# **Chapter 3**

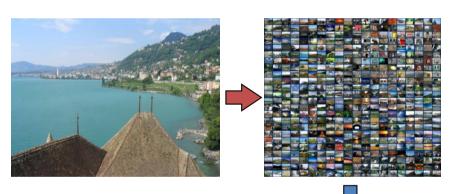
Finding Similar Items

## Finding "Similar" Items

- Many problems can be expressed as finding similar items
  - Find nearest neighbors in high dimensional space
  - One of the fundamental data mining problems

### Examples

- Finding near-duplicate Web pages
  - Plagiarisms or mirrors
- Finding pages with similar words
  - Duplicate detection, classification by topic
- Finding customers who purchased similar products
  - Products with similar customers (recommender systems)
- Finding images with similar features
  - Similarly, users who visited similar websites





## **Problem for This Chapter**

#### Given

- High dimensional data points  $x_1, x_2, ...$ 
  - (ex) Image: a long vector of pixel colors

$$\begin{bmatrix} 1 & 2 & 1 \\ 0 & 2 & 1 \\ 0 & 1 & 0 \end{bmatrix} \rightarrow \begin{bmatrix} 1 & 2 & 1 & 0 & 2 & 1 & 0 & 1 & 0 \end{bmatrix}$$

- Some distance function  $d(x_1, x_2)$ 
  - Which quantifies the "distance" between  $x_1$  and  $x_2$

#### Goal

- Find all pairs of data points  $(x_i, x_j)$  that are within some distance threshold  $d(x_i, x_j) \le s$ 

#### Note

- Naïve solution would take  $O(N^2)$  where N is the number of data points
- How can this be done in O(N)??

### Finding "Similar" Documents

Here, we focus on finding similar documents

#### Goal

- Given a *large* (e.g., 10<sup>9</sup>) number of documents, find "near duplicate" pairs

### Applications

- Mirror websites (don't want to show both in search results)
- Similar news articles (cluster articles by "same story")

#### Difficulties

- Many small pieces of one document can appear out of order in another
- There are too many documents to compare all pairs
- Documents are so large or so many that they cannot fit in main memory

### 3 Steps for Finding Similar Documents

### 1. Shingling

Convert documents to sets

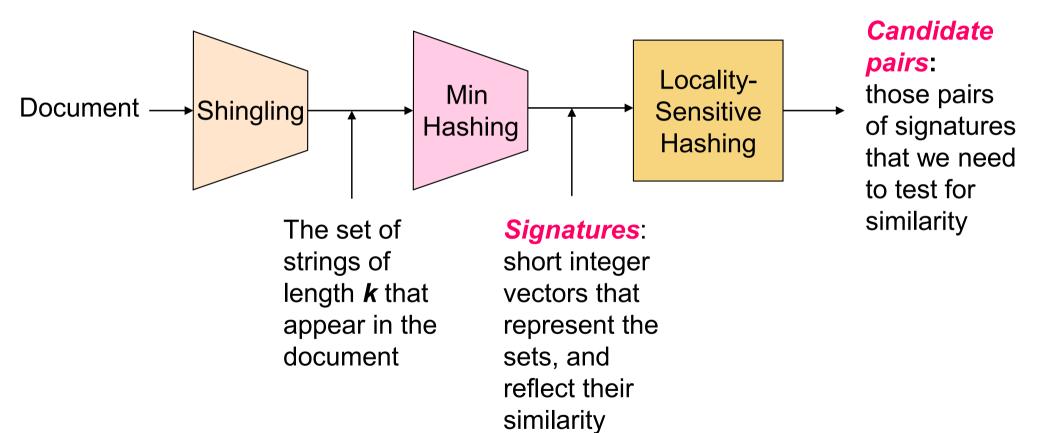
#### 2. Minhashing

Convert large sets to short signatures, while preserving similarity

### 3. Locality-Sensitive Hashing

- Focus on pairs of signatures likely to be from similar documents
- The results are candidate pairs

### The Big Picture

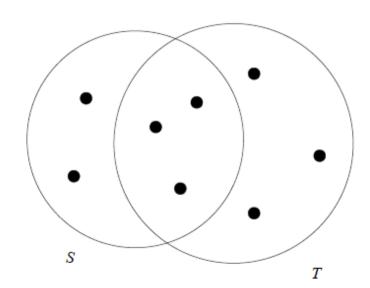


## **Jaccard Similarity**

- The similarity of sets by looking at the size of their intersection
- The Jaccard similarity of sets S and T

$$SIM(S,T) = \frac{|S \cap T|}{|S \cup T|}$$

- Example
  - SIM(S, T) = 3/8



## **Similarity of Documents**

- Here, we focus on character-level (i.e., textual) similarity
  - Note that "similar meaning" requires other techniques
- Testing whether two documents are exact duplicates is easy
  - However, in many applications, the documents are not identical
- Applications of textual similarity
  - Plagiarism
  - Mirror pages
    - Search engines should avoid showing two pages that nearly identical
  - Articles from the same source
    - News aggregators(e.g., Google News) should show only one for each article

