



# **Use LDAP**

## **ONTAP 9**

NetApp  
June 17, 2023

# Table of Contents

- Use LDAP ..... 1
  - LDAP Overview ..... 1
  - LDAP signing and sealing concepts ..... 2
  - LDAPS concepts ..... 2
  - Enable LDAP RFC2307bis support ..... 4
  - Configuration options for LDAP directory searches ..... 5
  - Improve performance of LDAP directory netgroup-by-host searches ..... 6
  - Use LDAP fast bind for nsswitch authentication ..... 8
  - Display LDAP statistics ..... 9

# Use LDAP

## LDAP Overview

An LDAP (Lightweight Directory Access Protocol) server enables you to centrally maintain user information. If you store your user database on an LDAP server in your environment, you can configure your storage system to look up user information in your existing LDAP database.

- Before configuring LDAP for ONTAP, you should verify that your site deployment meets best practices for LDAP server and client configuration. In particular, the following conditions must be met:
  - The domain name of the LDAP server must match the entry on the LDAP client.
  - The LDAP user password hash types supported by the LDAP server must include those supported by ONTAP:
    - CRYPT (all types) and SHA-1 (SHA, SSHA).
    - Beginning with ONTAP 9.8, SHA-2 hashes (SHA-256, SSH-384, SHA-512, SSHA-256, SSHA-384, and SSHA-512) are also supported.
  - If the LDAP server requires session security measures, you must configure them in the LDAP client.

The following session security options are available:

- LDAP signing (provides data integrity checking) and LDAP signing and sealing (provides data integrity checking and encryption)
- START TLS
- LDAPS (LDAP over TLS or SSL)
- To enable signed and sealed LDAP queries, the following services must be configured:
  - LDAP servers must support the GSSAPI (Kerberos) SASL mechanism.
  - LDAP servers must have DNS A/AAAA records as well as PTR records set up on the DNS server.
  - Kerberos servers must have SRV records present on the DNS server.
- To enable START TLS or LDAPS, the following points should be considered.
  - It is a NetApp best practice to use Start TLS rather than LDAPS.
  - If LDAPS is used, the LDAP server must be enabled for TLS or for SSL in ONTAP 9.5 and later. SSL is not supported in ONTAP 9.0-9.4.
  - A certificate server must already be configured in the domain.
- To enable LDAP referral chasing (in ONTAP 9.5 and later), the following conditions must be satisfied:
  - Both domains should be configured with one of the following trust relationships:
    - Two-way
    - One-way, where the primary trusts the referral domain
    - Parent-child
  - DNS must be configured to resolve all referred server names.
  - Domain passwords should be same to authenticate when `--bind-as-cifs-server` set to true.

The following configurations are not supported with LDAP referral chasing.



- For all ONTAP versions:
- LDAP clients on an admin SVM
- For ONTAP 9.8 and earlier (they are supported in 9.9.1 and later):
- LDAP signing and sealing (the `-session-security` option)
- Encrypted TLS connections (the `-use-start-tls` option)
- Communications over LDAPS port 636 (the `-use-ldaps-for-ad-ldap` option)

- Beginning with ONTAP 9.11.1, you can use [LDAP fast bind for nsswitch authentication](#).
- You must enter an LDAP schema when configuring the LDAP client on the SVM.

In most cases, one of the default ONTAP schemas will be appropriate. However, if the LDAP schema in your environment differs from these, you must create a new LDAP client schema for ONTAP before creating the LDAP client. Consult with your LDAP administrator about requirements for your environment.

- Using LDAP for host name resolution is not supported.

For additional information, see [NetApp Technical Report 4835: How to Configure LDAP in ONTAP](#).

## LDAP signing and sealing concepts

Beginning with ONTAP 9, you can configure signing and sealing to enable LDAP session security on queries to an Active Directory (AD) server. You must configure the NFS server security settings on the storage virtual machine (SVM) to correspond to those on the LDAP server.

