### **RLPBWT**

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## Outline

The Old Idea

2 The New Idea



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The New Idea



# Durbin's Algorithm

### **Algorithm 1** Algorithm 5 from Durbin's paper

```
function FIND SET MAXIMAL MATCHES FROM Z(z)
    for k \leftarrow 0 to N do
        e, f, g \leftarrow Update \ Z \ Matches(k, z, e, f, g)
function UPDATE Z_MATCHES(k, z, e, f, g)
    f' \leftarrow w(k, f, z[k])
                                                                        \triangleright a_k, d_k and y_i^k as in Durbin's paper
    g' \leftarrow w(k, g, z[k])
    if f' < g' then
                                                          \triangleright if k is N - 1 report matches from e_k to N - 1
        e' \leftarrow e_{\nu}
    else
                                                                                ▷ report matches from e to k
        e' \leftarrow d_{k+1}[f'] - 1
        if z[e'] = 0 and f' > 0 then
             f' \leftarrow \sigma' - 1
             while z[e'-1] = v_{e'}^{k+1}[e'-1] do e' \leftarrow e'-1
             while d_{k+1}[f'] \leq e'' \operatorname{do} f' \leftarrow f' - 1
        else
             \varrho' \leftarrow f' + 1
             while z[e'-1] = y_{\epsilon'}^{k+1}[e'-1] do e' \leftarrow e'-1
             while g' < M and d_{k+1}[g'] < e' do g' \leftarrow g' + 1
    return e', f', g'
```

X	00	01	02	03	04	05	06	07	08	09	10	11	12	13	14
00		, 1	0 ,		1	0	0	0	1	0	0	1	1	1	1
01	1	1	0	1	1	0	0	0	1	0	0	1	1	1	1
02	1 /	' 1	0 '-	, 1	1	1	0	0	0	1	1	1	0	1	1
03	1	1	0	1	1	0	0	0	1	0	0	1	1	0	1
04	0 >	1)	0	1	0	1	0	0	1	0	0	1	1	0	1
05	0	1	0	1	0	1	0	0	0	0	0	1	0	0	1
06	0	1	0 >	1	0 ,	1	0	0	0	0	0	1	0	0	0
07	0	1	0	1	1	1	0	0	0	0	0	0	1	0	1
08	0	1	0	0	1	0	0	0	1	0	0	0	1	0	1
09	0	1	0	1	0	0	0	0	$1 \nearrow$	0	0	0	0	0	1
10	0	1	0	1	1	0	0	0 >	0	0	0	1	1	0	1
11	0	1	0	0 >		0	1	1	0	0	0	1	0	0	1
12	0	1	0	0	1	0	0	1	0	0	0	0	0	0	1
13	0	1	0	0	0	0	0	1	0	0	/ 0	0	0	0	1
14	0	1	0	0	0	0	0	0	0	0	0	0	0 👌	0	$\bigcirc$
15	0	0	0	0 >	0	0	0	0	0	0	0	0	0	0	1
16	0	0	0	1	0	0	0 3	0	0	0	<b></b>	<b>(1)</b>	0	0	1
17	1 /	0 🤌	(1)	0	0	0	0 :	0	0	0			(1)	0	$\cdot$ 1
18	0	0	, 1	0	0	0	0	0	0	0	1	1	Ô	0	1
19	0 ,	(1)	0	0	0	0	<b>@</b>	0	0	0	1	1	0	0	1
							$\bigcirc$	,				'n			
$\mathbf{z}$	0	1	0	0	1	0	1	0	0	0	1	1	1	0	1