## **Collaborative Filtering**

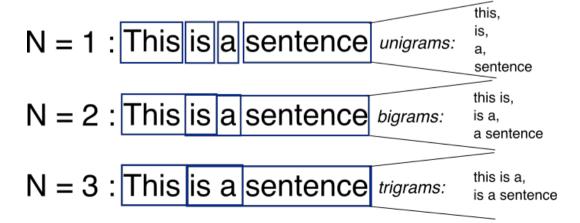
- A process whereby we recommend to users items that were liked by other users who have exhibited similar tastes
  - Another class of applications where similarity of sets is very important

#### Examples

- On-line purchases (e.g., Amazon.com)
  - Two customers are similar if their sets of purchased items have a high Jaccard similarity
- Movie ratings (e.g., NetFlix)
  - Moves are similar if they were rented or rated highly by many of the same customers
  - Customers are similar if they rented or rated highly many of the same movies

### **Documents as Sets**

- Simple approaches
  - Document = set of words appearing in document
  - Document = set of "important" words
  - Don't work well for this application. Why?
- Need to account for ordering of words!
- A different way: Shingles (or grams)!



## **Shingling of Documents**

- The most effective way to represent documents as sets
  - For the purpose of identifying lexically similar documents
- Construct from the documents the set of short strings that appear within it
  - (ex) Document = abcab  $\rightarrow$  the set of 3-shingles = {abc, bca, cab}



- Documents that share sentences (or phrases) will have many common elements in their shingling sets
  - Even if they appear in different orders in the two documents

# Definition: k-Shingles (or n-Grams)

- A k-shingle for a document
  - Any substring of length k found within the document
- Then, we associate with each document the set of k-shingles that appear one or more times within that document
- Example
  - Document D = abcdabd
  - The set of 2-shingles for  $D = \{ab, bc, cd, cd, da, bd\}$ 
    - A variation of shingling produces a bag, rather than a set

## **Treating White Space**

#### White space

Blank, tab, newline, etc.

#### Options

- Replace any sequence of white-space characters by a single blank
- We may *eliminate* whitespace altogether

#### Example

- $D_1$  = "The plane was ready for touch down"
- $D_2$  = "The quarterback scored a touchdown"
- If we use k=9 and retain the blanks,  $D_1$  has shingles touch down and ouch down, while  $D_2$  has touchdown
- If we eliminated the blanks, both would have touchdown

## **Choosing the Shingle Size**

### If we pick k too small

- Even the documents that have no the same sentences or even phrases would have **high** Jaccard similarity (e.g., k = 1)

#### Rule of thumb

-k should be pick *large* enough that the probability of any given shingle appearing in any given document is low

#### Example

- k = 5 is ok for short documents (e.g., emails)
- k = 10 is better for long documents (e.g., research articles)

## **Compressing Shingles**

- We can compress long shingles by using a hash function h
  - h maps each k-shingle to  $0, \ldots, B-1$ , where B is the number of buckets
  - Then, a document is represented as the set of *hash values* of its k-shingles
- Not only the data been compacted, but we can now manipulate (hashed) shingles by single-word machine operations
- Example
  - k = 2, document D = abcab
  - The set of 2-shingles =  $\{ab, bc, ca\}$  (9 × 3 = 27 bytes)
  - The set of their hash values =  $\{1, 5, 7\}$  (4 × 3 = 12 bytes)

### **Shingles Built from Words**

- In many applications, we want to ignore stop words
  - (ex) "a," "and," "for," etc.
- However, for the problem of finding similar news articles, defining a shingle to be a stop word followed by the next two words forms a useful set of shingles
  - Bias the set of shingles in favor of the article, rather than its surrounding material
- Example
  - An ad: "Buy Sudzo"
  - A news article: "A spokesperson for the Sudzo Corporation revealed today that studies have shown it is good for people to buy Sudzo Product"
  - The set of shingles = {"A spokesperson for", "for the Sudzo", ....}
    - Note that *none* are from the ad

### **Motivation for Minhash/LSH**

Suppose we need to find near-duplicate documents among N= 1 million documents

- Naïvely, we would have to compute pairwise Jaccard similarities for every pair of docs
  - $N(N-1)/2 \approx 5 \times 10^{11}$  comparisons
  - At 10<sup>5</sup> secs/day and 10<sup>6</sup> comparisons/sec, it would take 5 days
- For N = 10 million, it takes more than a year...

## Similarity-Preserving Summaries of Sets

- Even if we hash each shingle to 4 bytes, the space needed to store all sets of shingles is still large
  - We may have millions of documents

### Our goal

- Replace large sets by much smaller representations called signatures
  - (ex) 200,000 byte hashed-shingle sets  $\rightarrow$  1,000 byte signatures
- Important property required for signatures
  - We must be able to estimate the Jaccard similarity of two sets from their signatures *alone*
    - Note that it is not possible that the signatures give the exact similarity

### **Matrix Representation of Sets**

#### Characteristic matrix

- Columns: sets (documents)
- Rows: elements (shingles)
- 1 in row e and column s, if and only if e is a member of s

### Example

- 
$$S_1 = \{a, d\}, S_2 = \{c\}, S_3 = \{b, d, e\}, S_4 = \{a, c, d\}$$

Element	$S_1$	$S_2$	$S_3$	$S_4$
a	1	0	0	1
b	0	0	1	0
c	0	1	0	1
d	1	0	1	1
e	0	0	1	0

