

# VLASOV-POISSON SYSTEM

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## CONTENTS

|   |    |
|---|----|
| Acknowledgement                               | 1  |
| 1. Vlasov-Poisson system                      | 1  |
| 1.1. Poisson equation                         | 2  |
| 1.2. Characteristics and volume preservation  | 4  |
| 1.3. Conservative laws                        | 5  |
| 1.4. Moment propagation                       | 6  |
| 2. Local existence                            | 7  |
| 2.1. Approximate solution                     | 7  |
| 2.2. Local A priori estimates                 | 8  |
| 2.3. Convergence of approximate solution      | 9  |
| 2.4. Uniqueness                               | 11 |
| 3. Global existence                           | 11 |
| 3.1. Prolongation criterion                   | 11 |
| 3.2. Lower bound on relative position vectors | 12 |
| 3.3. Divide and conquer                       | 13 |
| 3.4. Polynomial decay                         | 14 |

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### 1. VLASOV-POISSON SYSTEM

Consider a Cauchy problem of the *Vlasov-Poisson system*:

$$\begin{cases} f_t + v \cdot \nabla_x f + \gamma E \cdot \nabla_v f = 0, & (t, x, v) \in \mathbb{R}_t^+ \times \mathbb{R}_x^3 \times \mathbb{R}_v^3, \\ E(t, x) = -\nabla_x \Phi, \\ \Phi(t, x) = (-\Delta_x)^{-1} \rho, \\ \rho(t, x) = \int f dv, \\ f(0, x, v) = f_0(x, v), \end{cases}$$

where  $\gamma = \pm 1$ . For example, we have *repulsive problem*  $\gamma = +1$  for electrons in plasma theory and *attractive problems*  $\gamma = -1$  for galactic dynamics. ( $\rho$  denotes mass density.)

Results in 1.1 and 1.2 provide basic ingredients that will be used in the whole article. On the other hand, results in 1.3 and 1.4 cannot be used in any local existence

proof because they assume the existence of solutions, but they help understand the fundamental nature of solutions of the Vlasov-Poisson system and are used in the proof of global existence.

We use the asymptotic notation

$$g(t) \lesssim h(t) \iff \exists c = c(f_0), \quad g(t) \leq c h(t)$$

and

$$g(t) \simeq h(t) \iff \exists c, \quad g(t) = c h(t).$$

**1.1. Poisson equation.** For the boundaryless problem in which the potential function vanishes at infinity, we have

$$\Phi = \frac{1}{4\pi|x|} * \rho,$$

so

$$E = -\nabla_x \Phi = -\nabla_x \left( \frac{1}{4\pi|x|} * \rho \right) = \frac{x}{4\pi|x|^3} * \rho,$$

or it can be rewritten as

$$E(t, x) = \frac{1}{4\pi} \int \frac{(x-y)\rho(t, y)}{|x-y|^3} dy.$$

The nonlinearity of the system is originated from the force field  $E$ , so its estimates play the most important role in investigation of the nonlinear system. Since it is given by the solution of the Poisson equation, estimates of the Riesz potential is directly connected to estimates of the force field.

**Lemma 1.1** (Estimates of Riesz potential). *Let  $\rho \in C_c^1(\mathbb{R}^d)$ .*

(1) (*Field estimate*)

$$\left\| \frac{1}{|x|^{d-1}} * \rho \right\|_\infty \lesssim \|\rho\|_\infty^{1-1/d} \|\rho\|_1^{1/d}$$

(2) (*Field derivative estimate*) For  $\log^+(x) := \max\{0, \log x\}$ ,

$$\|\nabla \left( \frac{1}{|x|^{d-1}} * \rho \right)\|_\infty \lesssim 1 + \|\rho\|_\infty \log^+ \|\nabla \rho\|_\infty + \|\rho\|_1.$$

*Proof.*

(1) Let  $0 \leq \frac{1}{p} < \frac{\alpha}{d} < \frac{1}{q} \leq 1$ . Since  $(d-\alpha)p < d < (d-\alpha)q$ ,

$$\begin{aligned} \left| \frac{1}{|x|^{d-\alpha}} * \rho \right| &= \int_{|x-y| < R} \frac{\rho(y)}{|x-y|^{d-\alpha}} dy + \int_{|x-y| \geq R} \frac{\rho(y)}{|x-y|^{d-\alpha}} dy \\ &\leq \|\rho\|_{p'} \left( \int_{|y| < R} \frac{dy}{|y|^{(d-\alpha)p}} \right)^{1/p} + \|\rho\|_{q'} \left( \int_{|y| \geq R} \frac{dy}{|y|^{(d-\alpha)q}} \right)^{1/q} \\ &\simeq \|\rho\|_{p'} \left( \int_0^R r^{d-1-(d-\alpha)p} dr \right)^{1/p} + \|\rho\|_{q'} \left( \int_R^\infty r^{d-1-(d-\alpha)q} dr \right)^{1/q} \\ &\simeq \|\rho\|_{p'} R^{\frac{d}{p}-d+\alpha} + \|\rho\|_{q'} R^{\frac{d}{q}-d+\alpha}. \end{aligned}$$

