# KOLMOGOROV–SMIRNOV STATISTICS AND THE ANALYTIC PROPERTIES OF DIRICHLET SERIES ASSOCIATED TO ELLIPTIC CURVES

#### A Dissertation

Presented to the Faculty of the Graduate School of Cornell University in Partial Fulfillment of the Requirements for the Degree of Doctor of Philosophy

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## KOLMOGOROV–SMIRNOV STATISTICS AND THE ANALYTIC PROPERTIES OF DIRICHLET SERIES ASSOCIATED TO ELLIPTIC CURVES

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Abstract here.

#### BIOGRAPHICAL SKETCH

Brief biographical sketch.

Dedication here.

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#### TABLE OF CONTENTS

1	Foundations		
	1.1	Category of test objects	1
	1.2	Quotients in the flat topology	2
	1.3	Groupoids and quotient stacks	4
	1.4	Deformations of group representations	4
	1.5	Tangent spaces and obstruction theory	-
<b>2</b>	?		7

### CHAPTER 1 FOUNDATIONS

#### 1.1 Category of test objects

The following is an exposition and explication of the theory outlined in [SGA  $3_1$ , VII<sub>B</sub>,  $\S0-1$ ]. In particular, we will heavily use the notions of a pseudocompact ring, pseudocompact modules, etc. Let  $\Lambda$  be a pseudocompact ring. Write  $\mathsf{C}_{\Lambda}$  for the opposite of the category of  $\Lambda$ -algebras which have finite length as  $\Lambda$ -modules. Given such a  $\Lambda$ -algebra A, write  $X = \mathrm{Spf}(A)$  for the corresponding object of  $\mathsf{C}_{\Lambda}$ , and we put  $A = \mathscr{O}(X)$ .

**Lemma 1.1.1.** Let  $\Lambda$  be a pseudocompact ring,  $C_{\Lambda}$  as above. Then  $C_{\Lambda}$  is closed under finite limits and colimits.

**Lemma 1.1.2.** Let  $\Lambda$  be a pseudocompact local ring. Then  $\Lambda$  is henselian, in any of the following senses:

1. d

*Proof.* [EGA 
$$4_4$$
,  $18.5.$ ?]

Following Grothendieck, if  $\mathcal{C}$  is an arbitrary category, we write  $\widehat{\mathcal{C}} = \hom(\mathcal{C}^{\circ}, \mathsf{Set})$  for the category of contravariant functors  $\mathcal{C} \to \mathsf{Set}$ . We regard  $\mathcal{C}$  as a full subcategory of  $\widehat{\mathcal{C}}$  via the Yoneda embedding, so for  $X,Y \in \mathcal{C}$ , we write  $X(Y) = \hom_{\mathcal{C}}(Y,X)$ . With this notation, the Yoneda Lemma states that  $\hom_{\widehat{\mathcal{C}}}(X,P) = P(X)$  for all  $X \in \mathcal{C}$ .

**Lemma 1.1.3.** Let  $\mathcal{X} \in \widehat{\mathsf{C}_{\Lambda}}$ . Then  $\mathcal{X}$  is left exact if and only if there exists a filtered system  $\{X_i\}_{i \in I}$  in  $\mathcal{C}_{\Lambda}$  together with a natural isomorphism  $\mathcal{X}(\cdot) \simeq \varinjlim X_i(\cdot)$ . Write  $\mathsf{Ind}(\mathsf{C}_{\Lambda})$  for the category of such functors. Then  $\mathsf{Ind}(\mathsf{C}_{\Lambda})$  is closed under colimits, and the Yoneda embedding  $\mathsf{C}_{\Lambda} \hookrightarrow \mathsf{Ind}(\mathsf{C}_{\Lambda})$  preserves filtered colimits.

*Proof.* This follows from the results of [KS06, 6.1].

If R is a pseudocompact  $\Lambda$ -algebra, write  $\operatorname{Spf}(R)$  for the object of  $\widehat{\mathsf{C}_{\Lambda}}$  defined by  $\operatorname{Spf}(R)(A) = \operatorname{hom}_{\operatorname{cts}/\Lambda}(R,A)$ , the set of continuous  $\Lambda$ -algebra homomorphisms.

