

Due: July 28, 2015, 5.00 pm. Submit PDF + code files on Moodle.

1 Theoretical Questions (50 points)

1.1 Objectives and Background

The purpose of this HW is to test your understanding of

- How to create a parse tree for a given string with a given grammar
- How to disambiguate a grammar
- How to write a recursive descent parser for an LL(1) grammar
- The difference between structural operational semantics and transition semantics.
- How to create rules for structural operational semantics.
- How to write rules for transition semantics.

Another purpose of homework is to provide you with experience answering non-programming written questions of the kind you may experience on the second midterm and final.

1.2 Problems

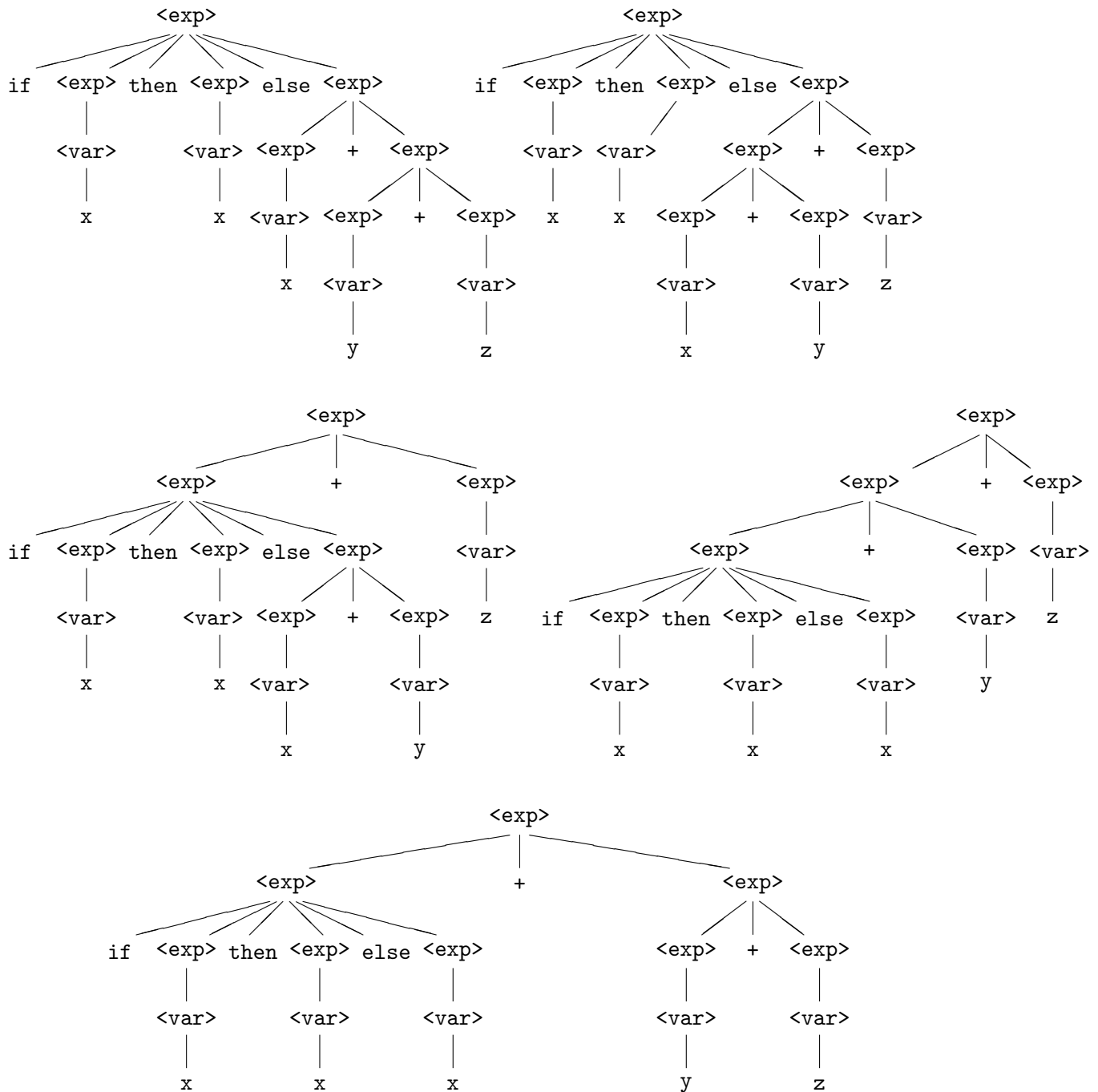
Problem 1: (22 points)