By choosing  $R$  such that  $\|\rho\|_{p'} R^{\frac{d}{p}-d+\alpha} = \|\rho\|_{q'} R^{\frac{d}{q}-d+\alpha}$ , we get

$$\left\| \frac{1}{|x|^{d-\alpha}} * \rho \right\|_{\infty} \lesssim \|\rho\|_{p'}^{\frac{1-\frac{\alpha}{d}-\frac{1}{q}}{\frac{1}{p}-\frac{1}{q}}} \|\rho\|_{q'}^{\frac{\frac{1}{p}-1+\frac{\alpha}{d}}{\frac{1}{p}-\frac{1}{q}}},$$

so the inequality

$$\left\| \frac{1}{|x|^{d-\alpha}} * \rho \right\|_{\infty}^{\frac{1}{q}-\frac{1}{p}} \lesssim \|\rho\|_p^{\frac{1}{q}-\frac{\alpha}{d}} \|\rho\|_q^{\frac{\alpha}{d}-\frac{1}{p}}$$

is obtained by interchanging  $p$  and  $q$  with their conjugates. The desired result gets  $p = \infty$ ,  $\alpha = 1$ , and  $q = 1$ .

- (2) Let  $0 < R_a \leq R_b$  be constants which will be determined later. Divide the region radially

$$|\nabla(\frac{1}{|x|^{d-\alpha}} * \rho)| \lesssim \nabla \int_{|x-y| < R_a} + \nabla \int_{R_a \leq |x-y| < R_b} + \nabla \int_{R_b \leq |x-y|}.$$

For the first integral,

$$\begin{aligned} \int_{|y| < R_a} \frac{\nabla \rho(x-y)}{|y|^{d-1}} dy &\leq \|\nabla \rho\|_{\infty} \int_{|y| < R_a} \frac{1}{|y|^{d-1}} dy \\ &\simeq \|\nabla \rho\|_{\infty} \int_0^{R_a} 1 dr = R_a \|\nabla \rho\|_{\infty}. \end{aligned}$$

For the second integral,

$$\begin{aligned} \int_{R_a \leq |x-y| < R_b} \frac{\rho(y)}{|x-y|^d} dy &\leq \|\rho\|_{\infty} \int_{R_a \leq |x-y| < R_b} \frac{1}{|x-y|^d} dy \\ &\simeq \|\rho\|_{\infty} \int_{R_a}^{R_b} \frac{1}{r} dr = (\log \frac{R_b}{R_a}) \|\rho\|_{\infty}. \end{aligned}$$

For the third integral,

$$\int_{R_b \leq |x-y|} \frac{\rho(y)}{|x-y|^d} dy \leq R_b^{-d} \|\rho\|_1.$$

Thus,

$$|\nabla(\frac{1}{|x|^{d-\alpha}} * \rho)| \lesssim R_a \|\nabla \rho\|_{\infty} + (\log \frac{R_b}{R_a}) \|\rho\|_{\infty} + R_b^{-d} \|\rho\|_1.$$

Assuming  $\rho$  is nonzero so that  $\|\nabla \rho\|_{\infty} > 0$ , let  $R_a = \min\{1, \|\nabla \rho\|_{\infty}^{-1}\}$  and  $R_b = 1$ . Since

$$\log \frac{1}{R_a} \leq \log^+ \|\nabla \rho\|_{\infty} \quad \text{and} \quad R_a \lesssim \|\nabla \rho\|_{\infty},$$

we have

$$\|\nabla(\frac{1}{|x|^{d-1}} * \rho)\|_{\infty} \lesssim 1 + \|\rho\|_{\infty} \log^+ \|\nabla \rho\|_{\infty} + \|\rho\|_1. \quad \square$$

**1.2. Characteristics and volume preservation.** The Vlasov-Poisson equation is quite hyperbolic so that the method of characteristic curves are extremely useful. Without explicit representation formula, solutions given by the characteristics make appropriate estimates possible.

**Lemma 1.2.** *Let  $f \in C^1([0, T], C_c^1(\mathbb{R}^6))$  be a solution of the Vlasov-Poisson system.*

- (1) *Fix  $t, x, v$ . The following ordinary equation with variable  $s$  has a solution in  $C^1([0, T], \mathbb{R}^6)$ :*

$$\begin{aligned}\dot{X}(s; t, x, v) &= V(s; t, x, v), & \dot{V}(s; t, x, v) &= \gamma E(t, X(s; t, x, v)), \\ X(t; t, x, v) &= x, & V(t; t, x, v) &= v.\end{aligned}$$

*We call them characteristics.*

- (2) *Fix  $t, x, v$ . Then,  $f(s, X(s; t, x, v), V(s; t, x, v)) = \text{const}$ .*  
 (3) *Fix  $t, s \in [0, T]$  and let  $y = X(s; t, x, v)$  and  $w = V(s; t, x, v)$ . Then, the Jacobian of the coordinates transformation  $(x, v) \mapsto (y, w)$  is 1.*

*Proof.*

- (1) Note that we have

$$\rho \in C^1([0, T]; C_c^1(\mathbb{R}^6)), \quad \Phi \in C^1([0, T]; C^{2, \alpha}(\mathbb{R}^6))$$

so that

$$E \in C^1([0, T]; C^{1, \alpha}(\mathbb{R}^6))$$

by the Hölder regularity of the Poisson equation. Since a map

$$(x, v) \mapsto (v, \gamma E(t, x))$$

is globally Lipschitz with respect to  $(x, v)$  for each  $t$ , we can apply the Picard Lindelöf theorem.

