

ROBERT GORDON UNIVERSITY

BACHELOR OF SCIENCE IN COMPUTING APPLICATION  
SOFTWARE DEVELOPMENT

HONOURS PROJECT REPORT

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**A Desktop Application for  
Maintenance of Computer Systems**

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2021



# Declaration

I confirm that the work contained in this Honours project report has been composed solely by myself and has not been accepted in any previous application for a degree. All sources of information have been specifically acknowledged and all verbatim extracts are distinguished by quotation marks.

Darie-Dragos Mitoiu  
May 2, 2021

# Abstract

The applications, services and resources of a desktop or a server type of computer system could be organized and scheduled in a manner which would reduce the impact of the system's failure whenever predicted by making use of right techniques which would fit the equipment in cause and classify specific events that would occur in computer systems as events that would require maintenance or just as informative events if the severity of the events is low in order to secure the normal functionality of the machines.

The focus of this research is to try to forecast possible events that could lead to computer systems failure by reviewing and then selecting the right computer systems failure predictions methods associated with the right hardware sensors. The ultimate goal of the work presented in this paper is to implement computer systems failure forecasting features into a desktop application in order to reduce the impact caused by such failure events.

# Acknowledgements

This research was supported by the institution called Robert Gordon University. I would like to thank my colleagues from Robert Gordon University Aberdeen who provided an insight and expertise that greatly assisted the research, although they may not agree with all of the interpretations or conclusions presented in this paper.

I would like to thank in particular to Mr. Ian Harris for proposing the research and for the assistance provided with academic writing, cyber security and machine learning techniques, and to Dr. John Isaacs for comments and guidance that greatly improved the manuscript.

I would also like to show my gratitude to Felix Salfner, Maren Lenk and Miroslaw Malek from University of Berlin for sharing their pearls of wisdom with us during the course of this research.

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# Acronyms

**API** Application Programming Interface. 47

**AWT** Abstract Window Toolkit. 47

**C&C** Command and Control. 3, 35–44, 46, 47, 59–62

**CPU** Central Processing Unit. 14, 15

**CPUs** Central Processing Units. 14

**CS** Client-Server. 18

**HDD** Hard-Disk Drive. 15

**HMM** Hidden Markov Model. 47, 61

**IoT** Internet of Things. 18

**NAT** Network Address Translation. 18

**NIC** Network Interface Controller. 18

**P2P** Peer-To-Peer. 18

**RAM** Random Access Memory. 15

**ROM** Read-Only Memory. 15

**S.M.A.R.T** Self-Monitoring Analysis and Reporting Technology. 16, 35, 36, 42–44, 46, 47, 59–62

**SATA** Serial Advanced Technology Attachment. 15

**SMILE** Statistical Machine Intelligence and Learning Engine. 60

**SSD** Solid-State Drive. 15

**SVM** Support Vector Machine. 36, 46, 47, 60, 61

**SVMs** Support Vector Machines. 59, 61, 62

**TCP** Transmission Control Protocol. 51

**UDP** User Datagram Protocol. 51

# Chapter 1

## Introduction

As computer systems are used more and more for problem solving, the complexity of the systems grows just as much; they are also becoming more dynamic due to the devices mobility, modifications in the environment of execution, software updates, hardware upgrades, maintenance events and hardware components replacements. The classical reliability theory that concerns the computer systems or the conventional approaches put in place for problem solving present a significant lack of consideration of the actual state of the computer systems as they are not capable to offer a reflection for the dynamic and the unforeseen runtime of a computer system or the failure of processes. These approaches are usually used in order to create a design that will allow for a long term or average functionality predictions to solve the technical problems that occur in computer systems in an optimal and efficient way when considering time and cost as the main factors of evaluation (Salfner, Lenk and Malek 2010).

The breakdown maintenance or runtime failure also called as the unplanned maintenance event it is the earliest maintenance technique which takes place at the breakdown event of the machine in cause, the later technique it is a preventive technique which will allow a time interval to take actions also called as planned maintenance. The problem of maintenance of computer systems or technological systems presents both commercial and open source solutions, these solutions can be categorised as reactive maintenance solutions and predictive maintenance solutions. The reactive maintenance solutions can be defined as a mechanism that can be used to respond to technical problems that have occurred in computer systems or technological systems at a specific point in time where no time interval has been provided by the solution in cause in order to take any measures that could be used to mitigate the impact of the technical problems that have occurred in the system in cause, it is safe to say that a reactive maintenance solution will allow a response to the maintenance events after the impact has been felt by the computer system or technological system in cause. The predictive maintenance solutions can be defined as a mechanism that will allow the provision of an informative event related to a possible technical problem that is likely to occur in the near future in a computer system or technological system accompanied with a diagnostic that will contain the root cause of the technical problem and time interval where actions can be performed in order to mitigate the impact of the maintenance problem (Bastos, Lopes and Pires 2014).

