



# **Configure NetApp Volume Encryption**

## **ONTAP 9**

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# Configure NetApp Volume Encryption

## Configure NetApp Volume Encryption overview

NetApp Volume Encryption (NVE) is a software-based technology for encrypting data at rest one volume at a time. An encryption key accessible only to the storage system ensures that volume data cannot be read if the underlying device is repurposed, returned, misplaced, or stolen.

### Understanding NVE

With NVE, both metadata and data (including Snapshot copies) are encrypted. Access to the data is given by a unique XTS-AES-256 key, one per volume. An external key management server or Onboard Key Manager (OKM) serves keys to nodes:

- The external key management server is a third-party system in your storage environment that serves keys to nodes using the Key Management Interoperability Protocol (KMIP). It is a best practice to configure external key management servers on a different storage system from your data.
- The Onboard Key Manager is a built-in tool that serves keys to nodes from the same storage system as your data.

Beginning with ONTAP 9.7, aggregate and volume encryption is enabled by default if you have a volume encryption (VE) license and use an onboard or external key manager. Whenever an external or onboard key manager is configured there is a change in how the encryption of data at rest is configured for brand new aggregates and brand new volumes. Brand new aggregates will have NetApp Aggregate Encryption (NAE) enabled by default. Brand new volumes that are not part of an NAE aggregate will have NetApp Volume Encryption (NVE) enabled by default. If a data storage virtual machine (SVM) is configured with its own key-manager using multi-tenant key management, then the volume created for that SVM is automatically configured with NVE.

You can enable encryption on a new or existing volume. NVE supports the full range of storage efficiency features, including deduplication and compression.



If you are using SnapLock, you can enable encryption only on new, empty SnapLock volumes. You cannot enable encryption on an existing SnapLock volume.

You can use NVE on any type of aggregate (HDD, SSD, hybrid, array LUN), with any RAID type, and in any supported ONTAP implementation, including ONTAP Select. You can also use NVE with hardware-based encryption to “double encrypt” data on self-encrypting drives.



AFF A220, AFF A800, FAS2720, FAS2750, and later systems store core dumps on their boot device. When NVE is enabled on these systems, the core dump is also encrypted.

### Aggregate-level encryption

Ordinarily, every encrypted volume is assigned a unique key. When the volume is deleted, the key is deleted with it.

Beginning with ONTAP 9.6, you can use *NetApp Aggregate Encryption (NAE)* to assign keys to the containing aggregate for the volumes to be encrypted. When an encrypted volume is deleted, the keys for the aggregate

are preserved. The keys are deleted if the entire aggregate is deleted.

You must use aggregate-level encryption if you plan to perform inline or background aggregate-level deduplication. Aggregate-level deduplication is otherwise not supported by NVE.

Beginning with ONTAP 9.7, aggregate and volume encryption is enabled by default if you have a volume encryption (VE) license and use an onboard or external key manager.

NVE and NAE volumes can coexist on the same aggregate. Volumes encrypted under aggregate-level encryption are NAE volumes by default. You can override the default when you encrypt the volume.

You can use the `volume move` command to convert an NVE volume to an NAE volume, and vice versa. You can replicate an NAE volume to an NVE volume.

You cannot use `secure purge` commands on an NAE volume.

## When to use external key management servers

Although it is less expensive and typically more convenient to use the onboard key manager, you should set up KMIP servers if any of the following are true:

- Your encryption key management solution must comply with Federal Information Processing Standards (FIPS) 140-2 or the OASIS KMIP standard.
- You need a multi-cluster solution, with centralized management of encryption keys.
- Your business requires the added security of storing authentication keys on a system or in a location different from the data.

## Scope of external key management

The scope of external key management determines whether key management servers secure all the SVMs in the cluster or selected SVMs only:

- You can use a *cluster scope* to configure external key management for all the SVMs in the cluster. The cluster administrator has access to every key stored on the servers.
- Beginning with ONTAP 9.6, you can use an *SVM scope* to configure external key management for a named SVM in the cluster. That's best for multitenant environments in which each tenant uses a different SVM (or set of SVMs) to serve data. Only the SVM administrator for a given tenant has access to the keys for that tenant.
- Beginning with ONTAP 9.10.1, you can use [Azure Key Vault and Google Cloud KMS](#) to protect NVE keys only for data vservers.

You can use both scopes in the same cluster. If key management servers have been configured for an SVM, ONTAP uses only those servers to secure keys. Otherwise, ONTAP secures keys with the key management servers configured for the cluster.

A list of validated external key managers is available in the [NetApp Interoperability Matrix Tool \(IMT\)](#). You can find this list by entering the term "key managers" into the IMT's search feature.

## Support details

The following table shows NVE support details:

Resource or feature	Support details
Platforms	AES-NI offload capability required. See the Hardware Universe (HWU) to verify that NVE and NAE are supported for your platform.
Encryption	<p>Beginning with ONTAP 9.7, newly created aggregates and volumes are encrypted by default when you add a volume encryption (VE) license and have an onboard or external key manager configured. If you need to create an unencrypted aggregate, use the following command:</p> <pre>storage aggregate create -encrypt-with-aggr-key false</pre> <p>If you need to create a plain text volume, use the following command:</p> <pre>volume create -encrypt false</pre> <p>Encryption is not enabled by default when:</p> <ul style="list-style-type: none"> <li>• VE license is not installed.</li> <li>• Key manager is not configured.</li> <li>• Platform or software does not support encryption.</li> <li>• Hardware encryption is enabled.</li> </ul>
ONTAP	All ONTAP implementations. Support for ONTAP Cloud is available in ONTAP 9.5 and later.
Devices	HDD, SSD, hybrid, array LUN.
RAID	RAID0, RAID4, RAID-DP, RAID-TEC.
Volumes	Data volumes and existing root volumes. You cannot encrypt data on an SVM root volume or MetroCluster metadata volumes.
Aggregate-level encryption	<p>Beginning with ONTAP 9.6, NVE supports aggregate-level encryption (NAE):</p> <ul style="list-style-type: none"> <li>• You must use aggregate-level encryption if you plan to perform inline or background aggregate-level deduplication.</li> <li>• You cannot rekey an aggregate-level encryption volume.</li> <li>• Secure-purge is not supported on aggregate-level encryption volumes.</li> <li>• In addition to data volumes, NAE supports encryption of SVM root volumes and the MetroCluster metadata volume. NAE does not support encryption of the root volume.</li> </ul>
SVM scope	Beginning with ONTAP 9.6, NVE supports SVM scope for external key management only, not for Onboard Key Manager. MetroCluster is supported beginning with ONTAP 9.8.