- (2) Differentiate and use the chain rule to get

$$\begin{aligned}\frac{d}{ds} f(s, y, w) &= \partial_t f(s, y, w) + \dot{X}(s; s, y, w) \cdot \nabla_x f(s, y, w) + \dot{V}(s; s, y, w) \cdot \nabla_v f(s, y, w) \\ &= \partial_t f(s, y, w) + w \cdot \nabla_x f(s, y, w) + \gamma E(s, y) \cdot \nabla_v f(s, y, w) = 0,\end{aligned}$$

where we denote  $y = X(s; t, x, v)$  and  $w = V(s; t, x, v)$ .

- (3) Fix  $t, x, v$  and let  $J(s) = \frac{\partial(y, w)}{\partial(x, v)}$  be the Jacobi matrix. We want to show

$$\det J(s) = \text{const}$$

because when  $s = t$  the Jacobian is

$$\det J(0) = \det \frac{\partial(x, v)}{\partial(x, v)} = 1.$$

Since

$$J^{-1}(s) \frac{d}{ds} J(s) = \frac{\partial(x, v)}{\partial(y, x)} \frac{d}{ds} \frac{\partial(y, w)}{\partial(x, v)} = \frac{\partial(\dot{y}, \dot{w})}{\partial(y, w)} = \begin{pmatrix} 0 & 1 \\ \gamma \frac{\partial E}{\partial y}(s, y) & 0 \end{pmatrix},$$

the Jacobi formula deduces that

$$\frac{d}{ds} \det J(s) = \det(s) \operatorname{tr} \left( J^{-1}(s) \frac{d}{ds} J(s) \right) = 0. \quad \square$$

**Corollary 1.3.** *Let  $f \in C^1([0, T], C_c^1(\mathbb{R}^6))$  be a solution of the Vlasov-Poisson system. Then, for any measurable function  $\beta : \mathbb{R} \rightarrow \mathbb{R}$  such that  $\iint \beta \circ f_0(x, v) dv dx < \infty$ , we have*

$$\iint \beta \circ f(t, x, v) dv dx = \text{const}.$$

In particular,

$$\|f(t)\|_p = \text{const}$$

for all  $1 \leq p \leq \infty$ .

*Proof.* Fix  $t, s \in [0, T]$  and denote  $y = X(s; t, x, v)$  and  $w = V(s; t, x, v)$ . Then,

$$\begin{aligned} \iint \beta \circ f(t, x, v) dv dx &= \iint \beta \circ f(s, X(s; t, x, v), V(s; t, x, v)) dv dx \\ &= \iint \beta \circ f(s, y, w) dw dy \end{aligned}$$

for  $s \leq T$ .  $\square$

*Remark.* Note that this result can be obtained in the approximation scheme, which will be suggested in the next section.

To sum up our weapons obtained in 1.1 and 1.2,

**Corollary 1.4.** *If a function  $f \in C^1([0, T], C_c^1(\mathbb{R}^6))$  satisfies*

$$\iint f(t, x, v) dv dx = \text{const},$$

*and if we let*

$$\rho(t, x) = \int f(t, x, v) dv, \quad E(t, x) = \frac{1}{4\pi} \int \frac{(x - y)\rho(t, y)}{|x - y|^3} dy,$$

*then*

- (1)  $\|\rho(t)\|_1 = \text{const},$
- (2)  $\|E(t)\|_\infty \lesssim \|\rho(t)\|_\infty^{2/3},$
- (3)  $\|\nabla E(t)\|_\infty \lesssim 1 + \|\rho\|_\infty \log^+ \|\nabla \rho\|_\infty.$

### 1.3. Conservative laws.

**Lemma 1.5.** *Let  $f \in C^1([0, T], C_c^1(\mathbb{R}^6))$  be a solution of the Vlasov-Poisson system.*

(1) *(Continuity equation)*

$$\rho_t + \nabla_x \cdot j = 0, \quad \text{where } j = \int v f dv.$$

(2) *(Energy conservation)*

$$\iint |v|^2 f dv dx + \gamma \int |E|^2 dx = \text{const}.$$

*Proof.*

(1) Integrate with respect to  $v$  to get

$$\begin{aligned} 0 &= \int f_t dv + \int v \cdot \nabla_x f dv \\ &= \rho_t + \nabla_x \cdot \int v f dv \\ &= \rho_t + \nabla_x \cdot j. \end{aligned}$$

(2) Multiply  $|v|^2$  and integrate with respect to  $v$  and  $x$  to get

$$\begin{aligned} \frac{d}{dt} \iint |v|^2 f dv dx &= \iint |v|^2 f_t dv dx = - \iint |v|^2 \gamma E \cdot \nabla_v f dv dx \\ &= \iint 2v \cdot \gamma E f dv dx = -2\gamma \int \nabla_x \Phi \cdot j dx \\ &= 2\gamma \int \Phi \nabla_x \cdot j dx = 2\gamma \int \Phi \Delta_x \Phi_t dx \\ &= -\frac{d}{dt} \gamma \int |E|^2 dx. \end{aligned}$$