Consider the following grammar over the alphabet {if, then, else, +, x, y, z, (,)}:

$$\begin{aligned}\langle \text{exp} \rangle &::= \langle \text{var} \rangle \\ &\quad | \text{if } \langle \text{exp} \rangle \text{ then } \langle \text{exp} \rangle \text{ else } \langle \text{exp} \rangle \\ &\quad | \langle \text{exp} \rangle + \langle \text{exp} \rangle \\ &\quad | (\langle \text{exp} \rangle) \\ \langle \text{var} \rangle &::= x|y|z\end{aligned}$$

- a. (9 points) Show that the above grammar is ambiguous by showing at least three distinct parse trees for the string "if x then x else x + y + z"

Solution: There are five possible parse trees for if x then x else x + y + z with the given grammar. Yours should be three among them.



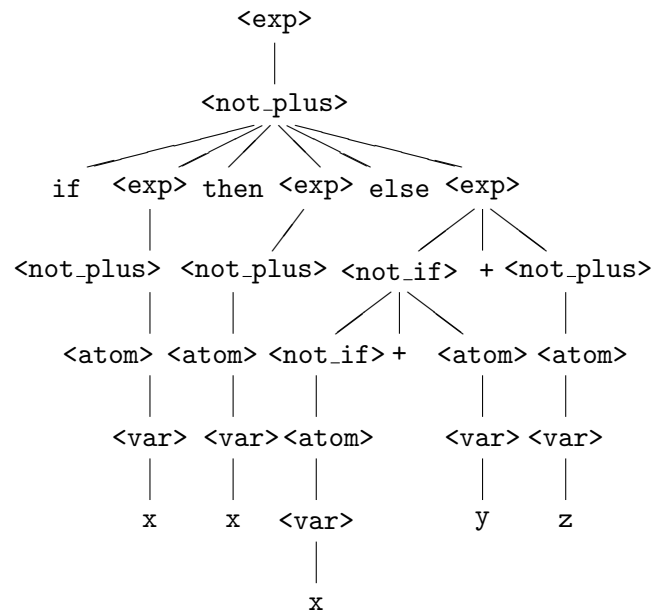
- b. (10 points) Write a new grammar accepting the same language that is unambiguous, and such that addition $\langle \text{exp} \rangle + \langle \text{exp} \rangle$ has higher precedence than conditional $\text{if } \langle \text{exp} \rangle \text{ then } \langle \text{exp} \rangle \text{ else } \langle \text{exp} \rangle$, and such that addition associates to the left.

Solution:

$$\begin{aligned}
 \langle \text{exp} \rangle &::= \langle \text{not_if} \rangle + \langle \text{not_plus} \rangle \mid \langle \text{not_plus} \rangle \\
 \langle \text{not_if} \rangle &::= \langle \text{not_if} \rangle + \langle \text{atom} \rangle \mid \langle \text{atom} \rangle \\
 \langle \text{not_plus} \rangle &::= \text{if } \langle \text{exp} \rangle \text{ then } \langle \text{exp} \rangle \text{ else } \langle \text{exp} \rangle \mid \langle \text{atom} \rangle \\
 \langle \text{atom} \rangle &::= \langle \text{var} \rangle \mid (\langle \text{exp} \rangle) \\
 \langle \text{var} \rangle &::= x \mid y \mid z
 \end{aligned}$$

- c. (3 points) Give the parse tree for "if x then x else x + y + z" using the grammar you gave in the previous part of this problem.

Solution:



Problem 2: (28 points)

Consider the following language syntax.

