

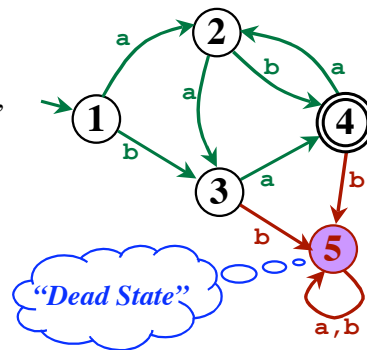
Reducing a DFA to a Minimal DFA

Input: DFA_{IN}
Assume DFA_{IN} never “gets stuck”
(add a dead state if necessary)

Output: DFA_{MIN}
An equivalent DFA with the minimum number of states.

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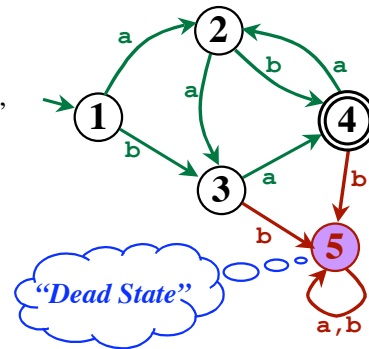
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Output:

DFA_{MIN}

An equivalent DFA with the minimum number of states.

Approach:

Merge two states if they effectively do the same thing.

“Do the same thing?”

At EOF, is DFA_{IN} in an accepting state or not?

Sufficiently Different States

Merge states, if at all possible.

Are two states “*sufficiently different*”
... that they cannot be merged?

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State *s* is “distinguished” from state *t* by some string *w* iff:
starting at *s*, given characters *w*, the DFA ends up accepting,
... but starting at *t*, the DFA does not accept.

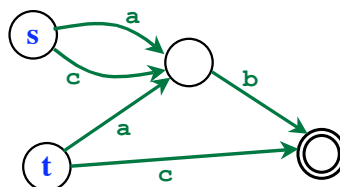
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... but starting at *t*, the DFA does not accept.

Example:



“*ab*” does not distinguish *s* and *t*.
But “*c*” distinguishes *s* and *t*.
Therefore, *s* and *t* cannot be merged.

Partitioning a Set

A partitioning of a set...

...breaks the set into non-overlapping subsets.
(The partition breaks the set into “groups”)

Example:

$$S = \{A, B, C, D, E, F, G\}$$

$$\Pi = \{(A\ B)\ (C\ D\ E\ F)\ (G)\}$$

$$\Pi_2 = \{(A)\ (B\ C)\ (D\ E\ F\ G)\}$$

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We can “refine” a partition...

$$\Pi_i = \{(A\ B\ C)\ (D\ E)\ (F\ G)\}$$


$$\Pi_{i+1} = \{(A\ C)\ (B)\ (D)\ (E)\ (F\ G)\}$$

Note:

$\{ (...)\ (...)\ (...)\}$ means $\{\{...\}, \{...\}, \{...\}\}$

Hopcroft's Algorithm

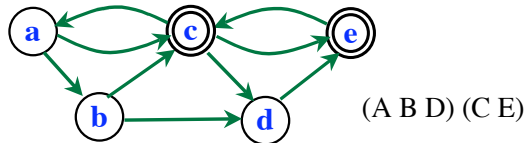
Consider the set of states.

Partition it...

- Final States
- All Other States

Repeatedly “refine” the partitioning.

Two states will be placed in different groups
... If they can be “distinguished”



Repeat until no group contains states that can be distinguished.

Each group in the partitioning becomes one state in a newly constructed DFA

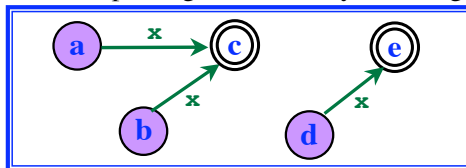
DFA_{MIN} = The minimal DFA

How to Refine a Partitioning?

$$\Pi_1 = \{ \underbrace{(A \text{ } B \text{ } D)}_{P_1} \underbrace{(C \text{ } E)}_{P_2} \}$$

Consider one group... (A B D)

Look at output edges on some symbol (e.g., “x”)

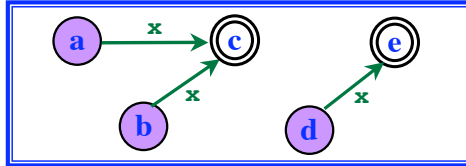


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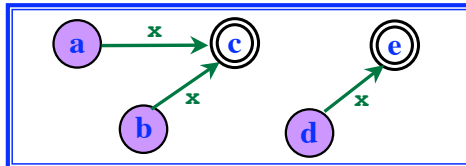
On "x", all states in P_1 go to states belonging to the same group.

How to Refine a Partitioning?

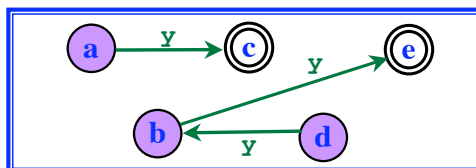
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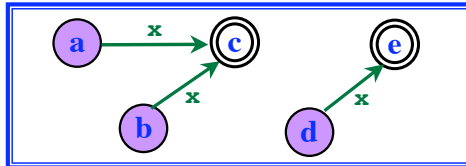
Now consider another symbol (e.g., "y")

How to Refine a Partitioning?

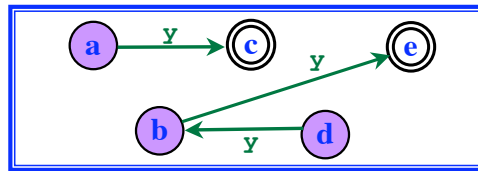
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Look at output edges on some symbol (e.g., "x")



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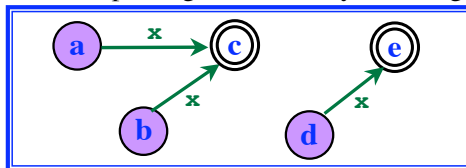
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D is distinguished from A and B!