## 1.1 Project Motivations

The personal interest in building a maintenance tool for computer systems has been building for many years during the course of studying computing. However, the initial interest towards building a maintenance tool for computer systems was by approaching the problem using a reactive manner for the response of technical issues, the project was never intended to be a predictive maintenance tool, the direction of the project and research was addressed by the supervisor Mr. Ian Harris which has greatly assisted the predictive maintenance of computer systems research process.

secondary interest or reason of building a maintenance tool for computer systems was the involvements of network programming which stands at the core of this kind of software, possessing network programming skills it is a fundamental ability to have in the software development sector for the related industries.

Indirectly, the interest for building a maintenance tool and network programming has becomes a potential honours project idea, the initiative was followed by creating a real-world desktop application designed to solve the problem of forecasting computer systems failure using the appropriate techniques.

The desktop application will be of use to any enterprise, institution or individual that needs to have an insight about the state of one or more computer systems.

The enterprises and institutions that would benefit from this desktop application are any enterprises or institutions that use computer system for problem solving and wish to reduce the cost, time and labour investment into investigating technical problems related to computer systems.

The enterprises and institutions will benefit from using this desktop application by being able to receive near real-time information about the state of one or more computer systems (this project focus being on desktop type of computer systems and not servers, even though the application could be adapted to fit both of types of machines), accompanied with a prediction feature which will try to predict any hardware or software events that could occur in computer systems based on past data that may require maintenance.

The individuals that may be concerned using this type of desktop application would be professionals working as computer technicians or systems administrators which are usually assigned with tasks of ensuring the normal functionality of specific computer systems it is present.

The professionals working as technicians or system administrators would benefit the most from this type of desktop application, as the time invested into the investigation of hardware or software technical problems could be reduced or even eliminated by providing near real-time information about the state of one or more monitored computer systems and the prevention of events that may require maintenance such as the failure of the computer systems which could vary from the event of a non-responding machine to the transition of the machine from a working state to a non-working state could be possible if a prediction of hardware or software technical problems is provided based on past data collected from the computer systems.

## 1.2 Aims and Objectives

### Aims

Three main aims were set in the project proposal, these aims are:

1. To address the requirements of the BSc (Hons) Computing Application Software Development course,
2. To address the personal curiosity into the maintenance fo computer systems research area,
3. To address the personal interest into Command and Control (C&C) type of applications and network programming.

### Objectives

Four main objectives were completed as a result of the three main aims mentioned in the project proposal, these objectives are:

1. Research maintenance of computer systems techniques,
2. Design and Implement a Command and Control (C&C) Server Application,
3. Design and Implement an Agent (Client-Side) Application,
4. Experiment the viability of forecasting computer systems failure.

## 1.3 Report Structure

The structure of the report represents the actions taken towards the final result.

**Background** Offers an insight about the research made in the maintenance of computer systems area.

**Specifications** Offers a list of functional and non-functional requirements required in order to create two artifacts.

**Design** Offers an insight about the design decisions made during the course of the project.

**Implementation** Offers an insight about the implementation suggested for forecasting of computer systems failure.

**Evaluation** Offers a personal reflection on the achievements made at the end of the project.

**Conclusions** Offers a list of interpretations or conclusions related to the maintenance of computer systems area.

## 1.4 Legal, Ethical, Social and Professional Elements

In order to ensure the project success in the real-world, a series of legal, ethical, social and professional elements must be considered. As a software developer professional, the elements mentioned previously must be considered and respected in any project taken forward for development, failing to do so, it will attract various sanctions or consequences from the relevant authorities to the perpetrator. The main aim or goal of the project it is to satisfy the requirements of the BSc (Hons) Computing Application Software Development course, to do so, a series of rules must be followed before moving to the development stage, as the BSc (Hons) Computing Application Software Development course it is accredited by the British Computer Society, the according code of conduct must be respected during the course of the project and beyond. The British Computer Society posses a code of conduct (British Computer Society 2021) which represents the manner of how a software development project must be conducted by providing a list of responsibilities related to the public interest, professional competence and integrity, duty to relevant authority and last but not the least the duty to the profession.

### 1.4.1 Public Interest

This section or category of professional requirements ensures that the public interest and the rights of third-parties are respected during the course of the project and beyond. Considering the research area of maintenance of computer systems and the natural involvement of potential users for the final product, the clause 1 of the Bristish Computer Society (2021) must be respected: "have due regard for public health, privacy, security and wellbeing of others and the environment;". The commercial nature of the project will involve the storage of potentially large amount of user data, this aspect must be considered as the users data must be kept in a secure location in order to comply with the mentioned clause. When it comes to the rights of third parties, the clause 2 of the British Computer Society (2021) must be respected:"have due regard for the legitimate rights of third parties;", this clause will imply that the work in the form of frameworks or libraries of third-parties must be acknowledged accordingly and the use of such extensions must be done in the limitations of the licenses offered by the third-parties. When it comes to the professional activities, the project must be conducted with respected to the clause 3 of the British Computer Society (2021), this clause is: "conduct your professional activities without discrimination on the grounds of sex, sexual orientation, marital status, nationality, colour, race, ethnic origin, religion, age or disability, or of any other condition or requirement;". When it comes to inclusion and equality, the final product must present methods of inclusion for multiple groups of people in order to comply with the clause 4 of the British Computer Society (2021), this clause is: "promote equal access to the benefits of IT and seek to promote the inclusion of all sectors in society wherever opportunities arise".