$I \in \text{Identifiers}$

$N \in \text{Numerals}$

$B ::= \text{true} \mid \text{false} \mid B \ \& \ B \mid B \ \text{or} \ B \mid \text{not } B \mid E < E \mid E = E$

$E ::= N \mid I \mid E + E \mid E * E \mid E - E \mid - E$

$C ::= \text{skip} \mid C; C \mid I ::= E \mid \text{if } B \text{ then } C \text{ else } C \text{ fi} \mid \text{while } B \text{ do } C \text{ od}$

Add a new increment operator $++I$ to the syntax of expression E and a new do-while operator $\text{do } C \text{ while } B \text{ od}$ to the syntax of commands C .

- a. (10 points) Add the structural operational semantics (*a.k.a.* natural semantics) for these operators. Note that the operators work as follows. The semantics of the operator $++I$ is to add one to the current value of I , then store the new value into I .

The execution of `do C while B od` starts with executing the command C in the body of the loop. The loop is repeated until the boolean expression B is evaluated to false.

Solution:

$$\frac{v = m(I) + 1}{(++I, m) \Downarrow (v, m[I \leftarrow v])}$$

$$\frac{(C, m) \Downarrow m' \quad (B, m') \Downarrow \text{true} \quad (\text{do } C \text{ while } B \text{ od}, m') \Downarrow m''}{(\text{do } C \text{ while } B \text{ od}, m) \Downarrow m''}$$

$$\frac{(C, m) \Downarrow m' \quad (B, m') \Downarrow \text{false}}{(\text{do } C \text{ while } B \text{ od}, m) \Downarrow m'}$$

- b. (10 points) Add the transition semantics for these operators. They have the same meaning as part a.

Solution:

$$\frac{v = m(I) + 1}{(++I, m) \rightarrow (v, m[I \leftarrow v])}$$

$$\frac{}{(\text{do } C \text{ while } B \text{ od}, m) \rightarrow (C; \text{if } B \text{ then do } C \text{ while } B \text{ od else skip fi}, m)}$$

- c. (8 points) Using the rules given for natural semantics in class, and the rules written in parts a and b, give a proof that starting with a memory that maps x to 3, `do y ::= ++x while x < 5 od` evaluates to a memory that maps x and y to 5.

Solution:

Let $\mathcal{M}_3 = \{x \rightarrow 3\}$, $\mathcal{M}_4 = \{y \rightarrow 4, x \rightarrow 4\}$ and $\mathcal{M}_5 = \{y \rightarrow 5, x \rightarrow 5\}$. Also, let A stand for assignment, R for relational operator, I for the increment we added, DW for do while loops we added.

$$\frac{\frac{4 = 3 + 1}{(++x, \mathcal{M}_3) \Downarrow (4, \{x \rightarrow 4\})} \text{ I} \quad \frac{\frac{\text{Identifier}}{(x, \mathcal{M}_4) \Downarrow (4, \mathcal{M}_4)} \quad \frac{\text{Numeral}}{(5, \mathcal{M}_4) \Downarrow (5, \mathcal{M}_4)} \quad 4 < 5 = \text{true}}{(x < 5, \mathcal{M}_4) \Downarrow (\text{true}, \mathcal{M}_4)} \text{ R}}{\frac{(y ::= ++x, \mathcal{M}_3) \Downarrow \mathcal{M}_4 \quad (\text{do } y ::= ++x \text{ while } x < 5 \text{ od}, \mathcal{M}_3) \Downarrow \mathcal{M}_5}{(\text{do } y ::= ++x \text{ while } x < 5 \text{ od}, \mathcal{M}_3) \Downarrow \mathcal{M}_5}} \text{ SUBTREE1 DW}$$

where SUBTREE1 is given by

$$\frac{\frac{5 = 4 + 1}{(++x, \mathcal{M}_4) \Downarrow (5, \{y \rightarrow 4, x \rightarrow 5\})} \text{ I} \quad \frac{\frac{\text{Identifier}}{(x, \mathcal{M}_5) \Downarrow (5, \mathcal{M}_5)} \quad \frac{\text{Numeral}}{(5, \mathcal{M}_5) \Downarrow (5, \mathcal{M}_5)} \quad 5 < 5 = \text{false}}{(x < 5, \mathcal{M}_5) \Downarrow (\text{false}, \mathcal{M}_5)} \text{ R}}{\frac{(y ::= ++x, \mathcal{M}_4) \Downarrow \mathcal{M}_5 \quad (\text{do } y ::= ++x \text{ while } x < 5 \text{ od}, \mathcal{M}_4) \Downarrow \mathcal{M}_5}{(\text{do } y ::= ++x \text{ while } x < 5 \text{ od}, \mathcal{M}_4) \Downarrow \mathcal{M}_5}} \text{ DW}$$

