

# Main notebook

Deepak Mallubhotla

2017-11-25, Last update 2018-01-30

## Inhaltsverzeichnis

<b>1</b>	<b>Drude model parameters</b>	<b>1</b>
1.1	Alternative forms of the Drude model . . . . .	2
1.2	Derivations for Drude model . . . . .	3
1.2.1	DC Conductivity . . . . .	3
1.2.2	AC Conductivity . . . . .	3
1.2.3	Dielectric constant . . . . .	4
<b>2</b>	<b>General discussion of dielectric function</b>	<b>6</b>
<b>3</b>	<b>Reducing Lindhard to Drude</b>	<b>7</b>
3.1	The longitudinal case . . . . .	7
3.2	$f$ . . . . .	8
3.3	Series expansion of $f$ . . . . .	9
3.4	Back to dielectric . . . . .	10
3.5	Looking at transverse Lindhard form . . . . .	11
<b>4</b>	<b>Reducing Lindhard to Thomas-Fermi</b>	<b>12</b>
4.1	Longitudinal Lindhard form going to TF form . . . . .	12
<b>5</b>	<b>Explicit parts of Lindhard function</b>	<b>13</b>
5.1	Pines result . . . . .	13
5.2	Long relaxation time forms of the logs . . . . .	14
5.3	Taking long scattering time limit . . . . .	15
5.4	Looking at classical limit of Pines result . . . . .	17

## 1 Drude model parameters

The assumptions of the Drude model are simple: we have interaction-free electrons that occasionally undergo some scattering process during a time  $dt$  with probability  $\frac{dt}{\tau}$ , where  $\tau$  is some phenomenological parameter. This scattering will randomise electron momentum.

Our ultimate goal will be to find the conductivity  $\sigma$  and the dielectric constant  $\epsilon$  in the Drude model, with Drude relaxation time  $\tau$ , electron density  $n$  and electron mass  $m$ . We'll find

$$\sigma_{\text{DC}} = \frac{ne^2\tau}{m} \quad (1)$$

$$\sigma_{\text{AC}} = \frac{ne^2\tau}{m} \frac{1}{1 - i\omega\tau} \quad \text{For SI and Gaussian} \quad (2)$$

$$\epsilon_r = 1 + i \frac{4\pi\sigma}{\omega} \quad \text{Gaussian} \quad (3a)$$

$$\epsilon_r = 1 + i \frac{\sigma}{\omega\epsilon_0} \quad \text{SI} \quad (3b)$$

Our dielectric constant can be rewritten to plug in for  $\sigma$ , giving us

$$\epsilon = 1 + i \frac{4\pi\sigma}{\omega} \quad (4)$$

$$= 1 + i \frac{4\pi\sigma_{\text{DC}}}{\omega} \frac{1}{1 - i\omega\tau} \quad (5)$$

$$= 1 + i \frac{4\pi\sigma_{\text{DC}}}{\omega} \frac{1}{1 - i\omega\tau} \frac{1 + i\omega\tau}{1 + i\omega\tau} \quad (6)$$

$$= 1 + i \frac{4\pi\sigma_{\text{DC}}}{\omega} \frac{1 + i\omega\tau}{1 + \omega^2\tau^2} \quad (7)$$

$$= \left(1 - \frac{4\pi\sigma_{\text{DC}}\omega\tau}{\omega(1 + \omega^2\tau^2)}\right) + i \left(\frac{4\pi\sigma_{\text{DC}}}{\omega(1 + \omega^2\tau^2)}\right) \quad (8)$$

$$= \left(1 - \frac{4\pi\sigma_{\text{DC}}\tau}{1 + \omega^2\tau^2}\right) + i \left(\frac{4\pi\sigma_{\text{DC}}}{\omega(1 + \omega^2\tau^2)}\right) \quad (9)$$

This lets us write down the explicit real and imaginary of the Drude dielectric function.

### 1.1 Alternative forms of the Drude model

We can also rewrite the dielectric constant very slightly in terms of the plasma frequency. In Gaussian units:

$$\epsilon = 1 + i \frac{4\pi\sigma}{\omega} \quad (10)$$

$$= 1 + i \frac{4\pi}{\omega} \frac{ne^2\tau}{m} \frac{1}{1 - i\omega\tau} \quad (11)$$

$$= 1 + i \frac{4\pi}{\omega} \frac{ne^2\tau}{m} \frac{1}{1 - i\omega\tau} \frac{i\nu}{i\nu} \quad (12)$$

$$= 1 - \frac{4\pi}{\omega} \frac{ne^2}{m} \frac{1}{i\nu + \omega} \quad (13)$$

With  $\omega_p^2 = \frac{4\pi ne^2}{m}$  in Gaussian units, this becomes

$$\epsilon = 1 - \frac{\omega_p^2}{\omega(\omega + i\nu)} \quad (14)$$

We'll see this again later.

## 1.2 Derivations for Drude model

### 1.2.1 DC Conductivity

We can start unit-system independently, with the expression

$$\mathbf{j} = \sigma \mathbf{E}. \quad (15)$$

We can also relate our current to our average electron velocity:  $\mathbf{j} = ne\mathbf{v}$ . Imagine at time  $t = 0$  our electron undergoes a Drude collision, and emerges with  $\mathbf{v}_{t=0} = \mathbf{v}_0$ . After a time  $t$ , the electron will accelerate with acceleration  $-\frac{e\mathbf{E}}{m}$  (which fortunately remains unit independent). Because it will only accelerate for a time  $\tau$  on average before a collision, it will end up with velocity  $\mathbf{v} = -\frac{e\mathbf{E}}{m}\tau + \mathbf{v}_0$ . The average velocity, and current, will be

$$\langle \mathbf{v} \rangle = -\frac{e\mathbf{E}}{m}\tau + \langle \mathbf{v}_0 \rangle \quad (16)$$

$$= -\frac{e\mathbf{E}}{m}\tau \quad (17)$$

$$\frac{\mathbf{j}}{ne} = -\frac{e\mathbf{E}}{m}\tau \quad (18)$$

$$\mathbf{j} = -\frac{ne^2\tau}{m}\mathbf{E}. \quad (19)$$

This of course gives us, unit-independently, our DC conductivity  $\sigma_{\text{DC}} = \frac{ne^2\tau}{m}$ .

