

Inventory Control/Order Fulfillment Database

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Note

Group Project Final Report for CS470: Introduction to Database Management Systems

### Abstract

A database schema has been developed for a fictitious inventory control and order fulfillment database. A step by step study has been performed to develop the Entity Relationship Diagram, Schema Diagram, Data Definition Language (DDL), Data Manipulation Language (DML), and a simple user interface based on a set of well-defined requirements and constraints. A simple approach to database security has also been presented.

*Keywords:* Database Management System; Entity Relationship Diagram; Schema Diagram, Requirements; Database Security, DDL; DML.

### **Introduction**

The database system chosen by our group is an inventory pickup/management system. The three-tiered database system we are creating will aid inventory/pickup system employees by keeping records of product inventory quantities and their locations. This MySQL database will guide employees throughout the process of packing totes and shipping to the correct location and time, while also keeping track of inventory as the product is picked and shipped from the warehouse.

### Requirements

The employee/order picker clocks in and logs into the tablet with their employee id. The employee has a name, id number, start date, and performance percentage score. Each tablet also has a number. On the tablet, they select their sled number and then scan in the labels for the assignments they will be picking (usually 4 at a time but can do 1-6 assignments). (The sled is what is used to pull the totes along on rollers as you pick from the shelves. Looks a little bit like this picture but with a tablet attached and smaller plastic totes:)

The system requirements can be stated as follows:

1. An employee should be identified by a unique employee ID.
2. An employee must sign on one device.
3. A device may or may not be assigned to an employee.
4. An employee should select one sled.
5. A sled may or may not be assigned to any employee.
6. There must be 1-6 assignments per sled/per employee. But a sled may or may not have any assignments.
7. Each assignment can have 1 to N number of totes.
8. Each tote can contain 1 to N number of products.
9. Each assignment should contain a product, number of items for that product, start and destination location for the product.
10. Each tote is uniquely identified by a label.

The labels show the batch number, store number, current tote number and total number of totes for that assignment, lane number (only used for shipping), # of items in current tote,

department and beginning and ending location number of items in current tote, and label number. Batch and store number are the same for all labels in that assignment. Other numbers are different for each tote/label (one label per tote).

Department refers to the section/aisle in the store where the items will be placed, such as: First Aid, Candy, Cosmetics, Snacks, Batteries, Gift Cards, Hair Care, etc. etc.

Once assignments are scanned in and started, the tablet displays the location number and number of items to pick for each product. The picker is able to decrease the number or zero it out if there is limited or no inventory available (hasn't been restocked).

Products are stored on shelves with 4-6 rows. Shelves are labeled with location stickers (one per product). Each location has the location number which is formatted like this example: A2-44-55-5. The first (A2) refers to the section. There are 3 "mods": AB, CD, and EF. Each mod has 4 floors. Each floor has two sides (for example, the A side and the B side). So A2 refers to the AB mod, 2nd floor, A side. The second number is the bay number. I believe each section has 44 bays (so 01-44 is the range for bays). The third number is the location from left to right/right to left (kind of like the column). It goes by 5's and not every number is present on the shelf (it just depends on how big the cases are and lots of other factors like things being removed and added) but the lowest will be 5 and the highest will be 80 (usually not that high unless the items are all really small like cosmetics). The last number is the shelf number (most bays have 5 rows of shelves; some have 4 and some 6. The shelf lowest to the ground is 1 and goes up.

Each location also has the product name, item number, number of pieces per case, weight (in oz) (of one piece), expiration date (if applicable), and UPC. As pieces are picked from the case, the inventory decrements, and as cases are added to the shelf, inventory increments by the number of cases x the number of pieces per case. The system determines the number of items to go in each

tote and the total number of totes needed for the assignment by the overall weight and volume of all the items ordered. So along with weight each item also has length, width, and height in inches. The totes are 19"L x 13.5"W x 8.5"H. The weight limit is about 20lbs.

Each assignment has a "standard" amount of time it is predicted to take to finish based on how many items, number of totes, and many other calculated factors. The actual amount of time the employee takes to complete all assignments compared with the sum of the predicted times provides the employee's performance percentage for the day. So, employee start date would only be relevant if we want to figure out performance other than daily, like weekly, monthly, year-to-date, etc.

A hypothetical real-world system of this kind can be envisioned as shown in Fig 1.



Figure 1: The real-world scenario of the inventory pickup/placement management system.

A basic flow of the activities shown in Fig 2.

