# Implications of Parallel Implementations of List Filters

York College Of Pennsylvania

CS 365

Daniel Mashuda

## **Introduction:**

Performing operations on a list (mapping) and getting a subset of a list (filtering) are something that developers do quiet often when building software. Till Java 8, there was no native, language feature, way for simple maps and filters to be written without iterating over a list. These features are mainstream in other languages, and offer a concise way of retrieving a subset or mutating a collection. In Java, a loop would be necessary for Collection operations.

In Java 8, Java gained the Streams Api, which allows for filtering and mapping of collections. Along with Streams, Java 8 brought Parallel Streams, which would execute whatever a stream was doing, either mapping or filtering, would execute in parallel to attempt to reduce the runtime of the filtering or mapping. Parallel stream incurs the runtime penalty of starting threads and shutting down threads every time Collection.parallelStream is called.

The objective is to implement filtering of a collection in Java in parallel without incurring the cost of creating and destroying threads for each filter operation. In order to circumvent this, the object to do parallel filtering will have to have an explicit shutdown function, which will be called when no more filtering is needed. As a secondary objective, the created parallel filter will be able to accept lambda expressions in the same manner that the Streams API accepts lambda expressions.

This implementation will be benchmarked against several different ways that a sub list can be created. The different ways being of creating a sub list are: For loop, Java Steams, Java Parallel Streams, Parallel filter (new implementation).

# **Implementation:**

Because quantitative analysis is critical to comparing the different runtimes of these methods of creating sub lists, a benchmarking procedure was developed. The abstract class Benchmark strictly defines how a benchmark will run. The subclasses of Benchmark are only responsible for setup, teardown, and doWork functions. Setup and teardown runtimes are not counted toward the overall runtime of the benchmark, because only the doWork function's runtime counts toward the benchmark score. Also, Benchmark runs the operation 10 times and calculates an average runtime in an attempt to smooth over any anomalies that may occur during the benchmarking.

For the benchmark, each method for creating a sub list returns a sub list of the items that contains a substring; this is done by the Java String.contains() method. By having all of the benchmarks use the same code to determine if an item from the super list should be in the sub list, it removes any chance of discrepancies. The specific implementation for the benchmarks is essentially the same, with the obvious syntactic differences due to the differences methods used. The benchmarks are located in the Benchmarks.contains package.

The parallel list filter is not an overly complicated implementation. This is due to the easily exploitable parallelism in filtering items from a list. The parallelism is easily exploitable because each item in a collection is checked against a condition, if it passes, it is added to a list to be returned. It can be easily inferred that there is not any interaction between elements in the list therefore the solution does not require any complex strategies to parallelize.

Notable classes in the parallel filter implementation are FilterWorker, ParallelFilter, and BaseFilter(interface). FilterWorker, as the name implies, does the processing of subsets of the larger collection. It manages interaction with the main thread through locking queues; the java.util.concurrent.LinkedBlockingQueue was used for both of the queues. The FilterWorker

will shut down if it is passed a work range whose start and end points are the same value. The ParallelFilter is the exposed API class that manages the interactions between the main thread and the FilterWorkers. When a ParallelFilter is created, it creates FilterWorkers to be prepared to filter lists. The filter method does the dividing of work, passes the work to the FilterWorker's, receives the result from the FilterWorker's and then returns the combined result. The BaseFilter interface allows for the filter method to accept a Java 8 lambda expression, which simplifies the use of the publicly exposed methods.

#### **Results:**

Most of the results of this experiment turned out as one would predict. For the Streams API consistently takes longer to filter a list than a simple for loop with a collector takes to filter a list. The parallel streams API provide a slightly faster benchmark to filter a list in most cases in compared to the parallel filter implementation. Refer to Figures 1 and 2, and tables 1 and 2 for exact numbers.