Storage efficiency	<p>Deduplication, compression, compaction, FlexClone.</p> <p>Clones use the same key as the parent, even after splitting the clone from the parent. You should perform a <code>volume move</code> on a split clone, after which the split clone will have a different key.</p>
Replication	<ul style="list-style-type: none"> <li>• For volume replication, the source and destination volumes can have different encryption settings. Encryption can be configured for the source and unconfigured for the destination, and vice versa.</li> <li>• For SVM replication, the destination volume is automatically encrypted, unless the destination does not contain a node that supports volume encryption, in which case replication succeeds, but the destination volume is not encrypted.</li> <li>• For MetroCluster configurations, each cluster pulls external key management keys from its configured key servers. OKM keys are replicated to the partner site by the configuration replication service.</li> </ul>
Compliance	Beginning with ONTAP 9.2, SnapLock is supported in both Compliance and Enterprise modes, for new volumes only. You cannot enable encryption on an existing SnapLock volume.
FlexGroups	Beginning with ONTAP 9.2, FlexGroups are supported. Destination aggregates must be of the same type as source aggregates, either volume-level or aggregate-level. Beginning with ONTAP 9.5, in-place rekey of FlexGroup volumes is supported.
7-Mode transition	Beginning with 7-Mode Transition Tool 3.3, you can use the 7-Mode Transition Tool CLI to perform copy-based transition to NVE-enabled destination volumes on the clustered system.

#### Related information

[FAQ - NetApp Volume Encryption and NetApp Aggregate Encryption](#)

## NetApp Volume Encryption workflow

You must configure key management services before you can enable volume encryption. You can enable encryption on a new volume or on an existing volume.



You must install the VE license and configure key management services before you can encrypt data with NVE. Before installing the license, you should [determine whether your ONTAP version supports NVE](#).

## Configure NVE

### Determine whether your cluster version supports NVE

You should determine whether your cluster version supports NVE before you install the license. You can use the `version` command to determine the cluster version.

#### About this task

The cluster version is the lowest version of ONTAP running on any node in the cluster.

#### Step

1. Determine whether your cluster version supports NVE:

```
version -v
```

NVE is not supported if the command output displays the text "1Ono-DARE" (for "no Data At Rest Encryption"), or if you are using a platform that is not listed in [Support details](#).

The following command determines whether NVE is supported on `cluster1`.

```
cluster1::> version -v
NetApp Release 9.1.0: Tue May 10 19:30:23 UTC 2016 <1Ono-DARE>
```

The output of 1Ono-DARE indicates that NVE is not supported on your cluster version.

## Install the license

A VE license entitles you to use the feature on all nodes in the cluster. You must install the license before you can encrypt data with NVE.

### What you'll need

You must be a cluster administrator to perform this task.

### About this task

You should have received the VE license key from your sales representative.

### Steps

1. Install the VE license for a node:

```
system license add -license-code license_key
```

The following command installs the license with the key AAAAAAAAAAAAAAAAAAAAAAAAAAAAAA.

```
cluster1::> system license add -license-code
AAAAAAAAAAAAAAAAAAAAAAAAAAAAA
```

2. Verify that the license is installed by displaying all the licenses on the cluster:

```
system license show
```

For complete command syntax, see the man page for the command.

The following command displays all the licenses on cluster1:

```
cluster1::> system license show
```

The VE license package name is "VE".

## Configure external key management

### Configure external key management overview

You can use one or more external key management servers to secure the keys that the cluster uses to access encrypted data. An external key management server is a third-party system in your storage environment that serves keys to nodes using the Key



## Management Interoperability Protocol (KMIP).



For ONTAP 9.1 and earlier versions, node management LIFs must be assigned to ports that are configured with the node management role before you can use the external key manager.

NetApp Volume Encryption (NVE) supports Onboard Key Manager in ONTAP 9.1 and later. Beginning in ONTAP 9.3, NVE supports external key management (KMIP) and Onboard Key Manager. Beginning in ONTAP 9.10.1, you can use [Azure Key Vault or Google Cloud Key Manager Service](#) to protect your NVE keys. Beginning in ONTAP 9.11.1, you can configure multiple external key managers in a cluster. See [Configure clustered key servers](#).

### Install SSL certificates on the cluster

The cluster and KMIP server use KMIP SSL certificates to verify each other's identity and establish an SSL connection. Before configuring the SSL connection with the KMIP server, you must install the KMIP client SSL certificates for the cluster, and the SSL public certificate for the root certificate authority (CA) of the KMIP server.

#### What you'll need

- The time must be synchronized on the server creating the certificates, the KMIP server, and the cluster.
- You must have obtained the public SSL KMIP client certificate for the cluster.
- You must have obtained the private key associated with the SSL KMIP client certificate for the cluster.

The SSL KMIP client certificate must not be password-protected.

- You must have obtained the SSL public certificate for the root certificate authority (CA) of the KMIP server.
- In a MetroCluster environment, you must install the KMIP SSL certificate on both clusters.



You can install the client and server certificates on the KMIP server before or after installing the certificates on the cluster.

#### About this task

In an HA pair, both nodes must use the same public and private KMIP SSL certificates. If you connect multiple HA pairs to the same KMIP server, all nodes in the HA pairs must use the same public and private KMIP SSL certificates.