## Minhashing (1/2)

- Goal: Find a hash function h such that
  - If  $SIM(S_1, S_2)$  is **high**, then  $h(S_1) = h(S_2)$  with a **high** probability
  - If  $SIM(S_1, S_2)$  is **low**, then  $h(S_1) \neq h(S_2)$  with a **high** probability
- Clearly, the hash function depends on the similarity metric
  - Not all similarity metrics have a suitable hash function
- There is a suitable hash function for the Jaccard similarity
  - It is called *minhashing*

# Minhashing (2/2)

- Pick a random permutation of the rows of the characteristic matrix
  - (ex) abcde → beadc
- Minhash function h(S) for a set S
  - The index of the first row, in the permuted order, in which the column has 1

#### Example

– Permuted order = beadc

$$- h(S_1) = a$$

$$- h(S_2) = c$$

$$- h(S_3) = b$$

$$- h(S_4) = a$$

Element	$S_1$	$S_2$	$S_3$	$S_4$
b	0	0	1	0
e	0	0	1	0
a	1	0	0	1
d	1	0	1	1
c	0	1	0	1

## Minhashing and Jaccard Similarity

- Let  $S_1$  and  $S_2$  be two sets
- Let  $SIM(S_1, S_2)$  be the Jaccard similarity of  $S_1$  and  $S_2$
- Let h be the minhash function for a random permutation of rows
- Then, the probability that  $h(S_1) = h(S_2)$  equals  $SIM(S_1, S_2)$

## **Proof (1/2)**

• Consider the columns for sets  $S_1$  and  $S_2$ 

	Element	$S_1$	$S_2$	
•	b	1	0	Type $Y$
	e	1	0	
	$\boldsymbol{a}$	0	1	
	d	1	1	ightharpoonup Type $X$
	c	0	1	

- Type X rows have 1 in both columns
- Type Y rows have 1 in one of the columns and 0 in the other
- Type Z rows have 0 in both columns
- Let x and y be the number of rows of type X and Y, respectively
- Then,  $SIM(S_1, S_2) = x/(x + y)$

# **Proof (2/2)**

- Now consider the probability that  $h(S_1) = h(S_2)$
- Suppose we proceed from the top the rows permuted randomly
- The probability that we shall meet a type X row before we meet a type Y row
  - -x/(x+y)
  - This corresponds to the probability that  $h(S_1) = h(S_2)$
- Therefore, the probability that  $h(S_1) = h(S_2)$  is x/(x+y), which is also  $SIM(S_1, S_2)$

## Minhash Signatures

- lacktriangle Suppose we represent sets by their characteristic matrix M
- We pick at n random permutations of the rows of M
  - n = 100 or several hundreds

- Let  $h_1$ ,  $h_2$ , ...  $h_n$  be the minhash functions for n permutations
- The minhash signature for S is the vector  $[h_1(S), h_2(S), ..., h_n(S)]$
- lacktriangle Thus, we can form a signature matrix from M
  - The *i*th column of M is replaced by the minhash signature for the *i*th column

# (Ex) Minhash Signatures

#### Characteristic matrix M 3 Permutations

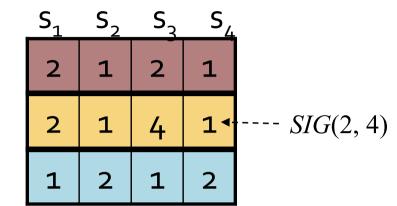
This row becomes the 3<sup>rd</sup> row in the 3<sup>rd</sup> permutation **S**\_4  $S_2$ S<sub>1</sub> Signature matrix () $\mathbf{O}$ 

## **Computing Minhash Signatures**

- Picking a random permutation and permuting rows is *not* feasible
  - Permuting millions or billions of rows is time-consuming
- Instead, we can simulate a random permutation by a randomly chosen hash function
  - A hash function h that maps 0, ..., k-1 to bucket numbers 0, ..., k-1
  - (ex)  $h(x) = (ax + b) \mod k$ , where a and b are random integers
  - h "permutes" row r to position h(r) in the permuted order
- Thus, instead of picking n random permutations of rows, we pick n randomly chosen hash functions  $h_1, h_2, ..., h_n$  on the rows
  - The signature matrix is then constructed by considering each row in their given order

## **Implementation**

- SIG(*i*, *c*)
  - The element of the signature matrix for the ith permutation and column c



### Algorithm

```
Initially, set SIG(i, c) to \infty for all i and c  
For each row r do  
Compute h_1(r), h_2(r), ..., h_n(r)  
For each column c do  
(a) If c has 0 in row r, do nothing  
(b) If c has 1 in row r, then for each i = 1, 2, ..., n  
set SIG(i, c) to the smaller of the current value  
of SIG(i, c) and h_i(r)
```

# (Ex) Signature Matrix (1/7)

• Consider the following characteristic matrix and two hash functions:  $h_1(x) = x + 1 \mod 5$  and  $h_2(x) = 3x + 1 \mod 5$ 

