

# **A Cuckoo Search based Approach for Solving Vehicle Routing Problem with Time Windows**

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# chapter 1: description of problem

In today's fast-paced world, efficiency is paramount across industries. This is especially true in logistics, where timely and cost-effective delivery of goods is crucial for customer satisfaction and business success, which is where optimization problems come into play. Optimization problems are a class of mathematical problems that aim to find the best solution from a set of feasible alternatives, given certain constraints and objectives. Constraints are restrictions or limitations that must be satisfied, while objectives are the desired outcomes that need to be optimized. An optimization problem seeks the best possible solution from a set of alternatives, considering specific constraints. In logistics, these constraints could be distance, vehicle capacity, or even time. Solving these problems helps businesses make informed decisions, such as minimizing the total distance traveled by delivery vehicles, leading to fuel cost savings and reduced environmental impact or maximizing the number of deliveries completed in a given timeframe, improving customer service and overall productivity.

As transportation networks become increasingly complex and distribution routes become increasingly unreasonable, the need for effective logistics solutions has grown. Efficient management of transportation and distribution is crucial for businesses to remain competitive and meet customer demands. This challenge has become more pressing as transportation networks expand and distribution routes become more convoluted, requiring a comprehensive approach to address the underlying issues.

The Vehicle Routing Problem (VRP) is a complex optimization challenge in logistics and transportation. It involves determining the most efficient routes for a fleet of vehicles to deliver goods or services to a set of customers. The primary objective is to minimize the total distance traveled or the overall cost while satisfying various constraints such as vehicle capacity, time windows, and customer demands.

In its basic form, the VRP starts with a central depot where vehicles are based and a set of geographically dispersed customers who need to be served. Each customer has a specific demand for goods or services. The goal is to find the optimal set of routes for the vehicles, ensuring that all customers are served and the vehicles return to the depot after completing their routes.

The VRP is a generalization of the well-known Traveling Salesman Problem (TSP), where a single vehicle must visit all customers exactly once and return to the starting point. However, the VRP is significantly more complex due to the involvement of multiple vehicles and additional constraints.

As we delve deeper into the VRP, we encounter various types based on specific constraints and real-world requirements. These variations include:

The Capacitated VRP (CVRP) introduces vehicle capacity constraints, where each vehicle has a maximum load it can carry. This ensures that the total demand of customers on a route does not exceed the vehicle's capacity.

The VRP with Time Windows (VRPTW) adds time constraints to customer visits. Each customer must be served within a specific time window, which adds a temporal dimension to the problem.

The VRP with Pickup and Delivery (VRPPD) involves both picking up goods from certain locations and delivering them to others, often with precedence constraints.

The Multi-Depot VRP (MDVRP) extends the problem to scenarios where vehicles can start and end their routes at different depots.

The Heterogeneous Fleet VRP (HFVRP) considers a fleet of vehicles with varying capacities and costs.

Among these variations, the Vehicle Routing Problem with Time Windows (VRPTW) is particularly significant due to its wide applicability in real-world scenarios. The VRPTW adds a crucial temporal dimension to the basic VRP by specifying a time window for each customer within which the service must begin.

In the VRPTW, each customer is associated with a time window  $[a_i, b_i]$ , where  $a_i$  represents the earliest time the service can start, and  $b_i$  represents the latest time the service can begin. If a vehicle arrives before  $a_i$ , it must wait until the time window opens. Arriving after  $b_i$  is typically not allowed.

For example, consider a courier service delivering packages in a city. Some businesses may only accept deliveries during specific hours (e.g., 9 AM to 5 PM), while residential customers might prefer evening deliveries (e.g., 6 PM to 9 PM). A restaurant supplying fresh ingredients might require early morning deliveries (e.g., 5 AM to 7 AM). The VRPTW must account for all these time constraints while optimizing the routes.