**Lemma 1.1.4.** The funtor Spf induces an (anti-)equivalence between the category of pseudo-compact  $\Lambda$ -algebras and Ind( $C_{\Lambda}$ ).

*Proof.* This is [SGA  $3_1$ , VII<sub>B</sub> 0.4.2 Prop.].

So  $Ind(C_{\Lambda})$  is the category of pro-representable functors on finite length  $\Lambda$ -algebras. Warning: in many papers, for example the foundational [Maz97], one reserves the term pro-representable for functors of the form Spf(R), where R is noetherian. We do not make this restriction.

Lemma 1.1.5. The category  $Ind(C_{\Lambda})$  is an exponential ideal in  $\widehat{C_{\Lambda}}$ .

*Proof.* By this we mean the following. Let  $\mathcal{X} \in Ind(C_{\Lambda})$ ,  $P \in \widehat{C_{\Lambda}}$ . Then the functor  $\mathcal{X}^P$  defined by

$$\mathcal{X}^{P}(S) = \hom_{\widehat{\mathsf{C}_{\Lambda/S}}}(P_{/S}, \mathcal{X}_{/S})$$

is also in  $Ind(C_{\Lambda})$ . Given the characterization of  $Ind(C_{\Lambda})$  as left exact functors, this is easy to prove, see e.g. [Joh02, 4.2.3].

If  $\mathcal{C}$  is a category, we write  $\mathsf{Gp}(\mathcal{C})$  for the category of group objects in  $\mathcal{C}$ .

**Corollary 1.1.6.** Let  $\Gamma \in \mathsf{Gp}(\widehat{\mathsf{C}_{\Lambda}})$  and  $\mathcal{G} \in \mathsf{Gp}(\mathsf{Ind}(\mathsf{C}_{\Lambda}))$ , then the functor  $[\Gamma, \mathcal{G}]$  defined by

$$[\Gamma, \mathcal{G}](S) = \hom_{\mathsf{Gp}/S}(\Gamma_{/S}, \mathcal{G}_{/S})$$

is in  $Ind(C_{\Lambda})$ . In particular, if  $\Gamma$  is a profinite group, then the functor

$$[\Gamma, \mathcal{G}](S) = \hom_{\operatorname{cts}/\operatorname{\mathsf{Gp}}}(\Gamma, \mathcal{G}(S))$$

is in  $Ind(C_{\Lambda})$ .

*Proof.* The first claim follows easily from 1.1.5. Just note that  $[\Gamma, \mathcal{G}]$  is the equalizer:

$$[\Gamma, \mathcal{G}] \longrightarrow \mathcal{G}^{\Gamma} \xrightarrow[m_{\mathcal{G}_*}]{m_{\mathcal{G}_*}} \mathcal{G}^{\Gamma \times \Gamma},$$

that is, those  $f \colon \Gamma \to \mathcal{G}$  such that  $f \circ m_{\Gamma} = m_{\mathcal{G}} \circ (f \times f)$ . The latter claim is just a special case.

#### 1.2 Quotients in the flat topology

If  $\Lambda$  is a pseudocompact ring, the category  $\operatorname{Ind}(\mathsf{C}_{\Lambda})$  has nice "geometric" properties. However, for operations like taking quotients, we will embed it into the larger category  $\mathsf{Sh}_{\mathrm{fl}}(\mathsf{C}_{\Lambda})$  of flat sheaves. We call a collection  $\{U_i \to X\}$  of morphisms in  $\mathsf{C}_{\Lambda}$  a flat cover if each ring map  $\mathscr{O}(X) \to \mathscr{O}(U_i)$  is flat, and moreover  $\mathscr{O}(X) \to \prod \mathscr{O}(U_i)$  is faithfully flat. By [SGA 3<sub>1</sub>, IV 6.3.1], this is a subcanonical Grothendieck topology on  $\mathsf{C}_{\Lambda}$ . We call it the flat topology, even though finite presentation comes for free because all the rings are finite length.