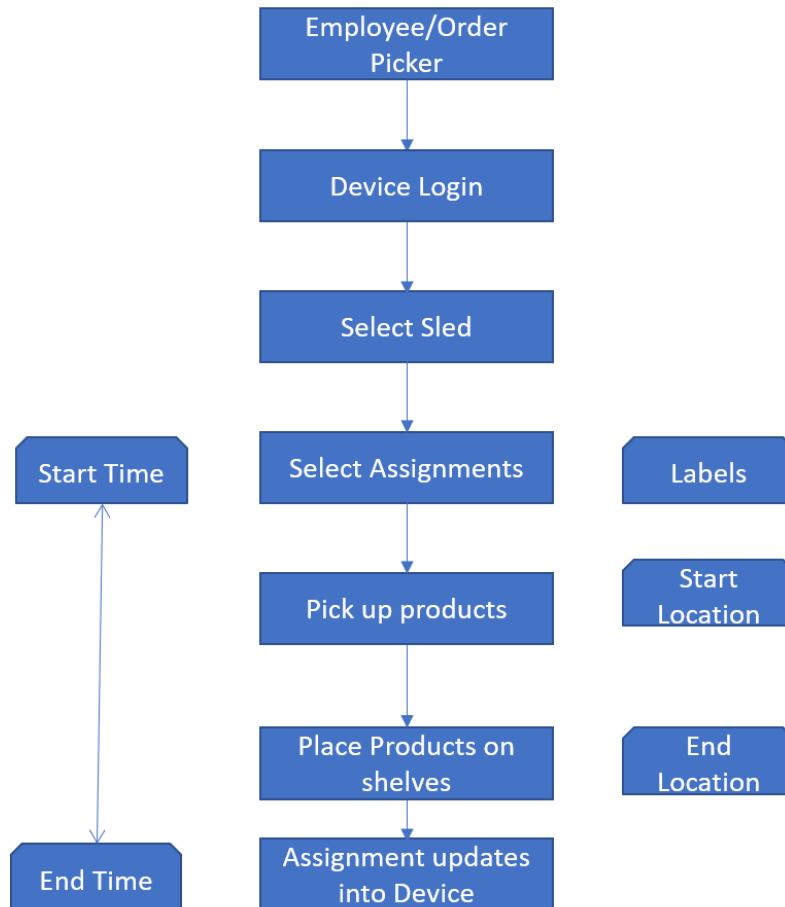


Figure 2: A simplified workflow of the system.

### Architecture

We will follow a simple three-tier Architecture (Fig 3) with a Database Server, Application Server and Client tier.

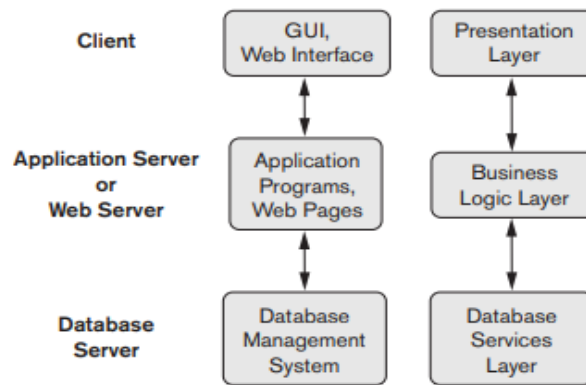


Figure 3: System Architecture

The presentation layer is a simple python program that interacts with the underlying database through an appropriate driver. The business logic is embedded in the python code as a service. The database server is the main focus of this project. We have used a SQLite (DQLite Home Page, n.d.) database server that contains the internal and conceptual level or schema. The external schema, however, shows the entire mini-world of the order pickup management system. By using a three-schema structure we are ensuring both logical and physical data independence.

### Scopes and Constraints

Our project is restricted to the mini-world of the order pickup management system to meet the above requirements. We used a relational database management system to solve the problems in this project. We utilize DBMS Software specific utilities (like sequence generators etc.) to specify the primary keys. The constraints on the entities and relationships are enforced in the Data Definition Language Script that creates the physical schema. Our effort on the presentation and middle tiers are minimal. We have not addressed any system level performance or scaling issues. Our performance tuning is limited to basic RDBMS indexing, efficient joins, and optimized queries. The constraints are summarized in Table 1.



Table 1: List of constraints

E	EMPLOYEE	ey	ull	Type	Notes	Constraints
	Employee_ID			int (7)		
D	First_Name			char (30)		
	Last_Name			char (30)		
	DEVICE					
	Device_ID			XNNN		
	SLED					
	Sled_ID			int (2)		
NT	ASSIGNME					
	Assignment_ID			int (10)		
	TOTE					
	Label			composite		
	Batch_Num			int (3)		100 to 999
	Tote_Num			int (2)		1 to 99
	N_Totes			int (2)	should be derived attribute of relationship CONTAINS rather than of TOTE? (because derived	1 to 99

				from number of TOTES that ASSIGNMENT CONTAINS)	
Store_Num			int (5)		10000 to 99999
Lane_Num			int (4)	can remove, I'm not really sure how this one works anyway	
Start_Locati on			XN-NN- NN-N		letter is A-F, N1 is 1-4, N2&N3 is 01-44, N4&N5 is 05- 80 by 5's, N6 is 1-6
End_Locatio n			XN-NN- NN-N		letter is A-F, N1 is 1-4, N2&N3 is 01-44, N4&N5 is 05- 80 by 5's, N6 is 1-6
PRODUCT					
N_Products			int (3)	derived attribute of relationship TOTE_HAS_PROD T (number of products of that type that TOTE has)	1-999
PRODUCT_ TYPE					
Product_ID			int (6)		100000-999999

Name			char (30)		
Location			XN-NN- NN-N		letter is A-F, N1 is 1-4, N2&N3 is 01-44, N4&N5 is 05- 80 by 5's, N6 is 1-6
Weight			int (3) (ounces)	optional but if we want to do weight and dimension constraints--then we should also have derived attributes tote weight and tote dimensions for relationship TOTE_HAS	Total tote weight limit is 30lbs aka 480oz
Dimensions			NNxNNxN N (inches)	or split as length, width, height	Total tote dimension limits are 19"L x 13.5"W x 8.5"H

### Entity Relationship Diagram

We have defined following entities:

1. Device,
2. Employee,
3. Sled,
4. Assignment,

5. Tote,
6. Product\_Type.

We have simplified our mini-world in many ways compared to an actual inventory management system. For example, we did not include any cost information, employee's historic performance records, and any shipment related information.