The VRPTW is particularly challenging because it combines the spatial aspects of routing with the temporal constraints of scheduling. This makes it a highly complex combinatorial optimization problem. Solutions must not only find efficient routes but also ensure that the timing of each visit is feasible within the given time windows. This variant is particularly relevant to situations with long service times (which are dependent on the quantity demanded) when compared with traveling times and it is derived from real life applications for which daily requests must be delivered on the same day, the total operation cannot be completed within the maximum routing time and violations to the latter are highly undesirable.

The significance of the VRPTW in the real world cannot be overstated. It is crucial in various industries and applications, including: Logistics and delivery services: Companies like FedEx, UPS, and Amazon use VRPTW algorithms to optimize their delivery routes, considering factors like business hours and customer preferences. Food and grocery delivery: Services like Instacart and DoorDash rely on efficient routing with time windows to ensure fresh food delivery within specified timeframes. Home healthcare services: Nurses and caregivers visiting patients at home must adhere to specific schedules while optimizing their travel routes. Waste collection: Municipalities use VRPTW to plan efficient garbage collection routes, considering factors like business hours and traffic patterns. Field service operations: Companies providing

maintenance, repair, or installation services use VRPTW to schedule technician visits within promised time slots.

VRPTW's importance has grown even more in recent years with the rise of e-commerce and on-demand services. Customers now expect precise delivery times and narrow time windows, making efficient routing and scheduling crucial for business success and customer satisfaction.

Moreover, solving the VRPTW effectively can lead to significant cost savings, reduced fuel consumption, and lower carbon emissions. This aligns with the increasing focus on sustainability and environmental responsibility in logistics operations.

The Vehicle Routing Problem and its variants, particularly the VRPTW, represent critical challenges in modern logistics and operations research. Their successful resolution has far-reaching implications for economic efficiency, customer satisfaction, and environmental sustainability across numerous industries.

## Chapter 2 : Problem definition

### VRPTW MODEL

It establishes a VRPTW model for logistics distribution, which includes one distribution center, N customers, and K vehicles. In case the service time deviates from the customer-specified time window, the distribution center incurs a penalty. Each transport vehicle starts from the distribution center, serves customers in sequence, and then returns to the distribution center. The symbols used in the model are detailed in Table 1-

Table 1 : The symbolic description of the VRPTW model

k	The vehicle k
i, j	Customer i, j
$C_{ij}$	Vehicle travel cost per unit distance between i and j
$x_{ijk} \begin{cases} 1 \\ 0 \end{cases}$	Vehicle k travels from i to j other
$y_{ik} \begin{cases} 1 \\ 0 \end{cases}$	The task of the customer i is completed by the vehicle k other
$ET_i$	The earliest time for customer i to start service
$LT_i$	The latest service time required by customer i
$S_i$	Time of vehicle k actually arrives at customer i
$a_i$	Penalty coefficient
$P_i(S_i)$	Penalty function

The penalty strategy: If the time when the distribution vehicle  $I$  arrive at customer depot is earlier than  $e_i$ , the time penalty will be added to the transportation cost. If the time is later than  $l_i$ , the other vehicles will be dispatched.

$$P_i(S_i) = \begin{cases} a_i (ET_i - S_i), & S_i < ET_i \\ 0, & ET_i < S_i < LT_i \\ \infty, & S_i > LT_i \end{cases}$$

According to the above description, the mathematical model of VRPTW can be established as follows:

$$\text{Min } Z = \sum_{i=0}^N \sum_{j=0}^N \sum_{k=1}^K C_{ij} \cdot x_{ijk} + \sum_{i=0}^N P_i(S_i)$$

