Introduction to Search Relevance Ranking-Session I

<u>Tutorial Link:</u> https://dlranking.github.io/dlrr/

Data source: https://huggingface.co/datasets/xglue

XGLUE: A New Benchmark Dataset for Cross-lingual Pre-training, Understanding and Generation)

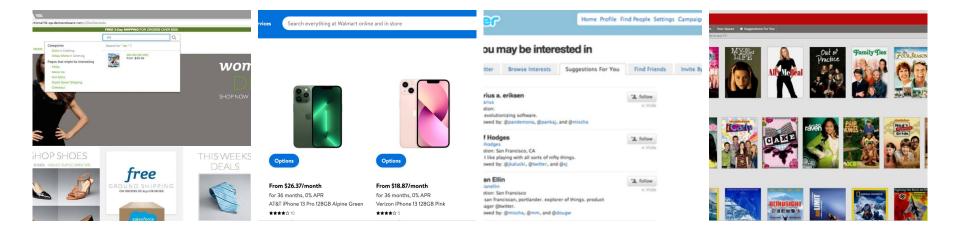
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Notebooks: Linsey Pang

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Agenda

- Overview of search relevance ranking
- Traditional IR models
- Machine Learning approaches
- Evaluation Metrics
- Hands-on Session



Search Engines— Given a textual query, provide ranked list of web pages results by relevance score.

Recommender Systems—

Given a user profile and purchase history, rank the retrieved candidates items to find personalized products for the user.

Question Answering System

 Given a question, retrieve top answers for questions posed in natural language.

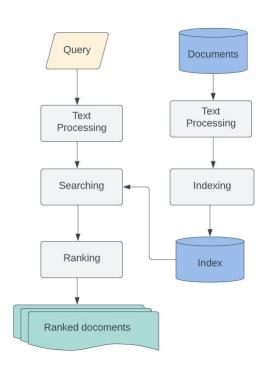
Applications

Information Retrieval System-IR system

Given a query (q) and a collections (d) of documents, relevance ranking algorithms /models determine how relevant each document is for the given query.



for each input x = (q, d) where q is a query and d is a document; r = f(x) is relevance score function for each input.

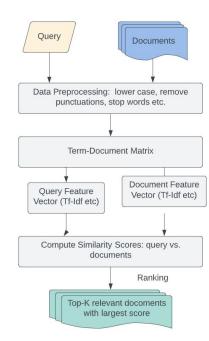


IR Ranking Algorithms

- Vector space Model
- Probabilistic IR: BIM(the binary independence model), BM25
- Learn to Rank (machine learning approaches)

1. What is vector space model?

Compute a vector embedding (e.g. using TF-IDF, Word2Vec, Doc2Vec, BERT etc.) for each query and document, and then compute the relevance score f(x) = f(q, d) as the cosine similarity between the vectors embeddings of q and d.



1. Problem Statement:

Given a set of points **S** in vector space **M** and a query point $\mathbf{Q} \in \mathbf{M}$, find the closest point **S** to **Q**.

Steps:

- 1. Vectorize all documents that gives **S**.
- 2. Vectorize the query that gives \mathbf{Q} .
- 3. Compute distance **D** between **Q** and **S**.
- 4. Sort **D** in ascending order- providing indices of most similar documents in S.
- 5. Return top-k of S

3. Demo: (TF-IDF vector feature)

- TF= term frequency is the number of times a term occurs in a document
- IDF= inverse of the document frequency, given as : IDF= log(N/df), where df is the document frequency-number of documents containing a term

For instance: total number of documents =2; TF matrix and IDF matrix are given:

Terms/Docs	d1	d2	query
t1(red)	1	1	0
t2(dog)	1	1	0
t3(ball)	1	1	0
t4(runs)	1	0	1
t4(slow)	0	1	0

DF	IDF
2	0
2	0
2	0
1	0.30103
1	0.30103

3. TF-IDF matrix

TF-IDF Matrix				
Term/Docs	d1	d2	query	
t1(red)	0	0	0	
t2(dog)	0	0	0	
t3(ball)	0	0	0	
t4(runs)	0.30103	0	0.30103	
t4(slow)	0	0.30103	0	

2. Similarity Metrics:

- a. Cosine Similarity
- b. Jaccard distance
- c. Kullback-Leibler divergence
- d. Euclidean distance

