## A Levelized Comparison of Pulsed and Steady-State Tokamaks

by

## Daniel Joseph Segal

B.S. Engineering Physics, University of Wisconsin (2014)

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Author	
	Department of Nuclear Science and Engineering
	November 11, 2018
	1, 2010
Certified by	
v	Jeffrey P. Freidberg
	KEPCO Professor Emeritus
	Thesis Supervisor
Certified by	
v	Anne E. White
	Cecil and Ida Green Associate Professor
	Thesis Reader
Accepted by	
1	Ju Li
	Battelle Energy Alliance Professor
Chai	r, Department Committee on Graduate Students

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#### Abstract

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The goal of fusion energy research is to build an economically competitive reactor.

This is difficult due to the complicated system composing a reactor and the nonlin-11

earities it entails. Practically, to even get to the neighborhood of an economic reactor

requires hundreds of simulations – which in turn necessitate quick running fusion

systems codes. Moving towards these economic reactors then involves finding what

design parameters provide the most leverage in lowering reactor costs.

As highlighted by the difference between European and American designs, however,

the most important decision for tokamaks is whether to run them as pulsed or steady-

state. This paper aims to fairly compare the two modes of operation using a single,

comprehensive model. Benchmarked against other codes, this model actually shows

that no fusion reactor is achievable without some technological advancements. This

can be seen through every referenced design using nonstandard values of H and  $N_G$ . 21

The interesting result this paper shows is that developing high-temperature super-

conducting (HTS) tape could actually make both steady-state and pulsed tokamaks

economically competitive against solar and coal. Further, this HTS tape actually has

different best uses for the two modes of operation, appearing in the magnet structures

of: TF coils for steady state and the central solenoid for pulsed. Developments in

this technology should produce economic reactors within the coming decade.

Thesis Supervisor: Jeffrey P. Freidberg

Title: Professor of Nuclear Science and Engineering (Emeritus)

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## $_{\scriptscriptstyle{263}}$ Chapter 1

## Introducing Fusion Reactor Design

The central goal of fusion energy research is to build an economically competitive nuclear reactor. It has long been joked, though, that fusion power will always be 266 twenty years away. This is mainly due to the nonlinearities inherent to a reactor 267 system and the high upfront cost of building a new machine. The model developed 268 for this paper uses standard theory and empirical fits to find cost trends from this 269 nonlinear system. An important conclusion is that building an economic reactor using 270 existing technology would be impossible. One solution may be improving magnet 271 technology – as MIT is exploring with high-temperature superconducting (HTS) tape. 272 As can be seen by comparing the European and American/Asian fusion reactor design 273 efforts, though, one of the most important decisions is whether to run the reactor as 274 pulsed (EU<sup>1</sup>) or steady-state (US<sup>2</sup> and Korea<sup>3</sup>). The distinction between the two 275 mainly manifests itself in the choice of auxiliary current drive: inductive for pulsed and lower hybrid for steady-state.<sup>4</sup> With the model built for this thesis, it is possible 277 to perform a direct comparison of these two modes of operations. 278 Due to the speed and simplicity of the model, hundreds of reactors can be simulated 279 in minutes. Further, the model has been benchmarked against other ones from the literature, 2,5-7 allowing it to answer several critical questions regarding the compari-281 son of the two modes of operation. A major finding of this is that HTS tape should

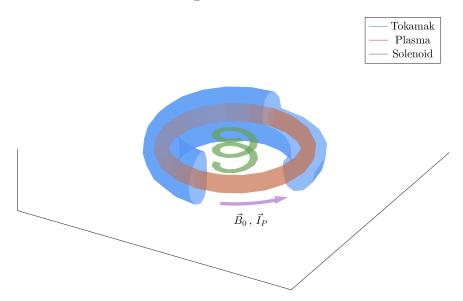


Figure 1-1: Cut-Away of Tokamak Reactor

The three main components of a magnetic fusion reactor are: the tokamak structure, the plasma fuel, and the spring-like solenoid at the center. Here, the directions of the magnetic field  $(B_0)$  and plasma current  $(I_P)$  variables are shown to be in the toroidal direction.

<sup>283</sup> appear in different places for the two modes of operation: within the central solenoid <sup>284</sup> for pulsed machines and inside the TF coil magnets for steady-state ones.

## 285 1.1 Distinguishing Pulsed from Steady-State

The leading candidate for the first economic, power-producing fusion reactor is a tokamak. As shown in ??, tokamaks are doughnut-shaped metal structures that use magnets to confine their fusion-grade plasmas. The challenge in building such a device comes from the various physics and engineering constraints it must satisfy – i.e. not surpassing acceptable levels of neutron damage, plasma pressure, etc.

One of the most contentious points of reactor design, however, is whether to run it as: pulsed (the European effort¹) or steady-state (the American/Asian approach²,³).

Here, pulsed operation refers to how a reactor is ramped up and down several times

a day. Whereas steady-state implies a machine is functionally kept ramped up the

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#### Pulsed vs Steady-State Operation

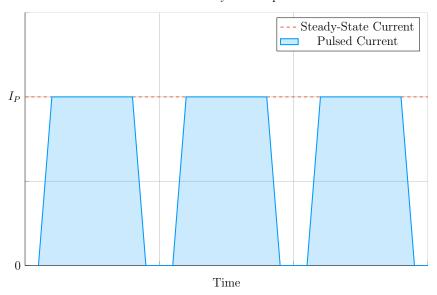


Figure 1-2: Comparison of Pulsed and Steady-State Current

Inside a pulsed reactor, current is ramped up and down several times a day – with downtime in-between. Steady state reactors are meant to remain on for weeks or months.

entirety of its fifty-year campaign. These behaviors are shown in ??. The difficulties involves with the two modes of operation are then: cyclical stresses for pulsed and expensive current drive for steady state.<sup>4</sup>

The main way these two modes of operation, *pulsed* and *steady-state*, influence reactor design, though, is through the current balance equation (derived later). What this means practically is a tokamak plasma requires some current to stay in equilibrium and this current has to be partially generated by auxiliary systems: inductively for pulsed and non-inductively for steady-state. To fairly compare the two modes of operation thus requires a generalized handling of current balance that can incorporate both auxiliary systems.

### $_{5}$ 1.2 Pricing a Fusion Reactor

To truly compare tokamaks used as fusion reactors, though, the obvious metrics are costs. ITER – the most expensive experiment in the world<sup>8,9</sup> – has a history full of

countries backing out for high construction costs and rejoining only after they finally get lowered.<sup>4</sup> The problem is \$20B is a lot of money and 20 years is a long time.

Moreover, approximating true costs is difficult due to the need to project (or neglect)
economies-of-scale for expensive components, such as the superconducting magnets
and irradiated materials.

Therefore, this paper adopts stand-ins for the conventional capital cost and costper-watt metrics. This is done for simplicity, both in: formulating the relations and conveying the two metrics to physicists. The approximation for the capital cost – how much a tokamak costs to build – is the magnetic energy.<sup>10</sup>

$$W_M \propto R^3 B^2 \tag{1.1}$$

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In this magnetic energy proportion relation, the tokamak's major radius -R – is involved in a volumetric term  $(R^3)$  and B is the strength (in Teslas) of the toroidal magnetic field. This quantity simply states that the two surefire ways to make a machine more expensive are to build it bigger and to use stronger magnets. As these terms also improve confinement, this cost introduces a trade-off between size and magnet technology. This is why the proposed ARC reactor – designed with HTS tape – could be half the size of ITER, which uses conventional LTS technology.

The next metric, the cost-per-watt, is defined by dividing the capital cost (i.e. the magnetic energy) by the main source of power output. For a tokamak, this source of power is fusion – discussed in more detail in ??. The cost-per-watt thus measures how economically competitive a reactor will be once it is build. This is how to compare the rate of return for different base-load power sources (e.g. fission, coal, and solar).

$$\tilde{C}_W = \frac{W_M}{P_F} \tag{1.2}$$

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A final correction can be made on the cost-per-watt to account for reactor downtime,

which is fundamental to pulsed operation. This is handled through the duty factor  $(f_{Duty})$  that is defined as the ratio of a reactor's quasi-steady-state flattop duration to the entire pulse length of a tokamak. In the context of the cost-per-watt, it scales down the fusion power:

$$C_W = \frac{W_M}{f_{Duty} \cdot P_F}$$
 (1.3)

337

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For a steady-state reactor, this duty factor is assumed to be held at one. Pulsed machines, on the other hand, can see around thirty minutes of downtime, which leads to duty factors around 80%. Analysis in ??, however, shows that pulsed reactors may also have duty factors near unity.

Combined, these two cost metrics allow designers to pinpoint economically competitive tokamaks within reactor space. Although not rigorous in an engineering context, these capital cost and cost-per-watt approximations do provide true physics meaning while comparing different machines – whether they run as pulsed or steady-state.

### 6 1.3 Modeling Fusion Systems

Before reactors can be priced, though, they have to be modeled. Therefore the first 347 half of this thesis is devoted to the theory behind tokamak design. Emphasis is placed 348 more on a physicist's intuition than an engineer's costing rigor. This is justified by 349 the nonlinearities inherent to fusion systems and rationalized by this paper's results 350 matching more sophisticated models with high fidelity. 351 Stepping back, a fusion systems model is an approach to designing reactors based on satysfying various physics and engineering constraints. Zero-dimensional (0-D) 353 systems models are then a particular subclass of these that reduce the inherently 354 3-D problem of design to a collection of scalar, averaged values. This reduction in 355

complexity allows models to be orders of magnitude faster. The natural corollary of

this is that hundreds of reactors can be simulated in minutes.

Within the context of reactor design, these 0-D systems models serve an important role due to their speed and simplicity. Although not truly self-consistent,\* these 359 models are capable of exploring large areas of reactor space. This is especially impor-360 tant in the early stages of tokamak planning when researchers are selecting a design 361 point. These models also have use in finding general costing trends – as shown in this document. 363

What makes this paper's systems model different from other ones, though, is its gen-364 eralized handling of both modes of tokamak operation: pulsed and steady-state. This 365 was necessitated by a desire to fairly compare the two. The most fundamental result of this analysis is that both modes are actually capable of leading to economically 367 competitive reactors – assuming some technological advancements. 368

#### Discussing HTS Magnet Technology 1.4

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As mentioned, no economically competitive fusion reactor can be built using existing technology – regardless of whether it runs as pulsed or steady-state. This is why MIT 371 has been exploring HTS magnet technology for their Arc reactor in an effort to nearly 372 double the maximum achievable field strength. What this paper shows is that this 373 logic is indeed correct and HTS may be the final magnet advancement needed for the 374 conventional fusion paradigm (i.e. D-T fuel, H-Mode, etc.) 375 More concretely, this paper shows that new HTS technology is capable of lowering 376 reactor costs – both for pulsed and steady-state operation. Further, this HTS tape has 377 different uses within the two modes of operation – as set by cost concerns (see ????). 378 This analysis shows that HTS should be employed in the TF coils for steady-state reactors and in the central solenoid for pulsed ones. This is because pulsed machines 380 require lower toroidal field strengths, which are achievable with less expensive LTS magnets.

<sup>\*</sup>For speed concerns, 0-D fusion systems models often ignore self consistency in quantities like pressure profiles and use empirical fits to estimate values such as the confinement time.

Now that the problem has been thoroughly introduced, we will go over the theory behind steady-state and, then, pulsed tokamaks. A couple detours will be taken along the way to show how the model can be incorporated into a fusion systems code. This code – Fussy.jl – is the topic of ?? and is freely available at:

git.io/tokamak

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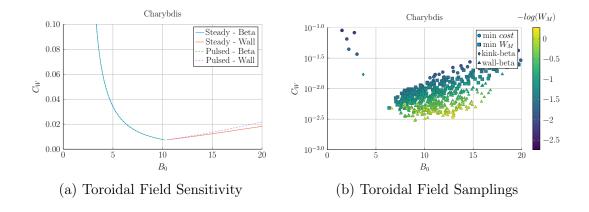


Figure 1-3: Steady State Magnet Components

Steady-state reactors benefit from increased toroidal field strength until neutron wall loading starts to dominate design (at around 10-15 T for Charybdis). This is well within the range accessible to HTS magnets.

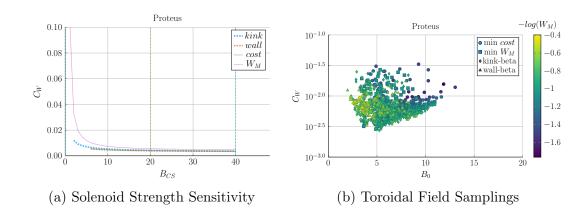


Figure 1-4: Pulsed Magnet Components

Pulsed reactors are shown to receive strong decreases in reactor cost as the central solenoid field strength is increased, until around 20 T. However, the TF coils do not receive the same cost reduction with field strength – as shown by the minimum cost appearing at 5 T.

## $_{**}$ Chapter 2

## Designing a Steady-State Tokamak

This chapter explores a simple model for designing steady-state tokamaks. In the next couple chapters, the model is first formalized for use in a systems code and then 392 generalized to handle pulsed operation. These derivations highlight that the only 393 difference between the two modes of operation is how they generate their auxiliary 394 plasma current: LHCD for steady-state operation and inductive sources for when a 395 reactor is purely pulsed. 396 Along the way, equations will be derived that get rather complicated. To remedy the situation, a distinction between dynamic and static values is now given, which will 398 allow splitting most equations into static and dynamic parts. Dynamic values – i.e. 399 the tokamak's major radius  $(R_0)$  and magnet strength  $(B_0)$ , as well as the plasma's 400 current  $(I_P)$ , temperature  $(\overline{T})$ , and density  $(\overline{n})$  – are first-class variables in the model 401 (see ??). Everything is derived to relate them. Static values, on the other hand, can 402 be treated as code inputs, which remain constant throughout a reactor solve. These 403 most obviously include the various geometric and profile parameters introduced next 404 section. 405 The overall structure of this chapter, then, is built around developing an equation for plasma current in a steady-state tokamak. It is shown that this value arises from 407 balancing current in a reactor using both a plasma's own bootstrap current  $(I_{BS})$ , as well the tokamak's auxiliary driven current  $(I_{CD})$ . These relations necessitate geometric parameters and plasma profiles, which will be given shortly. Along the way, definitions will also be needed for the Greenwald density  $(N_G)$  and the fusion power  $(P_F)$ . What is shown is that the current does not actually depend directly on the major radius  $(R_0)$  or magnet strength  $(B_0)$  of a tokamak – allowing these variables to be put off until next chapter.

## 2.1 Defining Plasma Parameters

As mentioned previously, the zero-dimensional model derived here can closely approx-416 imate solutions from higher-dimensional codes that might take many hours to run. 417 The essence of boiling down three-dimensional behaviors to one dimensional profiles 418 - and zero-dimensional averaged values - begins with defining the most important 419 plasma parameters. These are the: current density (J), temperature (T), and density 420 (n) of a plasma. 421 Solving this problem most generally usually involves decoupling the geometry of the 422 plasma from the shaping of its nearly parabolic radial-profiles – both of which will be 423

### <sup>425</sup> 2.1.1 Understanding Tokamak Geometry

explained shortly.

The first thing people see when they look at a tokamak is its geometry – see ??. How big is it? Is it stretched out like a bicycle tire or compressed to the point of being nearly spherical? Would a slice across the major radius result in two cross-sections that were: circular, elliptic, or triangular?

These questions lend themselves to the three important geometric variables – the

inverse aspect ratio  $(\epsilon)$ , the elongation  $(\kappa)$ , and the triangularity  $(\delta)$ . The inverse

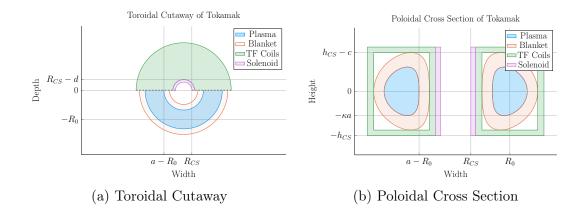


Figure 2-1: Geometry of a Tokamak

This diagram is of a tokamak's toroidal (top) view and the poloidal cross section of a slice across the major axis. Included are the four components of a reactor: the plasma, it's metallic blanket, the toroidal field magnets surrounding them, and the central solenoid. These have thicknesses of a, b, c and d, respectively.  $R_{CS}$  is where the solenoid starts.

aspect ratio is a measure of how stretched out the device is, or formulaically:

$$a = \epsilon \cdot R_0 \tag{2.1}$$

This says that the minor radius (a), measured in meters, is related to the major radius of the machine  $(R_0)$  through  $\epsilon$ . Or more tangibly, the minor radius is related to the two small cross-sections that result from a slice across the major radius of the machine.

The remaining two geometric parameters –  $\kappa$  and  $\delta$  – are related to the shape of the torn halves. As the name hints, elongation ( $\kappa$ ) is a measure of how stretched out the tokamak is vertically – is the cross-section a circle or an oval? The triangularity ( $\delta$ ) is then how much the cross-sections point outward from the center of the device. All three's effects can be seen in ??. Their exact usage within describing flux surfaces is shown in ??.

These geometric factors allow the volumetric and surface integrals governing fusion power and bootstrap current to be condensed to simple radial ones – see ????. The only remaining step is to define the radial profiles for: the density, temperature, and

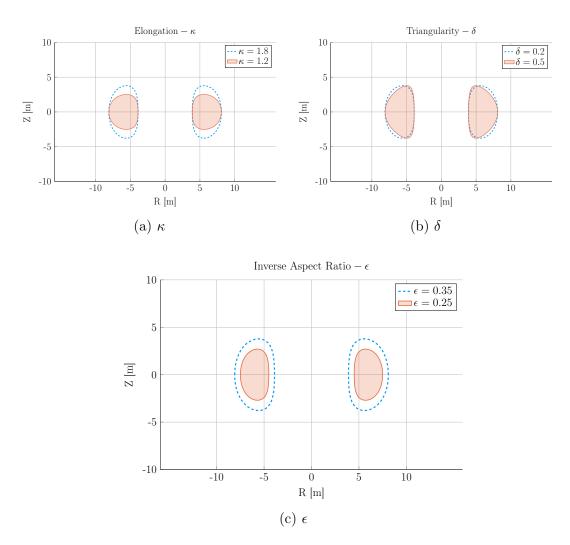


Figure 2-2: Geometric Parameters

These three geometric parameters allow the toroidal cross-sections to scale radially, stretch vertically, and become more triangular – thus improving upon simple circular slices.

446 current of a plasma.

### 2.1.2 Prescribing Plasma Profiles

The first step in defining radial profiles is realizing that all three quantities are essentially parabolas – i.e. the temperature, density and current density, shown in ??, are

peaked at some radius (usually the center) and then decay to zero somewhere before

the walls of the tokamak enclosure.

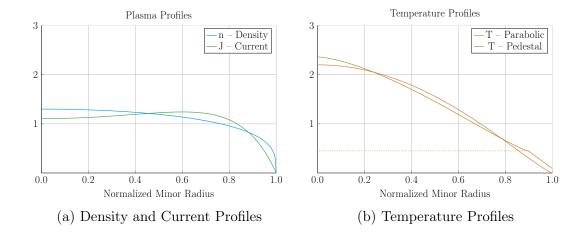


Figure 2-3: Radial Plasma Profiles

The three most fundamental profiles of a fusion plasma are its temperature, density, and current. These allow the model to reduce from three dimensions to just half of one.

Although not self-consistent, these profiles do capture enough of the physics to approximate relevant phenomenon, such as transport and fusion power.<sup>11</sup>

#### The Density Profile

To begin, density has the simplest profile. This is because it is relatively flat, remaining near the average value  $-\overline{n}$  – throughout the body of the plasma until quickly decaying to zero near the edge of the plasma.\* For this reason, a parabolic profile with a very low peaking factor –  $\nu_n$  – is well suited.

$$n(\rho) = \overline{n} \cdot (1 + \nu_n) \cdot (1 - \rho^2)^{\nu_n}$$
(2.2)

The reason  $\overline{n}$  is referred to as the volume-averaged density is because using the volume integral – given by ?? – over the density profile results in that value after dividing through by the volume (V):

$$\overline{n} = \frac{\int n(\mathbf{r}) \, d\mathbf{r}}{V} \tag{2.3}$$

<sup>\*</sup>Even in H-Mode plasmas where density profiles have a pedestal, <sup>12</sup> they usually have much less of a peak than temperatures <sup>13</sup> – especially so in a reactor setting. <sup>14</sup>

A final point to make is this parabolic profile allows for a short closed-form relation for the Greenwald density limit – substantially simplifying this fusion systems model.

#### 464 The Temperature Profile

The use of a parabolic profile for the plasma temperature is slightly more dubious. This is because H-Mode plasmas are actually highly peaked at the center, decaying to a non-zero pedestal temperature near the edge before finally dropping sharply to zero. This model chooses to forego this pedestal representation for a simple parabolic one – although the pedestal approach is discussed in ??. Analogous to the density, the profile treats  $\overline{T}$  as the average value and  $\nu_T$  as the peaking parameter.

$$T(\rho) = \overline{T} \cdot (1 + \nu_T) \cdot (1 - \rho^2)^{\nu_T} \tag{2.4}$$

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#### The Current Density Profile

The plasma current density is the third profile and cannot safely be represented by a simple parabola. This is because having an adequate bootstrap current relies heavily on a profile being peaked off-axis – i.e. at some radius not at the center. This hollow profile can then be modeled with the commonly given plasma internal inductance  $(l_i)$ . Concretely, the current's hollow profile is described by:

$$J(\rho) = \bar{J} \cdot \frac{\gamma^2 \cdot (1 - \rho^2) \cdot e^{\gamma \rho^2}}{e^{\gamma} - 1 - \gamma}$$
 (2.5)

The intermediate  $\gamma$  quantity can then be numerically solved for from the plasma internal inductance using the following relations – with  $b_p$  representing the normalized poloidal magnetic field. These are derived in  $\ref{eq:property}$ ?

$$l_i = \frac{4\kappa}{1+\kappa^2} \int_0^1 b_p^2 \,\rho \,d\rho \tag{2.6}$$

$$b_p(\rho) = \frac{-e^{\gamma \rho^2} (\gamma \rho^2 - 1 - \gamma) - 1 - \gamma}{\rho (e^{\gamma} - 1 - \gamma)}$$
(2.7)

Combined, these three geometric parameters and profiles lay the foundation for this zero-dimensional fusion systems model.

## <sup>484</sup> 2.2 Solving the Steady Current

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As suggested, one of the most important equations in a fusion reactor is current balance. In steady-state operation, all of a plasma's current  $(I_P)$  must come from a combination of its own bootstrap current  $(I_{BS})$ , as well as auxiliary current drive  $(I_{CD})$ . This can be represented mathematically as:

$$I_P = I_{BS} + I_{CD} \tag{2.8}$$

The goal is then to write equations for bootstrap current and driven current. This will make heavy use of the Greenwald density limit. The steady current will then be shown to be only a function of temperature! In other words, this current is independent of a tokamak's geometry and magnet strength. As will be pointed out then, though, a subtlety arises that will bring the two back into the picture – self-consistency in the current drive efficiency  $(\eta_{CD})$ .

### 2.2.1 Enforcing the Greenwald Density Limit

The Greenwald density limit is a density limit that applies to all tokamaks It sets a hard limit on the density and how it scales with current and reactor size. Although currently lacking a true first-principles theoretical explanation, it does have a real meaning within the design context. Operate at too low a density and run the risk of never entering H-Mode. Run the density too high, and cause the tokamak's plasma to disrupt. These conclusions can be seen in ??.

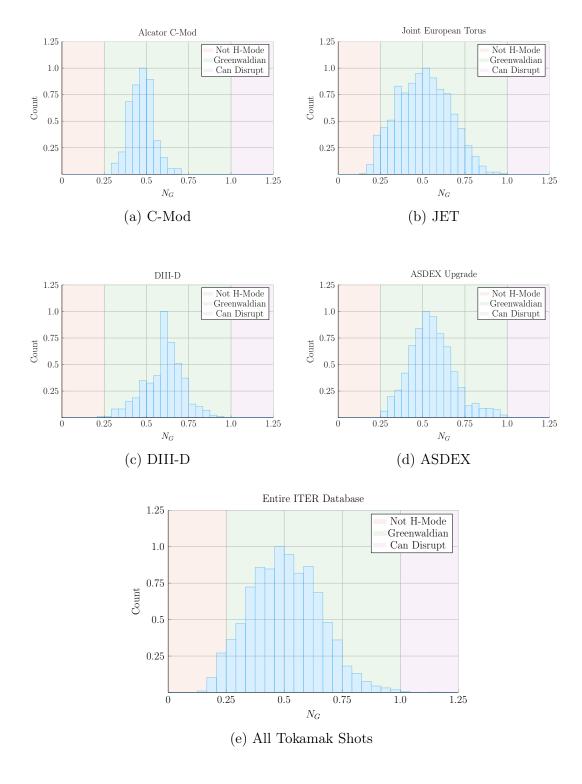


Figure 2-4: Greenwald Density Limit

The Greenwald Density Limit is a robust metric of what densities an H-Mode plasma can attain. Although empirical in nature, it accurately predicts when a tokamak will undergo degraded plasma transport.<sup>15</sup>

As no theoretical backing exists, the Greenwald density limit can simply be written (with citation) as:<sup>15</sup>

$$\hat{n} = N_G \cdot \left(\frac{I_P}{\pi a^2}\right) \tag{2.9}$$

Here,  $\hat{n}$  has units of  $10^{20} \frac{\text{particles}}{\text{m}^3}$ ,  $N_G$  is the Greenwald density fraction, and  $I_P$  is again the plasma current (measured in mega-amps). The final variable is then the minor radius – a – which was previously defined through:

$$a = \epsilon \cdot R_0 \tag{??}$$

The next step is transforming the *line-averaged* density  $(\hat{n})$  into the *volume-averaged* version  $(\overline{n})$  used in this model. Harnessing the simplicity of the density's parabolic profile allows this relation to be written in a closed form as:

$$\hat{n} = \frac{\sqrt{\pi}}{2} \cdot \left( \frac{\Gamma(\nu_n + 2)}{\Gamma(\nu_n + \frac{3}{2})} \right) \cdot \overline{n}$$
 (2.10)

Where  $\Gamma(\cdots)$  represents the gamma function: the non-integer analogue of the factorial function.

Combining these pieces allows the volume-averaged density to be written in standardized units as:

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$$\overline{n} = K_n \cdot \left(\frac{I_P}{R_0^2}\right) \tag{2.11}$$

$$K_n = \frac{2N_G}{\epsilon^2 \pi^{3/2}} \cdot \left(\frac{\Gamma\left(\nu_n + \frac{3}{2}\right)}{\Gamma\left(\nu_n + 2\right)}\right)$$
 (2.12)

The format of the previous equation pair will be used throughout the remainder of the paper. The top equation relates dynamic variables (i.e.  $\overline{n}$ ,  $I_P$ , and  $R_0$ ), while the static-value coefficient  $(K_n)$  lumps together static quantities, such as:  $N_G$ ,  $\epsilon$ , 2,  $\pi$ , and  $\nu_n$ .

#### <sup>19</sup> 2.2.2 Declaring the Bootstrap Current

The first term to define in current balance, ??, is the bootstrap current. This bootstrap current is a mechanism of tokamak plasmas that helps supply some of the current needed to keep a plasma in equilibrium. Its underlying behavior stems from particles stuck in banana-shaped orbits on the outer edges of the device propelling the majority species along their helical trajectories around the tokamak.

Utilizing the surface integral from ??, the bootstrap current  $(I_{BS})$  can be written in terms of the temperature and density profiles:

$$I_{BS} = 2\pi a^2 \kappa g \int_0^1 J_{BS} \rho \, d\rho \tag{2.13}$$

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$$J_{BS} = f\left(n, T, \frac{dn}{d\rho}, \frac{dT}{d\rho}\right)$$

$$\equiv -4.85 \cdot n \cdot T \cdot \frac{R_0 \sqrt{\epsilon \rho}}{\frac{d\psi}{d\rho}} \cdot \left(\frac{1}{n} \frac{dn}{d\rho} + 0.54 \frac{1}{T} \frac{dT}{d\rho}\right)$$
(2.14)

The second definition for the bootstrap current density –  $J_{BS}$  – comes from using well known theoretical results plus several simplifying assumptions, including the large aspect limit. The value of  $d\psi/d\rho$  is given in ??.

As shown later in the results, bootstrap fractions are often under-predicted by this model. This is due to parabolic profiles (i.e. for temperature) having much less steep declines near the edge (i.e. in their derivatives) than characteristic H-Mode profiles with pedestals. This implies that the area most positively impacted by a pedestal profile for temperature would be the bootstrap current derivation. The instructions to do so are given in ??.

Finally, summarizing the results of ??, the bootstrap current is found to be only a function of temperature and static variables! In standardized units, it can be written

539 as:

$$I_{BS} = K_{BS} \cdot \overline{T} \tag{2.15}$$

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$$K_{BS} = 4.879 \cdot K_n \cdot \left(\frac{1+\kappa^2}{2}\right) \cdot \epsilon^{5/2} \cdot H_{BS} \tag{2.16}$$

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$$H_{BS} = (1 + \nu_n)(1 + \nu_T)(\nu_n + 0.054\nu_T) \int_0^1 \frac{\rho^{5/2} (1 - \rho^2)^{\nu_n + \nu_T - 1}}{b_p} d\rho$$
 (2.17)

Quickly noting, this  $H_{BS}$  term serves as the analogue of static-value coefficients (e.g.  $K_{BS}$  and  $K_n$ ) when they contain an integral. And  $b_p$  represents the poloidal magnet strength given by Eq. ??.

#### 545 2.2.3 Deriving the Fusion Power

The next segue on our journey to solving for the steady current is deriving the fusion power  $(P_F)$ , which appears in current drive. A comprehensive introduction to this is given in ??. Summarized, though, a formula for fusion power from a D-T reaction – in megawatts – is given by the following volume integral:?

$$P_F = \int E_F \, n_D \, n_T \, \langle \sigma v \rangle \, d\mathbf{r} \tag{2.18}$$

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$$E_F = 17.6 \text{ MeV}$$
 (2.19)

The  $E_F$  quantity is the energy created from a deuterium-tritium fusion reaction. The  $n_D$  and  $n_T$  in this equation then represent the density of the deuterium and tritium ions, respectively. Assuming a 50-50 mix of the two, they can be related to the electron density – i.e. the one used in this model – through the dilution factor  $(f_D)$ . This dilution factor represents the decrease in available fuel from part of the plasma actually being composed of non-hydrogen gasses:

$$n_D = n_T = f_D \cdot \left(\frac{n}{2}\right) \tag{2.20}$$

The fusion reactivity,  $\langle \sigma v \rangle$ , is then a nonlinear function of the temperature, T, which the model approximates using the Bosch-Hale tabulation (described in the appendix). As this tabulated value appears inside an integral, it seems important to point out that the temperature is now the most difficult dynamic variable to handle – over  $R_0$ ,  $B_0$ ,  $\overline{n}$ , and  $I_P$ . This will come into play when the model is formalized next chapter. The next step in the derivation of fusion power is transforming the three-dimensional volume integral (see Eq. ??) into a zero-dimension averaged value. First, the volume analogue of the previously given surface-area integral is:

$$Q_V = 4\pi^2 R_0 a^2 \kappa g \int_0^1 Q(\rho) \rho \, d\rho \tag{2.21}$$

Where again, Q is an arbitrary function of  $\rho$  and g is a geometric factor approximately equal to one. The fusion power can now be rewritten as:

$$P_F = \pi^2 E_F f_D^2 R_0 a^2 \kappa g \int_0^1 n^2 \langle \sigma v \rangle \rho \, d\rho \tag{2.22}$$

567 In standardized units, this becomes:

$$P_F = K_F \cdot \overline{n}^2 \cdot R_0^3 \cdot (\sigma v)$$
 (2.23)

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$$K_F = 278.3 \cdot f_D^2 \cdot (\epsilon^2 \kappa g) \tag{2.24}$$

569 Where the standardized fusion reactivity is now,

$$(\sigma v) = 10^{21} (1 + \nu_n)^2 \int_0^1 (1 - \rho^2)^{2\nu_n} \langle \sigma v \rangle \rho \, d\rho$$
 (2.25)

570 At this point, the current drive needed for steady-state can now be defined.

### 571 2.2.4 Using Current Drive

As may have been lost along the way, this chapter's mission is to define a formula for steady current – from the current balance equation for steady-state tokamaks:

$$I_P = I_{BS} + I_{CD} \tag{??}$$

In standardized units, the equation for current drive is often given in the literature as: $^{16}$ 

$$I_{CD} = \eta_{CD} \cdot \left(\frac{P_H}{\overline{n}R_0}\right) \tag{2.26}$$

Here,  $\eta_{CD}$  is the current drive efficiency with units  $\left(\frac{\text{MA}}{\text{MW-m}^2}\right)$  and  $P_H$  is the heating power in megawatts driven by LHCD (and absorbed by the plasma).

Let it be known, though, that driving current in a plasma is hard! In fact, pulsed reactor designers (i.e. European fusion researchers) think it is so difficult, they may choose to forego it completely – focusing only on inductive sources that necessitate reactor fatigue and downtime.

A common current drive efficiency  $(\eta_{CD})$  seen in many designs is  $0.3 \pm 0.1$  in the standard units. It is however inherently a function of all the plasma parameters – with subtlety put off until the discussion of self-consistency. For now it assumed to have some constant/static value.

The remaining step in deriving an equation for driven current  $(I_{CD})$  is a formula for the heating power  $(P_H)$ . The way fusion systems models – like this one – handle the heating power is through the physics gain factor, Q. Sometimes referred to as big Q, this value represents how many times over the heating power  $(P_H)$  is amplified as it is transformed into fusion power  $(P_F)$ :

$$P_H = \frac{P_F}{Q} \tag{2.27}$$

Now, utilizing the previously defined Greenwald density and fusion power:

$$\overline{n} = K_n \cdot \left(\frac{I_P}{R_0^2}\right) \tag{??}$$

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$$P_F = K_F \cdot \overline{n}^2 \cdot R_0^3 \cdot (\sigma v) \tag{??}$$

The current from LHCD can be written as:

$$I_{CD} = K_{CD} \cdot I_P \cdot (\sigma v) \tag{2.28}$$

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$$K_{CD} = (K_F K_n) \cdot \frac{\eta_{CD}}{Q} \tag{2.29}$$

As  $\eta_{CD}$  and Q appear within a static coefficient, it is implied that both remain constant throughout a solve. This subtlety is lifted when handling  $\eta_{CD}$  self-consistently, which will be discussed shortly. However, even in that context, it proves beneficial to still think of  $\eta_{CD}$  as a sequence of static variables – set by the model rather than the user.

## 2.2.5 Completing the Steady Current

The goal of this chapter has been to derive a simple formula for steady current  $(I_P)$ .

The problem started with current balance in a steady-state reactor:

$$I_P = I_{BS} + I_{CD} \tag{??}$$

Two equations were then found for the bootstrap  $(I_{BS})$  and driven  $(I_{CD})$  current:

$$I_{BS} = K_{BS} \cdot \overline{T} \tag{??}$$

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$$I_{CD} = K_{CD} \cdot I_P \cdot (\sigma v) \tag{??}$$

Combining these three equations and solving for the total plasma current  $(I_P)$  – in mega-amps – yields:

$$I_P = \frac{K_{BS}\overline{T}}{1 - K_{CD}(\sigma v)} \tag{2.30}$$

This is the answer we have been seeking!

As mentioned before, this simple formula appears to only depend on temperature!\*
Apparently, the plasma should have the same current at some temperature (i.e.  $\overline{T} = 15 \text{ keV}$ ), regardless of the size of the machine or the strength of its magnets. This has the important corollary that each temperature maps to only one current value.
Further, each temperature would then map to a single magnet strength, capital cost, etc. (as shown next chapter).

As has become a mantra, though, the subtlety of this behavior lies in the self-

As has become a mantra, though, the subtlety of this behavior lies in the selfconsistency of the current-drive efficiency –  $\eta_{CD}$ .

## 16 2.3 Handling Current Drive Self-Consistently

Although a thorough description of the wave theory behind lower-hybrid current drive (LHCD) is well outside the scope of this text, it does motivate the solving of a tokamak's major radius  $(R_0)$  and field strength  $(B_0)$ . It also shows how what was once a simple problem has now transformed into a rather complex one – a common occurrence with plasmas.

The logic behind finding a self-consistent current-drive efficiency is starting at some plausible value (i.e.  $\eta_{CD}=0.3$ ), solving for the steady current – i.e.  $I_P=f(\overline{T})$  – and then somehow iteratively creeping towards a value deemed self-consistent. What this

<sup>\*</sup> This dependence only on temperature refers to dynamic variables. The plasma current can still be highly volatile to many of the static variables, such as:  $\epsilon$ ,  $\kappa$ ,  $N_G$ ,  $f_D$ ,  $\nu_n$ ,  $l_i$ , etc.

means is that in addition to the solver described in the last section, there needs to

be a black-box function that solutions are sent through to get better guesses at  $\eta_{CD}$ .

The black-box function we use is a variation of the Ehst-Karney model. 17

As mentioned, a self-consistent  $\eta_{CD}$  is found once a trip through the Ehst-Karney

black-box results in the same  $\eta_{CD}$  as was sent in – to some tolerable level of error.

This consistency incorporates an explicit dependence on the tokamak configuration.

631 Mathematically,

$$\tilde{\eta}_{CD} = f(R_0, B_0, \overline{n}, \overline{T}, I_P) \tag{2.31}$$

As such, to recalculate it after every solution of the steady current requires a value

for both  $B_0$  and  $R_0$  – the targets of this model's primary and limiting constraints.

These will be the highlight of the next chapter.

# $_{\scriptscriptstyle 635}$ Chapter 3

# Formalizing the Systems Model

The goal of this chapter is to take a step back from the steady current derivation and 637 see the larger picture behind reactor design. As such, a more in-depth description 638 of static and dynamic variables is given. This discussion of dynamic variables will 639 then lend itself to a description of the framework underpinning the fusion systems 640 model. As such, we will now need formulas for the radius and magnet strength of the 641 tokamak. Moving forward, the current will remain a connecting piece as we redirect 642 focus to pulsed tokamaks and compare the underlying solvers of the two schemes. 643 The end result of this analysis will then be equations that allow the density  $(\overline{n})$ , 644 current  $(I_P)$ , major radius  $(R_0)$ , and magnet strength  $(B_0)$  to be written as functions 645 of the temperature  $(\overline{T})$  and static variables (e.g.  $\nu_n$ ,  $N_G$ ,  $f_D$ ). These formulas are 646 the product of applying constraints required for all tokamak reactors with several other limiting constraints. The constraints relevant to all tokamak reactors are: the 648 Greenwald limit, current balance, and power balance. Limit constraints then include: 649 the Troyon beta limit, the kink safety factor, the wall loading limit, the maximum 650 power constraint, and the heat loading limit. 651 Actual methodologies for solving for the five dynamic variables simultaneously – i.e.  $\overline{T}$ ,  $\overline{n}$ ,  $I_P$ ,  $R_0$ ,  $B_0$  – are put off until ??.