Where  $P_i(S_i)$  is defined as -

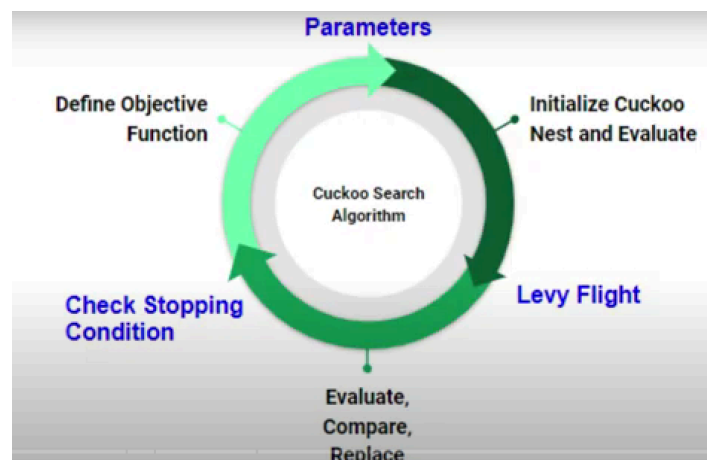
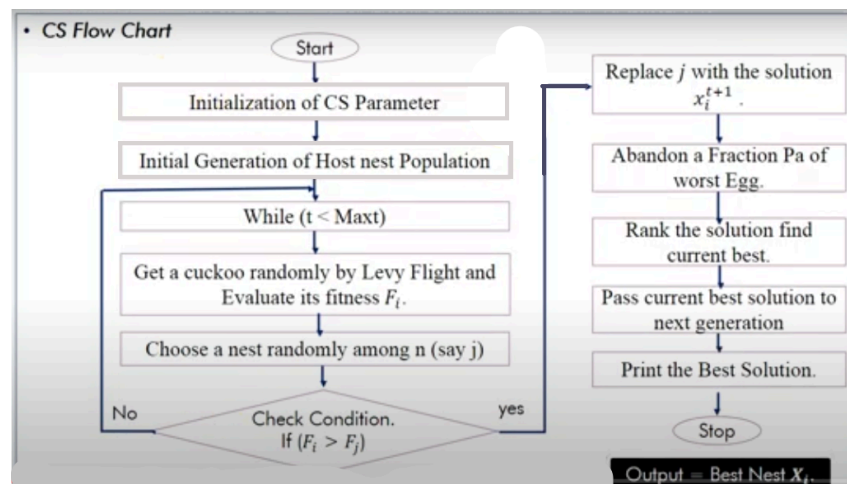
$$P_i(S_i) = \begin{cases} a_i (ET_i - S_i), & S_i < ET_i \\ 0, & ET_i < S_i < LT_i \\ \infty, & S_i > LT_i \end{cases}$$

## Chapter 3 : Cuckoo search algorithm

Cuckoo Search Algorithm (CSA) is a new meta-heuristic algorithm proposed in 2009 with good global search capability and robustness, containing few parameters which has been validated to solve many optimization problems effectively. Therefore, this paper applies the CSA to solve the path planning problem of logistics vehicles, builds a vehicle routing problem with time windows (VRPTW) model, and validates that the CSA can effectively solve this optimization problem in an acceptable time by simulation. The algorithm's nature-inspired approach, mimicking the brood parasitism of cuckoo birds, allows it to escape local optima and converge towards a more globally optimal solution.

Three Simplified Rules for CS Implementation:

1. Each cuckoo lays one egg at a time and places it in a randomly selected nest.
2. The nest with the highest quality of eggs will carry over to the next generation.
3. The number of available host nests is fixed. The host bird discovers cuckoo eggs with a probability  $P_a$  in the range (0,1). The host bird can either throw away the egg or leave the nest and build a new one.