Classical ranking Algorithms - BM25

BM25 (Best Match 25)

- Improves upon TFIDF by treating relevance as a probability problem
- Formula:

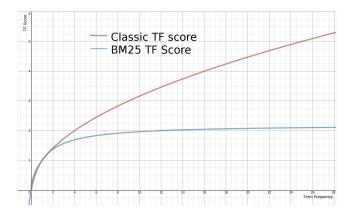
BM25(D, Q) =
$$\sum_{i=1}^{n} IDF(q_i, D) \frac{f(q_i, D) \cdot (k_1 + 1)}{f(q_i) + k_1 \cdot (1 - b + b \cdot |D|/d_{avg})}$$

- f(qi,D) is the number of times of query term qi occurs in Document
- |D| is the number of words in document D
- D_avg is the average number of words per document
- B and k1 are hyperparameters of BM25

Classical ranking Algorithms - BM25

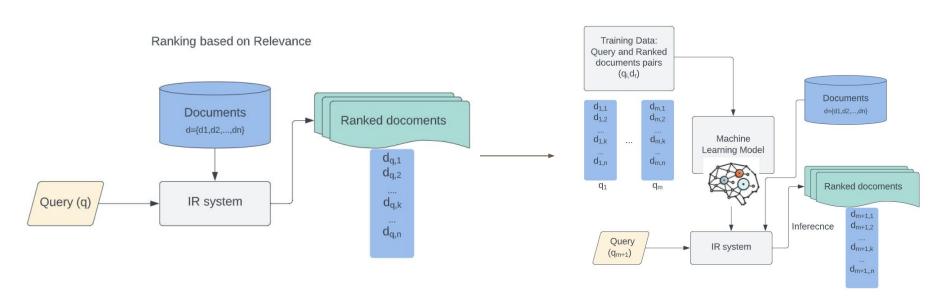
BM25 variables:

- f(qi,D) is "how many times does the ith query term occur in document D?". The more times the query term(s) occur a document, the higher its score will be.
- k1 is a variable which helps determine TF(term frequency) saturation . The higher the value, the slower the saturation.
- |D|/d_avg: the more terms in the document that does not match input query, the lower the document's score should be.
- b (bound $0.0 \sim 1.0$): b is bigger, the effects of the document length compared to the average length are more amplified.





Machine learning ranking Algorithms - overview



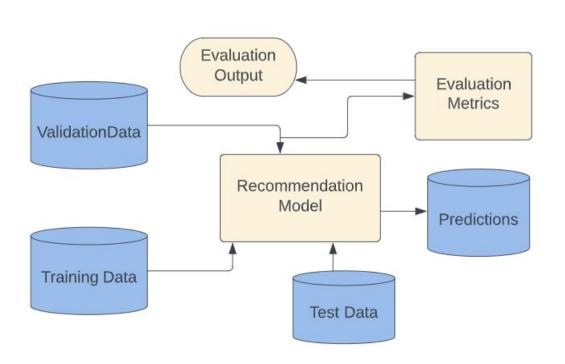
Traditional Machine learning Reference: A Short Introduction to Learning to Rank

Machine learning ranking Algorithms - overview

Learning to rank or Machine-learned ranking:

- It is the application of machine learning, typically supervised, semi-supervised or reinforcement learning, in the construction of ranking models for information retrieval systems.
- Training data consists of lists of items with some order specified between items in each list. This order is represented as a numerical or ordinal score or a binary value (e.g. "relevant" or "not relevant") for each item.
- The objective of ranking model is to rank/predict new, unseen lists
- Approaches: pointwise, pairwise, listwise

Machine learning ranking Algorithms - evaluation



- 1. a training set is fed to a recommendation algorithm which produces a recommendation model that can be used to generate new predictions.
- 2. To evaluate the model a held out test set is fed to the learned model where predictions are generated for each query document pair.
- 3. Predictions with known labels (true value) are then used as an input to the evaluation algorithm to produce evaluation results.

