# **PLOVER**

Political Language Ontology for Verifiable Event Records Event, Actor and Data Interchange Specification

Open Event Data Alliance

http://openeventdata.org/

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GitHub repository: https://github.com/openeventdata/PLOVER

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# Chapter 1

# Introduction

The concept of political event data originated in the academic quantitative international relations community in the mid-1960s. While a number of projects produced some event data, often for specialized applications, eventually two coding frameworks dominated the production of general-purpose event data sets: Charles McClelland's WEIS (McClelland, 1967, 1976) and the Conflict and Peace Data Bank (COPDAB) developed by Edward Azar (Azar and Sloan, 1975; Azar, 1980, 1982). Both were created during the Cold War and assumed a "Westphalian-Clausewitzian" political world in which sovereign states reacted to each other primarily through official diplomacy and military threats. Consequently these coding systems proved less than optimal for dealing with post-Cold-War issues such as ethnic conflict, low-intensity violence, internal conflict and repression, and multilateral intervention.

During the early 2000s, the CAMEO framework—Conflict and Mediation Event Observations—was developed (Schrodt et al., 2009) to support an NSF-funded project at the University of Kansas on the study of inter-state conflict mediation, not as a general-purpose event ontology. Nonetheless, it was gradually adopted as a "next generation" coding scheme, notably for the DARPA-funded Integrated Conflict Early Warning System (ICEWS) project (O'Brien, 2010) because it corrected some of the long-recognized ambiguities in WEIS and COPDAB, and was explicitly designed both for automated coding and for the detailed coding of sub-state actors. It was continued in the widely-used public ICEWS data (https://dataverse.harvard.edu/dataverse/icews) coded using the BBN SERIF/ACCENT coder, with BBN doing considerable additional work on various details of the system.

As event data came into wider use in the 2010s, several problems with CAMEO became apparent, largely dealing with the complexity of the system, the absence of a standard format beyond the original date-source-target-event fields (for example, representing geographical location), and continuing interest on coding substate activities not present in the earlier systems.

To address these concerns, an informal group of academic, government and private sector producers and users of event data met and circulated drafts during the fall of 2016 to develop a new, simplified and more flexible event data specification to replace CAMEO, which became PLOVER and the basis of this document: Section 6.2 summarizes the major changes. Additional extensive work was done in 2021 as PLOVER was adopted by the Political Instability Task Force to replace CAMEO in its new coder for iDATA (the next generation ICEWS).

Because the PLOVER event categories are generally a simplification of CAMEO our expectation is that it will be relatively easy to splice existing CAMEO data sets to PLOVER equivalents by simply collapsing the two- and three-digit categories. The scaled "PLOVER scores" are also designed for splicing with time series generated from the CAMEO "Goldstein scores." The standardization

of the JSON field names—as well as adoption of JSON as the data interchange format—will allow the development of general-purpose utilities that can work with all formats, in contrast with the current proliferation of incompatible and error-prone CSV and tab-delimited formats.

Compared to the Kansas and BBN CAMEO manuals—though curiously, consistent with the public documentation for WEIS and COPAB in the ICPSR archive—at this point we have provided only general guidance on the content of the various categories, modes, and contexts. With the current state of automated natural language processing, any 2020s automated coding system will almost certainly be implemented using machine learning systems trained on a labeled set of news texts and those training cases effectively are the detailed examples. This differs from the older systems which classified events using dictionaries which were abstracted, by human developers, from the texts. We eventually hope to provide a set of training cases, possibly synthetic, that will be free of intellectual property constraints; at present a small set of cases extracted from the Kansas CAMEO manual is available on the PLOVER GitHub site https://github.com/openeventdata/PLOVER but it is not sufficient for training a system, at least with 2021 technologies.

# 1.1 Why "PLOVER"?

Plovers (*Charadriidae*) are a globally-distributed family of short-billed gregarious wading birds who spend their lives frantically poking through endless stretches of sand and muck trying to find something of interest. It is difficult to imagine a better analogy to the process of coding event data.

# Chapter 2

# Event, Mode, and Context

#### 2.1 Overview

A major difference between PLOVER and the earlier widely-used event coding systems is moving the information in the hierarchical 3- and 4-digit categories of CAMEO into three components: event-mode-context generally corresponding to "what-how-why." We anticipate at least five advantages to this approach:

- 1. The three what-how-why components are now distinct, whereas various CAMEO subcategories inconsistently used the *how* and *why* to distinguish between subcategories.
- 2. Because a context can be applied to any event category and, where relevant, any mode, PLOVER has far more combinations of codes for describing events than the fixed hierarchy of CAMEO.
- 3. We are probably increasing the ability of general machine-learning classifiers—as distinct from the older customized dictionary-based parser/coders—to assign mode and context compared to their ability to assign subcategories.
- 4. In initial experiments, it appears this approach is *much* easier for humans to code than the hierarchical structure of CAMEO because a human coder can hold most of the relevant categories in working memory. More generally, event-mode-context coding uses words, not numerical codes, so coders will probably be using the parts of the brain (Broca's area) which are specialized for processing words. No known specialized cognitive facility exists for handling some 250 2-to-4-digit codes.
- 5. Because the words used to differentiate mode and context are generally very basic, translations of the coding protocols into languages other than English is likely to be easier than translating the subcategory descriptions found in CAMEO.

While both mode and context will usually take a single value, in some instances multiple values will be appropriate and this is allowed. Both fields are optional, and if no existing values seem appropriate, the field should be left null, though perhaps with some details provided in the JSON comment field, particularly when the record is generated using human coding.

In general, verbal activities only have a context since their mode is just "verbal." The exceptions are CONSULT where the mode indicates how the consultation was done, and THREATEN where the mode indicates what type of action is being threatened.

# 2.2 Context codes that can be used with any category

The context field re-introduces, albeit in a greatly extended form, a concept found in the original COPDAB data (but absent from WEIS and hence CAMEO) which allows, for example, a distinction in the event record between a meeting dealing with military issues and a meeting dealing with economic issues. Human analysts naturally incorporate this information in their reading of an article. Based on some initial experiments, we believe that with contemporary text classification algorithms this should be relatively easy to implement

How these contexts are used in practice will depend on how a coder implements them. If they are applied using document-level classifiers, researchers/analysts could misinterpret the meaning of contexts. For example, an ASSAULT event with an "elections" context does not necessarily imply electoral violence. It could be an article about, for instance, violence in Afghanistan in the context of an article on US election politics. Thus, the interpretation of "context" may depend on how it's implemented in practice. Certain types of events, particularly general protests and meetings, will also have multiple contexts: this is a feature, not a bug.