	e = 0						e = 3	3			e = 7		e = 11	Į.		
$\mathbf{X}$	00	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15
00	(0)	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
01	0	0	0	0	0	0	0	0	0	2	2	2	7	9	6	15
02	0	0	0	0	0	1	4	4	4	5	5	5	8	0	0	6
03	0	0	0	0	0	4	2	2	2	0	3	6	9	12	0	0
04	0	0	2	2	0	2	0	0	0	3	6	4	0	6	8	0
05	0	0	0	0	0	0	0	0	0	6	4	0	4	0	0	8
06	0	0	0	0	1	0	5	5	5	4	0	0	0	0	11	0
07	0	0	0	0	3	0	0	0	0	0	0	0	12	8	9	11
08	0	0	0	0	0	0	0	0	0	0	0	7	2	0	0	0
09	0	0	0	0	4	0	0	0	0	0	7	8	5	11	10	10
10	0	0	0	0	0	0	3	3	3	7	8	0	6	9	13	13
11	0	0	0	0	0	5	0	4	6	8	0	0	4	0	7	7
12	0	0	0	0	0	0	4	0	4	0	0	9	0	10	9	9
13	0	0	0	0	2	0	0	0	0	0	9	0	0	13	0	0
14	0	0	0	0	0	0	0	6	0	9	0	4	8	7	12	12
15	0	1	0	0	0	3	6	4	0	0	4	0	0	9	2	2
16	0	0	0	0	0	0	4	0	7	4	0	11	11	0	6	6
17	0	0	0	1	0	4	0	0	8	0	(5)	9	9	12	14	14
18	0	0	0	3	0	0	0	0	0	5	0	0	0	2	9	9
19	0	0	1	0	0	0	(0)	7	0	0	10	10	10	6	0	0





X	00	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15
00	0	4	0	0	8	14	14	14	14	0	0	0	7	1	18	11
01	1	5	1	1	11	15	15	15	15	16	16	16	19	9	4	18
02	2	6	2	2	12	17	0	0	0	8	11	18	1	10	5	4
03	3	7	3	3	13	0	9	9	9	11	18	17	14	18	6	5
04	4	8	4	$^4$	14	$^4$	10	10	10	18	17	4	15	4	2	6
05	5	9	5	5	15	5	16	16	16	17	4	5	9	5	3	2
06	6	10	6	6	17	6	8	8	8	4	5	6	10	6	11	3
07	7	11	7	7	18	7	11	11	11	5	6	7	0	2	12	12
08	8	12	8	8	19	9	12	12	12	6	7	19	16	3	13	13
09	9	13	9	9	0	10	13	13	13	7	19	1	18	11	8	8
10	10	14	10	10	1	16	18	18	18	19	1	2	17	12	7	7
11	11	15	11	11	2	8	19	1	17	1	2	3	4	13	19	19
12	12	16	12	12	3	11	1	2	4	2	3	14	5	8	14	14
13	13	18	13	13	4	12	2	3	5	3	14	15	6	7	15	15
14	14	19	14	14	5	13	3	17	6	14	15	9	2	19	0	0
15	15	0	15	15	6	18	17	$_4$	7	15	9	10	3	14	16	16
16	16	1	16	16	7	19	4	5	19	9	10	(11)	11	15	17	17
17	17	2	18	17	9	1	5	6	1	10	12	12	12	0	1	1
18	18	3	19	18	10	2	6	7	2	12	13	13	13	16	9	9
19	19	17	17	19	16	3	7	$\overline{19}$	3	13	8	8	8	$\overline{17}$	10	10



X	00	01	02	03	04	05	06	07	08	09	10	11	12	13	14
00	1	0	0	1	0	0	0	0	0	0	0	1	1	0	1
01	1	0	0	1	1	0	0	1	0	0	0	0	0	1	1
02	1	0	0	1	1	0	0	1	0	0	0	1	0	0	1
03	1	0	0	1	1	0	0	1	0	0	0	1	0	0	1
04	0	1	0	1	0	1	0	0	0	0	0	1	0	0	1
05	0	1	0	1	0	1	0	0	0	0	0	1	0	0	1
06	0	1	0	1	0	1	0	0	0	0	0	1	0	0	1
07	0	1	0	1	0	1	0	0	0	0	0	0	1	0	1
08	0	1	0	0	1	0	0	0	0	1	1	1	0	0	1
09	0	1	0	1	0	0	0	0	1	0	0	0	0	1	1
10	0	1	0	1	0	0	0	0	1	0	0	0	0	1	1
11	0	1	0	0	1	0	0	0	0	0	1	1	0	0	0
12	0	1	0	0	1	0	0	0	1	0	1	1	0	0	1
13	0	1	0	0	1	0	0	0	1	0	1	1	0	0	1
14	0	1	0	0	0	0	0	0	1	0	0	0	1	0	1
15	0	1	0	0	0	0	0	0	1	0	0	0	1	0	1
16	0	1	0	1	0	0	0	0	0	0	0	1	1	0	1
17	1	1	0	0	0	1	0	0	0	0	.0.	1	1	0	1
18	0	1	1	0	1	0	0	0	0	0	0	1	0	0	1
19	0	1	1	0	1	0	1	0	0	0	0	0	1	0	1
			/	<b>^</b>											
$\mathbf{z}$	0	1	0	0	1	0	1	0	0	0	1	1	1	0	1