How to Refine a Partitioning?

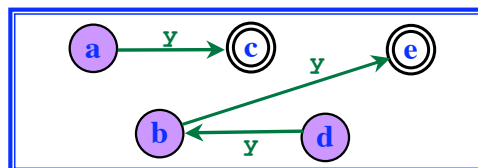
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Consider one group... (A B D)

Look at output edges on some symbol (e.g., "x")



On "x", all states in P_1 go to states belonging to the same group.



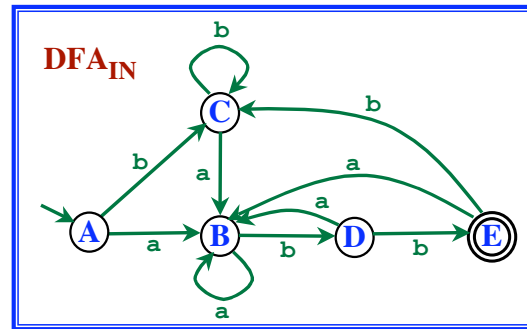
Now consider another symbol (e.g., "y")
D is distinguished from A and B!

So **refine** the partition!

$$\Pi_{i+1} = \{ \underbrace{(A \ B)}_{P_3} \underbrace{(D)}_{P_4} \underbrace{(C \ E)}_{P_2} \}$$

Example

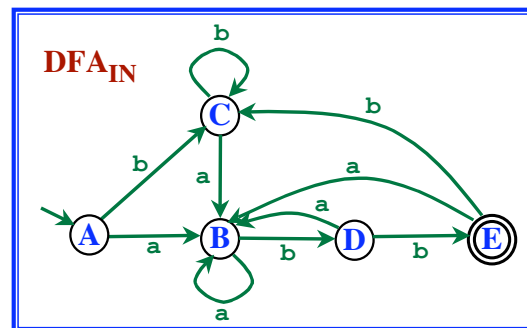
Initial Partitioning: $\Pi_1 = (A \ B \ C \ D) \ (E)$



Example

Initial Partitioning: $\Pi_1 = (A \ B \ C \ D) \ (E)$
 Consider (A B C D)

Consider (E)



Example

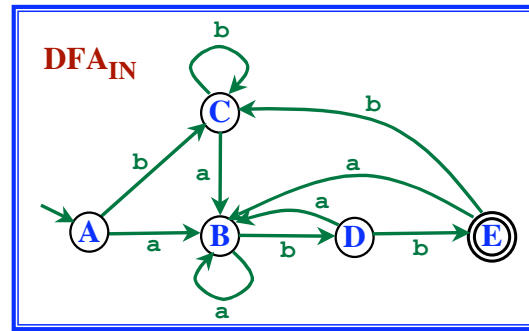
Initial Partitioning: $\Pi_1 = (A \ B \ C \ D) \ (E)$

Consider (A B C D)

Consider “a”

Consider “b”

Consider (E)



Example

Initial Partitioning: $\Pi_1 = (A \ B \ C \ D) \ (E)$

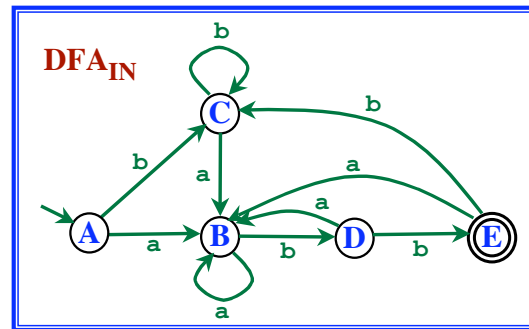
Consider (A B C D)

Consider “a”

Break apart?

Consider “b”

Consider (E)



Example

Initial Partitioning: $\Pi_1 = (A \ B \ C \ D) \ (E)$

Consider $(A \ B \ C \ D)$

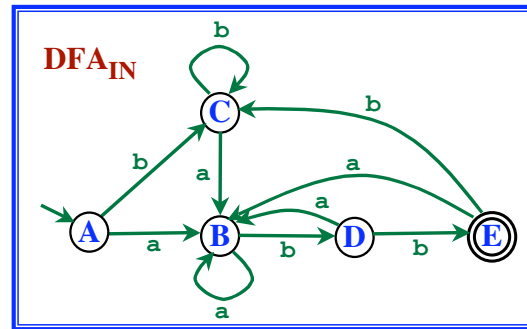
Consider "a"

Break apart? No

Consider "b"

Break apart?

Consider (E)



Example

Initial Partitioning: $\Pi_1 = (A \ B \ C \ D) \ (E)$

Consider $(A \ B \ C \ D)$

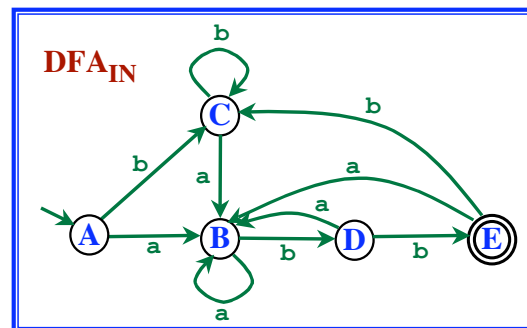
Consider "a"

Break apart? No

Consider "b"

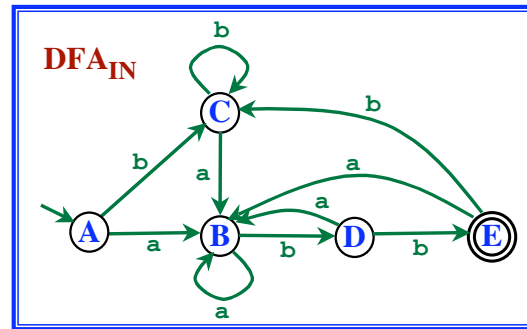
Break apart? $(A \ B \ C) \ (D)$

Consider (E)



