

RESEARCH STATEMENT

DYLAN SPENCE

CONTENTS

1. Introduction	1
2. Project 1: Reconstruction of singular curves	2
3. Project 2: Indecomposability for some Cohen-Macaulay varieties	3
4. Undergraduate research	4
References	4

1. INTRODUCTION

My primary area of interest is in algebraic geometry. Roughly speaking, algebraic geometry is the study of solution sets to multiple polynomial equations in several variables (called algebraic varieties). Since polynomial rings are so well understood, it is natural to bring to bear algebraic techniques to tackle geometric questions about varieties, and vice versa. Additionally, algebraic geometry as a subject has many close connections with fields such as complex analysis, topology, number theory, and theoretical physics. My own research is focused on the interplay between homological algebra and the underlying geometry of the variety.

Classically, the detailed study of algebraic objects inevitably involves the study of modules (suitably defined) over them and their corresponding homological properties. For example, a very fruitful approach to studying groups is studying their representation theory; precisely the study of modules over the corresponding group ring. In algebraic geometry, a similar mantra has proven to be very effective, where the correct notion of module is known as a (quasi-)coherent sheaf. The homological properties of coherent sheaves in many situations is effectively captured in a specific category $D^b(X)$, which we call the *bounded derived category* of X . To construct this category, one proceeds in several steps. First, consider the (abelian) category of coherent sheaves $\text{coh } X$ ¹ and then pass to the category of bounded cochain complexes $\text{Ch}^b(\text{coh } X)$. One then forms $D^b(X)$ by formally “inverting” quasi-isomorphisms, those morphisms which induce an isomorphism on cohomology.

The derived category was originally discovered by Grothendieck and his student, Verdier, as a convenient setting for homological algebra in algebraic geometry. However, since its discovery, the derived category has become an interesting object to study on its own. One of the most famous examples of this is Kontsevich’s homological mirror symmetry program [Kon95], but besides this the study of $D^b(X)$ has resulted in a very large number of (conjectural) connections to the intrinsic geometry of the variety X [Kuz16], as well as to other fields in mathematics, such as algebraic topology, symplectic topology, and representation

¹If the variety is affine, i.e. sits as a closed subset of \mathbb{C}^n , then $\text{coh } X$ is the category of finitely generated modules over the ring of functions on X .

theory. My interests in algebraic geometry lie in the study of this category, as well as its connections to other subfields of algebraic geometry, such as birational geometry and moduli theory, to name only a few.

The major area of my work so far concentrates on understanding $D^b(X)$ when X has mild singularities.² This aspect of the theory has been mostly ignored, as singularities can in general be very complicated, and the more complicated they are, the less overall control we have over $D^b(X)$. This poses many theoretical challenges which are not present in the case when X is smooth. Such an understanding of the singular case is more than a question of internal interest, as (the derived categories of) singular varieties arise naturally in algebraic geometry, see for example the discussion in [Kaw17].

2. PROJECT 1: RECONSTRUCTION OF SINGULAR CURVES

My first project is to understand to what extent $D^b(X)$ determines X . In other words, if we know $D^b(X) \cong D^b(Y)$ as triangulated categories, is it true that $X \cong Y$? When working with smooth projective varieties, the answer is tied to the intrinsic geometry of the varieties X, Y . Under these conditions, the famous “reconstruction theorem” of Bondal and Orlov in [BO01] states that the derived category is a complete invariant, that is, $D^b(X) \cong D^b(Y)$ if and only if $X \cong Y$. It is worth noting again that this result does not extend to all varieties, as there are examples of pairs of varieties which are derived equivalent but not isomorphic.

In the singular setting, the reconstruction theorem has been generalized to varieties with mild singularities (known as Gorenstein singularities) [Bal11, SdSSdS12]. The next most general type of singularity is that of Cohen-Macaulay type, and in fact in dimension one, i.e. complex curves, singularities can be at worst Cohen-Macaulay. My contribution in [Spe20b] completes the reconstruction problem for algebraic curves by proving a similar reconstruction theorem. More explicitly, a simple corollary to my work is the following.

Theorem 2.1. *Let X and Y be integral complex projective varieties such that $\dim X = 1$. Then $D^b(X) \cong D^b(Y)$ if and only if $X \cong Y$.*

As a consequence of the specific methods used to prove Theorem 2.1, one can determine the autoequivalence group of the derived category.