## $_{\scriptscriptstyle{554}}$ 3.1 Explaining Static Variables

In this model, static variables are ones that remain constant while solving for a reactor. These include geometric scalings (i.e.  $\epsilon$ ,  $\delta$ ,  $\kappa$ ), profile parameters (i.e.  $\nu_n$ ,  $\nu_T$ ,  $\ell_i$ ), and a couple dozen of physics constants related to pulsed and steady-state design (e.g. Q,  $N_G$ ,  $f_D$ ). For a complete list of static variables, consult ??. The point to make now is that this model treats static variables as immutable objects. As such they often reside in static coefficients –  $K_{\square}$  – which are treated as constants.

# 3.2 Connecting Dynamic Variables

Dynamic variables  $-\overline{T}$ ,  $\overline{n}$ ,  $I_P$ ,  $R_0$ ,  $B_0$  – are the first-class variables of this fusion systems model. They represent the fundamental properties of a plasma and tokamak (which constitute a fusion reactor). As such, they will be reintroduced one at a time, explaining how they fit into the model – and which equations are capable of representing them.

Table 3.1: Dynamic Variables

Symbol	Name	Units
$\overline{I_P}$	Plasma Current	MA
$\overline{T}$	Plasma Temperature	$\mathrm{keV}$
$\overline{n}$	Electron Density	$10^{20}\mathrm{m}^{-3}$
$R_0$	Major Radius	$^{ m m}$
$B_0$	Magnetic Field	Τ

Bluntly, this fusion systems model is a simple algebra problem: solve five equations with five unknowns (i.e.  $\overline{T}$ ,  $\overline{n}$ ,  $I_P$ ,  $R_0$ ,  $B_0$ ). Although this naive approach would work, we can do a little better by collapsing these five equations down to just one. This was already done while deriving the steady current. It just happened that the current was not directly dependent on the tokamak size  $(R_0)$  or magnet strength  $(B_0)$ .

This will prove more challenging for the generalized current needed for pulsed operation. Even so, this equation will still be reduced to one equation with a single unknown –  $I_P$ . A solution to which can be solved much faster than the naive 5 equation approach. This is one reason the model is so fast.

The plasma temperature, measured in keV (kilo-electron-volts), is one of the most

## The Plasma Temperature – $\overline{T}$

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nonlinear variables in the fusion systems framework. It first proved troublesome 678 when it was shown that a pedestal profile – not a parabolic one used here – would be needed for an accurate calculation of bootstrap current. The black-box tabulation 680 for reactivity  $-(\sigma v)$  – which appeared in fusion power only further exposed this 681 nonlinearity. 682 Acknowledging that temperature is the most difficult to handle parameter prompts its use as the scanned variable. What this means practically is scanning temperatures 684 is the most straightforward method to produce curves of reactors. By example, a scan 685 may be run over the average temperatures  $(\overline{T})$ : 10, 15, 20, 25, and 30 keV – where 686 each corresponds to its own reactor with its own field strength  $(B_0)$ , plasma current 687

$$\overline{T} = const.$$
 (3.1)

The constant value, here, happens to be 10 keV in one run, 15 keV for the next, and 30 keV in the fifth.

#### <sup>691</sup> The Plasma Density $-\overline{n}$

 $(I_P)$ , etc. In equation form, this becomes:

The Greenwald density limit is a constraint with a simple form that applies to all tokamak reactors. It is for this reason – as well as being a good approximation – that a parabolic profile was rationalized over a pedestal (H-Mode) one. Repeated, the Greenwald density limit is:

$$\overline{n} = K_n \cdot \frac{I_P}{R_0^2} \tag{??}$$

This is an exceptionally simple relationship and why it guided the model. Unlike the next three variables, it is actually used in their derivations.

#### <sup>698</sup> The Plasma Current $-I_P$

The plasma current is what separates steady-state from pulsed operation. From before, the steady current was found to be:

$$I_P = \frac{K_{BS}\overline{T}}{1 - K_{CD}(\sigma v)} \tag{??}$$

This was derived by setting the total current equal to the two sources of current:
bootstrap and current drive. Or in fractional form,

$$I_P = I_{BS} + I_{CD} \rightarrow 1 = f_{BS} + f_{CD}$$
 (3.2)

This says that the current fractions of bootstrap and current drive must sum to one.

As shown next chapter, inductive sources can be included into this current balance:

$$1 = f_{BS} + f_{CD} + f_{ID} (3.3)$$

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This equation shows how steady-state and pulsed operation can coexist (see ??). The final point to make is reducing the model to being purely pulsed – i.e. neglecting the current drive:

$$1 = f_{BS} + f_{ID} (3.4)$$

Therefore, the next chapter will generalize the steady current to allow pulsed operation, and then simplify it to the purely pulsed case. Just as steady current faced self-consistency issues with  $\eta_{CD}$ , this current will also involve its own root solving conundrum – the description of which will be given in the following two chapters.

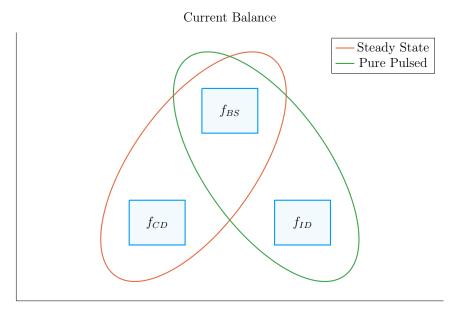


Figure 3-1: Current Balance in a Tokamak

In a tokamak, there needs to be a certain amount of current – and that current has to come from somewhere. All good reactors have an adequate bootstrap current. What provides the remaining current is what distinguishes steady state from pulsed operation.

#### The Tokamak Magnet Strength $-B_0$

The tokamak magnet strength has no unique equation to eliminate it. With foresight,
the one this model uses is the power balance inherent to every reactor. Similar to
current balance, power balance is what separates a reactor from a device incapable
of producing net electricity. As such, it is referred throughout this document as: the
primary constraint. It will be derived later this chapter.

#### The Tokamak Major Radius $-\ R_0$

Much like the magnet strength, the major radius has no unique relation to express
it. The model therefore uses this equation to handle a reactor's various physical
and engineering-based constraints. This list of requirements further restricts reactor
space to the curves shown in the results section. Collectively, these are referred to
as the limiting constraints – discussed later this chapter. These constraints all just
happen to depend on the size of the reactor – the reason they are chosen to represent

the radius.

## $_{727}$ 3.3 Enforcing Power Balance

What separates a reactor from a device incapable of producing net electricity is power balance. Within a tokamak, it accounts for how the power going into a plasma's core exactly matches the power coming out of it. To approximate this conservation equation, two sets of power will be introduced: the sources and the sinks.

The sources have mainly been introduced at this point – they include the alpha power  $(P_{\alpha})$  from fusion reactions and the heating power  $(P_H)$ , as well as a new ohmic power term  $(P_{\Omega})$ . The remaining two powers – the sinks – then appear through the radiation and heat conduction losses, which will be given shortly. In equation form, power balance becomes:

$$\sum_{sources} P = \sum_{sinks} P \tag{3.5}$$

or expanded to fit this model:

$$P_{\alpha} + P_H + P_{\Omega} = P_{BR} + P_{\kappa} \tag{3.6}$$

For clarity, the left-hand side of this equality are the sources. Whereas the remaining two are sinks, i.e. Bremsstrahlung radiation  $(P_{BR})$  and heat conduction losses  $(P_{\kappa})$ .

## $_{40}$ 3.3.1 Collecting Power Sources

As suggested, the two dominant sources of power in a tokamak are: alpha power  $(P_{\alpha})$  and auxiliary heating  $(P_{H})$ . From ??, it was determined that alpha particles (i.e. helium nuclei) carry around 20% of the total fusion power; or as we put it mathematically:

$$P_{\alpha} = \frac{P_F}{5} \tag{3.7}$$

Additionally, it was determined that the heating power is what was eventually amplified into fusion power – or through equation:

$$P_H = \frac{P_F}{Q} \tag{3.8}$$

The final source term then is the ohmic power  $(P_{\Omega})$ . This is identical to how copper wires in a home heat up as current runs through them. From a simple circuits picture, the power across the plasma is related to its current and resistance – in our standardized units – through:

$$P_{\Omega} = 10^6 \cdot I_P^2 \cdot R_P \tag{3.9}$$

This fusion systems model handles the plasma resistance  $(R_P)$  with the neoclassical Spitzer resistivity. Through equation,<sup>4</sup>

$$R_P = \frac{K_{RP}}{R_0 \overline{T}^{3/2}} \tag{3.10}$$

$$K_{RP} = 5.6e - 8 \cdot \left(\frac{Z_{eff}}{\epsilon^2 \kappa}\right) \cdot \left(\frac{1}{1 - 1.31\sqrt{\epsilon} + 0.46\epsilon}\right)$$
(3.11)

Combined with the Greenwald limit, ohmic power can be written more compactly as,

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$$P_{\Omega} = K_{\Omega} \cdot \left(\frac{\overline{n}^2 R_0^3}{\overline{T}^{3/2}}\right) \tag{3.12}$$

$$K_{\Omega} = 10^6 \cdot \frac{K_{RP}}{K_n^2} \tag{3.13}$$

With the sources defined, we are now in a position to discuss the two sink terms used in this model's power balance.

#### 759 3.3.2 Approximating Radiation Losses

All nuclear reactors emit radiation. From a power balance perspective, this means some power has to always be reserved to recoup from its losses – measured in megawatts. 761 In a fusion reactor, the three most important types of radiation are: Bremsstrahlung 762 radiation, line radiation, and synchrotron radiation. 763 This model chooses to only model Bremsstrahlung radiation – as it usually dominates 764 within the plasma's core. Within most designs, Bremsstrahlung radiation outweighs 765 the other two's contribution, to core power balance, two-to-one.<sup>2,7</sup> However, adding 766 the effects of line-radiation and synchrotron radiation would drive results closer to real-world experiments. For example, line-radiation would better account for the 768 effects of heavy impurities that are emitted from the divertor plate and first wall 769 For clarity, Bremsstrahlung – or breaking – radiation is what occurs when a charged 770 particle (e.g. an electron) is accelerated by some means. In a tokamak, this happens all the time as electrons collide with the ion species. 18 This term can be described by 772 the volume integral:<sup>4</sup>

$$P_{BR} = \int S_{BR} d\mathbf{r} \tag{3.14}$$

Where the radiation power density  $(S_{BR})$  is given by:

$$S_{BR} = \left(\frac{\sqrt{2}}{3\sqrt{\pi^5}} \cdot \frac{e^6}{\epsilon_0^2 c^3 h m_e^{3/2}}\right) \cdot \left(Z_{eff} \, n^2 \, T^{1/2}\right) \tag{3.15}$$

The constants in the left set of parentheses all have their usual physics meanings (i.e. c is the speed of light and  $m_e$  is the mass of an electron). What is new is the effective charge:  $Z_{eff}$ .

The effective charge is a scheme for reducing the charge each ion has to a single representative value. Fundamental charge, here, is what: neutrons lack, electrons and hydrogen have one of, and helium has two. As such, a plasma with a purely

deuterium and tritium fuel would have an effective charge of one. This value would

then quickly rise if a Tungsten tile – with 74 units of charge – were to fall into the plasma core from the walls of the tokamak.

Using the volume integral – seen in the derivation of fusion power – allows the
Bremsstrahlung power to be written in standardized units as:

$$P_{BR} = K_{BR} \ \overline{n}^2 \ \overline{T}^{1/2} R_0^3 \tag{3.16}$$

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$$K_{BR} = 0.1056 \frac{(1+\nu_n)^2 (1+\nu_T)^{1/2}}{1+2\nu_n+0.5\nu_T} Z_{eff} \epsilon^2 \kappa g$$
 (3.17)

This power term represents the radiation power losses involved in power balance. All that is needed now is a formula for heat conduction losses – one of the most difficult plasma behaviors to model to date.

#### 3.3.3 Estimating Heat Conduction Losses

Heat is energy that moves about randomly on a microscopic level. Macroscopically, it generally moves from hotter areas to colder ones. As hinted by the plasma profile for temperature, heat emanates from the center of a plasma and migrates towards the walls of its tokamak enclosure. It therefore is a critical quantity to calculate when balancing power in a plasma's core.

The difficulty of estimating heat conduction, though, lies in the nonlinear behaviors of plasmas – no theory or quick-running code can properly model it. As such, reactor designers have turned towards experimentalists for empirical scaling laws based on the dozen or so strongest tokamaks in the world. These are collectively referred to as confinement time scalings, i.e. the ELMy H-Mode Scaling Law.

The derivation of this heat conduction loss term  $(P_{\kappa})$  starts in a manner similar to the previous powers. To begin, an equation for  $P_{\kappa}$  can be found using the following volume integral:<sup>4</sup>

$$P_{\kappa} = \frac{1}{\tau_E} \int U d\mathbf{r} \tag{3.18}$$

This volume integral includes two new terms: the confinement time  $(\tau_E)$  and the internal energy (U). Before explaining these terms, a qualitative description is in order. As mentioned previously, the heat – or microscopically random – energy is captured by the internal energy (U). Then the confinement time  $(\tau_E)$  is how long it would take for the heat to undergo an e-folding if the device were suddenly turned off.

A formula for confinement time will be delayed till the end of this section, when it is needed to solve for the magnetic field  $(B_0)$ . The internal energy (U), however, can be given now as it has its typical physics meaning. This assumes that all three plasma species are held nearly at the same temperature (T) as the electrons:

$$U = \frac{3}{2} (n + n_D + n_T) T \tag{3.19}$$

Here again,  $n_D$  and  $n_T$  – the density of deuterium and tritium, respectively – are related to the electron density (used in this model) through the dilution factor, which assumes a 50-50 mix of D-T fuel:

$$n_D = n_T = f_D \cdot \left(\frac{n}{2}\right) \tag{3.20}$$

After several substitutions, the equations here can be combined to form an equation for  $P_{\kappa}$  – the heat conduction losses – in standardized units:

$$P_{\kappa} = K_{\kappa} \, \frac{R_0^3 \, \overline{n} \, \overline{T}}{\tau_F} \tag{3.21}$$

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$$K_{\kappa} = 0.4744 (1 + f_D) \frac{(1 + \nu_n) (1 + \nu_T)}{1 + \nu_n + \nu_T} (\epsilon^2 \kappa g)$$
 (3.22)

Now that all five terms have been defined in power balance, the next step is expanding it and solving for the tokamak's toroidal magnetic field strength:  $B_0$ .

### 3.3.4 Writing the Lawson Parameter

Before arriving at a formula for the magnet strength  $(B_0)$  using power balance, – it seems appropriate to take a detour and explain an intermediate solution: the Lawson Parameter. Within the fusion community, the Lawson Parameter is the cornerstone in any argument on the possibility of a tokamak ever being used as a reactor.

An equation for the Lawson Parameter – sometimes referred to as the *triple product*- is easily found in the literature as:

$$n \cdot T \cdot \tau_E = \frac{60}{E_F} \cdot \frac{T^2}{\langle \sigma v \rangle} \tag{3.23}$$

Similar to the steady current derived earlier, the right-hand side is only dependent on temperature. Further, as the left-hand side is a measure of difficult to achieve parameters, the goal is to minimize both sides. As shown in ??, this occurs when the plasma temperature is around 15 keV – a fact well known to many fusion engineers. As will be seen, this is a simplified result of our model. This is why  $\overline{T} = 15$  keV is not always the optimum temperature – but usually is in the right neighborhood for reasonable reactor designs.

As all the terms in power balance have already been defined, the starting point will be simply repeating the standardized equations for all five included powers.

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$$P_{\alpha} = \frac{P_F}{5} \tag{??}$$

 $P_H = \frac{P_F}{Q} \tag{??}$ 

 $P_{\Omega} = K_{\Omega} \cdot \left(\frac{\overline{n}^2 R_0^3}{\overline{T}^{3/2}}\right) \tag{??}$ 

 $P_{BR} = K_{BR} \ \overline{n}^2 \ \overline{T}^{1/2} R_0^3 \tag{???}$ 

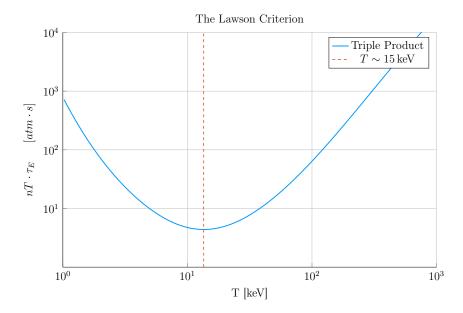


Figure 3-2: Power Balance in a Reactor

Power balance is what differentiates a reactor from a radiator. When cast as the Lawson Parameter for fusion, it explains why D-T plasmas often have a temperature around 15 keV.

$$P_{\kappa} = K_{\kappa} \, \frac{R_0^3 \, \overline{n} \, \overline{T}}{\tau_E} \tag{??}$$

With the fusion power again being,

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$$P_F = K_F \cdot \overline{n}^2 \cdot R_0^3 \cdot (\sigma v) \tag{??}$$

These can then be substituted into power balance:

$$P_{\alpha} + P_H + P_{\Omega} = P_{BR} + P_{\kappa} \tag{??}$$

After a couple lines of algebra, power balance can be rewritten in a form analogous to the triple product:

$$\overline{n} \cdot \overline{T} \cdot \tau_E = \frac{K_{\kappa} \overline{T}^2}{\left(K_P \left(\sigma v\right) + K_{OH} \overline{T}^{-3/2}\right) - K_{BR} \overline{T}^{1/2}}$$
(3.24)

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$$K_P = K_F \cdot \left(\frac{5+Q}{5\times Q}\right) \tag{3.25}$$

As expected, this shares a form similar to the simple Lawson Parameter:

$$n \cdot T \cdot \tau_E = \frac{60}{E_F} \cdot \frac{T^2}{\langle \sigma v \rangle} \tag{??}$$

The main difference is this model does not ignore ohmic power and radiation losses completely. The inclusion of radiation for example sometimes bars a range of temperatures from being physically realizable.\* With this intermediate relation in place, the goal is now to give a formula for the confinement time and solve it for the magnetic field strength  $(B_0)$  – thus giving the Primary Constraint.

#### 853 3.3.5 Finalizing the Primary Constraint

strength  $(B_0)$ . This choice to solve the equation for  $B_0$  was motivated by the goals 855 of analysis and how it will fit into the fusion systems model. To solve the primary 856 constraint, the confinement time scaling law will need to be introduced. At the end, 857 a convoluted – albeit highly useful – relation will be the reward. 858 The energy confinement time  $-\tau_E$  is one of the most difficult to obtain terms in all 859 of fusion energy. It is an attempt to reduce all the nonlinear behaviors of plasmas into a simple measure of how fast its internal energy would be ejected from the tokamak 861 if the device was instantaneously shut down. As such, reactor designers have turned 862 toward experimentalists for empirical scalings based on the world's tokamaks (see 863 ??). These all share a form similar to:

The goal now is to transform the Lawson Parameter into an equation for magnet

$$\tau_E = K_\tau H \frac{I_P^{\alpha_I} R_0^{\alpha_R} a^{\alpha_a} \kappa^{\alpha_\kappa} \overline{n}^{\alpha_n} B_0^{\alpha_B} A^{\alpha_A}}{P_{src}^{\alpha_P}}$$
(3.26)

<sup>\*</sup> The denominator of Eq?? has discontinuities when the  $K_{BR} \overline{T}^{1/2}$  term exactly equals the parenthesised one. Therefore, valid reactors only exist outside the discontinuities, when the entire triple product is finite and positive.

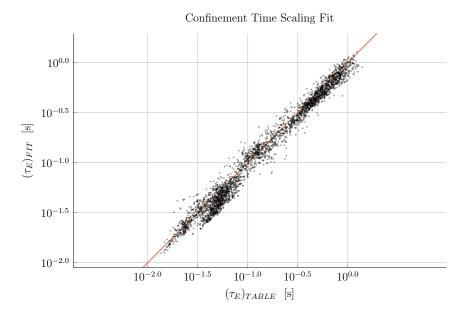


Figure 3-3: H-Mode Confinement Time Scaling

This plot shows how well the ELMy H-Mode Scaling Law does for fitting  $\tau_E$  to the ITER98 database of global tokamaks. For most values, the fit is at least 80% accurate with the measured value.

This regressional fit is how the field actually designs machines (i.e. ITER). Let it be known, though, that fits of this kind often do remarkable well, having relative errors less than 20% on interpolated data. The new terms in this equation are:  $P_{src}$ ,  $K_{\tau}$ , H, A, and the  $\alpha_{\square}$  factors.

First, the loss power is a metric used in the engineering community to quantify the power being transported out of the "core" of the plasma by charged particles (i.e. not the neutrons).<sup>6</sup> To optimize fits, experimentalists have defined this as a combination of the source power terms:

$$P_{src} = P_{\alpha} + P_H + P_{\Omega} \tag{3.27}$$

Moving on,  $K_{\tau}$  is simply a constant fit-makers use in their scalings. Whereas H is the enhancement factor over the empirical fit. Next, A is the average mass number of the fuel source, in atomic mass units. For a 50-50 D-T fuel, this is 2.5, as deuterium weighs two amus and tritium weighs three. Lastly, the alpha factors (e.g.  $\alpha_n$ ,  $\alpha_a$ ,  $\alpha_P$ ) are fitting parameters that represent each variable's relative importance in the scaling.

For ELMy H-Mode, this confinement scaling law can be written as:

$$\tau_E = 0.145 H \frac{I_P^{0.93} R_0^{1.39} a^{0.58} \kappa^{0.78} \overline{n}^{0.41} B_0^{0.15} A^{0.19}}{P_{src}^{0.69}}$$
(3.28)

However, similar scaling laws can be written for L-Mode, I-Mode, etc. One final remark to make before moving on is that even these fits have subtleties. The value of  $\kappa$ , for example, may have a slightly different geometric meaning from tokamak to tokamak. And the exact definition of loss power –  $P_{src}$  – introduces an even larger area of discrepancy.

Returning to the problem at hand, though, this model's Lawson Parameter (eq. ??)
can be simplified after expanding the left-hand side using the Greenwald density and
substituting in a confinement time scaling law. After a few lines of algebra, this can
be transformed into a formula for  $B_0$ !

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$$B_0 = \left(\frac{G_{PB}}{K_{PB}} \cdot \left(I_P^{\alpha_I^*} R_0^{\alpha_R^*}\right)^{-1}\right)^{\frac{1}{\alpha_B}}$$
(3.29)

 $G_{PB} = \frac{\overline{T} \cdot \left( K_P(\sigma v) + K_{\Omega} \overline{T}^{-3/2} \right)^{\alpha_P}}{\left( K_P(\sigma v) + K_{\Omega} \overline{T}^{-3/2} - K_{BR} \overline{T}^{1/2} \right)}$ (3.30)

 $K_{PB} = H \cdot \left(\frac{K_{\tau} K_n^{\alpha_n^*}}{K_{\kappa}}\right) \cdot \left(\epsilon^{\alpha_a} \kappa^{\alpha_{\kappa}} A^{\alpha_A}\right)$  (3.31)

Where we have added new starred alpha values for the density, current, and major radius:

$$\alpha_n^* = 1 + \alpha_n - 2\alpha_P \tag{3.32}$$

 $\alpha_I^* = \alpha_I + \alpha_n^* \tag{3.33}$ 

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$$\alpha_R^* = \alpha_R + \alpha_a - 2\alpha_n^* - 3\alpha_p \tag{3.34}$$

This equation for  $B_0$  – derived from power balance – is thus the primary constraint for reactor designs. It is the first step in connecting the plasma (i.e.  $\overline{n}$ ,  $\overline{T}$ , and  $I_P$ ) to its tokamak enclosure (i.e.  $B_0$  and  $R_0$ ). The remaining step is finding an equation – or in this case, equations – for the major radius of the device. These radius equations will collectively be referred to as: the limiting constraints.

## 3.4 Collecting Limiting Constraints

magnetic field strength.

As of now, the only missing equation within our list of static variables – i.e.  $R_0$ ,  $B_0$ ,  $\overline{T}$ ,  $\overline{n}$ , and  $I_P$  – is for the major radius of the tokamak. This equation will come from 902 around five potential limits, each either physical or engineering-based. These limits will then correspond to different curves through reactor space. As will be shown, 904 many of these reactors will be invalid (as they violate at least one of the other limits). 905 Our analysis is always based on selecting the most stringent criterion. 906 Before tackling the subject of finding reactors that exist on the fine line of satisfying 907 every limiting constraints, though, it is essential to collect them one-by-one. These 908 are: the Troyon Beta Limit, the Kink Safety Factor, the Wall Loading Limit, the 909 Power Cap Constraint, and the Heat Loading Limit. 910 The goal of this section is to solve for each of these constraints on the major radius. 911 As with the primary constraint, this choice of solving for  $R_0$  was not completely 912 unique, just motivated by physics and engineering concerns. It just so happens that 913 each limit described here depends on the size of a reactor – which is not true for the 914

### 916 3.4.1 Introducing the Beta Limit

The Beta Limit is the most important limiting constraint – especially for steadystate reactors. It sets a maximum on the amount of pressure a plasma is willing
to tolerate. As with future limiting constraints, literature-based equations will be
transformed into formulas for  $R_0$ . Each will then contain some limiting quantity that
can be handled by a static variable – as  $\beta_N$  will be used shortly.

The starting point for the beta limit is to define the important plasma physics quantity:  $\beta$  – the plasma beta. This value is a ratio between a plasma's internal pressure and the pressure exerted on it by the tokamak's magnetic configuration. Mathematically,<sup>4</sup>

$$\beta = \frac{\text{plasma pressure}}{\text{magnetic pressure}} = \frac{\overline{p}}{\left(\frac{B_0^2}{2\mu_0}\right)}$$
(3.35)

Using this model's temperature and density profiles, the volume-averaged pressure  $(\overline{p})$  can be written in units of atmospheres (i.e. atm) as:

$$\overline{p} = 0.1581 (1 + f_D) \frac{(1 + \nu_n) (1 + \nu_T)}{1 + \nu_n + \nu_T} \overline{n} \overline{T}$$
(3.36)

Moving forward, the final step is plugging this definition for plasma beta into the Troyon Beta Limit derived using standard MHD stability analysis. This equation can be written in the following form, where  $\beta_N$  is the normalized plasma beta – i.e. a static variable usually set between 2% and 4%. <sup>19</sup>

$$\beta = \beta_N \frac{I_P}{aB_0} \tag{3.37}$$

Substituting the plasma  $\beta$  from eq. ??, into this relation results in the model's first equation for tokamak radius:

$$R_0 = \frac{K_{TB}\overline{T}}{B_0} \tag{3.38}$$

 $K_{TB} = 4.027 \times 10^{-2} \cdot \left(\frac{K_n \epsilon}{\beta_N}\right) \cdot (1 + f_D) \cdot \frac{(1 + \nu_n) (1 + \nu_T)}{1 + \nu_n + \nu_T}$ (3.39)

As mentioned, this is often the dominating constraint in a steady-state reactor. The often dominating constraint for pulsed designs – the kink safety factor – will be the focus of the next subsection.

#### $_{\scriptscriptstyle 938}$ 3.4.2 Giving the Kink Safety Factor

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Just like how the Troyon Beta Limit set a fluids-based maximum on plasma pressure, the Kink Safety Factor sets one on the plasma's current. This constraint usually only appears in pulsed designs, as it is assumed that getting to this high a current in steady-state (with only LHCD) would prove extremely unpractical.

The starting point, again, is an equation from the literature for the kink condition: 6,20

$$q_* = 5\epsilon^2 \cdot \frac{R_0 B_0}{I_P} \cdot \left(\frac{1 + \kappa^2 \cdot (1 + 2\delta^2 - 1.2\delta^3)}{2}\right)$$
 (3.40)

Here the safety factor –  $q_*$  – typically has values around 3.

combined, the kink safety factor can now be written in standardized units as:

$$R_0 = \frac{K_{SF}I_P}{B_0} {3.41}$$

$$K_{SF} = \frac{q_*}{5\epsilon^2} \cdot \left(\frac{2}{1 + \kappa^2 \cdot (1 + 2\delta^2 - 1.2\delta^3)}\right)$$
 (3.42)

This relation is the limiting constraint important for most pulsed reactor designs. As with the Beta Limit, the two are derived through plasma physics alone. The remaining limiting constraints, however, are engineering-based in origin – these include: the Wall Loading Limit, the Power Cap Constraint, and the Heat Loading Limit. Each will be defined shortly.

#### 3.4.3 Working under the Wall Loading Limit

The first engineering-based limiting constraint – the wall loading limit – will prove to be an important quantity when determining the magnet strength at which reactor costs begin to increase. As hinted, its definition originates from nuclear engineering concerns: it is a measure of the maximum neutron damage a tokamak's walls can take over the lifetime of the machine.\*

The first step in deriving a limiting constraint for wall loading is a description of the problem it models. In a reactor, fusion reactions typically make high-energy neutrons

- with around 14.1 MeV of kinetic energy – that collide with the tokamak enclosure.

Therefore a simple metric would be limiting the amount of neutron power that can

be unloaded on the surface area of a tokamak. This can be written as:<sup>21</sup>

$$P_W = \frac{P_n}{S_P} \tag{3.43}$$

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$$S_P = 4\pi^2 a R_0 \cdot \frac{\left(1 + \frac{2}{\pi} (\kappa^2 - 1)\right)}{\kappa}$$
 (3.44)

Here,  $S_P$  is the surface area of the tokamak's inner wall and  $P_n$  is the neutron power derived in the subsection on fusion power. The quantity,  $P_W$ , then serves a role analogous to  $\beta_N$  for the beta limit and  $q_*$  for the kink safety factor – it is a static variable representing the maximum allowed wall loading. For fusion reactors,  $P_W$  is assumed to be around 2-4  $\frac{MW}{m^2}$ . It will be shown that the wall loading limit is important in any tokamak – regardless of operating mode (i.e. steady-state or pulsed).

Finishing this limiting constraint, the Wall Loading limit can be written in standardized units as:

$$R_0 = K_{WL} \cdot I_P^{\frac{2}{3}} \cdot (\sigma v)^{\frac{1}{3}} \tag{3.45}$$

<sup>\*</sup>For clarity, the wall loading limit should actually be a energy fluence limit. It is converted to an instantaneous power limit for ease of design purposes.

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$$K_{WL} = \left(\frac{K_F K_n^2}{5\pi^2 P_W} \cdot \frac{\kappa}{\epsilon} \cdot \frac{1}{1 + \frac{2}{\pi} \cdot (\kappa^2 - 1)}\right)^{\frac{1}{3}}$$
(3.46)

#### o<sub>74</sub> 3.4.4 Setting a Maximum Power Cap

As opposed to the previous three limiting constraints, the maximum power cap is more of a constraint set by economic competitiveness. Because no reactor – coal, solar, or otherwise – has a 4000 MW reactor, neither should fusion.\* It makes sense from a practical position after realizing the long history of tokamaks being delayed, underfunded, or completely canceled. Mathematically, this has the simple form:

$$P_E \le P_{CAP} \tag{3.47}$$

Here,  $P_{CAP}$  is the maximum allowed power output of the reactor. Similar to the other limiting quantities,  $P_{CAP}$  is treated as a static variable (i.e. set to 4000 MW).

The electrical power output of the reactor  $(P_E)$  is then related to the fusion power through:

$$P_E = 1.273 \, \eta_T \cdot P_F \tag{3.48}$$

The variable  $\eta_T$  is the thermal efficiency of the reactor – which is usually found to be around 40%. And the constant in front (i.e. 1.273) represents some extra power the reactor makes as fuel is bred by the fusion neutrons passing through a tokamak's lithium-filled blanket. Explicitly this results from including the energy released by lithium-6 as it undergoes neutron capture  $(E_{Li})$ .

$$1.273 = \frac{E_F + E_{Li}}{E_F} \tag{3.49}$$

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$$E_{Li} = 4.8 \,\text{MeV}$$
 (3.50)

<sup>\*</sup>Note that this 4000 MW (electric) is a maximum. A 1000 MW reactor would obviously not violate this constraint. Instead it would likely be pressing on either the kink or beta limit.

Substituting in fusion power and solving for the major radius results in:

$$R_0 = K_{PC} \cdot I_P^2 \cdot (\sigma v) \tag{3.51}$$

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$$K_{PC} = K_F K_n^2 \cdot \left(\frac{1.273 \,\eta_T}{P_{max}}\right)$$
 (3.52)

This limiting constraint can be used to create curves of reactors, although it is mainly used as a stopping point for designs – i.e. if you get to the power-cap regime, you have gone too far. This is different than the next constraint, which is fundamentally an unsolved problem within the modern tokamak design paradigm.<sup>22</sup>

#### 3.4.5 Listing the Heat Loading Limit

Fusion plasmas are hot. The commonly given relation is one electron volt is around 20,000 °F – which makes 15 keV around a quarter-billion Fahrenheit. Although slightly deceptive, heat damage to a tokamak is an all too real concern. The problem is there is currently no solution to the problem. Although researchers have explored various types of heat divertors, none have been shown to withstand the gigawatts-per-squaremeter of heat emitted from a reactor-size tokamak.<sup>22</sup>

As such, this model takes an approach similar to the research community, calculating it at the end as a manual check on the difficulty of building such a device – but not using it to explicitly guide design. For completeness though, a limiting constraint will still be derived. The first step is giving the heat load limit commonly found in the literature:<sup>21</sup>

$$q_{DV} = \frac{K_{DV}}{K_F} \cdot \frac{P_F I_P^{1.2}}{R_0^{2.2}}$$
 (3.53)

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$$K_{DV} = \frac{18.31 \times 10^{-3}}{\epsilon^{1.2}} \cdot K_P \cdot \left(\frac{2}{1+\kappa^2}\right)^{0.6}$$
 (3.54)

This is the heat load that impinges on an extended leg, double null divertor – primarily

from the outer midplane of the plasma core. After a simple rearrangement and substitution for fusion power, this becomes:

$$R_0 = K_{DH} \cdot I_P \cdot (\sigma v)^{\frac{1}{3.2}} \tag{3.55}$$

1012

$$K_{DH} = \left(\frac{K_{DV}K_n^2}{q_{DV}}\right)^{\frac{1}{3.2}} \tag{3.56}$$

At this point all the limiting constraints have been defined. The next step is taking a step back and motivating the derivation of a current equation suitable for pulsed tokamaks.

## <sub>6</sub> 3.5 Summarizing the Fusion Systems Model

Stepping back, this chapter focused on the bigger picture behind designing a zerodimension fusion systems model. It started with a description of various design parameters and then moved onto explaining the five relations needed to close the model - i.e. for  $\overline{T}$ ,  $\overline{n}$ ,  $I_P$ ,  $B_0$ , and  $R_0$ .

Before generalizing the steady current to allow modeling pulsed reactors, though, a quick recap of the equations will prove beneficial. The first variable described was temperature – i.e. scan five evenly-spaced  $\overline{T}$  values between 10 and 30 keV. This was then quickly followed by the Greenwald density limit – the a simple relation assumed to apply to all fusion reactors. Through equations, these two were written as:

$$\overline{T} = const.$$
 (??)

1026

$$\overline{n} = K_n \cdot \frac{I_P}{R_0^2} \tag{??}$$

1027 The next variable handled was the steady current:

$$I_P = \frac{K_{BS}\overline{T}}{1 - K_{CD}(\sigma v)} \tag{??}$$

As was mentioned then, this only directly depends on temperature, but is strongly affected by a tokamak's configuration –  $R_0$  and  $B_0$  - through the current drive efficiency
( $\eta_{CD}$ ). For pulsed reactors, this equation proves too simple as it ignores inductive
current. To remedy the situation, current balance will be revisited next chapter. The
main point to make now, though, is that the  $R_0$  and  $R_0$  dependence will be made
explicit.

Moving on, the remaining equations were the primary and limiting constraints for  $B_0$  and  $R_0$ , respectively. It was through these relations that a tokamak's configuration was brought back into the fold. The choice of solving the two constraints for their respective variables was not completely unique – motivated only by the foresight of how they fit into the model. Repeated below, they served as the proper vehicles for closing the system of equations.

$$B_0 = \left(\frac{G_{PB}}{K_{PB}} \cdot \left(I_P^{\alpha_I^*} R_0^{\alpha_R^*}\right)^{-1}\right)^{\frac{1}{\alpha_B}} \tag{??}$$

$$R_0 = \frac{K_{TB}\overline{T}}{B_0} \tag{??}$$

1040

$$R_0 = \frac{K_{SF}I_P}{B_0} {(??)}$$

$$R_0 = K_{WL} \cdot I_P^{\frac{2}{3}} \cdot (\sigma v)^{\frac{1}{3}} \tag{??}$$

1041

$$R_0 = K_{PC} \cdot I_P^2 \cdot (\sigma v) \tag{??}$$

$$R_0 = K_{DH} \cdot I_P \cdot (\sigma v)^{\frac{1}{3.2}}$$
 (??)

The next step now is to learn how to generalize the current formula and design a pulsed tokamak reactor (see ??). After this is done, ?? will pick up where this chapter leaves off – transforming this fusion systems model into a simple reactor solver.

# Chapter 4

# Designing a Pulsed Tokamak

Pulsed tokamaks are the flagship of the European fusion reactor design effort. As such, 1048 this paper's model will now be generalized to accommodate this mode of operation. 1049 Fundamentally, this involves transforming current balance into flux balance – adding 1050 inductive (pulsed) sources to stand alongside the LHCD (steady-state) ones. 1051 The first step in generalizing current balance will be understanding the problem from 1052 a basic electrical engineering perspective – i.e. with circuit analysis. The resulting 1053 equation will then be transformed into the flux balance seen in other models from 1054 the literature. All that will need to be done then is solving the problem for plasma 1055 current  $(I_P)$  and simplifying it for various situations – e.g. steady-state operation. 1056 This generalized plasma current will then be found to be a function of the other 1057 dynamic variables (i.e.  $R_0$ ,  $B_0$ , and  $\overline{T}$ ). This, of course, is more difficult to handle 1058 computationally than the steady current, which only directly depended on tempera-1059 ture  $(\overline{T})$ . Discussion about solving this new root solving problem will be the topic of 1060 the next chapter. 1061

# <sub>062</sub> 4.1 Modeling Plasmas as Circuits

Although it may have been lost along the way, what makes plasmas so interesting and versatile – in comparison to gases – is their ability to respond to electric and magnetic fields. It seems natural then to model plasma current from a circuits perspective (i.e. with resistors, voltage sources, and inductors). By name, this circuit is referred to as a transformer where: the plasma is the secondary and the yet-to-be discussed central solenoid (of the tokamak) is the primary.

The first step in deriving a current equation is to determine the circuit equations that govern pulsed operation in a tokamak. This will be done in two steps. First, we will draw a circuit diagram and write the equations that describe it. Next, we will use a simple schematic for how current evolves in a transformer to boil the resulting differential equations into simple algebraic ones – as is the hallmark of our model.

### 1074 4.1.1 Drawing the Circuit Diagram

Understanding a circuit always starts with drawing a simple diagram, see ??. This
figure depicts the transformer governing pulsed reactor. The left sub-circuit is the
transformer's primary – the central solenoid component of the tokamak that provides
most of the inductive current. Whereas, the right sub-circuit is the plasma acting as
the transformer's secondary. The central solenoid, here, is then a helically-spiraled
metal coil that fits within the inner ring of the doughnut. For now, every other flux
source (besides this central solenoid) is neglected.