CSA main parameters:

Pop\_size: Number of Host Nest

Pa: Probability of abandoning an egg

Maxt: Maximum number of Iterations

The following equation represents the update rule in the Cuckoo Search algorithm using Levy flights. This method allows for long jumps in the search space, promoting exploration and the discovery of new solutions.

$$x_i^{t+1} = x_i^t + \alpha \oplus \text{Levy}(\lambda)$$

Here,  $i = 1, 2, 3, 4, 5, \dots, n$

$\alpha$  = Step Size and ( $\alpha = 1$ )

$\lambda$  = Levy Exponent and ( $\lambda = 1.5$ )

$\oplus$  = Entry wise multiplication

In most cases, we can use

$$\begin{aligned}\alpha &= 1 \\ \lambda &= 1.5\end{aligned}$$

Levy flights are a crucial component of the Cuckoo Search (CS) algorithm. They allow for long jumps in the search space, promoting exploration and enhancing the ability to find global optima. The step sizes in Levy flights follow a Levy distribution, which is characterized by heavy tails. This means that while most steps are small, occasional large steps are possible.

To perform a Levy flight, we need to calculate the step size using the following formulas:

The parameter  $\sigma_u$  is calculated using the formula:

$$\sigma_u = \left( \frac{\Gamma(1 + \beta) \sin\left(\frac{\pi\beta}{2}\right)}{\Gamma\left(\frac{1+\beta}{2}\right) \beta 2^{\frac{\beta-1}{2}}}\right)^{\frac{1}{\beta}}$$

where:

- $\Gamma$  denotes the Gamma function.
- $\beta$  is the Levy exponent, typically set to 1.5.



#### Generation of Levy Flight Step Size

Once  $\sigma_u$  is determined, the step sizes  $u$  and  $v$  are drawn from normal distributions:

$$u \sim N(0, \sigma_u^2)$$

$$v \sim N(0, 1)$$

Using these, the Levy flight step size is calculated as:

$$\text{step} = \frac{u}{|v|^{1/\beta}}$$

Algorithm :

1. Input customer and vehicle data, including coordinates, time windows, and penalty coefficient.
2. Calculate distance matrix between all nodes.
3. Define helper functions for penalty calculation and fitness evaluation.
4. Implement initial population generation function.
5. Create Lévy flight function for step size generation.
6. Initialize Cuckoo Search algorithm with random population.
7. Perform iterative search process: generate new solutions, evaluate fitness, replace worse solutions.
8. Rank solutions and update best found after each iteration.
9. Abandon the worst solutions and create new ones to maintain diversity.
10. Repeat steps 7-9 for a set number of iterations.
11. Return the best solution found and its fitness value.
12. Print best solution, fitness, input data, and vehicle routes.

## Chapter 4: Proposed solution

In this implementation, the Cuckoo Search (CS) algorithm has been meticulously adapted for the Vehicle Routing Problem with Time Windows (VRPTW) as outlined below:

1. Representation of Solutions: Each "nest" (solution) is delineated as a sequence of vehicle routes, each route delineated by a list of customer indices.
2. Generation of Initial Population: A random selection of solutions is generated, ensuring that each vehicle is assigned at least one customer and all customers are assigned.
3. Modification of Levy Flights: In lieu of employing traditional Levy flights, a straightforward exchange operation is executed between two randomly selected vehicles' routes.
4. Fitness Evaluation: A customized fitness function is employed to compute the total cost of the route, encompassing travel distances and time window penalties.
5. Strategy for Abandonment: The algorithm incorporates a strategy for the replacement of the least fit solutions with newly generated random solutions, thereby preserving diversity.
6. Integration of Time Windows: The time window constraints are integrated into the fitness function via a penalty function, which imposes significant penalties on early and late arrivals.
7. Handling of Multiple Vehicles: The algorithm is designed to manage multiple routes concurrently, thereby addressing the inherent multi-vehicle nature of VRPTW.
8. Iterative Process: The solution generation, evaluation, and refinement process is repeated over multiple iterations, culminating in a gradual convergence towards superior solutions for the VRPTW.

This adaptation meticulously preserves the fundamental principles of CS while customizing it to meet the specific constraints and objectives of the VRPTW.