Relevance Performance Metrics

- Binary assessments:
 - Precision: fraction of recommended docs that are relevant = P(relevant|recommended)
 - Recall: fraction of relevant docs that are recommended = P(recommended|relevant)

	Relevant	NonRelevant
Recommended	TP	FP
Not-Recommended	FN	TN

Precision = TP/(TP+FP) = # of recommendations are relevant/# of items are recommended Recall = TP/(TP+FN) = # of recommendations are relevant/# of all possible relevant items

- Binary relevance
 - Precision@K (P@K)
 - o Recall@K(R@K)
 - \circ F1@K(F@K)
 - Mean Average Precision (MAP)
 - Mean Reciprocal Rank (MRR)
- Multiple levels of relevance
 - Normalized Discounted Cumulative Gain (NDCG)

Precision @k: precision evaluated only up to the k-th prediction

$$ext{Precision@}k = rac{true\ positives\ @k}{(true\ positives\ @k) + (false\ positives\ @k)} = rac{\#o}{(true\ positives\ @k)}$$

$$= \frac{\text{# of recommended items @k that are relevant}}{\text{# of recommended items @k}}$$

k	DocID	PredictedRelevanceScore	GroudTruthRelevance (1/0)	Precision@k
1	3	0.93	1	1
2	6	0.86	0	0.5
3	1	0.76	1	0.67
4	36	0.65	1	0.75
5	42	0.43	0	0.6
6	64	0.21	1	0.67
7	25	0.13	0	0.57

$$\begin{aligned} \text{Precision@4} &= \frac{\text{true positives @4}}{(\text{true positives @4}) + (\text{false positives @4})} \\ &= \frac{3}{3+1} \\ &= 0.75 \end{aligned}$$

Recall @k: Recall evaluated only up to the k-th prediction

$$\text{Recall@}k = \frac{true\ positives\ @k}{(true\ positives\ @k) + (false\ negatives\ @k)}$$

k	DocID	PredictedRelevance Score	GroudTruthRelevance (1/0)	Recall@k
1	3	0.93	1	0.25
2	6	0.86	0	0.25
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6	64	0.21	1	1
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$$egin{align*} ext{Recall@4} &= rac{true\ positives\ @4}{(true\ positives\ @4) + (false\ negatives\ @4)} \ &= rac{3}{3+1} \ &= 0.75 \end{gathered}$$

F1 @k: F1 Score ranking only consider the top k prediction

$$F_1@k = 2 \cdot rac{(Precision@k) \cdot (Recall@k)}{(Precision@k) + (Recall@k)}$$

AP(Average Precision): average of precision @k

k	DocID	PredictedRelevanceScore	GroudTruthRelevance (1/0)	Precision@k
1	3	0.93	1	1
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5	42	0.43	0	0.6
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7	25	0.13	0	0.57

$AP = \frac{1+0.5+0.67+0.75+0.6+0.67+0.57}{7}$	
= 0.68	

MAP(Mean Average Precision): Average Precision across multiple queries/rankings; or it is a simple average of AP over all examples in a validation set. It is a simple average of AP over all examples in a validation set.

k	GroudTruthRelevance1(1/0)	GroudTruthRelevance2(1/0)	Precision1@k	Precision2@k
1	1	1	1	1
2	0	0	0.5	0.5
3	1	1	0.67	0.67
4	1	1	0.75	0.75
5	0	1	0.6	0.8
6	1	1	0.67	0.83
7	0	0	0.57	0.71
	1			

$$AP_{1} = \frac{1+0.5+0.67+0.75+0.6+0.67+0.57}{7}$$

$$= 0.68$$

$$AP_{2} = \frac{1+0.5+0.67+0.75+0.8+0.83+0.71}{7}$$

$$= 0.75$$

$$MAP = \frac{0.68+0.75}{2}$$

$$= 0.715$$

- MAP is macro-averaging: each query counts equally
- MAP assumes user is interested in finding many relevant documents for each query

AP (average precision): measures how correct of a model's ranked prediction for a single data point

MAP(mean average precision): measures how correct a model's ranked predictions, on average, over a whole validation set. It is computed as mean of AP over all data points in validation set.

DCG: Discounted Cumulative Gain

$$DCG \ @k = \sum_{i=1}^k rac{2^{rel_i} - 1}{log_2(i+1)}$$

where reli is the relevance of the document at index i, reli equals 1 if document i is relevant and 0 otherwise.