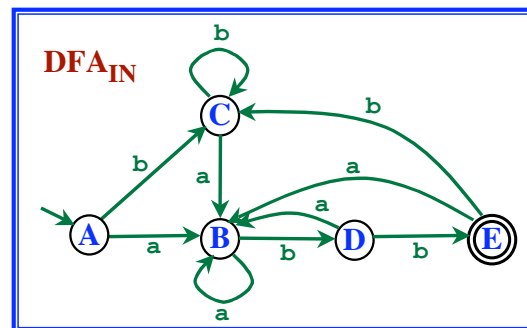
Example

Initial Partitioning: $\Pi_1 = (A \ B \ C \ D) \ (E)$
 Consider $(A \ B \ C \ D)$
 Consider "a"
 Break apart? No
 Consider "b"
 Break apart? $(A \ B \ C) \ (D)$
 Consider (E)
 Not possible to break apart.



Example

Initial Partitioning: $\Pi_1 = (A \ B \ C \ D) \ (E)$
 Consider $(A \ B \ C \ D)$
 Consider "a"
 Break apart? No
 Consider "b"
 Break apart? $(A \ B \ C) \ (D)$
 Consider (E)
 Not possible to break apart.
 New Partitioning: $\Pi_2 = (A \ B \ C) \ (D) \ (E)$



Example

Initial Partitioning: $\Pi_1 = (A \ B \ C \ D) \ (E)$

Consider $(A \ B \ C \ D)$

Consider “a”

Break apart? No

Consider “b”

Break apart? $(A \ B \ C) \ (D)$

Consider (E)

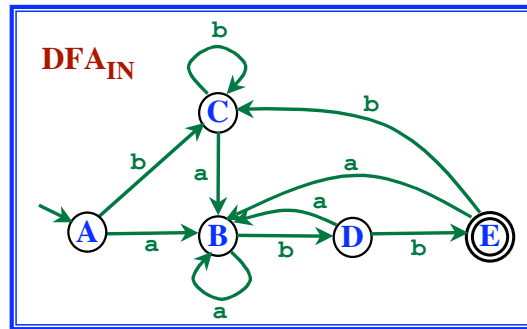
Not possible to break apart.

New Partitioning: $\Pi_2 = (A \ B \ C) \ (D) \ (E)$

Consider “a”

Break apart?

Consider “b”



Example

Initial Partitioning: $\Pi_1 = (A \ B \ C \ D) \ (E)$

Consider $(A \ B \ C \ D)$

Consider “a”

Break apart? No

Consider “b”

Break apart? $(A \ B \ C) \ (D)$

Consider (E)

Not possible to break apart.

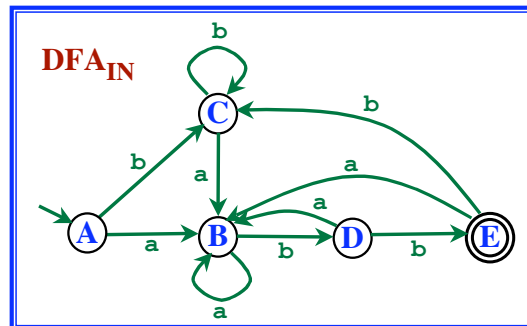
New Partitioning: $\Pi_2 = (A \ B \ C) \ (D) \ (E)$

Consider “a”

Break apart? **No**

Consider “b”

Break apart?



Example

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Consider $(A \ B \ C \ D)$

Consider "a"

Break apart? No

Consider "b"

Break apart? $(A \ B \ C) \ (D)$

Consider (E)

Not possible to break apart.

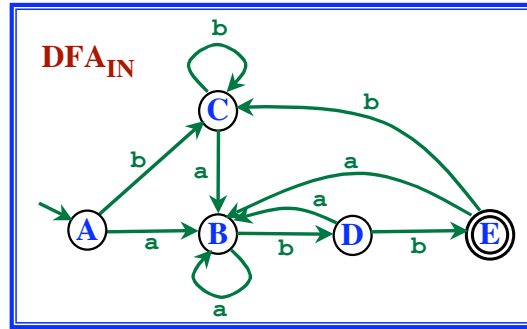
New Partitioning: $\Pi_2 = (A \ B \ C) \ (D) \ (E)$

Consider "a"

Break apart? No

Consider "b"

Break apart? $(A \ C) \ (B)$



Example

Initial Partitioning: $\Pi_1 = (A \ B \ C \ D) \ (E)$

Consider $(A \ B \ C \ D)$

Consider "a"

Break apart? No

Consider "b"

Break apart? $(A \ B \ C) \ (D)$

Consider (E)

Not possible to break apart.

New Partitioning: $\Pi_2 = (A \ B \ C) \ (D) \ (E)$

Consider "a"

Break apart? No

Consider "b"

Break apart? $(A \ C) \ (B)$

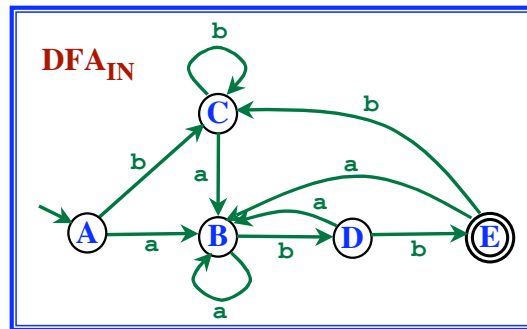
New Partitioning: $\Pi_3 = (A \ C) \ (B) \ (D) \ (E)$

Consider "a"

Break apart?

Consider "b"

Break apart?



Example

Initial Partitioning: $\Pi_1 = (A \ B \ C \ D) \ (E)$

Consider $(A \ B \ C \ D)$

Consider "a"

Break apart? No

Consider "b"

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Consider (E)

Not possible to break apart.

