

Appoint under BD Dist. 68445 Reps covered by Blanket E&O policy



AGENT CONTRACT APPLICATION **Agent Number** (Home Office Use Only) FIRST NAME NATIONAL PRODUCER NUMBER LAST NAMI SOCIAL SECURITY NUMBER ПМ ΠF TYPE OF APPOINTMENT (SELECT ALL THAT APPLY) CONTRACT TYPE | LLC* | CORPORATION* | PARTNERSHIP TAXPAYER ID NUMBER (IF CORPORATION) CRD NUMBER (IF REGISTERED REP) ☐ LIFE ■ ANNUITY ☐ VARIABLE UL ☐ SOLE PROPRIETORSHIP* ☐ INDIVIDUAL RESIDENCE ADDRESS - STREET, CITY, STATE, ZIP RESIDENCE TELEPHONE BUSINESS NAME (DBA) BUSINESS TELEPHONE BUSINESS ADDRESS - STREET, CITY, STATE, ZIP PREFERRED MAILING CELL PHONE ☐ RESIDENCE ADDRESS ☐ BUSINESS ADDRESS EMAIL ADDRESS (REQUIRED) PREFERRED CONTACT ☐ RES. PHONE ☐ BUS PHONE ☐ CELL PHONE ☐ EMAIL BROKER/DEALER NAME (IF REGISTERED REP) PROFESSIONAL DESIGNATION CLU ChFC LUTCF BB&T INVESTMENT SERVICES, INC. (80-0078024) SECURITIES LICENSES □6 □7 □24 □26 □63 BROKER/DEALER ADDRESS (IF REGISTERED REP BROKER/DEALER CRO# (IF KNOWN) 200 SOUTH COLLEGE ST - 8TH FLOOR, CHARLOTTE NC 28202 33856 PLEASE RESPOND TO ALL QUESTIONS FOR YOU PERSONALLY AND ANY ORGANIZATION OVER WHICH YOU HAVE EXERCISED CONTROL. IF YOU ANSWER "YES" TO ANY QUESTIONS, YOU MUST ATTACH AN EXPLANATION WITH ALL RELEVANT INFORMATION AND SUPPORTING DOCUMENTS. ☐ Yes ☐ No 1. Have you ever been convicted, pled guilty or nolo contender, or do you have pending charges to a felony or misdemeanor? If yes, attach copy of court records. ☐ Yes ☐ No 2. Have you ever had any regulatory action taken against you, or had your insurance or securities license denied, suspended, terminated or revoked by an insurance department, FINRA or any other regulatory agency? ☐ Yes ☐ No 3. Have you ever had a complaint filed or do you anticipate a complaint being filed against you by a consumer, an insurance department, FINRA or any other regulatory agency? ☐ Yes ☐ No 4. Has your contract or appointment ever been terminated involuntarily by an insurer or FINRA member firm? ☐ Yes ☐ No 5. Has any claim ever been made against you, your surety company or errors and omissions insurer arising out of insurance and/or securities sales? ☐ Yes ☐ No 6. Are you currently involved or ever been involved in litigation? ☐ Yes ☐ No 7. Do you have past due financial obligations, unsatisfied judgments or liens, including any delinquent state or federal tax obligations? ☐ Yes ☐ No 8. Have you ever filed bankruptcy? ☐ Yes ☐ No 9. Does any person or entity claim any indebtedness from you as a result of any insurance transaction or business? ■ Yes □ No | will conform to the procedures outlined in the "Compliance Manual" and all company product guides. Please list all relatives who are currently licensed to sell life insurance, including annuities. Name SSN Relationship Name Relationship SSN CONDITIONS AND AGREEMENTS - By signing this application, I hereby acknowledge I have has knowledge of my employment history, credit history, financial status, or record of any illegal read a specimen copy of the proposed contract and all applicable supplements and addendums activity to (a) obtain a record of such history, status or activities and (b) hereby authorize the thereto to be entered into between myself and Midland National Life Insurance Company (Midland release of such information by such organization or individual in connection with this application National). I agree to be bound by all of the terms and conditions of such contract, supplements and (c) authorize Midland National or any of its affiliates to release information about any debit and addendums, which includes applicable commission schedule(s), and further agree that upon balance I may incur to Vector One, it's successors, or any organization designated to replace authorization to solicit business by Midland National, such contract, supplements and addendums Vector One. This authorization shall remain valid and in effect during the term of my contract. We shall be legally binding on me without further action required on my part. Thereafter, such contract, reserve the right to obtain subsequent consumer reports and/or investigative consumer reports on supplements and addendums shall govern my relationship with Midland National, a personalized copy of which shall be made available to me by Midland National by electronic delivery. I agree not to solicit business until I have been notified by Midland National that I am authorized to do an as needed basis. Any Marketing materials which have not been provided by Midland National must be approved by Midland National prior to their use. I understand that any specimen sales brochures and material so. I represent and warrant that all information and answers to questions are true and complete. I have received are provided only for my personal examination of product provisions and rates. I understand the Fair Credit Reporting act requires Midland National to notify me that, A photocopy of this authorization shall be as valid as the original, regardless of the date it is signed. as a routine part of processing my contract application, a consumer report may be 'Affiliate means any company owned, directly or indirectly, by Sammons Financial Group, Inc. obtained which may include information bearing on my credit worthiness, credit standing, credit capacity, character, general reputation, and personal characteristics or mode of living. I further authorize Midland National or its affiliates to obtain a consumer report and Vector One report in connection with this contract application. I further authorize Midland National or any of its affiliates or their duly authorized representatives to contact any organization or individual who AGENT AUTHORIZATION - Under penalties of perjury, I certify that: 1) The Social Security Number or Taxpayer Identification Number shown on this form is my correct Taxpayer Identification Number (or I am waiting for a number to be issued to me), and 2) I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding. AGENT SIGNATURE OFFICER SIGNATURE I have reviewed the above application and I hereby recommend this agent contract for consideration by Midland National. DATE 68445 · If Office er of a Corporation, LLG, Partnership, or Sole Proprietorship please sign both as Agent and Officer.

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Completed form should be forwarded to the appropriate Life or Annuity Division at the address below.