



# **Storage efficiency**

## **ONTAP 9**

NetApp  
June 30, 2023

# Table of Contents

- Storage efficiency ..... 1
  - Thin provisioning ..... 1
  - Deduplication ..... 1
  - Compression ..... 2
  - Capacity measurements in System Manager ..... 2
  - FlexClone volumes, files, and LUNs ..... 6

# Storage efficiency

## Thin provisioning

ONTAP offers a wide range of storage efficiency technologies in addition to Snapshot copies. Key technologies include thin provisioning, deduplication, compression, and FlexClone volumes, files, and LUNs. Like Snapshot copies, all are built on ONTAP's Write Anywhere File Layout (WAFL).

A *thin-provisioned* volume or LUN is one for which storage is not reserved in advance. Instead, storage is allocated dynamically, as it is needed. Free space is released back to the storage system when data in the volume or LUN is deleted.

Suppose that your organization needs to supply 5,000 users with storage for home directories. You estimate that the largest home directories will consume 1 GB of space.

In this situation, you could purchase 5 TB of physical storage. For each volume that stores a home directory, you would reserve enough space to satisfy the needs of the largest consumers.

As a practical matter, however, you also know that home directory capacity requirements vary greatly across your community. For every large user of storage, there are ten who consume little or no space.

Thin provisioning allows you to satisfy the needs of the large storage consumers without having to purchase storage you might never use. Since storage space is not allocated until it is consumed, you can "overcommit" an aggregate of 2 TB by nominally assigning a size of 1 GB to each of the 5,000 volumes the aggregate contains.

As long as you are correct that there is a 10:1 ratio of light to heavy users, and as long as you take an active role in monitoring free space on the aggregate, you can be confident that volume writes won't fail due to lack of space.

## Deduplication

*Deduplication* reduces the amount of physical storage required for a volume (or all the volumes in an AFF aggregate) by discarding duplicate blocks and replacing them with references to a single shared block. Reads of deduplicated data typically incur no performance charge. Writes incur a negligible charge except on overloaded nodes.

As data is written during normal use, WAFL uses a batch process to create a catalog of *block signatures*. After deduplication starts, ONTAP compares the signatures in the catalog to identify duplicate blocks. If a match exists, a byte-by-byte comparison is done to verify that the candidate blocks have not changed since the catalog was created. Only if all the bytes match is the duplicate block discarded and its disk space reclaimed.



*Deduplication reduces the amount of physical storage required for a volume by discarding duplicate data blocks.*

## Compression

*Compression* reduces the amount of physical storage required for a volume by combining data blocks in *compression groups*, each of which is stored as a single block. Reads of compressed data are faster than in traditional compression methods because ONTAP decompresses only the compression groups that contain the requested data, not an entire file or LUN.

You can perform inline or postprocess compression, separately or in combination:

- *Inline compression* compresses data in memory before it is written to disk, significantly reducing the amount of write I/O to a volume, but potentially degrading write performance. Performance-intensive operations are deferred until the next postprocess compression operation, if any.
- *Postprocess compression* compresses data after it is written to disk, on the same schedule as deduplication.

**Inline data compaction** Small files or I/O padded with zeros are stored in a 4 KB block whether or not they require 4 KB of physical storage. *Inline data compaction* combines data chunks that would ordinarily consume multiple 4 KB blocks into a single 4 KB block on disk. Compaction takes place while data is still in memory, so it is best suited to faster controllers.

## Capacity measurements in System Manager

System capacity can be measured as either physical space or logical space. Beginning with ONTAP 9.7, System Manager provides measurements of both physical and logical capacity.

The differences between the two measurements are explained in the following descriptions:

- **Physical capacity:** Physical space refers to the physical blocks of storage used in the volume or local tier. The value for physical used capacity is typically smaller than the value for logical used capacity due to the reduction of data from storage efficiency features (such as deduplication and compression).