Corollary 2.1. *Let X be a curve of arithmetic genus different than one. Then*

$$\mathrm{Aut}(D^b(X)) = \mathrm{Aut}(\mathrm{Perf} X) \cong \mathrm{Aut} X \ltimes (\mathrm{Pic} X \oplus \mathbb{Z}).$$

Future work. In light of Theorem 2.1, it is a natural question to ask if a similar result holds for varieties of higher dimension with possibly worse singularities. If one examines the proof of Theorem 2.1, an immediate geometric obstruction arises, to be precise, there is no notion of amplitude for the dualizing sheaf on a general variety. The specifics are technical, but it suffices to note that there are a few competing notions, and several among them seem plausible. Granting this, the proof contained in [Spe20b] will generalize to arbitrary dimension so long as the most poorly-behaved singularities are isolated.

In addition, allowing worse singularities than just those of Cohen-Macaulay type seems to also be possible. The method of proof of Theorem 2.1 uses objects which are only defined for Cohen-Macaulay varieties. However by the work of [SdS09], it seems there are replacements which satisfy roughly the same properties. Thus it is plausible that an extension to the case of arbitrary singularities is also possible.

² X is said to be singular when the Jacobian matrix of its defining polynomials has less than its maximum possible rank.

On the other hand, the question of when two nonisomorphic varieties can be derived equivalent is also a very interesting question. Such varieties are comparatively rare, but when the two varieties are smooth, many examples are known. One class of examples of derived equivalent and non-isomorphic singular varieties are contained in [MRV19], which provides examples of derived equivalent but nonisomorphic “singular abelian varieties”. In dimension two the only other class of varieties which are reasonable candidates are (singular) K3 surfaces, and in particular providing examples of derived equivalent singular K3 surfaces would be interesting for many reasons.

3. PROJECT 2: INDECOMPOSABILITY FOR SOME COHEN-MACAULAY VARIETIES

In many areas of math it is often useful to decompose the objects you are studying into smaller, more manageable chunks. The derived category is no exception, and in this setting, the right notion of a decomposition is known as a semiorthogonal decomposition. Such a decomposition consists of a collection of subcategories $\mathcal{A}_i \subset D^b(X)$ for $i = 1, \dots, n$, along with some technical conditions. The resulting decomposition has the notation $D^b(X) = \langle \mathcal{A}_1, \dots, \mathcal{A}_n \rangle$.

The existence of semiorthogonal decompositions often has drastic implications for the geometry of the variety in question, typically related to the rationality of the variety [Kuz16]. For an example from noncommutative geometry, consider when $\mathcal{A}_i \cong D^b(\text{Vect})$, the derived category of vector spaces, for all i . Under some additional assumptions, results of Bondal [Bon89] show that $D^b(X)$ is then equivalent to the derived category of representations of a specific oriented quiver³, which is explicitly constructed from the categories $\{\mathcal{A}_i\}$.

While useful, semiorthogonal decompositions can be hard to produce without inspiration, but there are several cases which are well-understood. Of particular interest are the categories which are indecomposable, in the sense that they admit no nontrivial semiorthogonal decompositions. Results of Kawatani and Okawa in [KO15] provide the best criteria to date, linking the intrinsic geometry of the variety to indecomposability of its derived category. Specifically, for a smooth variety X one can define the canonical bundle ω_X , as the top exterior power of the cotangent bundle. It was shown in [KO15] that if the base locus⁴ of the canonical bundle is small enough, then the derived category is indecomposable.

In forthcoming work [Spe20a], I was able to generalize this result of Kawatani and Okawa to the case of Cohen-Macaulay varieties. We work with the dualizing sheaf, similarly denoted ω_X , which serves as a replacement for the canonical bundle on singular varieties. In addition, for technical reasons we work with a subcategory $\text{Perf } X \subset D^b(X)$, which is the full subcategory consisting of complexes of vector bundles. Specifically, the following result is the main goal of the paper.

Theorem 3.1. *Suppose that X is a Cohen-Macaulay projective variety such that every connected component of $\text{Bs } |\omega_X|$ is contained in an open subset on which ω_X is trivial. Then $\text{Perf } X$ is indecomposable. If $\text{Bs } |\omega_X|$ is empty, then $D^b(X)$ is also indecomposable.*

Future work. In addition to Theorem 3.1, I also hope to be able to show that all singular curves have an indecomposable category of perfect complexes, generalizing another result of Okawa which showed that smooth curves have indecomposable derived categories.

In the project above, we were forced to deal with two categories, $D^b(X)$, and the subcategory of perfect objects, $\text{Perf } X$. It is a well-known fact that X is smooth if and only if

³A quiver is a directed graph.