Thus

$$\iint |v|^2 f dv dx + \gamma \int |E|^2 dx = \text{const}.$$

□

**1.4. Moment propagation.** We have a bound of kinetic energy even for  $\gamma = -1$ .

**Lemma 1.6** ( $L^{5/3}$  estimate of  $\rho$ ). *Let  $f \in C^1([0, T], C_c^1(\mathbb{R}^6))$  be a solution of the Vlasov-Poisson system. For  $t \in [0, T]$ ,*

- (1)  $\|\rho(t)\|_{L_x^{5/3}} \lesssim \iint |v|^2 f dv dx.$
- (2)  $\iint |v|^2 f dv dx \lesssim 1.$

*Proof.*

(1) Note

$$\begin{aligned} \rho(t, x) &= \int f(t, x, v) dv \leq \int_{|v| < R} f dv + \frac{1}{R^2} \int_{|v| \geq R} |v|^2 f dv \\ &\lesssim R^3 + R^{-2} \int |v|^2 f dv. \end{aligned}$$

Set  $R^3 = R^{-2} \int |v|^2 f dv$  to get

$$\rho(t, x)^{5/3} \lesssim \int |v|^2 f dv.$$

(2) It is trivial for  $\gamma = +1$ . Suppose  $\gamma = -1$ . By the Hardy-Littlewood-Sobolev inequality,

$$\frac{1}{p} + \frac{\alpha}{d} = \frac{1}{q}$$

for  $p = 2$ ,  $d = 3$ , and  $\alpha = 1$  implies  $q = 6/5$ , hence the bound of  $\|E(t)\|_2$

$$\|E(t)\|_2 \simeq \left\| \frac{1}{|x|^{d-\alpha}} *_x \rho(t, x) \right\|_{L_x^2} \lesssim \|\rho(t)\|_{6/5}.$$

So, interpolation with Hölder's inequality gives

$$\|E(t)\|_2 \lesssim \|\rho\|_1^{7/12} \|\rho\|_{5/3}^{5/12} \simeq \|\rho\|_{5/3}^{5/12}.$$

Thus (1) gives

$$\iint |v|^2 f \, dv \, dx = c + \|E(t)\|_2^2 \lesssim c + \left( \iint |v|^2 f \, dv \, dx \right)^{1/2},$$

so the kinetic energy  $\iint f \, dv \, dx$  is bounded. As a corollary,  $\|\rho(t)\|_{5/3}$  is also bounded.  $\square$

## 2. LOCAL EXISTENCE

### 2.1. Approximate solution.

**Definition 2.1.** We define an (global) *approximate solution* as a sequence of functions  $f_n \in C^1(\mathbb{R}^+, C_c^1(\mathbb{R}^6))$  such that

$$\begin{cases} \partial_t f_{n+1} + v \cdot \nabla_x f_{n+1} + \gamma E_n \cdot \nabla_v f_{n+1} = 0, \\ E_n(t, x) = -\nabla_x \Phi_n, \\ \Phi_n(t, x) = (-\Delta_x)^{-1} \rho_n, \\ \rho_n(t, x) = \int f_n \, dv, \\ f_{n+1}(0, x, v) = f_0(x, v). \end{cases}$$

This definition is made in order to let the force field  $E$  constant when solving  $f_{n+1}$ .

**Proposition 2.1.** *An approximate solution exists.*

*Proof.* Let  $f_0(t, x, v) = f_0(x, v)$ . Notice that  $f_0$  is clearly in  $C^1(\mathbb{R}^+; C_c^1(\mathbb{R}^6))$ . Assume  $f_n \in C^1(\mathbb{R}^+; C_c^1(\mathbb{R}^6))$  satisfies the approximate system. We want to show that there is  $f_{n+1}$  that satisfies the approximate system and  $f_{n+1} \in C^1(\mathbb{R}^+; C_c^1(\mathbb{R}^6))$ .

We have

$$\rho_n \in C^1(\mathbb{R}^+; C_c^1(\mathbb{R}^6)), \quad \Phi_n \in C^1(\mathbb{R}^+; C^{2,\alpha}(\mathbb{R}^6)), \text{ and } E_n \in C^1(\mathbb{R}^+; C^{1,\alpha}(\mathbb{R}^6))$$

by the Hölder regularity of the Poisson equation. Since a map  $(x, v) \mapsto (v, \gamma E_n(t, x))$  is globally Lipschitz with respect to  $(x, v)$  for each  $t$ , the classical Picard iteration uniquely solves the characteristic equation

$$\begin{cases} \dot{X}_{n+1}(s; t, x, v) = V_{n+1}(s, t, x, v) \\ \dot{V}_{n+1}(s; t, x, v) = \gamma E_n(s, X_{n+1}(s; t, x, v)) \end{cases}$$

with condition  $(X_{n+1}(t; t, x, v), V_{n+1}(t; t, x, v)) = (x, v)$  and proves the uniqueness and regularity of the solution  $s \mapsto (X_{n+1}, V_{n+1})(s; t, x, v) \in C^1(\mathbb{R}^+, \mathbb{R}^6)$ .

