## Lecture 10

### **One-hot encoding and Word Count**

#### Course Outcome:

- 1. Apply One-Hot Encoding and Bag of Words to a small dataset.
- 2. Analyze the Strengths and Limitations of OHE & BoW

Introduction- One-hot encoding and Word Count

Both One-Hot Encoding (OHE) and Word Count (Bag of Words - BOW) are fundamental text representation techniques in Natural Language Processing (NLP).

#### One-hot encoding

One-Hot Encoding is a technique used in Natural Language Processing (NLP) and Machine Learning to represent categorical data as binary vectors.

## Key Idea:

- Each unique word (or category) is represented as a vector of 0s and 1s.
- The word's position in the vocabulary (vocab) is marked as 1, while all other positions remain 0.
- Used in text processing, sentiment analysis, deep learning (Neural Networks, RNNs, Transformers).

Question 1. Given a set of sentences, how do we represent words numerically so that a computer can process them?

Think: What if we assign each word a unique identifier?

Ans: step 1: Create the Vocabulary of Unique Words List:

## **Sample Dataset**

Let's assume we have the following sentences in our dataset:

- 1. "The phone is excellent."
- 2. "The battery performance is excellent."
- 3. "The phone has excellent battery life."

#### Step 1: Create the Vocabulary

Extract unique words across all text samples

Index	Word	One-Hot Encoding
0	The	[1, 0, 0, 0, 0, 0, 0, 0]
1	phone	[0, 1, 0, 0, 0, 0, 0, 0]
2	is	[0, 0, 1, 0, 0, 0, 0, 0]
3	excellent	[0, 0, 0, 1, 0, 0, 0, 0]
4	battery	[0, 0, 0, 0, 1, 0, 0, 0]
5	performance	[0, 0, 0, 0, 0, 1, 0, 0]
6	has	[0, 0, 0, 0, 0, 0, 1, 0]
7	life	[0, 0, 0, 0, 0, 0, 0, 1]

Vocab: ["The", "phone", "is", "excellent", "battery", "performance", "has", "life"]

Question 2: How can we represent the first review "The phone is excellent"

#### Answer:

★ Each word is assigned a unique vector with 1 where the word appears and 0 elsewhere.

Sentence 2: The battery performance is excellent.

```
[
[1, 0, 0, 0, 0, 0, 0, 0, 0],
[0, 0, 0, 0, 1, 0, 0],
[0, 0, 0, 0, 0, 1, 0, 0],
[0, 0, 1, 0, 0, 0, 0, 0],
[0, 0, 0, 1, 0, 0, 0, 0]]
]
```

Sentence 3: The phone has excellent battery life.

```
[
    [1, 0, 0, 0, 0, 0, 0, 0, 0],
    [0, 1, 0, 0, 0, 0, 0, 1, 0],
    [0, 0, 0, 0, 0, 0, 1, 0],
    [0, 0, 0, 1, 0, 0, 0, 0],
    [0, 0, 0, 0, 1, 0, 0, 0],
    [0, 0, 0, 0, 0, 0, 0, 1]
]
```

## Question 2: Can you come up with a different Approach?

## Example: Sentence-Level One-Hot Encoding

- ★ Sentences: 
   "The phone is excellent."
- 2 "The battery performance is excellent."
- 3 "The phone has excellent battery life."
- **V** Step 1: Create a Vocabulary
- Extract unique words from all sentences:
- ★ Vocab: ["The", "phone", "is", "excellent", "battery", "performance", "has", "life"]
- Step 2: Convert Sentences into One-Hot Encoding Vectors

Sentence	The	phone	is	excellent	battery	performance	has	life
"The phone is excellent."	1	1	1	1	0	0	0	0
"The battery performance is excellent."	1	0	1	1	1	1	0	0
"The phone has excellent battery life."	1	1	0	1	1	0	1	1

Analogy for One-Hot Encoding: The Word Pool and Vocabulary Selection

Imagine a Pool of Words (Vocabulary)

Think of all the words in a language as a huge pool of water.