Description of key steps -

The code is designed to implement an enhanced version of the Cuckoo Search algorithm for the purpose of addressing the Vehicle Routing Problem with Time Windows (VRPTW). This process commences by collecting essential input data, which encompasses the locations of customers, time windows, and the number of vehicles available. Subsequently, the algorithm proceeds to initialize a population of random solutions, each encapsulating a unique configuration of vehicle routes. In the subsequent phase, the algorithm generates new solutions through the execution of swap operations between existing routes, thereby emulating the Lévy flight behavior observed in cuckoos. These generated solutions undergo evaluation based on a fitness function, which takes into account both travel costs and penalties associated with time windows. Solutions that demonstrate superior performance are supplanted by those that are deemed inferior, ensuring the population is consistently refined. To preserve diversity within the population, a segment of solutions that are considered less effective is discarded in favor of introducing new random solutions. This iterative process continues for a predetermined number

of iterations, with the algorithm continuously refining the best solution identified thus far. Upon completion, the algorithm presents the optimal solution, its associated fitness value, and the specific configurations of vehicle routes, thereby offering a near-optimal resolution to the VRPTW.

## Chapter 5 : Result Analysis

The simulation conducted for a Vehicle Routing Problem with Time Windows (VRPTW) involving 101 nodes (including the depot) and a fleet of 25 vehicles demonstrates the efficacy of the Cuckoo Search Algorithm (CSA) in optimizing complex logistical scenarios. The initial solution, generated randomly, yielded a fitness score of 123,742.0744824686. Through the course of 100 iterations, the CSA successfully reduced this score to 119,875.83485936165, representing a significant improvement in the overall route efficiency.

This optimization process showcases the CSA's ability to balance exploration of the solution space with exploitation of promising solutions. The reduction in the fitness score indicates a decrease in total travel distance, improved adherence to time windows, and achieves a balance between these two objectives.

A notable aspect of the final solution is the utilization of all 25 vehicles, with each vehicle assigned a non-empty route. This outcome suggests that the algorithm successfully distributed the workload across the available fleet while respecting the time window constraints of each customer. Such a distribution is crucial in real-world logistics operations, where efficient use of resources is paramount.

```
Iteration 1/100: Best_Fitness = 123742.0744824686
Iteration 2/100: Best_Fitness = 123739.36191224254
Iteration 3/100: Best_Fitness = 123739.36191224254
Iteration 4/100: Best_Fitness = 123739.36191224254
Iteration 5/100: Best_Fitness = 123739.36191224254
Iteration 6/100: Best_Fitness = 123739.36191224254
Iteration 7/100: Best_Fitness = 123739.36191224254
Iteration 8/100: Best_Fitness = 123739.36191224254
Iteration 9/100: Best_Fitness = 123739.36191224254
Iteration 10/100: Best_Fitness = 123739.36191224254
Iteration 11/100: Best_Fitness = 123739.36191224254
Iteration 12/100: Best_Fitness = 123739.36191224254
Iteration 13/100: Best_Fitness = 123739.36191224254
Iteration 14/100: Best_Fitness = 123739.36191224254
Iteration 15/100: Best_Fitness = 123739.36191224254
Iteration 16/100: Best_Fitness = 123739.36191224254
Iteration 17/100: Best_Fitness = 123739.36191224254
Iteration 18/100: Best_Fitness = 123739.36191224254
Iteration 19/100: Best_Fitness = 123739.36191224254
Iteration 20/100: Best_Fitness = 123739.36191224254
Iteration 21/100: Best_Fitness = 123739.36191224254
Iteration 22/100: Best_Fitness = 123739.36191224254
Iteration 23/100: Best_Fitness = 123739.36191224254
Iteration 24/100: Best_Fitness = 123739.36191224254
Iteration 25/100: Best_Fitness = 123077.23040310574
Iteration 26/100: Best_Fitness = 123077.23040310574
Iteration 27/100: Best_Fitness = 123077.23040310574
Iteration 28/100: Best_Fitness = 123077.23040310574
Iteration 29/100: Best_Fitness = 123077.23040310574
Iteration 30/100: Best_Fitness = 123077.23040310574
Iteration 31/100: Best_Fitness = 123077.23040310574
Iteration 32/100: Best_Fitness = 123077.23040310574
Iteration 33/100: Best_Fitness = 123077.23040310574
```