#### Steps

1. Install the SSL KMIP client certificates for the cluster:

```
security certificate install -vserver admin_svm_name -type client
```

You are prompted to enter the SSL KMIP public and private certificates.

```
cluster1::> security certificate install -vserver cluster1 -type client
```

2. Install the SSL public certificate for the root certificate authority (CA) of the KMIP server:

```
security certificate install -vserver admin_svm_name -type server-ca
```

```
cluster1::> security certificate install -vserver cluster1 -type server-ca
```

## Enable external key management in ONTAP 9.6 and later (NVE)

You can use one or more KMIP servers to secure the keys the cluster uses to access encrypted data. Beginning with ONTAP 9.6, you have the option to configure a separate external key manager to secure the keys that a data SVM uses to access encrypted data.

Beginning in ONTAP 9.11.1, you can add up to 3 secondary key servers per primary key server to create a clustered key server. For more information, see [Configure clustered external key servers](#).

### Before you begin

- The KMIP SSL client and server certificates must have been installed.
- You must be a cluster or SVM administrator to perform this task.
- If you want to enable external key management for a MetroCluster environment, MetroCluster must be fully configured before enabling external key management.
- In a MetroCluster environment, you must install the KMIP SSL certificate on both clusters.

### About this task

You can connect up to four KMIP servers to a cluster or SVM. A minimum of two servers is recommended for redundancy and disaster recovery.

The scope of external key management determines whether key management servers secure all the SVMs in the cluster or selected SVMs only:

- You can use a *cluster scope* to configure external key management for all the SVMs in the cluster. The cluster administrator has access to every key stored on the servers.
- Beginning with ONTAP 9.6, you can use an *SVM scope* to configure external key management for a data SVM in the cluster. That's best for multitenant environments in which each tenant uses a different SVM (or set of SVMs) to serve data. Only the SVM administrator for a given tenant has access to the keys for that tenant.
- For multitenant environments, install a license for *MT\_EK\_MGMT* by using the following command:

```
system license add -license-code <MT_EK_MGMT license code>
```

For complete command syntax, see the man page for the command.

You can use both scopes in the same cluster. If key management servers have been configured for an SVM, ONTAP uses only those servers to secure keys. Otherwise, ONTAP secures keys with the key management servers configured for the cluster.

You can configure onboard key management at the cluster scope and external key management at the SVM scope. You can use the `security key-manager key migrate` command to migrate keys from onboard key management at the cluster scope to external key managers at the SVM scope.

### Steps

1. Configure key manager connectivity for the cluster:

```
security key-manager external enable -vserver admin_SVM -key-servers  
host_name|IP_address:port,... -client-cert client_certificate -server-ca-cert  
server_CA_certificates
```



- The `security key-manager external enable` command replaces the `security key-manager setup` command. If you run the command at the cluster login prompt, `admin_SVM` defaults to the admin SVM of the current cluster. You must be the cluster administrator to configure cluster scope. You can run the `security key-manager external modify` command to change the external key management configuration.
- In a MetroCluster environment, if you are configuring external key management for the admin SVM, you must repeat the `security key-manager external enable` command on the partner cluster.

The following command enables external key management for `cluster1` with three external key servers. The first key server is specified using its hostname and port, the second is specified using an IP address and the default port, and the third is specified using an IPv6 address and port:

```
cluster1::> security key-manager external enable -vserver cluster1 -key
-servers
ks1.local:15696,10.0.0.10,[fd20:8b1e:b255:814e:32bd:f35c:832c:5a09]:1234
-client-cert AdminVserverClientCert -server-ca-certs
AdminVserverServerCaCert
```

## 2. Configure a key manager an SVM:

```
security key-manager external enable -vserver SVM -key-servers
host_name|IP_address:port,... -client-cert client_certificate -server-ca-cert
server_CA_certificates
```



- If you run the command at the SVM login prompt, `SVM` defaults to the current SVM. You must be a cluster or SVM administrator to configure SVM scope. You can run the `security key-manager external modify` command to change the external key management configuration.
- In a MetroCluster environment, if you are configuring external key management for a data SVM, you do not have to repeat the `security key-manager external enable` command on the partner cluster.

The following command enables external key management for `svm1` with a single key server listening on the default port 5696:

```
svm11::> security key-manager external enable -vserver svm1 -key-servers
keyserver.svm1.com -client-cert SVM1ClientCert -server-ca-certs
SVM1ServerCaCert
```

## 3. Repeat the last step for any additional SVMs.



You can also use the `security key-manager external add-servers` command to configure additional SVMs. The `security key-manager external add-servers` command replaces the `security key-manager add` command. For complete command syntax, see the man page.

#### 4. Verify that all configured KMIP servers are connected:

```
security key-manager external show-status -node node_name
```



The `security key-manager external show-status` command replaces the `security key-manager show -status` command. For complete command syntax, see the `man` page.

```
cluster1::> security key-manager external show-status
```

Node	Vserver	Key Server	Status
-----			
node1			
	svm1	keyserver.svm1.com:5696	available
	cluster1	10.0.0.10:5696	available
		fd20:8b1e:b255:814e:32bd:f35c:832c:5a09:1234	available
		ks1.local:15696	available
node2			
	svm1	keyserver.svm1.com:5696	available
	cluster1	10.0.0.10:5696	available
		fd20:8b1e:b255:814e:32bd:f35c:832c:5a09:1234	available
		ks1.local:15696	available

```
8 entries were displayed.
```

#### Enable external key management in ONTAP 9.5 and earlier

You can use one or more KMIP servers to secure the keys the cluster uses to access encrypted data. You can connect up to four KMIP servers to a node. A minimum of two servers is recommended for redundancy and disaster recovery.

##### What you'll need

- The KMIP SSL client and server certificates must have been installed.
- You must be a cluster administrator to perform this task.
- You must configure the MetroCluster environment before you configure an external key manager.
- In a MetroCluster environment, you must install the KMIP SSL certificate on both clusters.