Note:

The solutions have a pair of value and memory for the expressions instead of just a value to

account for the added increment operator. However, for this part, no points will be deducted for just returning a value if it is correct. That is, a modified memory must be shown for the increment operator but a plain value for relational operators, identifiers and numerals is acceptable.

Uncredited Problem 1: (0 points)

Given the following grammar over nonterminal $\langle m \rangle$, $\langle e \rangle$ and $\langle t \rangle$, and terminals z , o , l , r , p and eof , with start symbol $\langle m \rangle$:

$P0 : \langle m \rangle ::= \langle e \rangle \text{ eof}$
 $P1 : \langle e \rangle ::= \langle t \rangle$
 $P2 : \langle e \rangle ::= \langle t \rangle p \langle e \rangle$
 $P3 : \langle t \rangle ::= z$
 $P4 : \langle t \rangle ::= o$
 $P5 : \langle t \rangle ::= l \langle e \rangle r$

and Action and Goto tables generated by YACC for the above grammar:

State	Action						Goto		
	z	o	l	r	p	$[\text{eof}]$	$\langle m \rangle$	$\langle e \rangle$	$\langle t \rangle$
st1	s3	s4	s5	err	err	err		st2	st7
st2	err	err	err	err	err	a			
st3	r3	r3	r3	r3	r3	r3			
st4	r4	r4	r4	r4	r4	r4			
st5	s3	s4	s5	err	err	err		st8	st7
st6	err	err	err	err	err	a			
st7	err	err	err	r1	s9	r1			
st8	err	err	err	s10	err	err			
st9	s3	s4	s5	err	err	err		st11	st7
st10	r5	r5	r5	r5	r5	r5			
st11	r2	r2	r2	r2	r2	r2			

where sti is state i , si abbreviates **shift** i , ri abbreviates **reduce** i , **a** abbreviates **accept** and $[\text{eof}]$ means we have reached the end of input, describe how the string $\text{lzpor}[\text{eof}]$ would be parsed with an LR parser using these productions and tables by filling in the table on the next page. I have given you the first 5 cells in the first two rows to get you started. You will need to add more rows.

Stack	Current String	Action to be taken
<i>Empty</i>	$\text{lzpor}[\text{eof}]$	Initialize stack, go to state 1
st1	$\text{lzpor}[\text{eof}]$	

Solution:

Stack	Current String	Action to be taken
<i>Empty</i>	lzpor[eof]	Initialize stack, go to state 1
st1	lzpor[eof]	shift l, go to state 5
st1::l::st5	zpor[eof]	shift z, go to state 3
st1::l::st5::z::st3	por[eof]	reduce by rule 3, go to state 7
st1::l::st5::<t>::st7	por[eof]	shift p, go to state 9
st1::l::st5::<t>::st7::p::st9	or[eof]	shift o, go to state 4
st1::l::st5::<t>::st7::p::st9::o::st4	r[eof]	reduce by rule 4, go to state 7
st1::l::st5::<t>::st7::p::st9::<t>::st7:	r[eof]	reduce by rule 1, go to state 11
st1::l::st5::<t>::st7::p::st9::<e>::st11	r[eof]	reduce by rule 2, go to state 8
st1::l::st5::<e>::st8	r[eof]	shift r, go to state 10
st1::l::st5::<e>::st8::r::st10	[eof]	reduce by rule 5, go to state 7
st1::<t>::st7	[eof]	reduce by rule 1, go to state 2
st1::<e>::st2	[eof]	accept

2 Machine Problems (50 points)

Please see `solution.mll`