- One advantage of DCG over other metrics is that it also works if document relevances are a real number. In other words, when each document is not simply relevant/non-relevant, but has a relevance score instead.
- Uses graded relevance as a measure of usefulness, or gain, from examining a document

Two assumptions:

- Highly relevant documents are more useful than marginally relevant documents
- The lower the ranked position of a relevant document, the less useful it is for the user, since it is less likely to be examined

NDCG: Normalized Discounted Cumulative Gain

$$NDCG @k = rac{DCG @k}{IDCG @k} \hspace{1.5cm} IDCG @k = \sum_{i=1}^{relevant \ documents} rac{2^{rel_i} - 1}{log_2(i+1)}$$

normalize the DCG score by the maximum DCG at each threshold k

.Where IDCG @k is the best possible value for DCG @k, i.e. the value of DCG for the best possible ranking of relevant documents at threshold \boldsymbol{k}

k	GroudTruthRank		PreidctedRank1		PreidctedRank2	
	DocIDs	RelevanceScore	DocIDs	RelevanceScore1	DocIDs	RelevanceScore2
1	4	2	36	2	1	2
2	36	2	4	1	4	1
3	1	1	1	1	36	2
4	16	0	16	0	1	0
	1	 		i		

$$DCG = 2 + \frac{2}{\log_2 2} + \frac{1}{\log_2 3} + \frac{0}{\log_2 4} = 4.6309$$

$$DCG_1 = 2 + \frac{1}{\log_2 2} + \frac{1}{\log_2 3} + \frac{0}{\log_2 4} = 3.6309$$

$$DCG_2 = 2 + \frac{1}{\log_2 2} + \frac{2}{\log_2 3} + \frac{0}{\log_2 4} = 4.2619$$

$$MaxDGC = 4.6309$$

$$NDCG = 4.6309/4.6309 = 1$$

$$NDCG_1 = 3.6309/4.6309 = 0.7841$$

$$NDCG_2 = 4.2619/4.6309 = 0.9203$$

NDCG

- Normalized value
- Applicable to compare between queries
- Measure a model performance by average NDCG values for each data point in the validation set.

MRR (Mean Reciprocal Rank):

evaluate systems that return a ranked list of answers to queries

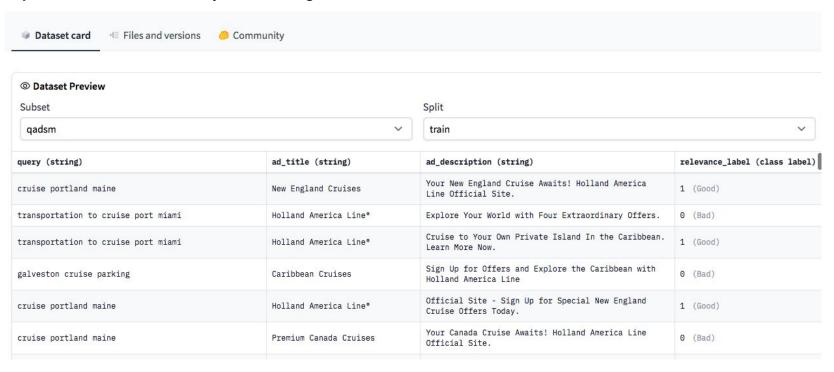
- For a single query, the RR: $reciprocal\ rank = \frac{1}{rank}$, where rank is position of the highest-ranked documents in (i.e. 1,2,3,...,rank, N in returned in a query)
- For multiple queries, the Mean Reciprocal Rank is the average of all queries' reciprocal ranks (RR)

- Higher MRRs mean relevant results are close to the top of search results
- Lower MRRs indicate poorer search quality, with the right answer farther down in the search results.

XGLUE dataset

XGLUE - QADSM dataset

QADSM: Microsoft Query-Ad Matching dataset



XGLUE - QADSM dataset

Dataset size:

Train	train	(100,000, 4)
	validation.en	(10,000, 4)
Validation	validation.de	(10,000, 4)
	validation.fr	(10,000, 4)
	test.en	(10,000, 4)
test	test.de	(10,000, 4)
	test.fr	(10,000, 4)

Hands-on session

- Tutorial website: <u>Link</u>:
- Data Source : XGLUE: A New Benchmark Dataset for Cross-lingual Pre-training,
 Understanding and Generation

Download: XGLUE (https://huggingface.co/datasets/xglue)

- Colab:
 - <u>Vector Space Model:</u>
 - o <u>BM25</u>:

