



# **Firmware and system updates**

## **ONTAP 9**

NetApp  
July 12, 2023

This PDF was generated from <https://docs.netapp.com/us-en/ontap/update/index.html> on July 12, 2023.  
Always check [docs.netapp.com](https://docs.netapp.com) for the latest.

# Table of Contents

- Firmware and system updates ..... 1
  - Firmware and system updates overview ..... 1
  - How automatic updates are scheduled for installation. .... 1
  - Enable automatic updates ..... 2
  - Modify automatic updates ..... 3
  - Manage recommended automatic updates ..... 3
  - Update firmware manually ..... 4

# Firmware and system updates

## Firmware and system updates overview

Depending upon your version of ONTAP, you can enable automatic firmware and system updates.

ONTAP Version	What's included in automatic updates
9.13.1 and later	<ul style="list-style-type: none"><li>• ONTAP Time Zone Database</li><li>• Storage firmware for storage devices, disks, and disk shelves</li><li>• SP/BMC firmware for service processors and BMC modules</li></ul>
9.10.1 and later	<ul style="list-style-type: none"><li>• Storage firmware for storage devices, disks, and disk shelves</li><li>• SP/BMC firmware for service processors and BMC modules</li></ul>
9.9.1 and earlier	Not supported

If you are running ONTAP 9.9.1 or earlier, or if you do not have [automatic system updates](#) enabled, you can [make firmware updates manually](#).

If you are running ONTAP 9.12.1 or earlier, or if you do not have [automatic system updates](#) enabled, you can update the Time Zone Database manually. See the Knowledge Base article, [How to update time zone information in ONTAP 9](#), for details.

## How automatic updates are scheduled for installation

The timeframe in which an automatic update is scheduled for installation varies based upon the priority level of the update and the percentage of systems in your environment that require the update.

For example, if 10% or less of your total systems are eligible for a non-priority update, the update is scheduled for all eligible systems within 1 week. However, if 76% or more of your total systems are eligible for a non-priority update, then the update is staggered across the eligible systems over the course of 8 weeks. This staggered installation helps to mitigate risks to your overall environment if there is an issue with an update that needs to be remedied.

The percentage of your total systems scheduled for automatic updates by week areas follows:

### For critical updates

% of systems requiring update	% of updates that occur week 1	% of updates that occur week 2
50% or less	100%	
50-100%	30%	70%

### For high priority updates

% of systems requiring update	% of updates that occur by week			
	week 1	week 2	week 3	week 4
<b>25% or less</b>	100%			
<b>26-50%</b>	30%	70%		
<b>50-100%</b>	10%	20%	30%	40%

#### For normal priority updates

% of systems requiring update	% of updates that occur by week							
	week 1	week 2	week 3	week 4	week 5	week 6	week 7	week 8
<b>10% or less</b>	100%							
<b>11-20%</b>	30%	70%						
<b>21-50%</b>	10%	20%	30%	40%				
<b>51-75%</b>	5%	10%	15%	20%	20%	30%		
<b>76-100%</b>	5%	5%	10%	10%	15%	15%	20%	20%

## Enable automatic updates

Beginning with ONTAP 9.10.1, you can enable automatic updates to allow ONTAP to download and install firmware updates without your intervention.

Beginning in ONTAP 9.13.1, these automatic updates also include automatic Time Zone Database updates.

#### Before you begin

You must have a current support entitlement. This can be validated on the [NetApp support site](#) in the **System Details** page.

#### About this task

To enable automatic updates, you must first enable AutoSupport with HTTPs. If AutoSupport is not enabled on your cluster, or if AutoSupport is enabled on your cluster with another transport protocol, you will be given the option to enable it with HTTPs during this procedure.

#### Steps


1. In System Manager, click **Events**.
2. In the **Overview** section, next to **Enable automatic update**, click **Actions>Enable**.
3. If you do not have AutoSupport with HTTPs enabled, select to enable it.
4. Accept the terms and conditions and select **Save**.

#### Related information

## Modify automatic updates

When automatic updates are enabled, by default, ONTAP automatically detects, downloads, and installs all recommended firmware updates and, beginning with ONTAP 9.13.1, ONTAP Time Zone Database updates. If you would like to view recommended updates before they are installed, or if you would like to have the recommendations automatically dismissed, you can modify the default behavior to your preference.

### Steps

1. In System Manager, click **Cluster > Settings**.
2. In the **Automatic Update** section, click  to view a list of actions.
3. Click **Edit Automatic Update Settings**.
4. Specify the default actions to be taken for each event type.

You can choose to automatically update, show notifications, or automatically dismiss the updates for each event type.






The ONTAP Time Zone database is controlled by the SYSTEM FILES event type.


## Manage recommended automatic updates

The automatic update log displays a list of update recommendations and details about each one, including a description, category, scheduled time to install, status, and any errors. You can view the log and then decide what action you would like to perform for each recommendation.

### Steps

1. View the list of recommendations:

View from Cluster settings	View from the Firmware Update tab
<ol style="list-style-type: none"> <li>a. Click <b>Cluster &gt; Settings</b>.</li> <li>b. In the <b>Automatic Update</b> section, click , then click <b>View All Automatic Updates</b>.</li> </ol>	<ol style="list-style-type: none"> <li>a. Click <b>Cluster &gt; Overview</b>.</li> <li>b. In the <b>Overview</b> section, click <b>More</b> , then click <b>ONTAP Update</b>.</li> <li>c. Select the <b>Firmware Update</b> tab.</li> <li>d. On the <b>Firmware Update</b> tab, click <b>More</b> , then click <b>View All Automatic Updates</b>.</li> </ol>

2. Click  next to the description to view a list of actions you can perform on the recommendation.

You can perform one of the following actions, depending on the state of the recommendation:

If the update is in this state...	You can...
-----------------------------------	------------

Has not been scheduled	<b>Update:</b> Starts the updating process. <b>Schedule:</b> Lets you set a date for starting the updating process. <b>Dismiss:</b> Removes the recommendation from the list.
Has been scheduled	<b>Update:</b> Starts the updating process. <b>Edit Schedule:</b> Lets you modify the scheduled date for starting the updating process. <b>Cancel Schedule:</b> Cancels the scheduled date.
Has been dismissed	<b>Undismiss:</b> Returns the recommendation to the list.
Is being applied or is being downloaded	<b>Cancel:</b> Cancels the update.

## Update firmware manually

Beginning with ONTAP 9.9.1, if you are registered with [Active IQ Unified Manager](#), you can receive alerts in System Manager that inform you when firmware updates for supported devices, such as disk, disk shelves, the service processor (SP), or the Baseboard Management Controller (BMC) are pending on the cluster.

If you are running ONTAP 9.8 or you are not registered with Active IQ Unified Manager, you can navigate to the NetApp Support Site to download firmware updates.

### Before you begin

To prepare for a smooth firmware update, you should reboot the SP or BMC before the update begins. You can use the `system service-processor reboot-sp -node node_name` command to reboot.

### Steps

Follow the appropriate procedure based upon your version of ONTAP and if you are registered with Active IQ Unified Manager.

### ONTAP 9.9.1 and later with Active IQ

1. In System Manager, go to **Dashboard**.


In the **Health** section, a message displays if there are any recommended firmware updates for the cluster.

2. Click on the alert message.

The **Firmware Update** tab is displayed in the **Update** page.

3. Click **Download from NetApp Support Site** for the firmware update that you want to perform.

The NetApp Support Site is displayed.

4. Log into the NetApp Support Site and download the firmware image package needed for the update.
5. Copy the files to an HTTP or FTP server on your network or to a local folder.
6. In System Manager, click **Cluster > Overview**.
7. In the right corner of the **Overview** pane, click **More**  and select **ONTAP Update**.
8. Click **Firmware Update**.
9. Depending on your version of ONTAP do the following:

ONTAP 9.9.1 and 9.10.0	ONTAP 9.10.1 and later
<ol style="list-style-type: none"><li>a. Select <b>From Server</b> or <b>Local Client</b></li><li>b. Provide the server URL or the file location.</li></ol>	<ol style="list-style-type: none"><li>a. In the list of recommended updates, select <b>Actions</b>.</li><li>b. Click <b>Update</b> to install the update immediately or <b>Schedule</b> to schedule it for later.  If the update is already scheduled, you can <b>Edit</b> or <b>Cancel</b> it.</li><li>c. Select the <b>Update Firmware</b> button.</li></ol>

### ONTAP 9.8 and later without Active IQ

1. Navigate to the [NetApp Support Site](#) and log in.
2. Select the firmware package that you want to use to update your cluster firmware.
3. Copy the files to an HTTP or FTP server on your network or to a local folder.
4. In System Manager, click **Cluster > Overview**.
5. In the right corner of the **Overview** pane, click **More**  and select **ONTAP Update**.
6. Click **Firmware Update**.
7. Depending on your version of ONTAP do the following:

ONTAP 9.8, 9.9.1 and 9.10.0	ONTAP 9.10.1 and later
<ul style="list-style-type: none"> <li>a. Select <b>From Server</b> or <b>Local Client</b></li> <li>b. Provide the server URL or the file location.</li> </ul>	<ul style="list-style-type: none"> <li>a. In the list of recommended updates, select <b>Actions</b>.</li> <li>b. Click <b>Update</b> to install the update immediately or <b>Schedule</b> to schedule it for later.  If the update is already scheduled, you can <b>Edit</b> or <b>Cancel</b> it.</li> <li>c. Select the <b>Update Firmware</b> button.</li> </ul>

### After you finish

You can monitor or verify updates under **Firmware Update Summary**. To view updates that were dismissed or failed to install click **Cluster > Settings > Automatic Update > View All Automatic Updates**.



## Copyright information

Copyright © 2023 NetApp, Inc. All Rights Reserved. Printed in the U.S. No part of this document covered by copyright may be reproduced in any form or by any means—graphic, electronic, or mechanical, including photocopying, recording, taping, or storage in an electronic retrieval system—without prior written permission of the copyright owner.

Software derived from copyrighted NetApp material is subject to the following license and disclaimer:

THIS SOFTWARE IS PROVIDED BY NETAPP “AS IS” AND WITHOUT ANY EXPRESS OR IMPLIED WARRANTIES, INCLUDING, BUT NOT LIMITED TO, THE IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE, WHICH ARE HEREBY DISCLAIMED. IN NO EVENT SHALL NETAPP BE LIABLE FOR ANY DIRECT, INDIRECT, INCIDENTAL, SPECIAL, EXEMPLARY, OR CONSEQUENTIAL DAMAGES (INCLUDING, BUT NOT LIMITED TO, PROCUREMENT OF SUBSTITUTE GOODS OR SERVICES; LOSS OF USE, DATA, OR PROFITS; OR BUSINESS INTERRUPTION) HOWEVER CAUSED AND ON ANY THEORY OF LIABILITY, WHETHER IN CONTRACT, STRICT LIABILITY, OR TORT (INCLUDING NEGLIGENCE OR OTHERWISE) ARISING IN ANY WAY OUT OF THE USE OF THIS SOFTWARE, EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGE.

NetApp reserves the right to change any products described herein at any time, and without notice. NetApp assumes no responsibility or liability arising from the use of products described herein, except as expressly agreed to in writing by NetApp. The use or purchase of this product does not convey a license under any patent rights, trademark rights, or any other intellectual property rights of NetApp.

The product described in this manual may be protected by one or more U.S. patents, foreign patents, or pending applications.

LIMITED RIGHTS LEGEND: Use, duplication, or disclosure by the government is subject to restrictions as set forth in subparagraph (b)(3) of the Rights in Technical Data -Noncommercial Items at DFARS 252.227-7013 (FEB 2014) and FAR 52.227-19 (DEC 2007).

Data contained herein pertains to a commercial product and/or commercial service (as defined in FAR 2.101) and is proprietary to NetApp, Inc. All NetApp technical data and computer software provided under this Agreement is commercial in nature and developed solely at private expense. The U.S. Government has a non-exclusive, non-transferrable, nonsublicensable, worldwide, limited irrevocable license to use the Data only in connection with and in support of the U.S. Government contract under which the Data was delivered. Except as provided herein, the Data may not be used, disclosed, reproduced, modified, performed, or displayed without the prior written approval of NetApp, Inc. United States Government license rights for the Department of Defense are limited to those rights identified in DFARS clause 252.227-7015(b) (FEB 2014).

## Trademark information

NETAPP, the NETAPP logo, and the marks listed at <http://www.netapp.com/TM> are trademarks of NetApp, Inc. Other company and product names may be trademarks of their respective owners.