Based on our past experience developing event-oriented data sets, we are almost certain to find some additional contexts as we start coding data, so this list is likely to change somewhat. In many cases, it may be possible to extract the information needed for specialized applications by simply modifying the context coding—which should be relatively easy—rather than modifying the event category coding, which is more difficult but was the only option in the older hierarchical systems.

Table 2.1: General contexts

Name	Content
military	military, including military assistance
intelligence	intelligence or information
peacekeeping	peacekeeping, typically multilateral operations
economic	economic news, trade, finance, economic development, inflation/GDP/recessions, monetary police. Exclude news solely about individual companies
diplomatic	diplomacy
resource	territory and natural resources
health	public health, disease outbreaks and epidemics, disease in general
enviro_disaster	environment, climate change; disasters including both "natural" and accidents/spills etc.
migration	migration, refugees, and displaced people
humanitarian	humanitarian assistance generally
asylum	discussions of seeking or granting asylum
legal	courts and judiciary; national and international law, not including human rights
democracy	actions taken to promote democracy, both external and internal
human_rights	explicit mentions of the term human rights not included in the democracy context
rights_freedoms	discussions of political rights and freedoms, restrictions on civil rights, movement,
	gathering etc.
gender	mentions of gender, women's issues, gender equality, etc.
lgbt	LGBTQ
terrorism	terrorism
human_security	access to water, food, housing, energy, land tenure, etc.
religion_ethnicity	mentions of specific religions or ethnic groups,
	or religion and ethnicity more broadly
executive	executive agencies and bureaucracies; governmental issues other than elections and legislative
election	elections and campaigns
legislative	legislative debate, parliamentary coalition formation
cbrn	chemical, biological, radiation, and nuclear weapons or attacks
cyber	cyber attacks and crime
technology	discussions of technological development, new technology, high-tech industry
political_institutions	Mentions of norms, political institutions, democratic backsliding, political parties, etc.
corruption	misuse/abuse of public office, including corruption, bribery, cronyism, nepotism,
	or abuse of public office for private gain
crime	Any discussion of crimes or criminals
illegal_drugs	criminal possession, distribution, sale, or manufacturing of illegal drugs

# 2.3 Auxiliary modes

PLOVER also includes four *auxiliary modes*, which provide information about whether a reported event is **historical**, **future**, **hypothetical**, or has a **negation**. PLOVER assumes that the coding engine will be able to resolve these and put that information in the **context**. Negated events can be excluded from the final event dataset. Examples:

- historical: "During the decolonization struggle, Angolan forces..."
- future: "Members of the G-7 will meet in Ottawa next month..."
- hypothetical: "If Russian forces were to cross the border, that would represent a major..."
- **negation**: "Thus far, fighting has not re-emerged in the tense region."

Theoretically, some event types can be represented as other event types plus an auxiliary mode: AGREE could be SUPPORT + **future** or THREATEN could be ASSAULT + **hypothetical**. In situations like these, the coder should always return the single event category, not a category+auxiliary.

We anticipate that in general—and consistent with earlier event coding schemes—it will be possible to code mode from the same sentence used to code the event, or possibly that sentence and one before it. context, in contrast, will usually be coded at the paragraph- or document-level: this differs from earlier automated coding, though probably is similar to human-coded data such as COPDAB and BCOW (Leng, 1987) where context-like fields were coded.

# 2.4 Changes in treatment of political entities

# 2.4.1 "actor" and "recipient" replace "source" and "target"

In order to reduce overlap with how the policy community uses the terms "source" and "target", we are using the word actor to refer to the entity or entities who initiated the event, and recipient to refer to the entity or entities to whom the event is directed, if this is clear. recipient is optional for many events. We are using the term "entity" to refer to the class of potential actors and recipients.

## 2.4.2 Compound and Reciprocal Actors

Following the dyadic approach which originated in WEIS and COPDAB, most CAMEO-based coders dealt with compound entities—"The United States and France accused Russia..."—by generating multiple events: this example would generate two events of the form

```
USA RUS 112
FRA RUS 112
```

This approach, however, gets very problematic in the not-uncommon situation where an alliance is involved and is expanded to all of its constituent members: a single reference to the G20 expands to at least twenty events, and a *meeting* of the G20, generating reciprocal events, expands to 380 events, which is one of the reasons "consult" events are so frequent in CAMEO-coded data.

In PLOVER, compound entities generate a single actor or recipient, but with multiple members: the actor and recipient are a list of entities rather than a single entities. Depending on the application, a user might expand this in a post-processing phase to multiple events with single entity codes following the earlier conventions, but the initial coding of the event uses the list.

PLOVER also uses actor lists to deal with reciprocal events. In CAMEO, a meeting "President Obama met with Japanese Prime Minister Abe at the White House" generated two events

JAP USA 042 USA JAP 043

where 042 and 043 are the CAMEO codes for "visit" and "host" respectively. While the PLOVER CONSULT mode provides for a host/visit distinction, this is not required. In such instances, all of the entities are considered as the actor and no recipient is included.

In ASSAULT events, reciprocal violence—as distinct from one-sided violence—is handled in a similar fashion, with both entities as actor: this applies in any event where both sides are using force, even if one side "started it", an assessment often as not contested anyway. One-sided violence, such as assassinations or police firing on demonstrators, will have the perpetrator as the actor and the victims as recipient. The sideAB mode in ASSAULT is used in conjunction with the recipient field when there are two clear "sides" in the conflict.

# 2.5 Numeric conflict/cooperation scores

Researchers and analysts often want to represent events along a conflict/cooperation scale. In CAMEO, these were called "Goldstein scores" since they were an extension of the WEIS scores in Goldstein (1992) which mapped the CAMEO codes to a -10 to +10 scale (though in fact the most cooperative action had score of only +8.5). The "PLOVER scores" given in Table 2.5 provide comparable scaled conflict/cooperation scores for PLOVER. They were created by taking a weighted average of the CAMEO/Goldstein scores for each PLOVER category, with the weights being the empirical frequency of the CAMEO event type in an 18 month sample (October-2017 to March-2019). Two additional changes were made based on our knowledge of the categories: COERCE and MOBILIZE were flipped, so COERCE was increased in magnitude from -5.3 to -7.2 and MOBILIZE moved from -7.2 to -5.3.