##### About this task

ONTAP configures KMIP server connectivity for all nodes in the cluster.

## Steps

1. Configure key manager connectivity for cluster nodes:

```
security key-manager setup
```

The key manager setup starts.



In a MetroCluster environment, you must run this command on both clusters.

2. Enter the appropriate response at each prompt.

3. Add a KMIP server:

```
security key-manager add -address key_management_server_ipaddress
```

```
cluster1::> security key-manager add -address 20.1.1.1
```



In a MetroCluster environment, you must run this command on both clusters.

4. Add an additional KMIP server for redundancy:

```
security key-manager add -address key_management_server_ipaddress
```

```
cluster1::> security key-manager add -address 20.1.1.2
```



In a MetroCluster environment, you must run this command on both clusters.

5. Verify that all configured KMIP servers are connected:

```
security key-manager show -status
```

For complete command syntax, see the man page.

```
cluster1::> security key-manager show -status
```

Node	Port	Registered Key Manager	Status
-----	----	-----	-----
cluster1-01	5696	20.1.1.1	available
cluster1-01	5696	20.1.1.2	available
cluster1-02	5696	20.1.1.1	available
cluster1-02	5696	20.1.1.2	available

## Manage keys with a cloud provider

Beginning in ONTAP 9.10.1, you can use [Azure Key Vault \(AKV\)](#) and [Google Cloud Platform's Key Management Service \(Cloud KMS\)](#) to protect your ONTAP encryption

keys in a cloud-hosted application. Beginning with ONTAP 9.12.0, you can also protect NVE keys with [AWS' KMS](#).

AWS KMS, AKV and Cloud KMS can be used to protect [NetApp Volume Encryption \(NVE\) keys](#) only for data SVMs.

### About this task

Key management with a cloud provider can be enabled with the CLI or the ONTAP REST API.

When using a cloud provider to protect your keys, be aware that by default a data SVM LIF is used to communicate with the cloud key management endpoint. A node management network is used to communicate with the cloud provider's authentication services (login.microsoftonline.com for Azure; oauth2.googleapis.com for Cloud KMS). If the cluster network is not configured correctly, the cluster will not properly utilize the key management service.

When utilizing a cloud provider key management service, you should be aware of the following limitations:

- Cloud-provider key management is not available for NSE and NAE. [External KMIPs](#) can be used instead.
- Cloud-provider key management is not available for MetroCluster configurations.
- Cloud-provider key management can only be configured on a data SVM.

### Before you begin

- You must have configured the KMS on the appropriate cloud provider.
- The ONTAP cluster's nodes must support NVE.
- You must have installed the Volume Encryption (VE) and multi-tenant Encryption Key Management (MTEKM) licenses.
- You must be a cluster or SVM administrator.
- The data SVM must not include any encrypted volumes or employ a key manager. If the data SVM includes encrypted volumes, you must migrate them before configuring the KMS.

### Enable external key management

Enabling external key management depends on the specific key manager you use. Choose the tab of the appropriate key manager and environment.

## AWS

### Before you begin

- You must create a grant for the AWS KMS key that will be used by the IAM role managing encryption. The IAM role must include a policy that allows the following operations:
  - DescribeKey
  - Encrypt
  - Decrypt
- For more information, see AWS documentation for [grants](#).

### Enable AWS KMS on an ONTAP SVM

1. Before you begin, obtain both the access key ID and secret key from your AWS KMS.
2. Set the privilege level to advanced:  
`set -priv advanced`
3. Enable AWS KMS:  
`security key-manager external aws enable -vserver svm_name -region AWS_region -key-id key_ID -encryption-context encryption_context`
4. When prompted, enter the secret key.
5. Confirm the AWS KMS was configured correctly:  
`security key-manager external aws show -vserver svm_name`

## Azure

### Enable Azure Key Vault on an ONTAP SVM

1. Before you begin, you need to obtain the appropriate authentication credentials from your Azure account, either a client secret or certificate.  
You must also ensure all nodes in the cluster are healthy. You can check this with the command `cluster show`.
2. Set privileged level to advanced  
`set -priv advanced`
3. Enable AKV on the SVM  
`security key-manager external azure enable -client-id client_id -tenant-id tenant_id -name -key-id key_id -authentication-method {certificate|client-secret}`  
When prompted, enter either the client certificate or client secret from your Azure account.
4. Verify AKV is enabled correctly:  
`security key-manager external azure show vserver svm_name`  
If the service reachability is not OK, establish the connectivity to the AKV key management service via the data SVM LIF.

## Google Cloud

### Enable Cloud KMS on an ONTAP SVM

1. Before you begin, obtain the private key for the Google Cloud KMS account key file in a JSON format. This can be found in your GCP account.  
You must also ensure all nodes in the cluster are healthy. You can check this with the command `cluster show`.
2. Set privileged level to advanced:

```
set -priv advanced
```

### 3. Enable Cloud KMS on the SVM

```
security key-manager external gcp enable -vserver svm_name -project-id  
project_id-key-ring-name key_ring_name -key-ring-location key_ring_location  
-key-name key_name
```

When prompted, enter the contents of the JSON file with the Service Account Private Key

### 4. Verify that Cloud KMS is configured with the correct parameters:

```
security key-manager external gcp show vservers svm_name
```

The status of `kms_wrapped_key_status` will be "UNKNOWN" if no encrypted volumes have been created.

If the service reachability is not OK, establish the connectivity to the GCP key management service via data SVM LIF.

If one or more encrypted volumes is already configured for a data SVM and the corresponding NVE keys are managed by the admin SVM onboard key manager, those keys should be migrated to the external key management service. To do this with the CLI, run the command:

```
security key-manager key migrate -from-Vserver admin_SVM -to-Vserver data_SVM
```

New encrypted volumes cannot be created for the tenant's data SVM until all NVE keys of the data SVM are successfully migrated.