- **Logical capacity:** Logical space refers to the usable space (the logical blocks) in a volume or local tier. Logical space refers to how theoretical space can be used, without accounting for results of deduplication or compression. The value for logical space used is derived from the amount of physical space used plus the savings from storage efficiency features (such as deduplication and compression) that have been configured. This measurement often appears larger than the physical used capacity because it includes Snapshot copies, clones, and other components, and it does not reflect the data compression and other reductions in the physical space. Thus, the total logical capacity could be higher than the provisioned space.



In System Manager, capacity representations do not account for root storage tier (aggregate) capacities.

## Measurements of used capacity

Measurements of used capacity are displayed differently depending on the version of System Manager you are using, as explained in the following table:

Version of System Manager	Term used for capacity	Type of capacity referred to
9.9.1 and later	Logical Used	Logical space used (if storage efficiency settings have been enabled)
9.7 and 9.8	Used	Logical space used (if storage efficiency settings have been enabled)
9.5 and 9.6 (Classic view)	Used	Physical space used

## Capacity measurement terms

The following terms are used when describing capacity:

- **Allocated capacity:** The amount of space that has been allocated for volumes in a storage VM.
- **Available:** The amount of physical space available to store data or to provision volumes in a storage VM or on a local tier.
- **Capacity across volumes:** The sum of the used storage and available storage of all the volumes on a storage VM.
- **Client data:** The amount of space used by client data (either physical or logical).
  - Beginning with ONTAP 9.13.1, the capacity used by client data is referred to as **Logical Used**, and the capacity used by Snapshot copies is displayed separately.
  - In ONTAP 9.12.1 and earlier, the capacity used by client data added to the capacity used by Snapshot copies is referred to as **Logical Used**.
- **Committed:** The amount of committed capacity for a local tier.
- **Data reduction:**
  - Beginning with ONTAP 9.13.1, data reduction ratios are displayed as follows:

- The data reduction value displayed on the **Capacity** panel is the ratio of logical used space compared to physical used space without considering the significant reductions achieved when using storage efficiency features, such as Snapshot copies.
- When you display the details panel, you see both the ratio that was displayed on the overview panel and the overall ratio of all logical used space compared to physical used space. Referred to as **With Snapshot copies**, this value includes the benefits derived from using Snapshot copies and other storage efficiency features.
- In ONTAP 9.12.1 and earlier, data reduction ratios are displayed as follows:
  - The data reduction value displayed on the **Capacity** panel is the overall ratio of all logical used space compared to physical used space, and it includes the benefits derived from using Snapshot copies and other storage efficiency features.
  - When you display the details panel, you see both the **Overall** ratio that was displayed on the overview panel and ratio of logical used space used only by client data compared to physical used space used only by client data, referred to as **Without Snapshot copies and clones**.
- **Logical used:**
  - Beginning with ONTAP 9.13.1, the capacity used by client data is referred to as **Logical Used**, and the capacity used by Snapshot copies is displayed separately.
  - In ONTAP 9.12.1 and earlier, the capacity used by client data added to capacity used by Snapshot copies is referred to as **Logical Used**.
- **Logical used %:** The percentage of the current logical used capacity compared to the provisioned size, excluding Snapshot reserves. This value can be greater than 100%, because it includes efficiency savings in the volume.
- **Maximum capacity:** The maximum amount of space allocated for volumes on a storage VM.
- **Physical used:** The amount of capacity used in the physical blocks of a volume or local tier.
- **Physical used %:** The percentage of capacity used in the physical blocks of a volume compared to the provisioned size.
- **Reserved:** The amount of space reserved for already provisioned volumes in a local tier.
- **Used:** The amount of space that contains data.
- **Used and reserved:** The sum of physical used and reserved space.

## Capacity of a storage VM

The maximum capacity of a storage VM is determined by the total allocated space for volumes plus the remaining unallocated space.

- The allocated space for volumes is the sum of the used capacity and the sum of available capacity of FlexVol volumes, FlexGroup volumes, and FlexCache volumes.
- The capacity of volumes is included in the sums, even when they are restricted, offline, or in the recovery queue after deletion.
- If volumes are configured with auto-grow, the maximum autosize value of the volume is used in the sums. Without auto-grow, the actual capacity of the volume is used in the sums.