### **1.4.2 Professional Competence and Integrity**

The professional competence and integrity element refers to the guarantee that the work performed respects a certain standard when it comes to the knowledge and skills of an individual. All elements presented in this paper present an significant and evidenced amount of effort in order to solve the problem of forecasting computer systems failure. To consider the element mentioned previously, the British Computer Society (2021) Code of Conduct, a series of clauses must be respected for the professional competence and integrity element. The first clause to be respected is: "only undertake to do work or provide a service that is within your professional competence;"; this clause is respected as the project presented in paper it is a software development project meaning the work undertaken it is within the professional competence. The second clause to be respected is "NOT claim any level of competence that you do not possess;"; this clause was respected as the project was taken forward with a significant knowledge related to the software development field, if some aspects were not known at the time of project initiation, those aspects presented the potential to be improved over the course of the project. The third clause to be respected is to "develop your professional knowledge, skills and competence on a continuing basis, maintaining awareness of technological developments, procedures, and standards that are relevant to your field". The fourth clause to be respected is to: "ensure that you have the knowledge and understanding of legislation and that you comply with such legislation, in carrying out your professional responsibilities;"; this clause was addressed with an investigation of potential relevant laws for the project such as Intellectual Property and Data Protection.

### **1.4.3 Duty to Relevant Authority**

The responsibility or duty towards the relevant authority element refers to care and diligence when it comes to the company's best interest at all times, in this specific case the project is intended for the satisfaction of the BSc (Hons) Computing Application Software Development course requirements at Robert Gordon University Aberdeen. However, the element mentioned still applies. In consider the element mentioned, the British Computer Society (2021) Code of Conduct must be respected. The first clause to be respected is: "carry out your professional responsibilities with due care and diligence in accordance with the relevant authority's requirements while exercising your professional judgement at all times;"; this clause was respected as the requirements of the course were respected during the research, design and implementation phases.

### **1.4.4 Duty to the Profession**

The responsability or duty towards the profession element refers to promote the Information Technology field positively to the world. To consider the element mentioned the British Computer Society (2021) must be respected. The first clause to be respected it is: "accept your personal duty to uphold the reputation of the profession and not take any action which could bring the profession into disrepute;"; the clause mentioned was respected as the professional duty was accepted during the course of the project.

# Chapter 2

## Background

As computer systems are used more and more for problem solving, the complexity of the systems grows just as much; they are also becoming more dynamic due to the devices mobility, modifications in the environment of execution, software updates, hardware upgrades, maintenance events and hardware components replacements(Salfner, Lenk and Malek 2010). The classical reliability theory that concerns the computer systems or the conventional approaches put in place for problem solving present a significant lack of consideration of the actual state of the computer systems as they are not capable to offer a reflection for the dynamic and the unforeseen runtime of a computer system or the failure of processes. These approaches are usually used in order to create a design that will allow for a long term or average functionality predictions to solve the technical problems that occur in computer systems in an optimal and efficient way when considering time and cost as the main factors of evaluation (Salfner, Lenk and Malek 2010). Wright-Whyte (2018) suggests that the majority of the businesses present a specific part or sector that requires computer systems or technological systems in order to solve the problems that the businesses are asked or required to do so in order to speed their workflow and to ensure their existence, for this reason the computer systems are a vital component when it comes to the ability of a business to function properly even for those businesses that are not specifically related to information technology. Every year the failure or downtime of computer systems has led to costing businesses around £3.6 million, a research in this area has concluded that technical problems related to computer systems can impose costs on businesses from an average of £4,300 per hour to an even higher value which is an average of £258,000 per hour. The downtime or the failure events related to computer systems not only affect the businesses by implying more costs in order to solve the technical problems, but also affect the staff working for those businesses, as approximative 545 hours of staff activity are lost every year because of computer systems failure or downtime events, for specific countries like the United Kingdom where the staff average wage it is more than £13.75 per hour, this will lead to an average of £7,235 that a business will have to pay for one employee only every year in order to rectify or provide assistance for the technical problems of the computer systems that have presented some runtime failure or the downtime event during their assigned sessions, the failure or downtime event also permitting a decrease in the normal functionality of the business or even worst, stopping the business to have any relevance at all (Wright-Whyte 2018).