⁴The base locus of a vector bundle is the common vanishing set of its global sections.

these two categories agree, but in the singular case, it is interesting to understand the discrepancy between the two. To this end, one can define the *singularity category*, given as the “quotient” $\mathcal{S}(X) = \mathrm{D}^b(X)/\mathrm{Perf} X$. Despite the fact that this category is closely connected to singularities (which a priori could be very complicated), it enjoys a number of useful properties [Orl06]. For example, if $U \subset X$ is an open subset which contains the singular locus, then $\mathcal{S}(U) \cong \mathcal{S}(X)$. If X is Gorenstein, then one can actually give a very explicit description of the singularity category, in particular, it is related to some semiorthogonal decompositions of $\mathrm{D}^b(X)$. For worse singularities however, very little is known.

My proposed project is to explore the structure of the singularity category when X possesses non-Gorenstein singularities. Similar to previous projects, it makes sense to first investigate the structure for Cohen-Macaulay singularities. For example, when X is the coordinate axes in affine 3-space, which is one of the simplest examples of a Cohen-Macaulay singularity of curves. From this I hope to extract some clues as to what the structure of the singularity category might be in more general situations.

4. UNDERGRADUATE RESEARCH

While my work is very abstract, it supplies many interesting connections to more concrete subjects in which undergraduates can contribute in a meaningful way with only a course in algebra as a prerequisite. As mentioned earlier, when the derived category of a variety X admits a decomposition into pieces equivalent to the derived category of vector spaces, then the homological algebra encoded in $\mathrm{D}^b(X)$ can sometimes be effectively studied by instead working with representations of a directed quiver. A quiver is by definition a directed graph, and a representation of a quiver is simply a choice of vector space for each vertex, and a linear map between those spaces for each edge. The study of quiver representations is essentially a generalization of linear algebra. For example, the problem of understanding the (isomorphism classes of) representations of the quiver



is the same as classifying endomorphisms of a vector space under conjugation, i.e., Jordan canonical form.

As one can see, quivers are in many cases approachable and computational. Their study is full of interesting and explicit connections to geometry, but also to more surprising topics such as topological data analysis and combinatorics. In particular, there are many open questions that could be attempted by an undergraduate with proper supervision, requiring only a good understanding of linear algebra and some modest abstract algebra.

REFERENCES

- [Bal11] Matthew Ballard. Derived categories of sheaves on singular schemes with an application to reconstruction. *Adv. Math.*, 227(2):895–919, 2011.
- [BO01] Alexei Bondal and Dmitri Orlov. Reconstruction of a variety from the derived category and groups of autoequivalences. *Compositio Math.*, 125(3):327–344, 2001.
- [Bon89] A. I. Bondal. Representations of associative algebras and coherent sheaves. *Izv. Akad. Nauk SSSR Ser. Mat.*, 53(1):25–44, 1989.
- [Kaw17] Yujiro Kawamata. Birational geometry and derived categories, 2017.
- [KO15] Kotaro Kawatani and Shinnosuke Okawa. Nonexistence of semiorthogonal decompositions and sections of the canonical bundle. arXiv:1508.00682, 2015.
- [Kon95] M. Kontsevich. Homological algebra of mirror symmetry. *Proceedings of the International Congress of Mathematicians*, 1995.

- [Kuz16] Alexander Kuznetsov. Derived categories view on rationality problems. *Rationality Problems in Algebraic Geometry*, page 67–104, 2016.
- [MRV19] Margarida Melo, Antonio Rapagnetta, and Filippo Viviani. Fourier–mukai and autoduality for compactified jacobians, ii. *Geometry & Topology*, 23(5):2335–2395, Oct 2019.
- [Orl06] D. O. Orlov. Triangulated categories of singularities, and equivalences between Landau-Ginzburg models. *Mat. Sb.*, 197(12):117–132, 2006.
- [SdS09] Fernando Sancho de Salas. Koszul complexes and fully faithful integral functors. *Bull. Lond. Math. Soc.*, 41(6):1085–1094, 2009.
- [SdSSdS12] Carlos Sancho de Salas and Fernando Sancho de Salas. Reconstructing schemes from the derived category. *Proc. Edinb. Math. Soc. (2)*, 55(3):781–796, 2012.
- [Spe20a] Dylan Spence. A note on semiorthogonal indecomposability of some Cohen-Macaulay varieties. In preparation, 2020.
- [Spe20b] Dylan Spence. Reconstruction of projective curves from the derived category. arXiv:2002.07961, 2020.

DEPARTMENT OF MATHEMATICS, INDIANA UNIVERSITY, RAWLES HALL, 831 EAST 3RD STREET, BLOOMINGTON, IN 47405, USA

Email address: `dkspence@indiana.edu`