The Entity-Relationship diagram is shown in Fig 4.

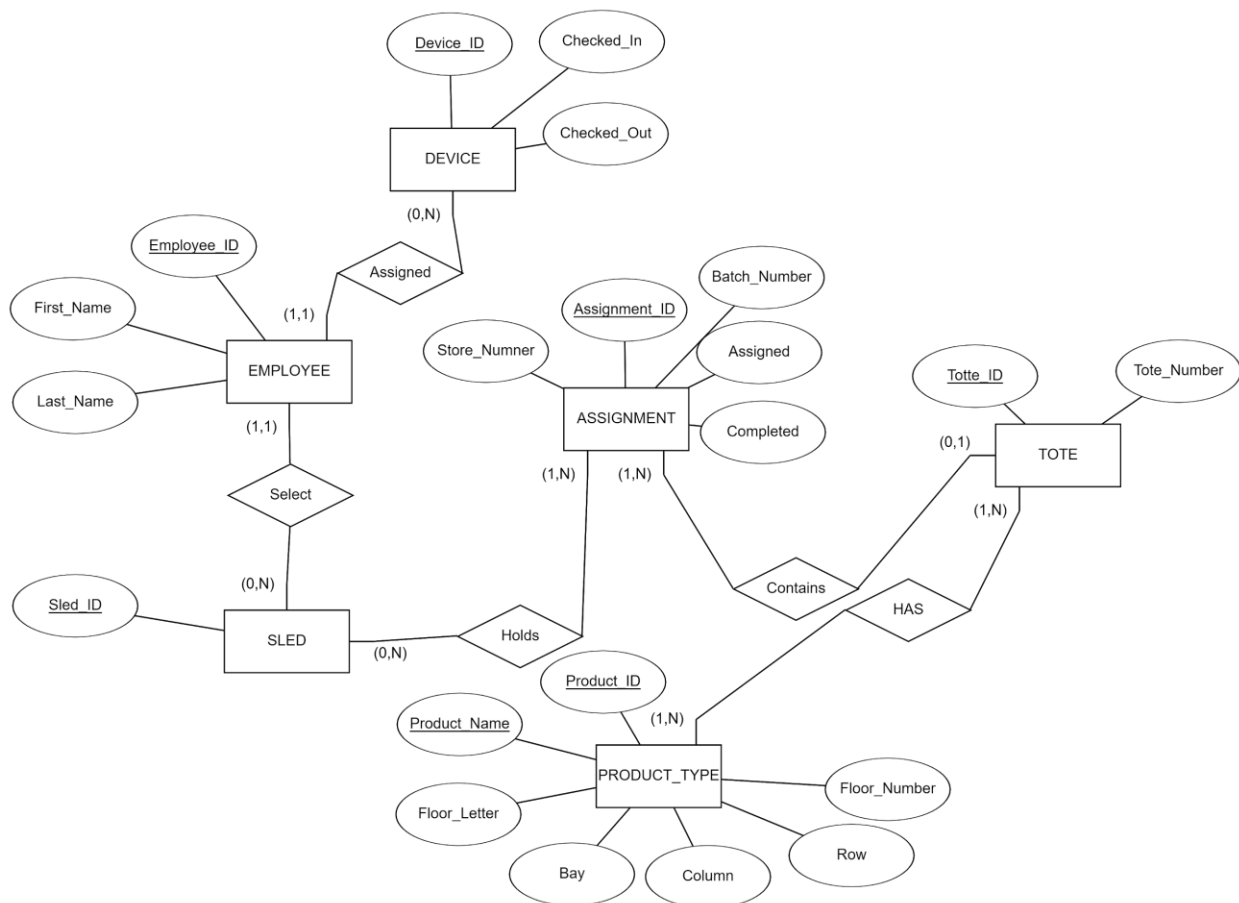


Figure 4: Entity-Relationship Diagram for an order pick-up/inventory management system.

The Entity-Relationship diagram has been evolved from our initial set of diagrams through a requirement adjustment, and database normalization process.

We converted multi-valued and composite attributes either into separate schemas or included or mapped them into functionally appropriate entities. As a result, our schema passed first, second, and third normalization tests seamlessly.

### Schema Diagram

The schema diagram is displayed in Fig 5.

