

Next week: hw holiday

Today: "Finish" Kroll's PIT

• Generalized Cayley-Hamilton / Nakayama

Thm: (Kroll's principal ideal thm)

If  $R$  Noether  $\Rightarrow a \in R$   $P$  is minimally contry  $aR$   
then  $\text{ht } P \leq 1$ .

i.e. if  $U \subseteq Q \subseteq P$  then one these inclusions is  
(proper) not strict.


$$\text{ht } P = \max \{n \mid \exists P_0 \subsetneq P_1 \subsetneq \dots \subsetneq P_n = P\}$$

prims in  $R$ .

Step 0: ideals in localization

If  $R$  comm.  $\mathcal{S} \subseteq R$  mult. set then we have maps

$$\begin{array}{ccc} \{I \triangleleft R\} & \xrightleftharpoons{\quad} & \{J \triangleleft R_S\} \\ I & \xrightarrow{\quad} & IR_S \\ J \cap R & \xleftarrow{\quad} & J \\ \psi^{-1}(J) & & \end{array} \quad \begin{array}{l} R_S = R[\mathcal{S}^{-1}] \\ \psi(I)R_S \\ R \xrightarrow{\psi} R_S \\ r \mapsto r/1 \end{array}$$

  $\{I \triangleleft R \mid I \cap \mathcal{S} = \emptyset\} \xrightleftharpoons{\quad} \{J \triangleleft R_S \text{ proper}\}$   
 $\xrightarrow{\quad} = \text{id.}$

$$\text{if } J \triangleleft R_S \text{ then } (J \cap R) R_S \overset{\vee}{\subseteq} J$$

$$\uparrow$$

$$\varphi(\{x \mid \frac{x}{1} \in J\}) R_S$$

$$\text{if } y \in J \cap R$$

$$= \varphi^{-1}(J)$$

$$\text{ideal of } R_S \text{ gen by } \{\frac{x}{1} \mid \frac{x}{1} \in J\}$$

$$\varphi(y) \in J$$

$$\text{"}$$

$$\frac{y}{1}$$

$$\{y \in J \cap R\} = \{y \mid \frac{y}{1} \in J\}$$

$$\text{WTS: } J \subseteq (J \cap R) R_S \quad \text{if } \frac{x}{s} \in J \Rightarrow \frac{s}{1} \cdot \frac{x}{s} \in J$$

$$\Rightarrow \frac{x}{1} \in J \Rightarrow$$

$$x \in J \cap R$$

$$\Rightarrow \frac{x}{1} \cdot \frac{1}{s} \in (J \cap R) R_S$$

$$\text{"}$$

$$\frac{x}{s} \quad \checkmark$$

in opposite direction

if  $Q$  is primary in  $R$  then

$$Q R_S \cap R = Q$$

for  $\subseteq$ , h.c.  $x \in Q R_S \cap R$

$$\text{then } \frac{x}{1} \in Q R_S$$

$$\Rightarrow \frac{x}{1} = \frac{y}{1} \cdot \frac{a}{s} = \frac{ya}{s} \quad ya \in Q$$

$$= \frac{z}{s} \quad z \in Q \Rightarrow (sx - z)t = 0 \quad t \in S$$

$$I \triangleleft R$$

$$I \subseteq I R_S \cap R \quad \checkmark$$

$$\text{"}$$

$$\frac{x}{1} \in I R_S$$

$$stx = zt \in Q$$

$$st \in S$$

$$\text{If } x \notin Q \text{ then } \Rightarrow (st)^n \in Q$$

$$(st) \in S \Rightarrow (st)^n \in S$$

$$S \cap Q = \emptyset \text{ or}$$

$$\Rightarrow x \in Q \checkmark$$

Def  $I \triangleleft R$ , the saturation of  $I$  w.r.t to  $S$  is

$$I^S = \{r \in R \mid rse \in I \text{ some } s \in S\}$$

Def  $I$  is  $S$ -saturated if  $I = I^S$ .

Exercise: Show that  $I = IR_S \cap R \iff I$  is  $S$ -saturated.  
for  $I \triangleleft R$  or  $I \cap S = \emptyset$ .

Cor: if  $R$  is Meth  $\Rightarrow R_S$  is Meth.

Step 1: Symbolic pms

Def if  $P \triangleleft R$  pre then  $P^{(n)} \equiv (PR_P)^n \cap R$

lem: above corresp ~~primes~~  $\star$  primary ideals

and so  $P^{(n)} \text{ primary} \iff (PR_P)^n \text{ primary}$ .

but

lem: if  $m \triangleleft R$  max'el then  $m^n$  is  $m$ -primary. (Isacs)

$\Rightarrow (PR_P)^n \cap P$  is  $P$ -primary, because  $(PR_P)^n$  is  $PR_P$  primary.

Important facts: easy to see  $P^n \subseteq P^n R_P \cap R$   
 $\subseteq (P R_P)^n \cap R = P^{(n)}$

converse  $P^{(n)} \subseteq P^n$  gen. not true.  
 in various contexts, given  $n \exists m$  s.t.

$P^{(m)} \subseteq P^n$   
 "the containment problem"

Step 2: back to the point

given  $R$  Noetherian.  $P$  prim'd containing  $aR$

suppose  $U \subseteq Q \subsetneq P$  chain of primes, wts  $U=Q$ .

• mod out by  $U \rightarrow U=0, R$  domain.

$0 \subseteq Q \subsetneq P$  wts  $Q=0$   $R$  domain

• localize at  $S = R \setminus P$

corresp. then preserves our hypothesis  
 so can assume  $P$  is maximal.