<sup>&</sup>lt;sup>1</sup>This example optimistically assumes the coding system was clever enough to recognize the significance of the phrase "at the White House."

Table 2.2: PLOVER conflict-cooperation scores

PLOVER category	PLOVER scores
ASSAULT	-9.3
COERCE	-7.2
PROTEST	-6.6
MOBILIZE	-5.3
SANCTION	-5.2
THREATEN	-5.1
DEMAND	-5.0
REJECT	-4.2
ACCUSE	-2.0
CONSULT	+2.1
AGREE	+4.2
SUPPORT	+4.6
CONCEDE	+5.0
COOPERATE	+6.8
AID	+7.4
RETREAT	+7.6

# Chapter 3

# **Event Categories**

## 3.1 AGREE

Agree to, offer, promise, or otherwise indicate willingness or commitment to cooperate, including promises to sign or ratify agreements. All cooperative actions reported in future tense are also taken to imply intentions.

#### 3.1.1 Potential ambiguities

As noted in Section 2.3, there's the potential for some events to fit both the definition of AGREE and SUPPORT + future. For example, "Russia and the United States will sign an agreement limiting certain kinds of weapons...". When situations like this occur, the coder should always return the single event category that fits, rather than a category+auxiliary mode.

3.1.2 Requires recipient: No

3.1.3 Supplementary fields: None

3.1.4 Quad category: VERBAL COOPERATION

## 3.2 CONSULT

All consultations and meetings: this includes visiting and hosting visits, as well as meeting at a neutral location, and consultation by phone or other media. Because this type of political event is both frequent and easily (and safely...) covered in the international press, it is the largest category in most event data sets. Additional useful keywords for identifying CONSULT: "Holding talks" and "discussions", "negotiations, bargaining, or discussions". See the discussion in Section 2.4.2 on the treatment of actors in CONSULT events.

#### 3.2.1 Requires recipient: No

In CONSULT events where there is no clear distinction between whether an entity is hosting or visiting, all participants are coded in the actor field. In events where one side is hosting and one is visiting, the visitor will always be coded as the actor and the host will be the recipient.

#### 3.2.2 Supplementary fields: modes

Table 3.1: CONSULT modes

Name	Content
visit	actor is visiting, recipient is hosting.
third-party	Meeting is hosted by a third party
multilateral	Meeting occurs in a multilateral context, typically an alliance or IGO
phone	Consultation occurs via phone or some other remote medium

Adapted from CAMEO.

#### 3.2.3 Quad category: VERBAL COOPERATION

## 3.3 SUPPORT

Initiate, resume, improve, or expand diplomatic, non-material cooperation; express support for, commend, approve policy, action, or actor, or ratify, sign, or finalize an agreement or treaty. Use this code only for political, diplomatic, and non-material support, including recognition of newly independent states, new governments that might have come to power through unconventional means, and initiation of diplomatic ties with an entity for the first time.

SUPPORT is distinct from the CAMEO APPEAL category, where the actor simply requested support from the recipient.

#### 3.3.1 Requires recipient: No

#### 3.3.2 Potential ambiguities

The term used for this category, SUPPORT, is a somewhat ambiguous. Although it may imply a material event, but this category should only be used for verbal cooperation.

- Formal pardons and amnesties of arrested persons should be coded as CONCEDE; the actual release or exchange of prisoners should be coded as RETREAT.
- Expressions of regret or remorse for an action or situation should be coded as CONCEDE.
- Promises to sign or ratify agreements and treaties are coded as AGREE
- Military cooperation or defense should be coded as COOPERATE with a military context.

#### 3.3.3 Supplementary fields: None

#### 3.3.4 Quad category: VERBAL COOPERATION

#### 3.4 CONCEDE

This covers verbal concessions which have no immediate material consequences, including the promise of future concessions such easing of administrative or legal restrictions on persons and organizations, removing curfews, suspending protests, and declarations (but not implementations) of ceasefires and withdrawals from territory.

CONCEDE, like the verbal components CAMEO/WEIS predecessor YIELD, is inherently problematic since many concessions deal with promises that certain things will *not* happen, or will happen in the distant future (e.g. many policy changes). So, for example, the lifting of a curfew is, effectively, a promise that people will not be arrested for violating the curfew, which itself is not an event. We're treating such concessions as verbal rather than material even though sometimes they have material consequences, e.g. people coming out in the streets after a curfew is lifted, provide they believe the entity lifting the curfew actually has done so.

- 3.4.1 Requires recipient: No
- 3.4.2 Supplementary fields: None
- 3.4.3 Quad category: VERBAL COOPERATION

# 3.5 COOPERATE

Initiate, resume, improve, or expand mutual material cooperation or exchange, including

- Initiate, resume, improve, or expand economic exchange or cooperation.
- Military exchanges such as joint military games and maneuvers.
- Cooperation on judicial matters, such as extraditions and war crimes.
- Voluntary exchanges or sharing of intelligence and other significant information .

COOPERATE is distinguished from AID because the activity is generally understood to directly benefit both parties, whereas AID is understood to primarily benefit only the recipient.

- 3.5.1 Requires recipient: Yes
- 3.5.2 Supplementary fields: None
- 3.5.3 Quad category: MATERIAL COOPERATION

## 3.6 AID

All provisions of providing material aid whose material benefits primarily accrue to the recipient. Examples include:

- Monetary aid and financial guarantees, grants, gifts and credit, including reparations.
- Military and police assistance including arms and personnel.
- Humanitarian aid such as emergency assistance.
- Asylum, both to persons in its territories (territorial asylum) and diplomatic asylum on the premises of an embassy.

#### 3.6.1 Requires recipient: Yes

#### 3.6.2 Potential ambiguities

While reparations or voluntary settlements should be coded as AID, court-ordered payments should not be coded at all.