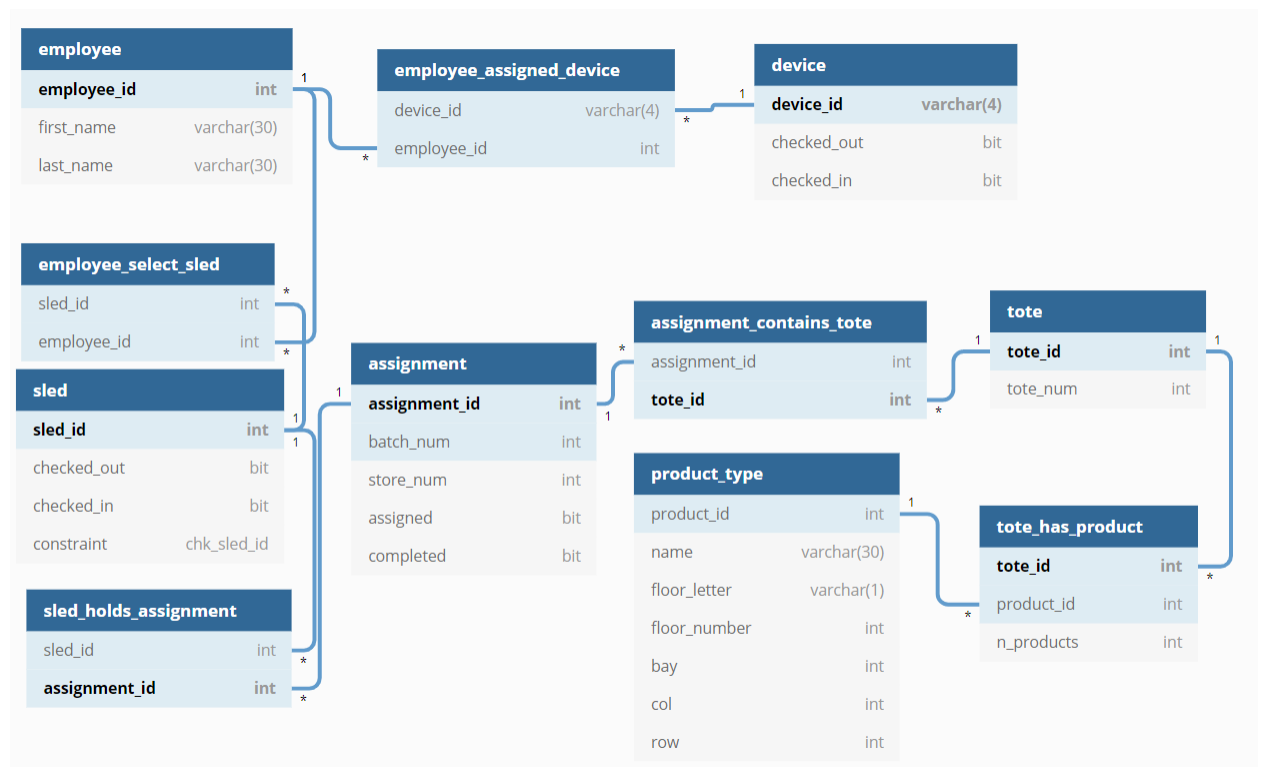


Figure 5: Schema Diagram.

As a next step to create the physical schema using the DDLs, we worked out relational algebra for the core queries (Listing 1).

Listing 1: Relational Algebra for core queries

Before step 1: all employee\_ID's are inputted into EMPLOYEE

1. A. Check if the employee\_ID entered is found in EMPLOYEE

$\sigma_{\text{Employee\_ID}} = \text{NNNNNNNN} (\text{EMPLOYEE})$

B. If not found, an error message is displayed

C. Check if the ID entered, isn't already signed into a device

$\sigma_{\text{Employee\_ID}} = \text{NNNNNNNN} (\text{ASSIGNED})$

D. If employee is already signed into a device, an error message is displayed.

E. If they aren't already signed into a device, then Employee\_ID and

Device\_ID are inserted into ASSIGNED

Before step 2: All Sled\_ID's are inserted into SLED

2. A. Check if the ID entered is found in SLED

$\sigma_{\text{Sled\_ID}} = \text{<current sled>} (\text{SLED})$

B. if not found, error message is displayed

Before step 3: will have inserted into ASSIGNMENT

3. A. Check if the ID entered, is found in ASSIGNMENT

$\sigma_{\text{Assignment\_ID}} = \text{<current assignment>} (\text{ASSIGNMENT})$

B. If not found, an error message is displayed.

C. Check if the ID entered isn't already assigned to another sled

$\sigma_{\text{Assignment\_ID}} = \text{<current assignment>} (\text{HAS})$

D. if found, error message is displayed

E. Check if the ID entered is in progress or completed

F.  $\sigma_{\text{Assignment\_ID}} = \text{<current assignment>} (\sigma_{\text{Completed}} = \text{True} (\text{ASSIGNMENT}))$

G. If found, an error message is displayed.

H. for displaying the number Totes Required, sum of N\_Totes for each assignment on the current sled (I'm struggling with the relational algebra on this one, it is fairly complex)

$\Pi_{cnt}(\$

I. After each assignment is entered, insert Assignment\_ID and Label into CONTAINS. If CLEAR is pressed, delete from CONTAINS (this will update the number Totes Required as assignments are entered or cleared).