The following chart explains how the measurement of the capacity across volumes relates to the maximum capacity limit.



Beginning with ONTAP 9.13.1, cluster administrators can [enable a maximum capacity limit for a storage VM](#). However, storage limits cannot be set for a storage VM that contains volumes that are for data protection, in a SnapMirror relationship, or in a MetroCluster configuration. Also, quotas cannot be configured to exceed the maximum capacity of a storage VM.

After the maximum capacity limit is set, it cannot be changed to a size that is less than the currently allocated capacity.

When a storage VM reaches its maximum capacity limit, certain operations cannot be performed. System Manager provides suggestions for next steps in [Insights](#).

## Capacity measurement units

System Manager calculates storage capacity based on binary units of 1024 ( $2^{10}$ ) bytes.

- Beginning with ONTAP 9.10.1, storage capacity units are displayed in System Manager as KiB, MiB, GiB, TiB, and PiB.
- In ONTAP 9.10.0 and earlier, these units are displayed in System Manager as KB, MB, GB, TB, and PB.



The units used in System Manager for throughput continue to be KB/s, MB/s, GB/s, TB/s, and PB/s for all releases of ONTAP.

Capacity unit displayed in System Manager for ONTAP 9.10.0 and earlier	Capacity unit displayed in System Manager for ONTAP 9.10.1 and later	Calculation	Value in bytes
KB	KiB	1024	1024 bytes
MB	MiB	1024 * 1024	1,048,576 bytes
GB	GiB	1024 * 1024 * 1024	1,073,741,824 bytes
TB	TiB	1024 * 1024 * 1024 * 1024	1,099,511,627,776 bytes
PB	PiB	1024 * 1024 * 1024 * 1024 * 1024	1,125,899,906,842,624 bytes

#### Related information

[Monitor capacity in System Manager](#)

[Logical space reporting and enforcement for volumes](#)

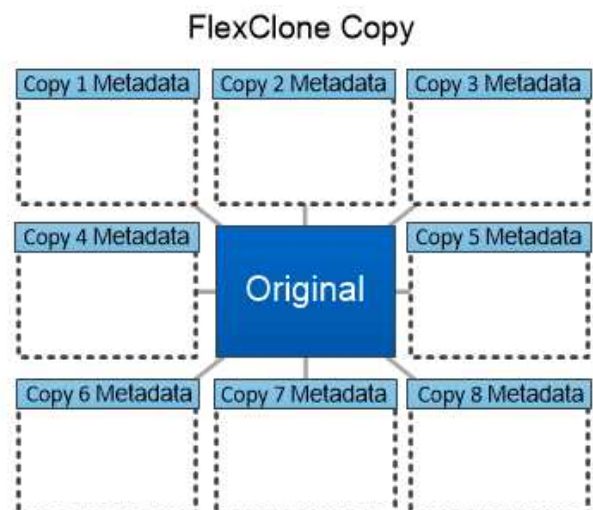
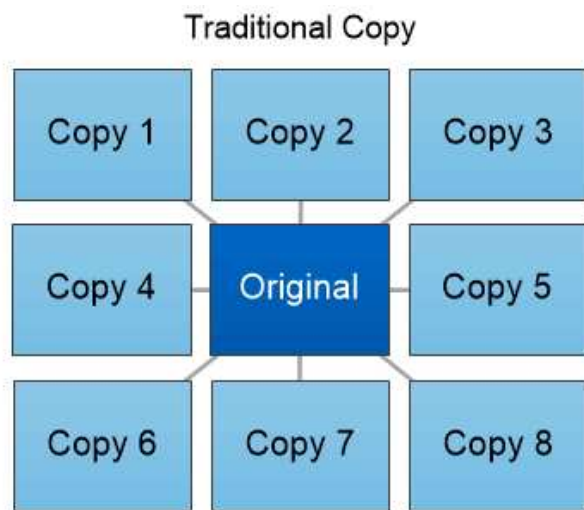
## FlexClone volumes, files, and LUNs

*FlexClone* technology references Snapshot metadata to create writable, point-in-time copies of a volume. Copies share data blocks with their parents, consuming no storage except what is required for metadata until changes are written to the copy. FlexClone files and FlexClone LUNs use identical technology, except that a backing Snapshot copy is not required.