### 1.2.2 AC Conductivity

The AC conductivity is also simple, but we want to be a bit more formal about it. We can write out the contributions to velocity in terms of probabilities. The velocity at a time  $dt$  will have probability  $dt/\tau$  of being 0, and will otherwise be the original velocity minus  $a dt$ :

$$\mathbf{v}(dt) = \left(1 - \frac{dt}{\tau}\right) \left(\mathbf{v}_0 - \frac{e\mathbf{E}}{m} dt\right) \quad (20)$$

$$= \mathbf{v}_0 - \frac{dt}{\tau}\mathbf{v}_0 - \frac{e\mathbf{E}}{m} dt, \quad (21)$$

where we've invoked our inalienable right as physicists to ignore all terms  $\mathcal{O}(dt^2)$ . This reduces, using the definition of  $d\mathbf{v} = \mathbf{v}(dt) - \mathbf{v}_0$ , to

$$d\mathbf{v} = \frac{dt}{\tau} \mathbf{v} - \frac{e\mathbf{E}}{m} dt \quad (22)$$

$$\frac{d\mathbf{v}}{dt} = \frac{\mathbf{v}}{\tau} - \frac{e\mathbf{E}}{m} \quad (23)$$

We can quickly Fourier transform this, using  $\frac{d}{dt} \rightarrow -i\omega$ , and we get (after surreptitiously dropping some vector signs)

$$-i\omega v(\omega) = -\frac{v(\omega)}{\tau} - \frac{eE(\omega)}{m} \quad (24)$$

$$v(\omega) = \frac{eE(\omega)}{m \left( \frac{1}{\tau} - i\omega \right)} \quad (25)$$

$$j(\omega) = \frac{ne^2 E(\omega)}{m \left( \frac{1}{\tau} - i\omega \right)} \quad (26)$$

$$= \frac{ne^2 \tau E(\omega)}{m (1 - i\omega\tau)}, \quad (27)$$

which gives us our AC conductivity in equation (2).

### 1.2.3 Dielectric constant

Now for our dielectric constant, we have to find some other defining relation on par with (15). The relevant equation we'll want to look at looks like our wave equation

$$-\nabla^2 \mathbf{E} = \frac{\omega^2}{v_{\text{phase}}^2} \mathbf{E} \quad (28)$$

The phase velocity would satisfy

$$\frac{1}{v_{\text{phase}}^2} = \mu\epsilon \quad (29)$$

The cleanest thing to do is to consider relative dielectric constants  $\epsilon_r = \frac{\epsilon}{\epsilon_0}$ . For a non-magnetic material, where  $\mu = \mu_0$ , and we can see that

$$\frac{1}{v_{\text{phase}}^2} = \mu\epsilon \quad (30)$$

$$= \mu_0 \epsilon_0 \epsilon_r \quad (31)$$

$$= \frac{1}{c^2} \epsilon_r, \quad (32)$$

which makes our defining relationship

$$-\nabla^2 \mathbf{E} = \frac{\omega^2}{c^2} \epsilon_r(\omega) \mathbf{E} \quad (33)$$

For non-magnetic materials, thus, we have our defining relationship. The Drude model falls out of solving for this using Maxwell's equations (in SI units!):

$$\nabla \cdot \mathbf{E} = \frac{\rho}{\epsilon} \quad (34)$$

$$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t} \quad (35)$$

$$\nabla \cdot \mathbf{B} = 0 \quad (36)$$

$$\nabla \times \mathbf{B} = \mu_0 \mathbf{j} + \mu_0 \epsilon_0 \frac{\partial \mathbf{E}}{\partial t} \quad (37)$$

Now, in order to find  $-\nabla^2 \mathbf{E}$ , we can use everyone's fav identity

$$\nabla \times (\nabla \times \mathbf{r}) = \nabla(\nabla \cdot \mathbf{r}) - \nabla^2 \mathbf{r} \quad (38)$$

Our goal is thus to find  $\nabla \times (\nabla \times \mathbf{E})$

$$-\nabla^2 \mathbf{E} = \nabla \times (\nabla \times \mathbf{E}) - \nabla(\nabla \cdot \mathbf{E}) \quad (39)$$

$$= \nabla \times (\nabla \times \mathbf{E}) - \nabla(0) \quad (40)$$

$$= \nabla \times \left( -\frac{\partial \mathbf{B}}{\partial t} \right) \quad (41)$$

Working in Fourier transformed time space, with  $\frac{d}{dt} \rightarrow -i\omega$ ,

$$-\nabla^2 \mathbf{E} = i\omega \nabla \times (\mathbf{B}) \quad (42)$$

$$= i\omega \left( \mu_0 \mathbf{j} + \mu_0 \epsilon_0 \frac{\partial \mathbf{E}}{\partial t} \right) \quad (43)$$

$$= i\omega \left( \frac{1}{c^2 \epsilon_0} \mathbf{j} + \frac{1}{c^2} \frac{\partial \mathbf{E}}{\partial t} \right) \quad (44)$$

$$= i\frac{\omega}{c^2} \left( \frac{1}{\epsilon_0} \mathbf{j} - i\omega \mathbf{E} \right) \quad (45)$$

$$= \frac{\omega}{c^2} \left( \omega \mathbf{E} + i\frac{1}{\epsilon_0} \mathbf{j} \right) \quad (46)$$

$$= \frac{\omega^2}{c^2} \left( \mathbf{E} + i\frac{1}{\omega \epsilon_0} \mathbf{j} \right) \quad (47)$$