## 3.6.3 Supplementary fields: None

## 3.6.4 Quad category: MATERIAL COOPERATION

## 3.7 RETREAT

RETREAT covers any events—not just military "retreat" from territory—which have an immediate (not simply promised) material consequences, such as the release of prisoners and hostages, repatriation of refugees, the return of confiscated property, allowing the entry of observers, peacekeepers, or humanitarian workers, disarming, observing a ceasefire or otherwise ending active conflicts, and, of course, a military retreat from, or ceding, territory. RETREAT also covers resignations of government officials.

#### 3.7.1 Requires recipient: No

#### 3.7.2 Potential ambiguities

Announcements of future retreats should be coded as CONCEDE. E.g., "announced...would withdraw troops" is RETREAT + FUTURE and should be coded as CONCEDE.

#### 3.7.3 Supplementary fields: modes

Table 3.2: RETREAT modes

Name	Content
withdraw	retreat from territory or withdraw forces from an area
release	release captives
return	return property
disarm	disarm militarily or give up weapons
ceasefire	implement ceasefire
access	allow third party (e.g., observers, peacekeepers, humanitarian workers) access
resign	official resignation

## 3.7.4 Quad category: MATERIAL COOPERATION

#### 3.8 DEMAND

All demands and orders. Demands are stronger or more forceful than a request and potentially carry more serious repercussions, although not as much as threats. Coding will need to rely primarily on the language used by reporters to make this distinction. All demands are verbal acts.

## 3.8.1 Requires recipient: No

#### 3.8.2 Potential ambiguities

- This category only applies to verbal demands: demands that take the form of demonstrations, protests, etc. are coded as PROTEST.
- When one or more parties to a conflict call for ending the conflict, that is taken to be an expression of intent on the part of the actor and is thus coded as AGREE.
- Withdrawing a demand should be coded as CONCEDE.

## 3.8.3 Supplementary fields: modes

Table 3.3: DEMAND modes. These modes are shared with REJECT.

Name	Content
assist	any form of exchange, relations, or assistance
change	any changes in policy, government, or institutions that are not concessions
yield	release of prisoners, ending sanctions, easing curfews and boycotts, ceasefires
meet	meetings and negotiations

## 3.8.4 Quad category: VERBAL CONFLICT

# 3.9 ACCUSE

- Express disapprovals, objections, and complaints; condemn, decry a policy or an action; criticize, defame, denigrate responsible parties.
- Accuse, allege, or charge, both judicially and informally
- Sue or bring to court
- All investigations, including those of historical cases. Examples include investigations of criminal activity (theft, killing, etc.) and corruption, human rights abuses, war crime, and violations of basic freedoms, military activities such as violations of ceasefire, seizures, and invasions.

#### 3.9.1 Requires recipient: No

## 3.9.2 Supplementary fields: modes

Table 3.4: ACCUSE modes

Name	Content
disapprove	express disapproval; condemn; complain
investigate	any investigation, including commissions, grand juries, judicial or political
allege	formally or informally accuse; sue, indict, or charge; bring to trial

# 3.9.3 Quad category: VERBAL CONFLICT

# **3.10 REJECT**

All rejections and refusals.

# 3.10.1 Requires recipient: No

# 3.10.2 Potential ambiguities

Withdrawal of military aid or other assistance is coded as SANCTION.

# 3.10.3 Supplementary fields: modes

Table 3.5: REJECT modes. These modes are shared with DEMAND.

Name	Content
assist	any form of exchange, relations, or assistance
change	any changes in policy, government, or institutions that are not concessions
yield	release of prisoners, ending sanctions, easing curfews and boycotts, ceasefires
meet	meetings and negotiations

# 3.10.4 Quad category: VERBAL CONFLICT

# 3.11 THREATEN

All threats, coercive or forceful warnings with serious potential repercussions. Threats are generally verbal acts except for purely symbolic material actions such as having an unarmed group place a flag on some territory.

## 3.11.1 Requires recipient: No

## 3.11.2 Supplementary fields: mode

Note that in THREATEN the mode is the *content* of the threat, rather than how it has been expressed.

Table 3.6: THREATEN modes

Name	Content
restrict	restrict movement of people or goods, including boycotts, strikes,
	blockades, and curfews
ban	threaten to ban political activities of particular parties or individuals
arrest	arrest, detain, imprison
relations	threaten to suspend relations, talks
	such as speech, expression, and assembly
expel	expel diplomats, peacekeepers, NGOs
territory	threaten to occupy, seize control of the whole or part of a territory
violence	threaten violence

# 3.11.3 Quad category: VERBAL CONFLICT

# 3.12 PROTEST

All civilian demonstrations and other collective actions carried out as protests against the recipient: Dissent collectively, publicly show negative feelings or opinions; rally, gather to protest a policy, action, or actor(s).

## 3.12.1 Requires recipient: No

## 3.12.2 Supplementary fields:

mode: Mode of protest: see Table 3.7

event\_loc: Location[s] of event

Table 3.7: PROTEST modes

Name	Content
demo	Organized demonstration. Distinct, continuous, and largely peaceful action
	directed toward members of a distinct 'other' group or government authorities.
riot	Violent riot. Distinct, continuous and violent action directed toward members
	of a distinct 'other' group or government authorities. The participants intend
	to cause physical injury and/or property damage.
general	General strike. Members of an organization or union engage in a total aban-
	donment of workplaces and public facilities.
strike	Limited Strike. Members of an organization or union engage in the abandon-
	ment of workplaces in limited sectors or industries.
hunger	Hunger strike
boycott	Boycott
obstruct	Obstruct passage

Adapted from Salehyan and Hendix, Social Conflict Analysis Database (SCAD) Version 3.2: https://www.strausscenter.org/images/codebooks/SCAD\_32\_Codebook.pdf

## 3.12.3 Quad category: MATERIAL CONFLICT

# 3.13 SANCTION

All reductions in existing, routine, or cooperative relations. Note that this is not confined to formal "sanctions"—SANCTION was just the best word we could find for WEIS and CAMEO's "REDUCE RELATIONS"

## 3.13.1 Requires recipient: Yes

## 3.13.2 Potential ambiguities

- Expulsions or deportations of individuals—typically a legal matter—are coded as COERCE
- Cancellation of meetings are REJECT and therefore verbal conflict.