This is described by the standard circuits involving voltage sources, resistors, and inductors:

$$V_{i} = \sum_{j=1}^{n} \frac{d}{dt} (M_{ij}I_{j}) + I_{i}R_{i} , \quad \forall i = 1, 2, ..., n$$
 (4.1)

Without going into the inductances (M) and resistances (R), the variable n is the number of sub-circuits, here being 2. Whereas, the variables i and j are the indices of sub-circuits (i.e. 1 for the primary, 2 for the secondary). For illustrative purposes,

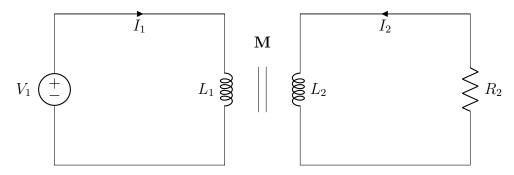


Figure 4-1: A Simple Plasma Transformer Description

A plasma transformer consists of a solenoid primary (left) and a plasma secondary (right). They are connected by their mutual inductance, M. Note that the two currents  $-I_1$  and  $I_2$  — travel in opposite directions.

this would boil down to the following relation for a battery attached to a lightbulb:

$$V = IR (4.2)$$

Back to the transformer diagram, the equations for the two subcircuits can be expanded and greatly simplified. Besides ignoring every inductive source other than the central solenoid, the next powerful assumption is treating the solenoid as a superconductor (i.e. with negligible resistance). Lastly, the inductances between components and themselves are held constant – independent of time. This allows the coupled transformer equations to be written as:

$$V_1 = L_1 \dot{I}_1 - M \dot{I}_2 \tag{4.3}$$

$$-I_2 R_P = L_2 \dot{I}_2 - M \dot{I}_1 \tag{4.4}$$

With  $I_1$  and  $I_2$  going in opposite directions. Note, here, that the subscript on M has been dropped, as there are only two components. This was done in conjunction to adding internal (self-)inductance terms. Mathematically, the mapping between variables is:

$$M = M_{12} = M_{21} (4.5)$$

1098

$$L_1 = M_{11} (4.6)$$

1099

$$L_2 = M_{22} (4.7)$$

Repeated, the one subscript represents the primary – the central solenoid – and the two stands for the plasma as the transformer's secondary. Exact definitions for the inductances will be put off till the end of the next subsection.

#### 1103 4.1.2 Plotting Pulse Profiles

Up until now, little has been discussed that has a time dependence. For steady-state 1104 tokamaks, this did not occur because it is an extreme case where pulses could last 1105 weeks or months. By definition, though, a pulsed machine has pulses – with around 1106 ten scheduled per day. For this reason, a fusion pulse is now investigated in detail. 1107 Transformer pulses between the central solenoid and the plasma occur on the timescale 1108 of hours. During this time, a plasma is brought up to some quasi-steady-state cur-1109 rent  $(I_P^*)$  for several hour and then ramped back down using the available flux in 1110 the solenoid (measured in volt-seconds). For clarity, each pulse is subdivided into four phases: ramp-up, flattop, ramp-down, and dwell. Pictorially represented in ??, 1112 these divisions allow a simple scheme for transforming the coupled circuit differential 1113 equations – from ????? – into simple algebraic formulas. 1114

Along the way, we will approximate derivatives with linear piecewise functions. Using  $t_i$  to represent the initial time and  $t_f$  as the final one, these can be written as:

$$\dot{I} = \frac{I(t_f) - I(t_i)}{t_f - t_i} \tag{4.8}$$

In tabular form, the data from ?? can be written in this piecewise fashion as:

The exact definitions for the plasma's inductive current  $(I_P^*)$  and the maximum voltage in the central solenoid  $(V_{max})$  will be put off until the end of the section.

#### Tokamak Circuit Profiles

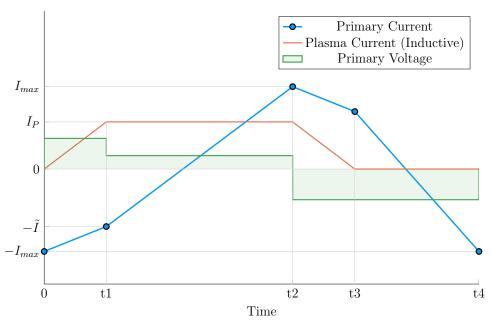


Figure 4-2: Time Evolution of Circuit Profiles

A circuit pulse involves four phases: (1) Ramp-Up, (2) Flattop, (3) Ramp-Down, and (4) Dwell. In reality, flattop can last more than 90% of the pulse.<sup>7</sup> This makes the slope of the primary current during this phase much shallower than shown.

Table 4.1: Piecewise Linear Scheme for Pulsed Operation

(a) Currents					
$\mathbf{Time}$	$I_1$	$  I_2  $			
0	$-I_{max}$	0			
t1	$-\widetilde{I}$	$I_P^*$			
t2	$+I_{max}$	$I_P^*$			
t3	$+\widetilde{I}$	0			
t4	$-I_{max}$	0			

Phase	$ \mathbf{t_i} $	$\mathbf{t_f}$	$\mathbf{V_1}$
Ramp-Up	0	$t_1$	$+V_{max}$
Flattop	$t_1$	$t_2$	$+\tilde{V}$
Ramp-Down	$t_2$	$t_3$	$-V_{max}$
Dwell	$t_3$	$t_4$	$-V_{max}$

(b) Voltage

#### 120 The Ramp-Up Phase – RU

The first phase in every plasma pulse is the ramp-up. During ramp-up, the central solenoid starts discharging from its fully charged values, as the plasma is brought to its quasi-steady-state current. As this occurs on the timescale of minutes – not hours – resistive effects of the plasma can safely be ignored. This results in the ramp-up equations becoming:

$$V_{max} = \frac{1}{\tau_{RU}} \cdot \left( L_1 \cdot (I_{max} - \tilde{I}) - M \cdot I_{ID} \right)$$
(4.9)

$$0 = \frac{1}{\tau_{RU}} \cdot \left( M \cdot (I_{max} - \tilde{I}) - L_2 \cdot I_{ID} \right)$$

$$(4.10)$$

Simplifying these equations will be done shortly, for now the new terms are what is important. The maximum voltage of the solenoid is  $V_{max}$  – usually measured in kilovolts. Next,  $I_{max}$  is the solenoid's current at the beginning of ramp-up. Whereas  $\tilde{I}$  is the magnitude of the current once the plasma is at its flattop inductive-drive current –  $I_{ID}$ . The  $\tau_{RU}$  quantity, then, is the duration of time it takes to rampup up (i.e. RU). Again,  $L_1$  and  $L_2$  are the microhenry-scale internal inductances of the solenoid and plasma, respectively, and M is the mutual inductance between them.

The last step in discussing ramp-up is giving the two important formulas that come from it:

$$\tilde{I} = I_{max} - I_{ID} \cdot \left(\frac{L_2}{M}\right) \tag{4.11}$$

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$$\tau_{RU} = \frac{I_{ID}}{V_{max}} \cdot \left(\frac{L_1 L_2 - M^2}{M}\right) \tag{4.12}$$

#### The Flattop Phase - FT

The most important phase in any reactor's pulse is flattop – the quasi-steady-state time when the tokamak is making electricity. Flattops are assumed to last a couple of hours for a profitable machine, during which the central solenoid completely discharges to overcome a plasma's resistive losses – keeping it in a quasi-steady-state mode of operation. In a steady-state reactor, this phases constitutes the entirety of the pulse.

Although the resistance cannot be safely neglected for flattop – as it was for ramp-up – the plasma's inductive current  $(I_{ID})$  is assumed constant. This leads to its derivative in equations cancelling out! Mathematically,

$$\tilde{V} = \frac{L_1}{\tau_{FT}} \cdot \left( I_{max} + \tilde{I} \right) \tag{4.13}$$

$$I_{ID}R_P = \frac{M}{\tau_{ET}} \cdot \left(I_{max} + \tilde{I}\right) \tag{4.14}$$

As with ramp-up, the simplifications will be given shortly. The new terms here, however, are an intermediate voltage for the central solenoid  $(\tilde{V})$ , and the duration of the flattop  $(\tau_{FT})$ . The resistance term was given in ??. Solutions can then be found by substituting  $\tilde{I}$  – from ?? – into the flattop equations:

$$\tilde{V} = I_{ID}R_P \cdot \left(\frac{L_1}{M}\right) \tag{4.15}$$

1150

$$\tau_{FT} = \frac{I_{max} \cdot 2M - I_{ID} \cdot L_2}{I_{ID}R_P} \tag{4.16}$$

#### 1151 The Ramp-Down Phase – RD

Due to the simplicity – and symmetry – of this model's reactor pulse, ramp-down is the exact mirror of ramp-up. It takes the same amount of time and results in the same algebraic equations. For brevity, this will just be represented as:

$$\tau_{RD} = \tau_{RU} \tag{4.17}$$

For clarity, this is the time when a plasma's current is brought down from its flattop value to zero.

#### The Dwell Phase – DW

Where the first three phases had little ambiguity, the dwell phase changes definition from model to model. For now, it is assumed to be the time it takes the central solenoid to reset after a plasma has been completely ramped-down to an off-mode.

To get a more realistic duty factor for cost estimates, it could include an evacuation time, set to last around thirty minutes. During this evacuation, a plasma is vacuumed out of a device as it undergoes some inter-pulse maintenance.

Ignoring evacuation for now, the dwell phase involves resetting the central solenoid
when the plasma's current is negligible. This means the secondary of the transformer
is an open circuit – fundamentally the central solenoid is the only component. In
equation form,

$$V_{max} = \frac{L_1}{\tau_{DW}} \cdot \left( I_{max} + \tilde{I} \right) \tag{4.18}$$

Or substituting in  $\tilde{I}$  and solving for  $\tau_{DW}$ ,

$$\tau_{DW} = \frac{L_1}{M} \cdot \frac{(I_{max} \cdot 2M - I_{ID} \cdot L_2)}{V_{max}} \tag{4.19}$$

## 1169 4.1.3 Specifying Circuit Variables

The goal now is to collect the results from the four phases and introduce the inductance, resistance, voltage, and current terms relevant to our model. This will motivate recasting the problem as flux balance in a reactor – the form commonly used in the literature (and discussed next section).

First, collecting the phase durations in one place:

$$\tau_{RU} = \frac{I_{ID}}{V_{max}} \cdot \left(\frac{L_1 L_2 - M^2}{M}\right) \tag{??}$$

$$\tau_{FT} = \frac{I_{max} \cdot 2M - I_{ID} \cdot L_2}{I_{ID}R_P} \tag{??}$$

$$\tau_{RD} = \tau_{RU} \tag{??}$$

$$\tau_{DW} = \frac{L_1}{M} \cdot \frac{(I_{max} \cdot 2M - I_{ID} \cdot L_2)}{V_{max}} \tag{??}$$

These can be used in the definition of the duty-factor: the fraction of time a reactor

is putting electricity on the grid. Formulaically,

$$f_{duty} = \frac{\tau_{FT}}{\tau_{pulse}} \tag{4.20}$$

1177

1187

$$\tau_{pulse} = \tau_{RU} + \tau_{FT} + \tau_{RD} + \tau_{DW} \tag{4.21}$$

As will turn out, the solving of pulsed current actually only involves  $\ref{eq:condition}$ . What is interesting about this, is that there is no explicit dependence on ramp-down or dwell! Whereas ramp-up passes  $\tilde{I}$  to the flattop phase, the other two are just involved in calculating the duty factor.

The remainder of this subsection will then be defining the following circuit variables:  $I_{ID}$ ,  $I_{max}$ ,  $V_{max}$ ,  $L_1$ ,  $L_2$ , and M. Again, the resistance was defined last chapter as:

$$R_P = \frac{K_{RP}}{R_0 \overline{T}^{3/2}} \tag{??}$$

The Inductive Current  $-I_{ID}$ 

The inductive current is the source of current that separates pulsed from steady-state operation. Quickly fitting it into the previous definitions of current balance – see ??:

$$I_{ID} = I_P - (I_{BS} + I_{CD}) (4.22)$$

As before,  $I_P$  is the total plasma current in mega-amps,  $I_{BS}$  is the bootstrap current, and  $I_{CD}$  is the current from LHCD (i.e. lower hybrid current drive). For this model, the relation can be rewritten as:

$$I_{ID} = I_P \cdot \left(1 - K_{CD}(\sigma v)\right) - K_{BS}\overline{T}$$
(4.23)

### The Central Solenoid Maximums – $V_{max}$ and $I_{max}$

For this simple model, the central solenoid has two maximum values: the voltage and current. The voltage is the easier to give value. Literature values have this around:<sup>5</sup>

$$V_{max} \approx 5 \,\text{kV}$$
 (4.24)

The maximum current, on the other hand, can be defined through Ampere's Law on a helically-shaped central solenoid:<sup>10</sup>

$$I_{max} = \frac{B_{CS}h_{CS}}{N\mu_0} \tag{4.25}$$

Here,  $B_{CS}$  is a magnetic field strength the central solenoid is assumed to operate at (i.e. 12 T),  $h_{CS}$  is the height of the solenoid, N is the number of loops, and  $\mu_0$  has its usual physics meaning (i.e.  $40 \pi \frac{\mu H}{m}$ ). As will be seen, the value of N does not directly affect the model, as it cancels out in the final flux balance. The height of the central solenoid will be the focus of an upcoming section on improving tokamak geometry.

#### The Central Solenoid Inductance – $L_1$

For a central solenoid with circular cross-sections of finite thickness (d), the inductance can be written as:<sup>19</sup>

$$L_1 = G_{LT} \cdot \left(\frac{\mu_0 \pi N^2}{h_{CS}}\right) \tag{4.26}$$

1205

$$G_{LT} = \frac{R_{CS}^2 + R_{CS} \cdot (R_{CS} + d) + (R_{CS} + d)^2}{3}$$
(4.27)

Note that  $R_{CS}$  is the inner radius of the central solenoid and  $(R_{CS} + d)$  is the outer one. In the limit where d is negligible, this says that the inductance is quadratically

1208 dependent on the radius of the central solenoid:

$$\lim_{d \to 0} G_{LT} = G_{LT}^{\dagger} = R_{CS}^{2} \tag{4.28}$$

The formulas for both  $R_{CS}$  and d will be defined in a few sections.

### 1210 The Plasma Inductance – $L_2$

The plasma inductance is a composite of several different terms, but overall scales with radius. Through equation,

$$L_2 = K_{LP} R_0 (4.29)$$

This static coefficient –  $K_{LP}$  – then combines three inductive behaviors of the plasma. The first is its own self inductance (through  $l_i$ ).<sup>4</sup> The next is a resistive component through the Ejima coefficient,  $C_{ejima}$ , which is usually set to  $\sim \frac{1}{3}$ .<sup>6</sup> And lastly, a geometric component – involving  $\epsilon$  and  $\kappa$  – is given by the Hirshman-Neilson model.<sup>23</sup> Mathematically,

$$K_{LP} = \mu_0 \cdot \left( \frac{l_i}{2} + C_{ejima} + \frac{(b_{HN} - a_{HN})(1 - \epsilon)}{(1 - \epsilon) + \kappa d_{HN}} \right)$$
(4.30)

Here the HN values come from the 1985 Hirshman-Neilson paper:

$$a_{HN}(\epsilon) = 2.0 + 9.25\sqrt{\epsilon} - 1.21\epsilon$$
 (4.31)

1219

$$b_{HN}(\epsilon) = \ln(8/\epsilon) \cdot (1 + 1.81\sqrt{\epsilon} + 2.05\epsilon) \tag{4.32}$$

$$d_{HN}(\epsilon) = 0.73\sqrt{\epsilon} \cdot (1 + 2\epsilon^4 - 6\epsilon^5 + 3.7\epsilon^6)$$
(4.33)

#### 1221 The Mutual Inductance – M

The mutual inductance – M – represents the coupling between the solenoid primary and the plasma secondary. A common method for treating this mutual inductance is through a coupling coefficient, k, that links the two self-inductances. Formulaically,

$$M = k\sqrt{L_1 L_2} \tag{4.34}$$

The value of the coupling coefficient, k, is always less than (or equal to) 1, but usually has a value around one-third. With all the equations defined, we are now at a position to explain one of the larger nuances of this fusion systems framework: declaring the pulse length of a tokamak.

### 1229 4.1.4 Constructing the Pulse Length

This subsection focuses on a quantitative estimate for how to select a pulse length.

As no fusion reactor exists in the world today, the writers believe this is an acceptable

calculation. Further, the resulting length of two hours matches the durations of other

studies in the literature.

Starting at the end, our goal is to find the pulse length of a tokamak reactor in seconds – as dictated by cyclical stress concerns. The first piece of information is the expected lifetime of the central solenoid,  $N \approx 10$  years. The next is the desired number of pulses the central solenoid will have to last:  $M \approx 50,000$  pulses.\* This gives the rough estimate of around 10 pulses a day – or a flattop pulse length of two hours.

With the pulse length defined, we are now in a position to justify neglecting the duty factor for pulsed reactors in this model. Using expected reactor values – while assuming the central solenoid has around 4000 turns – leads to the following scalings:

<sup>\*</sup>This 50,000 pulses is based on the values from the ITER design specifications. <sup>24</sup>

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$$\tau_{FT} \sim \tau_{pulse} \sim O(\text{hours})$$
 (4.35)

1244

1250

1251

$$\tau_{RU} \sim \tau_{RD} \sim \tau_{DW} \sim O(\text{mins})$$
(4.36)

As such, even pulsed tokamak reactors should have a duty factor of around unity:

$$f_{duty} \approx 1$$
 (4.37)

This analysis of course would change if the central solenoid became an inexpensive 1246 component to replace. For example, if a tokamak had a new one installed annually, 1247 the pulse length could shorten to be on the order of minutes. 1248 Now that all the terms in a pulsed circuit have been explored, we will move on to 1249 rearranging the flattop equation to reproduce flux balance. This will then naturally

lead to a generalized current equation – which is the main result of the chapter.

**Producing Flux Balance** 4.2

The goal of this section is to arrive at a conservation equation for flux balance that 1253 mirrors the ones in the literature. The fusion systems model this one attempts to 1254 follow most is the PROCESS code.<sup>6</sup> In a manner similar to power balance, flux 1255 balance can be written as: 1256

$$\sum_{sources} \Phi = \sum_{sinks} \Phi \tag{4.38}$$

#### Rearranging the Circuit Equation 4.2.11257

The way to arrive at flux balance from the circuit equation is to rearrange the flattop 1258 phase's duration equation: 1259

$$\tau_{FT} = \frac{I_{max} \cdot 2M - I_{ID} \cdot L_2}{I_{ID}R_P} \tag{??}$$

Multiplying by the right-hand side's denominator and moving the negative term over yields:

$$2MI_{max} = I_{ID} \cdot (L_2 + R_P \tau_{FT}) \tag{4.39}$$

This equation is flux balance, where the left-hand side are the sources (e.g. the central solenoid), and the other terms are the sinks (i.e. ramp-up and flattop). The source term can currently be encapsulated in:

$$\Phi_{CS} = 2MI_{max} \tag{4.40}$$

The sinks, namely the ramp-up inductive losses  $(\Phi_{RU})$  and the flattop resistive losses  $(\Phi_{FT})$ , are what drain up the flux. Again, ramp-down and dwell are not included as sinks because flux balance only tracks till the end of flattop. They come into play when measuring the cost of electricity – through the duty factor from ??.

Relabeling terms, flux balance can now be rewritten as:

$$\Phi_{CS} = \Phi_{RU} + \Phi_{FT} \tag{4.41}$$

1270 With the ramp-up and flattop flux given respectively by:

$$\Phi_{RU} = L_2 \cdot I_{ID} \tag{4.42}$$

1271

$$\Phi_{FT} = (R_P \tau_{FT}) \cdot I_{ID} \tag{4.43}$$

On comparing these quantities to the ones from the PROCESS team,  $\Phi_{RU}$  and  $\Phi_{FT}$  are exactly the same. The source terms, on the other hand, are off for two reasons – both related to the central solenoid being the only source term in flux balance. This can partially be remedied by adding the second most dominant source of flux a posteriori – i.e. the PF coils. The second, and inherently limiting factor, is the simplicity of the current model. All that can be shown to this regard is that the  $\Phi_{CS}$  terms does reasonably predict the values from the PROCESS code.

### 4.2.2 Adding Poloidal Field Coils

Adding the effect of PF coils – belts of current driving plates on the outer edges of the tokamak – leads to as much as a 50% improvement<sup>6,7</sup> over relying solely on the central solenoid for flux generation. From the literature, this can be modeled as:<sup>19</sup>

$$\Phi_{PF} = \pi B_V \cdot \left( R_0^2 - (R_{CS} + d)^2 \right) \tag{4.44}$$

Where again  $R_{CS}$  and d are the inner radius and thickness of the central solenoid, respectively. These will be the topic of the next section.

Moving forward, the vertical field  $-B_V$  – is a magnetic field oriented up-and-down with the ground. It is needed to prevent a tokamak plasma from drifting radially out of the machine. From the literature, the magnitude of this vertical field (valid for a circular plasma) is given by:<sup>6</sup>

$$|B_V| = \frac{\mu_0 I_P}{4\pi R_0} \cdot \left( \ln\left(\frac{8}{\epsilon}\right) + \beta_p + \frac{l_i}{2} - \frac{3}{2} \right)$$
 (4.45)

Analogous to the previously covered plasma beta, the poloidal beta can be represented by:<sup>25</sup>

$$\beta_p = \frac{\overline{p}}{\left(\frac{\overline{B_p}^2}{2\mu_0}\right)} \tag{4.46}$$

Where the average poloidal magnetic field comes from a simple application of Ampere's law:

$$\overline{B_p} = \frac{\mu_0 I_P}{l_p} \tag{4.47}$$

The variable  $l_p$  is then the perimeter of the tokamak's cross-sectional halves:

$$l_p = 2\pi a \cdot \sqrt{g_p} \tag{4.48}$$

Here,  $g_p$  is another geometric scaling factor,

$$g_p = \frac{1 + \kappa^2 (1 + 2\delta^2 - 1.2\delta^3)}{2} \tag{4.49}$$

After a few lines of algebra, this relation for the magnitude of the vertical magnetic field can be written in standardized units as:

$$|B_V| = \left(\frac{1}{10 \cdot R_0}\right) \cdot \left(K_{VI}I_P + K_{VT}\overline{T}\right) \tag{4.50}$$

1297

$$K_{VT} = K_n \cdot (\epsilon^2 g_P) \cdot (1 + f_D) \frac{(1 + \nu_n) (1 + \nu_T)}{1 + \nu_n + \nu_T}$$
(4.51)

1298

$$K_{VI} = \ln\left(\frac{8}{\epsilon}\right) + \frac{l_i}{2} - \frac{3}{2} \tag{4.52}$$

For clarity, this will be plugged into the new PF coil flux contribution  $(\Phi_{PF})$ :

$$\Phi_{PF} = \pi B_V \cdot \left( R_0^2 - (R_{CS} + d)^2 \right) \tag{??}$$

Which then gets plugged into a more complete flux balance:

$$\Phi_{CS} + \Phi_{PF} = \Phi_{RU} + \Phi_{FT}$$

$$\tag{4.53}$$

The  $R_{CS}$  and d terms found in  $\Phi_{PF}$  will now be discussed as they are needed for this more sophisticated tokamak geometry.

### 3 4.3 Improving Tokamak Geometry

From before, this fusion systems model has been said to depend on the major and minor radius –  $R_0$  and a, respectively – and along the way, various geometric parameters have been defined (e.g.  $\epsilon$ ,  $\kappa$ ,  $\delta$ ) to describe the geometry further. Now three more thicknesses will be added: b, c, and d. Additionally, two fundamental dimension corresponding to the solenoid will be given: the radius ( $R_{CS}$ ) and height ( $h_{CS}$ ). These are the topics of this section.

#### Tokamak Dimension Diagram

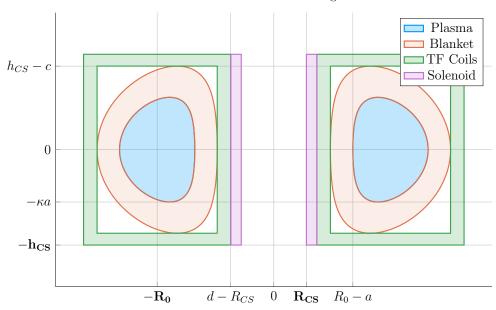


Figure 4-3: Dimensions of Tokamak Cross-Section

### 4.3.1 Defining Central Solenoid Dimensions

The best way to conceptualize tokamak geometry is through cartoon – see ??. What this says is there is a gap at the very center of a tokamak. This gap extends radially outwards to  $R_{CS}$  meters where the spiraled central solenoid – of thickness d – begins. Between the outer edge of the solenoid and the wall of the torus (i.e. the doughnut) are the blanket and toroidal field (TF) coils.

The blanket and TF coils have thicknesses of b and c, respectively. Before defining b, c, and d, though, it proves fruitful to relate all the quantities in equations for the inner radius  $(R_{CS})$  and height  $(h_{CS})$  of the central solenoid.

$$R_{CS} = R_0 - (a+b+c+d) (4.54)$$

1319

$$h_{CS} = 2 \cdot (\kappa a + b + c) \tag{4.55}$$

Again, this relation is pictorially represented in  $\ref{eq:condition}$ . The next step is defining: b, c, and d – to close the variable loop.

### 322 4.3.2 Calculating Component Thicknesses

In between the inner surface of the central solenoid and the major radius of the tokamak are four thicknesses: a, b, c, and d. This subsection will go over them one-by-one.

#### The Minor Radius -a

The minor radius was the first of these thicknesses we encountered. To calculate it, we introduced the inverse aspect ratio ( $\epsilon$ ) to relate it to the major radius ( $R_0$ ):

$$a = \epsilon \cdot R_0 \tag{??}$$

#### The Blanket Thickness -b

The blanket is an area between the TF coils and the torus that is composed mainly of lithium steel. It serves to both: protect the superconducting magnet structures from neutron damage, as well as breed more tritium fuel from stray fusion neutrons.

In equation form, the blanket thickness is given by:<sup>21</sup>

$$b = 1.23 + 0.074 \ln P_W \tag{4.56}$$

Here,  $P_W$  is a correction to account for extra wall loading (as discussed in ??).

Moving forward, the remaining two thicknesses -c and d – are handled differently, estimating structural steel portions as well as magnetic current-carrying ones.

#### The Toroidal Field Coil Thickness – c

The thickness of the TF coils -c – is a little beyond the scope of this paper. It does, however, have a form that combines a structural steel component with a magnetic

portion. From a previous model, this can be given as:<sup>21</sup>

$$c = G_{CI}R_0 + G_{CO} (4.57)$$

1341

$$G_{CI} = \frac{B_0^2}{4\mu_0\sigma_{TF}} \cdot \frac{1}{(1-\epsilon_b)} \cdot \left(\frac{4\epsilon_b}{1+\epsilon_b} + \ln\left(\frac{1+\epsilon_b}{1-\epsilon_b}\right)\right) \tag{4.58}$$

1342

$$G_{CO} = \frac{B_0}{\mu_0 J_{TF}} \cdot \frac{1}{(1 - \epsilon_b)} \tag{4.59}$$

The critical stress  $-\sigma_{TF}$  in  $G_{CI}$  implies it depends on the structural component, whereas the maximum current density  $-J_{TF}$  – implies a magnetic predisposition in  $G_{CO}$ . The use of  $G_{\square}$  in these quantities, instead of  $K_{\square}$  is because they include the toroidal magnetic field strength  $-B_0$ . For this reason, they are referred to as dynamic coefficients. Lastly, the term  $\epsilon_b$  represents the blanket inverse aspect ratio that combines the minor radius with the blanket thickness:

$$\epsilon_b = \frac{a+b}{R_0} \tag{4.60}$$

#### The Central Solenoid Thickness -d

Finishing this discussion where we started, the central solenoid's thickness -d has a form similar to the TF coil's (i.e. c). In mathematical form, this can be represented as:<sup>21</sup>

$$d = K_{DR}R_{CS} + K_{DO} (4.61)$$

1353

$$K_{DR} = \frac{3B_{CS}^2}{6\mu_0 \sigma_{CS} - B_{CS}^2} \tag{4.62}$$

$$K_{DO} = \frac{6B_{CS}\sigma_{CS}}{6\mu_0\sigma_{CS} - B_{CS}^2} \cdot \left(\frac{1}{J_{OH}}\right)$$
 (4.63)

Here, the use of  $K_{\square}$  for the coefficients signifies their use as static coefficients. Therefore,  $B_{CS}$  must be treated as a static variable representing the magnetic field strength
in the central solenoid. For prospective solenoids using high temperature superconducting (HTS) tape,  $B_{CS}$  may be around 20 T. The values of  $\sigma_{CS}$  and  $J_{CS}$  have similar
meanings to the ones for TF coils. These are collected in a table below with example
values representative of our model.

Table 4.2: Example TF Coils and Central Solenoid Critical Values

(a) Stresses [MPa]

(b) Current Densities [MA/m<sup>2</sup>]

$\mathbf{Item}$	Symbol	Limit
Solenoid	$\sigma_{CS}$	600
TF Coils	$\sigma_{TF}$	600

Item	Symbol	Limit
Solenoid	$J_{CS}$	100
TF Coils	$J_{TF}$	200

Before moving on, it seems important to say that although  $K_{DI}$  and  $K_{DO}$  do not depend on dynamic variables,  $R_{CS}$  most definitely does. This is what makes the central solenoid's thickness difficult.

### 364 4.3.3 Revisiting Central Solenoid Dimensions

Now that the various thicknesses have been defined (i.e. a, b, c, and d), the equations for the solenoid's dimensions (i.e.  $R_{CS}$  and  $h_{CS}$ ), can now be revisited and simplified. From before,

$$R_{CS} = R_0 - (a+b+c+d) \tag{??}$$

$$h_{CS} = 2 \cdot (\kappa a + b + c) \tag{??}$$

Utilizing the four thicknesses from before, these can now be expanded to simple formulas. Repeating the thicknesses:

$$a = \epsilon \cdot R_0 \tag{??}$$

$$b = 1.23 + 0.074 \ln P_W \tag{??}$$

$$c = G_{CI}R_0 + G_{CO} \tag{??}$$

$$d = K_{DR}R_{CS} + K_{DO} \tag{??}$$

Plugging these into the central solenoid's dimensions results in:

$$h_{CS} = 2 \cdot (R_0 \cdot (\epsilon \kappa + G_{CI}) + (b + G_{CO})) \tag{4.64}$$

$$h_{CS} = 2 \cdot (R_0 \cdot (\epsilon \kappa + G_{CI}) + (b + G_{CO}))$$

$$R_{CS} = \frac{1}{1 + K_{DR}} \cdot (R_0 \cdot (1 - \epsilon - G_{CI}) - (K_{DO} + b + G_{CO}))$$

$$(4.64)$$

These are the complete central solenoid dimension formulas. To make them more 1369 tractable to the reader, they will now be simplified one step at a time. (The same 1370 simplification exercise will be done again after the generalized current is derived later 1371 this chapter.)

The first simplification to make while estimating central solenoid dimensions is to 1373 neglect the magnetic current-carrying portions of the central solenoid and TF coils. 1374 This results in: 1375

$$\lim_{\substack{G_{CO} \to 0 \\ K_{DO} \to 0}} h_{CS} = h_{CS}^{\dagger} = 2R_0 \cdot (K_{EK} + \epsilon_b + G_{CI})$$
(4.66)

1376

$$\lim_{\substack{G_{CO} \to 0 \\ K_{DO} \to 0}} R_{CS} = R_{CS}^{\dagger} = \frac{R_0}{1 + K_{DR}} \cdot (1 - \epsilon_b - G_{CI})$$
(4.67)

The new static coefficient, here, is: 1377

$$K_{EK} = \epsilon \cdot (\kappa - 1) \tag{4.68}$$

The next simplification is ignoring the TF coil thickness – and thus magnetic field dependence – altogether:

$$\lim_{G_{CI} \to 0} h_{CS}^{\dagger} = h_{CS}^{\ddagger} = 2R_0 \cdot (K_{EK} + \epsilon_b) \tag{4.69}$$

1380

$$\lim_{G_{CI} \to 0} R_{CS}^{\dagger} = R_{CS}^{\ddagger} = \frac{R_0}{1 + K_{DR}} \cdot (1 - \epsilon_b) \tag{4.70}$$

These oversimplifications will be used later this chapter while simplifying the generalized current equation to something more tractable. For now, they highlight how the dimensions change as different components are neglected. The next step is bringing plasma physics back into the flux balance equation and solving for the generalized current.

### 4.4 Piecing Together the Generalized Current

The goal of this section is to quickly expand flux balance using all the defined quantities and then massage it into an equation for plasma current – which is suitable for root solving. This starts with a restatement of flux balance in a reactor:

$$\Phi_{CS} + \Phi_{PF} = \Phi_{RU} + \Phi_{FT} \tag{??}$$

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$$\Phi_{CS} = 2MI_{max} \tag{??}$$

1391

$$\Phi_{PF} = \pi B_V \cdot \left( R_0^2 - (R_{CS} + d)^2 \right) \tag{??}$$

$$\Phi_{RU} = L_2 \cdot I_{ID} \tag{??}$$

$$\Phi_{FT} = (R_P \tau_{FT}) \cdot I_{ID} \tag{??}$$

The first step is realizing that the central solenoid flux can now be rewritten using the new geometry in a standardized form:

$$\Phi_{CS} = K_{CS} \cdot \sqrt{R_0 G_{LT} h_{CS}} \tag{4.71}$$

$$K_{CS} = 2kB_{CS} \cdot \sqrt{\frac{\pi K_{LP}}{\mu_0}} \tag{4.72}$$

Next, we will slightly simplify the PF coil flux using a dynamic variable coefficient:

$$\Phi_{PF} = G_V \cdot \frac{K_{VI}I_P + K_{VT}\overline{T}}{R_0} \tag{4.73}$$

$$G_V = \frac{\pi}{10} \cdot \left( R_0^2 - (R_{CS} + d)^2 \right) \tag{4.74}$$

This allows us to rewrite the generalized current as:

$$I_{P} = \frac{(K_{BS} + {}^{G_{IU}}/{}_{G_{IP}}) \cdot \overline{T}}{1 - K_{CD}(\sigma v) - {}^{G_{ID}}/{}_{G_{IP}}}$$
(4.75)

$$G_{IU} = K_{VT} G_V + K_{CS} R_0^{3/2} \cdot \frac{\sqrt{h_{CS} G_{LT}}}{\overline{T}}$$
 (4.76)

$$G_{ID} = K_{VI} G_V \tag{4.77}$$

$$G_{IP} = K_{LP}R_0^2 + \frac{K_{RP}\,\tau_{FT}}{\overline{T}^{3/2}} \tag{4.78}$$

As we will show in the next section, this form not only has a form remarkably similar to the steady current – it reduces to it in the limit of infinitely long pulses!

### 4.5 Simplifying the Generalized Current

1406 This section focuses on making various simplifications to the generalized current:

$$I_{P} = \frac{(K_{BS} + {}^{G_{IU}}/G_{IP}) \cdot \overline{T}}{1 - K_{CD}(\sigma v) - {}^{G_{ID}}/G_{IP}}$$
(??)

As promised, this will start with the trivial simplification of the generalized current into steady state. Next it will move on to a basic simplification for the purely pulsed case. These two activities should shed some light on how to interpret the equation in the more complicated hybrid case.

### 4.5.1 Recovering the Steady Current

The place to start with the steady current is the dynamic coefficient,  $G_{IP}$ :

$$G_{IP} = K_{LP}R_0^2 + \frac{K_{RP}\tau_{FT}}{\overline{T}^{3/2}}$$
 (??)

As can be seen, as  $\tau_{FT} \to \infty$ , so does the coefficient,

$$\lim_{T_{PT} \to \infty} G_{IP} = \infty \tag{4.79}$$

Because  $G_{IU}$  and  $G_{ID}$  remain constant, their contribution to plasma current becomes insignificant in this limit. Concretely,

$$\lim_{\tau_{FT} \to \infty} I_P = \frac{K_{BS} \overline{T}}{1 - K_{CD}(\sigma v)} \tag{4.80}$$

This is precisely the steady current given by ??! The generalized current automatically works when modeling steady-state tokamaks.\*

<sup>\*</sup> It should be noted that this is much harder when setting  $\tau_{FT}$  to a large, but finite number – as  $\eta_{CD}$  still needs to be solved self-consistently.

### 4.5.2 Extracting the Pulsed Current

For pulsed reactors, we have to resolve a similar problem – except now  $\tau_{FT}$  is expected to be a reasonably sized number (i.e. 2 hours).

With an aim at intuition, the reactor is first treated as purely pulsed – having no current drive assistance:

$$\lim_{\eta_{CD} \to 0} I_P = \frac{(K_{BS} + {}^{G_{IU}}/G_{IP}) \cdot \overline{T}}{1 - ({}^{G_{ID}}/G_{IP})}$$
(4.81)

Next, for simplicity-sake, the PF coil contribution to flux balance is assumed negligible, as it was always just a correction term:

$$\lim_{\Phi_{PF} \ll \Phi_{CS}} G_{IU} = K_{CS} R_0^{3/2} \cdot \frac{\sqrt{h_{CS} G_{LT}}}{\overline{T}}$$
 (4.82)

1425

$$\lim_{\Phi_{PF} \ll \Phi_{CS}} G_{ID} = 0 \tag{4.83}$$

Piecing this altogether, we can write a new current for this highly simplified case,

$$I_P^{\dagger} = K_{BS} \overline{T} + \frac{K_{CS} R_0^{3/2} \cdot \sqrt{h_{CS} G_{LT}}}{K_{LP} R_0^2 + K_{RP} \tau_{FT} \overline{T}^{-3/2}}$$
(4.84)

As this is not quite simple enough, these previous simplifications will be incorporated:

1428

$$G_{LT}^{\dagger} = R_{CS}^2 \tag{??}$$

1429

$$h_{CS}^{\ddagger} = 2R_0 \cdot (K_{EK} + \epsilon_b) \tag{??}$$

$$R_{CS}^{\ddagger} = \frac{R_0}{1 + K_{DR}} \cdot (1 - \epsilon_b) \tag{??}$$

Taking these into consideration results in the following current formula:

$$I_P^{\ddagger} = K_{BS} \overline{T} + \left( \frac{K_{CS} R_0^3}{K_{LP} R_0^2 + K_{RP} \tau_{FT} \overline{T}^{-3/2}} \cdot \frac{(1 - \epsilon_b) \cdot \sqrt{2(K_{EK} + \epsilon_b)}}{1 + K_{DR}} \right)$$
(4.85)

In the limit that the pulse length drops to zero (and bootstrap current is negligible),

$$\lim_{\tau_{FT} \to 0} I_P^{\dagger} = R_0 \cdot \left( \frac{K_{CS}}{K_{LP}} \cdot \frac{(1 - \epsilon_b) \cdot \sqrt{2(K_{EK} + \epsilon_b)}}{1 + K_{DR}} \right)$$
(4.86)

This implies that a purely pulsed current scales with major radius to leading order.

