

# De Rham's Theorem

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We assume the reader is familiar with some algebraic topology: singular cohomology, the induced cohomology map, the zig-zag lemma, the five lemma, and the homotopy equivalence. In this report, smooth manifolds are called just manifolds and denoted by  $M$ .

## 1 Smooth Singular Cohomology

## 2 De Rham cohomology

In this section, we will prove three important theorems for de Rham cohomology: Poincare's lemma, the Mayer-Vietoris sequence, additivity condition.

**Theorem 2.1** (Homotopy axiom). *Let smooth maps  $f, g: M_1 \rightarrow M_2$  be smoothly homotopic. Then, the induced cohomology maps  $f^*, g^*: H_{dR}^k(M_2) \rightarrow H_{dR}^k(M_1)$  are same.*

*Proof.* Let  $H: M_1 \times I \rightarrow M_2$  be a homotopy between  $f$  and  $g$ . Let  $i_t: M_1 \rightarrow M_1 \times I$  be a map defined by  $i_t(p) = (p, t)$  for  $t \in I$ . Then  $f = H \circ i_0$ ,  $g = H \circ i_1$ .

**Step 1.** the pullbacks  $i_0^*$  and  $i_1^*$  are cochain homotpic:  
Define  $h: \Omega^k(M_1 \times I) \rightarrow \Omega^{k-1}(M_1)$  by

$$h\omega = \int_0^1 i_t^*(i_{\frac{\partial}{\partial t}} \omega) dt$$

where  $i_t^*$  and  $i_{\frac{\partial}{\partial t}}$  denote the pullback and interior product respectively.

$$\begin{array}{ccc} \Omega^{k-1}(M_1) & & \\ \uparrow i_t^* & \nwarrow h & \\ \Omega^{k-1}(M_1 \times I) & \xleftarrow{i_{\frac{\partial}{\partial t}}} & \Omega^k(M_1 \times I) \end{array}$$

Then we have

$$\begin{aligned}
(dh + hd)\omega &= \int_0^1 di_t^*(i_{\frac{\partial}{\partial t}}\omega) dt + \int_0^1 i_t^*(i_{\frac{\partial}{\partial t}}d\omega) dt \\
&= \int_0^1 i_t^*((di_{\frac{\partial}{\partial t}} + i_{\frac{\partial}{\partial t}}d)\omega) dt \\
&= \int_0^1 i_0^*(\phi_t^{\frac{\partial}{\partial t}})^*(L_{\frac{\partial}{\partial t}}\omega) dt \\
&= \int_0^1 i_0^*\frac{d}{dt}((\phi_t^{\frac{\partial}{\partial t}})^*\omega) dt \\
&= \int_0^1 \frac{d}{dt}(i_0^*(\phi_t^{\frac{\partial}{\partial t}})^*\omega) dt \\
&= \int_0^1 \frac{d}{dt}(i_t^*\omega) dt \\
&= (i_1^* - i_0^*)\omega
\end{aligned}$$

for all  $\omega \in H_{dR}^k(M_1 \times I)$ . Thus  $i_0^*$  and  $i_1^*$  are cochain homotopic.

**Step 2.** the pullbacks  $f^*$  and  $g^*$  are cochain homotopic:

Let  $h$  be a cochain homotopy between  $i_0^*$  and  $i_1^*$ . Then we have

$$\begin{aligned}
d(hH^*) + (hH^*)d &= dhH^* + hdH^* \\
&= (dh + hd)H^* \\
&= (i_1^* - i_0^*)H^* \\
&= (Hi_1)^* - (Hi_0)^* \\
&= g^* - f^*.
\end{aligned}$$

Thus  $f^*$  and  $g^*$  are cochain homotopic.

$$\begin{array}{ccccc}
\Omega^{k-1}(M_1) & \xrightarrow{d} & \Omega^k(M_1) & & \\
& \nwarrow h & \uparrow i_0^* \parallel i_1^* & \nwarrow h & \\
& & \Omega^k(M_1 \times I) & \xrightarrow{d} & \Omega^{k+1}(M_1 \times I) \\
& & \uparrow H^* & & \\
& & \Omega^k(M_2) & & 
\end{array}$$

