:
 - ◆ The name is different
 - ◆ It takes both objects as parameters, not just one
 - ◆ We have to check the type of both objects
 - ◆ Both objects have to be cast to `Student`

Custom ordering

- Sometimes, the default ordering is not what you want.
 - ♦ Example: The following code sorts the strings in a case-sensitive order, so the uppercase letters come first.

We may have wanted a case-insensitive ordering instead.

```
String[] strings = {"Foxtrot", "alpha", "echo",  
"golf", "bravo", "hotel", "Charlie", "DELTA"};  
Arrays.sort(strings);  
System.out.println(Arrays.toString(strings));
```

- ♦ Output:

```
[Charlie, DELTA, Foxtrot, alpha, bravo, echo, golf,  
hotel]
```

- You can describe a custom sort ordering by creating a class called a *comparator*.

Comparator example

- The following `Comparator` compares `Strings`, ignoring case:

```
public class CaseInsensitiveComparator
    implements Comparator<String> {

    public int compare(String s1, String s2) {
        return s1.toLowerCase().compareTo(
            s2.toLowerCase());
    }
}
```


Sorting with Comparators

- The sorting methods shown previously can also be called with a Comparator as a second parameter.
- ♦ The sorting algorithm will use that comparator to order the elements of the array or list.

```
String[] strings = {"Foxtrot", "alpha", "echo", "golf",  
                    "bravo", "hotel", "Charlie", "DELTA"};  
Arrays.sort(strings, new CaseInsensitiveComparator());  
System.out.println(Arrays.toString(strings));  
[Charlie, DELTA, Foxtrot, alpha, bravo, echo, golf, hotel]
```

- ♦ Output:
[alpha, bravo, Charlie, DELTA, echo, Foxtrot, golf, hotel]

The main method

- The main method is just like before, except that instead of

```
TreeSet set = new TreeSet();
```

We have

```
Comparator comp = new StudentComparator();  
TreeSet set = new TreeSet(comp);
```

When to use each

- The **Comparable** interface is simpler and less work
 - ◆ Your class implements Comparable
 - ◆ Provide a public `int compareTo(Object o)` method
 - ◆ Use no argument in your `TreeSet` or `TreeMap` constructor
 - ◆ You will use the same comparison method every time
- The **Comparator** interface is more flexible but slightly more work
 - ◆ Create as many different classes that implement `Comparator` as you like
 - ◆ You can sort the `TreeSet` or `TreeMap` differently with each
 - Construct `TreeSet` or `TreeMap` using the comparator you want
 - ◆ For example, sort `Students` by score *or* by name

Sorting differently

- Suppose you have students sorted by *score*, in a `TreeSet` you call `studentsByScore`
- Now you want to sort them again, this time by *name*

```
Comparator myStudentNameComparator =  
    new MyStudentNameComparator();
```

```
TreeSet studentsByName =  
    new TreeSet(myStudentNameComparator);
```

```
studentsByName.addAll(studentsByScore);
```

Algorithms

- ◆ See the Java API for the Collections class
 - play with max, min, sort, binarySearch, shuffle, reverse