Iteration 100/100: Best\_Fitness = 119875.83485936165

Best Solution: [[19, 67, 25, 13, 44, 59, np.int64(30)], [42, 83], [90, 32, 52, 73], [95, 16, 70, 53, 5, 56, 38], [22, 45, 62, 98], [89, 37, 63, 85, 99, 18, 6, 64], [8], [58, 81, 57, np.int64(94)], [54, 91, 27], [74, 69, 78], [4], [84, 39], [47, 12], [34, 49, 11], [15, 17, 35, 9, 7, 66, 55, 23], [50, 29, 68, 51], [28, 79, 71, 14, 82, 21, 9, 7], [76, 86], [1, 75, 10, 93, np.int64(40)], [61], [33, 80, 20], [np.int64(31)], [96, 26, 77, 88, 46, 60], [41, 2, 3, 43, 87], [36, 48, 24, 72, 100, 65, 92]]  
Best Fitness: 119875.83485936165

Input Data:

Travel Costs (C):

```
[[ 0.          15.23154621 18.          ... 5.          5.09901951
 19.84943324]
 [15.23154621 0.          32.55764119 ... 17.80449381 10.29563014
 29.83286778]
 [18.          32.55764119 0.          ... 18.68154169 23.02172887
 13.34166406]
 ...
 [ 5.          17.80449381 18.68154169 ... 0.          7.81024968
 23.43074903]
 [ 5.09901951 10.29563014 23.02172887 ... 7.81024968 0.
 23.32380758]
 [19.84943324 29.83286778 13.34166406 ... 23.43074903 23.32380758
 0.          ]]
```

Earliest Start Times (ET): [ 0. 0. 0. 0. 620. 0. 0. 0. 323. 329. 0. 146.
0. 639. 0. 118. 0. 0. 0. 0. 0. 0. 146.
0. 716. 0. 0. 0. 0. 0. 0. 0. 0. 0. 0.
0. 0. 234. 0. 0. 0. 0. 0. 167. 0. 0. 0.
0. 0. 0. 0. 213. 22. 1030. 1154. 15. 331. 965. 2653.
2385. 2628. 2603. 1985. 2310. 1846. 2077. 1763. 2143. 1560. 1689. 10.
2675. 12. 1519. 23. 2380. 1330. 93. 1268. 168. 170. 585. 448.
499. 1190. 666. 1076. 772. 890. 1036. 1612. 2599. 2529. 2463. 1745.
1932. 1884. 2348. 2715. 812. 2018.]

Latest Service Times (LT): [1000. 974. 972. 967. 860. 969. 978. 968. 563. 569. 964. 386.

Latest Service Times (LT): [1000. 974. 972. 967. 860. 969. 978. 968. 563. 569. 964. 386.

975. 879. 957. 358. 960. 959. 974. 957. 958. 971. 963. 386.
960. 956. 978. 985. 983. 960. 964. 972. 956. 965. 953. 948.
948. 968. 474. 956. 978. 961. 964. 955. 407. 960. 954. 955.
962. 946. 973. 3390. 568. 563. 1463. 1527. 402. 822. 1340. 3280.
2976. 3113. 2952. 2412. 2659. 2365. 2514. 2264. 2638. 2083. 2144. 645.
3288. 505. 1926. 368. 2787. 1919. 484. 1785. 787. 595. 1136. 897.
1030. 1661. 1245. 1589. 1329. 1395. 1439. 2185. 3076. 2962. 2842. 2240.
2437. 2293. 2771. 3156. 1281. 2725.]