Before step 4: all Product\_IDs are inserted into PRODUCT

4. Each part of the pick display will come from the database
  - A. Each sled hold 1-6 assignments, the pick page displays each product and its quantity
  - B. matching TOTE\_HAS\_PRODT that each ASSIGNMENT CONTAINS by LOCATION.
  - C. If there are less than 6 assignments on a sled, the remaining assigned slots are filled with empty boxes
  - D. The number in each box is derived from the count of PRODUCTS of the same Product\_Type that TOTE has (TOTE\_HAS\_PROD)
  - E. After the pick is complete assignments are automatically updated and dropped from SLED (deleted from HAS relationship between sled and assignment)

### Database Security

The most basic front security measure used is an employee ID. The ID consists of 7 numbers. The ID is needed in order for an employee to log in to a device. The log in security measures are as follows, the employee cannot access a device if the employee's ID is not already in the system, the employee cannot log in to a device if they are already logged into another device. Employees will not be able to access the company database unless they are on a local company server. Once employee ID's are entered, the ID's will be encrypted and hashed in order to prevent data decryption and plain text storage in our database. All employee ID's will be encrypted using the `aes_encrypt('employee_ID', 'key1234')` function. The usage of this Advanced Encryption Standard (AES) (Akash & Beniwal, 2020) ensures employee\_ID's cannot be displayed in our database. The function will change the input into random numbers, letters, and symbols. For Sql Injection defense, our database uses access limitations to only allow certain employees to access employee tables and employee data. The database also uses escape code to prevent malicious input: `Employee_id = 'something' Employee_id = Employee_id.replace("'", "\'")`. Our database also uses a secure backup to make sure no data is lost and use connectors to mitigate any damage done if the application is breached. Using a connector can help limit the access to data if there was a malicious data breach. This way we can store important data outside of our breached application and prevent further damage.

Login Input	Login Output
346366	"Employee_ID must be 7-digit number. Please try again."
45256A2	"Employee_ID must be 7-digit number. Please try again."



*Employee is logged into another device	"Error: This Employee_ID is already associated with another device. Please log off other device and try again."
3915663	*Employee successfully enters system and proceed to the next step of sled selection
SQL injection: 3915663' Escape code: 3915663//'	"Employee_ID must be 7-digit number. Please try again."

Employee ID Input	Employee ID Input After Encryption
3463674	A@e5\$20%4fv\$gb4#

In our AWS/Java implementation of the MySql/JDBC based implementation, preparedstatement interface has been used to prevent SQL Injection attacks and the user credentials are retrieved from a configuration file (Fig 6).

```

1 datasource.url = jdbc:mysql://database-1.c4n8mwsa6gv.ap-northeast-2.rds.amazonaws.com/orderpick?
2 datasource.user=dmf49
3 datasource.password=test123

```


Figure 6: Java/JDBC/AWS MySql implementation configuration file is storing the credentials.

### User Interface and Associated SQL Queries

While we have not implemented a full scale web or visual application, we have designed the application and the SQL Queries needed to extract the information from our database tables. The login page passes the employee\_id into the database. A schematic diagram of the login page is shown in Fig 7.

## User Interface and Connected SQL Queries

### 1. Login Page



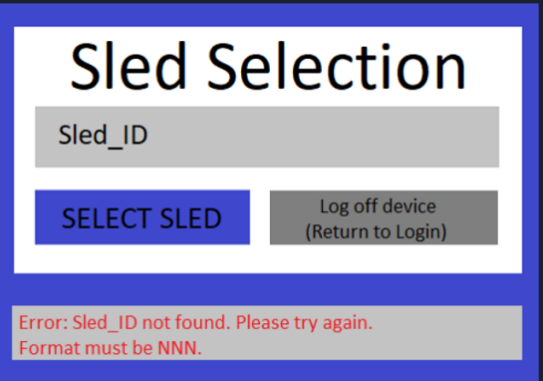
1. INSERT INTO employee (employee\_id, first\_name, last\_name) VALUES
2. SELECT COUNT(1) FROM employee WHERE employee\_id = <input value>
3. SELECT COUNT(1) FROM employee\_assigned\_device WHERE employee\_id = <input value>
4. INSERT INTO employee\_assigned\_device (device\_id, employee\_id) VALUES (<device value>, <input value>)
5. UPDATE device SET checked\_out = 1 WHERE device\_id = <device value>

Figure 7: Login page and associated queries.

Once an employee logs into the device, we move on to the sled selection which is similar to login in terms of validating the inputs, updating the database and providing an option to the employee to logoff from a device. This is represented in Fig 8.

## User Interface and Connected SQL Queries

### 2. Sled Selection



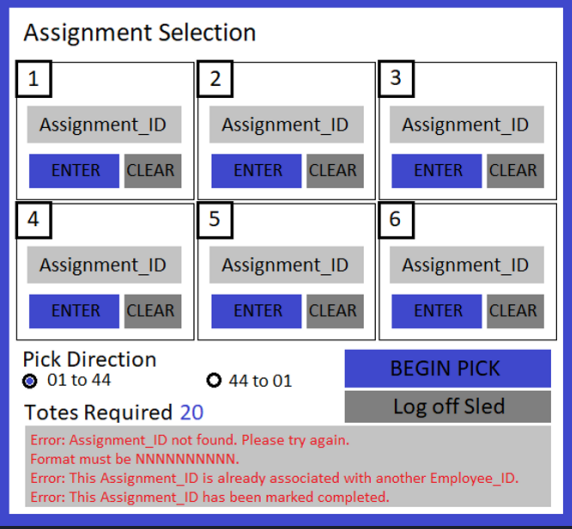
1. INSERT INTO sled (sled\_id) VALUES
2. SELECT COUNT(1) FROM sled WHERE sled\_id = <input value>
3. SELECT COUNT(1) FROM employee\_select\_sled WHERE sled\_id = <input value>
4. INSERT INTO employee\_select\_sled (sled\_id, employee\_id) VALUES (<input value>, <previously input value>)
5. UPDATE sled SET checked\_out = 1 WHERE sled\_id = <input value>
6. DELETE FROM employee\_assigned\_device WHERE device\_id = <device value>
7. UPDATE device SET checked\_out = 0 WHERE device\_id = <device value>

Figure 8: Sled Selection UI and Queries

Once the sled selection is completed, the workflow leads us to assignment selection. The associated queries are shown in Fig 9.

### User Interface and Connected SQL Queries

#### 3. Assignment Selection



1. INSERT INTO assignment (assignment\_id, batch\_num, store\_num) VALUES
2. SELECT COUNT(1) FROM assignment WHERE assignment\_id = <input value>
3. SELECT COUNT(1) FROM sled\_holds\_assignment WHERE assignment\_id = <input value>
4. INSERT INTO sled\_holds\_assignment (sled\_id, assignment\_id) VALUES (<previously input value>, <input value>)
5. UPDATE assignment SET assigned = 1 WHERE assignment\_id = <input value>
6. DELETE FROM employee\_select\_sled WHERE sled\_id = <previously input value>
7. UPDATE sled SET checked\_out = 0 WHERE sled\_id = <previously input value>

Figure 9: Sled Selection UI and queries.

Once “Begin Pick” is clicked, the Pick Pages will be displayed (Fig 10). The right-hand side shows an employee’s view of the pick page with four products in it and six small boxes represent the assignments. Once all the products are picked on that page, the employee can navigate to the next page or may go back to the previous page for any edit actions.

## User Interface and Connected SQL Queries

### 4. Pick Page(s)

Location (XN-NN-NN-N)				Sum of N_Products for Location		PRODUCT_TYPE.Name	
Store Num of N_Totes	Store Num of N_Totes	Store Num of N_Totes	Store Num of N_Totes	Store Num of N_Totes	Store Num of N_Totes	Store Num of N_Totes	Store Num of N_Totes
N_Products	N_Products	N_Products	N_Products	N_Products	N_Products	N_Products	N_Products
Batch Num	Label Num	Batch Num	Label Num	Batch Num	Label Num	Batch Num	Label Num

A2-44-65-5				6		GHIR DRK RSP BAR	
15624	12 of 12	29330	8 of 8	80011	8 of 8	77894	5 of 5
1	-	-	-	5	-	-	-
637	2381	637	4663	638	1904	637	6187

Location (XN-NN-NN-N)				Sum of N_Products for Location		PRODUCT_TYPE.Name	
Store Num of N_Totes	Store Num of N_Totes	Store Num of N_Totes	Store Num of N_Totes	Store Num of N_Totes	Store Num of N_Totes	Store Num of N_Totes	Store Num of N_Totes
N_Products	N_Products	N_Products	N_Products	N_Products	N_Products	N_Products	N_Products
Batch Num	Label Num	Batch Num	Label Num	Batch Num	Label Num	Batch Num	Label Num

A2-44-55-5				6		TWIX MINI 9.7OZ BAG	
15624	12 of 12	29330	8 of 8	80011	8 of 8	77894	5 of 5
2	2	2	2	-	-	-	-
637	2381	637	4663	638	1904	637	6187

Location (XN-NN-NN-N)				Sum of N_Products for Location		PRODUCT_TYPE.Name	
Store Num of N_Totes	Store Num of N_Totes	Store Num of N_Totes	Store Num of N_Totes	Store Num of N_Totes	Store Num of N_Totes	Store Num of N_Totes	Store Num of N_Totes
N_Products	N_Products	N_Products	N_Products	N_Products	N_Products	N_Products	N_Products
Batch Num	Label Num	Batch Num	Label Num	Batch Num	Label Num	Batch Num	Label Num

A2-44-55-4				3		TWIX STRAW BIG BAG	
15624	12 of 12	29330	8 of 8	80011	8 of 8	77894	5 of 5
-	3	-	-	-	-	-	-
637	2381	637	4663	638	1904	637	6187

Location (XN-NN-NN-N)				Sum of N_Products for Location		PRODUCT_TYPE.Name	
Store Num of N_Totes	Store Num of N_Totes	Store Num of N_Totes	Store Num of N_Totes	Store Num of N_Totes	Store Num of N_Totes	Store Num of N_Totes	Store Num of N_Totes
N_Products	N_Products	N_Products	N_Products	N_Products	N_Products	N_Products	N_Products
Batch Num	Label Num	Batch Num	Label Num	Batch Num	Label Num	Batch Num	Label Num

A2-44-50-2				60		MENTOS MXD FRUIT	
15624	12 of 12	29330	7 of 8	80011	8 of 8	77894	5 of 5
30	15	-	15	-	-	-	-
637	2381	637	8144	638	1904	637	6187

PREVIOUS PAGE

NEXT PAGE

PREVIOUS PAGE

NEXT PAGE

Figure 10: Pick pages.

