

# CS5050 ADVANCED ALGORITHMS

Fall Semester, 2017

## Homework Solution 5

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1. The algorithm is similar as before with only slight changes. For each  $0 \leq i \leq n$  and  $0 \leq k \leq M$ , we define the subproblem  $p[i, k]$  as the number of feasible subsets of the first  $i$  items whose total size sum is equal to  $k$ .

The dependency relation is as follows. As before, a feasible subset for  $p[i, k]$  can either contain item  $a_i$  or not. Therefore, we have  $p[i, k] = p[i - 1, k] + p[i - 1, k - a_i]$ . (Recall that the dependency relation for the original knapsack problem discussed in class is  $p[i, k] = \max\{p[i - 1, k], p[i - 1, k - a_i]\}$ . Therefore, for this new problem, the only difference is to change the “max” operation to “+”.)

The base cases are the same as before. The pseudocode is given below in Algorithm 1. The running time is still  $O(nM)$ .

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**Algorithm 1:** Knapsack

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**Input:** A set of  $n$  items of sizes  $\{a_1, a_2, \dots, a_n\}$  and the size of the knapsack  $M$ .

**Output:** The number of feasible subsets.

```
1 for  $k = 1$  to  $M$  do
2    $p[0, k] = 0$ ;
3 end
4 for  $i = 0$  to  $n$  do
5    $p[i, 0] = 1$ ;
6 end
7 for  $i = 1$  to  $n$  do
8   for  $k = 1$  to  $M$  do
9     if  $k \geq a_i$  then  $p[i, k] = p[i - 1, k] + p[i - 1, k - a_i]$  else  $p[i, k] = p[i - 1, k]$ 
10    end
11 end
12 return  $p[n, M]$ ;
```

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2. We can use almost the same algorithm for the knapsack problem discussed in class. For each  $0 \leq i \leq n$  and  $0 \leq k \leq K$  (note that here it is  $K$  instead of  $M$ ), we define the subproblem  $p[i, k]$  exactly the same as before, i.e.,  $p[i, k] = 1$  if there is a subset of the first  $i$  items whose total size sum is equal to  $k$  and  $p[i, k] = 0$  otherwise.

The dependency relation is also the same as before, i.e.,  $p[i, k] = \max\{p[i - 1, k], p[i - 1, k - a_i]\}$ .

The base cases are also the same. We run the same algorithm as before with two for-loops:  $i$  from 1 to  $n$  and  $k$  from 1 to  $K$ . Finally the values  $p[n, k]$  for  $k = 0, 1, 2, \dots, K$  will all be computed.

Further, we do the following processing to determine the solution for the problem. We first check whether  $p[n, M]$  is equal to 1. If yes, then we simply return  $M$  as the answer. Otherwise, starting from  $M$ , we find its left neighboring index  $l$  such that  $p[n, l] = 1$  and the right neighboring index  $r$  such that  $p[n, r] = 1$ . In other words,  $l$  is the largest index with  $l < M$  and  $p[n, l] = 1$ , and  $r$  is the smallest index with  $r > M$  and  $p[n, r] = 1$ .

Once  $l$  and  $r$  are found, if  $M - l < r - M$ , then we return  $l$  as the answer; otherwise, we return  $r$  as the answer.

The total time of the algorithm is  $O(nK)$ .

3. The algorithm is still somewhat similar to the one we discussed in class.

We first define sub-problems. For any  $1 \leq i \leq n$  and  $0 \leq k \leq M$ , consider the sub-problem of finding a subset  $S'$  of the first  $i$  items  $\{a_1, a_2, \dots, a_i\}$  such that the sum of the sizes of all items in  $S'$  is at most  $k$  and the sum of the values of all items in  $S'$  is maximized. Here, we define  $p[i, k]$  as the sum of the values of all items in the optimal solution  $S'$  of the above sub-problem.

To find the dependency relation, as before, either the optimal solution subset for the sub-problem  $p[i, k]$  contains the item  $a_i$  or not. Then, the dependency relation is  $p[i, k] = \max\{p[i - 1, k], p[i - 1, k - a_i] + \text{value}(a_i)\}$ .

The bases cases are slightly different. The pseudo-code is given in the following Algorithm 2. The running time is  $O(nM)$ .

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**Algorithm 2:** Knapsack-with-Values

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**Input:** A set of  $n$  items of sizes  $\{a_1, a_2, \dots, a_n\}$  and the size of the knapsack  $M$ . Each item  $a_i$  has a positive value  $\text{value}(a_i)$ .

