

Great American Insurance Group

Exchange/Rollover/Transfer Request

into an IRA or non-qualified annuity



1. Owner/annuitant information for account to be exchanged/rolled over/transferred (please complete all sections)

Owner name _____

Joint owner name _____

Owner EIN/SSN _____

Joint owner SSN _____

☐ Check here if owner and annuitant are the same, or complete information below

☐ Check here if joint owner and joint annuitant are the same, or complete information below

Annuitant/participant name _____

Joint annuitant/participant name _____

Annuitant/participant SSN _____

Joint annuitant/participant SSN _____

2. Transferring institution information

Existing carrier name _____

Existing carrier street address (required) _____

Carrier phone _____

Existing account number _____

Existing carrier fax (if available) _____

Send paperwork: ☐ By mail ☐ By fax

Complete section 3 for qualified accounts. Complete section 4 for non-qualified accounts.

3. Qualified accounts

From: ☐ Traditional 403(b) ☐ Traditional IRA
☐ Roth 403(b) ☐ SEP IRA
☐ Governmental 457(b) ☐ SIMPLE IRA
☐ Roth Governmental 457(b) ☐ Roth IRA
☐ Pension/Profit Sharing/401(k) ☐ Inherited IRA
☐ Roth 401(k)

To: ☐ Traditional IRA
☐ Roth IRA
☐ SEP IRA
☐ SIMPLE IRA
☐ Inherited IRA (not available for spouse)

RMD status: Select one box if you will be over age 70½ in the year of the exchange/rollover/transfer.

- ☐ No RMD is required for the current year.
☐ I have already requested or taken my RMD for the current calendar year from the surrendering company account.
☐ This is an IRA-to-IRA direct transfer, and I will be responsible for calculating and taking my RMD after the transfer.

The required beginning date for distributions from a TSA, IRA, 401 plan and Governmental 457 plans is April 1 following the calendar year in which you reach age 70½ (or a later year in which you retire, if not an IRA).

4. Non-qualified accounts

- ☐ Standard 1035 exchange (non-qualified annuity or life insurance to a non-qualified annuity)
☐ Post death 1035 exchange (non-qualified annuity into a non-qualified annuity; not available for a spouse)
☐ I certify that the exchange is occurring before the first anniversary of the decedent's death.
☐ I certify that a 72(s) payment was taken before the exchange and before the first anniversary of the decedent's death.
☐ Non-qualified transfer from CD, stock, brokerage account or other

In exchange for the contract issued by the GAIG company, I hereby make a complete and absolute assignment and transfer to the GAIG company all of my rights, title, and interest of every nature and character in and to the existing contract or account and its proceeds, or to the specified portion thereof.