The failure or downtime of computer systems it is an event that can be categorised as virtually inevitable, at some point in time the failure or downtime will occur and the businesses that make use of computer systems or technological systems will be affected by it, the frequency and duration of the failure or downtime event will vary as this is dictated by the technical problems, but the businesses will have to suffer the costs despite the reason of computers failure, business size or the success of the business. The failure or downtime of computer systems can be defined as an occasional period of time when a computer system or an essential part of a technological system it is no longer functional or it cannot be used at that point time, the result of computer systems failure or downtime will impose a cost on any business that makes use computer systems for problem solving and this cost will be detrimental. The computer systems failure or downtime will occur due to human error, power outage, unreliable equipment, hardware or software failure. The failure of computer systems can have a negative effect on the businesses that will be put in the situation of solving this kind of problems by not only stopping the normal functionality at the moment of failure or downtime of the business but also creating long term consequences due to this event (Wright-Whyte 2018). The instant effect or the short term effect of the computer systems failure or downtime will not present any financial problem that can be felt at the moment of computers systems failure or downtime, but the negative aspect of the failure or downtime event can be represented by the productivity time being lost due to this event and this will lead to a decrease in the profit made by the business. Other short-term effects of computer systems failure or downtime are the loss of productivity, labour and cost investment into investigating and solving the technical problems and the fact that the business will not be able to function in normal parameters. The long term effects caused by the computer systems failure or downtime cannot be ignored as they will have a significant impact on any business that will face the computer systems downtime event, the most important long term effect caused by a potential computer system failure or downtime it is the negative financial impact on the business, the impact will initially seem like short term effect but it could lead to a more significant loss of profit in the long run if the problem is not solved in short period of time. Beside the financial impact on the business caused by the failure of the computer systems or the downtime event are the decrease of employee's morale within the business and the business image and reputation which can be considered also as long terms effects due to the downtime event (Wright-Whyte 2018). In May 2017, the failure of computer systems or downtime event caused by a power outage in data centre situated in the west of London lead to the unavailability of the British Airways systems in 70 countries resulting in the inability of the British Airways staff to check-in passengers, this was a relatively short time event as it lasted only 15 minutes, but it can be easily seen what impact it can have on a business as thousands of flights were cancelled with an estimated 75,000 customers being affected by this computer systems failure or downtime event (Wright-Whyte 2018). The implications of computer systems failure or downtime event must be known by any business despite of business size or success as planning in advance for such events and being able to take actions for them that could mitigate or even eliminating the impact of a possible downtime events will give some advantages to the business in cause comparing to the competitors (Wright-Whyte 2018).

The focus of this research is to try to forecast possible events that could lead to computer systems failure by reviewing and then selecting the right computer systems failure predictions methods when hardware sensors are an important element for the predictions that will allow the limitation of the impact of such failure events by allowing the right measures to be put in place before this kind of events will occur by providing a time interval where actions can be taken.

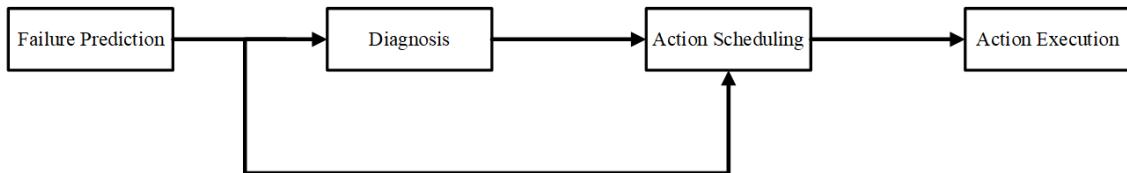


Figure 2.1: Workflow support for failure management (Salfner, Lenk and Malek 2010 p. 3 fig. 2)

The Figure 1 shows the steps involved in failure management, once a failure event has been predicted, the prediction should be accompanied with a diagnosis in order to find out the root of the problem that will cause further upcoming failure events, the role of the diagnosis is to allow the selection of the right decision when it comes solving the problem using the right method and scheduling the execution of the task (Salfner, Lenk and Malek 2010).

## 2.1 Root Causes, Faults, Errors and Failures

According to Wu and Buyya (2015), there is a lot of statistical research that shows the relevance of the human factor in both planned and unplanned downtime, as it can be seen in the Figure 2, it is a reasonable root cause for the computer systems failure or downtime, for the unplanned downtime the human error factor represents 15% of all unplanned downtime and for the planned downtime which represents 30% of all downtime events in data centres there is also a certain percentage of human error that will trigger the computer systems failures, if the planned downtime would be eliminated, then the percentage of human error factor will increase to a value of 18% (see Figure 3). As it can be seen in the figure 2, the majority of faults or failures are caused in large part by the software element, comparing to the hardware element, but nevertheless, the hardware element representing 10% of all computer systems failures or downtime events cannot be overlooked as this number it will have a significant impact of the runtime of computer systems if failure predictions are provided based on the hardware element.