Row	$S_1$	$S_2$	$S_3$	$S_4$	$x+1 \mod 5$	$3x + 1 \mod 5$
0	1	0	0	1	1	1
1	0	0	1	0	2	4
2	0	1	0	1	3	2
3	1	0	1	1	4	0
4	0	0	1	0	0	3

• Initially, we set SIG(i, c) to  $\infty$  for all i and c

	$S_1$	$S_2$	$S_3$	$S_4$
$h_1$	$\infty$	$\infty$	$\infty$	$\infty$
$h_2$	$\infty$	$\infty$	$\infty$	$\infty$

# (Ex) Signature Matrix (2/7)

_	Row	$S_1$	$S_2$	$S_3$	$S_4$	$x+1 \mod 5$	$3x + 1 \mod 5$
	0	1	0	0	1	1	1
	1	0	0	1	0	2	4
	2	0	1	0	1	3	2
	3	1	0	1	1	4	0
	4	0	0	1	0	0	3

#### • First we consider row 0

- 
$$h_1(0) = 1$$
,  $h_2(0) = 1$ 

	$S_1$	$S_2$	$S_3$	$S_4$			$S_1$	$S_2$	$S_3$	$S_4$
$h_1$	$\infty$	$\infty$	$\infty$	$\infty$		$h_1$	1	$\infty$	$\infty$	1
$h_2$	$\infty$	$\infty$	$\infty$	$\infty$	V	$h_2$	1	$\infty$	$\infty$	1
						·	· /		-	

For  $S_1$ , row **1** (originally row 0) is the first row whose column is 1 *for now* 30

# (Ex) Signature Matrix (3/7)

	Row	$S_1$	$S_2$	$S_3$	$S_4$	$x+1 \mod 5$	$3x + 1 \mod 5$
,	0	1	0	0	1	1	1
$\longrightarrow$	1	0	0	1	0	2	4
	2	0	1	0	1	3	2
	3	1	0	1	1	4	0
	4	0	0	1	0	0	3

#### Next we consider row 1

$$- h_1(1) = 2, h_2(1) = 4$$

	$S_1$	$S_2$	$S_3$	$S_4$			$S_1$	$S_2$	$S_3$	$S_4$
$h_1$	1	$\infty$	$\infty$	1		$h_1$	1	$\infty$	2	1
$h_2$	$S_1$ $1$ $1$	$\infty$	$\infty$	1	V	$h_1$ $h_2$	1	$\infty$	$\begin{vmatrix} 4 \end{vmatrix}$	1
·										

For  $S_3$ , row **4** (originally row 1) is the first row whose column is 1 **for now** 31

# (Ex) Signature Matrix (4/7)

	Row	$S_1$	$S_2$	$S_3$	$S_4$	$x+1 \mod 5$	$3x + 1 \mod 5$
	0	1	0	0	1	1	1
	1	0	0	1	0	2	4
	2	0	1	0	1	3	2
	3	1	0	1	1	4	0
	4	0	0	1	0	0	3

#### Next we consider row 2

$$- h_1(2) = 3, h_2(2) = 2$$

	$S_1$	$S_2$	$S_3$	$S_4$			$S_1$	$S_2$	$S_3$	$S_4$
$h_1$	1	$\infty$	2	1		$h_1$	1	3	2	1
$h_2$	1	$\infty$	4	1	V	$h_2$	1	2	4	1

# (Ex) Signature Matrix (5/7)

_	Row	$S_1$	$S_2$	$S_3$	$S_4$	$x+1 \mod 5$	$3x + 1 \mod 5$
•	0	1	0	0	1	1	1
	1	0	0	1	0	2	4
	2	0	1	0	1	3	2
<del></del>	3	1	0	1	1	4	0
	4	0	0	1	0	0	3

#### Next we consider row 3

$$- h_1(3) = 4, h_2(3) = 0$$

	$S_1$	$S_2$	$S_3$	$S_4$			$S_1$	$S_2$	$S_3$	$S_4$
$h_1$	1	3	2	1		$h_1$	1	3	2	1
$h_2$	1	2	4	1	V	$h_2$	0	2	0	0

# (Ex) Signature Matrix (6/7)

	Row	$S_1$	$S_2$	$S_3$	$S_4$	$x+1 \mod 5$	$3x+1 \mod 5$
·	0	1	0	0	1	1	1
	1	0	0	1	0	2	4
	2	0	1	0	1	3	2
	3	1	0	1	1	4	0
<b></b>	4	0	0	1	0	0	3

#### Next we consider row 4

$$- h_1(3) = 0, h_2(3) = 3$$

	$S_1$	$S_2$	$S_3$	$S_4$			$S_1$	$S_2$	$S_3$	$S_4$
$h_1$	1	3	2	1		$h_1$	1	3	0	1
$h_2$	$\begin{bmatrix} 1 \\ 0 \end{bmatrix}$	2	0	0	V		0			

# (Ex) Signature Matrix (7/7)

Estimating Jaccard similarities from the signature matrix

Row	$S_1$	$S_2$	$S_3$	$S_4$					
0	1	0	0	1	•				
1	0	0	1	0					
2	0	1	0	1					
3	1	0	1	1		1			
4	0	0	1	0	`\\ _		$SIM(S_1, S_2)$	$SIM(S_1, S_3)$	$SIM(S_1, S_4)$
'				l	`*	True	0	1/4	2/3
					, 7	Estimated	0	1/2	1
	$S_1$	$S_2$	$S_3$	$S_4$					
$h_1$	1	3	0	1					
$h_2$	0	2	0	0					