**Lemma 1.2.1.** Let  $\mathsf{Sh}_{fl}(\mathsf{C}_\Lambda)$  be the category of sheaves (of sets) on  $\mathsf{C}_\Lambda$  with respect to the flat topology. Then a presheaf  $P \in \widehat{\mathsf{C}_\Lambda}$  lies in  $\mathsf{Sh}_{fl}(\mathsf{C}_\Lambda)$  if and only if  $P(\coprod U_i) = \prod P(U_i)$  and moreover, whenever  $U \to X$  is a flat cover where  $\mathscr{O}(U)$  and  $\mathscr{O}(X)$  are local rings, the sequence

$$P(X) \longrightarrow P(U) \Longrightarrow P(U \times_X U).$$

is exact. Moreover,  $\operatorname{Ind}(\mathsf{C}_{\Lambda}) \subset \operatorname{Sh}_{\mathrm{fl}}(\mathsf{C}_{\Lambda})$ .

*Proof.* The first claim is the content of [SGA  $3_1$ , IV 6.3.1(ii)]. For the second, note that any  $\mathcal{X} \in \mathsf{Ind}(\mathsf{C}_\Lambda)$  will, by 1.1.3, convert (arbitrary) colimits into limits. Thus  $\mathcal{X}(\coprod U_i) = \coprod \mathcal{X}(U_i)$ . If  $U \to X$  is a flat cover, then by (loc. cit.),  $U \times_X U \rightrightarrows U \to X$  is a coequalizer diagram in  $\mathsf{C}_\Lambda$ , hence  $\mathcal{X}(X) \to \mathcal{X}(U) \rightrightarrows \mathcal{X}(U \times_X U)$  is an equalizer.

Our main reason for introducing the category  $\mathsf{Sh}_{\mathrm{fl}}(\mathsf{C}_{\Lambda})$  is that, as a (Grothendieck) topos, it is closed under arbitrary colimits. Recall that in an *equivalence relation* in  $\widehat{\mathsf{C}_{\Lambda}}$  is a morphism  $R \to X \times X$  such that, for all S, the map  $R(S) \to X(S) \times X(S)$  is an injection whose image is an equivalence relation on X(S). We define the quotient X/R to be the coequalizer

$$R \Longrightarrow X \longrightarrow X/R$$
.

By Giraud's Theorem [MLM94, App.], for any  $S \in \mathsf{C}_\Lambda$ , the natural map  $X(S)/R(S) \to (X/R)(S)$  is injective. It will not be surjective in general.

We let  $\mathsf{Sh}_{\mathrm{fl}}(\mathsf{C}_\Lambda)$  inherit definitions from  $\mathsf{C}_\Lambda$  as follows. If P is a property of maps in  $\mathsf{C}_\Lambda$  (for example, "flat," or "smooth,") and  $f\colon X\to Y$  is a morphism in  $\mathsf{Sh}_{\mathrm{fl}}(\mathsf{C}_\Lambda)$ , we say that f has P if for all  $S\in\mathsf{C}_\Lambda$  and  $y\in Y(S)$ , the pullback  $X_S=X\times_Y S$  lies in  $\mathsf{C}_\Lambda$ , and the pullback map  $X_S\to S$  has property P. For example, if  $X=\mathrm{Spf}(R')$  and  $Y=\mathrm{Spf}(R)$ , then  $X\to Y$  has property P if and only if for all finite length A and continuous  $\Lambda$ -algebra maps  $R\to A$ , the induced map  $A\to R'\otimes_R A$  has P.

**Theorem 1.2.2.** Let  $\mathcal{R} \to \mathcal{X} \times \mathcal{X}$  be an equivalence relation in  $Ind(C_{\Lambda})$  such that one of the maps  $\mathcal{R} \to \mathcal{X}$  is flat. Then the quotient  $\mathcal{X}/\mathcal{R}$  lies in  $Ind(C_{\Lambda})$ , and  $\mathcal{X} \to \mathcal{X}/\mathcal{R}$  is a flat cover.

*Proof.* This is [SGA 
$$3_1$$
, VII<sub>B</sub>  $1.4$ ].

By [Mat89, 29.7], if k is a field and R is a complete regular local k-algebra, then  $R \simeq k[t_1, \ldots, t_n]$ . In particular, R admits an augmentation  $\epsilon \colon R \to k$ . There is a general analogue of this result, but first we need a definition.