Signing confirms the integrity of the LDAP payload data using secret key technology. Sealing encrypts the LDAP payload data to avoid transmitting sensitive information in clear text. An *LDAP Security Level* option indicates whether the LDAP traffic needs to be signed, signed and sealed, or neither. The default is `none`. `test`

LDAP signing and sealing on SMB traffic is enabled on the SVM with the `-session-security-for-ad-ldap` option to the `vserver cifs security modify` command.

## LDAPS concepts

You must understand certain terms and concepts about how ONTAP secures LDAP communication. ONTAP can use START TLS or LDAPS for setting up authenticated sessions between Active Directory-integrated LDAP servers or UNIX-based LDAP servers.

### Terminology

There are certain terms that you should understand about how ONTAP uses LDAPS to secure LDAP communication.

- **LDAP**

(Lightweight Directory Access Protocol) A protocol for accessing and managing information directories. LDAP is used as an information directory for storing objects such as users, groups, and netgroups. LDAP also provides directory services that manage these objects and fulfill LDAP requests from LDAP clients.

- **SSL**

(Secure Sockets Layer) A protocol developed for sending information securely over the Internet. It has been deprecated in favor of TLS. SSL is not supported in ONTAP 9.0-9.4.

- **TLS**

(Transport Layer Security) An IETF standards track protocol that is based on the earlier SSL specifications. It is the successor to SSL.

- **LDAPS (LDAP over SSL or TLS)**

A protocol that uses TLS or SSL to secure communication between LDAP clients and LDAP servers. The terms *LDAP over SSL* and *LDAP over TLS* are sometimes used interchangeably; TLS is supported by ONTAP 9 and later, SSL is supported by ONTAP 9.5 and later.

- In ONTAP 9.5-9.8, LDAPS can only be enabled on port 636. To do so, use the `-use-ldaps-for-ad -ldap` parameter with the `vserver cifs security modify` command.
- Beginning with ONTAP 9.9.1, LDAPS can be enabled on any port, although port 636 remains the default. To do so, set the `-ldaps-enabled` parameter to `true` and specify the desired `-port` parameter. For more information, see the `vserver services name-service ldap client create` man page



It is a NetApp best practice to use Start TLS rather than LDAPS.

- **Start TLS**

(Also known as *start\_tls*, *STARTTLS*, and *StartTLS*) A mechanism to provide secure communication by using the TLS protocols.

ONTAP uses STARTTLS for securing LDAP communication, and uses the default LDAP port (389) to communicate with the LDAP server. The LDAP server must be configured to allow connections over LDAP port 389; otherwise, LDAP TLS connections from the SVM to the LDAP server fail.

## How ONTAP uses LDAPS

ONTAP supports TLS server authentication, which enables the SVM LDAP client to confirm the LDAP server's identity during the bind operation. TLS-enabled LDAP clients can use standard techniques of public-key cryptography to check that a server's certificate and public ID are valid and have been issued by a certificate authority (CA) listed in the client's list of trusted CAs.

LDAP supports STARTTLS to encrypt communications using TLS. STARTTLS begins as a plaintext connection over the standard LDAP port (389), and that connection is then upgraded to TLS.

ONTAP supports the following:

- LDAPS for SMB-related traffic between the Active Directory-integrated LDAP servers and the SVM
- LDAPS for LDAP traffic for name mapping and other UNIX information

Either Active Directory-integrated LDAP servers or UNIX-based LDAP servers can be used to store information for LDAP name mapping and other UNIX information, such as users, groups, and netgroups.

- Self-signed root CA certificates

When using an Active-Directory integrated LDAP, the self-signed root certificate is generated when the Windows Server Certificate Service is installed in the domain. When using an UNIX-based LDAP server for LDAP name mapping, the self-signed root certificate is generated and saved by using means appropriate to that LDAP application.

By default, LDAPS is disabled.

## Enable LDAP RFC2307bis support

If you want to use LDAP and require the additional capability to use nested group memberships, you can configure ONTAP to enable LDAP RFC2307bis support.