pre<sub>e</sub> = 6 at 7 pre<sub>e</sub> = 8 pre<sub>e</sub> = 13 at 11 at 13

$\mathbf{X}$	00	01	02	03	04	05	06	07	08	09	10	11	12	13	14
00	1	0	0	1	0	0	0	0	0	0	0	1	1	0	1
01	1	0	0	1	1	0	0	1	0	0	0	0	0	1	1
02	1	0	0	1	1	0	0	1	0	0	0	1	0	0	1
03	1	0	0	1	1	0	0	1	0	0	0	1	0	0	1
04	0	1	0	1	0	1	0	0	0	0	0	1	0	0	1
05	0	1	0	1	0	1	0	0	0	0	0	1	0	0	1
06	0	1	0	1	0	1	0	0	0	0	0	1	0	0	1
07	0	1	0	1	0	1	0	0	0	0	0	0	1	0	1
08	0	1	0	0	1	0	0	0	0	1	1	1	0	0	1
09	0	1	0	1	0	0	0	0	1	0	0	0	0	1	1
10	0	1	0	1	0	0	0	0	1	0	0	0	0	1	1
11	0	1	0	0	1	0	0	0	0	0	1	1	0	0	0
12	0	1	0	0	1	0	0	0	1	0	1	1	0	0	1
13	0	1	0	0	1	0	0	0	1	0	1	1	0	0	1
14	0	1	0	0	0	0	0	0	1	0	0	0	1	0	1
15	0	1	0	0	0	0	0	0	1	0	0	0	1	0	1
16	0	1	0	1	0	0	0	0	0	0	0	1	1	0	1
17	1	1	0	0	0	1	0	0	0	0	0	1	1	0	1
18	0	1	1	0	1	0	0	0	0	0	0	1	0	0	1
19	0	1	1	0	1	0	1	0	0	0	0	0	1	0	1
								_							
$\mathbf{z}$	0	1	0	0	1	0]	1	[0	0	0	1	[1]	1	0	1

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### New Idea I

#### Let's take a step back and get closer to Durbin's original idea.

At the moment we save:

- the position of every head of a run
- a boolean to mark if the first run is composed by zeros or ones
- the c value of the column
- $\blacksquare$  a single value for u and v (that are as in Durbin)
- the whole divergence array, actually the LCP array, (WIP)



### New Idea I

```
column: 5
start with 0? yes, c: 15
0
    0
   5
0 5 4 1 3 5 5 5 5 5 5 0 5 5 5 2 5 1 5 5
```

Figura: Example, column 5: 0010111100000000000



#### New Idea II

#### uv values trick

Values u and v increase alternately in the biallelic case so we save every time the only value that increase at the head of a run.

The, with a simple If/Else selection based on the first element of the column and the index of the run and on being even or odd of the index we can extract both u and v values. Infact the two values are, alternatively, saved in the current index and in the previous one.