Up to now, the only real assumptions have been that we're working with a non-magnetic material, and that we're looking at waves specifically. We can now insert the assumption that  $\mathbf{j} = \sigma \mathbf{E}$ . One important note here is that we're implicitly assuming we have this well defined relationship, which I believe only really works for cases where  $\mathbf{E}$  (and thus,  $\mathbf{J}$ ) is constant over scales larger than the electron mean free path. Otherwise, we wouldn't be able to write this down in this way (this is slightly weaker than our earlier assumption of constant field, and explains how to find out how big of field wavelengths the Drude results will be valid over). Plugging this in,

$$-\nabla^2 \mathbf{E} = \frac{\omega^2}{c^2} \left( \mathbf{E} + i \frac{1}{\omega \epsilon_0} \sigma \mathbf{E} \right) \quad (48)$$

$$= \frac{\omega^2}{c^2} \left( 1 + \frac{i\sigma}{\omega \epsilon_0} \right) \mathbf{E} \quad (49)$$

Comparing this with (33), we see that we have, in SI units,

$$\epsilon_r = 1 + \frac{i\sigma}{\omega \epsilon_0}, \quad (50)$$

confirming the earlier claim.

## 2 General discussion of dielectric function

We can start by looking at some basic relationships between  $\mathbf{D}$ ,  $\mathbf{E}$ . In SI units, and writing  $\epsilon$  instead of  $\epsilon_r$  to avoid unnecessary subscripts,

$$\mathbf{D}_\alpha(r) = \int d^d r' \epsilon_{\alpha\beta}(r, r') \epsilon_0 \mathbf{E}_\beta(r'). \quad (51)$$

We can start by making the large assumption that the system is isotropic, and thus, the position dependence for  $\epsilon$  must be of the form  $\epsilon_{\alpha\beta}(r - r')$ . This justifies the following:

$$\mathbf{D}_\alpha(r) = \int d^d r' \epsilon_{\alpha\beta}(r-r') \epsilon_0 \mathbf{E}_\beta(r') \quad (52)$$

$$= \int d^d r' \epsilon_{\alpha\beta}(r-r') \epsilon_0 \mathbf{E}_\beta(r') e^{iqr'} e^{-iqr'} \quad (53)$$

$$= \int d^d r' \epsilon_{\alpha\beta}(r-r') \epsilon_0 \mathbf{E}_\beta(r') e^{iqr'} e^{-iqr'} \quad (54)$$

$$= \int d^d r' \epsilon_{\alpha\beta}(r-r') e^{iqr'} \epsilon_0 \mathbf{E}_\beta(r') e^{-iqr'} \quad (55)$$

$$\mathbf{D}_\alpha(r) e^{-iqr} = \int d^d r' \epsilon_{\alpha\beta}(r-r') e^{iqr'} e^{-iqr} \epsilon_0 \mathbf{E}_\beta(r') e^{-iqr'} \quad (56)$$

$$\mathbf{D}_\alpha(r) e^{-iqr} = \int d^d r' \epsilon_{\alpha\beta}(r-r') e^{-iq(r-r')} \epsilon_0 \mathbf{E}_\beta(r') e^{-iqr'} \quad (57)$$

If we integrate this over  $r$ , and recognise our Fourier transforms, this becomes

$$D_\alpha(q) = \epsilon_{\alpha\beta}(q) E_\beta(q) \quad (58)$$

This is a fair result for the assumption of isotropy. We have an implicit sum over  $\beta$  here to look at.

Skipping over some details to fill in later, we end up with

$$\frac{1}{\epsilon_r(q, \omega)} = 1 + \frac{4\pi e^2}{q^2} \Pi(q, \omega), \quad (59)$$

where  $\Pi(q, \omega)$  is a response function satisfying

$$n_{ind}(q, \omega) = \Pi(q, \omega) V_f(q, \omega) \quad (60)$$

Here  $n_{ind}$  is the number density of induced electrons, and  $V_f$  is the voltage created by any free electrons in the metal (which isn't quite the same as an external voltage, but I think you might be able to ignore that difference).

### 3 Reducing Lindhard to Drude

#### 3.1 The longitudinal case

We want to see how we can reduce the longitudinal Lindhard dielectric function

$$\epsilon_l = 1 + \frac{3\omega_p^2}{k^2 v_F^2} \frac{(\omega + i\nu) f_l((\omega + i\nu)/kv_f)}{\omega + i\nu f_l((\omega + i\nu)/kv_f)}, \quad (61)$$

where

$$f_l(x) = 1 - \frac{x}{2} \ln \frac{x+1}{x-1}. \quad (62)$$

We can reduce things in the  $k \rightarrow 0$  limit. The first half of (61) has the simple  $\frac{1}{k^2}$  dependence, so we can look at how the rest of it behaves to start with.