- This pool contains millions of words—both useful and irrelevant for our task.
- But we don't need all words, so we create a small vocabulary (subset of words) that is important for our task.
- ✓ Example: If we want to analyze sentiment in restaurant reviews, we only select words like: ["delicious", "amazing", "terrible", "bad", "service", "slow"]
- We ignore words like "chair", "table", "fork" because they don't affect sentiment.
- One-Hot Encoding: The Selected Words Become Unique Identifiers
- \*Now, each word in our vocabulary gets a unique identity (vector)—like assigning it a seat in a stadium.

- Example: Suppose our vocabulary is:
- ["delicious", "amazing", "bad", "terrible", "slow", "great"]

Now, we encode each word as a binary vector where:

- 1 means the word is present.
- 0 means it is absent.
- Sentence-level One-Hot Encoding: Used for tasks like text classification and sentiment analysis (treats sentence as a whole).
- Word-level One-Hot Encoding: Used for tasks like word embeddings, RNNs, and sequence modeling (treats words individually).

Limitations of one-hot encoding?

## Limitations: Why One-Hot Encoding is Being Replaced?

Problem	Why It's an Issue?	Better Alternative
High Dimensionality	If we have 10,000 words, we need a <b>10,000- column matrix</b>	✓ Word Embeddings (Word2Vec, BERT)
Doesn't Capture Meaning	"Great" and "Awesome" are treated as separate, unrelated words	☑ Embeddings (Vectors capture meaning)
Memory Inefficiency	Mostly <b>sparse</b> (many 0s), wasting memory	▼ Compressed Embedding     Models

- 1. **High Dimensionality (Curse of Dimensionality):** A vocabulary of 10,000 words requires each word to be represented by a 10,000-dimensional vector, with only one active element (1), leading to wasted memory.
- Lack of Semantic Meaning: One-hot encoding treats all words as independent entities
  without any notion of similarity. Example: The words "King" and "Queen" would have
  completely different one-hot vectors, even though they are
  semantically related.
- **3. Not Scalable for Large Datasets:** In real-world NLP tasks (e.g., training GPT models), vocabularies can reach millions of words, making one-hot encoding impractical.
- **4. No Context Awareness:** One-hot encoding does not consider word meaning or word usage in different contexts.

Example:

Sentence 1: "He went to the bank to withdraw money."

Sentence 2: "She sat by the bank of the river."

The word "bank" has different meanings but is treated identically in one-hot encoding.

### Applications of One hot encoding:

Used in Text Processing before Moving to Advanced Embeddings

- Before deep learning, One-Hot Encoding and word count was a standard way to represent words for machine learning models.
- Many datasets contain categorical features (e.g., "Yes/No", "Red/Blue/Green"). One-Hot Encoding converts these categories into numbers so that machine learning models can process them.

#### Code of OHE:

```
from sklearn.preprocessing import OneHotEncoder import numpy as np

# Given words in reviews
vocab = np.array(["the", "phone", "is", "great", "battery", "bad", "has", "good", "life"]).reshape(-1, 1)

# Initialize One-Hot Encoder
encoder = OneHotEncoder(sparse=False)

# Apply One-Hot Encoding
one_hot_encoded = encoder.fit_transform(vocab)

# Display Encoded Vectors
for word, encoding in zip(vocab.flatten(), one_hot_encoded):
    print(f"{word}: {encoding}")
```

#### Word Count

Word count is a basic text processing technique used to determine how many times each word appears in a given document or text corpus.

# Basic Word Count Example

Let's take a simple **sentence**:

#### Sentence:

★ "The food was good, and the service was good too."

#### ◆ Step 1: Tokenize (Split) Words

We remove punctuation and split the sentence into words:

#### **♦ Step 2: Count Word Occurrences**

Word	Count
the	2
food	1
was	2
good	2
and	1
service	1
too	1

#### ▼ Final Word Count Representation:

```
{"the": 2, "food": 1, "was": 2, "good": 2, "and": 1, "service": 1, "too": 1}
```

Review is represented as:

[2,1,2,2,1,2,1,2,2,1]

Applications of Bag of Words (BOW):

#### 1. Text Classification & Sentiment Analysis

**BoW** is used to classify text into categories such as spam detection, fake news detection, or sentiment analysis.

## **Example:**

- Task: Classify movie reviews as positive or negative.
- Dataset:

- Review 1: "The movie was excellent, I loved it!" <a>V</a> (Positive)
- Review 2: "Worst movie ever, complete waste of time!" X (Negative)

## ★ How BoW Helps?

- Words like "excellent", "loved" appear more in positive reviews.
- Words like "worst", "waste" appear more in negative reviews.
- ML models (Naïve Bayes, Logistic Regression, SVM) use these BoW features to classify reviews.