Define

$$f_{n+1}(t, x, v) := f_0(X_{n+1}(0; t, x, v), V_{n+1}(0; t, x, v)).$$

Then, we can show that

$$\begin{aligned} & f_{n+1}(s, X_{n+1}(s; t, x, v), V_{n+1}(s; t, x, v)) \\ &= f_0(X_{n+1}(0; t, x, v), V_{n+1}(0; t, x, v)) = \text{const} \end{aligned}$$

and that  $f_{n+1}$  satisfies the approximate system by the chain rule

$$\begin{aligned} 0 &= \frac{d}{ds} f_{n+1}(s, X_{n+1}(s; t, x, v), V_{n+1}(s; t, x, v)) \Big|_{s=t} \\ &= \partial_t f_{n+1}(t, x, v) + \dot{X}_{n+1}(t; t, x, v) \cdot \nabla_x f_{n+1}(t, x, v) \\ &\quad + \dot{V}_{n+1}(t; t, x, v) \cdot \nabla_v f_{n+1}(t, x, v) \\ &= \partial_t f_{n+1}(t, x, v) + v \cdot \nabla_x f_{n+1}(t, x, v) + \gamma E_n(t, x) \cdot \nabla_v f_{n+1}(t, x, v). \end{aligned}$$

Also,  $f_{n+1}$  has compact support for each  $t$  since the characteristic does not blow up; finally we have  $f_{n+1} \in C^1(\mathbb{R}^+, C_c^1(\mathbb{R}^6))$ .  $\square$

*Remark.* Although the approximate solution is unique when given the initial term  $f_0(t, x, v) = f_0(x, v)$ , we do not care of the uniqueness, but only the existence.

**2.2. Local A priori estimates.** Firstly, the volume preserving property still holds for our approximate system, so we have

$$\|\rho_n(t)\|_1 = \text{const}, \quad \|f_n(t)\|_p = \text{const}.$$

Next, we prove local-time bounds on fields  $E_n$ . Introduce the following quantity.

**Definition 2.2.** Define the *velocity support* or *maximal velocity*

$$Q_n(t) = \sup\{|v| : f_n(s, x, v) \neq 0, s \in [0, t]\}$$

**Lemma 2.2.** *There is a constant  $T = T(f_0)$  such that*

(1) *for  $t \leq T$*

$$\|\rho_n(t)\|_\infty + \|E_n(t)\|_\infty + Q_n(t) \lesssim 1$$

*independent on  $n$ . In addition, the support of  $X_n$  is also uniformly bounded in  $t \leq T$ .*

(2) *for  $t \leq T$*

$$\|\nabla_x \rho_n(t)\|_\infty + \|\nabla_x E_n(t)\|_\infty \lesssim 1$$

*independent on  $n$ .*

*Proof.*

(1) Since

$$\|\rho_n(t)\|_\infty \leq Q_n^3(t) \|f_0\|_\infty \lesssim Q_n^3(t),$$

a rough estimate for  $\|E\|_\infty$  gives

$$\|E_n(t)\|_\infty \leq \|\rho_n(t)\|_\infty^{2/3} \|\rho_n(t)\|_1^{1/3} \lesssim Q_n^2(t).$$

Let  $c = c(f_0)$  be a constant such that  $\|E_n(t)\| \leq cQ_n^2(t)$ . We claim that

$$Q_n(t) \leq \frac{Q_0}{1 - cQ_0 t}$$



for all  $n$ . Easily checked for  $n = 0$ ;  $Q_0(t) \equiv Q_0 \leq \frac{Q_0}{1-cQ_0t}$ .

Assume  $Q_n(t) \leq \frac{Q_0}{1-cQ_0t}$ . Then,

$$\begin{aligned} |V_{n+1}(t; 0, x, v)| &\leq |v| + \int_0^t |E_n(s; 0, x, v)| ds \\ &\leq Q_0 + c \int_0^t Q_n^2(s) ds \end{aligned}$$

implies

$$\begin{aligned} Q_{n+1}(t) &\leq Q_0 + c \int_0^t Q_n^2(s) ds \\ &\leq Q_0 + c \int_0^t \left( \frac{Q_0}{1-cQ_0s} \right)^2 ds = \frac{Q_0}{1-cQ_0t}. \end{aligned}$$

By induction,  $Q_n(t) \leq \frac{Q_0}{1-cQ_0t} \lesssim 1$  for all  $n$  and  $t \in [0, T]$ , where  $T < (cQ_0)^{-1}$ .

For the position support, we can bound it because

$$|X_n(t; 0, x, v)| \leq |x| + \int_0^t |V_n(s; 0, x, v)| ds \leq |x| + TQ_n(t) \lesssim 1.$$

(2)

□

### 2.3. Convergence of approximate solution.

**Lemma 2.3.** *There is  $T = T(f_0)$  such that*

(1) *for  $t \leq T$  and  $n \geq 1$ ,*

$$\|f_{n+1}(t) - f_n(t)\|_\infty \lesssim \int_0^t \|E_n(s) - E_{n-1}(s)\|_\infty ds.$$

(2) *for  $t \leq T$  and  $n \geq 1$ ,*

$$\|E_n(s) - E_{n-1}(s)\|_\infty \lesssim \|f_n(s) - f_{n-1}(s)\|_\infty.$$

(3)  *$f_n$  converges to a function  $f$  uniformly in  $C([0, T] \times \mathbb{R}^6)$ .*