### 1434 4.5.3 Rationalizing the Generalized Current

From the previous two subsections, we arrived at equations for infinitely large and infinitely small pulse lengths:

$$\lim_{\tau_{FT} \to \infty} I_P = \frac{K_{BS} \overline{T}}{1 - K_{CD}(\sigma v)} \tag{??}$$

1437

$$\lim_{\tau_{FT}\to 0} I_P^{\dagger} = R_0 \cdot \left( \frac{K_{CS}}{K_{LP}} \cdot \frac{(1 - \epsilon_b) \cdot \sqrt{2(K_{EK} + \epsilon_b)}}{1 + K_{DR}} \right) \tag{??}$$

What these imply at an intuitive level is that at small pulses, current scales with the major radius. While for long pulses, current scales with plasma temperature. In the general case, of course, the problem becomes much harder to predict. – as shown by the code's results using ??.

## Chapter 5

# Completing the Systems Model

As opposed to previous chapters, this one will focus on the numerics behind the fusion systems model. A simple algebra will lead to a generalized solver for exploring reactor space for low cost and interesting machines. This will then naturally segue into a discussion of how plots are made and should be interpreted. The remaining chapters will then decouple the presentation of results from their analytic conclusions.

### $_{\scriptscriptstyle{1449}}$ 5.1 Describing a Simple Algebra

In essence, the systems model used here is a simple algebra problem – given five equations, solve for five unknowns. The goal is then to pick the five equations that best represent modern fusion reactor design (as shown in ??). This selection should also be done in such a way that actually reduces the system of equations to a simple univariate root solving equation (i.e. one equation with one unknown). As will be shown in the results, this model does reasonably well: matching other modeling campaigns in seconds.

The logical place to start in a discussion of this algebra problem is with the three equations fundamental to all reactor-grade tokamaks – both in steady-state and pulsed operation. These are: the Greenwald density limit, power balance, and current bal-

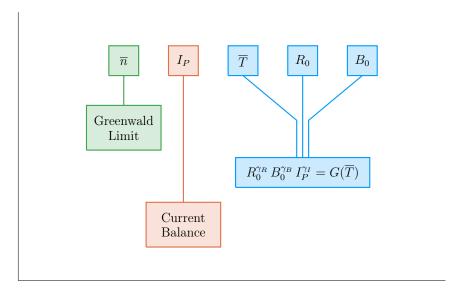


Figure 5-1: Equation Selection for Fusion System

The goal of this fusion system is to create a set of equations that model the five dynamic variables. These are the Greenwald limit for density, current balance for the plasma current, and three generalized formulas for the temperature, major radius, and toroidal field strength.

ance. The Greenwald density's importance was hinted early on when it was used to simplify every equation derived thereafter.

$$\overline{n} = K_n \cdot \frac{I_P}{R_0^2} \tag{??}$$

The two balance equations proved to be slightly more complicated. As was shown,

current balance was the more difficult of the two – bringing forth the notion of self
consistency for steady-state machines and a highly-coupled multi-root equation for

pulsed ones. As such, current balance stands as the equation everything is substituted

into to do a final univariate root solve.

$$I_{P} = \frac{(K_{BS} + {}^{G_{IU}}/G_{IP}) \cdot \overline{T}}{1 - K_{CD}(\sigma v) - {}^{G_{ID}}/G_{IP}}$$
(??)

Although slightly buried in  $\ref{eq:local_substitute}$ , the right-hand side actually depends on all the quantities (including  $I_P$  through the wall loading term in blanket thickness). Through

1469 equation,

$$I_P = f(I_P, \overline{T}, R_0, B_0) \tag{5.1}$$

The remaining equation common to all reactor-grade tokamaks is power balance – the relation that quantifies its net electricity production capabilities. Due to the use of the ELMy H-Mode scaling law for modeling the diffusion coefficient, this had the complicated form of:

$$R_0^{\alpha_R^*} \cdot B_0^{\alpha_B} \cdot I_P^{\alpha_I^*} = \frac{G_{PB}}{K_{PB}}$$
 (5.2)

Although being rather cumbersome, this equation actually remains relatively simple in that all three quantities on the left-hand side are separable. To close the system, two more equations of this form are needed. These have the following form and will be described next.

$$R_0^{\gamma_R} \cdot B_0^{\gamma_B} \cdot I_P^{\gamma_I} = G(\overline{T}) \tag{5.3}$$

### <sup>478</sup> 5.2 Generalizing Previous Equations

Where the equations defined up to this point in the chapter are shared among all fusion reactors, the remaining two equations – needed to close the system – must be partially chosen by the user. These equations come in three varieties: limits, intermediate quantities, and dynamic variables. By convention, we enforce that at least one limit must be used. The other constraint can then come from any of the three defined collections, which we will refer to as the closure equation.

### <sup>1485</sup> 5.2.1 Including Limiting Constraints

The limits category is composed of the limiting constraints given in  $\ref{eq:constraints}$ . These include the physics derived limits from MHD theory – i.e. the beta limit  $(\beta_N)$  and the kink safety factor  $(q_*)$  – which for clarity, set maximums on the allowed plasma pressure and current, respectively. Additionally, there were several engineering limits also described: wall loading, heat loading, and maximum power capacity. For this paper,

wall loading from neutrons  $(P_W)$  is assumed to be important, whereas the other two engineering limits are not allowed to explicitly guide designs.

Combined all these limits, as well as the yet to be defined dynamic and intermediate equations, are given in ??. These share a remarkably similar form to power balance when put into a generalized, separable state. This hints at why the major radius  $(R_0)$ , the toroidal field strength  $(B_0)$ , and the plasma current  $(I_P)$  can easily be separated and substituted out of the current balance equation.

Before moving on, it proves useful to explain the two limits not used to explicitly guide

Table 5.1: Main Equation Bank
To close the system of equations for potential reactors, different equations can be used to lock down tokamak designs. These include physics and engineering limits (L), as well as ways to set dynamic (D) or intermediate (I) variables to constant values.

Variable	Category	$\mathrm{G}(\overline{T})$	$\gamma_R$	$\gamma_B$	$\gamma_I$
Power Balance	-	$G_{PB}/K_{PB}$	$\alpha_R^*$	$\alpha_B$	$\alpha_I^*$
Beta $(\beta_N)$	${f L}$	$K_{TB}\overline{T}$	1	1	0
Kink $(q_*)$	${ m L}$	$K_{KF}$	1	1	-1
Wall Loading $(P_W)$	${ m L}$	$K_{WL}(\sigma v)^{1/3}$	1	0	-2/3
Power Cap $(P_E)$	${ m L}$	$K_{PC}(\sigma v)$	1	0	-2
Heat Loading $(q_{DV})$	L	$K_{DV}(\sigma v)^{1/3.2}$	1	0	-1
Major Radius $(R_0)$	D	$(R_0)_{const}$	1	0	0
Magnet Strength $(B_0)$	D	$(B_0)_{const}$	0	1	0
Plasma Current $(I_P)$	D	$(I_P)_{const}$	0	0	1
Plasma Temperature $(\overline{T})$	D	$(\overline{T})_{const} \Big/ \overline{T}$	0	0	0
Electron Density $(\overline{n})$	D	$(\overline{n})_{const}/\!\!/K_n$	-2	0	1
Plasma Pressure $(\overline{p})$	I	$(\overline{p})_{const}/K_nK_{nT}\overline{T}$	-2	0	1
Bootstrap Current $(f_{BS})$	I	$(f_{BS})_{const}/K_{BS}\overline{T}$	0	0	-1
Fusion Power $(P_F)$	I	$(P_F)_{const}/K_FK_n^2(\sigma v)$	-1	0	2
Magnetic Energy $(W_M)$	I	$(W_M)_{const}/\!\!/K_{WM}$	3	2	0
Cost per Watt $(C_W)$	I	$(C_W)_{const} \cdot (K_F K_n^2(\sigma v)/K_{WM})$	4	2	-2

reactor design – divertor heat loading and the maximum power capacity. The simpler of the two to reason is the heat loading limit. Although removing the gigawatts-persquare-meter of heat is extremely difficult, it remains an unsolved problem worthy of its own research machine. As such, it is only kept to provide a human-interpreted measure of difficulty. The power cap, on the other hand, is just handled informally. If a reactor surpasses it (i.e.  $P_E > 4000MW$ ), it is considered invalid.

While the maximum power cap informally sets a maximum major radius for a mathine, there also exists an implicit minimum major radius. This minimum occurs due
to the hole-size constraint – i.e. at some point there is no longer enough room on the
inside of the machine to store the central solenoid, blanket, and TF coils.

At this point, we can now explain how various quantities in the systems model can be set to user-given constant values. This basically allows users to treat one dynamic variable as a static one (e.g. the temperature and bootstrap fraction).

### 512 5.2.2 Minimizing Intermediate Quantities

Whereas the limits from the previous section represented constraints with real physics and engineering repercussions, the intermediate quantities here are just used to find when reactors reach certain user-supplied values. Most notable are the capital cost (through the magnetic energy  $-W_M$ ) and the cost-per-watt  $(C_W)$ . The model also, however, allows easily setting values for the bootstrap fraction, plasma pressure, and fusion power. As mentioned previously, they are given in ?? through a generalized representation of the form:

$$R_0^{\gamma_R} \cdot B_0^{\gamma_B} \cdot I_P^{\gamma_I} = G(\overline{T}) \tag{??}$$

What this collection of variables is really useful for, though, is finding minimum cost reactors – both in a capital context as well as a cost-per-watt one. This is done in a three stage process. The first of which is to find a valid reactor – i.e. one that satisfies every limiting constraint. Practically, this is done by searching over a range

of scanned temperatures.

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After a valid reactor is found, its cost is recorded leading to a drill-down stage. In this step, the cost is continuously halved until a valid reactor cannot be found. Once this invalid reactor is reached, it sets a bound on the minimum cost reactor. As such, the final stage is a simple bisection step where the minimum cost is honed down to some acceptable margin of error – see ??.

### 5.2.3 Pinning Dynamic Variables

The remaining collection of closure equations is for the five dynamic variables in the systems model:  $R_0$ ,  $B_0$ ,  $\overline{n}$ ,  $\overline{T}$ , and  $I_P$ . As we are making equations of the following form, the formulas for  $R_0$ ,  $B_0$ , and  $I_P$  are trivial.

$$R_0^{\gamma_R} \cdot B_0^{\gamma_B} \cdot I_P^{\gamma_I} = G(\overline{T}) \tag{??}$$

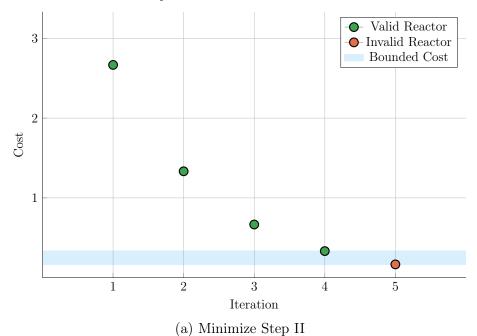
Next, the equation for  $\overline{n}$  – shown in ?? – is just a simple undoing of the Greenwald density limit. The remaining equation is then from the original temperature equation:

$$\overline{T} = const. (??)$$

As was assumed earlier, this is sort of a default equation for the systems model. By this, we mean reactor curves can be created by scanning over temperatures, i.e. set  $\overline{T} = 5$  keV in one run, 10 in the next, etc. This temperature equation also brings up a difficulty for the algebraic solver, as it does not depend on: current, radius, or magnet strength. Overcoming this difficulty is discussed next subsection.

### 542 5.2.4 Detailing the Equation Solver





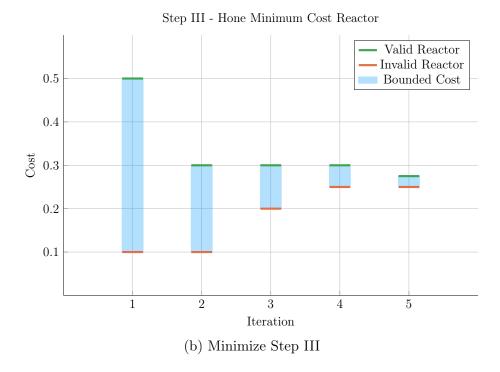


Figure 5-2: Minimize Cost Step II/III – Optimize Reactor

where at least  $R_0$  and  $B_0$  are substituted out of the system. In the temperature case,  $I_P$  is not needed to be explicitly removed.

Concretely, the root solve for the temperature scenario is for the current, whereas it is for the temperature in all other cases. The nomenclature in the code is a *match* for Scenario I (i.e. root solving for plasma temperature), and a *solve* for Scenario II (i.e. root solving for plasma current).

#### Scenario I – Match for $\overline{T}$

$$R_0(\overline{T}) = \left(G_1^{(\gamma_{B,2}\gamma_{I,3}-\gamma_{B,3}\gamma_{I,2})} \cdot G_2^{(\gamma_{B,3}\gamma_{I,1}-\gamma_{B,1}\gamma_{I,3})} \cdot G_3^{(\gamma_{B,1}\gamma_{I,2}-\gamma_{B,2}\gamma_{I,1})}\right)^{\frac{1}{\gamma_{RBI}}}$$
(5.4)

1553

$$B_0(\overline{T}) = \left(G_1^{(\gamma_{I,2}\gamma_{R,3}-\gamma_{I,3}\gamma_{R,2})} \cdot G_2^{(\gamma_{I,3}\gamma_{R,1}-\gamma_{I,1}\gamma_{R,3})} \cdot G_3^{(\gamma_{I,1}\gamma_{R,2}-\gamma_{I,2}\gamma_{R,1})}\right)^{\frac{1}{\gamma_{RBI}}}$$
(5.5)

1554

$$I_{P}(\overline{T}) = \left(G_{1}^{(\gamma_{R,2}\gamma_{B,3}-\gamma_{R,3}\gamma_{B,2})} \cdot G_{2}^{(\gamma_{R,3}\gamma_{B,1}-\gamma_{R,1}\gamma_{B,3})} \cdot G_{3}^{(\gamma_{R,1}\gamma_{B,2}-\gamma_{R,2}\gamma_{B,1})}\right)^{\frac{1}{\gamma_{RBI}}}$$
(5.6)

$$\gamma_{RBI} = (\gamma_{R,1} \gamma_{B,2} \gamma_{I,3} + \gamma_{R,2} \gamma_{B,3} \gamma_{I,1} + \gamma_{R,3} \gamma_{B,1} \gamma_{I,2}) - (5.7)$$

$$(\gamma_{R,1} \gamma_{B,3} \gamma_{I,2} + \gamma_{R,2} \gamma_{B,1} \gamma_{I,3} + \gamma_{R,3} \gamma_{B,2} \gamma_{I,1})$$

Scenario II – Solve for  $I_P$ 

$$R_0(\overline{T}) = \left(G_1^{\gamma_{B,2}} \cdot G_2^{-\gamma_{B,1}} \cdot I_P^{(\gamma_{B,1} \gamma_{I,2} - \gamma_{B,2} \gamma_{I,1})}\right)^{\frac{1}{\gamma_{RBT}}}$$
(5.8)

1556

$$B_0(\overline{T}) = \left(G_1^{-\gamma_{R,2}} \cdot G_2^{\gamma_{R,1}} \cdot I_P^{(\gamma_{I,1} \gamma_{R,2} - \gamma_{I,2} \gamma_{R,1})}\right)^{\frac{1}{\gamma_{RBT}}}$$
(5.9)

$$\gamma_{RBT} = \gamma_{R,1} \, \gamma_{B,2} - \gamma_{R,2} \, \gamma_{B,1} \tag{5.10}$$

## $_{558}$ 5.3 Wrapping up the Logic

As stated at the beginning of the chapter, this systems model basically reduces to a 1559 simple 5 equation/5 unknown algebra problem. The Greenwald density was implicitly 1560 used in the initial derive to simplify the logic. The current balance was then delegated 1561 to be the root solve equation. Lastly, three equations were needed to remove the major 1562 radius and magnet strength, as well as either the current or temperature. These 16 1563 1564 This now sets the stage for the most interesting part of the document – the results. 1565 These will come in several forms. The first result type will be temperature scans 1566 that allow us to validate the model against other designs from the literature. These 1567 are created using the Scenario II solver. 1568 The Scenario I matcher will then be used to create sensitivity studies and Monte 1569 Carlo samplings. The simple one variable sensitivities will reveal local trends from 1570 sweeping various static (i.e. input) variables – namely H,  $\kappa$ ,  $B_{CS}$ , etc. – one at a time. 1571 Whereas the samplings will highlight global trends as many static/input variables are 1572 allowed to vary simultaneously. 1573 These Scenario I matchers are further subdivided in regards to the nature of their 1574 closure equation. The first type comes from finding so called two limit solutions, 1575 which live at the point where the beta and kink (or wall) limits are just marginally 1576 satisfied. The second main type is then minimum cost reactors – measured in either 1577 a capital cost or cost-per-watt context. These will be used in depth next chapter. 1578

## Chapter 6

# Presenting the Code Results

Now that our fusion systems model has been formulated and completed, the next 1581 logical step is to build a codebase and explore reactor space. To this, the code 1582 encompassing this document's model – Fussy.jl – is available at git.io/tokamak (with 1583 a short guide given in ??). The results from this chapter will be divided into three 1584 sections. The first is an attempt to test how accurate the model is by comparing it 1585 with other codes in the field.<sup>1,5,6</sup> The next will be two prototypes developed to fairly 1586 compare pulsed and steady state reactors, the initial motivation for this project. 1587 This chapter will then conclude with a discussion on how best to lower reactor costs. 1588 In line with the MIT mission, this will highlight how using stronger magnets leads 1589 to more compact, economic machines. The new piece of insight, then, is how to 1590 optimally incorporate high-temperature superconducting (HTS) tape technology – 1591 the assumed technological advancement found in the ARC design family. 1592 Succinctly, we will show that HTS tape should be used in the TF coils for steady-state 1593 tokamaks (i.e.  $B_0$ ), whereas it should only be appear in the central solenoid (i.e.  $B_{CS}$ ) 1594 for pulsed ones. This is a fundamentally new result!

### <sup>1596</sup> 6.1 Testing the Code against other Models

After developing a new model, the first next step is to make sure its results are sensical. 1597 The goal, however, is to not go too far, i.e. by: comparing it with too many models 1598 or requiring perfect matches with their results. To this, we will compare Fussy, il with 1599 five designs from the literature – hopefully casting a wide enough net through reactor-1600 space to prove sufficient. It should be noted that for how simple this model is, it does 1601 a remarkable job matching the other group's more sophisticated frameworks. It also 1602 highlights how discrepancies arise in this highly non-linear computational problem. 1603 The first reactor design that will provide a basis for comparison is the ARC reactor.<sup>5</sup> 1604 As it was also designed by MIT researchers, the fit is shown to be almost exact. This 1605 of course probably involves a fair amount of inherent biases stemming from shared 1606 scientific philosophies and knowledge base. 1607 The next set of reactor designs come from the ARIES four-act study.<sup>2</sup> This ARIES 1608 team is a United States effort to reevaluate the problem of designing a fusion reactor 1609 around once a decade. The most recent study focused on how tokamaks would look as 1610 you assume optimistic and conservative values for physics and engineering parameters. 1611 Although our model recovers their results, it does highlight one peculiarity of their 1612 algorithm – reliance on the minimum achievable value of H. 1613 The final series of reactors comes from the major codebase used among European 1614 fusion systems experts: PROCESS.<sup>6</sup> As such, this group actually gives an example for 1615 pulsed vs. steady-state tokamaks. Although these designs have the most discrepancies 1616 with our model, discussion will be given that remedy some of the shortcomings. These 1617 basically amount to: alternative definitions for heat loss appearing in the ELMy H-1618 Mode Scaling, as well as the simplified nature of our flux balance equation – which 1619 only accounts for central solenoid and PF coil source terms. 1620 The most important detail to take from the comparisons done in ????????, however, 1621 is that each steady state design from the literature has H factors and Greenwald 1622 densities  $(N_G)$  that violate standard values (i.e. 1.0). What this means, practically, 1623

is steady-state reactors are not possible in the current tokamak paradigm – some technological advancement is needed.

### 1626 6.1.1 Comparing with the PSFC Arc Reactor

As mentioned, this model matches the results from the ARC design almost perfectly 1627 - see ????. This probably stems from how both models were developed within the 1628 MIT community. Two notable discrepancies between the models, however, are in the 1629 fusion power  $(P_F)$  and bootstrap current fraction  $(f_{BS})$ . These discrepancies likely 1630 arise from the use of simple parabolic profiles for temperature and, thus, can be seen 1631 in the subsequent model comparisons. 1632 Before moving on, though, it is important to explain how the plots and table used 1633 for this comparison are made. First, a list of temperatures between 1 and 40 keV is 1634 scanned to produce a set of reactors – each with their own size  $(R_0)$ , magnet strength 1635  $(B_0)$ , etc. These reactors are then turned into the two curves shown in ?? by mapping 1636 to their respective values. Note that  $R_0$  vs.  $B_0$  is then a measure of the accuracy in 1637 the tokamak's engineering, while  $I_P$  vs  $\overline{T}$  is a measure on its plasma's physics. 1638 Once these curves are created, a design point is chosen on them that has the least 1639 distance to the marked point (from the original model's paper). These two points – or 1640 reactors – are then compared in detail in ??. Note that the input variables are shared 1641 between the original model and this model's input file. The output between the two 1642 is what is different. For clarity, V is the volume of a tokamak in cubic meters, and 1643 the dash on the inductive current fraction  $f_{ID}$  implies it makes up 0% of the current. 1644 The use of a dash for  $\beta_N$  brings up the final piece of information needed to understand 1645 the plots and table creation process – limiting constraints. Note that in ??, the solid 1646 curve has two portions: beta and wall. These are the portions where the beta limit 1647 and the wall loading limit are the driving constraints, respectively. For example at  $B_0$ 1648 = 5T, the wall loading  $(P_W)$  will be much less than the maximum allowed  $2.5 \,\mathrm{MW/m^2}$ . 1649

This is why the dash is next to  $\beta_N$  in ??, as it is held at the maximum allowed value

(i.e.  $\beta_N = 0.026$ .)

Finally, the reason there is a dashed pulsed curve and a solid steady one is because this reactor was run in both modes of operation. The pulsed label is actually a slight misnomer as it implies the generalized current balance formula is used (over the simple steady current from ??). Because pulses are set to 50 years, they are functionally steady-state regardless. The real reason the two curves diverge is because the steady current has a self-consistent current drive efficiency  $(\eta_{CD})$ .

### 1658 6.1.2 Contrasting with the Aries Act Studies

Moving on, the Aries Act study focuses on how steady-state reactors would look under both a conservative and optimistic perspective. This is highlighted in ??, which shows how costs decreases as the H factor is allowed to increase. Notice that for every value of H, the ACT I study (i.e. the optimistic act) has a lower cost than the design from ACT II (i.e. the conservative one).

This figure also highlights another peculiarity of the ARIES study – a reliance on the minimum possible value of H. Note that just left of the reactor point on both plots is a highly erratic portion of the curve. As such, if even a slightly smaller value of H were used in either case, a quite distinct reactor would occur. This is not a robust way to design machines. A better approach would be to build with some safety factor – i.e at a slightly more optimistic value of H. This can be seen in ARC's H-Sweep.

### Act I – Advanced Physics and Engineering

Act 1 is the ARIES study that assumes advanced physics and engineering design parameters. Although this paper's model does a fair job recovering the results from their paper, it does show what optimistic design really means. As can be seen, this design actually only surpasses the minimum possible toroidal field strength by as less than a Tesla! Practically, this means their reactor is barely realizable. Trying to build a 5T device would not be possible using their stated reactor input parameters.

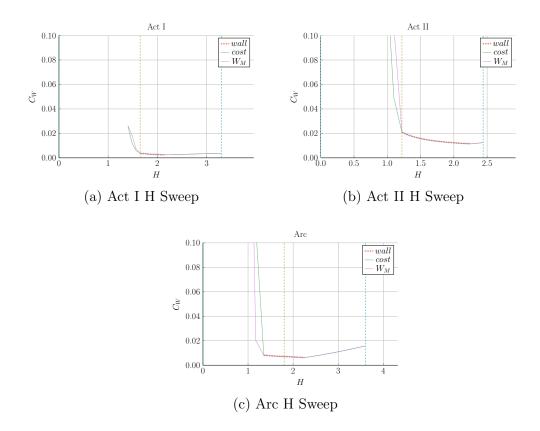


Figure 6-1: Act Studies Cost Dependence on the H Factor

### <sup>1677</sup> Act II – Conservative Physics and Engineering

ARIES more conservative design – Act II – is much more like ARC in nature. From the plots, it is obvious the paper's model is basically right on top of the reactor curve made using Fussy.jl. Much like ARC, too, it shows how the model overestimates fusion power and underestimates bootstrap fraction due to their selection of a pedestal profile for plasma temperature.

### <sup>1683</sup> 6.1.3 Benchmarking with the Process DEMO Designs

The PROCESS team's prospective designs for successors to ITER constitute the final set of model comparisons: the steady-state and pulsed DEMO reactors. As this paper is designed to compare these modes of operation, this study proves most informative.

It also highlights how common model decisions can dramatically alter what reactors

1688 come out of the solvers.

The first discrepancy is how the PROCESS team defines the loss term in the ELMy HMode scaling law. As shown in their paper, they actually subtract out a Bremsstrahlung
component, while leaving the fitting coefficients the same.<sup>6</sup> After modifying Fussy.jl
to incorporate this definition, the steady-state reactor is easily reproducible in  $R_0$  –
B<sub>0</sub> slice of reactor space.

$$P_L^{DEMO} = P_{src} - P_{BR} \tag{6.1}$$

Unlike the steady-state case, however, the modified power loss term does not fix the 1694 pulsed case, as it actually draws the reactor curves further from the design in their 1695 paper. As such, it is flux balance that is now the main culprit for discrepancies 1696 between the two models. This makes sense, as this model uses highly simplified 1697 source terms – namely neglecting anything but the central solenoid and PF coils (as 1698 well as ignoring crucial physics for these two components). Even acknowledging the 1699 differences between the two models, Fussy.jl still does reasonably well at reproducing 1700 their much more sophisticated coding framework. 1701

The final point to make is about selecting optimum points to build as the dynamic variables are allowed to make curves through reactor space. Up to this point, only steady-state tokamak designs have been explored. In every single one of these, though, the paper values have been very close to the point where the beta curves and wall loading curves cross. This is because they all result in the minimum cost-per-watt.

For pulsed designs, on the other hand, kink curves start to appear for low magnetic field strengths. Just as beta-wall intersections were optimum places to design for low cost-per-watt  $(C_W)$  reactors, these beta-kink intersections will prove to be the place where minimum capital cost  $(W_M)$  reactors usually occur. This is discussed in more detail in ??.

### DEMO Steady – A Steady-State ITER Successor

- As shown in ????, the DEMO steady reactor is the design captured worst by the Fussy.jl model. Some discrepency, however can be removed by using the PROCESS team's modified version of heat loss, as given by ??.<sup>6</sup> Although not supported by the official ITER database fit,  $^{26}$  the PROCESS team reduces the absorbed power by the Bremsstrahlung power  $^{27}$  which can lengthen  $\tau_E$  by more than 25%.<sup>7</sup>
- With this correction, the  $R_0 B_0$  curve is drawn to be right on top of their model's design. The same cannot be said for the  $I_P \overline{T}$  curve as steady current was shown to have little dependence on tokamak configuration ( $R_0$  and  $R_0$ ) and, correspondingly, the limiting constraint (e.g. beta and wall).
- Note that the labels of modified and pulsed are slightly obscure in this context. Pulsed, for starters, is actually the generalized solver that does not rely on self-consistent current drive (i.e. in  $\eta_{CD}$ ). The modified label is then when the pulsed solver uses the  $P_L^{DEMO}$  value in approximating heat conductive losses.

#### 1726 DEMO Pulsed – A Pulsed ITER Successor

This pulsed version of DEMO is the only reactor in our collection that is not run in steady-state. As such, it may be the most important one (i.e. it is the only pulsed reactor). The first observation from ?? is that this design actually has no valid wall loading portion – only a kink and beta curve exist! Even so, the results match pretty well. It should be noted, though, that this current drive is treated as an input and not solved self-consistently.

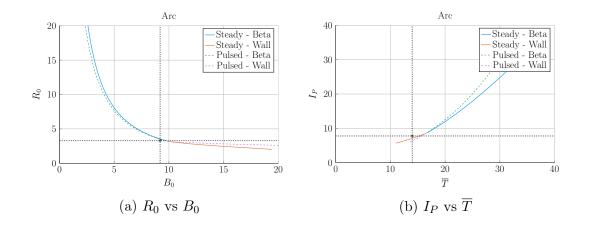


Figure 6-2: Arc Model Comparison

Table 6.1: Arc Variables

(	a	Input	Variables
١.	· co	, iiipac	, allasies

Input	Value
$\overline{H}$	1.8
Q	13.6
$N_G$	0.67
$\epsilon$	0.333
$\kappa_{95}$	1.84
$\delta_{95}$	0.333
$\nu_n$	0.385
$ u_T$	0.929
$l_i$	0.670
A	2.5
$Z_{eff}$	1.2
$f_D$	0.9
$ au_{FT}$	1.6e9
$B_{CS}$	12.77

### (b) Output Variables

Output	Original	Fussy.jl
$R_0$	3.3	3.4
$B_0$	9.2	9.5
$I_P$	7.8	8.8
$\overline{n}$	1.3	1.3
$\overline{T}$	14.0	16.8
$\beta_N$	0.026	_
$q_{95}$	7.2	6.1
$P_W$	2.5	2.2
$f_{BS}$	0.63	0.56
$f_{CD}$	0.37	0.44
$f_{ID}$	-	_
V	141	157
$P_F$	525	726
$\eta_{CD}$	0.321	0.316

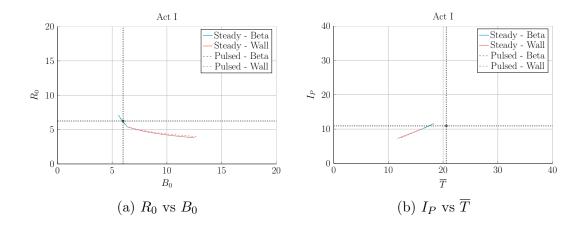


Figure 6-3: Aries Act I Model Comparison

Table 6.2: Act I Variables

(a) Input Variable
--------------------

Input	Value
H	1.65
Q	42.5
$N_G$	1.0
$\epsilon$	0.25
$\kappa_{95}$	2.1
$\delta_{95}$	0.4
$\nu_n$	0.27
$ u_T$	1.15
$l_i$	0.359
A	2.5
$Z_{eff}$	2.11
$f_D^{r,r}$	0.75
$ au_{FT}$	1.6e9
$B_{CS}$	12.77

(b) Output Variables

Output	Original	Fussy.jl
$R_0$	6.25	6.23
$B_0$	6.0	6.0
$I_P$	10.95	10.78
$\overline{n}$	1.3	1.3
$\overline{T}$	20.6	17.2
$\beta_N$	0.0427	-
$q_{95}$	4.5	4.0
$P_W$	2.45	2.00
$f_{BS}$	0.91	0.91
$f_{CD}$	0.09	0.09
$f_{ID}$	-	_
V	582.0	621.4
$P_F$	1813	1865
$\eta_{CD}$	0.188	0.185

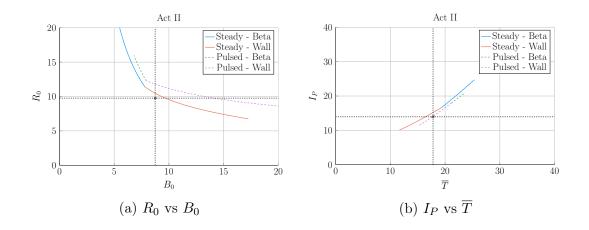


Figure 6-4: Aries Act II Model Comparison

Table 6.3: Act II Variables

(	a	Input	Variables
١.	· co	, iiipac	, allasies

#### Input Value Н 1.22 Q25.0 $N_G$ 1.3 0.25 $\epsilon$ 1.964 $\kappa_{95}$ 0.42 $\delta_{95}$ 0.41 $\nu_n$ 1.15 $\nu_T$ $l_i$ 0.603A2.5 $Z_{eff}$ 2.12 $f_D$ 0.741.6e9 $au_{FT}$ $B_{CS}$ 12.77

### (b) Output Variables

Output	Original	Fussy.jl
$R_0$	9.75	10.22
$B_0$	8.75	9.05
$I_P$	13.98	14.84
$\overline{n}$	0.86	0.82
$\overline{T}$	17.8	17.4
$\beta_N$	0.026	0.023
$q_{95}$	8.0	6.6
$P_W$	1.46	_
$f_{BS}$	0.77	0.66
$f_{CD}$	0.23	0.34
$f_{ID}$	-	-
V	2209	2559
$P_F$	2637	3460
$\eta_{CD}$	0.256	0.307

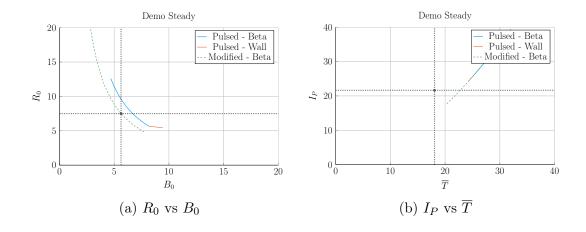


Figure 6-5: Demo Steady Model Comparison

Table 6.4: Demo Steady Variables

(a) Input	Variables		(b) Outpu	t Variables	3
Input	Value	Output	Original	Fussy.jl	Modified
$\overline{H}$	1.4	$R_0$	7.5	8.2	7.6
Q	24.46	$B_0$	5.627	6.307	5.577
$N_G$	1.2	$I_P$	21.63	30.93	22.05
$\epsilon$	0.385	$\overline{n}$	0.875	1.048	0.855
$\kappa_{95}$	1.8	$\overline{T}$	18.07	27.83	23.00
$\delta_{95}$	0.333	$\beta_N$	0.038	-	_
$\nu_n$	0.3972	$q_{95}$	4.405	3.761	4.360
$ u_T$	0.9187	$P_W$	1.911	4.151	2.281
$l_i$	0.900	$f_{BS}$	0.611	0.424	0.492
A	2.856	$f_{CD}$	0.389	0.576	0.508
$Z_{eff}$	4.708	$f_{ID}$	-	-	_
$f_D$	0.7366	¥	2217	2879	2351
$ au_{FT}$	1.6e9	$P_F$	3255	8971	4306

0.4152

 $au_{FT}$   $B_{CS}$ 

12.85

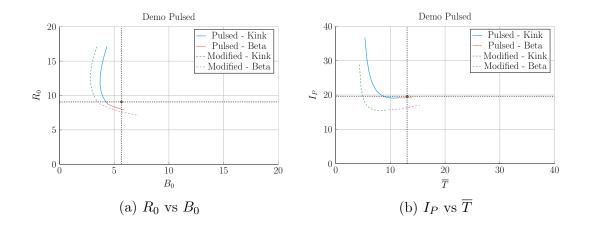


Figure 6-6: Demo Pulsed Model Comparison

Table 6.5: Demo Pulsed Variables

(a) Input	Variables		(b) Outpu	t Variables	3
Input	Value	Output	Original	Fussy.jl	Modified
$\overline{H}$	1.1	$R_0$	9.07	8.10	7.61
Q	39.86	$B_0$	5.67	5.48	5.71
$N_G$	1.2	$I_P$	19.6	19.3	16.3
$\epsilon$	0.3226	$\overline{n}$	0.7983	0.9795	0.9384
$\kappa_{95}$	1.59	$\overline{T}$	13.06	13.28	13.00
$\delta_{95}$	0.333	$\beta_N$	0.0259	-	-
$\nu_n$	0.27	$q_{95}$	3.247	2.853	3.303
$ u_T$	1.094	$P_W$	1.05	1.47	1.23
$l_i$	1.155	$f_{BS}$	0.348	0.164	0.190
A	2.735	$f_{CD}$	0.096	0.106	0.103
$Z_{eff}$	2.584	$f_{ID}$	0.557	0.730	0.707
$f_D$	0.7753	V	2502	1751	1452
$ au_{FT}$	7273	$P_F$	2037	2376	1756

 $\eta_{CD}$ 

0.2721

 $B_{CS}$ 

12.77

## <sup>1733</sup> 6.2 Developing Prototype Reactors

Now that the model used in Fussy, il has been tested against other fusion systems codes 1734 in the field, we will develop our own prototype reactors. Because this paper is about 1735 making a levelized comparison of pulsed and steady-state tokamaks, we will develop 1736 middle-of-the-road reactors that only differ by operating mode. The parameters for 1737 these two designs are captured in ????. 1738 To compare the two modes of operation, the steady-state prototype, Charybdis, is 1739 the obvious choice to start with – as the model was tested against four of these typed 1740 reactors. It was also pointed out that the model did remarkably well when recreating 1741 ARC. As the authors share many of the ARC team's philosophies, Charybdis uses 1742 static parameters very similar to them.<sup>5</sup> 1743 Next, although led to believe Charybdis' pulsed twin reactor – Proteus – would be 1744 created by a simple flip of the switch, it was a slight oversimplification. The first 1745 difference is that the pulsed twin, Proteus, is assumed to be purely pulsed:  $\eta_{CD}=0$ . 1746 Further, the bootstrap current is much less important than it was for steady-state 1747 tokamaks. This corresponds to a current profile peaked at the origin – i.e. a parabola. Numerically, this is done by raising  $l_i$  from around 0.55 to 0.6. 1749 The final difference creates the largest change in the twin reactors: the choice of 1750 necessary technological advancement. As mentioned several times before, the H factor 1751 is a common way designers artificially boost the confinement of their machines. This H value will thus be the technological advancement needed for Charybdis, the steady-1753 state prototype. Next, as the main conclusion of this paper is to state the advantages 1754 of high magnetic field, an inexpensive way to strengthen the central solenoid – through 1755  $B_{CS}$  – will be employed using HTS coils. 1756 The goal now is to impose a constraint on a reactor's economic competitiveness by 1757 setting the fusion power to a relatively low value for both designs – i.e. 1250 MW. 1758 As ?? shows, this results in Charybdis having an H factor of 1.7 and Proteus having 1759 a  $B_{CS}$  of around 20T. As shown in the Proteus cost curve, this was at a point where the ratio between the minimum capital cost and the minimum cost-per-watt leveled off.

Note that these technological advancements (in H and  $B_{CS}$ ) are necessary to get economic – or even physically realizable – reactors. This is the same reason why all the literature reactors used values for H and  $N_G$  that violate standard values.