**Definition 1.2.3.** A map  $f: \mathcal{X} \to \mathcal{Y}$  in  $Ind(C_{\Lambda})$  is a residual isomorphism if for all  $S = Spf(k) \in C_{\Lambda}$  where k is a field, the map  $f: \mathcal{X}(S) \to \mathcal{Y}(S)$  is a bijection.

**Lemma 1.2.4.** Let  $f: \mathcal{X} \to \mathcal{Y}$  be a smooth map in  $Ind(C_{\Lambda})$  that is a residual isomorphism. Then f admits a section.

Proof. By [SGA 3<sub>1</sub>, VII<sub>B</sub> 0.1.1], it suffices to prove the result when  $\mathcal{X} = \mathrm{Spf}(R')$ ,  $\mathcal{Y} = \mathrm{Spf}(R)$ , for local Λ-algebras  $R \to R'$  with the same residue field. Let  $k = R/\mathfrak{m}_R \xrightarrow{\sim} R'/\mathfrak{m}_{R'}$  be their common residue field. From the diagram

$$R' \longrightarrow R$$

$$\uparrow \qquad \downarrow \qquad \downarrow$$

$$R \longrightarrow k,$$

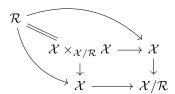
the definition of (formal) smoothness, and a limiting argument involving the finite length quotients  $R/\mathfrak{a}$ , we obtain the result.

**Corollary 1.2.5.** Let  $\mathcal{R} \to \mathcal{X} \times \mathcal{X}$  be an equivalence relation satisfying the hypotheses of 1.2.2. Suppose further that

- 1. One of the maps  $\mathcal{R} \to \mathcal{X}$  is smooth, and
- 2. The projection  $\mathcal{X} \to \mathcal{X}/\mathcal{R}$  is a residual isomorphism.

Then  $\mathcal{X} \to \mathcal{X}/\mathcal{R}$  admits a section, so  $\mathcal{X}(S)/\mathcal{R}(S) \xrightarrow{\sim} (\mathcal{X}/\mathcal{R})(S)$  for all  $S \in \mathsf{C}_{\Lambda}$ .

*Proof.* By 1.2.4, it suffices to prove that  $\mathcal{X} \to \mathcal{X}/\mathcal{R}$  is smooth. By [EGA 4<sub>4</sub>, 17.7.3(ii)], smoothness can be detected after flat descent. So base-change with respect to the projection  $\mathcal{X} \to \mathcal{X}/\mathcal{R}$ . In the following commutative diagram



we can ensure the smoothness of  $\mathcal{R} \to \mathcal{X}$  by our hypotheses. Since  $\mathcal{X} \to \mathcal{X}/\mathcal{R}$  is smooth after flat base-change, the original map is smooth.

**Example 1.2.6.** The hypothesis on residue fields in 1.2.5 is necessary. To see this, let  $\Lambda = k$  be a field,  $k \hookrightarrow K$  a finite Galois extension with Galois group G. Then  $G \times \operatorname{Spf}(K) \rightrightarrows \operatorname{Spf}(K)$  has quotient  $\operatorname{Spf}(k)$ , but the map  $\operatorname{Spf}(K)(S) \to \operatorname{Spf}(k)(S)$  is *not* surjective for all  $S \in \mathsf{C}_k$ , e.g. it is not for  $S = \operatorname{Spf}(k)$ .

**Example 1.2.7.** The hypothesis of smoothness in 1.2.5 is necessary. To see this, let k be a field of characteristic p > 0. Then the formal additive group  $\widehat{\mathbf{G}}_{\mathbf{a}} = \mathrm{Spf}(k[\![t]\!])$  has a subgroup  $\alpha_p$  defined by

$$\alpha_p(S) = \{ s \in \mathcal{O}(S) \colon s^p = 0 \}.$$

The quotient  $\widehat{\mathbf{G}}_{\mathbf{a}}/\alpha_p$  has as affine coordinate ring  $k[t^p]$ . In particular, the following sequence is exact in the flat topology:

$$0 \longrightarrow \boldsymbol{\alpha}_p \longrightarrow \widehat{\mathbf{G}}_{\mathbf{a}} \xrightarrow{(\cdot)^p} \widehat{\mathbf{G}}_{\mathbf{a}} \longrightarrow 0.$$