### 3.2 f

$$f_l((\omega + i\nu)/kv_F) = 1 - \frac{(\omega + i\nu)/kv_F}{2} \ln \frac{(\omega + i\nu)/kv_F + 1}{(\omega + i\nu)/kv_F - 1} \quad (63)$$

Defining  $\eta = \omega + i\nu$ :

$$f_l((\omega + i\nu)/kv_f) = 1 - \frac{(\omega + i\nu)/kv_f}{2} \ln \frac{(\omega + i\nu)/kv_f + 1}{(\omega + i\nu)/kv_f - 1} \quad (64)$$

$$f_l(\eta/kv_F) = 1 - \frac{\eta}{2kv_F} \ln \frac{\eta + kv_F}{\eta - kv_F} \quad (65)$$

$$f_l = 1 - \frac{\eta}{2v_F} \frac{\ln \frac{\eta + kv_F}{\eta - kv_F}}{k} \quad (66)$$

$$\lim_{k \rightarrow 0} f_l = 1 - \frac{\eta}{2v_F} \frac{d \ln \frac{\eta + kv_F}{\eta - kv_F}}{dk} \quad (67)$$

$$= 1 - \frac{\eta}{2v_F} \frac{\eta - kv_F}{\eta + kv_F} \frac{v_F(\eta - kv_F) + v_F(\eta + kv_F)}{(\eta - kv_F)^2} \quad (68)$$

$$= 1 - \frac{\eta}{2} \frac{\eta - kv_F}{\eta + kv_F} \frac{(\eta - kv_F) + (\eta + kv_F)}{(\eta - kv_F)^2} \quad (69)$$

$$= 1 - \frac{\eta}{2} \frac{1}{\eta + kv_F} \frac{2\eta}{\eta - kv_F} \quad (70)$$

$$= 1 - \frac{\eta^2}{\eta^2 - k^2 v_F^2} \quad (71)$$

$$= \frac{-k^2 v_F^2}{\eta^2 - k^2 v_F^2} \quad (72)$$

$$\lim_{k \rightarrow 0} f_l = 0 \quad (73)$$

Note that this goes to 0 for  $k \rightarrow 0$ .



### 3.3 Series expansion of $f$

The previous section gives the limit, but having the actual series expansion is probably more valuable. Again, with  $\eta = \omega + i\nu$ ,

$$f_l((\omega + i\nu)/kv_f) = 1 - \frac{(\omega + i\nu)/kv_f}{2} \ln \frac{(\omega + i\nu)/kv_f + 1}{(\omega + i\nu)/kv_f - 1} \quad (74)$$

$$f_l(\eta/kv_F) = 1 - \frac{\eta}{2v_F k} \ln \frac{\eta + kv_F}{\eta - kv_F} \quad (75)$$

We want to expand up to  $k^2$  overall, to cancel out the  $k^2$  in the denominator of (61). We're looking at the function.

$$\frac{\ln(\eta + kv_F)}{k} - \frac{\ln(\eta - kv_F)}{k} \quad (76)$$

Generally, the derivatives of  $\frac{g(a \pm x)}{x}$  are

$$\left( \frac{g(x)}{x} \right)' = \frac{\pm g'}{x} - \frac{g}{x^2} \quad (77)$$

$$\left( \frac{g(x)}{x} \right)'' = \frac{g''}{x} - \frac{\pm 2g'}{x^2} + \frac{2g}{x^3} \quad (78)$$

$$\left( \frac{g(x)}{x} \right)''' = \frac{\pm g'''}{x} - \frac{3g''}{x^2} + \frac{\pm 6g'}{x^3} - \frac{6g}{x^4} \quad (79)$$

When we take the difference, we see that we'll only end up keeping (and doubling) the terms of odd derivatives. Thus, up to this order, the series for  $\frac{g(a+x)-g(a-x)}{x}$  will look like:

$$\frac{1}{2} \frac{g(a+x) - g(a-x)}{x} = x \frac{g'}{x} - \frac{1}{2} x^2 \frac{2g'}{x^2} + \frac{1}{6} x^3 \left( \frac{g'''}{x} + \frac{6g'}{x^3} \right) \quad (80)$$

$$= g' - g' + \frac{1}{6} x^2 g''' + g' \quad (81)$$

$$\frac{g(a+x) - g(a-x)}{x} = 2g' + \frac{1}{3} x^2 g''' + \mathcal{O}(x^4) \quad (82)$$

This type of result is to be expected: we are starting with an even function. For  $g = \ln(\eta + kv_F)$ , we have

$$g'(k=0) = \frac{v_F}{\eta} \quad (83)$$

$$g'''(k=0) = \frac{2v_F^3}{\eta^3} \quad (84)$$

Plugging these into (75) gives us:

$$f_l(\eta/kv_F) = 1 - \frac{\eta}{2v_F k} \ln \frac{\eta + kv_F}{\eta - kv_F} \quad (85)$$

$$= 1 - \frac{\eta}{2v_F} \left( 2 \frac{v_F}{\eta} + \frac{1}{3} k^2 \frac{2v_F^3}{\eta^3} \right) \quad (86)$$

$$= 1 - 1 - \frac{1}{3} k^2 \frac{v_F^2}{\eta^2} \quad (87)$$

$$= -\frac{k^2 v_F^2}{3\eta^2} \quad (88)$$

This gives us a simple approximation for  $f_l$  in the long wavelength limit.

### 3.4 Back to dielectric

$$\epsilon_l = 1 + \frac{3\omega_p^2}{k^2 v_F^2} \frac{(\omega + i\nu) f_l((\omega + i\nu)/kv_f)}{\omega + i\nu f_l((\omega + i\nu)/kv_f)} \quad (89)$$

In the denominator, we can note that  $\omega$  should dominate  $i\nu f$ , because  $f$  goes to zero, so we can simplify that.

$$\epsilon_l = 1 + \frac{3\omega_p^2}{k^2 v_F^2} \frac{(\omega + i\nu) f_l((\omega + i\nu)/kv_f)}{\omega} \quad (90)$$

Using (88), we get

$$\epsilon_l = 1 + \frac{3\omega_p^2}{k^2 v_F^2} \frac{(\omega + i\nu) f_l((\omega + i\nu)/kv_f)}{\omega} \quad (91)$$

$$= 1 + \frac{3\omega_p^2}{k^2 v_F^2} \frac{(\omega + i\nu) \frac{-k^2 v_F^2}{3\eta^2}}{\omega} \quad (92)$$

$$= 1 - 3\omega_p^2 \frac{\eta \frac{1}{3\eta^2}}{\omega} \quad (93)$$

$$= 1 - \frac{\omega_p^2}{\omega(\omega + i\nu)} \quad (94)$$

This is the Drude limit, keeping in mind that  $\omega_p^2 = \frac{4\pi n e^2}{m}$  in Gaussian units.