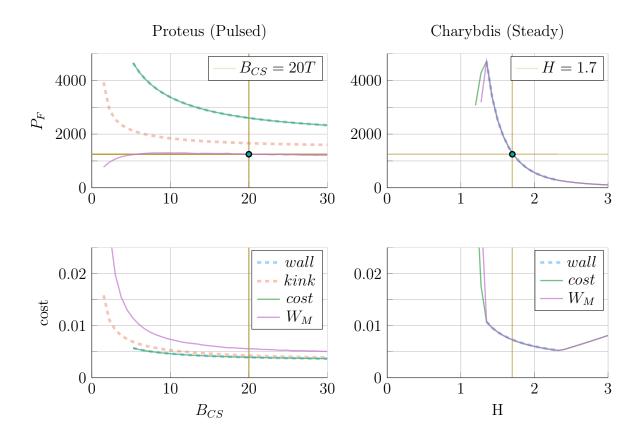


Figure 6-7: Designing Reactor Prototypes

As is convention in fusion engineering, designs are built using one assumed technological advancement. For steady-state reactors, we assume a method for improving confinement – by increasing H. While in the pulsed case, the advancement is inexpensive magnet technology for stronger fields in the central solenoid –  $B_{CS}$ .

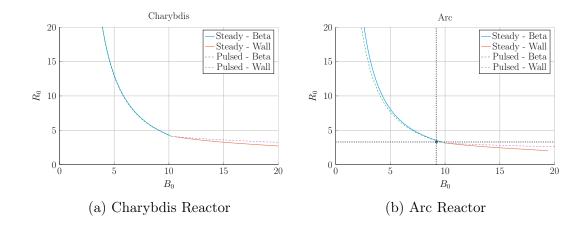


Figure 6-8: Steady State Prototype Comparison

Table 6.6: Charybdis Variables

/ \	· -	
(a)	Input	Variable
(a	mout	variable

Input	Value
$\overline{H}$	1.7
Q	25.0
$N_G$	0.9
$\epsilon$	0.3
$\kappa_{95}$	1.8
$\delta_{95}$	0.35
$\nu_n$	0.4
$ u_T$	1.1
$l_i$	0.558
A	2.5
$Z_{eff}$	1.75
$f_D$	0.9
$ au_{FT}$	1.6e9
$B_{CS}$	12.0

## (b) Output Variables

Output	Value
$R_0$	4.13
$B_0$	10.28
$I_P$	8.98
$\overline{n}$	1.47
$\overline{T}$	15.81
$\beta_N$	0.028
$q_{95}$	6.089
$P_W$	3.003
$f_{BS}$	0.723
$f_{CD}$	0.277
$f_{ID}$	0.0
V	225.5
$P_F$	1294
$\eta_{CD}$	0.291

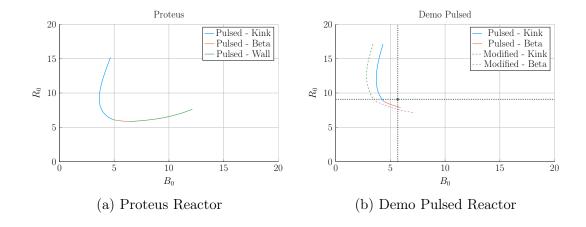


Figure 6-9: Pulsed Prototype Comparison

Table 6.7: Proteus Variables

### (a) Input Variables

#### Input Value Н 1.0 Q25.0 $N_G$ 0.9 0.3 $\epsilon$ 1.8 $\kappa_{95}$ $\delta_{95}$ 0.350.4 $\nu_n$ 1.1 $\nu_T$ 0.633 $l_i$ A2.5 $Z_{eff}$ 1.75 $f_D$ 0.9 7200 $au_{FT}$ $B_{CS}$ 20.0

### (b) Output Variables

Output	Value
$R_0$	6.11
$B_0$	4.93
$I_P$	15.54
$\overline{n}$	1.16
$\overline{T}$	11.25
$eta_N$	0.028
$q_{95}$	2.5
$P_W$	1.763
$f_{BS}$	0.2675
$f_{CD}$	0.0
$f_{ID}$	0.7325
V	732.6
$P_F$	1667
$\eta_{CD}$	0.0

## 1766 6.2.1 Navigating around Charybdis

The Charybdis reactor is the steady-state twin developed for this paper. As mentioned, its parameters are similar to the ARC design. This is shown in ??, where the two  $R_0 - B_0$  curves are almost interchangeable. Before moving on, it proves useful to note that the optimum place to build on these curves is where the two portions intersect – as it minimizes costs. These cost curves are shown in ??.

### 1772 6.2.2 Pinning down Proteus

The pulsed twin reactor, Proteus, highlights the effects of a high field central solenoid.

When compared to the Pulsed Demo design, the  $R_0 - B_0$  curve looks far more favorable – i.e. each machine built at a certain magnet strength would be more compact (and cheaper). An interesting facet of Proteus is that it exhibits all three used limits: kink safety factor, Troyon beta, and wall loading. Cost curves are shown in ??.

## 1778 6.2.3 Highlighting Operation Differences

Before moving onto general conclusions taken from the data, a quick investigation into the pulsed vs steady-state twin results is in order. A comparison between the two is best abridged in ??.

Most apparently, pulsed reactors are typically larger than steady-state ones and are meant to be run at higher plasma currents. The former behavior was seen with the DEMO designs, 6,7 whereas the latter was already mentioned in discussing how steady-state reactors never saw a kink (current limiting) regime. Additionally pulsed machines can be run at much lower temperatures because their higher current improves confinement.

These combined effects lead to the minimum cost reactors for steady-state operation having much higher toroidal field strengths than their pulsed counterparts. This is discussed in ?? when explaining optimum use of HTS tape.

Table 6.8: Proteus and Charybdis Comparison

(a) Charybdis

(b) Proteus

( )	v
Output	Value
$R_0$	4.13
$B_0$	10.28
$I_P$	8.98
$\overline{n}$	1.47
$\overline{T}$	15.81
$f_{BS}$	0.72
$f_{CD}$	0.28
$P_F$	1300
$W_{M}$	9.48
$C_W$	0.007

Output	Value	
$R_0$	6.11	
$B_0$	4.93	
$I_P$	15.54	
$\overline{n}$	1.16	
$\overline{T}$	11.25	
$f_{BS}$	0.27	
$f_{ID}$	0.73	
$P_F$	1650	
$W_{M}$	7.09	
$C_W$	0.004	

## $_{\scriptscriptstyle{91}}$ 6.3 Learning from the Data

Now that the model has been properly vetted and prototypes designed, we can explore how pulsed and steady-state tokamaks scale. This will lead to three mostly independent results. The first result will explore how to minimize costs for a reactor by choosing optimum design points. The next will be an argument for how to properly utilize the HTS magnet technology in component design. Lastly, we will take a cursory look at the other parameters capable of lowering machine costs.

## 1798 6.3.1 Picking a Design Point

With more than twenty design parameters, finding the most economic reactor is computationally intractable. Intuition building aside, finding optimum reactors becomes
much more feasible when only focusing on dynamic variables – i.e. when keeping static
variables constant. This method, for example, is how all the  $R_0 - B_0$  curves have
been produced this chapter. Once these curves are produced, it is up to the user to
choose which reactor on them to build. However, the guiding metric usually involves
lowering some cost, either: capital cost or cost-per-watt.

Regardless of reactor type, most economic tokamaks operate near the beta limit – where plasma pressure is greatest. Besides being a regime highly sensitive to magnetic

#### Reactor Limit Regimes

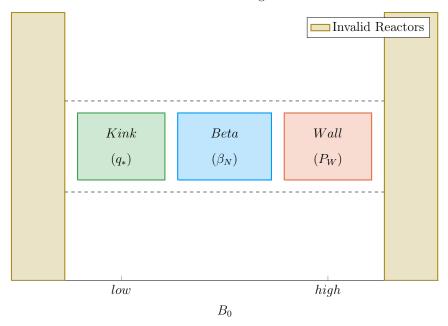


Figure 6-10: Limiting Constraint Regimes

At a simple level, a reactor has around three regimes of design limiting constraints. At low fields, the kink safety factor – through  $q_*$  and ?? – drives design. Then at high fields, wall loading – through  $P_W$  and ?? – guide reactors. And between the two, the beta limit – through  $\beta_N$  and ?? – are the limiting constraint.

field strength, the beta limit is a constraint that occurs on every reactor (seen by the

1808

authors). This beta limit  $(\beta_N)$  is usually nested between the kink limit  $(q_*)$  to lower 1809  $B_0$  values and wall loading  $(P_W)$  to higher ones. Understanding these regimes is the 1810 first step towards building an intuition favoring economic machines – see ??. 1811 Now that the beta limit curve has been designated as the most economic regime to 1812 operate in (usually), the goal is to select which reactor on it is the best one to build. 1813 Starting with the easier of the two, the optimum design point for steady-state reactors 1814 is the point where wall loading first starts to dominate the design. Due to the wall 1815 loading relation (see ??), this causes the reactor to start increasing in size and cost – 1816 which is bad. This conclusion is justified by the cost curves for all five reactors in ??. 1817 As these show, it is also where these reactor designers pinned down their tokamaks.\* 1818

<sup>\*</sup> Simply stated, the optimum reactor for steady-state tokamaks is one that just barely satisfies the beta and wall loading limit simultaneously - i.e. where the two curves intersect.

The problem of selecting an optimum design is more difficult for the pulsed case. 1819 This is mainly due to there being a regime where the kink safety factor can actually 1820 be a guiding limiting constraint. Following the conclusion from steady-state reactors 1821 would be an oversimplification because there are actually two costs relevant to a 1822 reactor: capital cost and cost-per-watt. These beta-wall reactors are actually the 1823 points often best for minimizing cost-per-watt (i.e. your rate of return). The new 1824 beta-kink reactors, then, lead to cheap to build machines – as they minimize capital 1825 cost. These conclusions are shown in ??. 1826

Summarizing the conclusions of this subsection, the beta limit is usually the best 1827 constraint to operate at. For lowering the cost-per-watt, a reactor should always be 1828 run at the highest magnetic field strength  $(B_0)$  that has the beta limit at its maximum 1829 allowed value. This most often occurs when wall loading takes over (for steady-state 1830 reactors) or reactors start being physically unrealizable (for pulsed ones). Building 1831 cheap to build reactors – i.e. minimizing capital cost – then actually proved to make 1832 pulsed design one of trade-offs. This is because the beta-kink curve intersection 1833 produces a low capital cost reactor, but at the price of operating at a subpar cost-1834 per-watt. Designers should therefore balance the two cost metrics when pinning down 1835 a pulsed reactor. 1836

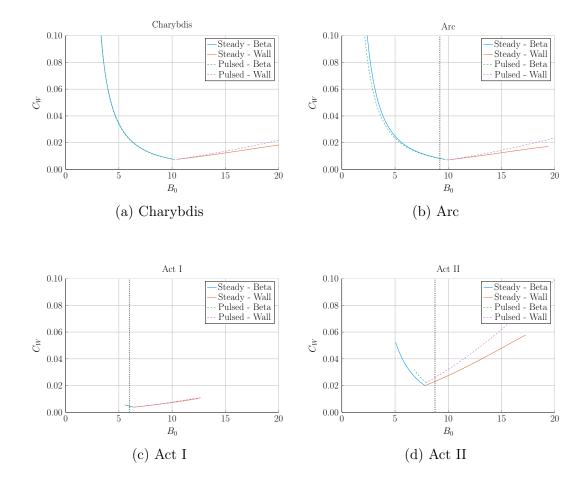


Figure 6-11: Steady State Cost Curves

Steady state reactors typically have two regimes – a lower magnet strength beta limiting one and a high field wall loading one. As shown, each steady state scan produces a minimum cost reactor at the point where the two regimes meet.

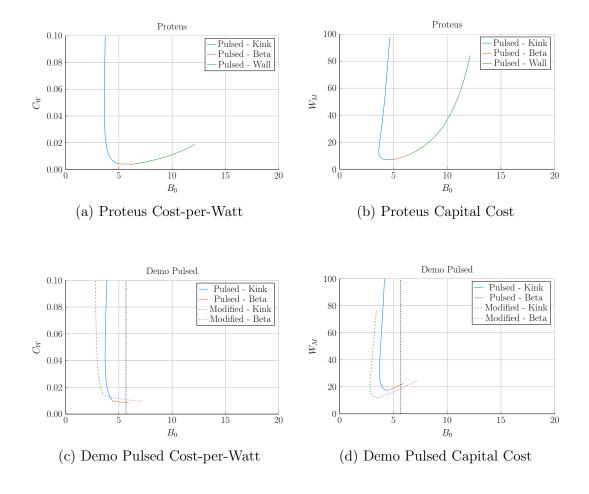


Figure 6-12: Pulsed Cost Curves

Pulsed reactor design is slightly more ambiguous than steady-state in terms of selecting an operating point. These plots show that the cost-per-watt is reduced at the highest field strength available to beta regime reactors. The minimum capital cost then occurs when the beta and kink limit are both just marginally satisfied.

## 1837 6.3.2 Utilizing High Field Magnets

The main conclusion for this paper is that high field magnets are the way to go to build an economic, compact fusion reactor. In line with the MIT ARC effort, these high fields will be built with high-temperature superconducting (HTS) tape. This innovation is set to nearly double the strength of conventional magnets. The real question is how best to use this technology.

At a very simple level, there are two main places strong magnets can be employed: the toroidal fields  $(B_0)$  and the central solenoid  $(B_{CS})$ . The easier mode of operation to start with is steady-state. This is because steady-state tokamaks do not rely on a central solenoid to run their functionally infinite length pulses. Further, the cost curves in ?? show that all these designs would benefit from toroidal fields  $(B_0)$  not achievable with conventional magnets – which can only reach around 13 T.

The more interesting result is that pulsed reactors gain no real benefit from using 1849 HTS toroidal field magnets – as mentioned previously in ??. Within the modern 1850 paradigm (i.e. D-T fuel, H-Mode, etc), pulsed reactors never have to exceed the 1851 limits of less expensive LTS magnets. The place HTS can really help is with the 1852 central solenoid, which governs how long a pulse can last. Further, improvements 1853 to the central solenoid have diminishing returns past the range accessible to HTS 1854 tape. Again, HTS would be more than adequate for the modern paradigm. These 1855 conclusions are shown in ?????. 1856

Summarizing this subsection, HTS tape is one of the best ways to lower the cost of fusion reactors at a commercial scale. For steady-state reactors, HTS works best in the toroidal field coils  $(B_0)$ , while the tape would fare better in the central solenoid  $(B_{CS})$  of pulsed reactors. Further, both effects saturate within the range of this HTS tape, rendering more sophisticated magnetic technology unnecessary. HTS is thus one technological advancement that could help usher in an era of affordable fusion energy.

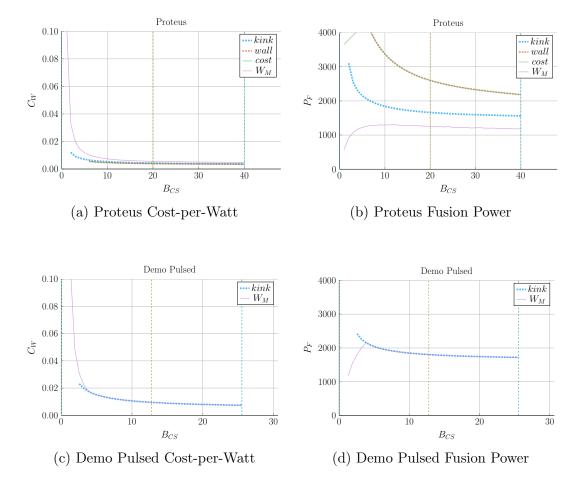


Figure 6-13: Pulsed  $B_{CS}$  Sensitivity

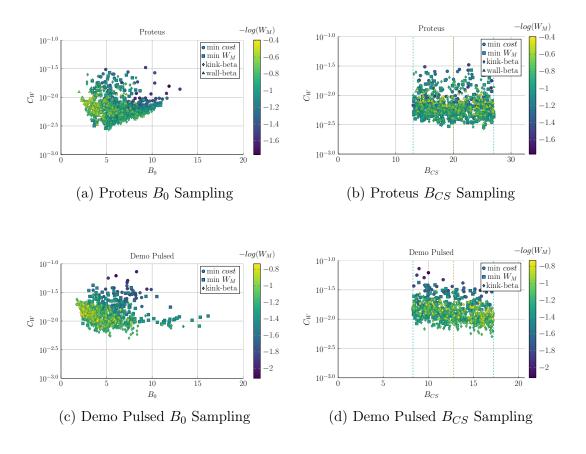


Figure 6-14: Pulsed Monte Carlo Sampling

## 1864 6.3.3 Looking at Design Alternatives

Even in this relatively simple fusion model, there are more than twenty static/input variable knobs a designer can tune to improve reactor feasibility. Many have
practical limits, such as being physically realizable or fitting within the ELMy HMode database. Thus, the goal of this subsection is to investigate some of the more
interesting results. Although many more plots are available in the appendix.

#### 1870 Capitalizing the Bootstrap Current

Besides artificially enhancing a plasmas confinement with the H-factor, steady-state reactor designers may also heavily rely on high bootstrap currents. This is because bootstrap current is the portion of current you do not have to pay for. The research groups most focused on this technological advancement are General Atomic's DIIID in San Diego and PPPL's NSTX-U in New Jersey. This advancement relies on tailoring current profiles to be much more hollow.

Quickly reasoning this thought process are two sets of plots. The first plot (??)
highlights how the cheapest possible steady-state designs have bootstrap fractions
approaching unity – they use almost no current drive. This makes sense as current
drive is extremely cost prohibitive (i.e. why people consider pulsed tokamaks).

The next plot  $(\ref{eq:condition})$  is the parameter that determines a current profile's peak radius:  $l_i$ . As can be seen, the current peak approaches the outer edge of the plasma as  $l_i$  decreases. This in turn boosts the bootstrap fraction closer to one – leading to inexpensive reactors.

#### 1885 Contextualizing the H-Factor

From before, increasing the H-factor always led to more cost effective steady-state reactors. This is because the enhanced confinement allows for smaller machines.

This was already heavily explored in ??. These plots also show that steady state

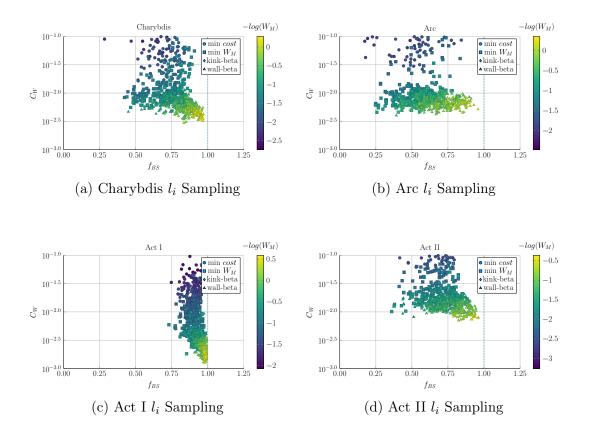


Figure 6-15: Bootstrap Current Monte Carlo Sampling

The purpose of these plots is to show that a high bootstrap current always reduces the cost of a steady state reactor – highly independent of actual input quantities (i.e.  $\epsilon$ ,  $l_i$ , etc.)

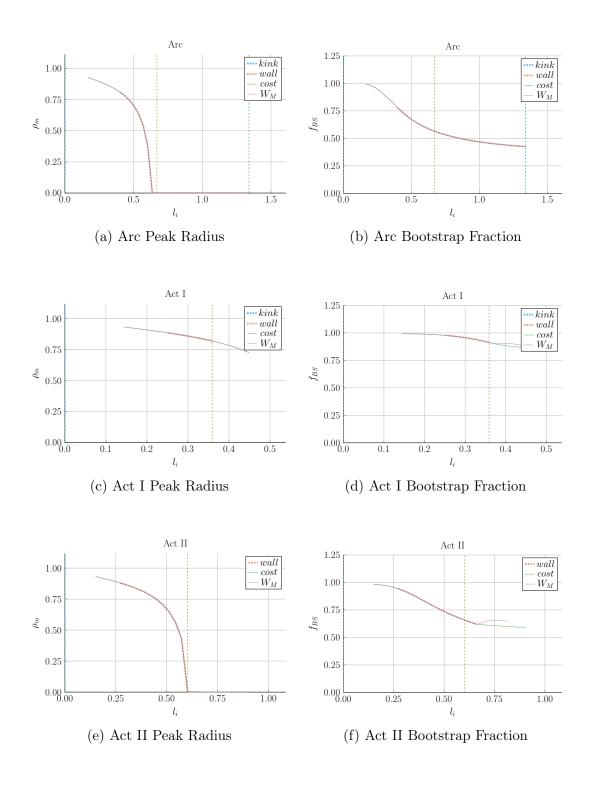


Figure 6-16: Internal Inductance Sensitivities

The internal inductance has a strong influence on the peaking radius  $(\rho_m)$  of the hollow profile and the bootstrap current fraction  $(f_{BS})$ . Lowering the internal inductance thus makes a profile more hollow, which in turn increases the bootstrap fraction.

reactors would not be physically possible using a default H factor of one! In other words, steady-state tokamaks require some technical advancement before they can ever be used as fusion reactors. The same cannot be said for pulsed machines.

For pulsed reactors, increasing H always reduces capital cost, but may actually increase the cost-per-watt. This is because the fusion power can decrease at a faster rate than the capital cost in a pulsed tokamak – both of which appear in ?? defining the cost-per-watt. This interesting result demonstrates the unusual behaviors of highly non-linear systems: masterclass intuition may not match model results.

#### 1897 Showcasing the Current Drive Efficiency

The last exploration is less about building an economic machine and more about understanding the self-consistent current drive efficiency in steady-state tokamaks.

Using the Ehst-Karney model<sup>17</sup> coupled with standard analysis<sup>4</sup> leads to a remarkably simple and accurate solver. As shown in ??, the model captures the physics almost exactly for the different designs.\*

In a similar fashion as the bootstrap fraction results, the variable that most captures how to directly maximize  $\eta_{CD}$  is the LHCD wave launch angle,  $\theta_{wave}$ . When below 90° it is considered outside launch, whereas up to 135° it is considered inside launch. Notably, these curves are not monotonic, there is an optimum launching angle – as shown in ??.

It should be noted that the launch angle was not found to have a major impact. This may be a due to an oversimplification of the model, as sources suggest inside launch is preferable for multiple reasons./citeadx

<sup>\*</sup> It did, however, not converge for the DEMO steady reactor. This is probably due to lack of self-consistency for  $\eta_{CD}$  in their systems framework.

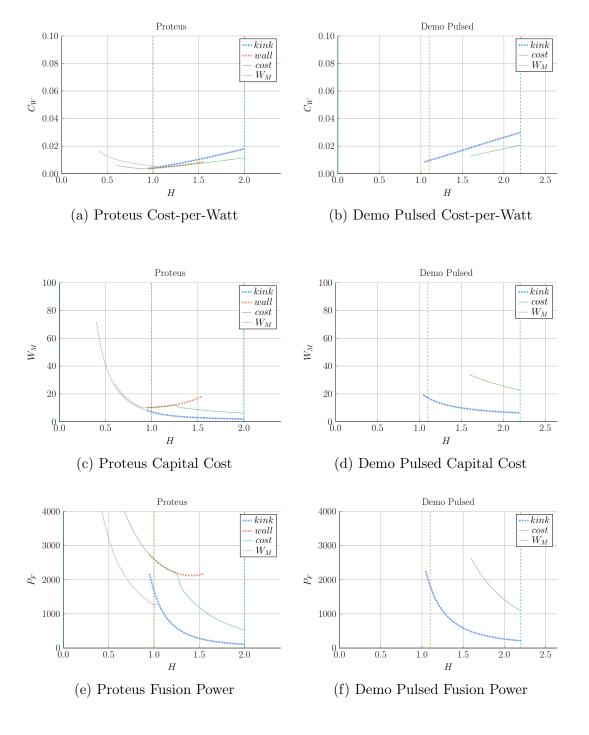


Figure 6-17: Pulsed H Sensitivities

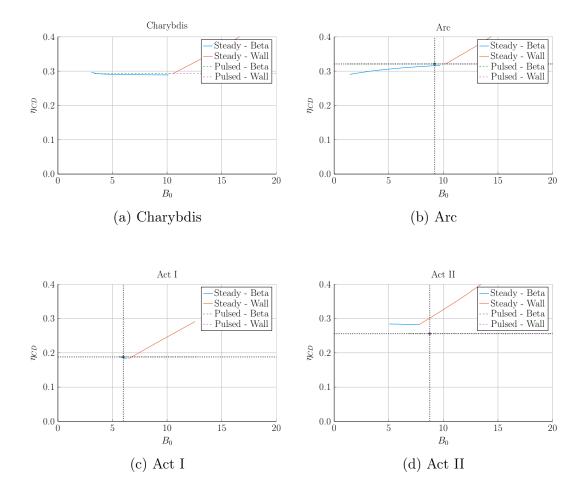


Figure 6-18: Steady State Current Drive Efficiency

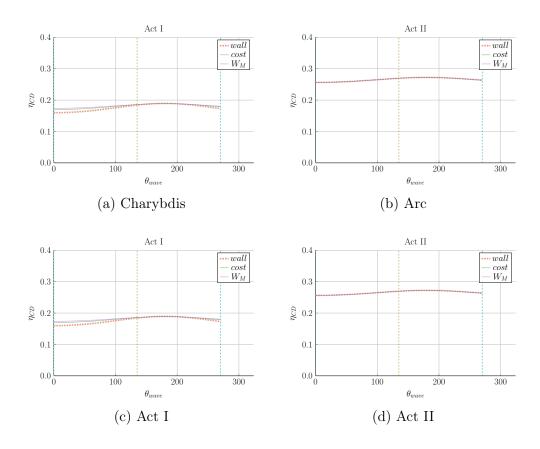


Figure 6-19: Current Drive Efficiency vs Launch Angle

## Chapter 7

# Planning Future Work for the Model

This model may run and produce interesting results, but there is always more to be done. This chapter explores three potential fusion reactors that could help guide real world designs. These are: a stellarator (Ladon), a steady-state/pulsed composite (Janus), and a tokamak capable of reaching H, L, and I modes (Daedalus). The chapter then concludes by describing several possible model improvements, including: adding radiation sources, using pedestal profiles, and improving flux balance.

## $_{\scriptscriptstyle{1919}}$ 7.1 Incorporating Stellarator Technology – Ladon

A stellarator is, at a basic level, a tokamak helically twisted along the length of its major circle. For a long time they were dismissed because of their poor transport properties. Recent technological improvements, though, have eased this situation – as seen with the Wendelstein 7-X device in Germany. The problem now is engrained in the underdeveloped scaling laws stemming from a lack of machines and, more fundamentally, data points.

To model Ladon, this paper's proposed stellarator, one would need to replace at least: the Greenwald density limit and the confinement time scaling law. In place of the Greenwald density will likely be some other density or current limit, possibly the

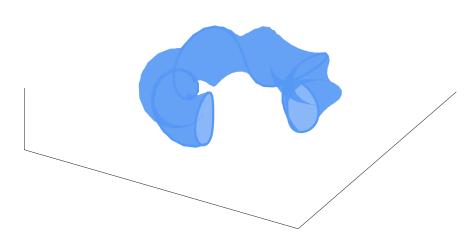


Figure 7-1: Cut-Away of Stellarator Reactor

Bremsstrahlung density limit.<sup>28</sup> This may require the density to be carried throughout 1929 analysis – thus appearing explicitly in one column of ??. 1930

#### Making a Composite Reactor – Janus 7.2

leads to a smaller, more economic machine.

1940

1941

The next interesting reactor would be a composite tokamak incorporating pulsed and 1932 steady-state operation: Janus. Fundamentally, this would involve current coming 1933 from both LHCD (steady-state), as well as inductive (pulsed) sources. This was 1934 actually used in Demo Pulsed, but the current drive was not handled self-consistently. 1935 Coupling these two current sources could reduce reliance on bootstrap current and 1936 lead to much more compact machines. 1937 The arguments against this are mainly technical: why build two difficult auxiliary 1938 systems when one is needed – especially when they probably work against each other. 1939 Although rational, it may turn out that the larger current achievable with two sources

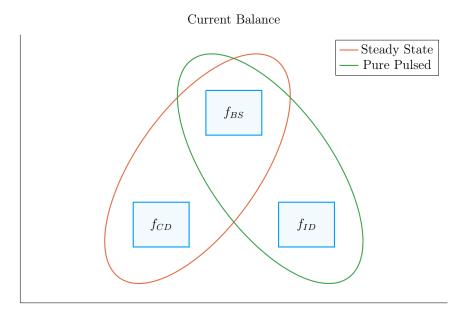


Figure 7-2: Current Balance in a Tokamak

In a tokamak, there needs to be a certain amount of current – and that current has to come from somewhere. All good reactors have an adequate bootstrap current. What provides the remaining current is what distinguishes steady state from pulsed operation.

## <sup>942</sup> 7.3 Bridging Confinement Scalings – Daedalus

The final potential reactor – Daedalus – is designed so that it can be run in H-Mode, L-Mode, and I-Mode. Because L-Mode is available on any machine, the first step is actually building under H-Mode. The goal then is to find reactors that can also reach I-Mode – simultaneously improving the scaling law's fit and possibly making the actual reactor more economic.

Presented below are the three confinement scaling laws, as well as the generalized formula. As should be noted, the I-Mode scaling currently lacks a true radial dependence – as it has only been found on two machines. This is one reason Daedalus would be so valuable.

$$\tau_E^G = K_\tau H \frac{I_P^{\alpha_I} R_0^{\alpha_R} a^{\alpha_a} \kappa^{\alpha_\kappa} \overline{n}^{\alpha_n} B_0^{\alpha_B} A^{\alpha_A}}{P_{src}^{\alpha_P}}$$
 (??)

$$\tau_E^H = 0.145 H \frac{I_P^{0.93} R_0^{1.39} a^{0.58} \kappa^{0.78} \overline{n}^{0.41} B_0^{0.15} A^{0.19}}{P_{ora}^{0.69}}$$
(??)

1952

$$\tau_E^L = 0.048 H \frac{I_P^{0.85} R_0^{1.2} a^{0.3} \kappa^{0.5} \overline{n}^{0.1} B_0^{0.2} A^{0.5}}{P_{src}^{0.5}}$$
(7.1)

1953

$$\tau_E^I = \frac{0.014 \, H}{0.68^{\lambda_R} \cdot 0.22^{\lambda_a}} \cdot \frac{I_P^{0.69} \, R_0^{\lambda_R} \, a^{\lambda_a} \, \kappa^{0.0} \, \overline{n}^{0.17} \, B_0^{0.77} \, A^{0.0}}{P_{src}^{0.29}}$$
(7.2)

1954

$$\lambda_R + \lambda_a = 2.2 \tag{7.3}$$

A final point to make is reemphasizing that the I-Mode scaling law is significantly underdeveloped It is the target of ongoing research at the MIT PSFC.

## 957 7.4 Addressing Model Shortcomings

Before moving on to the final conclusions, we will give a quick recap of several of the more overly simplified phenomena in this fusion systems framework. These include: approximating temperature profiles as simple parabolas, neglecting all radiation except Bremsstrahlung, and handling flux sources at too basic a level. This list is non-comprehensive, as more sophisticated analysis would also help: the divertor heat load, the neutron wall loading, etc.

## 7.4.1 Integrating Pedestal Temperature Profiles

One of the biggest shortcomings of this model is not handling plasma profiles selfconsistently – instead replacing them with simple parabolas. Although these parabolas work for densities and L-Mode plasma temperatures, the same cannot be said
about H-Mode temperatures. This is because they have a distinct pedestal region on
the outer edge of the plasma.

The usage of pedestal temperatures – discussed in the appendix – improves two aspects of the model: the fusion power and the bootstrap current. These were shown in the results to be over-calculated and underestimated, respectively. Pedestals, having a lower core temperature, would decrease the total fusion power. As well, they would boost bootstrap current due to the quick drop near the plasma's edge (i.e. they have a large derivative there).

These improvements could easily be added to the code, because temperature was addressed as a difficult parameter to handle from the beginning.

## 7.4.2 Expanding the Radiation Loss Term

The next area that would be improved by more sophisticated theory would be the radiation loss term. From before, it was pointed out that the Bremsstrahlung radiation was the dominant term within the plasma core and, therefore, provided a first-order approximation. Drawing the radiation losses closer to real world values would involve adding line radiation and synchrotron radiation. The former of which would be needed as high-Z impurities become more important.

## 7.4.3 Taking Flux Sources Seriously

The final oversimplification in the model deals with the flux sources involved in a pulsed reactor – existing at almost every level. First, the derivation of flux balance started with a simple transformer between a solenoid primary and a plasma secondary.

After we developed an equation for flux balance, we compared it to ones in the literature (i.e. PROCESS) to build confidence in the model. To draw this equation closer to theirs, we then added a PF coil contribution a posteriori. This implicitly ignored coupling between most of the components. Thus leading to another source of error for the model. Moreover, this formula for PF coil contribution was much simpler than ones found in other fusion systems codes.

Even though this model may be extremely simple, it does remarkably well at matching more sophisticated codes – and does so at a much faster pace. These suggestions were just ways to account for more realistic physics.

## See Chapter 8

# 2000 Concluding Reactor Discussion

The goal of this document was to fairly compare pulsed and steady-state tokamaks 2001 - using a single, comprehensive model. The main conclusion is that both modes of 2002 operation can produce economic reactors, assuming some technological advancement. 2003 The advancement most supported by the results was in magnet technology, as MIT 2004 is currently exploring with high-temperature superconducting (HTS) tape. 2005 Although some skepticism should be allotted to these conclusions, it was shown that 2006 this simple algebraic solver was capable of matching more sophisticated frameworks 2007 with speed and ease. This model may not provide an engineer's level of rigor for cost 2008 measurements, but does produce empirically-drawn trends applicable to a physics au-2009 dience. Ultimately, it serves to complement higher dimension codes when researchers 2010 want to investigate new areas of reactor space. 2011 What the results truly show, though, is no economic reactor can be built using existing 2012 technology – regardless of whether it runs as pulsed or steady-state. This is why every 2013 design from the literature exceeds standard values for H and  $N_G$ . Some technological 2014 advancement is needed. These may then come from research and development into: 2015

• building stronger magnets using HTS tape

2016

2017

2018

- discovering reliable regimes of enhanced confinement
- producing higher bootstrap fractions with tailored profiles

• optimizing aspect ratio and elongation geometric parameters

2019

As mentioned, using HTS tape to nearly double achievable magnet strengths is one such advancement capable of making reactors economically viable. To best utilize this resource, though, HTS tape should only appear in the TF coils for steady-state machines and in the central solenoid for pulsed ones. This was because the optimum toroidal field strength for pulsed machines was found to be achievable with conventional low-temperature superconducting (LTS) magnets.

Further, it was shown that past the regime of magnet strengths relevant to HTS, cost curves undergo considerably diminished returns. As such, HTS technology would be the final major magnet advancement in the current H-Mode, D-T plasma paradigm.

# Appendix A

# 2030 Cataloging Static Variables

Table A.1: List of Static Variables

Name	Value			
is_pulsed	is reactor pulsed or steady-state			
H	h factor for ELMy H-mode scaling			
Q	Physics Gain $(P_F/P_H)$			
$\epsilon$	inverse aspect ratio			
$\kappa_{95}$	elongation at 95 flux surface			
$\delta_{95}$	triangularity at 95 flux surface			
$ u_n$	parabolic density peaking factor			
$ u_T$	parabolic temperature peaking factor			
$Z_{eff}$	effective charge			
$f_D$	dilution factor			
A	average mass number (in amus)			
$l_i$	internal inductance (interchangeable with $\rho_m$ )			
$ ho_m$	normalized radius of current peak (interchangeable with $l_i$ )			
$N_G$	Greenwald density fraction			
$\eta_T$	thermal efficiency of the reactor			
$\eta_{RF}$	efficiency of the RF antenna			
$ au_{FT}$	time of flattop of reactor pulse			
$B_{CS}$	strength of magnetic field in central solenoid			
$(\beta_N)_{max}$	max allowed normalized beta normal			
$(q_*)_{max}$	min allowed safety factor			
$(P_W)_{max}$	maximum allowed wall loading power per surface area			

## Appendix B

structure for Fussy.jl.

# Simulating with Fussy.jl

Fussy.jl is a 0-D fusion systems code written using the Julia language. The reason for 2033 choosing Julia over say Matlab and Python was due to metaprogramming concerns 2034 and its tight-knit computational community, respectively. Incorporating the model 2035 used throughout this paper, the code is quick to run and matches more sophisticated 2036 frameworks with high fidelity. 2037 This chapter will be broken down into three steps. The first is getting a user up 2038 and running with the code. Once the user gets to this point, hopefully they will 2039 wonder how the code is structured. This will be the second step. The final step 2040 will be explaining the various functions callable on reactor objects – the atomic data 2041

## <sup>3</sup> B.1 Getting the Code to Work

The hardest step of any codebase is getting it up and running. These instructions should get a user to a point where they are a few internet searches away from a working copy of Fussy.jl. As an aide, you can view an interactive collection of Fussy.jl Jupyter notebooks at the following website:

www.fusion.codes

2042

Although fusion.codes is a nice tool for viewing this document's results, it is a little slow for producing new data – and it also lacks a method for storing it. Therefore, an advanced user should first download a copy of Julia from:

julialang.org/downloads

Currently the Fussy.jl codebase is written using v0.6, but should be v1.0 compatible by 2019. Using Julia nomenclature, Fussy.jl is a Julia package. It can be cloned using Julia conventions from the following Github repository:

https://github.com/djsegal/Fussy.jl.git

Once the Fussy.jl package has been cloned into your Julia package library, you should
be able to access it through the Julia REPL or a Jupyter notebook. You can now
reproduce every plot in this text. A quick test to see if your code works is:

using Fussy
cur\_reactor = Reactor(15)

2060

2064 @assert cur\_reactor.T\_bar == 15

## B.2 Sorting out the Codebase

Assuming the user got to this section, the code works and now you want to know what you can do with it. The place to start is in the src folder, again viewable online at:

git.io/tokamak

Within the src folder are several subfolders as well as a few files (e.g. Fussy.jl and defaults.jl). In an attempt to not bore the reader, we will be painting with thick brushstrokes. Further, the methods subfolder will be the topic of the next section – as most involve calls on a reactor object.

### 2074 B.2.1 Typing out Structures

The place to start in any modeling framework is its data structures. These type definitions allow the building of nested hierarchies of constructed objects. The most atomic of these is the Reactor struct, but several other ones allow for solving broader scoped questions (i.e. Scans, Sensitivities, and Samplings.)

#### 2079 The Reactor Structure

Reactors are the most atomic data structure in this fusion systems model. They store all the fields needed to represent a reactor as it exists in reactor space. This obviously includes its temperature, current, and radius, but also includes derived quantities, such as the cost-per-watt and bootstrap fraction. They can be initialized, solved, updated, and honed. Most other data structures are just wrappers to hold these reactors – they are described next.

#### 2086 The Scan Structure

A Scan object is a collection of reactors made from scanning a list of temperatures.
For example, a scan of five temperatures from 5 keV to 25 keV would result in several
arrays of five reactors. Most often, one of these lists would correspond to beta reactors,
one to kink reactors, and one to wall loading reactors. There may then be fewer than
five reactors in a list if some of the reactors are invalid or fundamentally unsolvable.
This is the data structure that produces the various comparison plots in the results.

#### 2093 The Sensitivity Structure

Sensitivity studies are how computationalists test the effect of changing a variable over multiple values – i.e. do a 20% sensitivity around the H factor. Like Scans, Sensitivities store various lists of reactors, each corresponding to an interesting data point. These include limit reactors where the beta limit and kink limit are just

satisfied or when the beta limit and wall loading are just satisfied. Additionally, they include the minimum capital cost reactors and the minimum cost-per-watt ones.