(4)  *$(X_n, V_n)$  converges uniformly in  $C([0, T] \times \mathbb{R}^6)$ , and its limit  $(X, V)$  satisfies the characteristic equation*

$$\dot{X} = V, \quad \dot{V} = \gamma E,$$

where

$$E(t, x) = \frac{1}{4\pi} \iint \frac{(x-y)f(t, x, v)}{|x-y|^3} dv dx.$$

*Proof.*

(1) The  $C^1$  regularity of  $f_0$  gives

$$\begin{aligned} &|f_{n+1}(t, x, v) - f_n(t, x, v)| \\ &= |f_0(X_{n+1}(0; t, x, v), V_{n+1}(0; t, x, v)) - f_0(X_n(0; t, x, v), V_n(0; t, x, v))| \\ &\lesssim |X_{n+1}(0; t, x, v) - X_n(0; t, x, v)| + |V_{n+1}(0; t, x, v) - V_n(0; t, x, v)|. \end{aligned}$$

Take  $T$  such that

$$\|\nabla E_n(t)\|_\infty \lesssim 1 \quad \text{and} \quad T < \min\{\|\nabla_x E_n(t)\|_\infty^{-1}, 1\}$$

for all  $n$  and  $t \leq T$ . Then, because

$$\begin{aligned} X_n(s; t, x, v) &= x - \int_s^t V_n(s'; t, x, v) ds', \\ V_n(s; t, x, v) &= v - \int_s^t E_{n-1}(s', X_n(s; t, x, v)) ds', \end{aligned}$$

we have

$$\begin{aligned} &|V_{n+1}(s; t, x, v) - V_n(s; t, x, v)| \\ &\leq \int_0^t |E_n(s, X_{n+1}(s; t, x, v)) - E_{n-1}(s, X_n(s; t, x, v))| ds \\ &\leq \int_0^t |E_n(s, X_{n+1}) - E_n(s, X_n)| + |E_n(s, X_n) - E_{n-1}(s, X_n)| ds \\ &\leq T \sup_{s \in [0, t]} \|\nabla_x E_n(s)\|_\infty |X_{n+1}(s) - X_n(s)| + \int_0^t \|E_n(s) - E_{n-1}(s)\|_\infty ds \end{aligned}$$

and

$$\begin{aligned} |X_{n+1}(s; t, x, v) - X_n(s; t, x, v)| &\leq \int_0^t |V_{n+1}(s; t, x, v) - V_n(s; t, x, v)| ds \\ &\leq T \sup_{s \in [0, t]} |V_{n+1}(s) - V_n(s)| \end{aligned}$$

for  $s \in [0, t]$ . Thus, for  $t \leq T$  we get

$$\begin{aligned} &\sup_{s \in [0, t]} (|X_{n+1}(s; t, x, v) - X_n(s; t, x, v)| + |V_{n+1}(s; t, x, v) - V_n(s; t, x, v)|) \\ &\lesssim \int_0^t \|E_n(s) - E_{n-1}(s)\|_\infty ds. \quad \dots\dots\dots (\dagger) \end{aligned}$$

by combining the above two inequalities.

(2) Notice that

$$\|E_n(t) - E_{n-1}(t)\|_\infty \lesssim \|\rho_n(t) - \rho_{n-1}(t)\|_1^{1/3} \|\rho_n(t) - \rho_{n-1}(t)\|_\infty^{2/3}.$$

For  $L^\infty$ -norm,

$$\begin{aligned} \|\rho_n(t) - \rho_{n-1}(t)\|_\infty &\leq \max\{Q_n^3(t), Q_{n-1}^3(t)\} \|f_n(t) - f_{n-1}(t)\|_\infty \\ &\lesssim \|f_n(t) - f_{n-1}(t)\|_\infty. \end{aligned}$$

For  $L^1$ -norm, since the support of  $f_n, f_{n-1}$  is bounded in both directions  $x, v$  in finite time,

$$\|\rho_n(t) - \rho_{n-1}(t)\|_1 \leq \|f_n(t) - f_{n-1}(t)\|_1 \lesssim \|f_n(t) - f_{n-1}(t)\|_\infty$$

for  $t \leq T$ , where  $T < \infty$  arbitrary.

- (3) Let  $T$  be the constant taken in (1). From (1) and (2), there is a constant  $c = c(f_0)$  such that for  $t < T$ ,

$$\|f_{n+1}(t) - f_n(t)\|_\infty \leq c \int_0^t \|f_n(s) - f_{n-1}(s)\| ds.$$

We can easily get with induction

$$\|f_{n+1}(t) - f_n(t)\|_\infty \leq \frac{(ct)^n}{n!} \sup_{s \in [0, T]} \|f_1(s) - f_0(s)\|_\infty \lesssim \frac{(ct)^n}{n!}.$$

Therefore,

$$\sum_{n=0}^{\infty} \|f_{n+1}(t) - f_n(t)\|_\infty \simeq e^{ct} \leq e^{cT} < \infty$$

implies  $f_n$  uniformly converges.