# 3.13.3 Supplementary fields: mode

Table 3.8: SANCTION modes

Name	Content
convict	find guilty or liable in a court of law
expel	expel an entity from a group, organization or country; excluding individual
	deportations
withdraw	withdraw oneself or one's non-military resources (e.g., aid, observers, diplo-
	mats, peacekeepers) from a group, mediation activity, organization, or country
discontinue	curtail, decrease, break, or terminate diplomatic, commercial, or material ex-
	changes in manners not specified above

# 3.13.4 Quad category: MATERIAL CONFLICT

#### 3.14 MOBILIZE

All military or police moves that fall short of the actual use of force.

This category is different from ASSAULT, which refers to actual uses of force, while military posturing falls short of actual use of force and is typically a demonstration of military capabilities and readiness. MOBILIZE is also distinct from THREAT in that the latter is typically verbal, and does not involve any activity that is undertaken to demonstrate military power.

actor entities are not necessarily militaries affiliated with states: they can be any organized armed groups (for example militias or gangs).

The recipient are entities against whom the actor mobilizes its military capabilities in a threatening manner if that is clear, but a group may mobilize with no specific entity stated.

#### 3.14.1 Potential ambiguities

Joint military operations are coded as COOPERATE but single-country exercises should be coded as MOBILIZE.

Events that involved "mobilizing supporters to demonstrate" should be coded as PROTEST.

If a document reports a MOBILIZE event in the context of an ASSAULT, only the ASSAULT should be coded. For example: "military units in the area were activated and returned fire on the rebels" should not report a separate MOBILIZE event.

Mobilizing police or military forces for disaster relief should be coded as AID.

#### 3.14.2 Requires recipient: No

## 3.14.3 Supplementary fields: modes

Table 3.9: MOBILIZE modes

Name	Content
troops	Mobilize armed personnel or units
weapons	Increase readiness of weapons systems (can occur with a <b>cyber</b> context)
police	Mobilize or increase readiness of police or security units
militia	Mobilize or increase readiness of any non-state entity with significant military
	capability

Adapted from CAMEO cue category 15

# 3.14.4 Quad category: MATERIAL CONFLICT

# 3.15 COERCE

Repression, restrictions on rights, or coercive uses of power falling short of violence.

# 3.15.1 Requires recipient: No

Most cases of COERCE have a clear intended recipient, but occasionally, for example in shutting off internet access, the entities intended to be affected by the action are so broad as to be unclear.

# 3.15.2 Supplementary fields:

Table 3.10: COERCE modes

Name	Content
seize	execute search, confiscate property, raid
restrict	impose restrictions on political freedoms or movement, including cordoning off areas
ban	ban individuals or organizations
censor	censor, ban or restrict access to publications or other information
curfew	impose curfew
martial-law	impose state of emergency or martial law
arrest	arrest, detain, or charge with legal action.
deport	expel or deport individuals
withhold	withhold public goods/services, e.g. shut off power/internet/water/utilities
	or withhold food/medical supplies
deception	deception/manipulation/misinformation

Adapted from CAMEO cue category 17

## 3.15.3 Quad category: MATERIAL CONFLICT

## 3.16 ASSAULT

ASSAULT events are deliberate actions which can potentially result in substantial physical harm.

# 3.16.1 Requires recipient: No

In ASSAULT events where the violence is two-sided, all participants are coded in the actor field except when a "Side A/Side B" can be distinguished per the conventions of the Correlates of War project, in which case the sideAB mode is added to any other relevant modes. In one-sided violence, the perpetrator is coded as the actor and the victim as the recipient.

#### 3.16.2 Supplementary fields:

Table 3.11: ASSAULT modes

Name	Content
abduct	abduct, kidnap, hijack
beat	physically assault
torture	torture
execute	judicially-sanctioned execution
sexual	sexual violence
assassinate	targeted assassinations with any weapon
destroy	destroy property
primitive	primitive weapons: fire, edged weapons, rocks, farm implements
firearms	rifles, pistols, light machine guns
explosives	any explosive not incorporated in a heavy weapon: mines, IEDS, car bombs
suicide-attack	individual and vehicular suicide attacks
heavy-weapons	crew-served weapons
crowd-control	weapons and tactics intended to be less lethal crowd control, including tear gas, water cannon
cleansing	mass expulsions or deportations, ethnic cleansing
massacre	instances of mass killing or massacres
unconventional	chemical, biological, radiation, and nuclear weapons
sideAB	two-sided violence: actor and recipient are "Side A" and "Side B"

Adapted from Political Instability Task Force Atrocities Database:

http://eventdata.parusanalytics.com/data.dir/atrocities.html.

mode: Mode of violence: see Table 3.11dead: number killed (integer or code)

injured: number injured (integer or code)

size: used when total casualties are reported, combining dead and wounded

event\_loc: Location of event

## 3.16.3 Quad category: MATERIAL CONFLICT

# Chapter 4

# **Entity and Sector Codes**

CAMEO employed a hierarchical entity coding structure based on 3-character coding elements which allowed nearly unlimited complexity and, depending on the exact coding system, could be resolved down to the identity of individual groups or individuals. As with the event codes, typically only the first two or three of these elements were used. ICEWS modified this somewhat, while preserving most of the sub-state differentiations as "sectors"—the terminology we've adopted here over the CAMEO/IDEA "agents"—but also provided a very substantial amount of complexity at the sub-sector level.

As with the events, the PLOVER specification seeks to pare this down to the most commonly used entities and agent/sectors, while retaining the possibility of more specific information. In place of the pages of entity and agent specification found in the CAMEO manual, PLOVER has four rules:

- 1. The entity code—actor/recipient—is either an ISO 3 letter country code or one of a small number of non-state codes such as IGO; see Table 4.1 below.
- 2. The sector code is a 3-character primary code with one optional secondary code
- 3. Identifiers for individual persons or organizations are coded in the identifier\_id and/or identifier\_text fields. Ideally, the ID would take the form of a Wikidata ID and the text would be the canonical English-language name of the Wikidata entry. Including this information will greatly help researchers who want to (1) track a particular organization or person, (2) need to make fine-grained distinctions that are currently subsumed within a single code (USA MIL = Army + Navy + Air Force + ... and USA GOV = the president + the State Department + the attorney general of Oklahoma + the city of Cambridge + ...) and (2) researchers who would like to assign different sector codes using the raw information provided by Wikidata/Wikipedia, for example a new sector category for right-wing populist parties and politicians.
- 4. The remaining named fields in the actor/recipient JSON object is used for additional information beyond what can be coded in the sector secondary modifier. In other words, one item of information—typically it is religion, ethnicity, or position—can be coded in the tertiary code, but only one: this handles virtually all of the current use-cases we know of such as religion, ethnicity, official position, etc.