#### 2100 The Sampling Structure

The Sampling struct was created to do simple Monte Carlo runs over a reactor's static values. While sensitivities only allow one variable to change at a time, samplings randomly assign a list of variables to some neighborhood of possible values. These are how the scatter plots are made. Succinctly, where sensitivity studies show local changes to variables, Monte Carlo samplings show global trends in reactor design.

#### 2106 The Equation Structure

In order to store the various equations from ?? is the Equation Struct. It stores the  $\gamma$  exponents for:  $R_0$ ,  $B_0$ , and  $I_P$ . – as well as the function representing  $G(\overline{T})$ . Repeated these are the unknowns in:

$$R_0^{\gamma_R} \cdot B_0^{\gamma_B} \cdot I_P^{\gamma_I} = G(\overline{T}) \tag{??}$$

Concretely, there are 16 objects that use this struct – one for each equation (e.g. for fusion power, the beta limit, and temperature assignment).

#### 2112 The Equation Set Structure

The step up from the Equation struct are the Equation Sets. These collections of three equations allow  $R_0$ ,  $B_0$ , and maybe  $I_P$  to be substituted out of the current balance root-solving equation. This is where ?????????????? come into play.

### 2116 B.2.2 Referencing Input Decks and Solutions

With more than twenty static variables in the model, the range of tokamak reactors is basically infinite. To help users build a net of designs to explore reactor space are seven input decks. These are the ones given in the results: Arc, Act I /II, Demo Steady/Pulsed, Proteus and Charybdis. Coupled with the non-prototype reactors are solution reactors that store various quantities from the original papers (e.g.  $P_F$ ,  $f_{BS}$ ,  $R_0$ ). These are how the comparison tables were constructed.

### 2123 B.2.3 Acknowledging Utility Functions

For the uninitiated, utility functions are grab bag functions that do not really belong 2124 in a codebase – but do anyway. This sentiment does not mean they are worthless, 2125 just not fusion related at all. In Fussy.jl, the most notable are a normalized integral 2126 calculator, a filter that includes numeric tolerances, and a robust root solver. 2127 Although since incorporated into the official Roots.jl package, find\_roots allows 2128 finding an arbitrary number of roots within a bounded range. This was needed 2129 because many roots can be found at various levels of the reactor solving problem – 2130 i.e. for  $I_P$ ,  $\overline{T}$ ,  $\eta_{CD}$ , etc. 2131

### 2132 B.2.4 Mentioning Base Level Files

In addition to subdirectories within the src folder are three files: Fussy.jl, abstracts.jl, and defaults.jl. Fussy.jl is the package's main file that actually stores the Fussy module. While, abstracts.jl stores various abstract structures that help clean up other files.

Finally, defaults.jl stores various default values that are important to the codebase. For example, this is where the various scaling law exponents are stored. It is also where the bounding values for the different root solving problems live. These include minimum and maximum values for:  $I_P$ ,  $\overline{T}$ ,  $\eta_{CD}$ .

Now that a majority of the files have been discussed, we can turn to the reactor methods. These constitute most of the interesting functionality within the codebase.

## 2143 B.3 Delving into Reactor Methods

The reactor is the most atomic data structure in this model. It therefore makes sense that it has many instance methods. These include all the coefficients, fluxes, powers, etc. It also includes methods that solve a reactor, perform a match on some field's value, or converge  $\eta_{CD}$  to self-consistency. The various subdirectories within the src/methods/reactors folder will now be discussed.

#### 2149 Calculations

The calculation subdirectory of reactor methods are used to set various important values in the solver. For dynamic variables, these include:  $\overline{n}$ ,  $R_0$ ,  $B_0$ , and  $I_P$ . This folder also includes the calculation of the Bosch-Hale reactivity and the Ehst-Karney current drive efficiency.

#### 2154 Coefficients and Composites

The coefficients and composites directories correspond to the model's static and dynamic coefficients, respectively. For clarity, static coefficients, including  $K_n$  and  $K_{CD}$ ,
were labeled with a K. Whereas, dynamic coefficients then started with G's – i.e.  $G_{PB}$ and  $G_V$ .

#### 2159 Fluxes and Powers

Within flux balance and power balance were around a dozen terms or sub-terms.

Although not directly used in the conservation equations, sub-terms are used to compare the model to ones from the literature. For clarity, fluxes include:  $\Phi_{CS}$ ,  $\Phi_{PF}$ ,  $\Phi_{RU}$ ,  $\Phi_{FT}$ ,  $\Phi_{res}$ , and  $\Phi_{ind}$ . The powers, then, include:  $P_F$ ,  $P_{BR}$ ,  $P_{\kappa}$ ,  $P_{src}$ ,  $P_W$ , etc.

#### 2164 Profiles

The next collection of reactor methods are the various profiles. Most obviously, these include radial plasma profiles for density, temperature, and current. However, this folder also includes the magnetic field strength as a function of radius – as was used within current drive efficiency calculations.

#### 2169 Geometries

Additionally, there are many geometric relations. These include the various tokamak thicknesses: a, b, c, d – as well as the radius and height of the central solenoid. This group also includes the volume, perimeter, surface area, and cross-sectional area. It also includes the many subscripted fields. For example, the elongation (i.e.  $\kappa_{95}$ ) includes the following alternative definitions:  $\kappa_X$ ,  $\kappa_P$ , and  $\kappa_\tau$ 

#### 2175 Formulas

The final set of reactor methods are formulas that do not really fit anywhere else.

If a method is not related to geometry, power, calculations, etc, it ends up here.

For example, this group includes:  $\beta_N$ ,  $f_{BS}$ ,  $C_W$ , and  $\tau_E$ . Total, there are around 25 formulas – as of the writing of this document.

## B.4 Demonstrating Code Usage

Now that the Fussy.jl package has been described in detail, the final step is showing a simple example that can recreate a figure from the results chapter. This will closely match the Jupyter notebook available at:

www.git.io/fussy\_sensitivity

Our goal will be to make a cost curve for the ARC reactor as a function of H-a so called sensitivity study plot.

### 2187 B.4.1 Initializing the Workspace

```
The first step for any Fussy, il Jupyter notebook is loading the required packages – i.e.
2188
     the Fussy.jl and Plots.jl packages. This can be done using the following commands:*
2189
        addprocs(6)
2190
2191
        @everywhere using Fussy
2192
        using Plots
2193
     The Plots.jl package may take a minute to load – similar to Matlab's initial boot
2194
     time. If the kernel raises an error about Plots.jl not being installed, use the following
2195
    lines:
2196
        import Pkg
2197
        Pkg.add("Plots")
2198
```

### 2199 B.4.2 Running a Study

cur\_sensitivity = 1.0

2210

Now that the necessary packages have been loaded, we can move on to actually 2200 running the sensitivity study. We will split this command into two steps to make it 2201 more explicit. 2202 The first step will be making several variables that store: boolean flags, numbers, and 2203 symbols – which are like strings, but prefaced with a colon (:) instead of surrounded 2204 by double quotes ("). 2205 cur\_param = :H 2206 cur\_deck = :arc 2207 is\_pulsed = false 2208 is\_consistent = true 2209

<sup>\*</sup>The addprocs and @everywhere commands are to parallelize the code. This is because addprocs(6) activates 6 worker processes and @everywhere Fussy.jl adds Fussy.jl to the main kernel and worker processes.

```
cur_num_points = 41
```

These six variables almost completely describe a sensitivity study. The first two 2212 saw we are using the Arc reactor deck and running a sensitivity over the H-factor 2213 parameter. Next, the two boolean values refer to the reactor (1) being treated as 2214 pulsed or steady-state and (2) wether to handle  $\eta_{CD}$  self-consistently.\* Ergo, what 2215 these two flags do is make sure ARC is being handled as a steady-state reactor with 2216 a self-consistent  $\eta_{CD}$ . The last two variables are then ways to change the sensitivity 2217 of the study (with  $1.0 \rightarrow 100\%$ ) and the number of reactors it will produce (i.e. 41). 2218 Now all six of these variables can be piped into a call to the Study struct to start 2219 running the sensitivity study: 2220

```
cur_study = Study(
2221
          cur_param,
2222
          deck = cur_deck,
2223
          is_pulsed = is_pulsed,
2224
          is_consistent = is_consistent,
2225
          sensitivity = cur_sensitivity,
2226
          num_points = cur_num_points
2227
       )
2228
```

Note here that the equal signs inside the parentheses are called keyword arguments, which are common to most modern programming languages. After executing the command, the code will need to run for a few minutes.

## 2232 B.4.3 Extracting Results

At this point, a user should have a completed sensitivity study they wish to plot.

To make the plot useful, the study data structure first has to be unpacked and its

contents cleaned. This is the goal of this subsection.

2236 First and foremost, a study has four families of reactors within it: beta-wall (i.e.

<sup>\*</sup>Note that, currently, a pulsed reactor cannot be self-consistent in  $\eta_{CD}$  – it therefore causes an error.

```
"wall"), beta-kink (i.e. "kink"), minimum capital cost (i.e. "W M"), and minimum
    cost-per-watt (i.e. "cost"). Therefore, we will extract these reactor lists into a new
2238
    dictionary data structure:
2239
       cur_dict = Dict()
2240
2241
        cur_dict["Beta-Wall"] = cur_study.wall_reactors
2242
        cur_dict["Beta-Kink"] = cur_study.kink_reactors
2243
2244
       cur_dict["Min Cost per Watt"] = cur_study.cost_reactors
2245
        cur_dict["Min Capital Cost"] = cur_study.W_M_reactors
2246
    Next, we will want to filter out all the invalid reactors that constitute non-physically
2247
    realizable ones. These would likely be reactors that could fit in your hand or take up
2248
    a whole city block.
       for (cur_key, cur_value) in cur_dict
2250
          cur_dict[cur_key] = filter(
2251
            cur_reactor -> cur_reactor.is_valid,
2252
            deepcopy(cur_value)
2253
          )
2254
        end
2255
```

## 256 B.4.4 Plotting Curves

Our goal is now to turn our unpacked, clean reactor lists into plots – i.e. measuring costs-per-watt as a function of H. For simplicity, this will lack a lot of the features shown in the Jupyter notebook from the beginning of the section. Additionally, we will be doing it in an iterative process made possible by the Plots.jl framework.

The first step is simply making a plot object

```
cur_plot = plot()
```

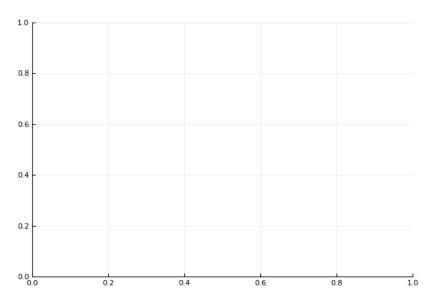


Figure B-1: A Blank Plot

A simple 2-D plot with no labels or data.

After execution, this should produce the plank 2-D plot shown in ??.

Next we will add a simple title and labels for the axes:

```
2265 title!("Arc")
2266
2267 xlabel!("H")
2268 ylabel!("Cost")
```

The exclamation marks ensure this title and the labels are added to the cur\_plot.

Upon execution, you should see a plot with this information (??).

Now we will loop over the dictionary of reactors and add them one at a time.

```
for (cur_key, cur_value) in cur_dict

cur_x = map(cur_reactor -> cur_reactor.H, cur_value)

cur_y = map(cur_reactor -> cur_reactor.cost, cur_value)

plot!(cur_x, cur_y, label=cur_key)

end

plot!()
```

This results in the not very useful plot shown in ??. Note that each label is exactly

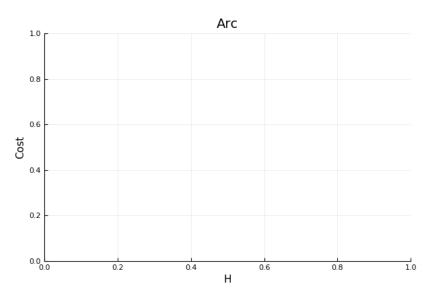


Figure B-2: An Empty Plot

A simple 2-D plot with labels, but no data.

the key assigned to it in cur\_dict.

<sup>2280</sup> The final step is adding proper limits to make what is going on obvious to the reader:

ylims!(0, 0.03)

The addition of which can be seen in ??.

This completes the example. At this point, you should now be able to use every

feature of Fussy.jl. Good luck!

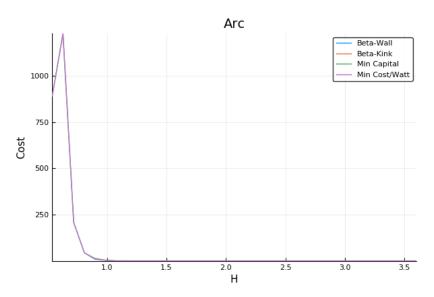


Figure B-3: An Unscaled Plot

A simple 2-D plot with Bad Limits.

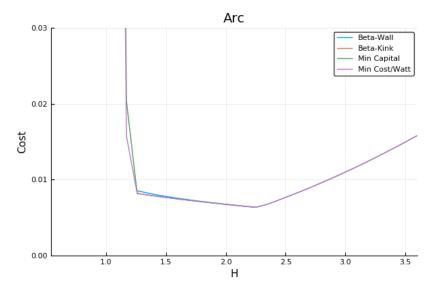


Figure B-4: A Scaled Plot

An example plot showing cost as a function of the H factor.

## 2285 Appendix C

## Discussing Fusion Power

In a tokamak reactor, the main source of output power is fusion. Therefore, this chapter goes over a quick background of fusion power and describes a method for how to calculate the reactivity term that appears inside it. The particular method used for this reactivity approximation was done by Bosch and Hale in 1992.<sup>29</sup>

## <sup>291</sup> C.1 Theoretical Background

The natural place to start when introducing fusion energy is the binding energy per nucleon curve shown in ??. As can be seen, this function reaches a maximum value around the element Iron (A=56). What this means at a basic level is: elements lighter than iron can fuse into a heavier one (i.e. hydrogens into helium), whereas heavier elements can fission into lighter ones (e.g. uranium into krypton and barium). This is what differentiates fission (uranium-fueled) reactors from fusion (hydrogen-fueled) ones. For fusion reactors, the most common reaction in a first-generation tokamak will be:

$$^{2}H + ^{3}H \rightarrow ^{4}He + ^{1}n + E_{F}$$
 (C.1)

2300

$$E_F = 17.6 \text{ MeV} \tag{C.2}$$

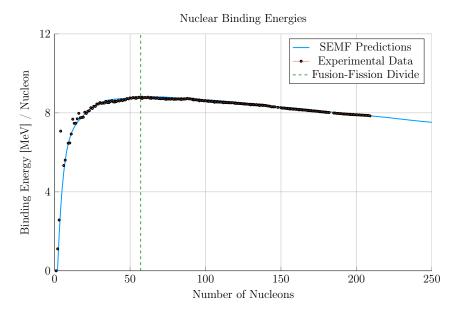


Figure C-1: Comparing Nuclear Fusion and Fission

The binding energy per nucleon is what differentiates nuclear fusion from fission. Nuclei heavier than Iron fission (e.g. Uranium), while light ones – such as Hydrogen – fuse.

What this reaction (shown in ??) describes is two isotopes of hydrogen – i.e. deuterium and tritium – fusing into a heavier element, helium, while simultaneously ejecting a neutron. The entire energy of the fusion reaction  $(E_F)$  is then divvied up 80-20 between the neutron and helium, respectively. Quantitatively, the helium (often referred to as an alpha particle) receives 3.5 MeV.

$$P_n = 0.8 \cdot P_F \tag{C.3}$$

2306

$$P_{\alpha} = 0.2 \cdot P_F \tag{C.4}$$

The final point to make is the main difference between the two fusion products:
helium (i.e. the alpha particle) and the neutron. First, neutrons lack a charge – they
are neutral. This means they cannot be confined with magnetic fields. As such, they
simply move in straight lines until they collide with other particles. As the structure
of a tokamak is mainly metal, the neutron is much more likely to collide there than the
gaseous plasma, which is orders of magnitude less dense. Conversely, alpha particles

#### The Nuclear Fusion Reaction

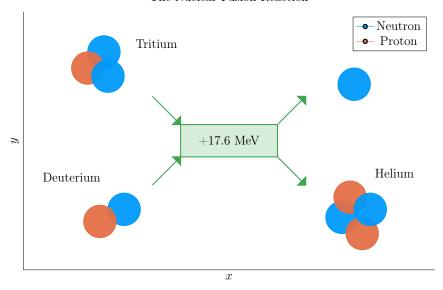


Figure C-2: The D-T Fusion Reaction

In a first generation tokamak reactor, the main source of energy will come from two hydrogen isotopes fusing into a helium particle – and ejecting a 14.1 MeV neutron.

are charged – when stripped of their electrons – and can therefore be kept within
the plasma using magnets. What this means practically is that of the 17.6 MeV that
comes from every fusion reaction, only 3.5 MeV remains inside the plasma (within
the helium particle species).

## 2317 C.2 Bosch-Hale Reactivity

The formula for fusion power used in this model makes use of a reactivity term –  $(\sigma v)$ :<sup>4</sup>

$$P_F = \int E_F \, n_D \, n_T \, \langle \sigma v \rangle \, d\mathbf{r} \tag{C.5}$$

Summarizing the work of ??, this fusion power volume integral can be reduced to a 0-D form – assuming the geometry prescribed by this model:

$$P_F = K_F \cdot (\overline{n}^2 R_0^3) \cdot (\sigma v) \quad [MW] \tag{C.6}$$

2322

$$(\sigma v) = 10^{21} (1 + \nu_n)^2 \int_0^1 (1 - \rho^2)^{2\nu_n} \langle \sigma v \rangle \rho \, d\rho$$
 (C.7)

2323

$$K_F = 278.3 \left( f_D^2 \epsilon^2 \kappa g \right) \tag{C.8}$$

This reactivity term (or volumetric fusion reaction rate) can then be approximated by the Bosch-Hale parameterization, with coefficients given in ??.<sup>29,30</sup>

$$\langle \sigma v \rangle = C_1 \cdot \theta \cdot \exp(-3\xi) \cdot \sqrt{\frac{\xi}{m_{\mu}c^2T^3}} \quad [\text{m}^3/\text{s}]$$
 (C.9)

2326

$$\theta = T \cdot \left(1 - \frac{T(C_2 + T(C_4 + TC_6))}{1 + T(C_3 + T(C_5 + TC_7))}\right)^{-1}$$
(C.10)

2327

$$\xi = \left(\frac{B_G^2}{4\theta}\right)^{1/3} \tag{C.11}$$

For D-T (Deuterium-Tritium) fuel within a standard fusion temperature regime (i.e.  $T \in [10, 20]$  keV), this can be simplified to:<sup>30</sup>

$$\langle \sigma v \rangle_{\rm DT} = 1.1 \times 10^{-24} \cdot T^2 \quad [\text{m}^3/\text{s}]$$
 (C.12)

In our model, each appearance of T is set to the radial profile defined earlier – as it appears inside an integral.

Example tabulations for this reactivity are given in ??.<sup>29–31</sup>

Table C.1: Bosch-Hale parametrization coefficients for volumetric reaction rates

	$^{2}\mathrm{H}(\mathrm{d,n})^{3}\mathrm{He}$	$^2$ H(d,p) $^3$ H	$^3H(\mathrm{d,n})^4\mathrm{He}$	$^{3}$ He(d,p) $^{4}$ He
$B_G [keV^{1/2}]$	31.3970	31.3970	34.3827	68.7508
$m_{\mu}c^2 \; [\text{keV}]$	937 814	$937\ 814$	$1\ 124\ 656$	1 124 572
$C_1$	$5.43360 \times 10^{-12}$	$5.65718 \times 10^{-12}$	$1.17302 \times 10^{-9}$	$5.51036 \times 10^{-10}$
$C_2$	$5.85778 \times 10^{-3}$	$3.41267 \times 10^{-3}$	$1.51361 \times 10^{-2}$	$6.41918 \times 10^{-3}$
$C_3$	$7.68222 \times 10^{-3}$	$1.99167 \times 10^{-3}$	$7.51886 \times 10^{-2}$	$-2.02896\times10^{-3}$
$\mathrm{C}_4$	0.0	0.0	$4.60643 \times 10^{-3}$	$-1.91080\times10^{-5}$
$C_5$	$-2.96400\times10^{-6}$	$1.05060 \times 10^{-5}$	$1.35000 \times 10^{-2}$	$1.35776 \times 10^{-4}$
$C_6$	0.0	0.0	$-1.06750 \times 10^{-4}$	0.0
$_{\rm C_7}$	0.0	0.0	$1.36600 \times 10^{-5}$	0.0
Valid range (keV)	$0.2 < T_i < 100$	$0.2 < T_i < 100$	$0.2 < T_i < 100$	$0.5 < T_i < 190$

Table C.2: Tabulated Bosch-Hale reaction rates  $[\mathrm{m}^3~\mathrm{s}^{-1}]$ 

T (keV)	$^2\mathrm{H}(\mathrm{d,n})^3\mathrm{He}$	$^2\mathrm{H}(\mathrm{d,p})^3\mathrm{H}$	$^3H(\mathrm{d,n})^4\mathrm{He}$	$^{3}$ He(d,p) $^{4}$ He
1.0	$9.933 \times 10^{-29}$	$1.017 \times 10^{-28}$	$6.857 \times 10^{-27}$	$3.057 \times 10^{-32}$
1.5	$8.284 \times 10^{-28}$	$8.431 \times 10^{-28}$	$6.923 \times 10^{-26}$	$1.317 \times 10^{-30}$
2.0	$3.110 \times 10^{-27}$	$3.150 \times 10^{-27}$	$2.977 \times 10^{-25}$	$1.399 \times 10^{-29}$
3.0	$1.602 \times 10^{-26}$	$1.608 \times 10^{-26}$	$1.867 \times 10^{-24}$	$2.676 \times 10^{-28}$
4.0	$4.447 \times 10^{-26}$	$4.428 \times 10^{-26}$	$5.974 \times 10^{-24}$	$1.710 \times 10^{-27}$
5.0	$9.128 \times 10^{-26}$	$9.024 \times 10^{-26}$	$1.366 \times 10^{-23}$	$6.377 \times 10^{-27}$
8.0	$3.457 \times 10^{-25}$	$3.354 \times 10^{-25}$	$6.222 \times 10^{-23}$	$7.504 \times 10^{-26}$
10.0	$6.023 \times 10^{-25}$	$5.781 \times 10^{-25}$	$1.136 \times 10^{-22}$	$2.126 \times 10^{-25}$
12.0	$9.175 \times 10^{-25}$	$8.723 \times 10^{-25}$	$1.747 \times 10^{-22}$	$4.715 \times 10^{-25}$
15.0	$1.481 \times 10^{-24}$	$1.390 \times 10^{-24}$	$2.740 \times 10^{-22}$	$1.175 \times 10^{-24}$
20.0	$2.603 \times 10^{-24}$	$2.399 \times 10^{-24}$	$4.330 \times 10^{-22}$	$3.482 \times 10^{-24}$

# 2333 Appendix D

# Selecting Plasma Profiles

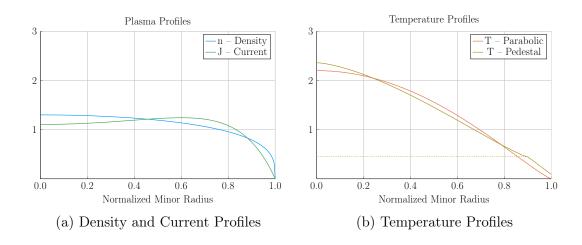


Figure D-1: Radial Plasma Profiles

The three most fundamental properties of a fusion plasma are its temperature, density, and current. These profiles allow the model to reduce from three dimensions to half of one.

## D.1 Density -n

The Density is important to us. We use it in the Greenwald density limit, so it should be clean in both line-averaged and volume-averaged forms. Because of its flat profile,

2338 a parabola is a good approximation for H-mode pulses:

$$n(\rho) = \overline{n} \cdot (1 + \nu_n) \cdot (1 - \rho^2)^{\nu_n} \tag{D.1}$$

The line average density is related to  $\overline{n}$  through:

$$\hat{n} = \overline{n} \cdot \left(\frac{\pi^{1/2}}{2}\right) \cdot \frac{\Gamma(\nu_n + 2)}{\Gamma(\nu_n + 3/2)} \tag{D.2}$$

The convenience of this function comes from how the volumetric average comes out.

To relate this to the volume integral, we use:

$$\overline{x} = \frac{1}{V} \int x(\rho) \, dV \tag{D.3}$$

For a normalized radial profile that does not depend on angle,

$$V = \int_0^1 \rho \, d\rho = 1/2 \tag{D.4}$$

Then, when x = n,

$$\overline{n} = 2 \int_0^1 n(\rho)\rho \, d\rho = \overline{n} \tag{D.5}$$

Additionally, the Greenwald Density limit that we will use throughout,

$$\hat{n} = N_G \cdot \left(\frac{I_M}{\pi a^2}\right) \tag{D.6}$$

2345 can now be written in the following form:

$$\overline{n} = K_n \cdot \left(\frac{I_M}{R_0^2}\right) \tag{D.7}$$

2346

$$K_n = \frac{2N_G}{\epsilon^2 \pi^{3/2}} \cdot \left(\frac{\Gamma(\nu_n + 3/2)}{\Gamma(\nu_n + 2)}\right)$$
 (D.8)

## $\mathbf{D.2}$ Temperature -T

The Temperature is the swept variable in our model framework. Therefore, it's the one we can allow people to be the most cavalier with. Additionally, as temperature profiles are highly peaked, their pedestal region is sometimes wrongfully neglected with a parabola.

$$T(\rho) = \overline{T} \cdot (1 + \nu_T) \cdot (1 - \rho^2)^{\nu_T}$$
 (D.9)

Therefore, our model sometimes treats the system as if it had a pedestal region. This is mainly for the bootstrap current and fusion power, which were previously known to misalign and overshoot, respectively.

$$T(\rho) = \begin{cases} T_{para} , & x \in [0, \rho_{ped}] \\ T_{line} , & x \in (\rho_{ped}, 1] \end{cases}$$
(D.10)

<sup>2355</sup> Where the piecewise functions are given by,

$$T_{para} = T_{ped} + (T_0 - T_{ped}) \cdot \left(1 - \left(\frac{\rho}{\rho_{ped}}\right)^{\lambda_T}\right)^{\nu_T}$$
 (D.11)

2356

$$T_{line} = T_{sep} + (T_{ped} - T_{sep}) \cdot \left(\frac{1 - \rho}{1 - \rho_{ped}}\right)$$
 (D.12)

This temperature profile is related to the volume-averaged temperature through,

$$\overline{T} \cdot V = \int_0^{\rho_{ped}} T_{para}(\rho) \rho \, d\rho + \int_{\rho_{ped}}^1 T_{line}(\rho) \rho \, d\rho \tag{D.13}$$

2358 Starting with the second integral,

$$\int_{\rho_{ped}}^{1} T_{line}(\rho) \rho \, d\rho = \frac{1}{3} \cdot (1 - \rho_{ped}) \cdot ((T_{sep} + T_{ped}/2) + \rho_{ped} \cdot (T_{ped} + T_{sep}/2))$$
 (D.14)

The first integral can be handled by breaking it into to,

$$\int_{0}^{\rho_{ped}} T_{para}(\rho) \rho \, d\rho = T_{ped} \cdot \int_{0}^{\rho_{ped}} \rho \, d\rho +$$

$$(T_0 - T_{ped}) \cdot \int_{0}^{\rho_{ped}} \left( 1 - \left( \frac{\rho}{\rho_{ped}} \right)^{\lambda_T} \right)^{\nu_T} \cdot \rho \, d\rho$$
 (D.15)

2359 The first sub-integral is then,

$$T_{ped} \cdot \int_0^{\rho_{ped}} \rho \, d\rho = \frac{T_{ped} \, \rho_{ped}^2}{2} \tag{D.16}$$

Utilizing the following transformation,

$$u = \frac{\rho}{\rho_{ped}} \tag{D.17}$$

2361

$$d\rho = \rho_{ped} du \tag{D.18}$$

2362

$$u(\rho = \rho_{ped}) = 1 \tag{D.19}$$

The second sub-integral becomes (assuming independence from  $T_0$  and  $T_{ped}$ ),

$$(T_0 - T_{ped}) \cdot \rho_{ped}^2 \cdot \int_0^1 \left(1 - u^{\lambda_T}\right)^{\nu_T} \cdot u \, du \tag{D.20}$$

2364 Where:

$$\int_{0}^{1} \left(1 - u^{\lambda_{T}}\right)^{\nu_{T}} \cdot u \, du = \frac{\Gamma\left(1 + \nu_{T}\right) \Gamma\left(\frac{2}{\lambda_{T}}\right)}{\lambda_{T} \cdot \Gamma\left(1 + \nu_{T} + \frac{2}{\lambda_{T}}\right)} \tag{D.21}$$

We are now in a position to solve for  $T_0$  in terms of  $\overline{T}$ :

$$T_0 = T_{ped} + \frac{\overline{T} - K_{TU}}{K_{TD}}$$
(D.22)

2366

$$K_{TU} = T_{ped} \,\rho_{ped}^2 + \frac{(1 - \rho_{ped})}{3} \cdot ((2T_{sep} + T_{ped}) + \rho_{ped} \cdot (2T_{ped} + T_{sep})) \tag{D.23}$$

2367

2371

$$K_{TD} = \rho_{ped}^2 \cdot \left(\frac{2}{\lambda_T}\right) \cdot \frac{\Gamma(1+\nu_T)\Gamma\left(\frac{2}{\lambda_T}\right)}{\Gamma\left(1+\nu_T + \frac{2}{\lambda_T}\right)}$$
(D.24)

2368 Which although not pretty, can be plugged into the original equation.

## $\mathbf{D.3}$ Pressure -p

The first point to make is that we are not using the same temperature profile for

the pressure as for the temperature. This is because it would lead to hypergeometric

functions that are not worth the headache.

As most of the pressure is at the center, we use simple parabolic profile. This leads to:

$$\bar{p} = 0.1581 (1 + f_D) \frac{(1 + \nu_n) (1 + \nu_T)}{1 + \nu_n + \nu_T} \bar{n} \bar{T} [atm]$$
 (D.25)

## $_{\scriptscriptstyle 2375}$ D.4 Bootstrap Current - $f_{BS}$

2376 We start with,

$$f_{BS} = \frac{I_{BS}}{I_P} = \frac{2\pi a^2 \kappa}{I_P} \int_0^1 J_B \, \rho \, d\rho$$
 (D.26)

Expanding the previous equation using the following relations,

$$J_B = -4.85 \cdot R_0 \epsilon^{1/2} \cdot \left(\frac{\rho^{1/2} nT}{\frac{\mathrm{d}\psi}{\mathrm{d}\rho}}\right) \cdot \left(\frac{\frac{\mathrm{d}n/\mathrm{d}\rho}{n} + 0.54 \cdot \frac{\mathrm{d}T/\mathrm{d}\rho}{T}\right) \tag{D.27}$$

2378

$$\frac{\mathrm{d}\psi}{\mathrm{d}\rho} = \frac{\mu_0 R_0 I_P}{\pi} \cdot \left(\frac{\kappa}{1+\kappa^2}\right) \cdot b_p(\rho) \tag{D.28}$$

2379 Yields:

$$f_{BS} = -K_{BS} \int_0^1 \left(1 - \rho^2\right)^{\nu_n} \cdot \left(\frac{\rho^{3/2}}{b_p(\rho)}\right) \cdot \left(\frac{T}{n} \cdot \frac{\mathrm{d}n}{\mathrm{d}\rho} + 0.54 \cdot \frac{\mathrm{d}T}{\mathrm{d}\rho}\right) d\rho \tag{D.29}$$

2380

$$K_{BS} = K_n \cdot \left(\frac{2\pi^2 \cdot 4.85 \cdot \epsilon^{5/2}}{\mu_0}\right) \cdot (1 + \nu_n) \cdot (1 + \kappa^2)$$
 (D.30)

Here,  $b_p$  comes from:

$$b_p(\rho) = \frac{-e^{\gamma \rho^2} (\gamma \rho^2 - 1 - \gamma) - 1 - \gamma}{\rho (e^{\gamma} - 1 - \gamma)}$$
 (D.31)

And the value of  $\gamma$  comes from the normalized internal inductance:

$$l_i = \frac{4\kappa}{1+\kappa^2} \int_0^1 b_p^2 \, \frac{d\rho}{\rho} \tag{D.32}$$

2383 With our profiles,

$$-\left(\frac{T}{n} \cdot \frac{\mathrm{d}n}{\mathrm{d}\rho}\right) = 2\nu_n \cdot \left(\frac{T \cdot \rho}{1 - \rho^2}\right) \tag{D.33}$$

While treating temperature differently results in,

$$-\left(\frac{\mathrm{d}T}{\mathrm{d}\rho}\right)_{para} = \left(\frac{T_0 - T_{ped}}{\rho_{ped}^{\lambda_T}}\right) \cdot (\nu_T \lambda_T) \cdot \rho^{\lambda_T - 1} \cdot \left(1 - \left(\frac{\rho}{\rho_{ped}}\right)^{\lambda_T}\right)^{\nu_T - 1} \tag{D.34}$$

2385

$$-\left(\frac{\mathrm{d}T}{\mathrm{d}\rho}\right)_{line} = \left(\frac{T_{ped} - T_{sep}}{1 - \rho_{ped}}\right) \tag{D.35}$$

Where we will be using the new symbol definition, 2386

$$\partial T = -\left(\frac{\mathrm{d}T}{\mathrm{d}\rho}\right) \tag{D.36}$$

Which ultimately allows us to write,

$$f_{BS} = K_{BS} \int_0^1 H_{BS} \, d\rho$$
 (D.37)

$$f_{BS} = K_{BS} \int_0^1 H_{BS} d\rho$$

$$H_{BS} = \left(1 - \rho^2\right)^{\nu_n - 1} \cdot \left(\frac{\rho^{3/2}}{b_p(\rho)}\right) \cdot \left(2\nu_n \cdot \rho \cdot T + 0.54 \cdot \left(1 - \rho^2\right) \cdot \partial T\right)$$
(D.38)

Where the values of T are determined through.

$$T_{para} = T_{ped} + (T_0 - T_{ped}) \cdot \left(1 - \left(\frac{\rho}{\rho_{ped}}\right)^{\lambda_T}\right)^{\nu_T}$$
 (D.39)

2388

$$T_{line} = T_{sep} + (T_{ped} - T_{sep}) \cdot \left(\frac{1 - \rho}{1 - \rho_{ped}}\right)$$
 (D.40)

And the values of  $\partial T$  are: 2389

$$\partial T_{para} = \left(\frac{T_0 - T_{ped}}{\rho_{ped}^{\lambda_T}}\right) \cdot (\nu_T \lambda_T) \cdot \rho^{\lambda_T - 1} \cdot \left(1 - \left(\frac{\rho}{\rho_{ped}}\right)^{\lambda_T}\right)^{\nu_T - 1} \tag{D.41}$$

2390

$$\partial T_{line} = \left(\frac{T_{ped} - T_{sep}}{1 - \rho_{ped}}\right) \tag{D.42}$$

## 2391 D.5 Volume Averaged Powers

The first thing to consider in a fusion reactor is power balance. It is what separates a net power producing reactor from a power-consuming research device.

$$P_{\alpha} + P_{H} = P_{\kappa} + P_{B} \tag{D.43}$$

2394

$$P_{\alpha} = \frac{P_F}{5} \tag{D.44}$$

2395

$$P_H = \frac{P_F}{Q} \tag{D.45}$$

2396

$$P_{\kappa} = \frac{3}{2\,\tau_E} \int p \, d\mathbf{r} \quad [3D] \tag{D.46}$$

2397

$$P_B = 5.35e3 Z_{eff} \int n_{\overline{n}}^2 \sqrt{T} d\mathbf{r} \quad [3D]$$
 (D.47)

As mentioned before,  $P_F$  is handled by  $(\sigma v)$  and therefore the lefthand-side uses the pedestal temperature profiles. However, for the same reasons as discussed earlier, the righthand-side  $(P_{\kappa}$  and  $P_B)$  need to use the parabolic temperature profiles.

Using the parabolic profiles (for n and T) gives for the Bremsstrahlung radiation,

$$P_B = K_B \cdot \left( R_0^3 \ \overline{n}^2 \sqrt{\overline{T}} \right) \ [MW] \tag{D.48}$$

2402

$$K_B = 0.1056 \cdot Z_{eff} \cdot (\epsilon^2 \kappa g) \cdot \frac{(1 + \nu_n)^2 (1 + \nu_T)^{1/2}}{1 + 2\nu_n + 0.5\nu_T}$$
(D.49)

And a similar exercise for the thermal conduction losses results in:

$$P_{\kappa} = K_{\kappa} \cdot \left(\frac{R_0^3 \ \overline{n} \ \overline{T}}{\tau_E}\right) \ [MW] \tag{D.50}$$

$$K_{\kappa} = 0.4744 \cdot (1 + f_D) \cdot (\epsilon^2 \kappa g) \cdot \frac{(1 + \nu_n) (1 + \nu_T)}{1 + \nu_n + \nu_T}$$
 (D.51)

# $_{\tiny{2405}}$ Appendix E

# Determining Plasma Flux Surfaces

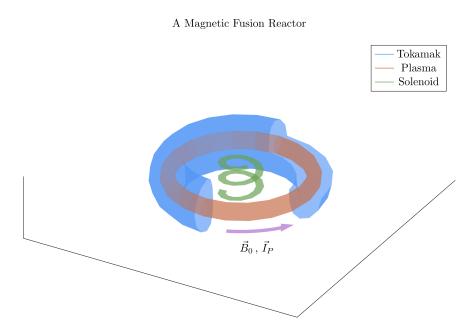


Figure E-1: Cut-Away of Tokamak Reactor

The three main components of a magnetic fusion reactor are: the tokamak structure, the plasma fuel, and the spring-like solenoid at the center.