#### Related information

- [Encrypting volumes with NetApp encryption solutions for Cloud Volumes ONTAP](#)

## Enable onboard key management in ONTAP 9.6 and later (NVE)

You can use the Onboard Key Manager to secure the keys that the cluster uses to access encrypted data. You must enable Onboard Key Manager on each cluster that accesses an encrypted volume or a self-encrypting disk.

#### What you'll need

- You must be a cluster administrator to perform this task.
- You must configure the MetroCluster environment before you configure an external key manager.

#### About this task

You must run the `security key-manager onboard sync` command each time you add a node to the cluster.

If you have a MetroCluster configuration you must run `security key-manager onboard enable` on the local cluster first, then run `security key-manager onboard sync` on the remote cluster, using the same passphrase on each.

By default, you are not required to enter the key manager passphrase when a node is rebooted. You can use the `cc-mode-enabled=yes` option to require that users enter the passphrase after a reboot.

For NVE, if you set `cc-mode-enabled=yes`, volumes you create with the `volume create` and `volume move start` commands are automatically encrypted. For `volume create`, you need not specify `-encrypt true`. For `volume move start`, you need not specify `-encrypt-destination true`.

When configuring ONTAP data at rest encryption, to meet the requirements for Commercial Solutions for Classified (CSfC) you must use NSE with NVE and ensure the Onboard Key Manager is enabled in Common



Criteria mode. Refer to the [CSfC Solution Brief](#) for more information on CSfC.

When the Onboard Key Manager is enabled in Common Criteria mode (`cc-mode-enabled=yes`), system behavior is changed in the following ways:

- The system monitors for consecutive failed cluster passphrase attempts when operating in Common Criteria mode.

If you fail to enter the correct cluster passphrase at boot, encrypted volumes are not mounted. To correct this, you must reboot the node and enter the correct cluster passphrase. Once booted, the system allows up to 5 consecutive attempts to correctly enter the cluster passphrase in a 24-hour period for any command that requires the cluster passphrase as a parameter. If the limit is reached (for example, you have failed to correctly enter the cluster passphrase 5 times in a row) then you must either wait for the 24-hour timeout period to elapse, or you must reboot the node, in order to reset the limit.

- System image updates use the NetApp RSA-3072 code signing certificate together with SHA-384 code signed digests to check the image integrity instead of the usual NetApp RSA-2048 code signing certificate and SHA-256 code signed digests.

The upgrade command verifies that the image contents have not been altered or corrupted by checking various digital signatures. The image update process proceeds to the next step if validation succeeds; otherwise, the image update fails. See the “cluster image” man page for information concerning system updates.

The Onboard Key Manager stores keys in volatile memory. Volatile memory contents are cleared when the system is rebooted or halted. Under normal operating conditions, volatile memory contents will be cleared within 30s when a system is halted.

## Steps

1. Start the key manager setup:

```
security key-manager onboard enable -cc-mode-enabled yes|no
```

Set `cc-mode-enabled=yes` to require that users enter the key manager passphrase after a reboot. For NVE, if you set `cc-mode-enabled=yes`, volumes you create with the `volume create` and `volume move start` commands are automatically encrypted. The `- cc-mode-enabled` option is not supported in MetroCluster configurations. The `security key-manager onboard enable` command replaces the `security key-manager setup` command.

The following example starts the key manager setup command on cluster1 without requiring that the passphrase be entered after every reboot:

```
cluster1::> security key-manager onboard enable
```

```
Enter the cluster-wide passphrase for onboard key management in Vserver
"cluster1"::    <32..256 ASCII characters long text>
Reenter the cluster-wide passphrase:    <32..256 ASCII characters long
text>
```

2. At the passphrase prompt, enter a passphrase between 32 and 256 characters, or for “cc-mode”, a passphrase between 64 and 256 characters.



If the specified “cc-mode” passphrase is less than 64 characters, there is a five-second delay before the key manager setup operation displays the passphrase prompt again.

3. At the passphrase confirmation prompt, reenter the passphrase.
4. Verify that the authentication keys have been created:

```
security key-manager key query -key-type NSE-AK
```



The `security key-manager key query` command replaces the `security key-manager query key` command. For complete command syntax, see the man page.

The following example verifies that authentication keys have been created for `cluster1`:

```
cluster1::> security key-manager key query -key-type NSE-AK
```

```
    Vserver: cluster1
```

```
    Key Manager: onboard
```

```
        Node: node1
```

Key Tag	Key Type	Restored
---------	----------	----------

-----	-----	-----
node1	NSE-AK	yes

```
    Key ID:
```

```
000000000000000000002000000000001000c11b3863f78c2273343d7ec5a67762e00000000
00000000
```

node1	NSE-AK	yes
-------	--------	-----

```
    Key ID:
```

```
000000000000000000002000000000001006f4e2513353a674305872a4c9f3bf79700000000
00000000
```

```
    Vserver: svm1
```

```
    Key Manager: onboard
```

```
        Node: node1
```

```
    Key Server: keyserver.svm1.com:5965
```

Key Tag	Key Type	Restored
---------	----------	----------

```

eb9f8311-e8d8-487e-9663-7642d7788a75  VEK          yes
    Key ID:
0000000000000000020000000000004001cb18336f7c8223743d3e75c6a7726e00000000
00000000
9d09cbbf-0da9-4696-87a1-8e083d8261bb  VEK          yes
    Key ID:
0000000000000000020000000000004064f2e1533356a470385274a9c3ffb97700000000
00000000

    Vserver: cluster1
    Key Manager: onboard
        Node: node2

Key Tag                                Key Type  Restored
-----
node1                                NSE-AK    yes
    Key ID:
000000000000000002000000000001000c11b3863f78c2273343d7ec5a67762e00000000
00000000
node1                                NSE-AK    yes
    Key ID:
000000000000000002000000000001006f4e2513353a674305872a4c9f3bf79700000000
00000000

    Vserver: svm1
    Key Manager: onboard
        Node: node2
    Key Server: keyserver.svm1.com:5965

Key Tag                                Key Type  Restored
-----
eb9f8311-e8d8-487e-9663-7642d7788a75  VEK          yes
    Key ID:
0000000000000000020000000000004001cb18336f7c8223743d3e75c6a7726e00000000
00000000
9d09cbbf-0da9-4696-87a1-8e083d8261bb  VEK          yes
    Key ID:
0000000000000000020000000000004064f2e1533356a470385274a9c3ffb97700000000
00000000

```

## After you finish

Copy the passphrase to a secure location outside the storage system for future use.