The estimates become close as the number of hash functions increases

## **Locality-Sensitive Hashing**

### Minhashing

 Compresses large documents into small signatures, while preserving the expected similarity of any pair of documents

### Still, the number of pairs of documents may be too large

- (ex) 1,000,000 documents  $\rightarrow$  <sub>1,000,000</sub> $C_2 \approx 500,000,000,000$  pairs

### Locality-sensitive hashing (LSH)

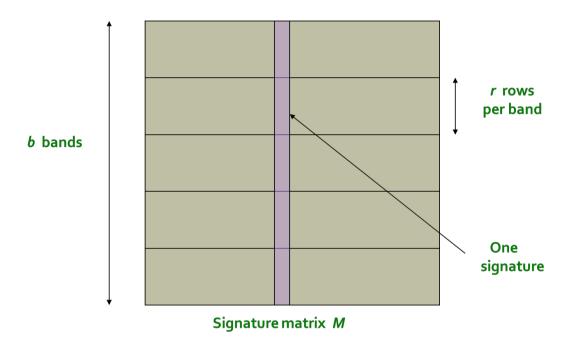
 Allows us to focus our attention only on pairs that are likely to be similar, without investigating every pair

### **General Approach to LSH**

- Big idea
  - Hash items several times
  - We call a pair that hashed to the same bucket for any of the hashings to be a candidate pair
  - We check only the candidate pair for similarity
- The hope is that most of the dissimilar pairs will never hash to the same bucket
  - False positives will be only a small fraction of all pairs
    - Those dissimilar pairs that do hash to the same bucket
  - False negatives will be only a small fraction of the truly similar pairs
    - Those similar pairs that do not hash to the same bucket under at least one of the hash functions

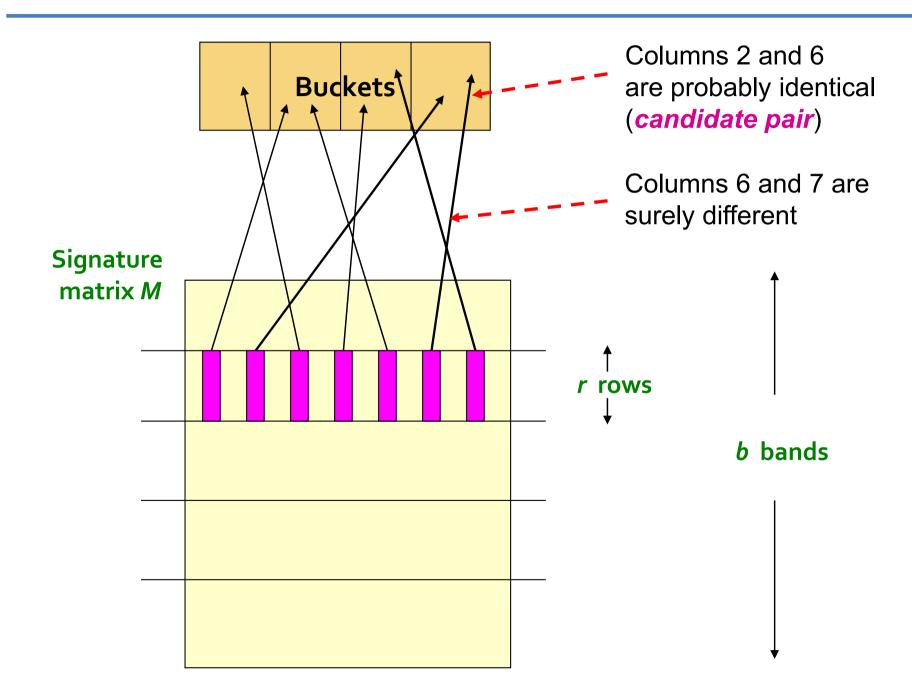
## LSH for Minhash Signatures

Divide the signature matrix into b bands of r rows



- For each band, hash its portion of each column to k buckets
  - Make k as large as possible (so there are almost no collisions)
- Candidate column pairs are those that hash to the same bucket for one or more bands

# (Ex) LSH for Minhash Signatures



## **Simplifying Assumption**

 There are enough buckets that columns are unlikely to hash to the same bucket unless they are identical in a particular band

Hereafter, we assume that "same bucket" means "identical in that band"

#### Observation

- The more similar two columns are, the more likely they will be identical in some band
- Thus, the banding strategy makes similar columns much more likely to be candidate pairs than dissimilar pairs

# **Analysis of the Banding Technique (1/2)**

- Let  $(d_1, d_2)$  be a pair of documents that have Jaccard similarity s
- Can we compute the **probability** that  $(d_1, d_2)$  becomes a candidate pair when we use LSH?
  - Obviously, this probability depends on their Jaccard similarity s