**Step 3.** the induced cochain maps  $f^*$  and  $g^*$  are equal:

If  $\omega$  is a closed form on  $M_2$ , then

$$(g^* - f^*)\omega = d(hH^*)\omega + (hH^*)d\omega = d(hH^*)\omega \in \text{im } d.$$

Thus  $f^*$  and  $g^*$  are equal on the cohomology groups. □

**Theorem 2.2** (Homotopy invariance). *Let  $M$  and  $N$  be homotopy equivalent. Let a smooth map  $f: M \rightarrow N$  be the homotopy equivalence. Then the induced cohomology map  $f^*: H_{dR}^k(N) \rightarrow H_{dR}^k(M)$  is an isomorphism.*

*Proof.* Let  $g$  be the homotopy inverse. Since  $g \circ f$  and  $f \circ g$  are homotopic to  $\text{id}_M$  and  $\text{id}_N$  respectively, the induced cohomology maps satisfy  $\text{id}_M^* = (g \circ f)^* = f^* \circ g^*$  and  $\text{id}_N^* = (f \circ g)^* = g^* \circ f^*$  by Theorem 2.1,

$$\text{id}_M^* \begin{array}{c} \xrightarrow{\quad} \\ \text{---} \end{array} H_{dR}^k(M) \begin{array}{c} \xleftarrow{f^*} \\ \xrightarrow{g^*} \end{array} H_{dR}^k(N) \begin{array}{c} \xleftarrow{\quad} \\ \text{---} \end{array} \text{id}_N^*$$

We can lead that  $f^*$  is surjective from  $\text{id}_M^* = f^* \circ g^*$ , and  $f^*$  is injective from  $\text{id}_N^* = g^* \circ f^*$ . Therefore,  $f^*$  is an isomorphism.  $\square$

**Theorem 2.3** (Dimension axiom). *Let  $M$  be a singleton. Then we have*

$$H_{dR}^k(M) \cong \begin{cases} \mathbb{R} & , k = 0 \\ 0 & , k > 0 \end{cases}.$$

*Proof.* **Case 1.**  $k = 0$ :

$$0 \longrightarrow \Omega^0(M) = C^\infty(M, \mathbb{R}) \xrightarrow{d_0} \Omega^1(M)$$

Since every function from a singleton is constant,  $\Omega^0(M) = \ker d_0$ . Thus the de Rham cohomology group is  $H_{dR}^k(M) \cong C^\infty(M, \mathbb{R}) = \mathbb{R}$ .

**Case 2.**  $k > 0$ :

All  $k$ -forms on a singleton is 0 for  $k > 0$ . It is obviously followed that  $H_{dR}^k(M) \cong 0$ .  $\square$

Theorem 2.2 and 2.3 show the Poincare lemma.

**Theorem 2.4** (Poincare's lemma). *Let  $M$  be a contractible manifold. Then we have*

$$H_{dR}^k(M) \cong \begin{cases} \mathbb{R} & , k = 0 \\ 0 & , k > 0 \end{cases}.$$

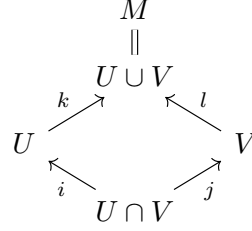
*Proof.* Let  $N$  be a singleton. Let  $f: M \rightarrow N$  and  $g: N \rightarrow M$  be maps. The map  $g \circ f$  is a constant function that is homotopic to  $\text{id}_M$ , and the map  $f \circ g$  is the identity map  $\text{id}_N$ . Thus  $M$  and  $N$  are homotopy equivalent. By Theorem 2.2 and 2.3, we are done.  $\square$

Following two theorems is proved without assuming the previous theorems.