- (4) The convergence is clear by the inequality (†) and the results in (2), (3).  $\square$

**Proposition 2.4.** *Let  $f(t) := \lim_{n \rightarrow \infty} f_n(t)$ . Then,  $f \in C^1([0, T], C_c^1(\mathbb{R}^6))$  and  $f$  is a solution of the Vlasov-Poisson system.*

*Proof.* Let  $X(s; t, x, v)$  and  $V(s; t, x, v)$  be the limits of  $X_n$  and  $V_n$ . Notice that

$$\begin{aligned} f(t, x, v) &= \lim_{n \rightarrow \infty} f_n(t, x, v) = \lim_{n \rightarrow \infty} f_0(X_n(0; t, x, v), V_n(0; t, x, v)) \\ &= f_0(X(0; t, x, v), V(0; t, x, v)). \end{aligned}$$

By the chain rule, we can check it solves the system.  $\square$

## 2.4. Uniqueness.

## 3. GLOBAL EXISTENCE

### 3.1. Prolongation criterion.

**Proposition 3.1.** *If  $Q(t)$  is bounded, then the solution  $f$  of the Vlasov-Poisson system is continued globally to the entire  $\mathbb{R}^+$ .*

*Proof.* Suppose  $f \in C^1([0, T_{\max}), C_c^1(\mathbb{R}^6))$  for  $T_{\max} < \infty$  is the maximal solution.

< To be written... >

It means that the length of time interval for existence has in fact a lower bound  $T > 0$  that depends only on  $Q(T_{\max})$ . Apply the local existence result by setting  $t = T_{\max} - \frac{1}{2}T$  as a new initial point. Then, we can have a solution  $f \in C^1([0, T_{\max} + \frac{1}{2}T), C_c^1(\mathbb{R}^6))$ , which contradicts to the maximality of  $T_{\max}$ . Therefore, the solution  $f$  prolonged forever.  $\square$

**Theorem** (Schaeffer, 1991). *Let  $f_0 \in C_c^1(\mathbb{R}^6)$  and  $f_0 \geq 0$ . Then, the Cauchy problem for the VP system has a unique  $C_c^1$  global solution.*

**3.2. Lower bound on relative position vectors.** Our goal is to obtain a priori estimate like

$$\|E(t)\|_\infty \lesssim Q(t)^a \quad \text{for some } a < 1.$$

Since the force field  $E$  measures the maximal rate of changes in velocity, the estimate can be read very roughly as

$$Q'(t) \lesssim Q(t)^a,$$

which leads its polynomial growth. So we need to bound  $E$ .

Fix a time of existence  $t$  and a point  $(t, \hat{x}, \hat{v})$  and let

$$\hat{X}(s) := X(s; t, \hat{x}, \hat{v}), \quad \hat{V}(s) := V(s; t, \hat{x}, \hat{v}).$$

Decompose  $[t - \Delta, t] \times \mathbb{R}_x^3 \times \mathbb{R}_v^3$  as

$$\begin{aligned} U &= \left\{ (s, x, v) : |v - \hat{V}(t)| \geq P, \quad |y - \hat{X}(s)| \geq r \right\}, \\ B &= \left\{ (s, x, v) : |v - \hat{V}(t)| \geq P, \quad |v| \geq P \right\} \setminus U, \\ G &= \left\{ (s, x, v) : |v - \hat{V}(t)| < P \quad \text{or} \quad |v| < P \right\}. \end{aligned}$$

(We can let  $U \mapsto U \cap \{|v| \geq P\}$  to make the decomposition disjoint.) Later we choose

$$P = Q^{4/11}, \quad r = R \max\{|v|^{-3}, |v - \hat{V}(t)|^{-3}\}, \quad R = Q^{16/33}(\log^+ Q)^{1/2}.$$

Also, later we choose  $\Delta \cdot \sup_{s \leq t} \|E(s)\|_\infty < \frac{P}{4}$ .

Reading the proof, letting  $y = X(s; t, x, v)$  and  $w = V(s; t, x, v)$  be functions of time variable  $s$ , trace carefully the following four quantities:

$$|x - \hat{X}(t)|, \quad |y - \hat{X}(s)|, \quad |v - \hat{V}(t)|, \quad |w - \hat{V}(s)|.$$

The following observation suggests a lower bound of relative position.

**Proposition 3.2.** *Fix  $x, v$ . Let  $P > 0$  and  $0 < \Delta < t$  be constants such that*

$$\Delta \cdot \sup_{s \leq t} \|E(s)\|_\infty < \frac{P}{4}.$$

*If  $v$  satisfies  $|v - \hat{V}(t)| \geq P$ , then there is  $s_0 \in [t - \Delta, t]$  such that*

$$|y - \hat{X}(s)| \geq \frac{1}{4}|v - \hat{V}(t)||s - s_0|$$

*for all  $s \in [t - \Delta, t]$ .*

*Proof.* Since  $\Delta \|E(s)\|_\infty < \frac{P}{4}$ , we have

$$|v - w| < \frac{P}{4} \quad \text{and} \quad |\hat{V}(t) - \hat{V}(s)| < \frac{P}{4}.$$

The condition  $|v - \hat{V}(t)| \geq P$  implies

$$\frac{1}{2}|v - \hat{V}(t)| \leq |v - \hat{V}(t)| - \frac{P}{4} - \frac{P}{4} < |w - \hat{V}(s)|.$$

Let  $Z(s) := y - \widehat{X}(s)$  be the relative position vector. Then,

$$\begin{aligned} Z'(s) &= w - \widehat{V}(s), \\ Z''(s) &= \gamma[E(s, y, w) - E(s, \widehat{X}(s), \widehat{V}(s))]. \end{aligned}$$