It follows that  $\alpha_p \times \widehat{\mathbf{G}}_{\mathbf{a}} \rightrightarrows \widehat{\mathbf{G}}_{\mathbf{a}} \stackrel{(\cdot)^p}{\longrightarrow} \widehat{\mathbf{G}}_{\mathbf{a}}$  is a coequalizer in  $\mathsf{Sh}_{\mathrm{fl}}(\mathsf{C}_k)$  satisfying all the hypothese of 1.2.5 except smoothness. And indeed, as one sees by letting  $S = \mathrm{Spf}(A)$  for any non-perfect k-algebra A, the map  $(\cdot)^p \colon \widehat{\mathbf{G}}_{\mathbf{a}}(S) \to \widehat{\mathbf{G}}_{\mathbf{a}}(S)$  is not surjective for all S.

#### 1.3 Groupoids and quotient stacks

**Lemma 1.3.1.** Let  $\mathcal{G} \in \mathsf{Ind}(\mathsf{C}_{\Lambda})$  be a smooth connected group. Then every  $\mathcal{G}$ -torsor is trivial.

*Proof.* Let  $\mathcal{P} \to \mathcal{B}$  be a  $\mathcal{G}$ -torsor in  $Ind(C_{\Lambda})$ . That is,  $\mathcal{P}$  has an action of  $\mathcal{G}_{\mathcal{S}}$  for which  $\mathcal{P} \times_{\mathcal{B}} \mathcal{P} \simeq \mathcal{G} \times \mathcal{P}$  as  $\mathcal{G}$ -spaces. [...not done...]

**Theorem 1.3.2.** Let  $\mathcal{G}$  be a smooth connected group in  $\operatorname{Ind}(\mathsf{C}_{\Lambda})$ , and  $\mathcal{X} \in \operatorname{Ind}(\mathsf{C}_{\Lambda})$  a  $\mathcal{G}$ -object. Then the quotient stack  $[\mathcal{X}/\mathcal{G}](S)$  has as objects  $\mathcal{X}(S)/\mathcal{G}(S)$ , but with extra automorphisms?

*Proof.* Use triviality of torsors.  $\Box$ 

#### 1.4 Deformations of group representations

Let  $\Gamma \in \mathsf{Gp}(\widehat{\mathsf{C}_\Lambda})$  and  $\mathcal{G} \in \mathsf{Ind}(\mathsf{C}_\Lambda)$ . By 1.1.6, the functor

$$\operatorname{Rep}^{\square}(\Gamma, \mathcal{G})(S) = \operatorname{hom}_{\mathsf{Gp}/S}(\Gamma_S, \mathcal{G}_S)$$

is in  $Ind(C_{\Lambda})$ . We would like to define an ind-scheme  $Rep(\Gamma, \mathcal{G})$  as " $Rep^{\square}(\Gamma, \mathcal{G})$  modulo conjugation," but this requires some care. The conjugation action of  $\mathcal{G}$  on  $Rep^{\square}(\Gamma, \mathcal{G})$  will have fixed points, so the quotient will be badly behaved. We loosely follow [Til96].

Assume  $\Lambda$  is local, with maximal ideal  $\mathfrak{m}$  and residue field  $\mathbf{k}$ . Fix  $\bar{\rho} \in \operatorname{Rep}^{\square}(\Gamma, \mathcal{G})(\mathbf{k})$ , i.e. a residual representation  $\bar{\rho} \colon \Gamma \to \mathcal{G}(\mathbf{k})$ . Let  $\operatorname{Rep}^{\square}(\Gamma, \mathcal{G})_{\bar{\rho}}$  be the connected component of  $\bar{\rho}$  in  $\operatorname{Rep}^{\square}(\Gamma, \mathcal{G})$ . Assume that  $\mathcal{G}$  and  $Z(\mathcal{G})$  are smooth; then the quotient  $\mathcal{G}^{\operatorname{ad}} = \mathcal{G}/Z(\mathcal{G})$  is also smooth. Let  $\mathcal{G}^{\operatorname{ad}, \circ}$  be the connected component of 1 in  $\mathcal{G}^{\operatorname{ad}}$ .