### Deficiencies

Due to the learning organization structure in our project, we have designed a system in parallel with our educational evolution in the course. So, the first deficiency, is that our project execution did not structurally include the serialized processes deemed as best practices to avoid poor designs (Mmekut, 2018). The database design did not include any purchase and sell accounting system. There was no way to define a purchase system. The purchase system, in theory, could be accomplished by extending the product\_type entity. The inventory placement could be categorized by departments and zones of the stores. In the original requirements, that was specified through the labels. In our design, we simplified it with an assumption that the products are placed on certain bays, floors, rows and columns. So, this design may not be scalable for a big store or warehouses. We have not included any option to shipments and receiving processes. That will prevent our system to be easily integrated with a logistic system.

## Future Scope

### AWS Implementation

We have used a free AWS tier for doing a proof of concept for a mysql database server implementation (Fig 11).

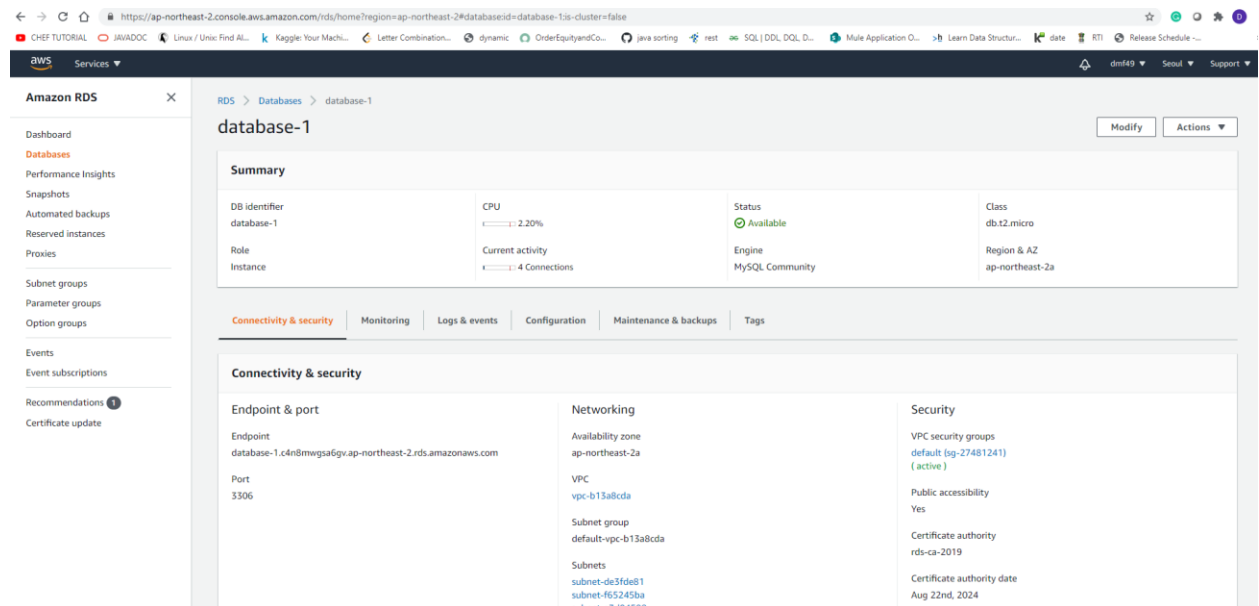


Figure 11: AWS Free Tier RDS dashboard for MySql instance

We have connected to the AWS Mysql RDS instance using a local Sql Developer IDE using following connection parameters:

### Endpoint & port

Endpoint: database-1.c4n8mwgsa6gv.ap-northeast-2.rds.amazonaws.com

Port: 3306

Once connected, we have implemented a Java code to connect to that database and utilize JDBC's "PreparedStatement" interface to determine the number of a given product on a Tote with information of the store number and whether that has been assigned to anyone. A reference implementation can be found in our project's GitHub repository (Foley, Nelson, & Mukhopadhyay, 2020).

It is to emphasize that we have used a PreparedStatement interface in our Java code to pass the parameters to the database. This process is adapted to prevent any sql injection (Fig 12).

```
try {
    Class.forName("com.mysql.cj.jdbc.Driver");
    //String mysqlConnServ = "database-1.c4n8mgsa6gv.ap-northeast-2.rds.amazonaws.com";
    connection = DriverManager.getConnection(prop.getProperty("datasource.url")+ "user="+ prop.getProperty("datasource.user") + "&password=" + prop.getProp

    PreparedStatement ps = connection.prepareStatement(sql);
    ps.setString(1, productName);
    resultSet = ps.executeQuery();

    System.out.println("Searching the product, store_num, and tote number..." );

    while (resultSet.next()) {
        int storeNum = resultSet.getInt("store_num");
        int toteNum = resultSet.getInt("tote_num");
        int count = resultSet.getInt("CNT");
        Boolean assigned = resultSet.getBoolean("assigned");

        System.out.println("storeNum = " + storeNum + " tote Number=" + toteNum + ", count = " + count + ", is assigned = " + assigned.booleanValue())
    }
}
```

Figure 12: Code snippet showing jdbc connection handling to prevent SQL Injection.

RDS utility in AWS comes with features to create regular backup of our database. AWS identifies backups as snapshots. We have created a manual data backup or manual snapshot as shown in the screenshot in Fig 13-14.