## E.1 Flux Surface Coordinates

We begin with the shape of the outer plasma surface (i.e. the 95% flux surface) written in terms of normalized coordinates x and y as follows – with  $\alpha$  being an angle-like

2410 coordinate:

$$R = R_0 + ax(\alpha) \tag{E.1}$$

$$Z = ay(\alpha) \tag{E.2}$$

$$0 \le \alpha \le 2\pi \tag{E.3}$$

The surface representation can now be written as:

$$x(\alpha) = c_0 + c_1 \cos(\alpha) + c_2 \cos(2\alpha) + c_3 \cos(3\alpha)$$
 (E.4)

$$y(\alpha) = \kappa \sin(\alpha) \tag{E.5}$$

The constraints determining  $c_j$  – for j=1,2,3 – are chosen as:

$$x(0) = 1 \tag{E.6}$$

$$x(\pi) = -1 \tag{E.7}$$

$$x\left(\frac{\pi}{2}\right) = -\delta \tag{E.8}$$

$$x_{\alpha\alpha}(\pi) = 0.3 \cdot (1 - \delta^2) \tag{E.9}$$

The last constraint, which is related to the surface curvature at  $\alpha=\pi$ , is chosen to make sure that the surface is always convex. A trial and error empirical fit resulted in the choice  $x_{\alpha\alpha}(\pi)=0.3\cdot(1-\delta^2)$ . The constraint relations are easily evaluated and

then solved, leading to values for the  $c_j$ ,

$$c_0 = -\frac{\delta}{2} \tag{E.10}$$

2423

$$c_1 = g \tag{E.11}$$

2424

$$c_2 = \frac{\delta}{2} \tag{E.12}$$

2425

$$c_3 = 1 - g \tag{E.13}$$

2426 Here, g is a shaping parameter approximately equal to one:

$$g = \frac{9 - 2\delta - 0.3 \cdot (1 - \delta^2)}{8} \tag{E.14}$$

## E.2 Cross-sectional Area and Volume

The plasma cross-sectional area and volume can be evaluated by straightforward calculations,

$$A = \int \int dR dZ = a^2 \int \int dx dy = a^2 \int_0^{2\pi} x \frac{dy}{d\alpha} d\alpha$$

$$= \pi a^2 \kappa g$$
(E.15)

2430

$$V = \int \int \int R dR dZ d\Phi = 2\pi a^2 \int \int R dx dy$$

$$= 2\pi a^2 R_0 \int_0^{2\pi} \left( x + \epsilon \frac{x^2}{2} \right) \frac{dy}{d\alpha} d\alpha \approx 2\pi a^2 R_0 \int_0^{2\pi} x \frac{dy}{d\alpha} d\alpha \qquad (E.16)$$

$$= 2\pi^2 R_0 a^2 \kappa g$$

The second form of the volume integral makes use of the small inverse aspect ratio

#### Tokamak Dimension Diagram

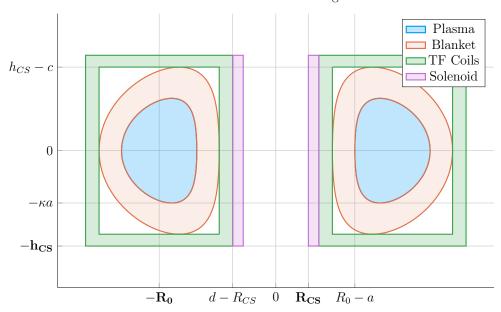


Figure E-2: Dimensions of Tokamak Cross-Section

expansion,  $\epsilon \ll 1$ , which is a good approximation and used throughout the analysis.

## 33 E.3 Surface and Volume Integrals

2440

2434 ???? are simple formulas describing the shape of the outer plasma surface. We next modify the model so that it gives a plausible description of the interior flux surfaces as well. The idea is to introduce a normalized flux label, which is radial-like in behavior. This label is denoted by  $\rho$  and  $\rho \in [0,1]$  with  $\rho = 1$  being the outer plasma surface (i.e. the 95% surface) and  $\rho = 0$  being the magnetic axis. Additional trial and error results in the following representation for the flux surfaces,

$$x(\rho,\alpha) = \sigma(1-\rho^2) + c_0\rho^4 + c_1\rho\cos(\alpha) + c_2\rho^2\cos(2\alpha) + c_3\rho^3\cos(3\alpha)$$
 (E.17)

$$y(\rho, \alpha) = \kappa \rho \sin(\alpha) \tag{E.18}$$

with  $\sigma$  being the shift of the magnetic axis. Usually,  $\sigma \sim 0.1$  for a high field tokamak.

Lastly, we note that in the course of the work it will be necessary to integrate functions of  $\rho$  over the volume and cross-sectional area of the plasma. Specifically we will need to evaluate:

$$Q_V = \int \int \int Q(\rho)RdRdZd\Phi \approx 2\pi R_0 a^2 \int \int Q(\rho)dxdy$$
 (E.19)

2445

$$Q_A = \int \int Q(\rho) dR dZ = a^2 \int \int Q(\rho) dx dy$$
 (E.20)

Here,  $Q(\rho)$  is an arbitrary function of  $\rho$  such as pressure or temperature. In the large aspect ratio limit, both integrals require the evaluation of the same quantity:

$$K = \int \int Q(\rho) dx dy \tag{E.21}$$

To evaluate this integral, we need to convert from x,y coordinates to  $\rho,\alpha$  coordinates.

Using the Jacobian of the transformation leads to

$$K = \int \int Q(\rho)(x_{\rho}y_{\alpha} - x_{\alpha}y_{\rho})d\rho d\alpha$$
 (E.22)

2450 Here,

$$x_{\rho}y_{\alpha} - x_{\alpha}y_{\rho} = \kappa \sin(\alpha) \cdot \left(c_{1}\rho \sin(\alpha) + 2c_{2}\rho^{2} \sin(2\alpha) + 3c_{3}\rho^{3} \sin(3\alpha)\right)$$

$$+ \kappa\rho \cos(\alpha) \cdot \left[$$

$$- 2\rho\sigma + 4\rho^{3}c_{0} + c_{1}\cos(\alpha)$$

$$+ 2c_{2}\rho \cos(2\alpha) + 3c_{3}\rho^{2}\cos(3\alpha)$$

$$\left[$$
(E.23)

Since Q is only a function of  $\rho$ , the  $\alpha$  integral can be carried out analytically. The only term that survives the averaging are the ones containing  $c_1$ . A simple integration

 $_{2453}$  over  $\alpha$  then yields the desired results:

$$Q_V = 4\pi^2 R_0 a^2 \kappa g \int_0^1 Q(\rho) \rho \, d\rho \tag{E.24}$$

$$Q_S = 2\pi a^2 \kappa g \int_0^1 Q(\rho) \rho \, d\rho \tag{E.25}$$

# Appendix F

# Expanding on the Bootstrap Current

The bootstrap current fraction  $-f_{BS}$  – is an important parameter that enters in the design of tokamak reactors. It must be calculated with reasonable accuracy to determine how much external current drive is required. The value of  $f_{BS}$  thus has a strong impact on the overall fusion energy gain. Obtaining reasonable accuracy requires a moderate amount of analysis, which is presented in a following section. The results are summarized below.

#### F.1 Summarized Results

The analysis is based on an expression for the bootstrap current valid for arbitrary cross section assuming (1) equal temperature electrons and ions  $T_e = T_i = T$ , (2) large aspect ratio  $\epsilon \ll 1$ , and (3) negligible collisionality  $\nu_* \to 0$ . Under these assumptions the bootstrap current  $\mathbf{J}_{BS} \approx J_{BS} \mathbf{e}_{\phi}$  has the form

$$J_{BS} = -3.32 f_T R_0 n T \left( \frac{1}{n} \frac{dn}{d\psi} + 0.054 \frac{1}{T} \frac{dT}{d\psi} \right)$$
 (F.1)

Here,  $f_T \approx 1.46 (r/R_0)^{1/2}$  is an approximate expression for the trapped particle fraction and  $\psi$  is the poloidal flux.

The analysis next section shows that ?? leads to an expression for the bootstrap fraction, assuming for simplicity elliptical flux surfaces, that can be written as:

$$f_{BS} = \frac{I_{BS}}{I} = \frac{2\pi a^2 \kappa}{I} \int_0^1 J_{BS} \, \rho \, d\rho = \frac{K_{BS}}{K_n} \frac{\overline{n} \, \overline{T} R_0^2}{I_P^2}$$
 (F.2)

2473

$$K_{BS} = 4.879 \cdot K_n \cdot \left(\frac{1 + \kappa^2}{2}\right) \cdot \epsilon^{5/2} \cdot H_{BS} \tag{F.3}$$

2474

$$H_{BS} = (1 + \nu_n)(1 + \nu_T)(\nu_n + 0.054\nu_T) \int_0^1 \frac{\rho^{5/2} (1 - \rho^2)^{\nu_n + \nu_T - 1}}{b_p} d\rho$$
 (F.4)

2475

$$b_p(\rho) = \frac{-e^{\gamma \rho^2} (\gamma \rho^2 - 1 - \gamma) - 1 - \gamma}{\rho (e^{\gamma} - 1 - \gamma)}$$
 (F.5)

2476

$$\overline{J}_{\phi}(\rho) = -\frac{I}{\pi a^2 \kappa} \left[ \frac{\gamma^2 (1 - \rho^2) e^{\gamma \rho^2}}{e^{\gamma} - 1 - \gamma} \right]$$
 (F.6)

In this expression  $b_p$  is a normalized form of the poloidal magnetic field derived from a prescribed model for the *total* flux surface averaged current density profile  $\overline{J}_{\phi}(\rho)$ . The  $\overline{J}_{\phi}(\rho)$  profile, in analogy with the density and temperature profiles, is not selfconsistent but is chosen to have a plausible experimental shape characterized by the parameter  $\gamma$ . The profile can have either an on-axis ( $\gamma < 1$ ) or off-axis peak ( $\gamma > 1$ ). The normalized internal inductance  $l_i$  and radial location of the current peak  $\rho_m$  are related to the value of  $\gamma$  by:

$$l_i = \frac{4\kappa}{1+\kappa^2} \int_0^1 b_p^2 \,\rho \,d\rho \tag{F.7}$$

2484

$$\rho_m = \begin{cases} \left(\frac{\gamma}{\gamma - 1}\right)^{1/2}, & \gamma > 1\\ 0, & \gamma < 1 \end{cases}$$
 (F.8)

#### F.2 Detailed Analysis

The starting point for the analysis is the general expression for the bootstrap current in a tokamak with arbitrary cross section.<sup>32</sup> This expression can be simplified by assuming (1) equal temperature electrons and ions  $T_e = T_i = T$ , (2) large aspect ratio  $\epsilon \ll 1$ , and (3) negligible collisionality  $\nu_* \to 0$ . The bootstrap current  $\mathbf{J}_{BS} \approx J_{BS} \mathbf{e}_{\phi}$  reduces to

$$J_{BS} = -3.32 f_T R_0 n T \left( \frac{1}{n} \frac{dn}{d\psi} + 0.054 \frac{1}{T} \frac{dT}{d\psi} \right)$$
 (F.9)

Several values of the trapped particle fraction  $f_T$  have been given in the literature.<sup>33</sup> For simplicity we use a form valid for large aspect ratio. This is a slightly optimistic value but saves a large amount of detailed calculation. It can be written as,

$$f_T \approx 1.46(r/R_0)^{1/2} = 1.46\epsilon^{1/2}\rho^{1/2}$$
 (F.10)

Here, as in the main text,  $\rho$  is a radial-like flux surface label that varies between  $0 \le \rho \le 1$ . In other words  $\psi = \psi(\rho)$ . Under these assumptions the bootstrap current reduces to:

$$J_{BS} = -4.85 R_0 \epsilon^{1/2} \left( \frac{\rho^{1/2} nT}{d\psi/d\rho} \right) \left( \frac{1}{n} \frac{dn}{d\rho} + 0.054 \frac{1}{T} \frac{dT}{d\rho} \right)$$
 (F.11)

Since we have specified profiles for  $n(\rho)$  and  $T(\rho)$  all that remains in order to be able to evaluate  $J_{BS}(\rho)$  is to determine  $\psi' = d\psi/d\rho$ . Keep in mind that at this point, in spite of the approximations that have been made, the expression for  $J_{BS}(\rho)$  is still valid for arbitrary cross section.

The analysis that follows shows how to calculate  $\psi'$  for an arbitrary cross section including finite aspect ratio. As an example an explicit expression for large aspect ratio, finite elongation ellipse is obtained. Consider the Grad-Shafranov equation for the flux:  $\Delta^*\psi = -\mu_0 R J_{\psi}$ . We integrate this equation over the volume of an arbitrary

2505 flux surface making use of Gauss' theorem, which leads to:

$$\int_{S} \frac{\mathbf{n} \cdot \nabla \psi}{R^2} dS = -\mu_0 \int_{V} \frac{J_{\phi}}{R} d\mathbf{r}$$
 (F.12)

Next, assume that the coordinates of the flux surface can be expressed in terms of  $\rho$  and an angular-like parameter  $\alpha$  with  $0 \le \alpha \le 2\pi$ . In other words, the flux surface coordinates can be written as  $R = R(\rho, \alpha) = R_0 + ax(\rho, \alpha)$  and  $Z = Z(\rho, \alpha) = ay(\rho, \alpha)$ . The functions  $R(\rho, \alpha)$  and  $Z(\rho, \alpha)$  are assumed to be known. The term on the left hand side can be evaluated by noting that

$$d\mathbf{l} = dl\mathbf{t} \tag{F.13}$$

2511

$$dl = (R_{\alpha}^2 + Z_{\alpha}^2)^{1/2} d\alpha \tag{F.14}$$

2512

$$\mathbf{t} = \frac{R_{\alpha}\mathbf{e}_R + Z_{\alpha}\mathbf{e}_Z}{(R_{\alpha}^2 + Z_{\alpha}^2)^{1/2}}$$
 (F.15)

2513

$$\mathbf{n} = \mathbf{e}_{\phi} \times \mathbf{t} = \frac{Z_{\alpha} \mathbf{e}_{R} - R_{\alpha} \mathbf{e}_{Z}}{(R_{\alpha}^{2} + Z_{\alpha}^{2})^{1/2}}$$
 (F.16)

2514

$$dS = Rd\phi dl = 2\pi R(R_{\alpha}^2 + Z_{\alpha}^2)^{1/2} d\alpha$$
 (F.17)

2515 It then follows that

$$\mathbf{n} \cdot \nabla \psi = \frac{1}{\left(R_{\alpha}^2 + Z_{\alpha}^2\right)^{1/2}} \left( Z_{\alpha} \frac{\partial \psi}{\partial R} - R_{\alpha} \frac{\partial \psi}{\partial Z} \right) = \frac{1}{\left(R_{\alpha}^2 + Z_{\alpha}^2\right)^{1/2}} \frac{d\psi}{d\rho} Z_{\alpha} \rho_R - R_{\alpha} \rho_Z \quad (\text{F.18})$$

2516 We can rewrite the last term by noting that

$$dR = R_{\rho}d\rho + R_{\alpha}d\alpha \quad \rightarrow \quad d\rho = \left(Z_{\alpha}dR - R_{\alpha}dZ\right) / \left(R_{\rho}Z_{\alpha} - R_{\alpha}Z_{\rho}\right)$$

$$dZ = Z_{\rho}d\rho + Z_{\alpha}d\alpha \quad \rightarrow \quad d\alpha = \left(-Z_{\rho}dR + R_{\rho}dZ\right) / \left(R_{\rho}Z_{\alpha} - R_{\alpha}Z_{\rho}\right)$$
(F.19)

2517 from which follows

$$\rho_R = \frac{Z_\alpha}{(R_\rho Z_\alpha - R_\alpha Z_\rho)}$$

$$\rho_Z = -\frac{R_\alpha}{(R_\rho Z_\alpha - R_\alpha Z_\rho)}$$
(F.20)

2518 the normal gradient reduces to

$$\mathbf{n} \cdot \nabla \psi = \frac{R_{\alpha}^2 + Z_{\alpha}^2}{(R_{\rho} Z_{\alpha} - R_{\alpha} Z_{\rho})} \frac{d\psi}{d\rho}$$
 (F.21)

Using this relation we see that the left hand side of ?? can now be written as:

$$\int_{S} \frac{\mathbf{n} \cdot \nabla \psi}{R^2} dS = 2\pi \frac{d\psi}{d\rho} \int_{0}^{2\pi} \frac{R_{\alpha}^2 + Z_{\alpha}^2}{(R_{\rho} Z_{\alpha} - R_{\alpha} Z_{\rho})} \frac{d\alpha}{R}$$
 (F.22)

Consider now the right hand side of  $\ref{eq:consider}$ . The critical assumption is that the current density is approximated by its flux surface averaged value,  $J_{\phi}(\rho,\alpha) \approx \overline{J}_{\phi}(\rho)$ . This is obviously not self-consistent with the Grad-Shafranov equation. Even so, it should suffice for present purposes where we only need to evaluate global volume integrals. Also, in the same spirit as prescribing  $n(\rho)$  and  $T(\rho)$  we assume that  $\overline{J}_{\phi}(\rho)$  is also prescribed. Under these assumptions the right hand side of  $\ref{eq:consider}$ ? simplifies to:

$$-\mu_0 \int_V \frac{J_\phi}{R} d\mathbf{r} = -2\pi\mu_0 \int_A J_\phi dA$$

$$= -2\pi\mu_0 \int_0^\rho d\rho \int_0^{2\pi} J_\phi \left( R_\rho Z_\alpha - R_\alpha Z_\rho \right) d\alpha$$

$$\approx -2\pi\mu_0 \int_0^\rho d\rho \left[ \overline{J}_\phi \int_0^{2\pi} \left( R_\rho Z_\alpha - R_\alpha Z_\rho \right) d\alpha \right]$$
(F.23)

Combining the results in ???? leads to the required general expression for  $d\psi/d\rho$ ,

$$\frac{d\psi}{d\rho} \int_0^{2\pi} \frac{R_\alpha^2 + Z_\alpha^2}{(R_\rho Z_\alpha - R_\alpha Z_\rho)} \frac{d\alpha}{R} = -\mu_0 \int_0^\rho d\rho \left[ \overline{J}_\omega \int_0^{2\pi} (R_\rho Z_\alpha - R_\alpha Z_\rho) d\alpha \right]$$
 (F.24)

Next, to help specify a plausible choice for  $\overline{J}_{\phi}$  it is useful to define the kink safety

2528 factor and the actual local safety factor. The kink safety factor is defined by

$$q_* = \frac{2\pi a^2 B_0}{\mu_0 R_0 I} \left(\frac{1+\kappa^2}{2}\right) \tag{F.25}$$

2529 where

$$I = \int J_o dA = \int_0^1 d\rho \left[ \overline{J}_o \int_0^{2\pi} \left( R_\rho Z_\alpha - R_a Z_\rho \right) d\alpha \right]$$
 (F.26)

2530 This leads to

$$\frac{1}{q_*} = \frac{\mu_0 R_0}{2\pi a^2 B_0} \left(\frac{2}{1+\kappa^2}\right) \int_0^1 d\rho \left[\overline{J}_\phi \int_0^{2\pi} \left(R_\rho Z_\alpha - R_\alpha Z_\rho\right) d\alpha\right]$$
 (F.27)

<sup>2531</sup> Similarly, the local safety factor can be expressed as

$$q(\rho) = \frac{F(\rho)}{2\pi} \int \frac{dl}{RB_p}$$
 (F.28)

Here,  $F(\rho) = RB_o$ . Substituting  $RB_p = \mathbf{n} \cdot \nabla \psi$  then yields

$$q(\rho) = \frac{F(\rho)}{2\pi\psi'} \int_0^{2\pi} \frac{1}{R} \left( R_\rho Z_\alpha - R_\alpha Z_\rho \right) d\alpha \tag{F.29}$$

with  $psi' = d\psi/d\rho$ .

For present purposes we can obtain relatively simple analytic expressions for all the quantities of interest by assuming the flux surfaces are concentric ellipses, characterized by  $R = R_0 + a\rho\cos\alpha$  and  $Z = \kappa a\rho\sin\alpha$ . We assume low  $\beta$  so that  $F(\rho) \approx R_0 B_0$ . This model accounts for elongation but neglects the effects of triangularity and finite aspect ratio. The derivatives in ??????? can now be easily evaluated. Also, after some trial and error we chose  $\overline{J}_{\phi}(\rho)$  to be a plausible profile which is peaked off-axis

2540 at  $\rho = \rho_m$ .

$$\overline{J}_{\phi}(\rho) = -\frac{I}{\pi a^2 \kappa} \left[ \frac{\gamma^2 (1 - \rho^2) e^{\gamma \rho^2}}{e^{\gamma} - 1 - \gamma} \right]$$
 (F.30)

2541 Here,  $\gamma = 1/(1 - \rho_m^2)$ .

These profiles are substituted into ?? after which each of the integrals can be evaluated analytically. A straightforward calculation yields:

$$\rho \frac{d\psi}{d\rho} = -2\mu_0 R_0 a^2 \left(\frac{\kappa^2}{1+\kappa^2}\right) \int_0^\rho \overline{J}_{\phi} \rho d\rho$$

$$= \frac{\mu_0 R_0 I}{\pi} \left(\frac{\kappa}{1+\kappa^2}\right) \frac{(1+\gamma-\gamma\rho^2) e^{\gamma\rho^2} - 1 - \gamma}{e^{\gamma} - 1 - \gamma}$$
(F.31)

The safety factors are given by

$$\frac{1}{q_*} = \frac{\psi'(1)}{\kappa a^2 B_0}$$

$$\frac{q(\rho)}{q_*} = \frac{\rho \psi'(1)}{\psi'(\rho)}$$
(F.32)

?? is now substituted into the expression for the bootstrap current given by ??. The resulting expression can then be integrated over the plasma cross section to yield the bootstrap fraction. A straightforward calculation leads to:

$$f_{BS} = \frac{I_{BS}}{I} = \frac{2\pi a^2 \kappa}{I} \int_0^1 J_{BS} \, \rho \, d\rho = \frac{K_{BS}}{K_n} \frac{\overline{n} \, \overline{T} R_0^2}{I_P^2}$$
 (F.33)

2548

$$K_{BS} = 4.879 \cdot K_n \cdot \left(\frac{1+\kappa^2}{2}\right) \cdot \epsilon^{5/2} \cdot H_{BS} \tag{F.34}$$

2549

$$H_{BS} = (1 + \nu_n)(1 + \nu_T)(\nu_n + 0.054\nu_T) \int_0^1 \frac{\rho^{5/2} (1 - \rho^2)^{\nu_n + \nu_T - 1}}{b_p} d\rho$$
 (F.35)

2550

$$b_p(\rho) = \frac{-e^{\gamma \rho^2} (\gamma \rho^2 - 1 - \gamma) - 1 - \gamma}{\rho (e^{\gamma} - 1 - \gamma)}$$
 (F.36)

This is the desired result.

# Appendix G

# <sup>2553</sup> Compending Code Plots

This chapter gives a brief overview of the plots that from using this model on several reactor prototypes: Charybdis, Proteus, ARC, Demo Pulsed, the two ARIES ACT reactors. The two types of results this information comes in are: magnet strength scans and cost sensitivity studies.

In the former, all static variables are kept constant and only the magnet strength is allowed to change. The latter then focuses on changing one static variable at a time and finding several magnet strengths that satisfy certain constraints – i.e. minimum cost or when two limits are both just marginally satisfied.

#### 2562 G.1 Magnet Strength Scans

<sup>2563</sup> This section includes the following magnet strength scans:

- 1. Plasma Temperature  $\overline{T}$
- 2565 2. Plasma Density  $\overline{n}$
- 3. Plasma Current  $I_P$
- 4. Major Radius  $R_0$
- 5. Plasma Pressure  $\overline{p}$
- 2569 6. Confinement Time  $\tau_E$
- 7. Current Drive Efficiency  $\eta_{CD}$
- 8. Bootstrap Fraction  $f_{BS}$
- 9. Magnetic Energy  $W_M$
- 2573 10. Cost-per-Watt  $C_W$
- 11. Divertor Head Load  $q_{DV}$
- 12. Normalized Beta Normal  $(\beta_N)_{norm}$
- 13. Normalized Kink Safety Factor  $(q_{95})_{norm}$
- 14. Normalized Wall Loading  $(P_W)_{norm}$
- $_{2578}$  15. Fusion Power  $P_F$
- 16. Blanket Thickness b
- 17. TF Coil Thickness c
- 18. Central Solenoid Thickness d
- 19. Central Solenoid Height  $h_{CS}$
- 2583 20. Central Solenoid Inner Radius  $R_{CS}$