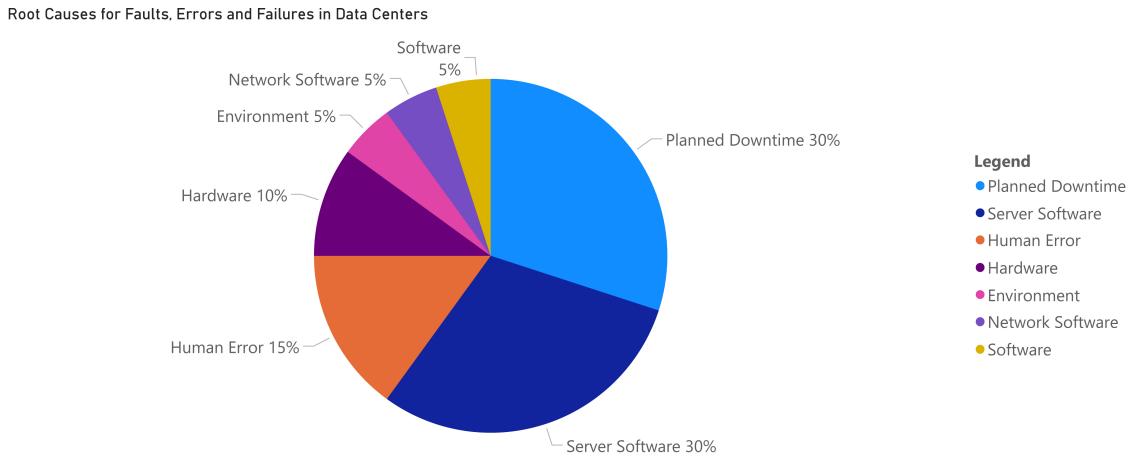


Figure 2.2: Errors, faults and failures in data centres (Wu and Buyya 2015 p. 360 fig. 10.8)

In critical applications, the human error play an important role when talking about computer systems failure or downtime events, as the human error increases to a more substantial level for critical applications, this substantial level has the potential to reach a value of 40% from the operation errors and system outages, these two elements making 55% and respectively 22% of all critical applications failure events or downtime events (Wu and Buyya 2015). The human error factor represents a significant value of 18% of all computer systems failure or downtime events in data centres, Wu and Buyya (2015) suggest that the reason for this substantial value of human error it is caused by the changes represented by the software upgrades, software patches, system reconfigurations and maintenance events scheduled for the data centres. These fundamental elements cannot be banned for a computer system or even more important for a data centre for security reasons and also because changes are inevitable, an insight into other elements must be performed in order to provide solutions to the computer systems failure problem, these elements being the hardware element and the networking transmission element, they are not as predispose to changes as the other mentioned elements and because of this they are good candidates for computer systems failure predictions.

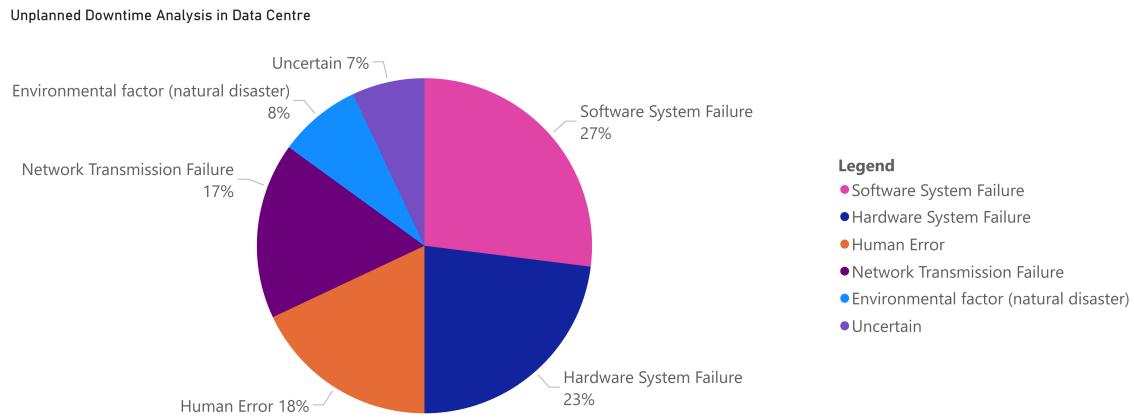


Figure 2.3: Unplanned downtime in data centres (Wu and Buyya 2015 p. 361 fig. 10.9)