**Theorem 2.5** (Mayer-Vietoris Sequence). *Let  $\{U, V\}$  be an open cover of  $M$ . For any  $k$ , there is a homomorphism  $\delta: H_{dR}^k(U \cap V) \rightarrow H_{dR}^{k+1}(U \cup V)$  such that the following is an exact sequence:*

$$\begin{array}{ccccc} & & H_{dR}^k(U \cup V) & & H_{dR}^{k+1}(U \cup V) \\ & \nearrow \delta & \downarrow k^* \oplus l^* & \nearrow \delta & \\ & H_{dR}^k(U) \oplus H_{dR}^k(V) & & & \\ & \downarrow i^* - j^* & & & \\ H_{dR}^{k-1}(U \cap V) & & H_{dR}^k(U \cap V) & & \end{array}$$

Here  $i, j, k, l$  are inclusion maps as such



and two maps  $i^* - j^*$ ,  $k^* \oplus l^*$  is defined from the pullback of the inclusions by  $(i^* - j^*)(\alpha, \beta) = \alpha|_{U \cap V} - \beta|_{U \cap V}$  and  $(k^* \oplus l^*)(\omega) = (\omega|_U, \omega|_V)$ . The maps in the previous diagram are the induced cohomology maps.

*Proof.* By the Zigzag lemma, it is sufficient to prove that the following is a short exact sequence:

$$0 \longrightarrow \Omega^k(U \cup V) \xrightarrow{k^* \oplus l^*} \Omega^k(U) \oplus \Omega^k(V) \xrightarrow{i^* - j^*} \Omega^k(U \cap V) \longrightarrow 0.$$

We have to prove the exactness at  $\Omega^k(U \cup V)$ ,  $\Omega^k(U) \oplus \Omega^k(V)$ ,  $\Omega^k(U \cap V)$ . Let  $\{\phi, \psi\}$  be a partition of unity subordinate to  $\{U, V\}$  such that  $\phi, \psi$  are defined on  $U, V$  respectively.

**Step 1.** The exactness at  $\Omega^k(U \cup V)$ :

It is equivalent to show that  $k^* \oplus l^*$  is injective. Let  $\omega \in \Omega^k(U \cup V)$ . If  $(k^* \oplus l^*)(\omega) = (0, 0)$ , then we get  $\omega|_U = 0$ ,  $\omega|_V = 0$  and this implies  $\omega = 0$ .

**Step 2.** The exactness at  $\Omega^k(U) \oplus \Omega^k(V)$ :

Let  $\omega \in \Omega^k(U \cup V)$ . Then,  $(i^* - j^*) \circ (k^* \oplus l^*)\omega = (i^* - j^*)(\omega|_U, \omega|_V) = \omega|_{U \cap V} - \omega|_{U \cap V} = 0$ . Thus  $\text{im}(k^* \oplus l^*) \subset \ker(i^* - j^*)$ .

Let  $(\alpha, \beta) \in \Omega^k(U) \oplus \Omega^k(V)$  be satisfy  $(i^* - j^*)(\alpha, \beta) = \alpha|_{U \cap V} - \beta|_{U \cap V} = 0$ . Then, if we define  $\omega = \phi\alpha + \psi\beta$ , then  $(k^* \oplus l^*)(\omega) = (\omega|_U, \omega|_V) = (\alpha, \beta)$  since  $\alpha|_{U \cap V} = \beta|_{U \cap V}$ . Thus  $\ker(i^* - j^*) \subset \text{im}(k^* \oplus l^*)$ .

**Step 3.** The exactness of  $\Omega^k(U \cap V)$ :

It is equivalent to show that  $i^* - j^*$  is surjective. Let  $\omega \in \Omega^k(U \cap V)$ . If we define  $\alpha = \psi\omega \in \Omega^k(U)$  and  $\beta = -\phi\omega \in \Omega^k(V)$ , then  $(i^* - j^*)(\alpha, \beta) = \psi\omega|_{U \cap V} - (-\phi\omega|_{U \cap V}) = \omega$ .  $\square$

**Theorem 2.6** (Additivity Axiom). *Let  $\{M_i\}_i$  be a collection of smooth manifolds. Then we have*

$$H_{dR}^k\left(\coprod_i M_i\right) = \prod_i H_{dR}^k(M_i).$$

*Proof.* Let  $\iota_i: M_i \hookrightarrow \coprod_i M_i$  be the inclusions. Then the pullback  $(\prod_i \iota_i)^*: \omega \mapsto \prod_i \omega|_{M_i}$  induces the cohomology map that satisfies injectivity and surjectivity.  $\square$

In fact de Rham cohomology is a contravariant functor from manifolds to abelian groups.