#### $w(i,\sigma)$ function

We can use the same *LF-mapping* as in Durbin but we have to consider every time an *offset* between the position of the head of the run, that's *i*, which contains the "virtual" index, and the index itself.

$$w(i,\sigma) = \begin{cases} u[i] + offset & \text{if } \sigma = 0\\ c + v[i] + offset & \text{if } \sigma = 1 \end{cases}$$

#### New Idea III

#### External haplotype matches

Than we proceed as in Durbin, updating f and g using  $w(i, \sigma)$ .

Every time we "virtually" use indexes over the whole column but actually run heads plus offsets are used.

In case we update e using f and the divergence/LCP array (**WIP**). In order to update e we should in theory follow the line indicated in i+1 by f in the original panel which we have not memorized. So, at most at a cost of O(r) for every column, we proceed to reverse te use of u and v to move backwards between the columns virtually following a row of the original panel.

Than we use the divergence/LCP array (**WIP**) to update f and g depending on the case.

After detect a match, we can know the cardinality of the lines that match but not what they are,

#### New Idea IV

#### WIP

At the moment *divergence array* is saved as an sdsl::int\_vector<> on which it's used sdsl::util::bit\_compress() in order to save space. The original idea of thresholds seems to me absolutely not applicable but maybe we can think of storing only a subset of the *divergence/LCP array* and I'm thinking how to do it.



In order to not save the *divergence/LCP array* we could make two *RLPBWT*, one for the normal order and one for the reverse order.

Instead of use the divergence/LCP array to retrieve matches that are overlapped in the panel we look for non overlapped matches only. To do this when we find a match we continue the search updating f and g based only on c and h, the total number of haplotypes in the panel:

$$(f,g) = \begin{cases} (0,c) & \text{if } \sigma = 0 \\ (c,h) & \text{if } \sigma = 1 \end{cases}$$

We query in this way the first one with the haplotype and the second one with the reverse of the haplotype. Than we intersect the results.

X	00	01	02	03	04	05	06	07	08	09	10	11	12	13	14
00		, 1	0 ,>		1	0	0	0	1	0	0	1	1	1	1
01	1	1	0	1	1	0	0	0	1	0	0	1	1	1	1
02	1 /	1	0 '-	, 1	1	1	0	0	0	1	1	1	0	1	1
03	1	1	0	1	1	0	0	0	1	0	0	1	1	0	1
04	0		0	<sup>'</sup> 1	0	1	0	0	1	0	0	1	1	0	1
05	0	1	0	1	0	1	0	0	0	0	0	1	0	0	1
06	0	1	0 >	$\bigcirc$	0 >	1	0	0	0	0	0	1	0	0	0
07	0	1	0	1 ,	1	1	0	0	0	0	0	0	1	0	1
08	0	1	0	0	1	0	0	0	1	0	0	0	1	0	1
09	0	1	0	1	0	0	0	0	1 🤌	0	, 0	0	0	0	1
10	0	1	0	1	1	0	0	0 >	0	0	0	1	1 /	0	$\bigcirc$
11	0	1	0 \	0		0	1	1	0	0	0	1	0 ;	0	1
12	0	1	0	0	1	0	0	1	0	0	0	0	0	· 0	1
13	0	1	0	0	0	0	0	1	0	0	, 0	0	0	0	1
14	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
16	0	0	0	1	0	0	0 ,	0	0	0	0		0	0	1
17	1 /	0 /	1	0	0	0	0	0	0	0 ,		1	0	0	1
18	0	0 ,	, 1	0	0	0	0	0	0	0	1	1	0	0	1
19	0 ,	1	0	0	0	0	<b>@</b>	0	0	0	1		0	0	1
							$\bigcirc$	,				3		d .	
$\mathbf{z}$	0	1	0	0	1	0	1	0	0	0	1	1	1	0	1



