

Elizabeth Lee

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Objective:

AML Transaction Monitoring compliance professional from a background in wholesale banking with a positive attitude, detail-oriented, and an eye for gaps and inconsistencies. Seeking to advance a meaningful career abiding and protecting by the BSA, OFAC Sanctions regulations, USA PATRIOT Act, and accurate SAR reporting.

EXPERIENCE

K2 Intelligence, New York, NY

June 2020 – Present

Financial Crimes Analyst

- Manage assigned tasks related to drafting case investigations, preparing Suspicious Activity Reports (SARs), analyzing/utilizing Currency Transaction Reports (CTRs) and Monetary Instrument Logs (MILs), and referencing Customer Identification Programs (CIPs)
- Work on engagements related to USA PATRIOT Act, Bank Secrecy Act (BSA), Office of Foreign Assets Control (OFAC), Know Your Client (KYC)
- Meet utilization targets
- Transaction monitoring focused on correspondent banking activity and OFAC

Shinhan Bank, New York, NY

April 2019 – June 2020

Compliance Associate

- Conduct background research and gather context from available resources: internet, LexisNexis, Dow Jones, Bloomberg, and other departments as needed
- Perform reviews on over 300-500 alerts generated daily, weekly, monthly, quarterly on AML Transaction Monitoring system by streamlining merge process for alert dispositioning across multiple platforms (Aither & FISAML)
- Sync updated OFAC, UN, and EU lists into screening system and report within 1 business day
- Maintain customer, vendor, and employee lists for periodic OFAC screening on Side SafeWatch
- Consolidate valid historical information to simplify disposition efficiency for clear, accurate and detailed dispositions
- Remediate existing KYC onto new updated checklist and evaluate current and potential customer transaction activity

Corporate Valuation at Seton Hall University, South Orange, NJ

January 2018 – May 2018

Comparable Companies Analysis, Precedent Transactions Analysis, Leveraged Buyout Analysis

- Provided detailed analysis of value of a public company for M&A situations, IPOs, restructurings, and investment decisions by benchmarking financial statistics and ratios (ROA, ROE, D/E)
- Achieved expert skills in extracting data from relevant SEC filings from EDGAR

Discounted Cash Flow Analysis

- Calculated company's intrinsic value from present value of its projected FCF by judging expected future financial performance

KEB Hana Financial Corporation, New York, NY

June 2017 – August 2017

Analyst

- Analyzed over 50 securities to provide detailed records on credit ratings to provide corporate overviews in the Credit and Loan Department
- Administered updates on 30 Internal Memorandums of other affiliated banks based on financial highlights, history, and bank relations in the Treasury Department

- Assessed loan risk of over 150 clients based on BSA/AML Matrix to update Customer Due Diligence reports enabling the bank to comply with regulatory requirements in the Compliance Department

FORMAL EDUCATION

Rutgers University

May 2020 – Present

Full-Stack Developer Certification

- Coming soon

Seton Hall University, Stillman School of Business

South Orange, NJ

Bachelor's of Science; Dual Major in Finance and Economics

August 2018

Recipient of Seton Hall University Academic Scholarship, annual award

GPA: 3.7

- Dean's List (8 semesters consistently)

September 2014 – May 2018

- Beta Alpha Psi Honors Society

September 2016 – May 2018

University of Westminster, Westminster Business School

London, United Kingdom

Exchange Program

Fall 2017