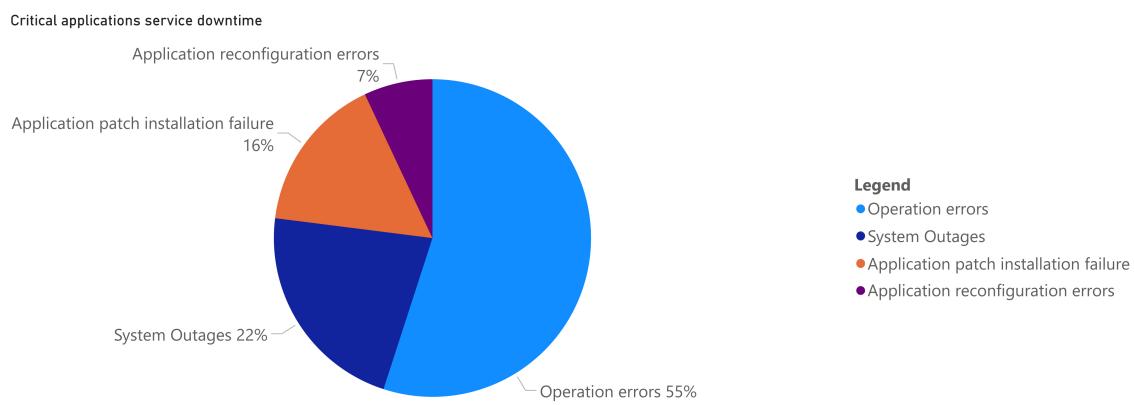


Figure 2.4: Critical applications service downtime (Wu and Buyya 2015 p. 361 fig. 10.10)

## 2.2 Opportunities and Motivations

The computing elements and their general influence over data centres mentioned in the Root causes, Faults, Errors and Failures section naturally bring into discussion the term “Maintenance of Computer Systems”, as this term becomes relevant for enterprises, institutions, organisations and individuals that need to monitor the performance and state of their computer systems in order to prevent, mitigate and evaluate the impact of events such as slow response of the computer systems, the computer systems not responding when specific operations are performed or worst the failure of the systems which would result in the transition of the system from a working state to a non-working state accompanied with the loss of essential data. According to Baldoni, Montanari and Rizzuto (2014) a few years ago the use of proprietary systems provided by a single vendor was a convenient and preferred approach for specific safety-critical systems related to sectors such as air traffic control, railway control, commercial aircraft and nuclear power. In addition to those said before by Baldoni, Montanari and Rizzuto (2014), according to Sipos, Moerchen, Fradkin and Wang (2014) failure predictions can also be used in medical institutions where the medical equipment it is the core element in the failure predictions process, the logs provided from the medical equipment are retrieved and used in the maintenance prediction process. Beside the use cases mentioned above, the individuals that may be concerned about the computer systems failure predictions or downtime events predictions would be professionals working as computer technicians or systems administrators which are usually assigned with tasks of ensuring the normal functionality of specific computer systems it is present. The professionals working as technicians or system administrators would benefit the most from this type of desktop application, as the time invested into the investigation of hardware or software technical problems could be reduced or even eliminated by providing near real-time information about the state of one or more monitored computer systems and the prevention of events that may require maintenance such as the failure of the computer systems which could vary from the event of a non-responding machine to the transition of the machine from a working state to a non-working state could be possible if a prediction of hardware or software technical problems is provided based on past data collected from the computer systems. As it was mentioned before in the Root causes, faults errors and failure section it is safe to say that data centres, medical institutions, air traffic controls, railways controls and power plants will benefit the most from computer systems failure predictions because these kind of predictions will allow the minimization of computer systems failure impact on each of the entities mentioned if the right measures are put in place before it happens. The applications, services and resources of a desktop or even server type of computer systems could be organized and scheduled in a manner which would reduce the impact of the system’s failure whenever predicted by making use of right techniques which would fit the equipment in cause and classify specific events that would occur in computer systems as events that would require maintenance or just as informative events if the severity of the events is low in order to secure the normal functionality of the machines.

## 2.3 Maintenance Solutions

According to Bastos, Lopes and Pires (2014) in an industrial environment where equipment availability it is a vital factor in order to ensure the productivity of organisations, the maintenance activities are performed in order to reduce or eliminate the failures of specific equipment or machinery and with this creating favourable conditions and allow an increase of productivity for the business or organisation in cause. The satisfaction of businesses or organisations usually implies the increase of pressure in maintenance systems, the maintenance process even being considered not to add any value to the businesses or organisations, but nevertheless the maintenance process it is an important factor that will contribute to the reduction of business or organisation costs and allowing the equipment to function in normal conditions. The main factor of acknowledgment related to computer systems or technological systems failure are some indicators that will proceed such failure events in 99% of the maintenance events cases. The breakdown maintenance or runtime failure also called as the unplanned maintenance event it is the earliest maintenance technique which takes place at the breakdown event of the machine in cause, the later technique it is a preventive technique which will allow a time interval to take actions also called as planned maintenance (Bastos, Lopes and Pires 2014). The problem of maintenance of computer systems or technological systems presents both commercial and open source solutions, these solutions can be categorised as reactive maintenance solutions and predictive maintenance solutions. The reactive maintenance solutions can be defined as a mechanism that can be used to respond to technical problems that have occurred in computer systems or technological systems at a specific point in time where no time interval has been provided by the solution in cause in order to take any measures that could be used to mitigate the impact of the technical problems that have occurred in the system in cause, it is safe to say that a reactive maintenance solution will allow a response to the maintenance events after the impact has been felt by the computer system or technological system in cause. The predictive maintenance solutions can be defined as a mechanism that will allow the provision of an informative event related to a possible technical problem that is likely to occur in the near future in a computer system or technological system accompanied with a diagnostic that will contain the root cause of the technical problem and time interval where actions can be performed in order to mitigate the impact of the maintenance problem (Bastos, Lopes and Pires 2014).