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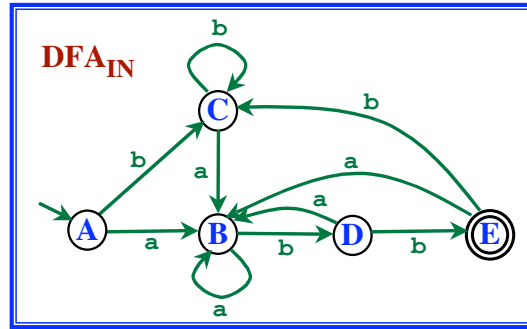
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Not possible to break apart.

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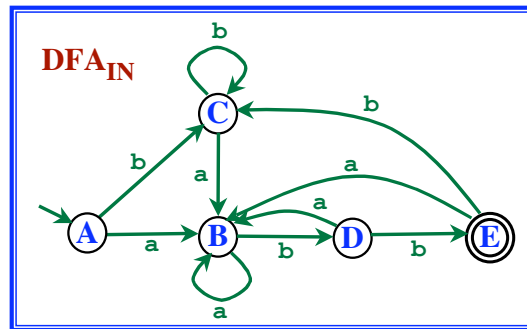
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Example

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Consider "a"

Break apart? No

Consider "b"

Break apart? (A B C) (D)

Consider (E)

Not possible to break apart.

New Partitioning: $\Pi_2 = (A\ B\ C)\ (D)\ (E)$

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Break apart? No

Consider "b"

Break apart? (A C) (B)

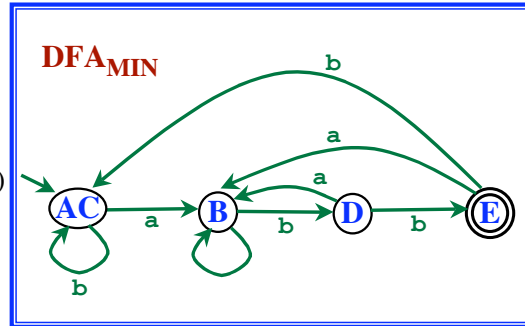
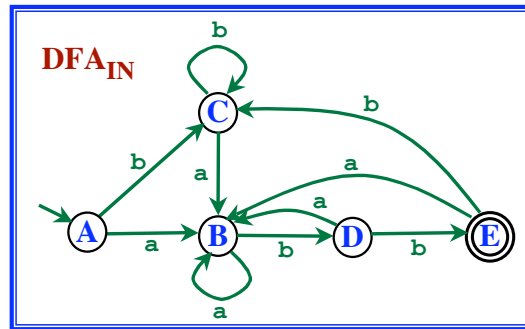
New Partitioning: $\Pi_3 = (A\ C)\ (B)\ (D)\ (E)$

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Break apart? No

Consider "b"

Break apart? No

**Hopcroft's Algorithm**

Add dead state and transitions to it if necessary.

(Now, every state has an outgoing edge on every symbol.)

Π = initial partitioning

loop

$\Pi_{\text{NEW}} = \text{Refine}(\Pi)$

if ($\Pi_{\text{NEW}} = \Pi$) then break

$\Pi = \Pi_{\text{NEW}}$

endLoop

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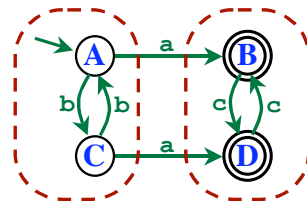
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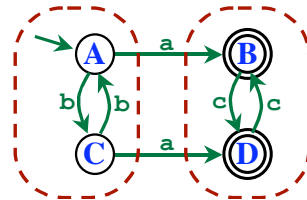
```

 $\Pi$  = initial partitioning
loop
   $\Pi_{\text{NEW}}$  = Refine( $\Pi$ )
  if ( $\Pi_{\text{NEW}}$  =  $\Pi$ ) then break
   $\Pi$  =  $\Pi_{\text{NEW}}$ 
endLoop

```

Construct DFA_{MIN}

- Each group in Π becomes a state
- Choose one state in each group (throw all other states away)
- Preserve the edges out of the chosen state



Hopcroft's Algorithm

Add dead state and transitions to it if necessary.
(Now, every state has an outgoing edge on every symbol.)

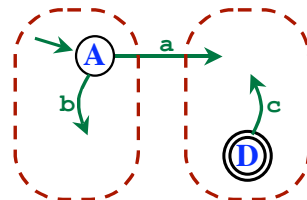
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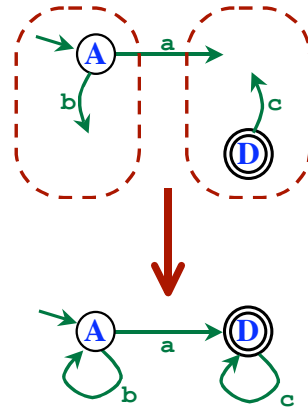
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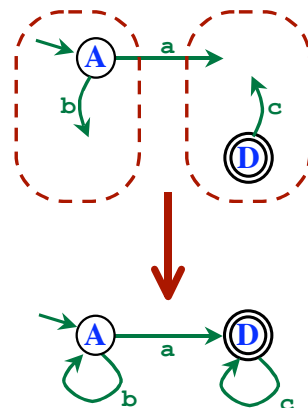
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Construct DFA_{MIN}

- Each group in Π becomes a state
- Choose one state in each group (throw all other states away)
- Preserve the edges out of the chosen state
- Deal with start state and final states



Hopcroft's Algorithm

Add dead state and transitions to it if necessary.
(Now, every state has an outgoing edge on every symbol.)