By effectively crowdsourcing details to Wikipedia/Wikidata, the latter which is generally updated very quickly, often within minutes in the case of a death of a well-known figure, we deal with

a major weak point in the CAMEO dictionary approach, the need to maintain coding teams to regularly update dictionaries, and in the absence of this, having information sometimes years out of date. Wikipedia/Wikidata also has a fairly standardized format for biographical information which contains far more detail than a CAMEO entry, but can be parsed with relatively simple programs.

An example actor block is below:

```
{
  "raw_text": "Steinmeier",
  "entity_name": "Frank-Walter Steinmeier",
  "wikidata_id": "Q76658",
  "wiki_description": "Minister of Foreign Affairs",
  "country_code": "DEU",
  "sector_code": "GOV"
}
```

While the process of generating this block will depend on the specific nature of the coder, in general, the coder will (1) identify a actor or recipient in the text, in this case, "Steinmeier". (2) Using the context of the article, resolve the mention to its Wikipedia page and ID and report the Wikipedia role or description. (3) Using the information on the page, determine that Frank-Walter Steinmeier was the German Minister of Foreign Affairs and thus DEUGOV.

# 4.1 Entity codes

For nation-states and other entities for whom an ISO-3166 code exists.<sup>1</sup>, use the alpha-3 code. Use the codes in Table 4.1 for non-state entities:

Code	Content
IGO	international governmental organization
NGO	non-governmental organization
ISM	international social movement
IMG	transnational militarized group
MNC	multi-national corporation

Table 4.1: Non-state actor codes

<sup>&</sup>lt;sup>1</sup>https://en.wikipedia.org/wiki/ISO\_3166-1\_alpha-3 ISO codes are also available for dependencies such as the Åland Islands, and the list is updated fairly regularly to accommodate new states such as South Sudan.

# 4.2 Sector Primary Code

Table 4.2: Sector Primary Codes

Code	Frequently used codes			
GOV	Government: the executive, governing parties, coalitions partners, executive			
	divisions			
JUD	Judiciary: judges, courts			
LEG	Legislature: parliaments, assemblies, lawmakers			
MIL	Military: troops, soldiers, all state-military personnel/equipment			
COP	Police forces, officers, criminal investigative units, protective agencies			
OPP	Political opposition: opposition parties, individuals, anti-government activists			
PTY	Political parties not identified with government or opposition			
REB	Rebels: armed opposition groups or individuals (see Note 1)			
PRM	Paramilitary organizations not in opposition to government			
SPY	State intelligence services			
UAF	Unidentified armed forces ("unknown gunmen")			
	Less frequently used codes			
CVL	civilians: sometimes used as catch-all for individuals.			
BUS	business: individuals companies, and enterprises, not including MNCs			
EDU	educators, schools, students, or organizations dealing with education			
MED	individuals and organizations dealing with health (see Note 3)			
LAB	formally or informally organized labor in services or manufacturing			
AGR	formally or informally organized agricultural labor; peasants			
JRN	journalists, newspapers, radio, television, web sites (see Note 3)			
REF	refugees and internally displaced persons			
REL	religious organizations and institutions			
SOC	any organization or movement that is considered part of "civil society" not			
	otherwise covered here			
CRM	individual criminals and criminal gangs			

#### Notes:

- 1. For militarized groups, we are dropping the INS (insurgent) and SEP (separatist) distinctions incorporated into CAMEO during the research phase of ICEWS: these can be resolved on the basis of the group identity and group objectives are frequently ambiguous in any case.
- 2. The CAMEO "ELI" code for elites such as former government officials has been discontinued because it was ambiguous: these individuals are now CVL
- 3. Two modifications of CAMEO sector codes: in CAMEO 'MED' was "media" and 'HLH' was "medical" but no one could remember those.

# Chapter 5

# **Data Fields**

Note: This section is still under development and is not likely to be of interest to most readers.

In addition to providing a coding ontology, PLOVER is also intended to provide a standardized data exchange format using *named* data fields instead of the current system where the content of data fields is usually determined by *location* in some delimited format such as .csv. Standardizing these field names will simplify the merging and reuse of datasets, and such data are far easier for a human to read.

Despite the apparent complexity of the formats discussed here, note that the only required field we have added to "event data classic" is the id identifier, so the simplest form of an event record would look like

```
{
"id" : "PHOXv1-20160724-0042",
"date" : 2016-07-24,
"actor" : [{"code":"USA"}],
"recipient" : [{"code":"CAN"}],
"event" : ["CONSULT"]
}
```

For ease of parsing and use, we suggest formatting PLOVER in newline-delimited JSON (JSONL) format, with each event formatted as one valid JSON entry, each on a separate row.

Except in the small number of cases where a standard format is specified, the content of the field is left open, and in particular "number" should be interpreted as "number or code": for example instead of providing the number of individuals killed, a dataset might use a set of categories giving ranges. Similarly, fields such as context can take multiple values: typically these would be formatted using a JSON "array" structure—which is to say, a list—but responsibility for handling these details is left to the data provider and users. Providers should feel free to include named fields beyond those provided here but if a data set codes or extracts information corresponding to one of the existing fields, please use that name.

