

## Basic Definitions & Properties (Based on Notes by Brion)

Fix our base field to be  $\mathbb{C}$ . We consider algebraic varieties (possibly not irreducible) over  $\mathbb{C}$ . We will just call these varieties, and unless otherwise stated they are equipped with the Zariski topology.

Def: An algebraic group is a variety  $G$ , equipped with the structure of a group, such that:

$$\left\{ \begin{array}{l} \mu: G \times G \rightarrow G, \quad (g, h) \mapsto gh \\ i: G \rightarrow G, \quad g \mapsto g^{-1} \end{array} \right\}$$

are morphisms.

Examples:

- 1) Any finite group.
- 2)  $GL_n(\mathbb{C})$ , the general linear group of  $n \times n$  matrices. Indeed if we regard  $M_n$  as an affine variety with the matrix entries as indeterminates, we see that  $GL_n(\mathbb{C}) = D(\Delta) \subset M_n$ , where  $\Delta$  is the determinant polynomial. Restricting the structure sheaf, we get that  $GL_n(\mathbb{C})$  is an affine variety.
- 3) Any closed subset of  $GL_n(\mathbb{C})$ , for example,  $SL_n(\mathbb{C}) = \{x \in GL_n(\mathbb{C}) \mid \det(x) = 1\}$ .
- 4) The multiplicative group  $\mathbb{C}^*$  and additive group  $\mathbb{C}$ . Indeed  $\mathbb{C}^* \cong GL_1(\mathbb{C})$ . More generally, let  $T_n \subset GL_n(\mathbb{C})$  be the subgroup of diagonal matrices, we can see fairly easily that  $T_n \cong (\mathbb{C}^*)^n$ , and is called an algebraic torus.
- 5) Every smooth curve of degree 3 in  $\mathbb{P}^2$  has the structure of an algebraic group. These are called elliptic curves. See [Hartshorne] or [Milne]'s book.

Lemma: Any algebraic group  $G$  is smooth, its cosets are the translates  $gG^\circ$  of the component of the identity,  $G^\circ$  is closed, and  $G/G^\circ$  is finite.

Proof: Only the first is not clear. Choose a nonsingular point  $h \in G$ . This can be done as  $Sing(G)$  has codimension at least one. Then since  $G$  is a group, and multiplication is a morphism,  $gh$  is nonsingular  $\forall g \in G$ . Since this action is transitive, we are done.  $\blacksquare$

Def: A  $G$ -variety  $X$  is a variety with an action by an algebraic group  $G$

$$\alpha: G \times X \rightarrow X, \quad (g, x) \mapsto \alpha(g, x) = g \cdot x$$

which is a morphism of varieties.

Such an action gives a natural action on regular functions on  $X$  via  $(g \cdot f)(x) = f(g^{-1} \cdot x)$ .

Lemma: If  $X$  is an affine  $G$ -variety, then the complex vector space  $\Gamma(X)$  is a union of finite dimensional  $G$ -stable subspaces.

Proof: The action map  $\alpha: G \times X \rightarrow X$  induces a morphism of coordinate rings:

$$\alpha^*: \Gamma(X) \rightarrow \Gamma(G \times X),$$

taking  $f \mapsto ((g, x) \mapsto f(g \cdot x))$ , called the coaction. Since  $\Gamma(G \times X) = \Gamma(G) \otimes_{\mathbb{C}} \Gamma(X)$ , we can write

$$f(g \cdot x) = \sum_{i=1}^n \varphi_i(g) \psi_i(x).$$

Then:

$$g \cdot f = \sum_{i=1}^n \varphi_i(g^{-1}) \psi_i,$$

and hence the translates  $g \cdot f$  span a finite dimensional subspace  $V \subset \Gamma(X)$ , which is clearly  $G$ -stable. ■

This motivates the notion of a rational  $G$ -module, namely a vector space with an action by  $G$  such that every element is contained in a finite dimensional  $G$ -stable subspace. One example is of course affine coordinate rings as above. Note that finite dimensional  $G$ -modules are in one-to-one correspondence with homomorphisms of algebraic groups  $G \rightarrow GL_n$  for some  $n$ .