**Output:** Find a subset of items with maximum total value subject to the knapsack size  $M$

```

1  for  $k = 1$  to  $M$  do
2       $p[0, k] = 0$ ;
3  end
4  for  $i = 0$  to  $n$  do
5       $p[i, 0] = 0$ ;
6  end
7  for  $i = 1$  to  $n$  do
8      for  $k = 1$  to  $M$  do
9          if  $k \geq a_i$  then  $p[i, k] = \max\{p[i - 1, k], p[i - 1, k - a_i] + \text{value}(a_i)\}$  else
               $p[i, k] = p[i - 1, k]$ 
10         end
11     end
12 return  $p[n, M]$ ;
```

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4. We will give two approaches for this problem. The first approach works as follows.

We first define sub-problems: For each  $i$ , define  $f(i)$  to be the number of elements in the *restricted* longest monotonically increasing subsequence (LMIS) of the first  $i$  elements of  $A$ , i.e.,  $A[1 \dots i]$ , subject to the constraint that the subsequence must include  $A[i]$  at the end.

Next we develop the dependency relation. In order to compute  $f(i)$ , we assume  $f(j)$  for all  $j = 1, 2, \dots, i - 1$  have been computed. Based on the definition of  $f(i)$ , for each  $f(j)$  with  $1 \leq j \leq i - 1$ , if  $A[i] > A[j]$ , then we can add  $A[i]$  to the end of the restricted LMIS of the first  $j$  elements to obtain a restricted monotonically increasing subsequence for  $A[1 \dots i]$ . To compute  $f(i)$ , we need to compute the restricted *longest* monotonically increasing subsequence, which can be done by finding the largest  $f(j)$  such that  $1 \leq j \leq i - 1$  and  $A[j] < A[i]$ . Hence, we obtain the following dependency relation for computing  $f(i)$ :

$$f(i) = 1 + \max_{1 \leq j \leq i-1, A[j] < A[i]} f(j).$$

In the base case, we have  $f(1) = 1$ . After  $f(i)$  for all  $i = 1, 2, \dots, n$  are computed, the largest  $f(i)$  corresponds to the LMIS of  $A$ . To report the sequence, for each  $1 \leq i \leq n$ , we use  $pre[i]$  to record the index of the number in front of  $A[i]$  in the restricted LMIS of  $A[1 \dots i]$ . Specifically, when we compute  $f(i)$  by using the above dependency relation, suppose  $f(j)$  is the largest value, then we set  $pre[i] = j$ . Initially, we set  $pre[i] = 0$  for each  $i$ . Finally, we will use the array  $pre[1 \dots n]$  to report the LMIS of  $A$ .

Refer to Algorithm 3 for the pseudocode. The running time is clearly  $O(n^2)$  because there are two for-loops.

**The second approach.** The problem can also be solved by using the algorithm for the longest common subsequence problem discussed in class, in the following way. We first sort all numbers of  $A$  into a sorted sequence  $B$ . Then, the **key observation** is that a longest common subsequence of the original array  $A$  and the sorted sequence  $B$  is a longest monotonically increasing subsequence of  $A$ . Therefore, we can simply apply the algorithm for the longest common subsequence problem on  $A$  and  $B$ . The total running time is still  $O(n^2)$ .

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**Algorithm 3:** Finding the longest monotonically increasing subsequence (LMIS)

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**Input:** An array of  $n$  distinct numbers:  $A[1 \dots n]$ .

**Output:** The LMIS of  $A$ .

```
1  $f[1] = 1$ ;
2 for  $i = 1$  to  $n$  do
3    $pre[i] = 0$ ;
4 end
5 for  $i = 2$  to  $n$  do
6    $max = 0$ ;
7   for  $j = 1$  to  $i - 1$  do
8     if  $A[i] > A[j]$  and  $max < f[j]$  then
9        $max = f[j]$ ;  $pre[i] = j$ ;
10    end
11     $f[i] = max + 1$ ;
12  end
13 end
    /* next, we find the largest value  $f[i]$  */
14  $k = 1$ ;  $max = f[1]$ ;
15 for  $i = 2$  to  $n$  do
16   if  $f[i] > max$  then
17      $max = f[i]$ ;  $k = i$ ;
18   end
19 end
    /* the above computes  $f[k]$  as the largest value, next, we report the LMIS */
20  $i = k$ ;
21 report  $A[i]$ ;
22 while  $pre[i] \neq 0$  do
23    $i = pre[i]$ ;
24   report  $A[i]$ ;
25 end
26 the above reports the LMIS in the inverse order, and we can reverse the list to obtain the
    normal order (we can use a stack to do the “reverse” operation and details are omitted);
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