Name Content Note Required? id unique identifier Υ 1 Ν has\_event event has been coded (True/False) 5 Y date date in YYYY-MM-DD format 2 time ISO 8601-formatted time N date in YYYY-MM-DD format enddate N endtime ISO 8601-formatted time 2 N actor list of entity objects Y list of entity objects N recipient Y event list of event categories location object for event Ν event\_loc list of texts of event event\_text Ν 1. 2. 3 or 4 N quad\_code event\_scale floating point scale value Ν list of modes mode 3 Ν list of contexts 3 Ν context link link identifier 4 N N text text from which the record was coded 6 text\_info textInfo object for text Ν cite\_info citeInfo object for text N coder coder identification Ν coded\_date date of coding Ν time of coding in ISO 8601-formatted time 2 coded\_time Ν comment Ν any text

Table 5.1: PLOVER JSON

#### Notes:

- 1. The identifier should be unique within the data set; it is the responsibility of the user to reconcile identifiers across data sets
- 2. ISO 8601 allows a number of different formats for times depending on the level of detail. Formatting should be such that a string of the form date + 'T' + time should yield an ISO-8601 datetime.
- 3. event, mode and context fields can have multiple entries; they do not need to resolve to a single value, and in fact this is likely to occur fairly frequently in classifier-based systems which work with the general sense of a sentence, in contrast to dictionary-based systems which look for specific sets of words. Multiple event categories would be used in a single record if the source and recipient actors are the same; they would resolve to multiple records if the source and recipient actors are different, as might occur in a compound sentence.
- 4. This can be used to create a common reference across multiple related events, such as demonstrations in multiple locations organized by the same group.
- 5. This is typically set to False when the record is part of a pre-processing pipeline
- 6. This slot will only be filled when the creator of the record has appropriate intellectual property rights for the text: this tends to be the exception rather than the rule

Name Content code 3-char top-level entity code (e.g., country) sector 3- or 6-char sector (GOV, MIL, etc) extracted text for source entity\_text identifier\_id unique identifier ID for source [see Note 1]  $identifier\_text$ unique identifier name for source [see Note 1] religion religion (code or text) ethnicity ethnicity (code or text) office office or official position (code or text) gender gender (code or text) age integer

Table 5.2: Information object for entities

#### Notes:

1. These fields would be used to resolve the name of an entity that occurs in multiple forms—for example "Islamic State", "IS", "ISIS", "Daesh"—into a single form or code. This should be the Wikidata ID for the entity. For example, the Islamic State's is https://www.wikidata.org/wiki/Q2429253 and its canonical Wikidata name is "Islamic State of Iraq and the Levant".

Table 5.3: Information object for text

Name	Content
sequence	sequence number of sentence
start	character offset for start of text
end	character offset for end of text
text_story	list of sentences from full story text

Table 5.4: Information object for size

Name	Content
dead	number killed
injured	number injured
arrested	number arrested

## Notes:

1. These fields are included as standard names because they are most likely to be used in event systems, but users should feel free to add additional fields for numbers that are not related to location.

Table 5.5: Information object for citations

Name	Content		
corpus	name or other identifying information		
citation	bibliographic citation or database identifier for text		
url	URL for text		
title	title for text		
language	language of text (ISO 639-1 two-letter codes)		
publication	name of text publisher		
license	license covering text		
copyright	copyright covering text		
coder	identifying information for any event extraction system used		
codebook	reference for the codebook used to code the text,		
	e.g. plover-base-1.3.1 or plover-protest-0.3		
version	version of data set		

Table 5.6: Location object: location information returned by Mordecai3, drawing from the Geonames database.

Name	Content			
extracted_place	original place name extracted from the text			
$resolved\_place$	name of geographical location the event was resolved to (uni-			
	code). The rest of the fields provide more information on the			
	returned location			
geonameid	integer id of record in geonames database			
lat	latitude in decimal degrees			
lon	longitude in decimal degrees			
city	name of the city			
district	name of the admin 2 (district/county) level			
province	name of the admin 1 (province/governorate/state) level			
country	name of the country			
country_code	country code (3 character ISO code, to match the ac-			
	tor/recipient countries			
$feature\_class$	high-level code, such as A for area or P for populated place.			
	see http://www.geonames.org/export/codes.html			
$feature\_code$	detailed feature type, such as PPLX for			
	neighborhood, ADM2 for district, etc. See			
	http://www.geonames.org/export/codes.html			
resolution	code indicating the level of resolution. 5=sub-city, 4=city,			
	3=district, 2=province, 1=country			

# 5.1 Adding to PLOVER: protest example

OEDA was founded on the principle that there should not be "one data set to rule them all": different implementations will have different strengths. As an example, a protest-specific coder could add more fields to the event record for things like the participant size (a numeric amount or size category), the number of people who were injured, the number of people arrested, etc. This section briefly outlines how PLOVER could be extended to code specific event types in greater detail. A protest-optimized coder could also include protest-specific contexts like the ones in Table 5.7.

Table 5.7: PROTEST contexts

Name	Content		
election	elections		
political	political and constitutional reforms		
economic	economy, jobs		
food	food, water, subsistence		
env-disaster	environmental issues, disasters incl. earthquakes, floods, fires		
discrimination	ethnic discrimination, ethnic issues		
religion	religious discrimination, religious issues		
education	education		
foreign	foreign affairs/relations		
war	domestic war, violence, terrorism		
rights	human rights, democracy		
pro-govt	pro-government		
independence	independence or separatist movements		

Adapted from Salehyan and Hendix, *Social Conflict Analysis Database* (SCAD) Version 3.2: https://www.strausscenter.org/images/codebooks/SCAD\_32\_Codebook.pdf

# Chapter 6

# CAMEO vs. PLOVER.

As noted in the introduction, CAMEO was originally developed for academic research under U.S. National Science Foundation funding in the early 2000s, and was based on the WEIS system. The canonical citation for CAMEO is Schrodt et al. (2009), and the detailed manual, ca. 2012, is found at http://eventdata.parusanalytics.com/data.dir/cameo.html. The CAMEO event framework was very much the work of Deborah Gerner and Ömür Yilmaz, with contributions by various coders in the Kansas Event Data System project; the enitity framework was strongly influenced by the VRA "IDEA" coding system developed in the late 1990s (Bond et al., 2003). The CAMEO manual contains an extended discussion of the issues considered in transitioning from WEIS to CAMEO. Additional details on the development of the automated coding underlying CAMEO can be found in Schrodt (2006) or http://eventdata.parusanalytics.com/utilities.dir/KEDS.History.0611.pdf.

Considerable additional work on CAMEO was done in the early 2010s first in the context of the DARPA ICEWS research program, then later in the operational deployment of ICEWS by teams at BBN and Lockheed which was eventually incorporated into the Dataverse public data: details of this work on found in the internal documentation of that data.