### 3.5 Looking at transverse Lindhard form

It's possible also to show that the transverse Lindhard dielectric function ends up also going to the Drude form in the  $k \rightarrow 0$  limit.

Writing out  $\epsilon_t$ :

$$e_t = 1 - \frac{\omega_p^2}{\omega\eta} \left[ \frac{3}{2} \frac{\eta^2}{v_F^2 k^2} - \frac{3}{4} \frac{\eta}{v_F k} \left( \frac{\eta^2}{v_F^2 k^2} - 1 \right) \ln \frac{\eta + v_F k}{\eta - v_F k} \right] \quad (95)$$

We can also simplify this slightly, to highlight the similarities between this and the longitudinal forms:

$$e_t = 1 - \frac{3\omega_p^2}{2\omega\eta} \left[ \frac{\eta^2}{v_F^2 k^2} - \frac{1}{2} \frac{\eta}{v_F k} \left( \frac{\eta^2}{v_F^2 k^2} - 1 \right) \ln \frac{\eta + v_F k}{\eta - v_F k} \right] \quad (96)$$

$$= 1 - \frac{3\omega_p^2}{2\omega\eta} \left[ \frac{\eta^2}{v_F^2 k^2} - \frac{1}{2} \frac{\eta^2}{v_F^2 k^2} \left( \frac{\eta}{v_F k} - \frac{v_F k}{\eta} \right) \ln \frac{\eta + v_F k}{\eta - v_F k} \right] \quad (97)$$

$$= 1 - \frac{3\omega_p^2}{2\omega\eta} \frac{\eta^2}{v_F^2 k^2} \left[ 1 - \frac{1}{2} \left( \frac{\eta}{v_F k} - \frac{v_F k}{\eta} \right) \ln \frac{\eta + v_F k}{\eta - v_F k} \right] \quad (98)$$

$$= 1 - \frac{3\omega_p^2 \eta}{2\omega v_F^2 k^2} \left[ 1 - \frac{1}{2} \left( \frac{\eta}{v_F k} - \frac{v_F k}{\eta} \right) \ln \frac{\eta + v_F k}{\eta - v_F k} \right] \quad (99)$$

There is an extra term within the brackets, as well as an extra factor of  $-\frac{1}{2}$  on the outside.

To find the  $k \rightarrow 0$  limit here, we can do the same series expansion as we did for the longitudinal case. The relevant series to find is for the bracketed portion, which we can break into two parts:

$$1 - \frac{1}{2} \frac{\eta}{v_F k} \ln \frac{\eta + v_F k}{\eta - v_F k} - \frac{1}{2} \frac{v_F k}{\eta} \ln \frac{\eta + v_F k}{\eta - v_F k} \quad (100)$$

We have already done the expansion of the first two terms earlier, and we found that that should equal  $-\frac{k^2 v_F^2}{3\eta^2}$ . We now need the series expansion of the third term. Like earlier, we can write out the derivatives of  $kg(a \pm k)$ , keeping in mind that the log will become a difference:

$$(kg)' = g \pm kg' \quad (101)$$

$$(kg)'' = \pm 2g' + kg'' \quad (102)$$

After  $k \rightarrow 0$  and the subtraction, the only term remaining will be the  $2 \pm g'$  term. This will end up giving us

$$\frac{1}{2} \frac{v_F}{\eta} k \ln \frac{\eta + v_F k}{\eta - v_F k} = \frac{1}{2} \frac{v_F}{\eta} \frac{1}{2} k^2 4 \frac{v_F}{\eta} \quad (103)$$

$$= \frac{k^2 v_F^2}{\eta^2} \quad (104)$$

Adding this to (100), we end up with

$$1 - \frac{1}{2} \left( \frac{\eta}{v_F k} - \frac{v_F k}{\eta} \right) \ln \frac{\eta + v_F k}{\eta - v_F k} = -\frac{k^2 v_F^2}{3\eta^2} + \frac{k^2 v_F^2}{\eta^2} \quad (105)$$

$$= \frac{2}{3} \frac{k^2 v_F^2}{\eta^2} \quad (106)$$

Plugging this into (99) gives us

$$e_t = 1 - \frac{3\omega_p^2 \eta}{2\omega v_F^2 k^2} \left[ 1 - \frac{1}{2} \left( \frac{\eta}{v_F k} - \frac{v_F k}{\eta} \right) \ln \frac{\eta + v_F k}{\eta - v_F k} \right] \quad (107)$$

$$= 1 - \frac{3\omega_p^2 \eta}{2\omega v_F^2 k^2} \frac{2}{3} \frac{k^2 v_F^2}{\eta^2} \quad (108)$$

$$= 1 - \frac{\omega_p^2}{\omega \eta} \quad (109)$$

$$= 1 - \frac{\omega_p^2}{\omega (\omega + i\nu)} \quad (110)$$

So to lowest order, both the longitudinal and transverse dielectric functions reduce to the Drude case in the  $k \rightarrow 0$  limit. This makes intuitive sense: The Drude derivation assumes an isotropic  $\mathbf{E}$ . There should remain no distinction between transverse and longitudinal in this limit.

## 4 Reducing Lindhard to Thomas-Fermi

We can also try to recover the Thomas-Fermi dielectric function

$$\epsilon_l = 1 + \frac{k_{TF}^2}{k^2} \quad (111)$$

We'll see this in the static limit  $\omega \rightarrow 0$ .