*Remark.* These properties of de Rham cohomology is deeply related on the Eilenberg-Steenrod axioms. De Rham cohomology and singular cohomology satisfied the axioms. It means that singular cohomology also has the properties above. We will skip the proof of validations of the properties for singular cohomology.

### 3 De Rham Homomorphism

**Definition 3.1.** The *de Rham homomorphism* of  $M$  is the map  $I_M: H_{dR}^k(M) \rightarrow H_\infty^k(M; \mathbb{R})$  defined as the cohomology map induced by the integration:

$$I_M[\omega][c] = \int_c \omega$$

where  $[\omega]$  and  $[c]$  is the equivalence class of  $\omega \in \ker d$  and  $c \in \ker \partial$ .

Note that the codomain of the de Rham homomorphism is not singular cohomology, but *smooth* singular cohomology.

The de Rham homomorphism is well-defined with respect to the underlying manifold  $M$ .

**Lemma 3.2** (Well-definedness). *The de Rham homomorphism is a well-defined homomorphism.*

*Proof.* The map  $I_M$  obviously preserves the addition, so it is a homomorphism if well-defined.

Let  $\omega_1, \omega_2$  be representatives of  $[\omega] \in H_{dR}^k(M)$ . Then there is a form  $\omega' \in \Omega^{k-1}(M)$  such that  $\omega_1 - \omega_2 = d\omega'$ , so we get

$$\int_c \omega_1 = \int_c \omega_2 + d\omega' = \int_c \omega_2 + \int_c d\omega' = \int_c \omega_2 + \int_{\partial c} \omega' = \int_c \omega_2$$

since  $\partial c = 0$ .

Let  $c_1, c_2$  be representatives of  $[c] \in H_k^\infty(M)$ . Then there is a chain  $c' \in C_{k+1}^\infty(M)$  such that  $c_1 - c_2 = \partial c'$ , so we get

$$\int_{c_1} \omega = \int_{c_2 + \partial c'} \omega = \int_{c_2} \omega + \int_{\partial c'} \omega = \int_{c_2} \omega + \int_{c'} d\omega = \int_{c_2} \omega$$

since  $d\omega = 0$ . □

**Lemma 3.3** (Naturality). *The de Rham homomorphism is a natural transformation, i.e. for a smooth function  $f: M \rightarrow N$ , the following diagram commutes:*

$$\begin{array}{ccc} H_{dR}^k(N) & \xrightarrow{f^*} & H_{dR}^k(M) \\ \downarrow I_N & & \downarrow I_M \\ H_\infty^k(N; \mathbb{R}) & \xrightarrow{f^*} & H_\infty^k(M; \mathbb{R}) \end{array}$$

*Proof.* What we should prove is  $I_M f^*[\omega] = f^* I_N[\omega]$ , and it is equivalent to prove  $I_M[f^*\omega][c] = I_N[\omega][f \circ c]$  for every closed form  $\omega$  on  $N$  and cycle  $c$  on  $M$ .

This is shown directly by the definition

$$I_M[f^*\omega][c] = \int_c f^*\omega = \int_{\Delta^k} c^* f^*\omega = \int_{\Delta^k} (f \circ c)^*\omega = \int_{f \circ c} \omega = I_N[\omega][f \circ c].$$

□

## 4 De Rham Manifold

**Definition 4.1** (De Rham Manifold). A manifold is called *de Rham* if the de Rham homomorphism is an isomorphism.

**Definition 4.2** (De Rham Basis). A basis of a manifold is called *de Rham* if the basis elements and their finite intersections are de Rham.

In fact, de Rham's theorem states that every manifold is a de Rham manifold. There are four important property of de Rham manifolds:

- A manifold diffeomorphic to a de Rham manifold is de Rham.
- A contractible submanifold of  $\mathbb{R}^n$  is de rham.
- The union of two de Rham manifolds is de Rham if the intersection of the two is de Rham.
- The disjoint union of de Rham manifolds is de Rham.

Let us prove them with the properties we proved in Section 2.