# 6.1 Summary of changes

- A set of standardized names ("fields") for JSON (http://www.json.org/) records are specified for both the core event data fields and for extended information such as geolocation and extracted texts; most of these fields are optional and where available we use existing specifications, for example the http://geonames.org/geographical location field names, ISO-3166 country identifiers and ISO-8601 date and time formats.
- Only the 2-digit event "cue categories" have been retained from CAMEO.
- The details in the 3- and 4-digit categories are now delegated to the optional mode and context fields: see Section 2.1 for further discussion of this.
- A set of scaled "PLOVER scores" has been systematically derived from the "Goldstein scores" found in the ICEWS data set.
- The CAMEO 01 and 02 categories dealing with comments have been eliminated. 1

<sup>&</sup>lt;sup>1</sup>Ironically, this reverses a decision McClelland belatedly made—and later regretted—in the WEIS specification in the 1960s.

- The CAMEO 08 "YIELD" category has been split into verbal (CONCEDE) and material (RETREAT) components.
- The "target actor" event component was renamed recipient for clarity and to better match the terminology used in the NLP literature on event extraction (Halterman, 2020). "Source actor" was renamed actor to reduce confusion with the textual source of the event.
- Actor entries are now standardized using references to Wikipedia
- The complexity of substate codes has been limited, and the allowable substate modifiers have been substantially simplified.
- Standard optional fields have been defined for some categories, and recipient is optional in some categories.

#### 6.2 CAMEO to PLOVER translation

CAMEO code CAMEO text PLOVER category MAKE PUBLIC STATEMENT 01 dropped 02 APPEAL dropped 03 EXPRESS INTENT TO COOPERATE AGREE 04 CONSULT CONSULT ENGAGE IN DIPLOMATIC COOPERATION SUPPORT 05 ENGAGE IN MATERIAL COOPERATION COOPERATE 06 07 PROVIDE AID AID08 YIELD (081 to 083) CONCEDE YIELD (084 to 087) RETREAT 08 INVESTIGATE 09 ACCUSE 10 **DEMAND** DEMAND 11 DISAPPROVE ACCUSE 12 REJECT REJECT THREATEN THREATEN 13 14 PROTEST PROTEST 15 EXHIBIT FORCE POSTURE **MOBILIZE** REDUCE RELATIONS SANCTION 16 17 COERCE COERCE ASSAULT ASSAULT 18 19 **FIGHT** ASSAULT USE UNCONVENTIONAL MASS VIOLENCE 20 FIGHT (see Note 1)

Table 6.1: PLOVER equivalents to CAMEO cue categories

#### Notes:

- 1. For unconventional weapons, the mode in the FIGHT record would be set to unconventional.
- 2. Generally, everything at the 3- and 4-digit level should simply be reduced to the 2-digit cue category and converted accordingly. Depending on your specific application, you might

want to make some exceptions to this—for example a CAMEO "015: Acknowledge or claim responsibility" might be considered AGREE and a CAMEO "016: Deny responsibility" might be considered REJECT—but we are not making general recommendations on this. Except to suggest that for the benefit of those trying to replicate your work, you carefully document any such decisions.

# 6.3 Summary of event categories

Event Type	Quad	Modes	Requires Recipient	Other Fields
AGREE CONSULT SUPPORT CONCEDE	V-Coop.	Yes		
COOPERATE AID RETREAT	M-Coop.	Yes Yes	Yes	
DEMAND ACCUSE REJECT THREATEN	V-Conf.	Yes Yes Yes		
PROTEST SANCTION MOBILIZE COERCE ASSAULT	M-Conf.	Yes Yes Yes Yes Yes	Yes Yes Only if one-sided or SideAB, otherwise all participants in source	event_loc, dead, injured, size

Table 6.2: Quad categories in PLOVER

Quad category	PLOVER categories	Numeric
Verbal cooperation	AGREE, CONSULT, SUPPORT, CONCEDE	1
Material cooperation	COOPERATE, AID, RETREAT	2
Verbal conflict	DEMAND, ACCUSE, REJECT, SANCTION, THREATEN	3
Material conflict	PROTEST, MOBILIZE, COERCE, ASSAULT	4

#### 6.4 A note on CRIME

A separate event category for crime has been added and removed several times as PLOVER was being drafted. While criminal activity is important to capture in event data (Osorio, 2015; Osorio and Reyes, 2017), we have decided to not include a separate event category for it for several reasons:

1. Whether activity is criminal or not often depends on on the identity of the actor: actions undertaken by rebel groups may not fit within a definition of CRIME, while the same action

taken by a drug cartel might. We have generally tried to avoid relying on the identities of actors in order to define events, due in part to the implementation of past coders, which did not use actor information to code event types.

2. Crime overlaps with other event categories, especially ASSAULT, which would make it difficult to train a CRIME classifier that did not pick up events that better belong in other categories.

That said, PLOVER still includes mechanisms for crime-type events to be coded. Researchers who are interested in criminal behavior have two primary options for locating it in PLOVER events:

- 1. Identify events taken by criminal actors. As coders move away from hand-constructed dictionaries to resolve actors, many more groups will be coded. Researchers will be able to subset events to those undertaken by specific criminal groups (e.g. the Sinaloa Cartel) or by using the CRM actor code.
- 2. Use the **crime** and **illegal\_drugs** contexts: see Table 2.1.

#### 6.5 Some residual issues

In the discussions leading to the development of PLOVER, several additional open issues were raised that we have decided to remain agnostic on:

**Temporal markup:** This is emerging as a major issue in event extraction, particular among users who are interested in the long-standing objective of automated chronology generators. While there are some significant efforts on this in the NLP community—http://www.timeml.org/—we don't feel we currently have the experience required to make recommendations.

**De-duplication:** There is no consensus on this beyond noting that the widely-used "one-a-day filtering" is controversial, and it is a topic where there is currently active research and experimentation, so we're leaving it alone.

Required entities: PLOVER, in contrast to CAMEO, makes the recipient optional for some event types. One outstanding question is whether the actor should also be optional for some event types. Some event types often leave the actor implicit, for example, "4 people were arrested/killed in a suicide bombing etc." These have no explicit, named actor so they will not be coded by PLOVER. Similarly, many natural disasters do not fit neatly into an entity-centric approach to coding ("mudslides destroyed dozens of houses"). We could consider relaxing this requirement to increase our recall, but at the potential cost of more false positives and greater conceptual complexity.

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