### 4.1 Longitudinal Lindhard form going to TF form

$$\epsilon_l = 1 + \frac{3\omega_p^2}{k^2 v_F^2} \frac{(\omega + i\nu) f_l((\omega + i\nu)/kv_f)}{\omega + i\nu f_l((\omega + i\nu)/kv_f)}, \quad (112)$$

This limit is simpler to take:

$$\epsilon_l = 1 + \frac{3\omega_p^2}{k^2 v_F^2} \frac{(\omega + i\nu) f_l((\omega + i\nu)/kv_f)}{\omega + i\nu f_l((\omega + i\nu)/kv_f)} \quad (113)$$

$$= 1 + \frac{3\omega_p^2}{k^2 v_F^2} \frac{i\nu f_l((\omega + i\nu)/kv_f)}{i\nu f_l((\omega + i\nu)/kv_f)} \quad (114)$$

$$= 1 + \frac{3\omega_p^2}{k^2 v_F^2} \quad (115)$$

Using the definition  $k_{TF}^2 = \frac{3\omega_p^2}{v_F^2}$ , we rather easily get the Thomas-Fermi form.

It's worth noting that we get some disagreement between the Thomas-Fermi form and the Drude form around the region where both  $k$  and  $\omega$  go to zero.

## 5 Explicit parts of Lindhard function

We want to find the explicit real and imaginary parts of the Lindhard function. To begin with, we can start with the case where  $\nu \rightarrow 0$ , which means long relaxation times.

We have our Lindhard form

$$\epsilon_l = 1 + \frac{3\omega_p^2}{k^2 v_F^2} \frac{(\omega + i\nu) f_l((\omega + i\nu)/kv_f)}{\omega + i\nu f_l((\omega + i\nu)/kv_f)}, \quad (116)$$

where

$$f_l(x) = 1 - \frac{x}{2} \ln \frac{x+1}{x-1}. \quad (117)$$

### 5.1 Pines result

From Pines, we have the forms

$$\begin{aligned} \text{Re}[\epsilon_l] = 1 + \frac{k_{TF}^2}{k^2} & \left( \frac{1}{2} + \frac{k_F}{4k} \left[ \left( 1 - \frac{\left( \omega - \frac{\hbar k^2}{2m} \right)^2}{k^2 v_F^2} \right) \ln \left| \frac{\omega - kv_F - \frac{\hbar k^2}{2m}}{\omega + kv_F - \frac{\hbar k^2}{2m}} \right| \right. \right. \\ & \left. \left. + \left( 1 - \frac{\left( \omega + \frac{\hbar k^2}{2m} \right)^2}{k^2 v_F^2} \right) \ln \left| \frac{\omega + kv_F + \frac{\hbar k^2}{2m}}{\omega - kv_F + \frac{\hbar k^2}{2m}} \right| \right] \right) \end{aligned} \quad (118)$$

$$\text{Im}[\epsilon_l] = \begin{cases} \frac{\pi}{2} \frac{\omega}{kv_F} \frac{k_{TF}^2}{k^2}, & \omega \leq kv_F - \frac{\hbar k^2}{2m} \\ \frac{\pi}{4} \frac{k_F}{k} \left( 1 - \frac{\left( \omega - \frac{\hbar k^2}{2m} \right)^2}{k^2 v_F^2} \right) \frac{k_{TF}^2}{k^2}, & kv_F - \frac{\hbar k^2}{2m} \leq \omega \leq kv_F + \frac{\hbar k^2}{2m} \\ 0, & \omega \geq kv_F + \frac{\hbar k^2}{2m} \end{cases} \quad (119)$$

## 5.2 Long relaxation time forms of the logs

In order to analyse the  $\nu \rightarrow 0$  limit, we can start by looking at what happens to the logarithms in the Lindhard function:

$$\ln \frac{\omega + i\nu + kv_F}{\omega + i\nu - kv_F} \quad (120)$$

The first thing to note is that the numerator will always have a very small, positive argument, while the denominator will have a small argument which may be positive or negative. As Lindhard mentions, these logarithms should all have imaginary parts between  $\pm i\pi$ . This effectively means we can treat each logarithm as giving the principal value, which give us a result that looks like

$$\ln \frac{\sqrt{(\omega + kv_F)^2 + \nu^2}}{\sqrt{(\omega - kv_F)^2 + \nu^2}} + i(\theta_+ - \theta_-), \quad (121)$$

where  $\theta_+$  and  $\theta_-$  are the arguments of the numerator and denominator. For small  $\nu$ ,  $\theta_+$  is proportional to  $\nu$ , as it'll be determined by an arcsine. However, the denominator may be negative, which would contribute a factor of  $\theta_- = +i\pi$  (with a plus sign because  $\nu$  would be just above the real line).

$$\ln \frac{\omega + i\nu + kv_F}{\omega + i\nu - kv_F} = \ln \frac{\sqrt{(\omega + kv_F)^2 + \nu^2}}{\sqrt{(\omega - kv_F)^2 + \nu^2}} + i(\theta_+ - \theta_-) \quad (122)$$

$$= \ln \frac{\sqrt{(\omega + kv_F)^2}}{\sqrt{(\omega - kv_F)^2}} - \sigma i\pi \quad (123)$$

$$= \ln \left| \frac{\omega + kv_F}{\omega - kv_F} \right| - \sigma i\pi, \quad (124)$$

where  $\sigma = 1$  if  $\omega < kv_F$ , and 0 otherwise.