### What you'll need

You must have created a copy of one of the default LDAP client schemas that you want to use.

### About this task

In LDAP client schemas, group objects use the `memberUid` attribute. This attribute can contain multiple values and lists the names of the users that belong to that group. In RFC2307bis enabled LDAP client schemas, group objects use the `uniqueMember` attribute. This attribute can contain the full distinguished name (DN) of another object in the LDAP directory. This enables you to use nested groups because groups can have other groups as members.

The user should not be a member of more than 256 groups including nested groups. ONTAP ignores any groups over the 256 group limit.

By default, RFC2307bis support is disabled.



RFC2307bis support is enabled automatically in ONTAP when an LDAP client is created with the MS-AD-BIS schema.

For additional information, see [NetApp Technical Report 4835: How to Configure LDAP in ONTAP](#).

### Steps

1. Set the privilege level to advanced:

```
set -privilege advanced
```

2. Modify the copied RFC2307 LDAP client schema to enable RFC2307bis support:

```
vserver services name-service ldap client schema modify -vserver vserver_name  
-schema schema-name -enable-rfc2307bis true
```

3. Modify the schema to match the object class supported in the LDAP server:

```
vserver services name-service ldap client schema modify -vserver vserver-name  
-schema schema_name -group-of-unique-names-object-class object_class
```

4. Modify the schema to match the attribute name supported in the LDAP server:

```
vserver services name-service ldap client schema modify -vserver vserver-name  
-schema schema_name -unique-member-attribute attribute_name
```

5. Return to the admin privilege level:

```
set -privilege admin
```

## Configuration options for LDAP directory searches

You can optimize LDAP directory searches, including user, group, and netgroup information, by configuring the ONTAP LDAP client to connect to LDAP servers in the most appropriate way for your environment. You need to understand when the default LDAP base and scope search values suffice and which parameters to specify when custom values are more appropriate.

LDAP client search options for user, group, and netgroup information can help avoid failed LDAP queries, and therefore failed client access to storage systems. They also help ensure that the searches are as efficient as possible to avoid client performance issues.

### Default base and scope search values

The LDAP base is the default base DN that the LDAP client uses to perform LDAP queries. All searches, including user, group, and netgroup searches, are done using the base DN. This option is appropriate when your LDAP directory is relatively small and all relevant entries are located in the same DN.

If you do not specify a custom base DN, the default is `root`. This means that each query searches the entire directory. Although this maximizes the chances of success of the LDAP query, it can be inefficient and result in significantly decreased performance with large LDAP directories.

The LDAP base scope is the default search scope that the LDAP client uses to perform LDAP queries. All searches, including user, group, and netgroup searches, are done using the base scope. It determines whether the LDAP query searches only the named entry, entries one level below the DN, or the entire subtree below the DN.

If you do not specify a custom base scope, the default is `subtree`. This means that each query searches the entire subtree below the DN. Although this maximizes the chances of success of the LDAP query, it can be inefficient and result in significantly decreased performance with large LDAP directories.

### Custom base and scope search values

Optionally, you can specify separate base and scope values for user, group, and netgroup searches. Limiting the search base and scope of queries this way can significantly improve performance because it limits the search to a smaller subsection of the LDAP directory.

If you specify custom base and scope values, they override the general default search base and scope for user, group, and netgroup searches. The parameters to specify custom base and scope values are available at the advanced privilege level.

LDAP client parameter...	Specifies custom...
--------------------------	---------------------

<code>-base-dn</code>	Base DN for all LDAP searchesMultiple values can be entered if needed (for example, if LDAP referral chasing is enabled in ONTAP 9.5 and later releases).
<code>-base-scope</code>	Base scope for all LDAP searches
<code>-user-dn</code>	Base DN for all LDAP user searchesThis parameter also applies to user name-mapping searches.
<code>-user-scope</code>	Base scope for all LDAP user searches This parameter also applies to user name-mapping searches.
<code>-group-dn</code>	Base DN for all LDAP group searches
<code>-group-scope</code>	Base scope for all LDAP group searches
<code>-netgroup-dn</code>	Base DN for all LDAP netgroup searches
<code>-netgroup-scope</code>	Base scope for all LDAP netgroup searches

## Multiple custom base DN values

If your LDAP directory structure is more complex, it might be necessary for you to specify multiple base DNs to search multiple parts of your LDAP directory for certain information. You can specify multiple DNs for the user, group, and netgroup DN parameters by separating them with a semicolon (;) and enclosing the entire DN search list with double quotes ("). If a DN contains a semicolon, you must add an escape character (\) immediately before the semicolon in the DN.

Note that the scope applies to the entire list of DNs specified for the corresponding parameter. For example, if you specify a list of three different user DNs and subtree for the user scope, then LDAP user searches search the entire subtree for each of the three specified DNs.

Beginning with ONTAP 9.5, you can also specify LDAP *referral chasing*, which allows the ONTAP LDAP client to refer look-up requests to other LDAP servers if an LDAP referral response is not returned by the primary LDAP server. The client uses that referral data to retrieve the target object from the server described in the referral data. To search for objects present in the referred LDAP servers, the base-dn of the referred objects can be added to the base-dn as part of LDAP client configuration. However, referred objects are only looked up when referral chasing is enabled (using the `-referral-enabled true` option) during LDAP client creation or modification.

## Improve performance of LDAP directory netgroup-by-host searches

If your LDAP environment is configured to allow netgroup-by-host searches, you can configure ONTAP to take advantage of this and perform netgroup-by-host searches. This can significantly speed up netgroup searches and reduce possible NFS client access issues due to latency during netgroup searches.



## What you'll need

Your LDAP directory must contain a `netgroup.byhost` map.

Your DNS servers should contain both forward (A) and reverse (PTR) lookup records for NFS clients.

When you specify IPv6 addresses in `netgroups`, you must always shorten and compress each address as specified in RFC 5952.

## About this task

NIS servers store `netgroup` information in three separate maps called `netgroup`, `netgroup.byuser`, and `netgroup.byhost`. The purpose of the `netgroup.byuser` and `netgroup.byhost` maps is to speed up `netgroup` searches. ONTAP can perform `netgroup-by-host` searches on NIS servers for improved mount response times.

By default, LDAP directories do not have such a `netgroup.byhost` map like NIS servers. It is possible, though, with the help of third-party tools, to import a NIS `netgroup.byhost` map into LDAP directories to enable fast `netgroup-by-host` searches. If you have configured your LDAP environment to allow `netgroup-by-host` searches, you can configure the ONTAP LDAP client with the `netgroup.byhost` map name, DN, and search scope for faster `netgroup-by-host` searches.

Receiving the results for `netgroup-by-host` searches faster enables ONTAP to process export rules faster when NFS clients request access to exports. This reduces the chance of delayed access due to `netgroup` search latency issues.

## Steps

1. Obtain the exact full distinguished name of the NIS `netgroup.byhost` map you imported into your LDAP directory.

The map DN can vary depending on the third-party tool you used for import. For best performance, you should specify the exact map DN.

2. Set the privilege level to advanced: `set -privilege advanced`
3. Enable `netgroup-by-host` searches in the LDAP client configuration of the storage virtual machine (SVM):  
`vserver services name-service ldap client modify -vserver vserver_name -client -config config_name -is-netgroup-byhost-enabled true -netgroup-byhost-dn netgroup-by-host_map_distinguished_name -netgroup-byhost-scope netgroup-by-host_search_scope`

`-is-netgroup-byhost-enabled {true|false}` enables or disables `netgroup-by-host` search for LDAP directories. The default is `false`.

`-netgroup-byhost-dn netgroup-by-host_map_distinguished_name` specifies the distinguished name of the `netgroup.byhost` map in the LDAP directory. It overrides the base DN for `netgroup-by-host` searches. If you do not specify this parameter, ONTAP uses the base DN instead.