All key management information is automatically backed up to the replicated database (RDB) for the cluster. You should also back up the information manually for use in case of a disaster.

## Enable onboard key management in ONTAP 9.5 and earlier (NVE)

You can use the Onboard Key Manager to secure the keys that the cluster uses to access encrypted data. You must enable Onboard Key Manager on each cluster that accesses an encrypted volume or a self-encrypting disk.

### What you'll need

- If you are using NSE with an external key management (KMIP) server, you must have deleted the external key manager database.

#### [Transitioning to onboard key management from external key management](#)

- You must be a cluster administrator to perform this task.
- You must configure the MetroCluster environment before you configure the Onboard Key Manager.

### About this task

You must run the `security key-manager setup` command each time you add a node to the cluster.

If you have a MetroCluster configuration, review these guidelines:

- In ONTAP 9.5, you must run `security key-manager setup` on the local cluster and `security key-manager setup -sync-metrocluster-config yes` on the remote cluster, using the same passphrase on each.
- Prior to ONTAP 9.5, you must run `security key-manager setup` on the local cluster, wait approximately 20 seconds, and then run `security key-manager setup` on the remote cluster, using the same passphrase on each.

By default, you are not required to enter the key manager passphrase when a node is rebooted. Beginning with ONTAP 9.4, you can use the `-enable-cc-mode yes` option to require that users enter the passphrase after a reboot.

For NVE, if you set `-enable-cc-mode yes`, volumes you create with the `volume create` and `volume move start` commands are automatically encrypted. For `volume create`, you need not specify `-encrypt true`. For `volume move start`, you need not specify `-encrypt-destination true`.



After a failed passphrase attempt, you must reboot the node again.

### Steps

1. Start the key manager setup:

```
security key-manager setup -enable-cc-mode yes|no
```



Beginning with ONTAP 9.4, you can use the `-enable-cc-mode yes` option to require that users enter the key manager passphrase after a reboot. For NVE, if you set `-enable-cc-mode yes`, volumes you create with the `volume create` and `volume move start` commands are automatically encrypted.

The following example starts setting up the key manager on cluster1 without requiring that the passphrase be entered after every reboot:

• • •

- 



- Verify the

recur:

or the

Key

## After you finish

Copy the passphrase to a secure location outside the storage system for future use.

All key management information is automatically backed up to the replicated database (RDB) for the cluster.

Whenever you configure the Onboard Key Manager passphrase, you should also back up the information manually to a secure location outside the storage system for use in case of a disaster. See [Back up onboard key management information manually](#).

## Enable onboard key management in newly added nodes

You can use the Onboard Key Manager to secure the keys that the cluster uses to access encrypted data. You must enable Onboard Key Manager on each cluster that accesses an encrypted volume or a self-encrypting disk.



For ONTAP 9.5 and earlier, you must run the `security key-manager setup` command each time you add a node to the cluster.

For ONTAP 9.6 and later, you must run the `security key-manager sync` command each time you add a node to the cluster.

If you add a node to a cluster that has onboard key management configured, you will run this command to refresh the missing keys.

If you have a MetroCluster configuration, review these guidelines:

- Beginning with ONTAP 9.6, you must run `security key-manager onboard enable` on the local cluster first, then run `security key-manager onboard sync` on the remote cluster, using the same passphrase on each.
- In ONTAP 9.5, you must run `security key-manager setup` on the local cluster and `security key-manager setup -sync-metrocluster-config yes` on the remote cluster, using the same passphrase on each.
- Prior to ONTAP 9.5, you must run `security key-manager setup` on the local cluster, wait approximately 20 seconds, and then run `security key-manager setup` on the remote cluster, using the same passphrase on each.

By default, you are not required to enter the key manager passphrase when a node is rebooted. Beginning with ONTAP 9.4, you can use the `-enable-cc-mode yes` option to require that users enter the passphrase after a reboot.

For NVE, if you set `-enable-cc-mode yes`, volumes you create with the `volume create` and `volume move start` commands are automatically encrypted. For `volume create`, you need not specify `-encrypt true`. For `volume move start`, you need not specify `-encrypt-destination true`.



After a failed passphrase attempt, you must reboot the node again.

## Encrypt volume data with NVE

## Encrypt volume data with NVE overview

Beginning with ONTAP 9.7, aggregate and volume encryption is enabled by default when you have the VE license and onboard or external key management. For ONTAP 9.6 and earlier, you can enable encryption on a new volume or on an existing volume. You must have installed the VE license and enabled key management before you can enable volume encryption. NVE is FIPS-140-2 level 1 compliant.

### Enable aggregate-level encryption with VE license

Beginning with ONTAP 9.7, newly created aggregates and volumes are encrypted by default when you have the VE license and onboard or external key management. Beginning with ONTAP 9.6, you can use aggregate-level encryption to assign keys to the containing aggregate for the volumes to be encrypted.

#### What you'll need

You must be a cluster administrator to perform this task.

#### About this task

You must use aggregate-level encryption if you plan to perform inline or background aggregate-level deduplication. Aggregate-level deduplication is otherwise not supported by NVE.

An aggregate enabled for aggregate-level encryption is called an *NAE aggregate* (for NetApp Aggregate Encryption). All volumes in an NAE aggregate must be encrypted with NAE or NVE encryption. With aggregate-level encryption, volumes you create in the aggregate are encrypted with NAE encryption by default. You can override the default to use NVE encryption instead.