Where traditional copies can take minutes or even hours to create, FlexClone software lets you copy even the largest datasets almost instantaneously. That makes it ideal for situations in which you need multiple copies of identical datasets (a virtual desktop deployment, for example) or temporary copies of a dataset (testing an application against a production dataset).

You can clone an existing FlexClone volume, clone a volume containing LUN clones, or clone mirror and vault data. You can *split* a FlexClone volume from its parent, in which case the copy is allocated its own storage.





*FlexClone copies share data blocks with their parents, consuming no storage except what is required for metadata.*

## Copyright information

Copyright © 2023 NetApp, Inc. All Rights Reserved. Printed in the U.S. No part of this document covered by copyright may be reproduced in any form or by any means—graphic, electronic, or mechanical, including photocopying, recording, taping, or storage in an electronic retrieval system—without prior written permission of the copyright owner.

Software derived from copyrighted NetApp material is subject to the following license and disclaimer:

THIS SOFTWARE IS PROVIDED BY NETAPP “AS IS” AND WITHOUT ANY EXPRESS OR IMPLIED WARRANTIES, INCLUDING, BUT NOT LIMITED TO, THE IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE, WHICH ARE HEREBY DISCLAIMED. IN NO EVENT SHALL NETAPP BE LIABLE FOR ANY DIRECT, INDIRECT, INCIDENTAL, SPECIAL, EXEMPLARY, OR CONSEQUENTIAL DAMAGES (INCLUDING, BUT NOT LIMITED TO, PROCUREMENT OF SUBSTITUTE GOODS OR SERVICES; LOSS OF USE, DATA, OR PROFITS; OR BUSINESS INTERRUPTION) HOWEVER CAUSED AND ON ANY THEORY OF LIABILITY, WHETHER IN CONTRACT, STRICT LIABILITY, OR TORT (INCLUDING NEGLIGENCE OR OTHERWISE) ARISING IN ANY WAY OUT OF THE USE OF THIS SOFTWARE, EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGE.

NetApp reserves the right to change any products described herein at any time, and without notice. NetApp assumes no responsibility or liability arising from the use of products described herein, except as expressly agreed to in writing by NetApp. The use or purchase of this product does not convey a license under any patent rights, trademark rights, or any other intellectual property rights of NetApp.

The product described in this manual may be protected by one or more U.S. patents, foreign patents, or pending applications.

LIMITED RIGHTS LEGEND: Use, duplication, or disclosure by the government is subject to restrictions as set forth in subparagraph (b)(3) of the Rights in Technical Data -Noncommercial Items at DFARS 252.227-7013 (FEB 2014) and FAR 52.227-19 (DEC 2007).

Data contained herein pertains to a commercial product and/or commercial service (as defined in FAR 2.101) and is proprietary to NetApp, Inc. All NetApp technical data and computer software provided under this Agreement is commercial in nature and developed solely at private expense. The U.S. Government has a non-exclusive, non-transferrable, nonsublicensable, worldwide, limited irrevocable license to use the Data only in connection with and in support of the U.S. Government contract under which the Data was delivered. Except as provided herein, the Data may not be used, disclosed, reproduced, modified, performed, or displayed without the prior written approval of NetApp, Inc. United States Government license rights for the Department of Defense are limited to those rights identified in DFARS clause 252.227-7015(b) (FEB 2014).

## Trademark information

NETAPP, the NETAPP logo, and the marks listed at <http://www.netapp.com/TM> are trademarks of NetApp, Inc. Other company and product names may be trademarks of their respective owners.