#### Example

- If the Jaccard similarity between  $d_1$  and  $d_2$  is 0.8, the probability of  $(d_1, d_2)$  becoming a candidate pair is 99.965%
- If the Jaccard similarity between  $d_1$  and  $d_2$  is 0.3, the probability of  $(d_1, d_2)$  becoming a candidate pair is 4.74%

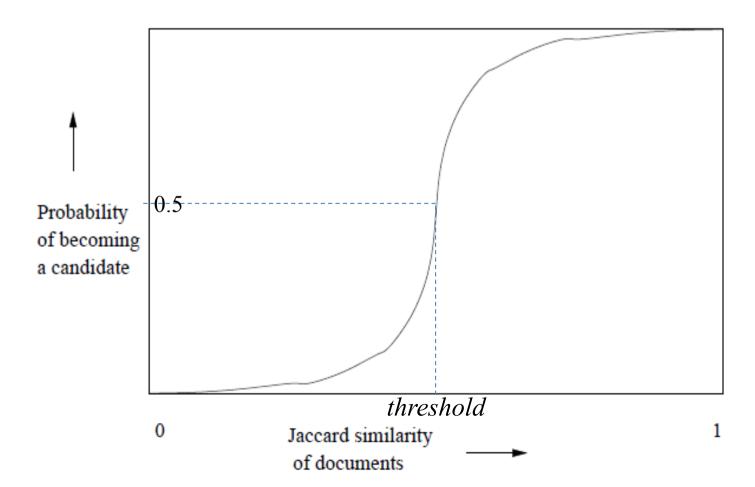
# **Analysis of the Banding Technique (2/2)**

- Suppose we use b bands of r rows each
- Let  $(d_1, d_2)$  be a pair of documents that have Jaccard similarity s
  - Thus, the probability the minhash signatures for  $d_1$  and  $d_2$  agree in any one particular row of the signature matrix is s
- The probability that  $(d_1, d_2)$  becomes a **candidate pair** 
  - P(the signatures agree in all rows of one particular band) =  $s^r$
  - P(the signatures disagree in at least one row of a particular band) =  $1 s^r$
  - P(the signatures disagree in at least one row of each of the bands) =  $(1 s^r)^b$
  - P(the signatures agree in all the rows of at least one band) =  $1 (1 s^r)^b$ 
    - This is the probability that  $(d_1, d_2)$  becomes a candidate pair

$$1-(1-s^r)^b$$

#### This function has the form of an S-curve

 Exactly the shape we want (i.e., pairs with similarity above threshold have a high probability of becoming a candidate, while those below the threshold have a low probability of becoming a candidate)



#### **Threshold**

- The value of similarity at which the probability of becoming a candidate is 1/2
  - Roughly where the rise is the steepest
  - Approximately  $(1/b)^{1/r}$
- For large b and r
  - Pairs with similarity above the threshold are very likely to become candidates
  - Pairs with similarity blow the threshold are unlikely to become candidates

(Ex) 
$$1 - (1 - s^r)^b$$

- When b = 20 and r = 5
  - Thus, the length of a signature = 100
- $-1-(1-s^5)^{20}$

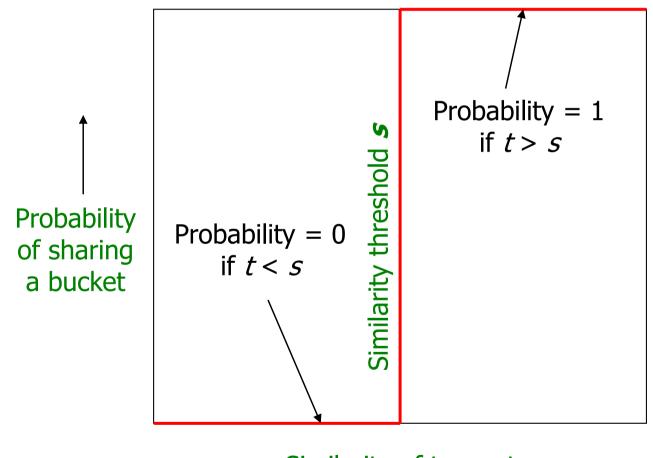
s	$1 - (1 - s^r)^b$
.2	.006
.3	.047
.4	.186
.5	.470
.6	.802
.7	.975
.8	.9996

The probability that their signatures are identical in a particular band =  $0.8^5 = 33\%$ 

- The threshold is just slightly more than 0.5
- If s = 0.8, the probability that their signatures are hashed into the same bucket for at least one of 20 bands is 0.9996