#### 2. Word Cloud Example Using Bag of Words (BoW) in Python

## 

A **Word Cloud** is a **visual representation of text data**, where the size of each word represents its **frequency or importance**. It is still widely used in:

- Customer Feedback Analysis (Amazon, Flipkart, Google Reviews)
- Social Media Analysis (Twitter trends, YouTube comments)
- News Analytics (Finding trending topics in news articles)
- SEO & Content Marketing (Finding frequently used keywords)

#### Limitations of BOW?

X 1. Ignores Word Order (Loses Context)

#### **Problem:**

BoW does not consider **word sequence**, so "not happy" and "happy not" are treated **the same**, even though they have different meanings.

## **Example:**

- Sentence 2: "The movie was good." <a>✓</a> (Positive)

## 

- Both sentences may have similar BoW word counts ("movie", "was", "good"), but the first one is negative while the second is positive.
- Solution: Use N-Grams (bigrams, trigrams) or Transformers to preserve word order.

X 2. High Dimensionality & Sparsity

#### **Problem:**

BoW creates **huge sparse matrices** because each document is represented as a **vector with** as many features as the **vocabulary size**.

## **Example:**

 If the vocabulary size = 100,000 words, then each document will be a 100,000-dimensional vector, mostly filled with zeros.

## 

- Consumes a lot of memory.
- Slows down training and prediction.

Solution: Use TF-IDF to reduce feature space or dimensionality reduction techniques like PCA.

X 3. Cannot Capture Word Meaning or Synonyms

### roblem:

BoW treats synonyms as separate features, ignoring the fact that "amazing", "great", and "fantastic" all have similar meanings.

## **Example:**

- "The food was amazing!"  $\rightarrow$  ["food", "was", "amazing"]
- $\bullet \quad \text{"The food was great!"} \to [\,\text{"food", "was", "great"}\,]$

## 

- "amazing" and "great" should contribute similarly to sentiment analysis, but BoW treats them as completely different words.
- ✓ Solution: Use Word Embeddings (Word2Vec, GloVe, BERT) that learn word relationships.
- X 4. Fails for Long Documents & Real-Time Applications

#### Problem:

• Longer documents naturally have **higher word counts**, leading to **biased models**.

 BoW models need retraining every time new data is added, making it inefficient for real-time NLP.

## PExample:

 A 500-word blog post will have much higher word counts than a 10-word customer review, even if both talk about the same product.

## 

• BoW models **favor longer documents** because they have **more words**, even if the sentiment or topic is the same.

## Solution:

- Use TF-IDF (which normalizes word importance instead of raw count).
- Use Neural Networks with Embeddings instead of BoW for large-scale NLP.

★ Summary: Comparing OHE & BoW Limitations				
Limitation	One-Hot Encoding (OHE)	Bag of Words (BoW)		
X High Dimensionality	Needs large binary vectors	Needs large word count vectors		
X Ignores Word Order	No sequence information	No sequence information		
★ Does Not Capture Meaning	"great" and "excellent" treated separately	"happy" and "joyful" treated separately		
★ Fails with     Out-of-Vocabulary Words	Cannot handle new words	Cannot handle new words		
X Not Suitable for Large Datasets	Huge sparse matrices	High memory consumption		
X Poor Performance on Long Texts	All words have equal weight	Longer texts dominate shorter ones		

## Better Alternatives:

- Word Embeddings (Word2Vec, FastText, BERT) → Capture meaning & context.
- $\mathsf{TF}\text{-}\mathsf{IDF} \to \mathsf{Reduces}$  high dimensionality & normalizes word importance.
- Transformers (BERT, GPT-4) → Handle word relationships, context, and meaning.

## Code of BOW

https://colab.research.google.com/drive/1puXZaq6QKGMy1th3CqsDwZQcr7PMom26#scrollTo=3rv5J3eR4B3N