Plain text volumes are not supported in NAE aggregates.

#### Steps

1. Enable or disable aggregate-level encryption:

To...	Use this command...
Create an NAE aggregate with ONTAP 9.7 or later	<code>storage aggregate create -aggregate aggregate_name -node node_name</code>
Create an NAE aggregate with ONTAP 9.6	<code>storage aggregate create -aggregate aggregate_name -node node_name -encrypt-with -aggr-key true</code>
Convert a non-NAE aggregate to an NAE aggregate	<code>storage aggregate modify -aggregate aggregate_name -node node_name -encrypt-with -aggr-key true</code>
Convert an NAE aggregate to a non-NAE aggregate	<code>storage aggregate modify -aggregate aggregate_name -node node_name -encrypt-with -aggr-key false</code>

For complete command syntax, see the man pages.

The following command enables aggregate-level encryption on `aggr1`:

- ONTAP 9.7 or later:

```
cluster1::> storage aggregate create -aggregate aggr1
```

- ONTAP 9.6 or earlier:

```
cluster1::> storage aggregate create -aggregate aggr1 -encrypt-with  
-aggr-key true
```

## 2. Verify that the aggregate is enabled for encryption:

```
storage aggregate show -fields encrypt-with-aggr-key
```

For complete command syntax, see the man page.

The following command verifies that `aggr1` is enabled for encryption:

```
cluster1::> storage aggregate show -fields encrypt-with-aggr-key  
aggregate          encrypt-aggr-key  
-----  
aggr0_vsim4        false  
aggr1               true  
2 entries were displayed.
```

### After you finish

Run the `volume create` command to create the encrypted volumes.

If you are using a KMIP server to store the encryption keys for a node, ONTAP automatically “pushes” an encryption key to the server when you encrypt a volume.

## Enable encryption on a new volume

You can use the `volume create` command to enable encryption on a new volume.

### About this task

You can encrypt volumes using NetApp Volume Encryption (NVE) and, beginning with ONTAP 9.6, NetApp Aggregate Encryption (NAE). To learn more about NAE and NVE, refer to the [volume encryption overview](#).

The procedure to enable encryption on a new volume in ONTAP varies based on the version of ONTAP you are using and your specific configuration:

- Beginning with ONTAP 9.4, if you enable `cc-mode` when you set up the Onboard Key Manager, volumes



you create with the `volume create` command are automatically encrypted, whether or not you specify `-encrypt true`.

- In ONTAP 9.6 and earlier releases, you must use `-encrypt true` with `volume create` commands to enable encryption (provided you did not enable `cc-mode`).
- If you want to create an NAE volume in ONTAP 9.6, you must enable NAE at the aggregate level. Refer to [Enable aggregate-level encryption with the VE license](#) for more details on this task.
- Beginning with ONTAP 9.7, newly created volumes are encrypted by default when you have the VE license and onboard or external key management. By default, new volumes created in an NAE aggregate will be of type NAE rather than NVE.
  - In ONTAP 9.7 and later releases, if you add `-encrypt true` to the `volume create` command to create a volume in an NAE aggregate, the volume will have NVE encryption instead of NAE. All volumes in an NAE aggregate must be encrypted with either NVE or NAE.



Plaintext volumes are not supported in NAE aggregates.

## Steps

1. Create a new volume and specify whether encryption is enabled on the volume. If the new volume is in an NAE aggregate, by default the volume will be an NAE volume:

To create...	Use this command...
An NAE volume	<code>volume create -vserver SVM_name -volume volume_name -aggregate aggregate_name</code>
An NVE volume	<code>volume create -vserver SVM_name -volume volume_name -aggregate aggregate_name -encrypt true +</code> <div><p>In ONTAP 9.6 and earlier where NAE is not supported, <code>-encrypt true</code> specifies that the volume should be encrypted with NVE. In ONTAP 9.7 and later where volumes are created in NAE aggregates, <code>-encrypt true</code> overrides the default encryption type of NAE to create an NVE volume instead.</p></div>
A plain text volume	<code>volume create -vserver SVM_name -volume volume_name -aggregate aggregate_name -encrypt false</code>

For complete command syntax, refer to the command reference page for `volume create`.

2. Verify that volumes are enabled for encryption:

```
volume show -is-encrypted true
```

For complete command syntax, see the [command reference](#).

## Result

If you are using a KMIP server to store the encryption keys for a node, ONTAP automatically "pushes" an encryption key to the server when you encrypt a volume.

## Enable encryption on an existing volume with the volume encryption conversion start command

Beginning with ONTAP 9.3, you can use the `volume encryption conversion start` command to enable encryption of an existing volume "in place," without having to move the volume to a different location.

### About this task

Once you start a conversion operation, it must complete. If you encounter a performance issue during the operation, you can run the `volume encryption conversion pause` command to pause the operation, and the `volume encryption conversion resume` command to resume the operation.



You cannot use `volume encryption conversion start` to convert a SnapLock volume.

### Steps

1. Enable encryption on an existing volume:

```
volume encryption conversion start -vserver SVM_name -volume volume_name
```

For complete command syntax, see the man page for the command.

The following command enables encryption on the existing volume `vol1`:

```
cluster1::> volume encryption conversion start -vserver vs1 -volume vol1
```

The system creates an encryption key for the volume. The data on the volume is encrypted.

2. Verify the status of the conversion operation:

```
volume encryption conversion show
```

For complete command syntax, see the man page for the command.

The following command displays the status of the conversion operation:

```
cluster1::> volume encryption conversion show
```

Vserver	Volume	Start Time	Status
vs1	vol1	9/18/2017 17:51:41	Phase 2 of 2 is in progress.

3. When the conversion operation is complete, verify that the volume is enabled for encryption:

```
volume show -is-encrypted true
```

For complete command syntax, see the man page for the command.