**Lemma 4.3.** *A manifold diffeomorphic to a de Rham manifold is de Rham.*

*Proof.* Suppose that  $N$  is a manifold diffeomorphic to a de Rham manifold  $M$  and let  $f: M \rightarrow N$  be the diffeomorphism. The three maps  $I_M$ ,  $f^*: H_{dR}^k(N) \rightarrow H_{dR}^k(M)$ , and  $f^*: H_\infty^k(N) \rightarrow H_\infty^k(M)$  are isomorphisms. By Lemma 3.3, we have  $I_N = (f^*)^{-1} \circ I_M \circ f^*$ . Thus  $I_N$  is an isomorphism.  $\square$

**Lemma 4.4.** *A contractible submanifold of  $\mathbb{R}^n$  is de rham.*

*Proof.* Let  $M$  be a contractible submanifold of  $\mathbb{R}^n$ . By Theorem 2.4, we have

$$H_{dR}^k(M) \cong H_\infty^k(M) \cong \begin{cases} \mathbb{R} & , k = 0 \\ 0 & , k > 0 \end{cases}.$$

For  $k > 0$ , the de rham homomorphism is obviously an isomorphism. For  $k = 0$ , it is sufficient to show that the de Rham homomorphism is not trivial since  $\mathbb{R}$  is free module with a unique generator. Let  $\omega$  be a 0-form such that  $\omega = 1$ . Then, the function  $I_M[\omega]$  is not trivial because

$$I_M[\omega][\sigma] = \int_\sigma \omega = \int_{\Delta^0} \sigma^* \omega = f(\sigma(0)) = 1$$

for a simplex  $\sigma: \Delta^0 = \{0\} \rightarrow M$ .  $\square$

**Lemma 4.5.** *The union of two de Rham manifolds is de Rham if the intersection of the two is de Rham.*

*Proof.* Let  $U, V$  and  $U \cap V$  be de rham manifolds. By Theorem 2.5, the rows of the following commutative diagram are exact.

$$\begin{array}{ccccccccc} H_{dR}^{k-1}(U) \oplus H_{dR}^{k-1}(V) & \rightarrow & H_{dR}^{k-1}(U \cap V) & \xrightarrow{\delta} & H_{dR}^k(U \cup V) & \rightarrow & H_{dR}^k(U) \oplus H_{dR}^k(V) & \rightarrow & H_{dR}^k(U \cap V) \\ \downarrow I_U \oplus I_V & & \downarrow I_{U \cap V} & & \downarrow I_{U \cup V} & & \downarrow I_U \oplus I_V & & \downarrow I_{U \cap V} \\ H_\infty^{k-1}(U) \oplus H_\infty^{k-1}(V) & \rightarrow & H_\infty^{k-1}(U \cap V) & \xrightarrow{\delta} & H_\infty^k(U \cup V) & \rightarrow & H_\infty^k(U) \oplus H_\infty^k(V) & \rightarrow & H_\infty^k(U \cap V) \end{array}$$

The homomorphisms  $I_U \oplus I_V$  and  $I_{U \cap V}$  are isomorphisms. By the five lemma,  $I_{U \cup V}$  is an isomorphism.  $\square$

**Lemma 4.6.** *The disjoint union of de Rham manifolds is de Rham.*

*Proof.* Let  $\{M_i\}_i$  be a collection of de Rham manifolds. By Theorem 2.6 and Lemma 3.3, the de Rham homomorphism of the disjoint union  $I_{\coprod_i M_i}$  is an isomorphism with the same logic of the proof of Lemma 4.3.

$$\begin{array}{ccc} H_{dR}^k(\coprod_i M_i) & \xrightarrow{(\Pi_\iota)^*} & \prod_i H_{dR}^k(M_i) \\ \downarrow I_{\coprod_i M_i} & & \downarrow \prod_i I_{M_i} \\ H_\infty^k(\coprod_i M_i) & \xrightarrow{(\Pi_\iota)^*} & \prod_i H_\infty^k(M_i) \end{array}$$

$\square$

## 5 De Rham's Theorem

**Theorem 5.1** (De Rham's Theorem). *The de Rham homomorphism is an isomorphism.*

*Proof.* The proof is divided into two parts.

**PART 1.** A manifold with a de Rham basis is de Rham:

Let  $U$  be a manifold with a de Rham basis.