### 5.3 Taking long scattering time limit

To make our constants line up with the Pines form, we'll use the definition  $k_{TF}^2 = \frac{3\omega_p^2}{v_F^2}$ , giving us

$$\epsilon_l = 1 + \frac{k_{TF}^2}{k^2} \frac{(\omega + i\nu) f_l((\omega + i\nu)/kv_f)}{\omega + i\nu f_l((\omega + i\nu)/kv_f)}, \quad (125)$$

We can't simply set  $\nu = 0$ , as we need to respect the relation

$$\frac{1}{x + i\delta} = \frac{1}{x} - i\pi\delta(x) \quad (126)$$

$$\epsilon_l = 1 + \frac{k_{TF}^2}{k^2} \frac{(\omega + i\nu) \left(1 - \frac{\omega + i\nu}{2kv_F} \left(\ln \left| \frac{\omega + kv_F}{\omega - kv_F} \right| - \sigma i\pi\right)\right)}{\omega + i\nu \left(1 - \frac{\omega + i\nu}{2kv_F} \left(\ln \left| \frac{\omega + kv_F}{\omega - kv_F} \right| - \sigma i\pi\right)\right)}. \quad (127)$$

We can eliminate all the terms proportional to  $\nu$  in the numerator, as they will disappear as we take our limit:

$$\epsilon_l = 1 + \frac{k_{TF}^2}{k^2} \frac{(\omega + i\nu) \left(1 - \frac{\omega + i\nu}{2kv_F} \left(\ln \left| \frac{\omega + kv_F}{\omega - kv_F} \right| - \sigma i\pi\right)\right)}{\omega + i\nu \left(1 - \frac{\omega + i\nu}{2kv_F} \left(\ln \left| \frac{\omega + kv_F}{\omega - kv_F} \right| - \sigma i\pi\right)\right)} \quad (128)$$

$$\epsilon_l = 1 + \frac{k_{TF}^2}{k^2} \frac{\omega \left(1 - \frac{\omega}{2kv_F} \left(\ln \left| \frac{\omega + kv_F}{\omega - kv_F} \right| - \sigma i\pi\right)\right)}{\omega + i\nu \left(1 - \frac{\omega + i\nu}{2kv_F} \left(\ln \left| \frac{\omega + kv_F}{\omega - kv_F} \right| - \sigma i\pi\right)\right)} \quad (129)$$

$$\epsilon_l = 1 + \frac{k_{TF}^2}{k^2} \frac{\omega}{2kv_F} \frac{2kv_F - \omega \ln \left| \frac{\omega + kv_F}{\omega - kv_F} \right| + i\omega\pi\sigma}{\omega + i\nu \left(1 - \frac{\omega + i\nu}{2kv_F} \left(\ln \left| \frac{\omega + kv_F}{\omega - kv_F} \right| - \sigma i\pi\right)\right)} \quad (130)$$

We can now look at just the denominator:

$$= \omega + i\nu \left(1 - \frac{\omega + i\nu}{2kv_F} \left(\ln \left| \frac{\omega + kv_F}{\omega - kv_F} \right| - \sigma i\pi\right)\right) \quad (131)$$

$$= \omega + i\nu - i\nu \frac{\omega + i\nu}{2kv_F} \left(\ln \left| \frac{\omega + kv_F}{\omega - kv_F} \right| - \sigma i\pi\right) \quad (132)$$

$$= \omega + i\nu + \frac{\nu^2 - i\nu\omega}{2kv_F} \left(\ln \left| \frac{\omega + kv_F}{\omega - kv_F} \right| - \sigma i\pi\right) \quad (133)$$

$$= \omega + \frac{\nu^2}{2kv_F} L - \frac{\nu\omega\pi\sigma}{2kv_F} + i\nu - i \frac{\nu\omega}{2kv_F} L - i \frac{\nu^2\sigma\pi}{2kv_F}, \quad (134)$$

where  $L = \ln \left| \frac{\omega + kv_F}{\omega - kv_F} \right|$ . We can notice here that all the imaginary terms are proportional to  $\nu$ . We can ignore the  $\nu^2$  term, as it will go to zero faster than the other terms. We can see that we essentially have two regimes: if  $\omega < 2kv_F$ , this will have a positive imaginary part, and if  $\omega > 2kv_F$ , the imaginary part will be negative.

We can also notice that the only real part that will survive the limiting process is simply  $\omega$  (which is of course clear from the original form of the denominator anyway). This lets us essentially write the denominator as

$$\omega + i\nu\zeta C, \quad (135)$$

where I'm defining  $\zeta$  as 1 if  $\omega < 2kv_F$ , and  $-1$  otherwise. We also can note that  $C$  is an irrelevant positive constant; when we take the long scattering time limit, all that matters is that the imaginary part is proportional to  $\nu$ . Plugging this back into (130) gives us

$$\epsilon_l = 1 + \frac{k_{TF}^2}{k^2} \frac{\omega}{2kv_F} \frac{2kv_F - \omega \ln \left| \frac{\omega + kv_F}{\omega - kv_F} \right| + i\omega\pi\sigma}{\omega + i\nu \left( 1 - \frac{\omega + i\nu}{2kv_F} \left( \ln \left| \frac{\omega + kv_F}{\omega - kv_F} \right| - \sigma i\pi \right) \right)} \quad (136)$$

$$\epsilon_l = 1 + \frac{k_{TF}^2}{k^2} \frac{\omega}{2kv_F} \frac{2kv_F - \omega \ln \left| \frac{\omega + kv_F}{\omega - kv_F} \right| + i\omega\pi\sigma}{\omega + i\nu\zeta C} \quad (137)$$

$$= 1 + \frac{k_{TF}^2}{k^2} \frac{\omega}{2kv_F} \left( 2kv_F - \omega \ln \left| \frac{\omega + kv_F}{\omega - kv_F} \right| + i\omega\pi\sigma \right) \left( \frac{1}{\omega} - i\pi\zeta\delta(\omega) \right) \quad (138)$$

$$= 1 + \frac{k_{TF}^2}{k^2} \frac{\omega}{2kv_F} \left( \frac{2kv_F}{\omega} - L + i\pi\sigma - i\pi\zeta 2kv_F\delta(\omega) + (iL\zeta + \sigma\zeta)\pi\omega\delta(\omega) \right) \quad (139)$$