The following command displays the encrypted volumes on `cluster1`:

```
cluster1::> volume show -is-encrypted true
```

Vserver	Volume	Aggregate	State	Type	Size	Available	Used
-----	-----	-----	-----	-----	-----	-----	-----
vs1	vol1	aggr2	online	RW	200GB	160.0GB	20%

## Result

If you are using a KMIP server to store the encryption keys for a node, ONTAP automatically “pushes” an encryption key to the server when you encrypt a volume.

## Enable encryption on an existing volume with the volume move start command

You can use the `volume move start` command to enable encryption by moving an existing volume. You must use `volume move start` in ONTAP 9.2 and earlier. You can use the same aggregate or a different aggregate.

### What you’ll need

You must be a cluster administrator to perform this task, or an SVM administrator to whom the cluster administrator has delegated authority.

### Delegating authority to run the volume move command

### About this task

Beginning with ONTAP 9.8, you can use `volume move start` to enable encryption on a SnapLock or FlexGroup volume.

Beginning with ONTAP 9.4, if you enable “cc-mode” when you set up the Onboard Key Manager, volumes you create with the `volume move start` command are automatically encrypted. You need not specify `-encrypt -destination true`.

Beginning with ONTAP 9.6, you can use aggregate-level encryption to assign keys to the containing aggregate for the volumes to be moved. A volume encrypted with a unique key is called an *NVE volume*. A volume encrypted with an aggregate-level key is called an *NAE volume* (for NetApp Aggregate Encryption). Plaintext volumes are not supported in NAE aggregates.

### Steps

1. Move an existing volume and specify whether encryption is enabled on the volume:

To convert...	Use this command...
A plaintext volume to an NVE volume	<code>volume move start -vserver SVM_name -volume volume_name -destination-aggregate aggregate_name -encrypt-destination true</code>
An NVE or plaintext volume to an NAE volume (assuming aggregate-level encryption is enabled on the destination)	<code>volume move start -vserver SVM_name -volume volume_name -destination-aggregate aggregate_name -encrypt-with-aggr-key true</code>

An NAE volume to an NVE volume	<code>volume move start -vserver SVM_name -volume volume_name -destination-aggregate aggregate_name -encrypt-with-aggr-key false</code>
An NAE volume to a plaintext volume	<code>volume move start -vserver SVM_name -volume volume_name -destination-aggregate aggregate_name -encrypt-destination false -encrypt-with-aggr-key false</code>
An NVE volume to a plaintext volume	<code>volume move start -vserver SVM_name -volume volume_name -destination-aggregate aggregate_name -encrypt-destination false</code>

For complete command syntax, see the man page for the command.

The following command converts a plaintext volume named `vol1` to an NVE volume:

```
cluster1::> volume move start -vserver vs1 -volume vol1 -destination
-aggregate aggr2 -encrypt-destination true
```

Assuming aggregate-level encryption is enabled on the destination, the following command converts an NVE or plaintext volume named `vol1` to an NAE volume:

```
cluster1::> volume move start -vserver vs1 -volume vol1 -destination
-aggregate aggr2 -encrypt-with-aggr-key true
```

The following command converts an NAE volume named `vol2` to an NVE volume:

```
cluster1::> volume move start -vserver vs1 -volume vol2 -destination
-aggregate aggr2 -encrypt-with-aggr-key false
```

The following command converts an NAE volume named `vol2` to a plaintext volume:

```
cluster1::> volume move start -vserver vs1 -volume vol2 -destination
-aggregate aggr2 -encrypt-destination false -encrypt-with-aggr-key false
```

The following command converts an NVE volume named `vol2` to a plaintext volume:

```
cluster1::> volume move start -vserver vs1 -volume vol2 -destination
-aggregate aggr2 -encrypt-destination false
```

## 2. View the encryption type of cluster volumes:

```
volume show -fields encryption-type none|volume|aggregate
```

The `encryption-type` field is available in ONTAP 9.6 and later.

For complete command syntax, see the man page for the command.

The following command displays the encryption type of volumes in `cluster2`:

```
cluster2::> volume show -fields encryption-type
```

vserver	volume	encryption-type
-----	-----	-----
vs1	vol1	none
vs2	vol2	volume
vs3	vol3	aggregate

## 3. Verify that volumes are enabled for encryption:

```
volume show -is-encrypted true
```

For complete command syntax, see the man page for the command.

The following command displays the encrypted volumes on `cluster2`:

```
cluster2::> volume show -is-encrypted true
```

Vserver	Volume	Aggregate	State	Type	Size	Available	Used
-----	-----	-----	-----	-----	-----	-----	-----
vs1	vol1	aggr2	online	RW	200GB	160.0GB	20%

### Result

If you are using a KMIP server to store the encryption keys for a node, ONTAP automatically “pushes” an encryption key to the server when you encrypt a volume.

## Enable node root volume encryption

Beginning with ONTAP 9.8, you can use NetApp Volume Encryption to protect the root volume of your node.

### What you’ll need

- Your system must be using an HA configuration.

Root volume encryption is not supported on single node configurations.

- Your node root volume must already be created.

- Your system must have an onboard key manager or an external key management server using the Key Management Interoperability Protocol (KMIP).

**About this task**

This procedure applies to the node root volume. It does not apply to SVM root volumes. SVM root volumes can be protected through aggregate-level encryption.

Once root volume encryption begins, it must complete. You cannot pause the operation. Once encryption is complete, you cannot assign a new key to the root volume and you cannot perform a secure-purge operation.

**Steps**

1. Encrypt the root volume:

```
volume encryption conversion start -vserver SVM_name -volume root_vol_name
```

2. Verify the status of the conversion operation:

```
volume encryption conversion show
```

3. When the conversion operation is complete, verify that the volume is encrypted:

```
volume show -fields
```

The following shows example output for an encrypted volume.

```
::> volume show -vserver xyz -volume vol0 -fields is-encrypted
vserver      volume is-encrypted
-----
xyz          vol0    true
```

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