**Theorem 1.4.1.** Suppose  $(\Lambda, \mathfrak{m}, \mathbf{k})$  is local. If  $\mathcal{X}, \mathcal{Y} \in Ind(C_{\Lambda})$  are connected and  $\mathcal{X}(\mathbf{k}) \neq \emptyset$ , then  $\mathcal{X} \times_{\Lambda} \mathcal{Y}$  is connected.

Proof. We are reduced to proving the following result from commutative algebra: if R, S are local pro-artinian  $\Lambda$ -algebras and R has residue field  $\mathbf{k}$ , then  $R \widehat{\otimes}_{\Lambda} S$  is local. Since  $R \widehat{\otimes}_{\Lambda} S = \underline{\lim}(R/\mathfrak{r}) \otimes_{\Lambda} (S/\mathfrak{s})$ ,  $\mathfrak{r}$  (resp.  $\mathfrak{s}$ ) ranges over all open ideals in R (resp. S), we may assume that both R and S are artinian. The rings R and S are henselian, so  $R \otimes S$  is local if and only if  $(R/\mathfrak{m}_R) \otimes (S/\mathfrak{m}_S) = S/\mathfrak{m}_S$  is local, which it is.

We conclude that the action of  $\mathcal{G}^{\mathrm{ad},\circ}$  on  $\mathrm{Rep}^{\square}(\Gamma,\mathcal{G})$  preserves  $\mathrm{Rep}^{\square}(\Gamma,\mathcal{G})_{\bar{\rho}}$ . Thus we may put

$$\operatorname{Rep}(\Gamma,\mathcal{G})_{\bar{\rho}} = [\operatorname{Rep}^{\square}(\Gamma,\mathcal{G})_{\bar{\rho}}/\mathcal{G}^{\operatorname{ad},\circ}].$$

If  $\mathcal{G}^{\mathrm{ad},\circ}$  acts faithfully on  $\mathrm{Rep}^{\square}(\Gamma,\mathcal{G})_{\bar{\rho}}$ , then we recover the classical notion of the deformation functor.

**Theorem 1.4.2.** Let  $\Gamma$  be a profinite group,  $\bar{\rho} \colon \Gamma \to \mathcal{G}(\mathbf{k})$  a representation with  $H^0(\Gamma, \operatorname{Ad} \bar{\rho}) = 0$ . Then  $\operatorname{Rep}(\Gamma, \mathcal{G})_{\bar{\rho}}$  exists and is what you expect.

*Proof.* Need assumptions on  $Z(\mathcal{G})$ ,  $\mathcal{G}$  should be smooth.

Need  $Z(\mathcal{G}) = \ker(\mathcal{G} \to GL(\mathfrak{g}))$  in connected case. This should use  $\mathfrak{g} = Lie(Aut \mathcal{G})$ , via deviations in [SGA 3<sub>1</sub>].

[...local conditions]

#### 1.5 Tangent spaces and obstruction theory

For  $S_0 \in \mathsf{C}_\Lambda$ , let  $\mathsf{Ex}_{S_0}$  be the category of square-zero thickenings of  $S_0$ . An object of  $\mathsf{Ex}_{S_0}$  is a closed embedding  $S_0 \hookrightarrow S$  whose ideal of definition has square zero. Should be "exponential exact sequence"

$$0 \longrightarrow \mathfrak{g}(I) \longrightarrow \mathcal{G}(S) \longrightarrow \mathcal{G}(S_0) \longrightarrow 1$$

This gives us a class  $\exp \in H^2(\mathcal{G}(S_0), \mathfrak{g}(I))$ . For  $\rho_0 \colon \Gamma \to \mathcal{G}(S_0)$ , the obstruction class is  $o(\rho_0, I) = \rho_0^*(\exp) \in H^2(\Gamma, \mathfrak{g}(I))$ . It's easy to check that  $o(\rho_0, I) = 0$  if and only if  $\rho_0$  lifts to  $\rho$ . So obstruction theory naturally for  $\operatorname{Rep}^{\square}(\Gamma, \mathcal{G})$ .