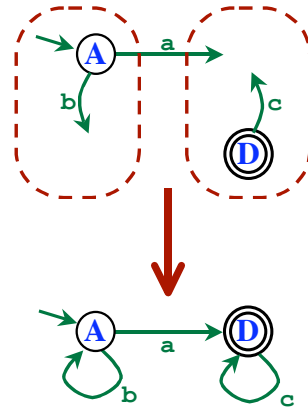
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loop
   $\Pi_{\text{NEW}}$  = Refine( $\Pi$ )
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   $\Pi$  =  $\Pi_{\text{NEW}}$ 
endLoop

```

Construct DFA_{MIN}

- Each group in Π becomes a state
- Choose one state in each group (throw all other states away)
- Preserve the edges out of the chosen state
- Deal with start state and final states
- If desired...
 - Remove dead state
 - Remove any state unreachable from the start state

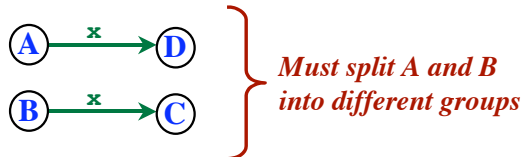


$\Pi_{\text{NEW}} = \text{Refine}(\Pi)$

```

 $\Pi_{\text{NEW}} = \{ \}$ 
for each group G in  $\Pi$  do
  Example:  $\Pi = (A B C E) (D F)$ 
  Break G into sub-groups
     $(A B C E) \rightarrow (A C) (B E)$ 
  as follows:
  Put S and T into different subgroups if...
  For any symbol  $a \in \Sigma$ , S and T go to states
  in two different groups in  $\Pi$ 

```



```

Add the sub-groups to  $\Pi_{\text{NEW}}$ 
endFor
return  $\Pi_{\text{NEW}}$ 

```