We can eliminate the terms proportional to  $\omega\delta(\omega)$ :

$$\epsilon_l = 1 + \frac{k_{TF}^2}{k^2} \frac{\omega}{2kv_F} \left( \frac{2kv_F}{\omega} - L + i\pi\sigma - i\pi\zeta 2kv_F\delta(\omega) \right) \quad (140)$$

$$= 1 + \frac{k_{TF}^2}{k^2} \left( 1 - \frac{\omega}{2kv_F} L + \frac{\omega}{2kv_F} i\pi\sigma - i\pi\zeta\omega\delta(\omega) \right) \quad (141)$$

$$= 1 + \frac{k_{TF}^2}{k^2} \left( 1 - \frac{\omega}{2kv_F} L + \frac{\omega}{2kv_F} i\pi\sigma \right) \quad (142)$$

$$= 1 + \frac{k_{TF}^2}{k^2} \left( 1 - \frac{\omega}{2kv_F} L \right) + i \frac{k_{TF}^2}{k^2} \frac{\omega}{2kv_F} \pi\sigma \quad (143)$$



$$= 1 + \frac{k_{TF}^2}{k^2} \left( 1 - \frac{\omega}{2kv_F} L \right) + i \frac{\pi}{2} \frac{\omega}{kv_F} \frac{k_{TF}^2}{k^2} \sigma \quad (144)$$

#### 5.4 Looking at classical limit of Pines result

As Ford and Weber mention, the result we've been looking at should correspond to a classical  $\hbar \rightarrow 0$  limit. In order to verify that we match the Pines result, we can look at this limit. The imaginary part is trivially true, as in this classical limit the middle region of (119) goes away, and we see that the imaginary part of (144) matches, because of the behaviour we encapsulated within  $\sigma$ .

We can look more closely at (118) to verify that the real part also matches up:

$$\begin{aligned} \text{Re}[\epsilon_l] = 1 + \frac{k_{TF}^2}{k^2} & \left( \frac{1}{2} + \frac{k_F}{4k} \left[ \left( 1 - \frac{\left( \omega - \frac{\hbar k^2}{2m} \right)^2}{k^2 v_F^2} \right) \ln \left| \frac{\omega - kv_F - \frac{\hbar k^2}{2m}}{\omega + kv_F - \frac{\hbar k^2}{2m}} \right| \right. \right. \\ & \left. \left. + \left( 1 - \frac{\left( \omega + \frac{\hbar k^2}{2m} \right)^2}{k^2 v_F^2} \right) \ln \left| \frac{\omega + kv_F + \frac{\hbar k^2}{2m}}{\omega - kv_F + \frac{\hbar k^2}{2m}} \right| \right] \right) \end{aligned} \quad (145)$$

I believe that implicit in this limit will also need to be that  $k_F$  will change in this limit as well. We can write this in terms of  $\hbar$ , as  $k_F = \frac{m}{\hbar} v_F$ . Thus, we want to find

$$\begin{aligned} \lim_{\hbar \rightarrow 0} 1 + \frac{k_{TF}^2}{k^2} & \left( \frac{1}{2} + \frac{mv_F}{4\hbar k} \left[ \left( 1 - \frac{\left( \omega - \frac{\hbar k^2}{2m} \right)^2}{k^2 v_F^2} \right) \ln \left| \frac{\omega - kv_F - \frac{\hbar k^2}{2m}}{\omega + kv_F - \frac{\hbar k^2}{2m}} \right| \right. \right. \\ & \left. \left. + \left( 1 - \frac{\left( \omega + \frac{\hbar k^2}{2m} \right)^2}{k^2 v_F^2} \right) \ln \left| \frac{\omega + kv_F + \frac{\hbar k^2}{2m}}{\omega - kv_F + \frac{\hbar k^2}{2m}} \right| \right] \right) \end{aligned} \quad (146)$$

We can do a series expansion on the entire term in brackets, which (need to verify) should give

$$\frac{4 \frac{k^2}{2m} (kv_F - \omega L) \hbar}{k^2 v_F^2} \quad (147)$$

Plugging this in gives us (writing only the terms multiplying in the  $\frac{k_{TF}^2}{k^2}$  parentheses):

$$= \frac{1}{2} + \frac{mv_F}{4\hbar k} \frac{4 \frac{k^2}{2m} (kv_F - \omega L) \hbar}{k^2 v_F^2} \quad (148)$$

$$= \frac{1}{2} + \frac{v_F}{\hbar k} \frac{\frac{k^2}{2} (kv_F - \omega L) \hbar}{k^2 v_F^2} \quad (149)$$

$$= \frac{1}{2} + \frac{v_F}{k} \frac{\frac{k^2}{2} (kv_F - \omega L)}{k^2 v_F^2} \quad (150)$$

Our series expansion gives us no terms proportional to  $\frac{1}{\hbar}$ , and we also fortunately have a term that will survive the  $\hbar \rightarrow 0$  limit.

$$= \frac{1}{2} + \frac{\frac{k^2}{2} (kv_F - \omega L)}{k^3 v_F} \quad (151)$$

$$= \frac{1}{2} + \frac{1}{2} \frac{(kv_F - \omega L)}{kv_F} \quad (152)$$

$$= \frac{1}{2} + \frac{1}{2} \left( 1 - \frac{\omega L}{kv_F} \right) \quad (153)$$

$$= 1 - \frac{1}{2} \frac{\omega L}{kv_F} \quad (154)$$

Thus, in this classical limit, (118) reduces to

$$\text{Re}[\epsilon_l] = 1 + \frac{k_{TF}^2}{k^2} \left( 1 - \frac{1}{2} \frac{\omega L}{kv_F} \right) \quad (155)$$

We note that this matches the real part of (144). This means that our original Lindhard form corresponds to the classical limit of the Pines result.