# Picking r and b (1/4)

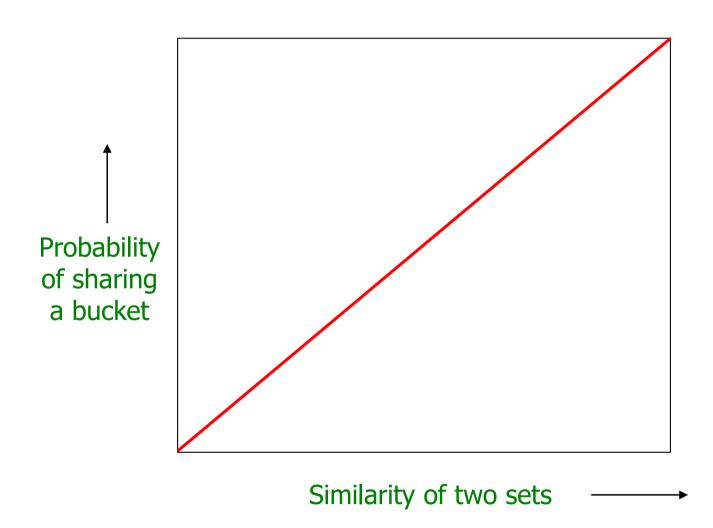
#### Ideal curve



Similarity of two sets ———

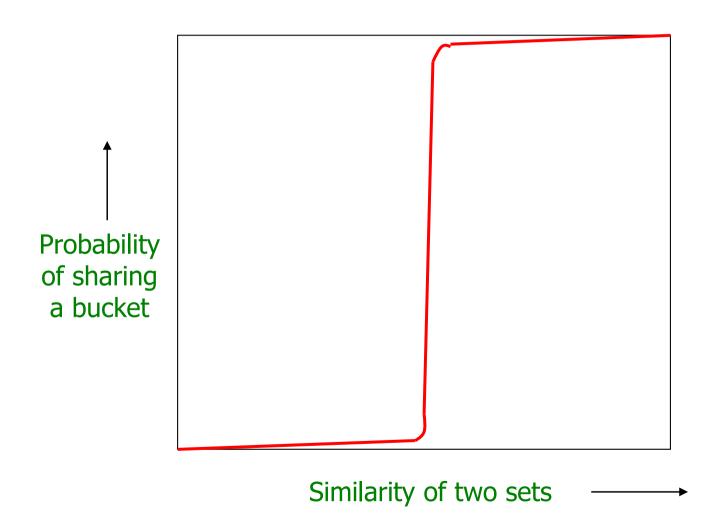
# Picking r and b (2/4)

• When b = 1 and r = 1



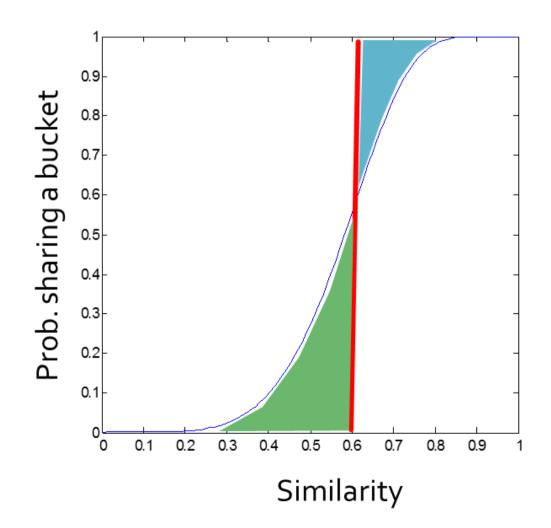
# Picking r and b (3/4)

• When b and r are large



# Picking r and b (4/4)

- False negative and false positive
  - When r = 5 and b = 10



Blue area: False Negative rate

Green area: False Positive rate

# Combining the Techniques (1/2)

✓ Note that false negatives or false positives can be produced

**Step 1:** Pick a value of k and construct from each document the set of k-shingles

**Step 2:** Pick a length n for the minhash signatures and compute the minhash signatures for all the documents

**Step 3:** Choose a threshold t that defines how similar documents have to be regarded as a similar pair. Pick a number of bands b and a number of rows r such that br = n, and the threshold t is approximately  $(1/b)^{1/r}$ 

# Combining the Techniques (2/2)

**Step 5:** Construct candidate pairs by applying the LSH technique

**Step 6:** Examine each candidate pair's signatures and determine whether the faction of components in which they agree is at least *t* 

- **Step 7 (optional):** If the signatures are sufficiently similar, go to the document themselves and check that they are truly similar
  - ✓ Documents can have similar signatures by luck

# **Distance Measures**

#### **Distance Measures**

Measure of *closeness* 

- Example: Jaccard distance
  - 1 minus the Jaccard similarity
  - A distance measure for sets
  - The closer sets are, the lower the Jaccard distance
- There are a number of other distance measures that make sense in some applications
  - Euclidean distance, cosine distance, edit distance, hamming distance

#### **Definition of a Distance Measure**

- Suppose we have a set of points, called a space
- Let x and y be two points in the space
- A *distance* measure on this space is a function d(x, y) that satisfies the following condition:
  - $d(x, y) \ge 0$  (no negative distance)
  - d(x, y) = 0 if and only if x = y (distance is zero from a point to itself)
  - d(x, y) = d(y, x) (distance is symmetric)
  - $d(x, y) \le d(x, z) + d(z, y)$  (the *triangle inequality*)
    - To travel from x to y, we cannot obtain any benefit if we are forced to travel via some particular third point z

# **Euclidean Distance (1/2)**

- The most familiar distance measure
  - The one we normally think of as "distance"
- *n*-dimensional Euclidean space
  - A space where points are vectors of n real numbers (e.g.,  $[x_1, x_2, ..., x_n]$ )
- $L_2$ -norm

$$d([x_1, x_2, \dots, x_n], [y_1, y_2, \dots, y_n]) = \sqrt{\sum_{i=1}^n (x_i - y_i)^2}$$