• Last time: if  $I=aR$  then  $R/I$  is Artinian (finite length)

• look at chain of symbolic powers

$$Q^{(1)} \supseteq Q^{(2)} \supseteq \dots \text{ in } R$$

since  $R/I$  Noetherian, images of these in  $R/I$  stabilize.

$$\exists n \text{ s.t. } \forall k \geq 0 \quad Q^{(n)} + I = Q^{(n+k)} + I.$$

Claim:  $Q^{(n)} = Q^{(n+k)}$  all such  $k$  as above.

$$Q^{(n)} \subseteq Q^{(n)} + I = Q^{(n+k)} + I = Q^{(n+k)} + R$$

solution:  $Q^{(n)} \subseteq Q^{(n+k)} + aQ^{(n)}$

if  $x \in Q^{(n)}$  write  $x = y + ra$   $y \in Q^{(n+k)}$   $r \in R$ .

$$ra = x - y \in Q^{(n)} \text{ and } a \notin Q$$

since  $P$  was min'l con'tg  $aR$ .

$$\Rightarrow a \notin Q = \sqrt{Q^{(n)}} \Rightarrow r \in Q^{(n)} \text{ s.t. } r \neq 0,$$

$$\Rightarrow Q^{(n)} \subseteq Q^{(n+k)} + aQ^{(n)}$$

$$\Rightarrow \frac{Q^{(n)}}{Q^{(n+k)}} \subseteq \frac{aQ^{(n)} + Q^{(n+k)}}{Q^{(n+k)}} = a \frac{Q^{(n)}}{Q^{(n+k)}}$$

$$M = \frac{Q^{(n)}}{Q^{(n+k)}}$$

$$M = aM \Rightarrow M = IM$$

$$aR = I$$

Nakayama:  $\Rightarrow \exists b \in I$  s.t.  $(1-b)M = 0$

$$b \in I \subseteq P \text{ unique max'l.}$$

$$1-b \notin P \Rightarrow 1-b \text{ is invertible}$$

$$\Rightarrow M = 0$$

$$\Rightarrow Q^{(n)} = Q^{(n+k)} \quad k \geq 0$$

$$\Rightarrow \bigcap_{i=1}^{\infty} Q^{(i)} = Q^{(n)}$$

$$\bigcap (QR_Q)^i \cap R = (\bigcap (QR_Q^i)) \cap R$$

$R_Q$  domain  $\Rightarrow$  Krull's thm  $\bigcap (QR_Q)^i = 0$

$$\Rightarrow \cap Q^{(i)} = 0 \Rightarrow Q^{(\infty)} = 0$$

but 0 pre in R

$$\Rightarrow 0 = \sqrt{0} = \sqrt{Q^{(\infty)}} = Q \quad \checkmark$$

Our Nakayama today:

If  $M$  is a f.g.  $R$ -module &  $I \subset R$  s.t.  $M = IM$

then  $\exists a \in I$  s.t.  $(1-a)M = 0$

i.e.  $m = am$  all  $m \in M$ .

Thm generalized Cayley-Hamilton-Nakayama.

Suppose  $M$  is f.g.  $R$ -module &  $\varphi: M \rightarrow IM$   
is an  $R$ -module hom. then  $\exists p \in R[x]$

$$p(x) = x^n + a_1 x^{n-1} + \dots + a_n \quad \text{s.t. } a_i \in I^i$$

and  $p(\varphi)$  acts as 0 on  $M$ .

Cor. CH if  $I = R = F$  field in this case  $p = \text{char poly. of } \varphi$ .

Cor. if  $\varphi = \text{id}$  then Nakayama:

$$p(\varphi) = 0 \text{ on } M \quad p(1) = 1 + \underbrace{a_1 + \dots + a_n}_{-a}$$

$$(1-a)m = 0$$

Pr. of CH-Nak

$$\varphi: M \rightarrow IM \quad m_1, \dots, m_n \text{ generators of } M$$

$$\text{wrtk } \varphi(m_i) = \sum a_{ij} m_j \quad a_{ij} \in I$$

consider  $M$  as an  $R[x]$ -module via  $x \cdot m = \varphi(m)$

consider  $M^n$  as an  $R[x]$ -module

$$\text{note that } (x \cdot 1_n - A) \cdot \begin{bmatrix} m_1 \\ \vdots \\ m_n \end{bmatrix} = \begin{bmatrix} \varphi(m_1) \\ \vdots \\ \varphi(m_n) \end{bmatrix} = \begin{bmatrix} \varphi(m_1) \\ \vdots \\ \varphi(m_n) \end{bmatrix}$$

$$A = \text{matrix } (a_{ij}) \in M^n = 0$$

let  $\text{adj}(xI - A)$  be the adjoint matrix

$$(\text{adj}(xI - A)) (xI - A) \vec{m} = 0$$

$$\det(xI - A) \cdot 1_n$$

$$\text{has form } x^n + c_1 x^{n-1} + c_2 x^{n-2} + \dots = p(x)$$

□.

and

$$\begin{bmatrix} x - a_{11} & -a_{12} & \dots & -a_{1n} \\ a_{21} & x - a_{22} & \dots & -a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n1} & \dots & \dots & x - a_{nn} \end{bmatrix}$$

$$p(\varphi) \vec{m} = 0$$

$$p(\varphi) m_i = 0$$

$$\Rightarrow p(\varphi) m = 0 \quad \forall m \in M$$