**Step 1-1.** The existence of the exhaustion function:

A function  $f: U \rightarrow \mathbb{R}$  is called an *exhaustion function* if a set  $\{p : f(p) < c\}$  is relatively compact for every  $c \in \mathbb{R}$ . The existence of this function will be used to cover  $U$  by compact subsets.

Let  $\{U_\alpha\}_{\alpha \in \mathbb{N}}$  be a countable open cover of  $U$  such that each  $U_\alpha$  is relatively compact. Let  $\{\psi_\alpha\}_{\alpha \in \mathbb{N}}$  be a partition of unity subordinate to  $\{U_\alpha\}$ . Define  $f = \sum_{\alpha \in \mathbb{N}} \alpha \psi_\alpha$  that is smooth since it is the finite sum of nonzero smooth functions at each point on  $U$ . For an integer  $k$ , let  $p$  be a point on  $U$  such that  $p \notin \bigcup_{\alpha=1}^k U_\alpha$ . Then, we have  $f(p) \geq \sum_{\alpha=k+1}^\infty \alpha \psi_\alpha(p) > k \sum_{\alpha=k+1}^\infty \psi_\alpha(p) \geq k$ . For any real number  $c$  the set  $\{f(p) < c\}$  is an open subset of  $\bigcup_{\alpha=1}^{\lfloor c \rfloor + 1} U_\alpha$  that is relatively compact. So  $f$  is an exhaustion function.

**Step 1-2.** A finite union of de Rham basis elements is de Rham:

For induction, assume that the union of any  $j$  elements of the de Rham basis is de Rham. Let  $\{D_i\}_{i=1}^{j+1}$  be arbitrary elements of the de Rham basis. By the assumption,  $\bigcup_{i=1}^j D_i$ ,  $D_{j+1}$ , and  $(\bigcup_{i=1}^j D_i) \cap D_{j+1} = \bigcup_{i=1}^j (D_i \cap D_{j+1})$  are de Rham. By Lemma 4.5,  $\bigcup_{i=1}^{j+1} D_i$  is de Rham.

**Step 1-3.** A manifold with a de Rham basis is de Rham:

Let  $m$  be a positive integer. We can pick a finite open cover  $\{D_i^m\}_{i=1}^k$  of  $f^{-1}([m, m+1])$  such that each  $D_i^m$  is de Rham and  $D_i^m \subset f^{-1}[m - \frac{1}{2}, m + \frac{3}{2}]$  because of the compactness. Define  $D^m = \bigcup_{i=1}^k D_i^m$ . Since  $D^m$  and  $D^m \cap D^{m+1}$  are all finite union of de Rham basis elements, they are also de Rham.

Define  $D_{\text{even}}$  and  $D_{\text{odd}}$  as disjoint unions of de Rham manifolds by  $D_{\text{even}} = \bigcup_{m \in \mathbb{N}} D^{2m}$  and  $D_{\text{odd}} = \bigcup_{m \in \mathbb{N}} D^{2m+1}$ . The intersection  $D_{\text{even}} \cap D_{\text{odd}} = \bigcup_{m \in \mathbb{N}} (D^m \cap D^{m+1})$  is also a disjoint union of de Rham manifolds. By Lemma 4.6,  $D_{\text{even}}$ ,  $D_{\text{odd}}$  and  $D_{\text{even}} \cap D_{\text{odd}}$  are de Rham. By Lemma 4.5,  $D_{\text{even}} \cup D_{\text{odd}} = U$  is de Rham.

**PART 2.** Every manifold is de Rham:

**Step 2-1.** An open subset of  $\mathbb{R}^n$  is de Rham:

Let  $\{B_\alpha\}_\alpha$  be a collection of all open balls contained in an open set  $U \subset \mathbb{R}^n$ . By Lemma 4.4,  $\{B_\alpha\}_\alpha$  is a de Rham basis of  $U$  since open balls and their intersections are convex.

**Step 2-2.** Every manifold is de Rham:

Let  $\{U_\alpha\}_\alpha$  be a smooth atlas of  $M$ . By Lemma 4.3,  $\{U_\alpha\}_\alpha$  is a de Rham basis of  $M$  since each  $U_\alpha$  and their intersections are diffeomorphic to open subsets of  $\mathbb{R}^n$ .  $\square$