```

 $\Pi_{\text{NEW}} = \{ \}$ 
 $\Pi_{\text{NEW}} = \{ (A C) (B E) \}$ 
 $\Pi_{\text{NEW}} = \{ (A C) (B E) (D F) \}$ 

```

Summarizing...

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- Regular Expressions to Describe Tokens

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- Algorithm: Regular Expression \rightarrow NFA

Summarizing...

- Regular Expressions to Describe Tokens
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- Algorithm for Simulating NFA

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- Algorithm: NFA \rightarrow DFA
- Algorithm: DFA \rightarrow Minimal DFA

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Summarizing...

- Regular Expressions to Describe Tokens
- Algorithm: Regular Expression \rightarrow NFA
- Algorithm for Simulating NFA
- Algorithm: NFA \rightarrow DFA
- Algorithm: DFA \rightarrow Minimal DFA
- Algorithm for Simulating DFA
 - Fast:
 - Get Next Char
 - Evaluate Move Function
 - e.g., Array Lookup
 - Change State Variable
 - Test for Accepting State
 - Test for EOF
 - Repeat

Summarizing...

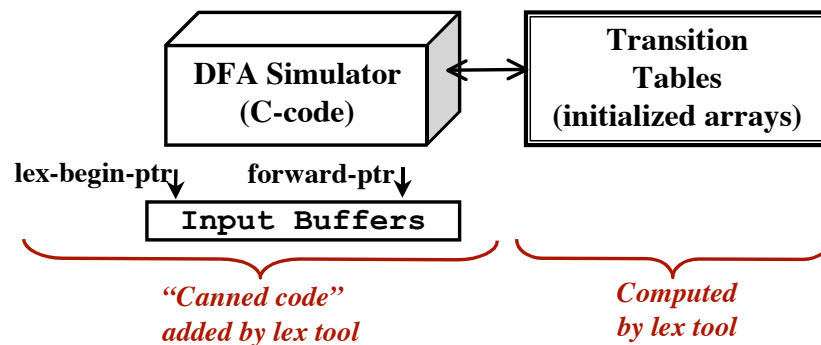
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 - Repeat
 - Scanner Generators
- Create an efficient Lexer from regular expressions!*

Scanner Generator: LEXInput:

r_1 { action₁ }
 r_2 { action₂ }
 ...
 r_N { action_n }

Requirements:

- Choose the largest lexeme that matches.
- If more than one r_i matches, choose the first one.



Lexical Analysis - Part 4

Input:

a { Action-1 }
abb { Action-2 }
a*b+ { Action-3 }

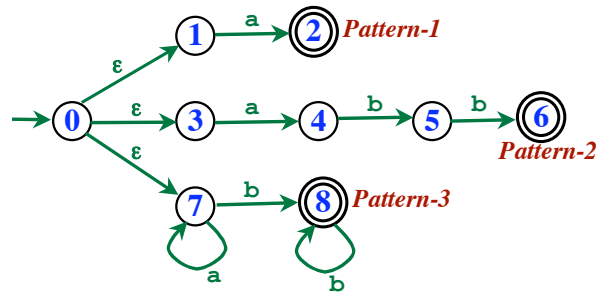
Lexical Analysis - Part 4

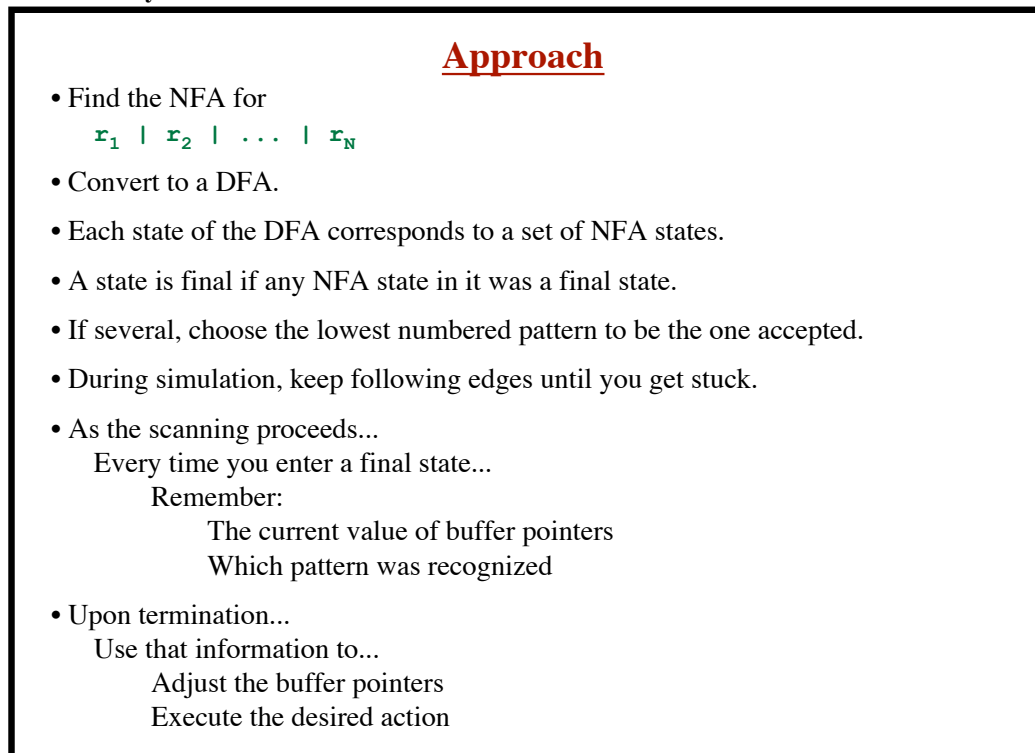
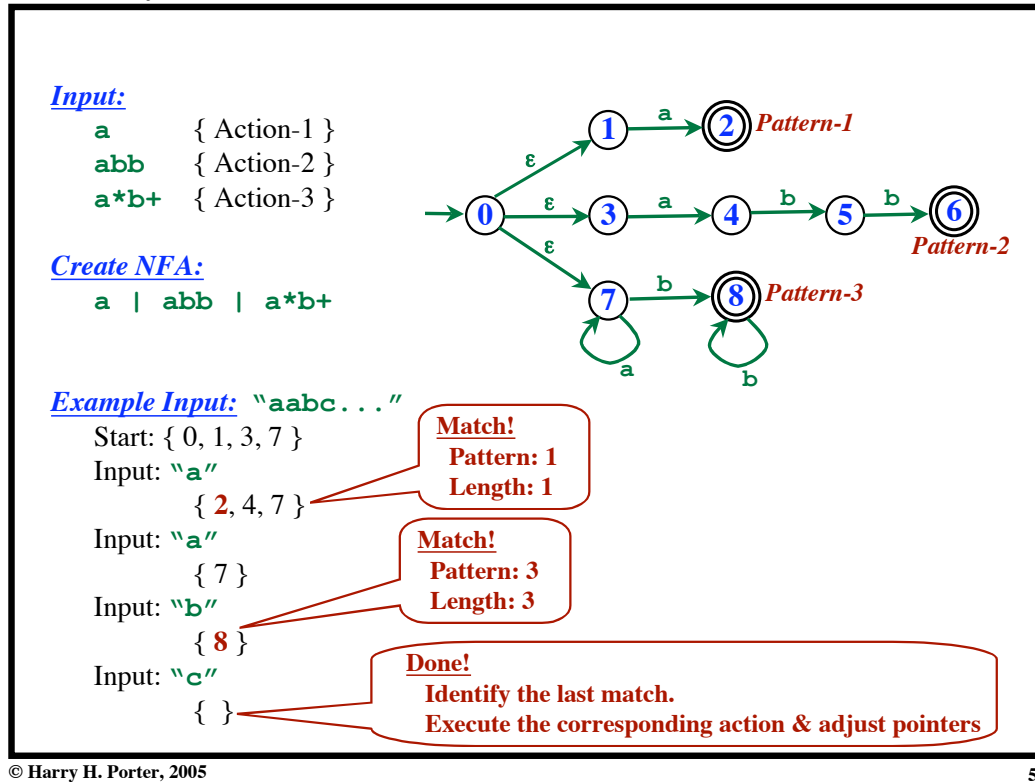
Input:

a { Action-1 }
abb { Action-2 }
a*b+ { Action-3 }

Create NFA:

a | abb | a*b+





ExampleInput:

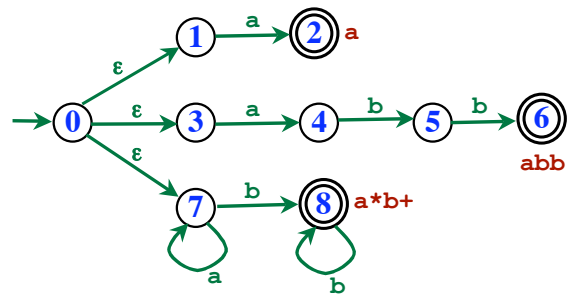
a { Action-1 }
abb { Action-2 }
a*b+ { Action-3 }

ExampleInput:

a { Action-1 }
abb { Action-2 }
a*b+ { Action-3 }

Create NFA:

a | abb | a*b+

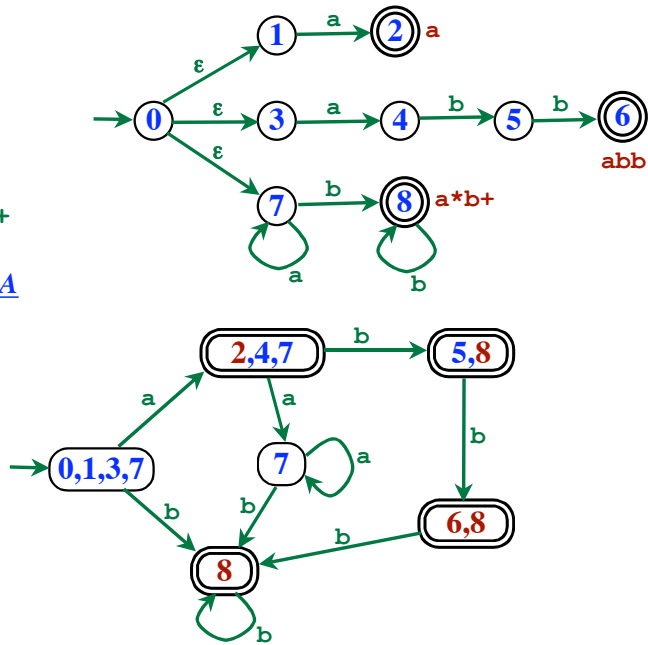


ExampleInput:

a { Action-1 }
 abb { Action-2 }
 a^*b^+ { Action-3 }

Create NFA:

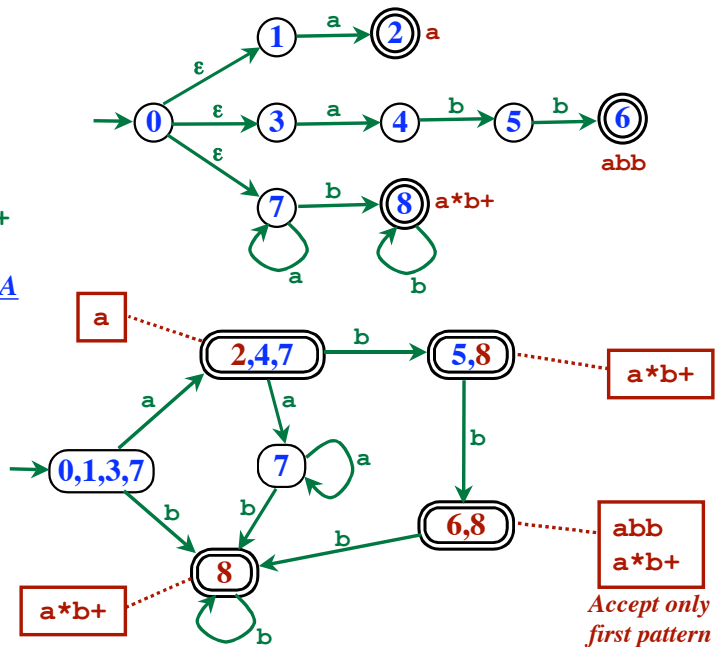
$a \mid abb \mid a^*b^+$

Construct Minimal DFA**Example**Input:

a { Action-1 }
 abb { Action-2 }
 a^*b^+ { Action-3 }

Create NFA:

$a \mid abb \mid a^*b^+$

Construct Minimal DFAAttach Actions

ExampleInput:

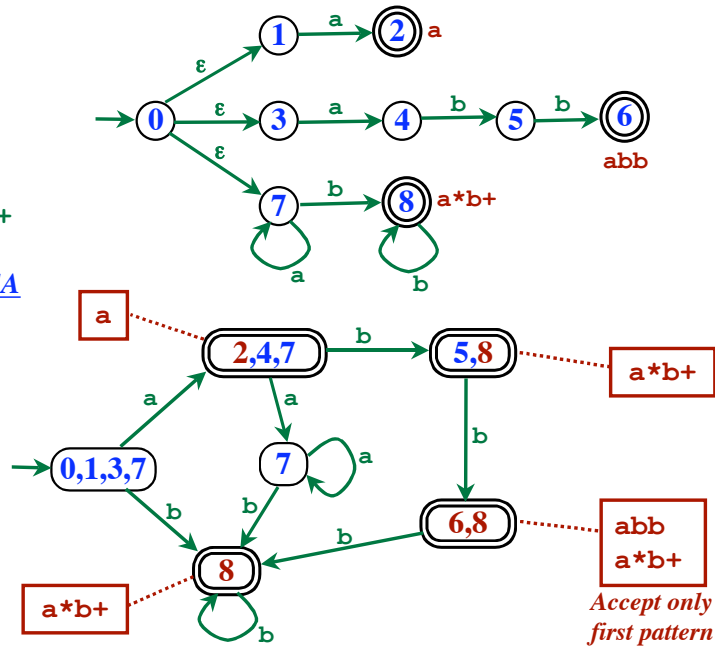
a { Action-1 }
 abb { Action-2 }
 a*b+ { Action-3 }

Create NFA:

a | abb | a*b+

Construct Minimal DFAAttach ActionsExample Strings:

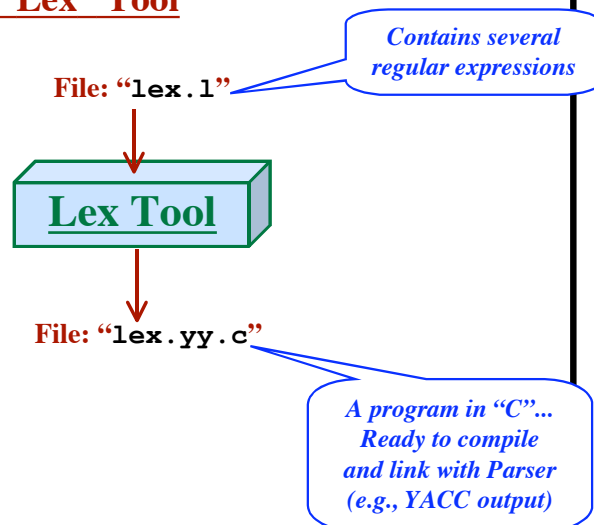
a
 ab
 abbbbb
 abb

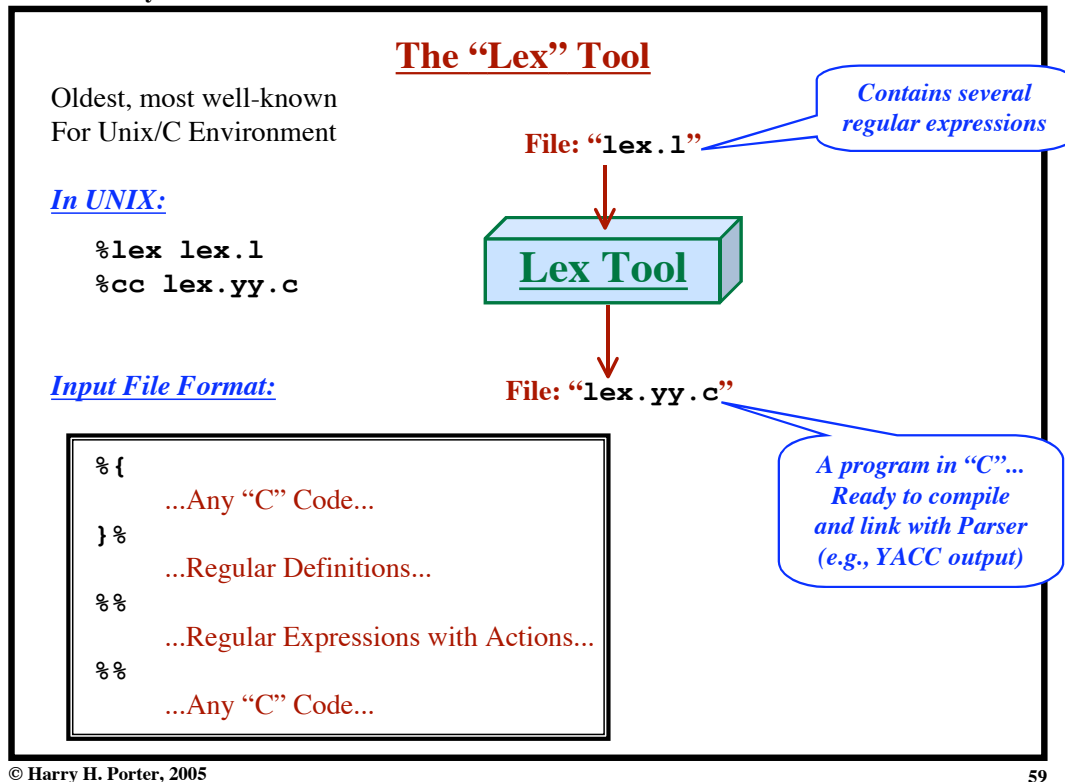
**The "Lex" Tool**

Oldest, most well-known
 For Unix/C Environment

In UNIX:

```
%lex lex.l
%cc lex.yy.c
```





Regular Expressions in Lex

abc Concatenation; Most characters stand for themselves

Meta Characters:

- | Usual meanings
- * Example: (a|b)*c*
- ()
- + One or more, e.g., ab+c
- ? Optional, e.g., ab?c
- [x-y] Character classes, e.g., [a-z][a-zA-Z0-9]*
- [^x-y] Anything but [x-y]
- \x The usual escape sequences, e.g., \n
- .
- ^ Beginning of line
- \$ End of line
- "..." To use the meta characters literally,
Example: PCAT comments: "(* " . * * *) "
- {...} Defined names, e.g., {letter}
- / Look-ahead
Example: ab/cd
(Matches ab, but only when followed by cd)

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Look-Ahead Operator, /

`abb/cd`

“Matches `abb`, but only if followed by `cd`.”

Look-Ahead Operator, /

`abb/cd`

“Matches `abb`, but only if followed by `cd`.”

Add a special ϵ edge for /



Look-Ahead Operator, /**abb/cd**“Matches **abb**, but only if followed by **cd**.”Add a special ϵ edge for /

Mark the following state to make a note of...

- The pattern in question
- The current value of the buffer pointers

...whenever this state is encountered during scanning.

"/" Encountered
*Save buffer
 pointers*

Look-Ahead Operator, /**abb/cd**“Matches **abb**, but only if followed by **cd**.”Add a special ϵ edge for /

Mark the following state to make a note of...

- The pattern in question
- The current value of the buffer pointers

...whenever this state is encountered during scanning.

"/" Encountered
*Save buffer
 pointers*

When a pattern is finally matched, check these notes.

- If we passed through a “/” state for the pattern accepted,
 Use the stored buffer positions,
 instead of the final positions
 to describe the lexeme matched.

Lex: Input File Format

```
%{
    ...Any "C" Code...
}%
    ...Regular Definitions...
%%
    ...Regular Expressions with Actions...
%%
    ...Any "C" Code...
```

Lex: Input File Format

```
%{
    ...Any "C" Code...
    #define ID      13
    #define NUM      14
    #define PLUS     15
    #define MINUS    16
    ...
    #define WHILE    37
    #define IF       38
    ...
}%
    ...Regular Definitions...
%%
    ...Regular Expressions with Actions...
%%
    ...Any "C" Code...
    ...
    int lookup (char * p) {...}
    int enter (char * p, int i) {...}
    ...
```

*Any "C" code;
Copied without changes
to beginning of the output file*

*Any "C" code; added to end of file
(typically, auxillary support routines)*

Lex: Input File Format

```

%{
    ...Any "C" Code...
}%

...Regular Definitions...
delim [ \t\n]
white {delim}+
letter [a-zA-Z]
digit [0-9]
id {letter}({letter}|{digit})*
num {digit}+(\.{digit}+)?

%%

...Regular Expressions with Actions...

%%

...Any "C" Code...

```

Defined Names

*Blank: Every character is
Itself literally*

*Defined names can
be used in regular expressions*

Lex: Input File Format

```

%{
    ...Any "C" Code...
}%

...Regular Definitions...
%%

...Regular Expressions with Actions...
"+" {return PLUS;}
"-" {return MINUS;}

...
while {return WHILE;}
if {return IF;}
...
{white} {}

...
{num} {yyval = ...; return NUM;}
{id} {yyval = ...lookup(...)...; return ID;}

%%

...Any "C" Code...

```

Regular expressions

*Any "C" code.
Include "return" to give
the token to parser.*

*No return means "do nothing".
(This "token" is recognized but
not returned to parser)*

*yyval is where token
attribute info is stored.*

*You may use these variables
to access the lexeme:
char * yytext;
int yyleng;*