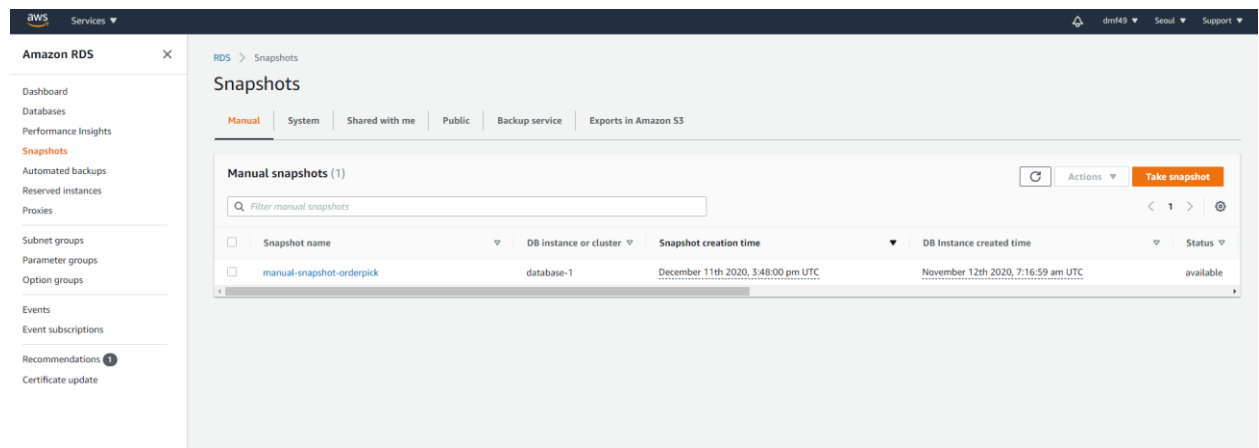


Figure 13: Database backup at the AWS.

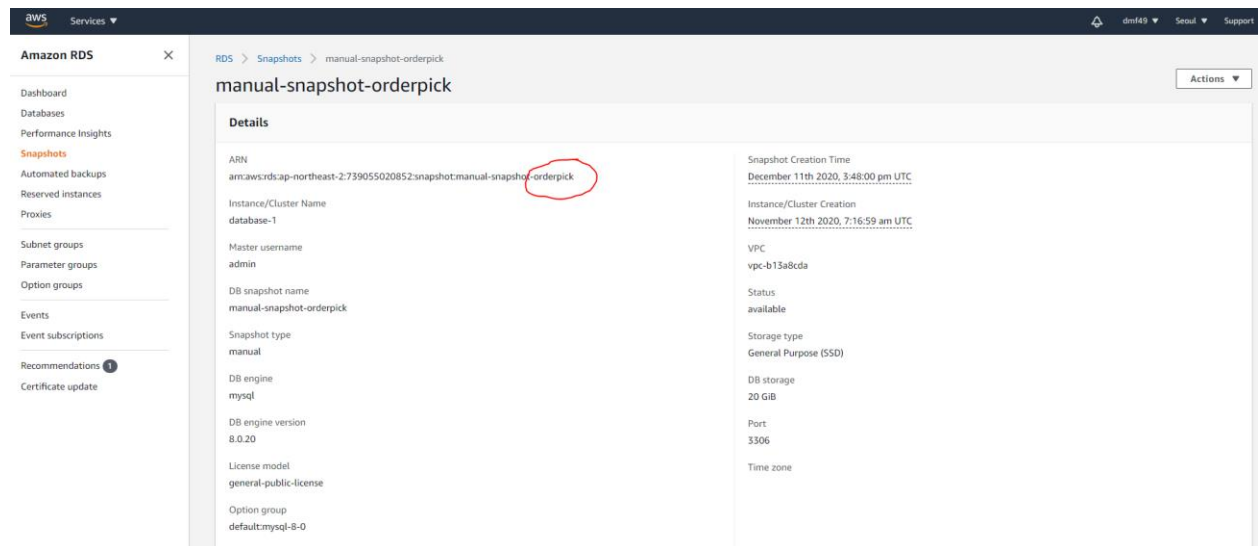


Figure 14: Backup details

## Functional Opportunities

We have opportunities to extend our current design to include departments, register locations, inventory purchase and sell systems. We will need to identify the possible relational aspects with those entities with the currently included entities. It may be meaningful to expand the integrability of this schema by defining possible relation tables with potential entities available for integration in future. We will have opportunity to extend the current schema to NoSQL type of design to accommodate scalable analytics development.

## Summary and Conclusions

We have implemented a relational database representing an inventory control and order fulfillment workflow using the principles of Database Management System Design. We established the Entity Relationship Diagram based on the requirements. The Entity Relationship diagram was translated into the logical database schema using the principles of database normalization. Two implementations of the physical schema has been accomplished. Our detail study was focused on a physical schema on a SQLite server while we established our footprint into

the AWS RDS system using a free tier by creating and implementing a MySQL database schema. We established our data security with AES Algorithm and using a config file with JDBC Connection schemes (for AWS/Java). We presented processes to prevent SQL Injection attacks by using escape codes, and PreparedStatement interface for JDBC (for AWS/Java implementation). Our front-end successfully demonstrated the details of the order pickup processes fulfilling the underlying workflows. The proof-of-concept implementation of AWS MySQL database with a nominal Java front end successfully extracted a report of the products. Our future outlook involves extension of the design to integrating other layers of the inventory system, and extending the AWS implementation to a visual web based front end with a RESTful service layers for the business services and rules.

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