Let  $s_0 \in [t - \Delta, t]$  minimize  $s \mapsto |Z(s)|$  and expand  $Z$  as

$$Z(s) = Z(s_0) + Z'(s_0)(s - s_0) + \frac{Z''(\sigma)}{2}(s - s_0)^2$$

for some  $\sigma$  between  $s$  and  $s_0$ . Then,

$$|Z(s_0) + Z'(s_0)(s - s_0)| \geq |Z'(s_0)(s - s_0)| \geq \frac{1}{2}|v - \widehat{V}(t)||s - s_0|$$

and

$$\begin{aligned} \left| \frac{Z''(\sigma)}{2}(s - s_0)^2 \right| &\leq \|E(t)\|_\infty (s - s_0)^2 \leq \|E(t)\|_\infty \Delta |s - s_0| \\ &\leq \frac{P}{4}|s - s_0| \leq \frac{1}{4}|v - \widehat{V}(t)||s - s_0| \end{aligned}$$

proves

$$|y - \widehat{X}(s)| = |Z(s)| \geq \frac{1}{4}|v - \widehat{V}(t)||s - s_0|. \quad \square$$

We introduce time averaging to use the above lower bound.

**Proposition 3.3.** *Fix  $x, v$ . Let  $P > 0$  and  $0 < \Delta < t$  be constants such that*

$$\Delta \cdot \sup_{s \leq t} \|E(s)\|_\infty < \frac{P}{4}.$$

*If  $v$  satisfies  $|v - \widehat{V}(t)| \geq P$ , then*

$$\int_{t-\Delta}^t \frac{1}{|y - \widehat{X}(s)|^2} \chi_A(s) ds \lesssim \frac{r^{-1}}{|v - \widehat{V}(t)|},$$

*where  $A = \{s : |y - \widehat{X}(s)| \geq r\}$ .*

*Proof.* Since  $|y - \widehat{X}(s)| \geq \frac{1}{4}|v - \widehat{V}(t)||s - s_0|$ ,

$$\begin{aligned} \int_{t-\Delta}^t \frac{1}{|y - \widehat{X}(s)|^2} \chi_A(s) ds &\leq 16 \int_{t-\Delta}^t \frac{1}{|v - \widehat{V}(t)|^2 |s - s_0|^2} \chi_A(s) ds \\ &\leq 32 \int_r^\infty \frac{1}{|v - \widehat{V}(t)|^3 |s - s_0|^2} d(|v - \widehat{V}(t)||s - s_0|) \\ &= 32 \frac{r^{-1}}{|v - \widehat{V}(t)|}. \quad \square \end{aligned}$$

### 3.3. Divide and conquer.

3.3.1. *Ugly set estimate.* Therefore, if we let  $r^{-1} \simeq \min\{|v|^3, |v - \widehat{V}(t)|^3\}$ , then

$$\int_{t-\Delta}^t \frac{1}{|y - \widehat{X}(s)|^2} \chi_A(s) ds \lesssim |v|^2$$

so that we have

$$\iiint_U \frac{f(s, y, w)}{|y - \widehat{X}(s)|^2} dw dy ds \lesssim R^{-1} \int |v|^2 f(t, x, v) dv dx \lesssim R^{-1}$$

when

$$U \subset \{(s, x, v) : |v - \widehat{V}(t)| \geq P, \quad |y - \widehat{X}(s)| \geq R \max\{|v|^{-3}, |v - \widehat{V}(t)|^{-3}\}\}.$$

3.3.2. *Bad set estimate.* Consider  $U^c$ . We need to control the union of two regions

$$|y - \widehat{X}(s)| < R|v|^{-3} \quad \text{and} \quad |y - \widehat{X}(s)| < R|v - \widehat{V}(t)|^{-3}.$$

Without any conditions, the integration of fundamental solution with respect to  $y$  gives

$$\int_{|y - \widehat{X}(s)| < r} \frac{1}{|y - \widehat{X}(s)|^2} dy \simeq r.$$

**Claim.** If  $|v| \geq P$  and  $|v - \widehat{V}(t)| \geq P$ , then

$$\int_{U^c} \frac{1}{|y - \widehat{X}(s)|^2} dy \lesssim \max\{|w|^{-3}, |w - \widehat{V}(s)|^{-3}\}$$

for  $s \in [t - \Delta, t]$ .

*Proof.* It follows from

$$|w| \simeq |v|, \quad |w - \widehat{V}(s)| \simeq |v - \widehat{V}(t)|$$

for  $|v| \geq P$  and  $|v - \widehat{V}(t)| \geq P$ . □

3.3.3. *Good set estimate.*

### 3.4. Polynomial decay.

**Lemma 3.4.** *Along the time of existence we have*

$$\|E(t)\|_{L_x^\infty} \lesssim Q(t)^{4/3}.$$

*Proof.* Note that we have

$$\|E\|_\infty \lesssim \|\rho\|_\infty^{4/9} \|\rho\|_{5/3}^{5/9}.$$

Since the velocity support of  $f$  is bounded by finite  $Q(t)$ ,

$$\rho(t, x) = \int_{|v| < Q(t)} f(t, x, v) dv \lesssim Q(t)^3 \|f_0(x)\|_{L_v^\infty} \lesssim Q(t)^3,$$

so

$$\|E(t)\|_{L_x^\infty} \lesssim \|\rho(t)\|_{L_x^\infty}^{4/9} \lesssim Q(t)^{4/3}. \quad \square$$