## 2.4 Challenges and Impediments

According to Salfner, Lenk and Malek (2010) the core elements that contribute to the challenges and impediments of computer systems failure or downtime events are the dependability and resilience factors. These factors are represented by the continuous increasing of computer systems complexity, the continuous increasing of cyber security problems such as attacks and threats, the continuous increase of connectivity and interoperability of technological systems, the increasing use of third party and open source software and last but not the least the dynamic and unforeseen runtime of computer systems. The dynamicity of computer systems can be reflected by the frequent system configurations, system reconfigurations, software updates and hardware upgrades. The elements that contribute to the difficulty of computer systems failure predictions mentioned by Salfner, Lenk and Malek (2010) are not the only factors that will increase the difficulty of failure predictions when talking about computer systems or any technological systems. In order to provide maintenance predictions signals for a technological system, an insight must be taken into the running state of the technological system or equipment in cause, this insight it is performed either by making use of the existing hardware sensors present on the components of the technological system or the equipment in cause or additional hardware sensors will be added to the technological system's components or equipment in order to allow the recording and retrieving information such as temperature signals, voltage and other information of interest, once the hardware sensors have been put in place or they already exist on the technological system or equipment, the failure or maintenance predictions module can then send alerts or signals of possible events that will inform the control centre of any components values deviating from normal parameters, these deviations of values are an important factor in predictive maintenance as this deviations of values could result in the failure of the technological system or equipment in cause (Sipos, Moerchen, Fradkin and Wang 2014). A more technical approach and confirming the continuous increasing of computer systems mentioned above there are other elements that will increase the difficulty of computer systems failure predictions, according to Botezatu, Giurgiu, Bogojeska and Wiesmann (2016) when adventuring into computer system failure predictions a known fact is that all computer systems will present a storage system, this system being an important component and also the root cause of the most computer system failures in data centres, in most cases this storage system it is a hard disk and usually all hard disks present a mechanism called Self-Monitoring, Analysis and Reporting Technology (S.M.A.R.T), this mechanism will contribute to the difficulty of trying to predict unforeseen failure events based on the storage system. The dynamicity of the hard-disk S.M.A.R.T indicators will be the main factor of difficulty as these indicators are manufacturer specific, the specialised encoding of the hard disk indicators will be different for each hard-disk model and their normalization values will also vary depending on the manufacturers.

## 2.5 Hardware Sensors

The hardware sensors in computer systems or technological systems have the role to measure the physical behaviour of specific components that the machines or technological systems present in their composition, these computer systems or technological systems usually are executing cyber space but this is not a requirement for the hardware sensors in order to be present on the components of the computer systems or technological systems in cause. In addition to the physical behaviour measuring or monitoring of the components, the hardware sensors also sample the physical effects of the processes that allow the transfer and processing of information. The hardware sensors can monitor or measure the behaviour of computer systems components from the internal input and output channels to the LED status of the lights and for this reason the hardware sensors are an important element when trying to make predictions related to computer systems failures or downtime events (Edgar and Manz 2017).

### 2.5.1 Processor

The Central Processing Unit (CPU) sometimes referred as the Central Processor or simply just as Processor it is a hardware component which can be considered the brain of a computer system, this component it is considered the brain of a computer system because it is the place where the most calculations take place in a computer system. The Processor has the role to execute the instructions of a computer program which are retrieved from the memory of the computer system in cause and because of this in terms of computing power it can be considered the most important piece of hardware in a computer system (Beal 2020). According to Bach (2014) it is a known fact that modern computer systems processors present some mechanism of active cooling in order to ensure their normal functionality without producing a runtime failure but there is a little official information on how the modern processors are affected by different temperatures when it comes to their performance. When it comes to older computer systems processors the behaviour presented by this chips when encountering high temperatures would be a failure of Central Processing Unit (CPU) which will result in the transition of the working machine to a non-working machine caused by the overheat of the processor component, the modern computer systems on the other hand have the capability to adjust their frequency according to the temperature they are functioning at in order to prevent the failure of the technological system in cause, this prevention of failure has the drawback of a decrease in the performance for the processor in cause as the temperature increases the processor will try to protect itself and slowing performance (Bach 2014). The Central Processing Units (CPUs) or Processors are not excluded from the zone of possible failure, the processors can fail if the conditions of failure are present but most processors are one of the most reliable components in a technological system or workstations as Central Processing Units (CPUs) have a small overall failure rate of just 0.2%, this will result in a single processor failing in 500 of processors when the components are of production process and delivered to the customers (Bach 2014). It is safe to say that using the temperature sensors of the processor component will not present a good candidate for failure predictions but it may present a good candidate for anomaly detection.