`-netgroup-byhost-scope {base|onelevel|subtree}` specifies the search scope for `netgroup-by-host` searches. If you do not specify this parameter, the default is `subtree`.

If the LDAP client configuration does not exist yet, you can enable `netgroup-by-host` searches by specifying these parameters when creating a new LDAP client configuration using the `vserver services name-service ldap client create` command.



Beginning with ONTAP 9.2, the field `-ldap-servers` replaces the field `-servers`. This new field can take either a hostname or an IP address for the LDAP server.

4. Return to the admin privilege level: `set -privilege admin`

### Example

The following command modifies the existing LDAP client configuration named “ldap\_corp” to enable netgroup-by-host searches using the `netgroup.byhost` map named “nisMapName=“netgroup.byhost”,dc=corp,dc=example,dc=com” and the default search scope `subtree`:

```
cluster1::*> vserver services name-service ldap client modify -vserver vs1
-client-config ldap_corp -is-netgroup-byhost-enabled true -netgroup-byhost
-dn nisMapName="netgroup.byhost",dc=corp,dc=example,dc=com
```

### After you finish

The `netgroup.byhost` and `netgroup` maps in the directory must be kept in sync at all times to avoid client access issues.

### Related information

[IETF RFC 5952: A Recommendation for IPv6 Address Text Representation](#)

## Use LDAP fast bind for nsswitch authentication

Beginning with ONTAP 9.11.1, you can take advantage of LDAP *fast bind* functionality (also known as *concurrent bind*) for faster and simpler client authentication requests. To use this functionality, the LDAP server must support fast bind functionality.

### About this task

Without fast bind, ONTAP uses LDAP simple bind to authenticate admin users with the LDAP server. With this authentication method, ONTAP sends a user or group name to the LDAP server, receives the stored hash password, and compares the server hash code with the hash passcode generated locally from the user password. If they are identical, ONTAP grants login permission.

With fast bind functionality, ONTAP sends only user credentials (user name and password) to the LDAP server through a secure connection. The LDAP server then validates these credentials and instructs ONTAP to grant login permissions.

One advantage of fast bind is that there is no need for ONTAP to support every new hashing algorithm supported by LDAP servers, because password hashing is performed by the LDAP server.

[Learn about using fast bind.](#)

You can use existing LDAP client configurations for LDAP fast bind. However, it is strongly recommended that the LDAP client be configured for TLS or LDAPS; otherwise, the password is sent over the wire in plain text.

To enable LDAP fast bind in an ONTAP environment, you must satisfy these requirements:

- ONTAP admin users must be configured on an LDAP server that supports fast bind.
- The ONTAP SVM must be configured for LDAP in the name services switch (nsswitch) database.

- ONTAP admin user and group accounts must be configured for nsswitch authentication using fast bind.

### Steps

1. Confirm with your LDAP administrator that LDAP fast bind is supported on the LDAP server.
2. Ensure that ONTAP admin user credentials are configured on the LDAP server.
3. Verify that the admin or data SVM is configured correctly for LDAP fast bind.
  - a. To confirm that the LDAP fast bind server is listed in the LDAP client configuration, enter:

```
vserver services name-service ldap client show
```

[Learn about LDAP client configuration.](#)

- b. To confirm that ldap is one of the configured sources for the nsswitch passwd database, enter:

```
vserver services name-service ns-switch show
```

[Learn about nsswitch configuration.](#)

4. Ensure that admin users are authenticating with nsswitch and that LDAP fast bind authentication is enabled in their accounts.
  - For existing users, enter `security login modify` and verify the following parameter settings:

```
-authentication-method nsswitch
```

```
-is-ldap-fastbind true
```
  - For new admin users, see [Enable LDAP or NIS account access](#).

## Display LDAP statistics

Beginning with ONTAP 9.2, you can display LDAP statistics for storage virtual machines (SVMs) on a storage system to monitor the performance and diagnose issues.

### What you'll need

- You must have configured an LDAP client on the SVM.
- You must have identified LDAP objects from which you can view data.

### Step

1. View the performance data for counter objects:

```
statistics show
```

### Examples

The following example shows the performance data for object `secd_external_service_op`:

```
cluster::*> statistics show -vserver vserverName -object
secd_external_service_op -instance "vserverName:LDAP (NIS & Name
Mapping):GetUserInfoFromName:1.1.1.1"
```

```
Object: secd_external_service_op
Instance: vserverName:LDAP (NIS & Name
Mapping):GetUserInfoFromName:1.1.1.1
Start-time: 4/13/2016 22:15:38
End-time: 4/13/2016 22:15:38
Scope: vserverName
```

Counter	Value
instance_name	vserverName:LDAP (NIS & Name Mapping):GetUserInfoFromName: 1.1.1.1
last_modified_time	1460610787
node_name	nodeName
num_not_found_responses	1
num_request_failures	1
num_requests_sent	1
num_responses_received	1
num_successful_responses	0
num_timeouts	0
operation	GetUserInfoFromName
process_name	secd
request_latency	52131us

## Copyright information

Copyright © 2023 NetApp, Inc. All Rights Reserved. Printed in the U.S. No part of this document covered by copyright may be reproduced in any form or by any means—graphic, electronic, or mechanical, including photocopying, recording, taping, or storage in an electronic retrieval system—without prior written permission of the copyright owner.

Software derived from copyrighted NetApp material is subject to the following license and disclaimer:

THIS SOFTWARE IS PROVIDED BY NETAPP “AS IS” AND WITHOUT ANY EXPRESS OR IMPLIED WARRANTIES, INCLUDING, BUT NOT LIMITED TO, THE IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE, WHICH ARE HEREBY DISCLAIMED. IN NO EVENT SHALL NETAPP BE LIABLE FOR ANY DIRECT, INDIRECT, INCIDENTAL, SPECIAL, EXEMPLARY, OR CONSEQUENTIAL DAMAGES (INCLUDING, BUT NOT LIMITED TO, PROCUREMENT OF SUBSTITUTE GOODS OR SERVICES; LOSS OF USE, DATA, OR PROFITS; OR BUSINESS INTERRUPTION) HOWEVER CAUSED AND ON ANY THEORY OF LIABILITY, WHETHER IN CONTRACT, STRICT LIABILITY, OR TORT (INCLUDING NEGLIGENCE OR OTHERWISE) ARISING IN ANY WAY OUT OF THE USE OF THIS SOFTWARE, EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGE.

NetApp reserves the right to change any products described herein at any time, and without notice. NetApp assumes no responsibility or liability arising from the use of products described herein, except as expressly agreed to in writing by NetApp. The use or purchase of this product does not convey a license under any patent rights, trademark rights, or any other intellectual property rights of NetApp.

The product described in this manual may be protected by one or more U.S. patents, foreign patents, or pending applications.

LIMITED RIGHTS LEGEND: Use, duplication, or disclosure by the government is subject to restrictions as set forth in subparagraph (b)(3) of the Rights in Technical Data -Noncommercial Items at DFARS 252.227-7013 (FEB 2014) and FAR 52.227-19 (DEC 2007).

Data contained herein pertains to a commercial product and/or commercial service (as defined in FAR 2.101) and is proprietary to NetApp, Inc. All NetApp technical data and computer software provided under this Agreement is commercial in nature and developed solely at private expense. The U.S. Government has a non-exclusive, non-transferrable, nonsublicensable, worldwide, limited irrevocable license to use the Data only in connection with and in support of the U.S. Government contract under which the Data was delivered. Except as provided herein, the Data may not be used, disclosed, reproduced, modified, performed, or displayed without the prior written approval of NetApp, Inc. United States Government license rights for the Department of Defense are limited to those rights identified in DFARS clause 252.227-7015(b) (FEB 2014).

## Trademark information

NETAPP, the NETAPP logo, and the marks listed at <http://www.netapp.com/TM> are trademarks of NetApp, Inc. Other company and product names may be trademarks of their respective owners.