[Use [Wei94, 6.6.4]. Given setting as above,  $\rho_0^*(\exp)$  is the pullback by  $\rho_0$ :

$$0 \longrightarrow \mathfrak{g}(I) \longrightarrow \mathcal{G}(S) \times_{\mathcal{G}(S_0)} \Gamma \longrightarrow \Gamma \longrightarrow 1$$

$$\downarrow \qquad \qquad \downarrow^{\rho_0}$$

$$0 \longrightarrow \mathfrak{g}(I) \longrightarrow \mathcal{G}(S) \longrightarrow \mathcal{G}(S_0) \longrightarrow 1$$

Computing explicitly, we see the result.

**Proposition 1.5.1.** Let  $f: G \to H$  be a morphism of profinite groups. Suppose M is a discrete H-module and  $c \in H^2(H, M)$  corresponds to the extension

$$0 \longrightarrow M \longrightarrow \widetilde{H} \longrightarrow H \longrightarrow 1.$$

Then  $f^*c = 0$  in  $H^2(G, M)$  if and only if there is a map  $\widetilde{f} \colon G \to \widetilde{H}$  making the following diagram commute:

$$G \xrightarrow{\widetilde{f}} \widetilde{H}$$

*Proof.* By [Wei94, 6.6.4], the class  $f^*c$  corresponds to the pullback diagram:

Writing explicitly what it means for  $G \times_H \widetilde{H} \to G$  to split yields the result.

Let  $\mathcal{X} \in \mathsf{Ind}(\mathsf{C}_{/\Lambda})$  be smooth, and  $\mathsf{L}_{\mathcal{X}/\Lambda} \simeq \Omega^1_{\mathcal{X}/\Lambda}[0]$  be its cotangent complex. Fix  $x_0 \in \mathcal{X}(S_0)$ . From the chain  $S_0 \xrightarrow{x_0} \mathcal{X} \to \mathsf{Spf}(\Lambda)$ , we get a distinguished triangle [Ill71, II 2.1.5.6]

$$x_0^* L_{\mathcal{X}/\Lambda} \longrightarrow L_{S_0/\Lambda} \longrightarrow L_{S_0/\mathcal{X}} \longrightarrow .$$

If I is a coherent sheaf on  $S_0$ , we get a long exact sequence:

$$\operatorname{Ext}^0(\operatorname{L}_{S_0/\Lambda},M) \to \operatorname{Ext}^0(x_0^*\operatorname{L}_{\mathcal{X}/\Lambda},M) \to \operatorname{Ext}^1(\operatorname{L}_{S_0/\mathcal{X}},M) \to \operatorname{Ext}^1(\operatorname{L}_{S_0/\Lambda},M) \to \operatorname{Ext}^1(x_0^*\operatorname{L}_{\mathcal{X}/\Lambda},M)$$

If  $\mathcal{X}_{/\Lambda}$  is smooth, then  $\operatorname{Ext}^1(x_0^* L_{\mathcal{X}/\Lambda}, M) = 0$  and  $L_{\mathcal{X}/\Lambda} = \Omega^1_{\mathcal{X}/\Lambda}$ . This gives us an exact sequence

$$\operatorname{Ext}^0(\operatorname{L}_{S_0/\Lambda},M) \longrightarrow \operatorname{hom}(\Omega^1_{\mathcal{X}/\Lambda},M) \longrightarrow \operatorname{Ext}^1(\operatorname{L}_{S_0/\mathcal{X}},M) \longrightarrow \operatorname{Ext}^1(\operatorname{L}_{S_0/\Lambda},M) \longrightarrow 0.$$

The result [Ill71, III 2.1.7] tells us that the choice of  $S \in \mathsf{Ex}_{S_0}(M)$  gives us an element of  $\mathsf{Ext}^1(\mathsf{L}_{S_0/\Lambda}, M)$ . Its fiber admits an action of  $\mathsf{hom}(\Omega^1_{\mathcal{X}/\Lambda}, M)$ . The only thing remaining is: we need  $\mathsf{Ext}^0(\mathsf{L}_{S_0/\Lambda}, M) = 0$ , which doesn't hold in complete generality.

## CHAPTER 2

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