Note that all the requirements for a distance measure are satisfied

## **Euclidean Distance (2/2)**

•  $L_r$ -norm (general form)

$$d([x_1, x_2, \dots, x_n], [y_1, y_2, \dots, y_n]) = (\sum_{i=1}^n |x_i - y_i|^r)^{1/r}$$

- $L_1$ -norm (called **Manhattan distance**)
  - The distance one would have to travel between points if one were constrained to travel among the streets of a city such as Manhattan
- $L_{\infty}$ -norm

$$d([x_1, x_2, ..., x_n], [y_1, y_2, ..., y_n]) = \max_{i} |x_i - y_i|$$

- The maximum of  $|x_i y_i|$  over all dimensions i
- As r gets larger, only the dimension with the largest difference matters

# (Ex) Euclidean Distance

- Consider the two-dimensional Euclidean space and the two points (2, 7) and (6, 4)
- $L_2$ -norm

$$- (|2-6|^2 + |7-4|^2)^{1/2} = 5$$

•  $L_1$ -norm

$$- |2-6|+|7-4|=7$$

- $L_{\infty}$ -norm
  - $\max(|2-6|, |7-4|) = 4$

#### **Jaccard Distance**

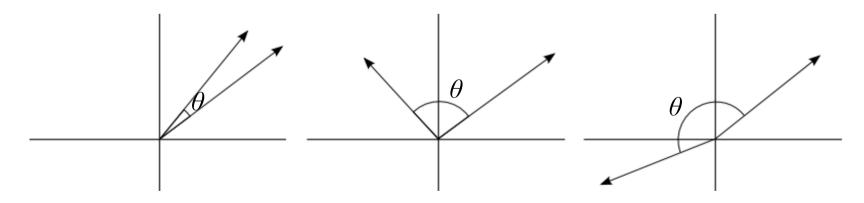
- $d(x, y) = 1 SIM(x, y) = 1 |x \cap y|/|x \cup y|$ 
  - In other words, the probability that a random minhash function does not send x and y to the same value
- Note that all the requirements for a distance measure are satisfied
  - $-d(x,y) \ge 0$ , because  $|x \cap y| \le |x \cup y|$
  - d(x, y) = 0 iff x = y, because  $x \cap x = x \cup x = x$
  - d(x, y) = d(y, x), because  $x \cup y = y \cup x$  and  $x \cap y = y \cap x$
  - $d(x, y) \le d(x, z) + d(z, y)$ 
    - We show  $P(h(x) \neq h(y)) \le P(h(x) \neq h(z)) + P(h(z) \neq h(y))$
    - This is true because whenever  $h(x) \neq h(y)$ , at least one of h(x) and h(y) must be different from h(z)

#### **Cosine Distance**

The cosine distance between two points  $x = [x_1, x_2, ..., xn]$  and  $y = [y_1, y_2, ..., yn]$  measures the **angle** that the vectors to those points make

$$\cos(\theta) = \frac{x \cdot y}{||x|| \cdot ||y||} = \frac{\sum_{i=1}^{n} x_i y_i}{\sqrt{\sum_{i=1}^{n} x_i^2} \sqrt{\sum_{i=1}^{n} y_i^2}}$$

- This angle will be in the range  $0^{\circ}$  to  $180^{\circ}$ 



Note that all the requirements for a distance measure are satisfied

# **Edit Distance (1/2)**

- A distance measure for *strings*
- The edit distance between two strings  $x = x_1x_2...x_n$  and  $y = y_1y_2...y_n$  is the **smallest** number of insertions and deletions of single characters that will convert x to y
- Example
  - The edit distance between x = abcde and y = acfdeg is 3
    - To convert *x* to *y* 
      - 1. Delete b
      - 2. Insert f after c
      - 3. Insert g after e
    - No sequence of fewer than 3 insertions and/or deletions will convert x to y

## Edit Distance (2/2)

- Calculation of the edit distance d(x, y)
  - Compute a longest common subsequence (LCS) of x and y
  - d(x, y) = |x| + |y| 2|LCS of x and y|

- Example
  - $d(abcde, acfdeg) = |abcde| + |acfdeg| 2|acde| = 5 + 6 2 \cdot 4 = 3$
  - $-d(aba, bab) = |aba| + |bab| 2|ab| = 3 + 3 2 \cdot 2 = 2$ 
    - Or,  $|aba| + |bab| 2|ba| = 3 + 3 2 \cdot 2 = 2$
- Note that all the requirements for a distance measure are satisfied

## **Hamming Distance**

- A distance measure for *vectors*
- The Hamming distance between two vectors is the number of components in which they differ
  - (ex) d([2, 1, 7], [2, 2, 3]) = 2, d(10101, 111110) = 3
- Note that all the requirements for a distance measure are satisfied
  - d(x, y) ≥ 0 (it is clear)
  - d(x, y) = 0 iff x = y (if the distance is zero, then the vectors are identical)
  - d(x, y) = d(y, x) (the distance doesn't depend on the order of two vectors)
  - $d(x, y) \le d(x, z) + d(z, y)$ 
    - If x and z differ in m components, and z and y differ in n components, then x and y cannot differ in more than m+n components