Penalty Coefficient (a\_i): 2.0

Vehicle 0 = 0 -> 19 -> 67 -> 25 -> 13 -> 44 -> 59 -> 30 -> 0  
Vehicle 1 = 0 -> 42 -> 83 -> 0  
Vehicle 2 = 0 -> 90 -> 32 -> 52 -> 73 -> 0  
Vehicle 3 = 0 -> 95 -> 16 -> 70 -> 53 -> 5 -> 56 -> 38 -> 0  
Vehicle 4 = 0 -> 22 -> 45 -> 62 -> 98 -> 0  
Vehicle 5 = 0 -> 89 -> 37 -> 63 -> 85 -> 99 -> 18 -> 6 -> 64 -> 0  
Vehicle 6 = 0 -> 8 -> 0  
Vehicle 7 = 0 -> 58 -> 81 -> 57 -> 94 -> 0  
Vehicle 8 = 0 -> 54 -> 91 -> 27 -> 0  
Vehicle 9 = 0 -> 74 -> 69 -> 78 -> 0  
Vehicle 10 = 0 -> 4 -> 0  
Vehicle 11 = 0 -> 84 -> 39 -> 0  
Vehicle 12 = 0 -> 47 -> 12 -> 0  
Vehicle 13 = 0 -> 34 -> 49 -> 11 -> 0  
Vehicle 14 = 0 -> 15 -> 17 -> 35 -> 97 -> 66 -> 55 -> 23 -> 0  
Vehicle 15 = 0 -> 50 -> 29 -> 68 -> 51 -> 0  
Vehicle 16 = 0 -> 28 -> 79 -> 71 -> 14 -> 82 -> 21 -> 9 -> 7 -> 0  
Vehicle 17 = 0 -> 76 -> 86 -> 0  
Vehicle 18 = 0 -> 1 -> 75 -> 10 -> 93 -> 40 -> 0  
Vehicle 19 = 0 -> 61 -> 0  
Vehicle 20 = 0 -> 33 -> 80 -> 20 -> 0  
Vehicle 21 = 0 -> 31 -> 0  
Vehicle 22 = 0 -> 96 -> 26 -> 77 -> 88 -> 46 -> 60 -> 0  
Vehicle 23 = 0 -> 41 -> 2 -> 3 -> 43 -> 87 -> 0  
Vehicle 24 = 0 -> 36 -> 48 -> 24 -> 72 -> 100 -> 65 -> 92 -> 0

## Chapter 6: Conclusion

This study presents a Cuckoo Search-based approach to solve the Vehicle Routing Problem with Time Windows (VRPTW), a critical challenge in logistics and distribution optimization. By adapting the Cuckoo Search Algorithm (CSA) to the specific constraints of VRPTW, we have developed a flexible and effective method for finding near-optimal solutions. The implementation incorporates key VRPTW elements such as multiple vehicle routes, time window constraints, and distance-based costs, number of vehicles available, while leveraging the CSA's strengths in balancing exploration and exploitation of the solution space.

Our approach demonstrates the potential of metaheuristic algorithms in addressing complex combinatorial optimization problems in logistics. The custom fitness function, which accounts for both travel costs and time window violations, allows for a nuanced evaluation of solution quality. The algorithm's ability to handle multiple vehicles and dynamically adjust routes showcases its applicability to real-world scenarios.

While this implementation provides a solid foundation, there is room for further refinement and expansion. Future work could explore additional constraints, such as vehicle capacity or heterogeneous fleets, and investigate the algorithm's performance on larger-scale problems. The integration of more sophisticated local search techniques or hybrid approaches could potentially enhance solution quality and computational efficiency.

In conclusion, this Cuckoo Search-based approach to VRPTW offers a promising direction for logistics optimization. It demonstrates the adaptability of nature-inspired algorithms to complex operational problems and provides a framework for further research and practical applications in the field of vehicle routing and scheduling.