Example: Let  $G = \mathbb{C}^*$ . Then

$$\Gamma(G) = \mathbb{C}[t, t^{-1}] = \bigoplus_{n=-\infty}^{\infty} \mathbb{C}t^n.$$

Given a  $G$ -variety  $X$ , any  $f \in \Gamma(X)$  satisfies

$$(t \cdot f)(x) = f(t^{-1}x) = \sum_{n=-\infty}^{\infty} t^n f_n(x)$$

<https://mathoverflow.net/questions/104756/action-of-k-on-a-variety>

where the  $f_n$  are uniquely determined by  $f$ . For example if  $f = x^2 + y$ , then  $(t \cdot f)(x, y) = t^{-2}x^2 + t^{-1}y = \sum_{n=-2}^1 t^n f_n$ , where  $f_{-2} = x^2$  and  $f_1 = y$ . Setting  $t=1$  gives  $f = \sum_n f_n$ .

From the above then, we see that each term obeys  $t \cdot f_n = t^n f_n$ . If we now set  $\Gamma(X)_n$  to be the span of each  $f_n \forall f \in \Gamma(X)$ , then we can check that  $t \cdot f_n = t^{n+m} f_m$ , so the action gives a  $\mathbb{Z}$ -grading of finite dimensional vector spaces:

$$\Gamma(X) = \bigoplus_{n=-\infty}^{\infty} \Gamma(X)_n,$$

moreover, by reversing the above, any  $\mathbb{Z}$ -graded ring with no nonzero nilpotents give a  $\mathbb{C}^*$ -variety.

Even more generally, the coordinate ring of an algebraic torus  $(\mathbb{C}^*)^n$  is  $\mathbb{C}[t_1, t_1^{-1}, \dots, t_n, t_n^{-1}]$ . and the action of  $T_n$  on affine varieties gives a  $\mathbb{Z}^n$ -graded structure.

Def: Given two  $G$ -varieties  $X, Y$ , a morphism  $f: X \rightarrow Y$  is called equivariant if  $f(g \cdot x) = g \cdot f(x)$  for all  $g \in G, x \in X$ . We also say  $f$  is a  $G$ -morphism.

Def: Given a  $G$ -variety  $X$  and a point  $x \in X$ , the orbit  $G \cdot x \subset X$  is the set of all  $g \cdot x$ . The isotropy group (also called the stabilizer)  $G_x \subset G$  is the subgroup of elements  $g \in G$  with  $g \cdot x = x$ .

The orbit  $G \cdot x$  is a locally closed smooth subvariety of  $X$ , and every component has dimension  $\dim G - \dim G_x$ . Moreover the closure  $\overline{G \cdot x}$  is the union of  $G \cdot x$  and orbits of strictly smaller dimension. Any orbit of minimal dimension is closed.

### Examples:

- 1) Consider  $\mathbb{C}^*$  acting on affine  $n$ -space via  $t \cdot (x_1, \dots, x_n) = (tx_1, \dots, tx_n)$ . Then the origin is the unique closed orbit, and the orbit closures are exactly the lines through 0.
- 2) Let  $\mathbb{C}^*$  act on  $\mathbb{C}^2$  via  $t \cdot (x, y) = (tx, t^{-1}y)$ . Then the closed orbits are the origin and points on the hyperbolae  $xy=c$ ,  $c \neq 0$ . The other orbit closures are the coordinate axes.

Applying what we know to algebraic group homomorphisms, if  $f: G \rightarrow H$  is such a map, then  $\text{Im}(f) \subset H$  is a closed subgroup. If  $\text{Ker}(f) = 0$ , then  $f$  is a closed immersion. One can use this to show that any affine algebraic group is linear.

We have the following important result, due to Chevalley.

Thm: Let  $G$  be a linear algebraic group, and  $H \subset G$  a closed subgroup. Then there exists a finite-dimensional  $G$ -module  $V$  and a line  $\mathbb{L} \subset V$  such that  $G_x = H$ .

Proof: Consider  $G$  acting on itself by left multiplication. Then the stabilizer of the closed subvariety  $H$  is  $H$  itself. Then considering the associated action on  $\Gamma(G)$ ,  $H$  is the stabilizer of  $I(H) \subset \Gamma(G)$ . We can choose a vector subspace  $W \subset I(H)$  which generates the ideal, and since  $I(H)$  is  $H$ -stable, we can assume  $W$  is  $H$ -stable. Then  $W$  is contained in a finite-dimensional  $G$ -submodule  $V \subset \Gamma(G)$ . Since  $H$  is the stabilizer of  $W$ , and so  $H$  is also the stabilizer of the line  $\Lambda^n W$  of the  $G$ -module  $\Lambda^n V$ ,  $n = \dim W$ .  $\square$

The above result can be rephrased in a more geometric context. Namely given a finite-dimensional  $G$ -module  $V$ , any closed subgroup of  $G$  is the stabilizer of a point in  $\mathbb{P}(V)$ . This is the starting point for the construction of quotients of linear algebraic groups by closed subgroups.