# Plasma Temperature – $\overline{T}$

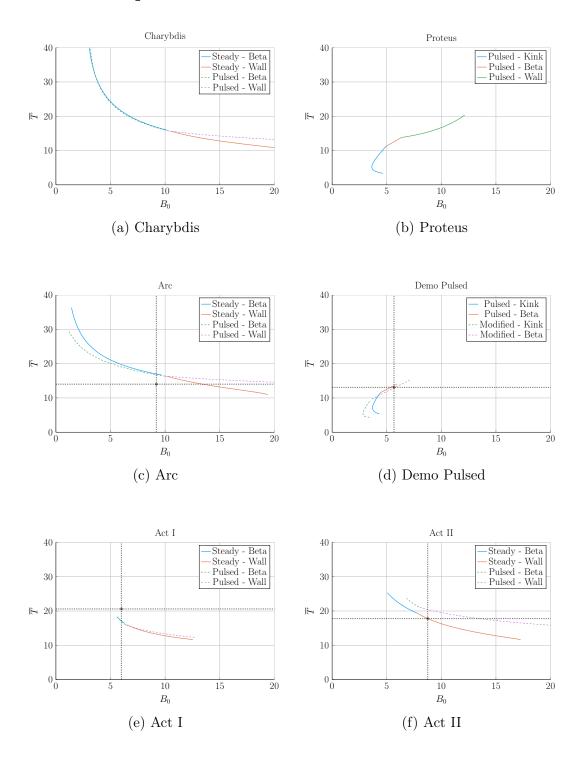


Figure G-1: Magnet Scan:  $\overline{T}$  vs  $B_0$ 

## Plasma Density $-\overline{n}$

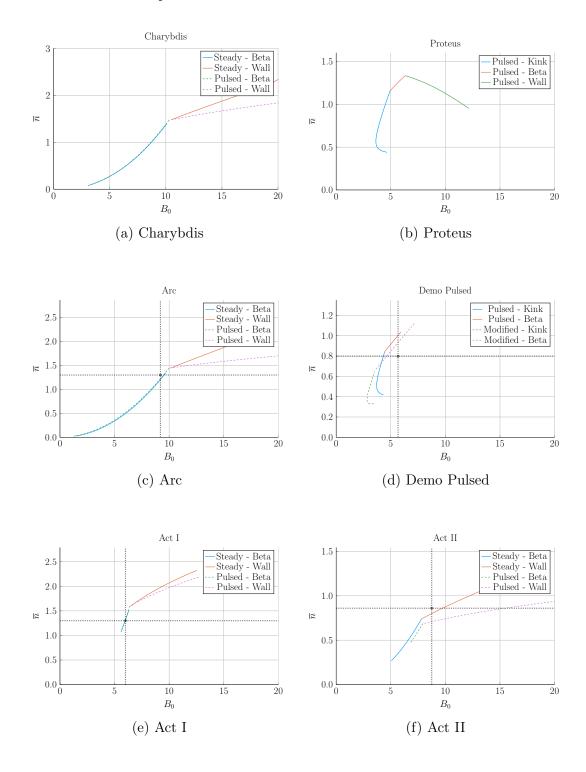


Figure G-2: Magnet Scan:  $\overline{n}$  vs  $B_0$ 

## Plasma Current $-I_P$

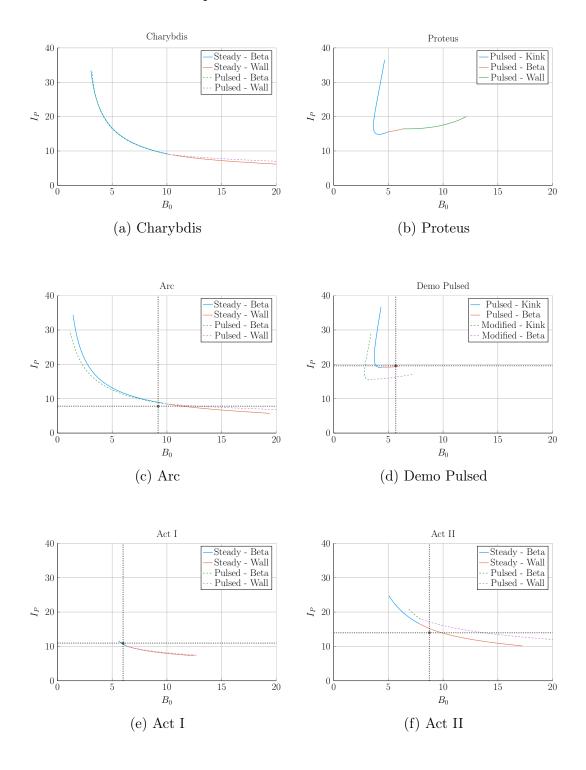


Figure G-3: Magnet Scan:  ${\cal I}_P$  vs  ${\cal B}_0$ 

## Major Radius – $R_0$

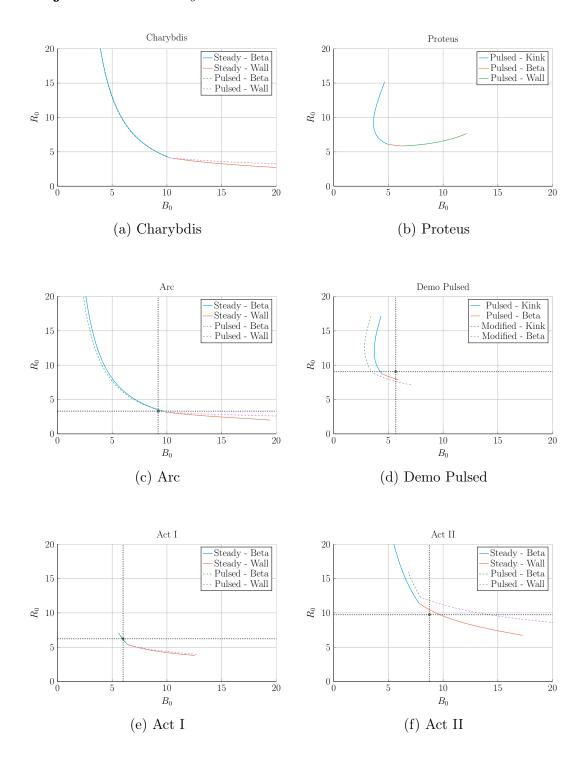


Figure G-4: Magnet Scan:  $R_0$  vs  $B_0$ 

## Plasma Pressure $-\overline{p}$

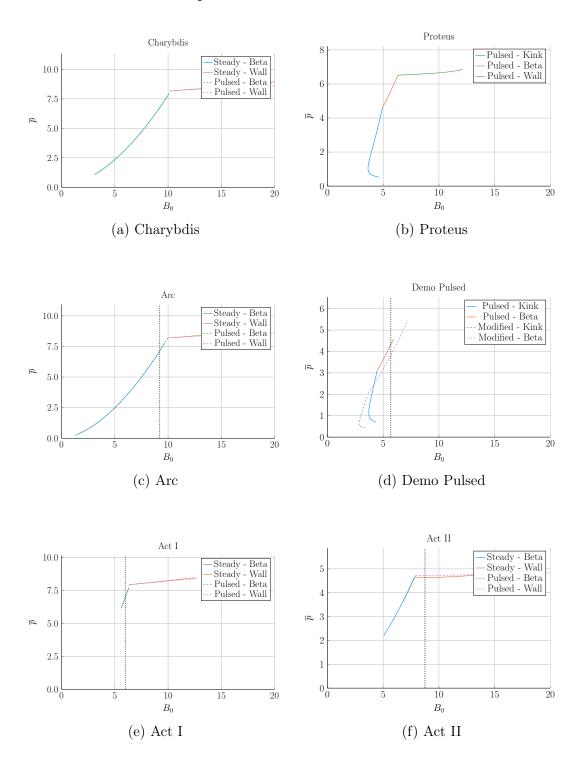


Figure G-5: Magnet Scan:  $\overline{p}$  vs  $B_0$ 

## Confinement Time $- au_E$

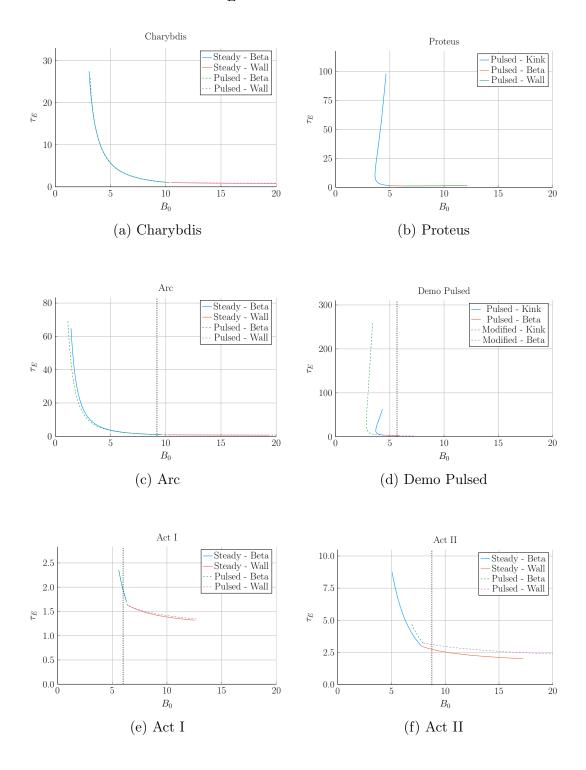


Figure G-6: Magnet Scan:  $\tau_E$  vs  $B_0$ 

## $_{2590}$ Current Drive Efficiency - $\eta_{CD}$

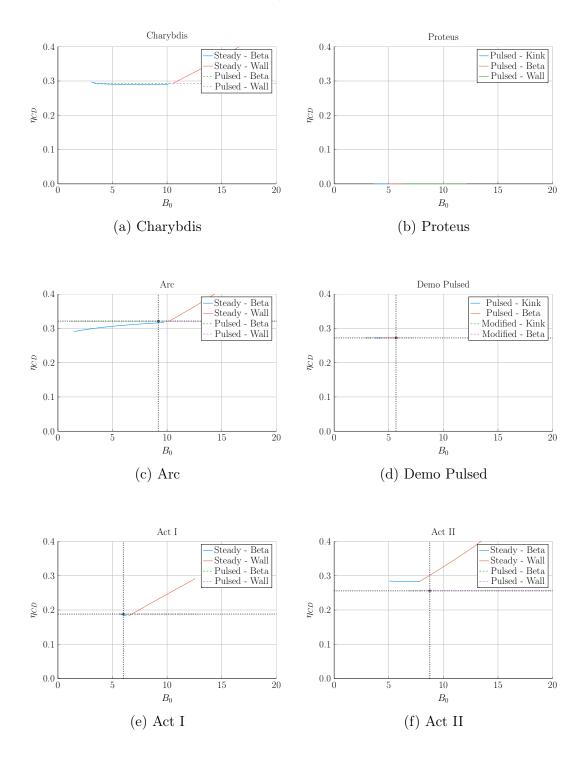


Figure G-7: Magnet Scan:  $\eta_{CD}$  vs  $B_0$ 

## Bootstrap Fraction $-f_{BS}$

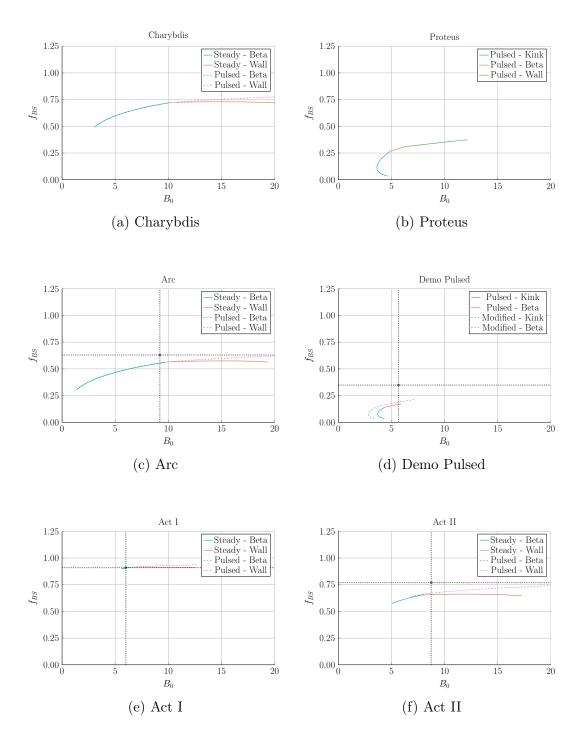


Figure G-8: Magnet Scan:  $f_{BS}$  vs  $B_0$ 

# Magnetic Energy $-W_M$

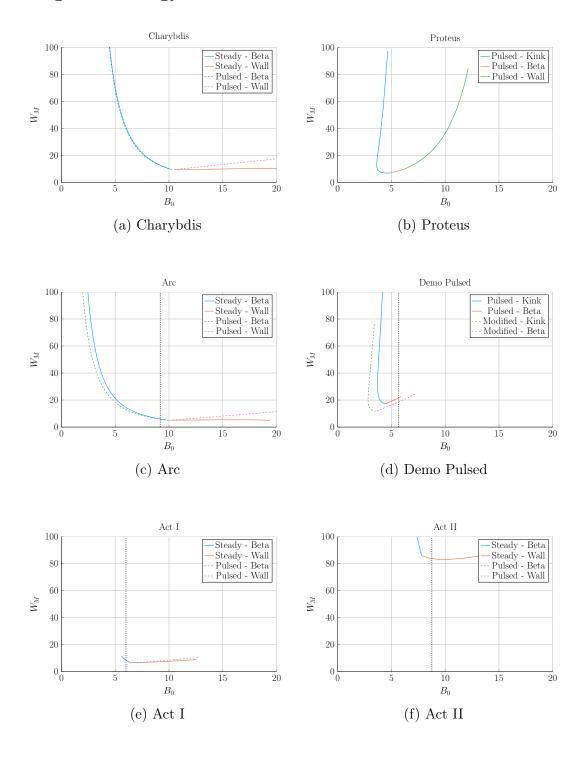


Figure G-9: Magnet Scan:  $W_M$  vs  $B_0$ 

## $_{^{2593}}$ Cost-per-Watt - $C_W$

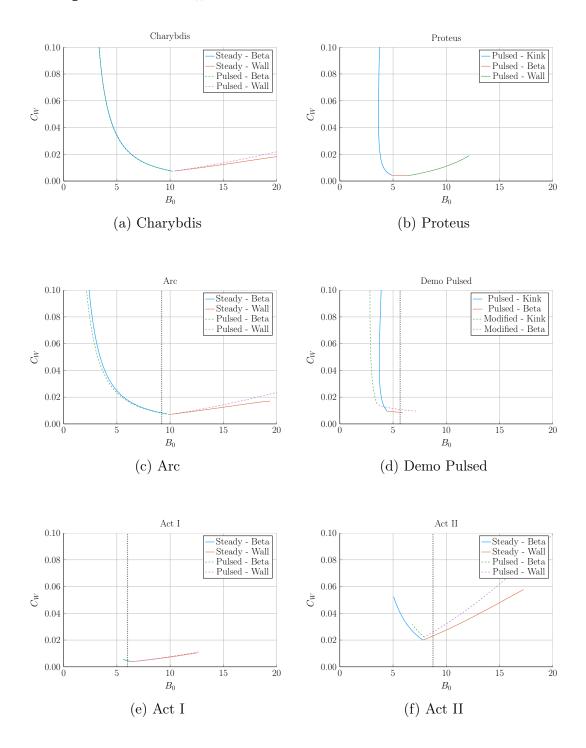


Figure G-10: Magnet Scan:  $C_W$  vs  $B_0$ 

## Divertor Head Load $-q_{DV}$

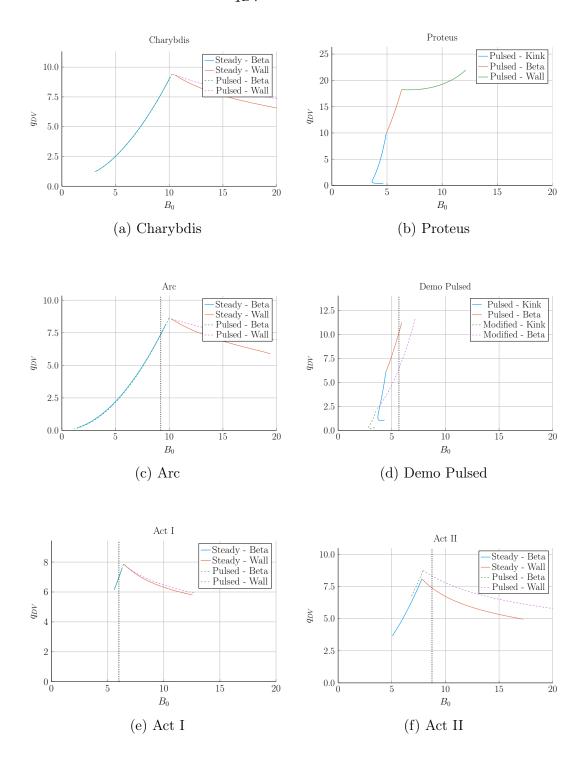


Figure G-11: Magnet Scan:  $q_{DV}$  vs  $B_0$ 

# Normalized Beta Normal $-(\beta_N)_{norm}$

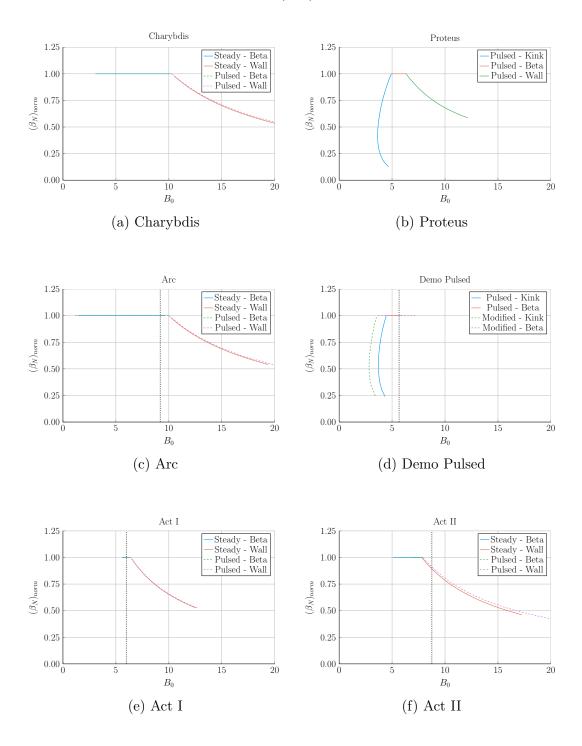


Figure G-12: Magnet Scan:  $(\beta_N)_{norm}$  vs  $B_0$ 

# Normalized Kink Safety Factor $-(q_{95})_{norm}$

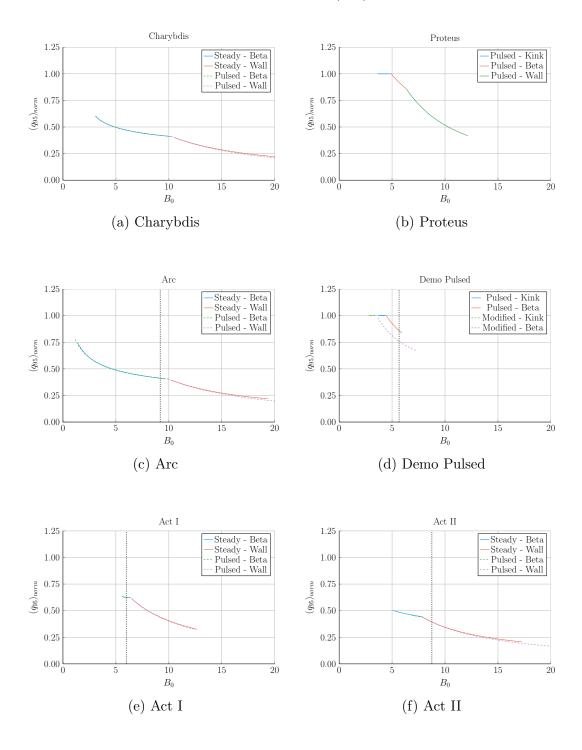


Figure G-13: Magnet Scan:  $(q_{95})_{norm}$  vs  $B_0$ 

# Normalized Wall Loading $-(P_W)_{norm}$

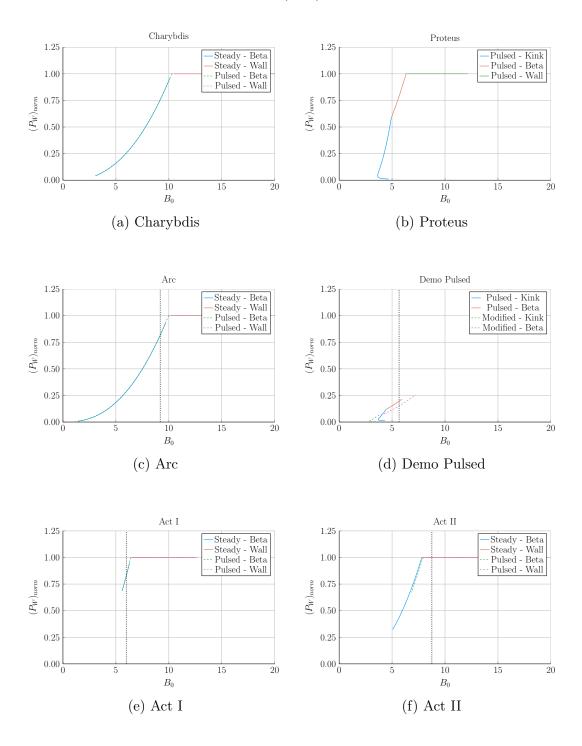


Figure G-14: Magnet Scan:  $(P_W)_{norm}$  vs  $B_0$ 

## Fusion Power – $P_F$

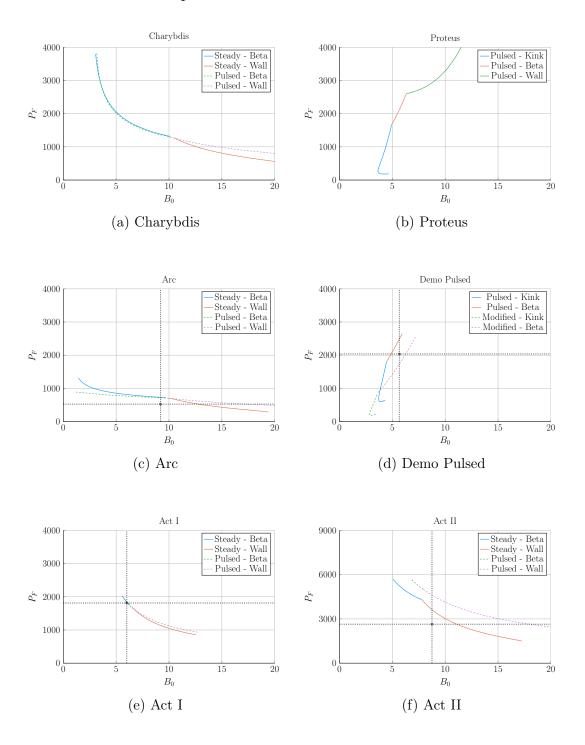


Figure G-15: Magnet Scan:  ${\cal P}_F$  vs  ${\cal B}_0$ 

#### Blanket Thickness -b

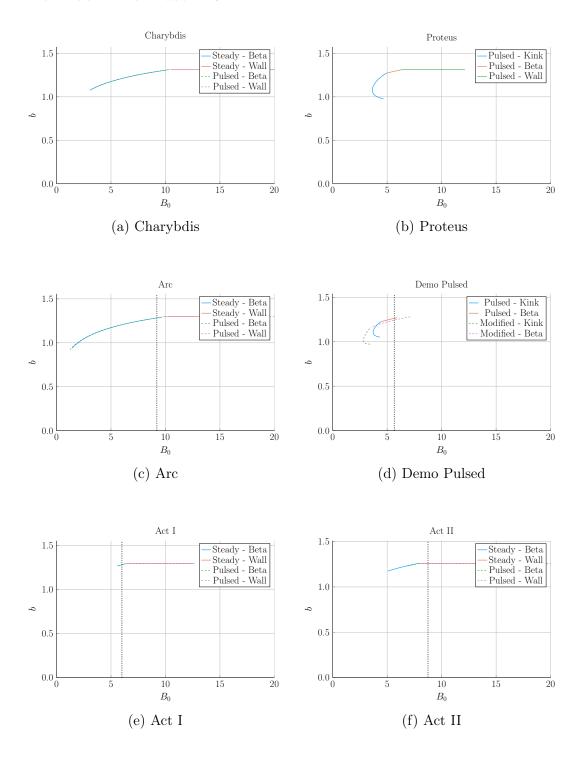


Figure G-16: Magnet Scan: b vs  $B_0$ 

#### TF Coil Thickness -c

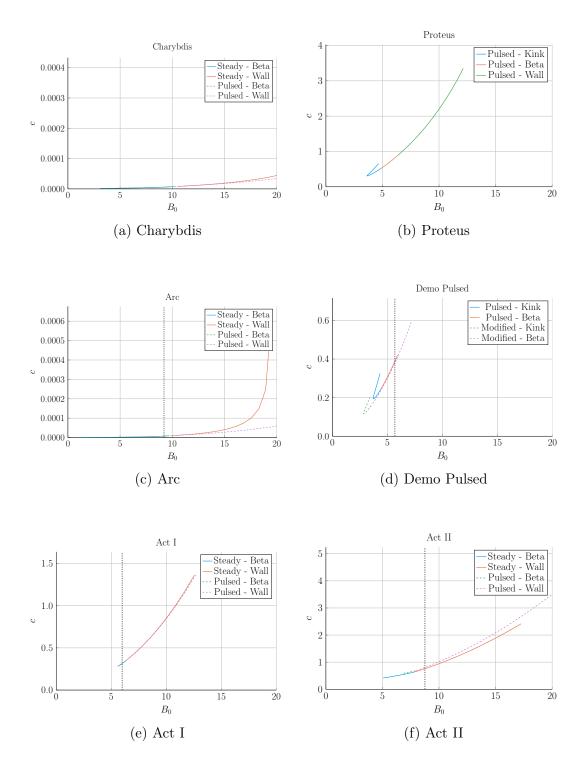


Figure G-17: Magnet Scan: c vs  $B_0$ 

#### $_{^{2601}}$ Central Solenoid Thickness – d

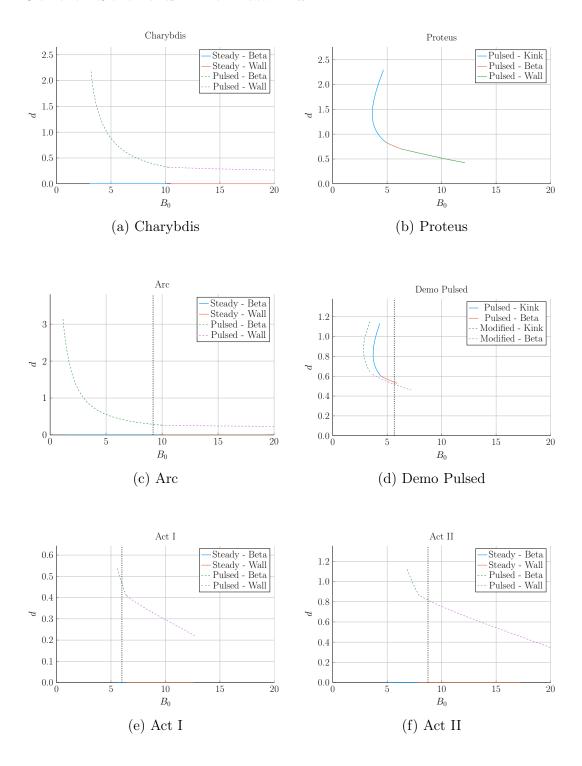


Figure G-18: Magnet Scan: d vs  ${\cal B}_0$ 

# $_{^{2602}}$ Central Solenoid Height - $h_{CS}$

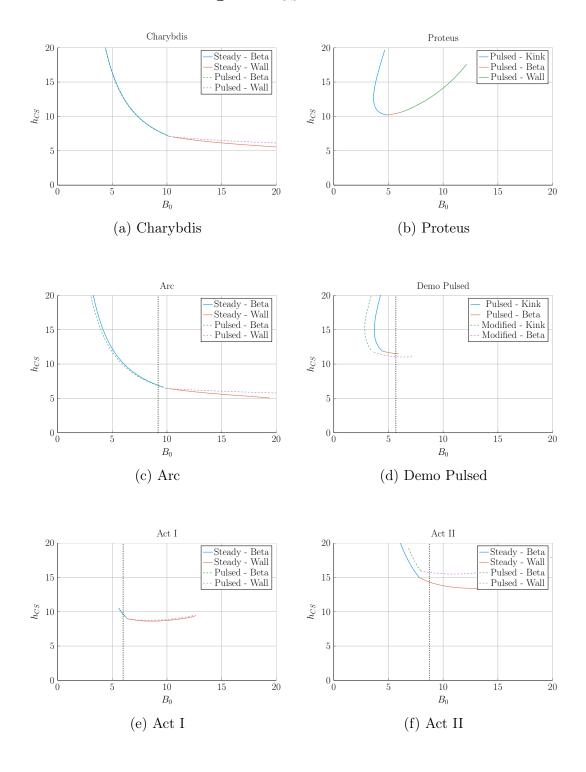


Figure G-19: Magnet Scan:  $h_{CS}$  vs  $B_0$ 

# $_{2603}$ Central Solenoid Inner Radius – $R_{CS}$

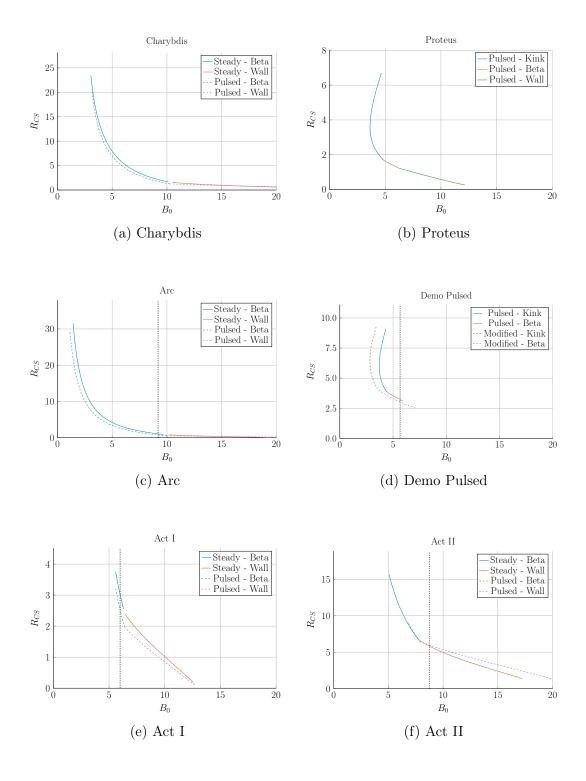


Figure G-20: Magnet Scan:  $R_{CS}$  vs  $B_0$ 

#### 2604 G.2 Cost Sensitivity Studies

<sup>2605</sup> This section includes the following cost sensitivity studies:

- 2606 1. Enhancement Factor -H
- 2. Physics Gain Q
- 3. Flattop Duration  $\tau_{FT}$
- 4. Greenwald Fraction  $N_G$
- 5. Dilution Factor  $f_D$
- 6. Effective Charge  $Z_{eff}$
- 7. Inverse Aspect Ratio  $\epsilon$
- 8. Elongation  $\kappa_{95}$
- 9. Triangularity  $\delta_{95}$
- 2615 10. Density Peaking Factor  $\nu_n$
- 2616 11. Temperature Peaking Factor  $\nu_T$
- 12. Internal Inductance  $l_i$
- 13. Max Beta Normal  $(\beta_N)_{max}$
- 2619 14. Max Kink Safety Factor  $(q_{95})_{max}$
- 2620 15. Max Wall Loading  $(P_W)_{max}$

#### Enhancement Factor -H

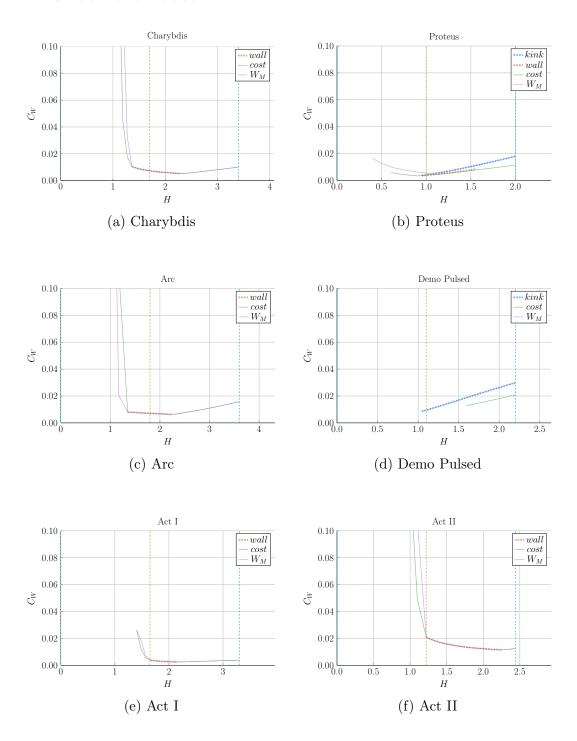


Figure G-21: Cost Sensitivity: H vs.  $B_0$ 

# Physics Gain -Q

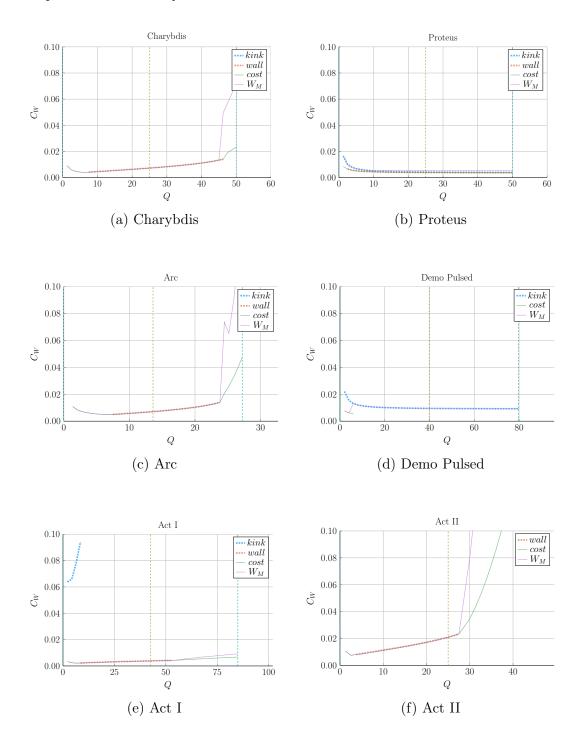


Figure G-22: Cost Sensitivity: Q vs.  $B_0$ 

## Flattop Duration $- au_{FT}$

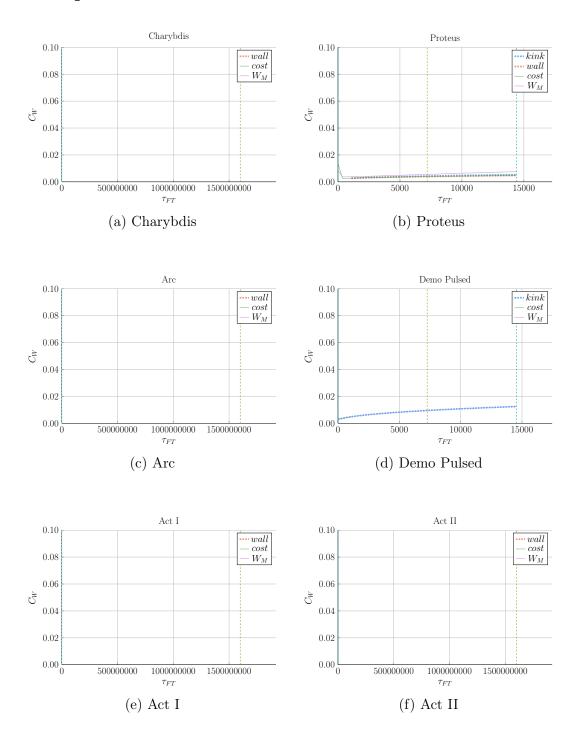


Figure G-23: Cost Sensitivity:  $\tau_{FT}$  vs.  $B_0$ 

## Greenwald Fraction $-N_G$

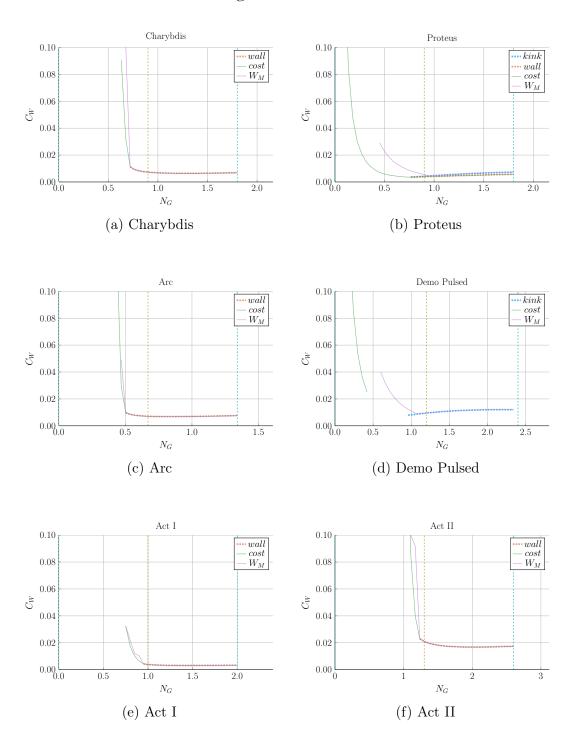


Figure G-24: Cost Sensitivity:  $N_G$  vs.  ${\cal B}_0$ 

# Dilution Factor $-f_D$

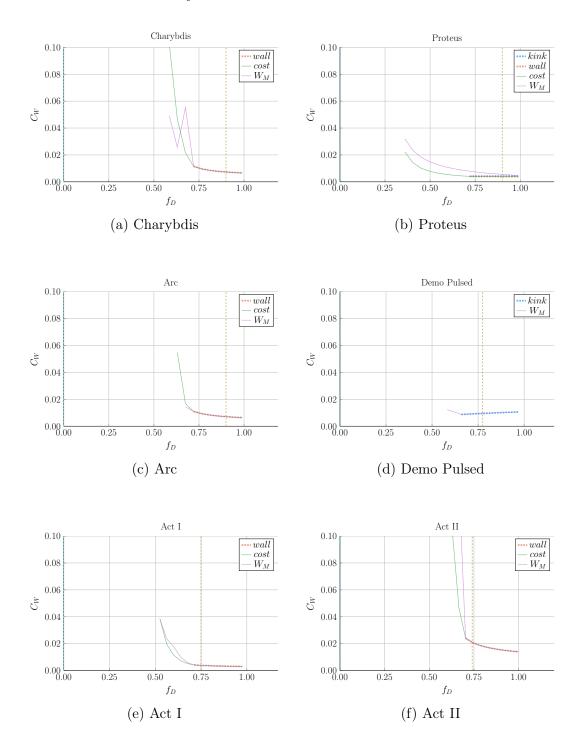


Figure G-25: Cost Sensitivity:  $f_D$  vs.  $B_0$ 

## Effective Charge $-Z_{eff}$

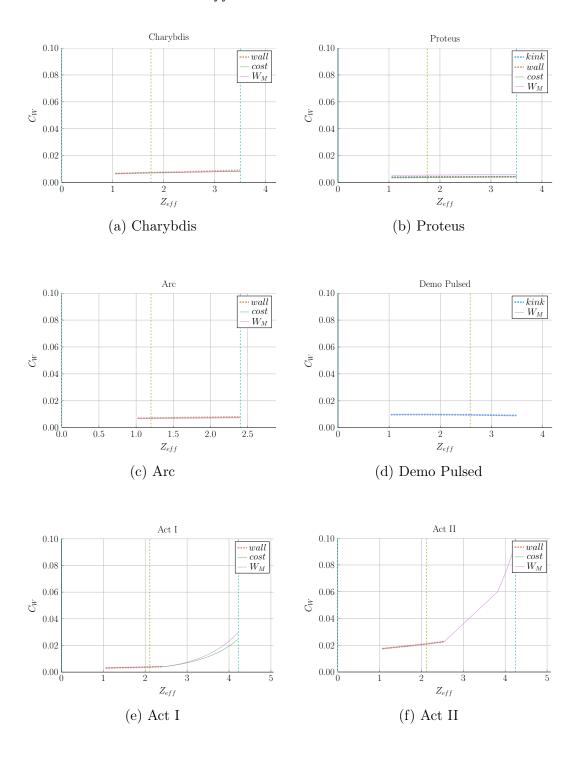


Figure G-26: Cost Sensitivity:  $Z_{eff}$  vs.  $B_0$ 

#### $_{^{2627}}$ Inverse Aspect Ratio $-\epsilon$

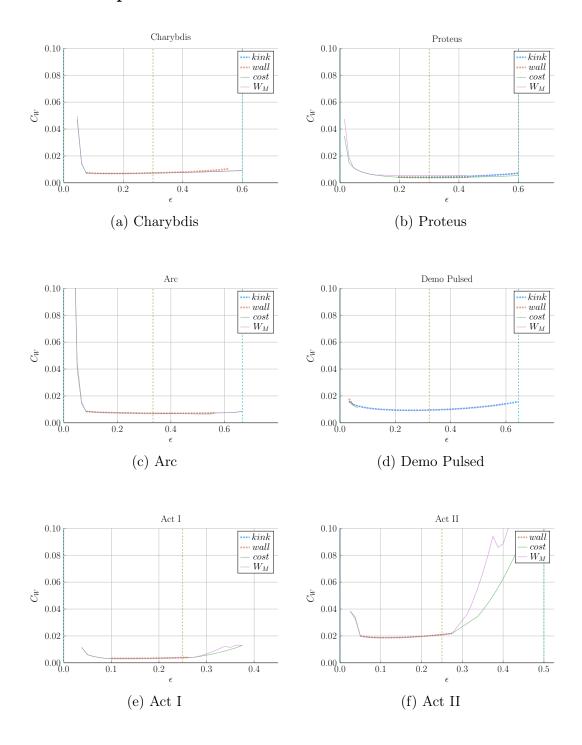


Figure G-27: Cost Sensitivity:  $\epsilon$  vs.  $B_0$ 

#### Elongation – $\kappa_{95}$

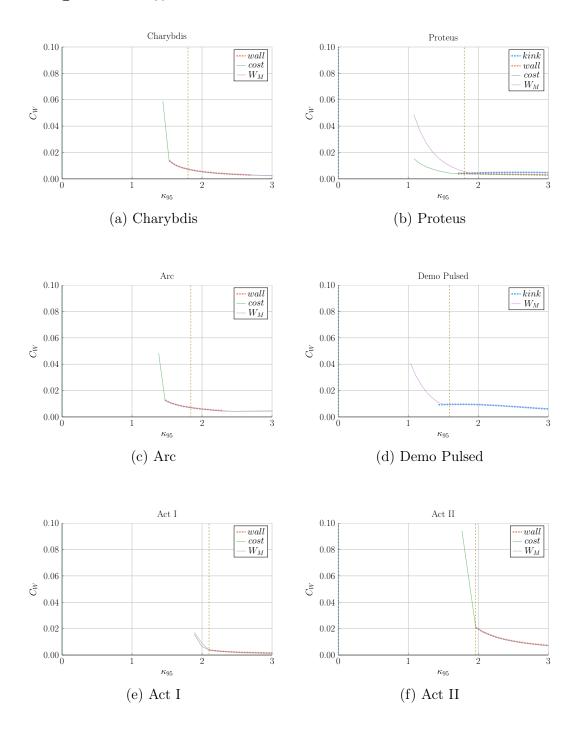


Figure G-28: Cost Sensitivity:  $\kappa_{95}$  vs.  $B_0$ 

## Triangularity – $\delta_{95}$

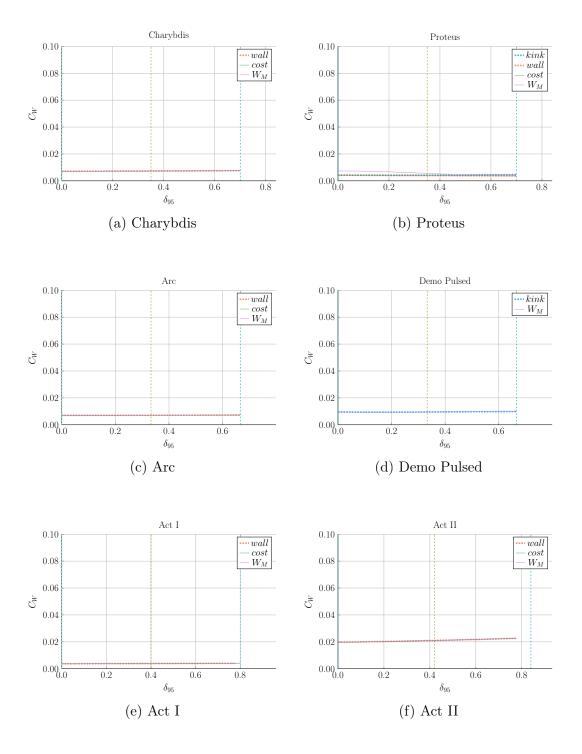


Figure G-29: Cost Sensitivity:  $\delta_{95}$  vs.  $B_0$ 

#### Density Peaking Factor $-\nu_n$

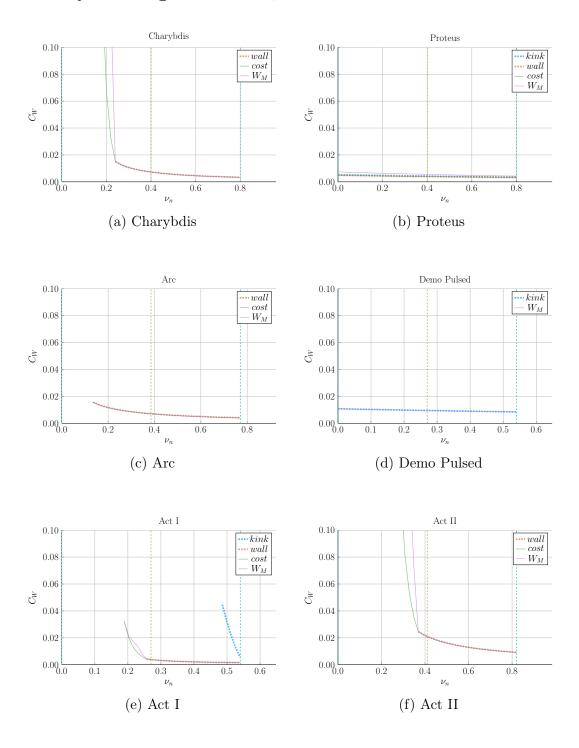


Figure G-30: Cost Sensitivity:  $\nu_n$  vs.  $B_0$ 

#### Temperature Peaking Factor – $\nu_T$

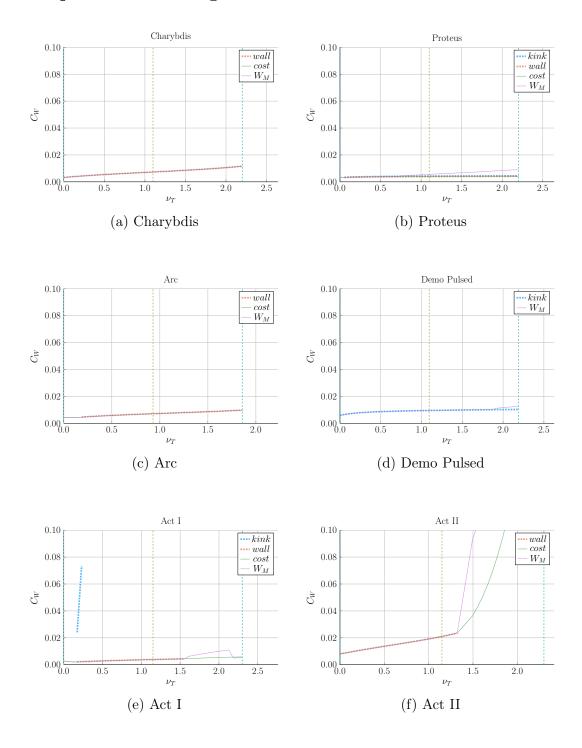


Figure G-31: Cost Sensitivity:  $\nu_T$  vs.  $B_0$ 

## $_{^{2632}}$ Internal Inductance - $l_i$

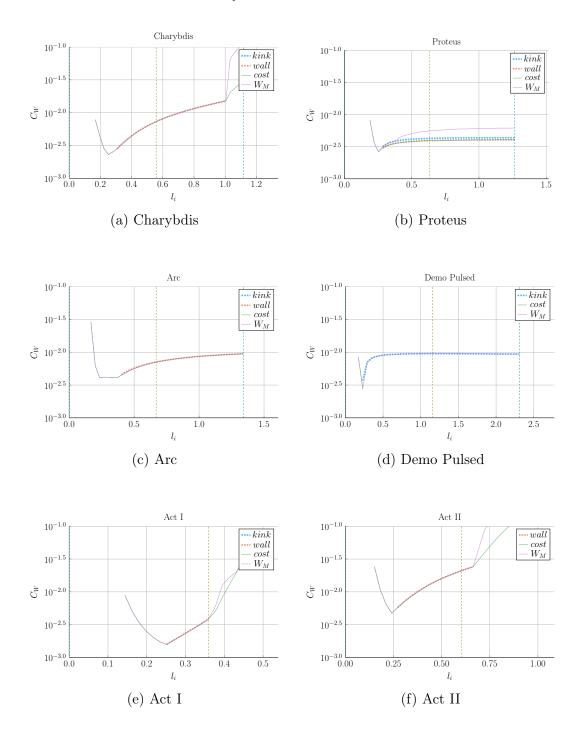


Figure G-32: Cost Sensitivity:  $l_i$  vs.  $B_0$ 

## Max Beta Normal $-(\beta_N)_{max}$

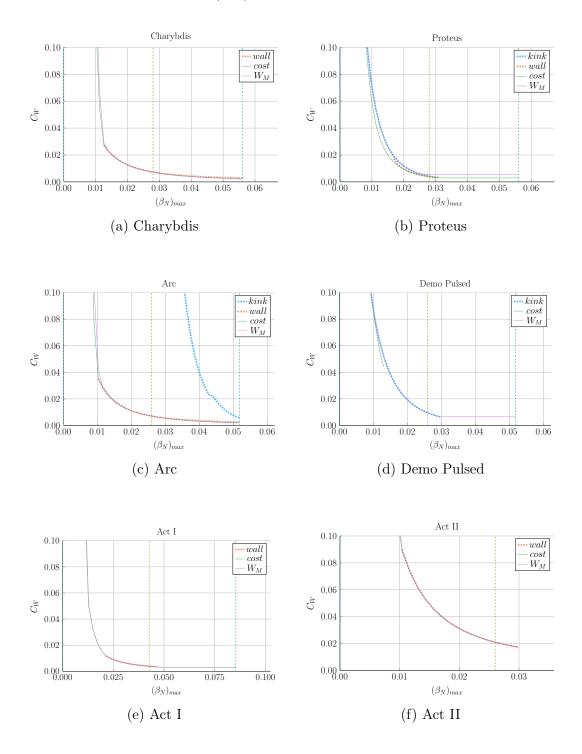


Figure G-33: Cost Sensitivity:  $(\beta_N)_{max}$  vs.  $B_0$ 

## Max Kink Safety Factor $-(q_{95})_{max}$

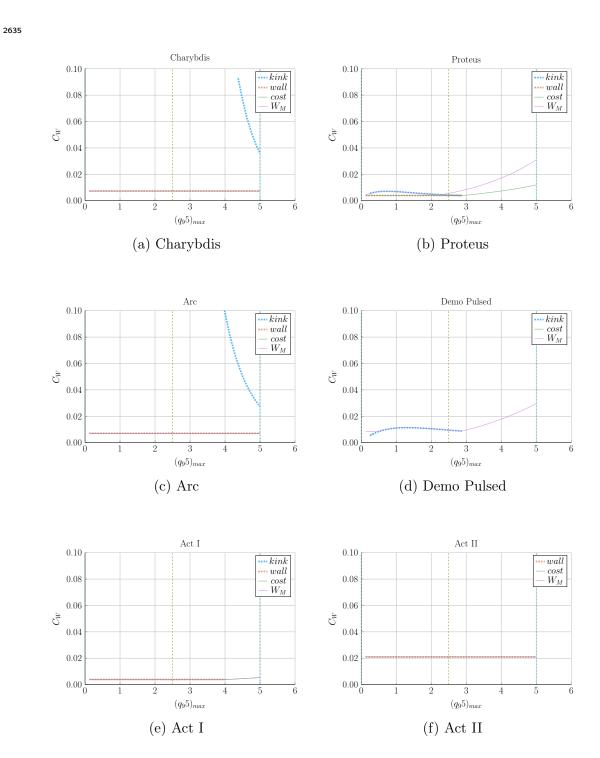


Figure G-34: Cost Sensitivity:  $(q_{95})_{max}$  vs.  $B_0$ 

## Max Wall Loading $-(P_W)_{max}$

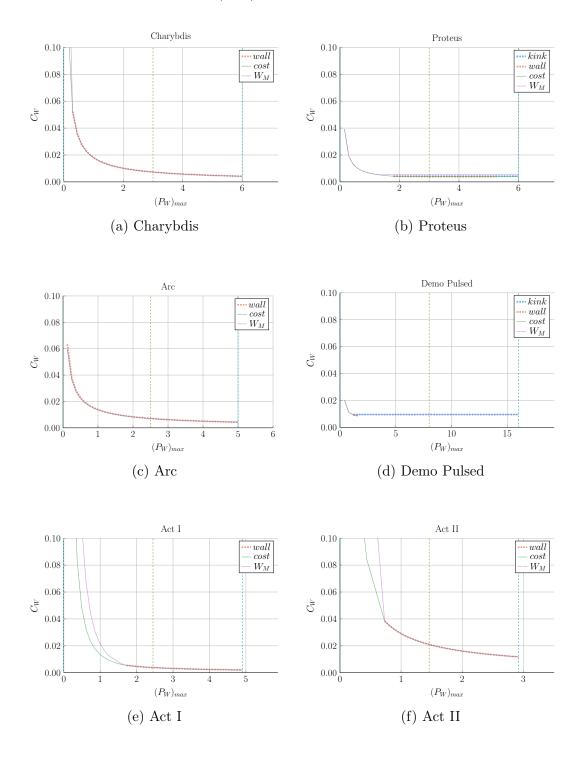


Figure G-35: Cost Sensitivity:  $(P_W)_{max}$  vs.  $B_0$ 

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