The anomaly that was discovered was in the case of the parallel streams API. When given a large linked list to filter, the parallel streams API takes longer to filter the list than any other method benchmarked. It is apparent when looking at figure 1, that the parallel streams API takes much longer than even a sequential for loop. Upon further inspection, the parallel streams API takes ~3.8 times longer to filter the large linked list than the sequential for loop. At the same time, the parallel filter API continued to provide a speedup of 2 to 2.5 times.

## **Conclusions:**

Providing a simple interface for filtering a list based upon a condition is a feature that most languages support. It also is an extremely simple problem to exploit parallelism to help solve quicker. However, without a guarantee that a parallel implementation will finish before a sequential implementation for a reasonably large data set, it would not be advantageous to even use the parallel version. This is the issue with the parallel streams API built into Java 8. When given a linked list, the parallel streams takes much longer than a sequential implementation.

Utilization of parallel filtering might not be suited for all situations, even though the usage of the parallel filtering might be simple. The prime example of this would be that parallel filtering would not be a good idea on applications on web servers. The web server already takes care of thread pooling for your application. Therefore the parallel filtering might not even yield a speedup because other requests might be processing. Parallel filtering should only be used in situations where large data sets are being filtered and the program doing the filtering is not competing for computational resources.

# **Appendix:**

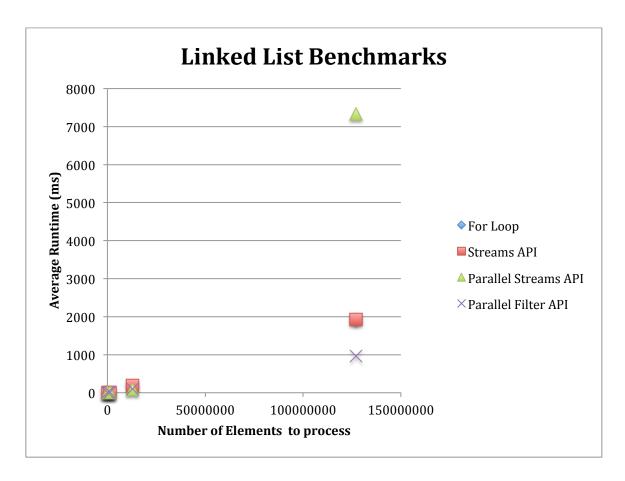


Figure 1.) Linked List Benchmarks

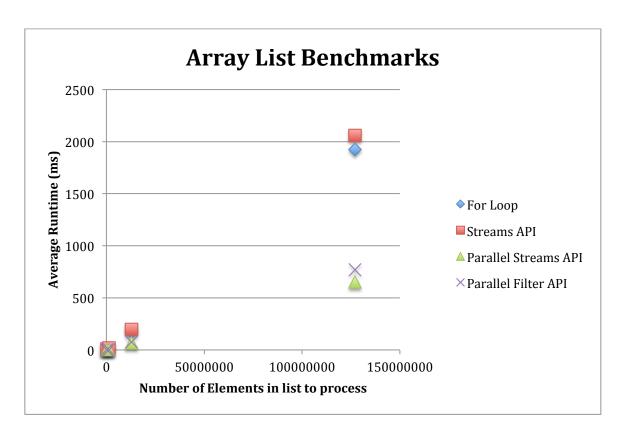


Figure 2.) Arraylist Benchmarks

**Table 1.) Linked List Benchmarks** 

<b>Number Of Elements</b>	For	Streams	Parallel Streams	Parallel Filter
	Loop(ms)	API(ms)	API (ms)	API (ms)
127142	1	8	1	4
1271420	10	12	6	10
12714200	185	188	91	93
127142000	1923	1932	7338	962

**Table 2.) Array List Benchmarks** 

<b>Number Of Elements</b>	For	Streams	Parallel Streams	Parallel Filter
	Loop(ms)	API(ms)	API (ms)	API (ms)
127142	1	8	1	1
1271420	11	19	1	1
12714200	184	198	67	76
127142000	1924	2062	653	771