Thm: Let  $G$  be a linear algebraic group, and  $H$  a closed subgroup. Then the coset space  $G/H$  has a unique structure of a  $G$ -variety which satisfies:

- 1) The quotient  $\pi: G \rightarrow G/H$  is a morphism.
  - 2) A subset  $U \subset G/H$  is open if and only if  $\pi^{-1}(U)$  is open.
  - 3) For any open  $U \subset G/H$ , the comorphism  $\pi^*$  yields an isomorphism  $\Gamma(U, \mathcal{O}_G) \cong \Gamma(\pi^{-1}(U), \mathcal{O}_G)^H$
- Moreover,  $G/H$  is smooth and quasi-projective.

We won't prove this here, as it involves some notions of smoothness from scheme theory, but the quotient map is easy to construct. We may choose a  $G$ -module  $V$  and a point  $x \in \mathbb{P}(V)$  such that  $H = G_x$ . Then the map  $\pi: G \rightarrow X$ ,  $g \mapsto g \cdot x$ , where  $X = G \cdot x$  is surjective, and has fiber  $\pi^{-1}(g \cdot x) = gH$ .

Note that the third statement is equivalent to the statement that the natural map  $(\mathcal{O}_X \rightarrow (\pi_* \mathcal{O}_G))^H$  is an isomorphism.

We can now make the following definition.

Def: A variety  $X$  is homogeneous if it is equipped with a transitive action of an algebraic group  $G$ . A homogeneous space is a pair  $(X, x)$ , where  $X$  is a homogeneous variety and  $x \in X$  a point, called the base point.

By the theorem above, the homogeneous spaces  $(X, x)$  under a linear algebraic group are exactly the quotient spaces  $G/H$ , where  $H = G_x$ , with base point the coset  $H$ .

We now move toward discussing quotients of  $G$ -varieties.

Def: Given an algebraic group  $G$  and a  $G$ -variety  $X$ , a geometric quotient of  $X$  by  $G$  consists of a pair  $(Y, \pi)$ ,  $\pi: X \rightarrow Y$  satisfying:

- 1)  $\pi$  is surjective, and its fibers are the orbits of  $G$  in  $X$ .
- 2)  $U \cap Y$  is open if and only if  $\pi^{-1}(U)$  is open.
- 3) The natural map  $\mathcal{O}_Y \rightarrow (\pi_* \mathcal{O}_X)^G$ .

As before, we are able to identify  $Y$  with the orbit space  $X/G$ , equipped with the quotient topology, moreover the structure of a variety on  $Y$  is uniquely determined by  $\mathcal{O}_Y = (\pi_* \mathcal{O}_X)^G$ . We know already that geometric quotients of algebraic groups by closed subgroups exist, but in general they may not.

Examples:

- 1) Consider  $\mathbb{C}^*$  acting on  $\mathbb{C}^n$  by scalar multiplication. Since  $0$  lies in every orbit closure, there cannot be a geometric quotient. However the space  $\mathbb{C}^n \setminus \{0\}$  does, namely  $\mathbb{P}^{n-1}$ .
- 2) Let  $G = \mathbb{C}^*$  act on  $\mathbb{C}^2$  via  $t \cdot (x, y) = (tx, t^{-1}y)$ . Then  $X = \mathbb{C}^2 \setminus \{0\}$  is a  $G$ -stable open subset where all orbits are closed, but admits no geometric quotient. Indeed  $K(X)^G = K(xy)$ , and so the orbits  $x=0$  and  $y=0$  are not separated by  $G$ -invariant rational functions.

A theorem of Rosenlicht that any irreducible  $G$ -variety  $X$  contains a non-empty open  $G$ -stable subset  $X_0$  which admits a geometric quotient  $Y_0 = X_0/G$ . However there may not be an obvious choice for  $X_0$ . We may also try weakening the notion of a quotient, maybe just parametrizing the closed orbits.

Def: A linear algebraic group  $G$  is reductive if it does not contain any closed normal unipotent subgroup.

If the reader does not know the definition of unipotent, let  $U_n \subset GL_n$  be the subgroup of upper triangular matrices with diagonal entries 1. The closed subgroups of  $U_n$  are called unipotent. They are all nilpotent.

There is another characterization of unipotent that is more appropriate for our use, based on the following:

Def: Let  $G$  be an algebraic group and  $V$  a rational  $G$ -module. Then  $V$  is simple (also called irreducible) if it has no proper nonzero  $G$ -submodule.  $V$  is semi-simple (also called completely reducible) if it satisfies one of the following equivalent conditions:

- 1)  $V$  is isomorphic to a direct sum of simple  $G$ -modules.
- 2) Any submodule  $W \subset V$  admits a  $G$ -stable complement, i.e., a  $G$ -submodule  $W'$  such that  $V = W \oplus W'$ .