X	00	01	02	03	04	05	06	07	08	09	10	11	12	13	14
00		0	0	1	0	0	0	1	0	1	1	1	0	$\langle 1 \rangle$	1
01		<b>①</b>	, 1	1	0	0	1	0	1	1	0	1	0	11:	1
02	1	1	0	1	0	0	1	0	0	1	0	1	0 ,-	1 '-	, 1
03	1	0	0	1	0	0	0	1	0	1	1	1	1;	1	; 1
04	1	0	0	1	0	0	1	1	0	0	1	0	0 >	1	′ 0
05	1	0	0	1	0	0	1	0	0	0	0	0	0	1	0
06	1	0	, 0	1	0	0	0	0	0	0	0	1	0	1	1
07	1	0	' 1	1	1	0	0	0	0	1	0	1		0	0
08	1	0	0	1	0	0	0	0	0	0	0 >	1	·, 1	1	0
09	1	0 ;	0	1	0	0	0 >	$\bigcirc$	0	0	1 >	$\bigcirc$	r' 0	1	0
10	1	1	0	0	0	0	0	0	; 0	0	1	0	0	1	0
11	0	1	1	0	0	0	0	0	0	0	1	0	0	1	0
12	1	0	1	0	0	0 >	0	0 .	0	0	1	0	0	1	0
13	1	0	<u> 1</u>	·, 1	1	0 🔁	0	0	0	0	1	0	0	1 >	0
14	1	0	1	0	1	0 ¦	0	0;	10 3	0	$\sqrt{1}$	0	0	1	0
15	1	0	0,	-' 0	1	0 }	0	0	0 1	0	0	0	0	0 ;	0
16	1	0	1 ;	0	0	0	0	0 /	0 !!	0	0	1	0	0	0
17	1 >	0	-, 0 '	•1	-, 0	1	1	0	0	0 #	0	1	<b>Q</b>	0	; 0
18	1	0	, 0	1	; 0	0	1	0	0	0 !!	0	1	0	1	0
19	1	0 1	0	0 1	0	0	0	0	$\bigcirc$	0	0	0	0	1	0
	$\bigcirc$	1	$\sim$	$\mathbf{\circ}$				`` <u>`</u>	O	>	$\bigcirc$	,			
$\mathbf{z}$	1	0	1	1	1	0	0	0	1	0	1	0	0	1	1



X	00	01	02	03	04	05	06	07	08	09	10	11	12	13	14
00	1	0	0	1	0	0	0	0	0	0	0	1	1	0	1
01	1	0	0	1	1	0	0	1	0	0	0	0	U	1	1
02	1	0	0	1	1	0	0	1	0	0	0	1	0	0	1
03	1	0	0	1	1	0	0	1	0	0	0	1	0	0	1
04	0	1	0	1	0	1	0	0	0	0	0	1	0	0	1
05	0	1	0	1	0	1	0	0	0	0	0	1	0	0	1
06	0	1	0	1	0	1	0	0	0	0	0	1	0	0	1
07	0	1	0	1	0	1	0	0	0	0	0	0	1	0	1
08	0	1	0	0	1	0	0	0	0	1	1	1	0	0	1
09	0	1	0	1	0	0	0	0	1	0	0	0	0	1	1
10	0	_1_	0	_1_	0	0	0	0	1	0	0	0	0	1	1
11	0	1	0	0	1	0	0	0	0	0	1	1	0	0	0
12	0	1	0	0	1	0	0	0	1	0	1	1	0	0	1
13	0	1	0	0	1	0	0	0	1	0	1	1	0	0	_1
14	0	1	0	0	0	0	0	0	1	0	0	0	1	0	1
15	0	1	0	0	0	0	0	0	1	0	0	0	1	0	1
16	0	1	0	1	0	0	0	0	0	0	0	1	1	0	1
17	1	1	0	0	0	1	0	0	0	0	0	1	1	0	1
18	0	1	1	0	_1_	0	0	0	0	0	0	1	0	0	1
19	0	1	1	0	1	0	1	0	0	0	0	0	1	0	1
$\mathbf{Z}$	0	1	0	0	1	0	1	0	0	0	1	1	1	0	1
	Mate	h l	Foru	ard	Ba	ckwar	П								

## Testing

#### Benchmark

At the moment I'm testing the implementation using the sample data (VCF files) at:

https://github.com/ZhiGroup/Syllable-PBWT/tree/master/sample\_data (the panel is  $900 \times 500$  with 100 queries).