The relevant fact for our purposes is every simple  $G$ -module is trivial, i.e., isomorphic to  $\mathbb{C}$  where  $G$  acts trivially. The proof of this is not too hard of a fact, it only requires some elementary representation theory. In particular, any non-zero module under a unipotent group action contains non-zero fixed points.

Thm: The following assertions are equivalent for a linear algebraic group  $G$ :

- 1)  $G$  is reductive;
- 2)  $G$  contains no closed normal subgroup isomorphic to the additive group  $\mathbb{C}^n$  for some  $n \geq 1$ ;
- 3)  $G$  (viewed as a Lie group w/ the  $\mathbb{C}$ -topology) has a compact subgroup  $K$  which is dense in the Zariski topology;
- 4) Every finite-dimensional  $G$ -module is semi-simple;
- 5) Every  $G$ -module is semi-simple.

We now come to a key result.

Thm: Let  $G$  be a reductive algebraic group and  $X$  an affine  $G$ -variety. Then:

- 1) The subalgebra  $\Gamma(X)^G \subset \Gamma(X)$  is finitely generated.
- 2) Let  $f_1, \dots, f_n$  be generators of  $\Gamma(X)^G$ . Then the image of the morphism  $X \rightarrow \mathbb{C}^n$ ,  $x \mapsto (f_1(x), \dots, f_n(x))$ , is closed and independent of the choice of  $f_1, \dots, f_n$ .
- 3) Denote by  $\pi = \pi_X : X \rightarrow X//G$  the surjective morphism above. Then every  $G$ -invariant morphism  $f : X \rightarrow Y$ , where  $Y$  is an affine variety, factors through a unique morphism  $\varphi : X//G \rightarrow Y$ .
- 4) For any closed  $G$ -stable subset  $Y \subset X$ , the induced morphism  $Y//G \rightarrow X//G$  is a closed immersion, in particular,  $\pi_{X|Y} = \pi_Y$ . Moreover, given another closed  $G$ -stable subset  $Y'$ , we have  $\pi_X(Y \cap Y') = \pi_X(Y) \cap \pi_X(Y')$ .
- 5) Each fiber of  $\pi_X$  contains a unique closed  $G$ -orbit.
- 6) If  $X$  is irreducible, then so is  $X//G$ . If  $X$  is normal, then so is  $X//G$ .

We won't prove this, but the proof isn't too difficult. The key invention is the so-called Reynolds operator. For a  $G$ -module  $V$ , we have the  $G$ -submodule of invariants  $V^G$ , and the  $G$ -stable complement  $V_G$ . Then  $R_V$  is defined as the composition:

$$R_V : V \xrightarrow{\sim} V^G \oplus V_G \xrightarrow{\pi} V^G$$

Note that  $\pi$  is uniquely defined by the universal property (3); it is called a categorical quotient. In addition,  $X//G$  may be viewed as the space of closed orbits by (5), and so one might suspect that this can be

related to a geometric quotient. This instinct is correct.

Def: Let  $G$  be an algebraic group, and  $X$  an affine  $G$ -variety. A point  $x \in X$  is stable if  $G \cdot x$  is closed in  $X$  and the isotropy group  $G_x$  is finite. The (possibly empty) set of stable points is denoted  $X^s$ .

Prop: With the preceding notation and assumptions,  $\pi(X^s)$  is open in  $X//G$ , we have  $X^s = \pi^{-1}\pi(X^s)$  (in particular,  $X^s$  is a  $G$ -stable open subset of  $X$ ), and the restriction  $\pi^s: X^s \rightarrow \pi(X^s)$  is a geometric quotient.

Proof: Let  $x \in X^s$ , and consider  $Y \subset X$  consisting of points with infinite isotropy subgroup. Note this is the same as  $\dim G_y > 0$ . Then  $Y$  is closed,  $G$ -stable and disjoint from  $G \cdot x$  (as  $G_{gx} = gG_xg^{-1}$ , which is also finite). Thus, there is  $f \in \Gamma(X)^G$  such that  $f(x) \neq 0$  and  $f|_Y$  is identically zero. Then the open subset  $X_f$  is a subset of  $X$  containing  $x$ , and satisfies  $X_f = \pi^{-1}\pi(X_f)$  (as  $f \in \Gamma(X)^G$ ).