### 2.5.2 Memory

The computer systems memory it is a term used to represent multiple types of data storage technologies that computer systems can use, these types of memories are the Random Access Memory (RAM), the Read-Only Memory (ROM) and Flash Memory (Rubens 2019). The computer systems present many types of memory, the most common distinction is between primary memory and secondary memory, the latter one being more commonly known as storage memory. The primary memory includes the Read-Only Memory (ROM) and Random Access Memory (RAM) and it is located close to the Central Processing Unit (CPU) or Processor on the computer motherboard, allowing the Processor to read data from the primary memory in a relatively fast manner, in other words the primary memory has the role to store data that the Central Processing Unit (CPU) will need to have access as soon as possible and not being required to wait for delivery of data. The secondary memory most commonly it is usually physically located in a separate storage device, for example a Hard-Disk Drive (HDD) or a Solid-State Drive (SSD), the secondary memory in cause being connected to the computer system in a direct way via a Serial Advanced Technology Attachment (SATA) cable or over a network (Rubens 2019). The Random Access Memory (RAM) as the name implies it has the role to store data that can be accessed in a random manner, this type of memory it is fast to read and write which makes it a volatile type of memory. The Read-Only Memory as the name implies it has role to allow data to be read from this type of memory and no data can normally be written to it. According to Bach (2019) the Random Access Memory (RAM) used to be one of the most reliable pieces of hardware but in the last 5 years it has improved even more, the Random Access Memory (RAM) has an overall failure rate of 0.41%, this value will result in a single Random Access Memory will fail out of 244 of Random Access Memory (RAM) hardware components, but the failure rate presented on the customers side or the field failure rate had a value of 0.07%, this value representing a single failure out of 1400 of Random Access Memory (RAM) sticks once the components are presented in the customers possession. It is safe to say that using the sensors of the Random Access Memory (RAM) component will not present a good candidate for failure predictions but it may present a good candidate for anomaly detection when considering the voltage as the main factor of analysis.

### 2.5.3 Storage System

Data storage can be represented by the collective methods and technologies that allow the capture and retainment of digital information on entities such as electro-magnetic, silicon and optical storage media. The word storage is used most of the time to represent the devices connect to a computer system, the connection being made through input and output operations, these includes Hard-Disks, flash media and other media entities. These days the main types of storage media are the Hard-Disk Drives, Solid-State Drives and optical storage, the Hard-Disk Drives are widely used to store data in computer systems used as personal computer, servers and enterprise storage systems but the Solid-State Drives are also start to present an increase in the use for similar purposes (Rouse 2018). According to Botezatu, Giurgiu, Bogojeska and Wiesmann (2016) the costs caused by computer systems failures or downtime events have increased in a significant way in the past years for data centres, these costs are ranging from the minimum cost of \$5,600 per minute in 2010 to the maximum cost of \$8,851 per minute in 2016. The failure of technological equipment it is the most important factor that contributes to the downtime events. The Hard-Disks Drives are one of the most frequent components to fail in a computer system or in information technology environments. The reliability and performance of the Hard-Disk Drives are affected especially by factors such as duty cycles, workloads and temperature, the reliability problems are the most important as the severity of these problems will cause the failures of the hard-disk drives and eventually the need to replace the hard-disks in cause. Hard-Disk Drives failures can be categorised as either predictable failures or unpredictable failures, the unpredictable failures are represented mostly by the electronic components of the hard-disk in cause not being functional anymore, this event being sudden without any warning of possible crashes and because of this the unpredictable failures caused by the electronic components cannot be predicted by monitoring. The predictable failures on the other hand can result from slow processes represented by normal usage of the component that usually progresses over time, most of the time over month or years. The latter one will allow the analysis of possible predictive failures. The hard-disk drive sensors or the Self-Monitoring Analysis and Reporting Technology (S.M.A.R.T) system can be used to monitor the factors that will cause the failure of the hard-disk or when a failure is most likely to occur. Most of the time manufacturers implement a system that makes use of these S.M.A.R.T attributes in order to provide predictions of possible failures for the hard-disks, but these embedded models are not very efficient as they present minimal considerations of the technical problems that could occur and for this reason are considered simple models that cannot offer an insight about the unforeseen failure events due to the threshold-based normalisation, the focus of the design being on avoiding false alarms of possible failures. According to Klein (2020) Blackblaze was in the possession of 150,757 hard drives used to store customers data, as of 30 September 2020 an evaluation of the reliability of these hard-disks was performed, this evaluation resulted in an overall or annual failure rate of 0.89% for all hard-disk drives. It is safe to say that using the sensors of the Hard-Disk Drives component or the S.M.A.R.T indicators will present a good candidate for failure predictions as it is a component that is frequently causing computer systems or technological systems to fail and it has the necessary attributes in order to make the predictive alerts happen.

## 2.6 Software Implications

The manufacturing companies depend generally on the reliability of the products that are being produced by the companies in cause in order to have success and to ensure their existence. This dependability that the manufacturing companies present for the reliability of products in cause brings into discussion the scheduled maintenance of equipment that companies are using, this scheduled maintenance it is a necessity for this kind of companies in order to ensure the normal functionality and the avoidance of possible failures of any kind of technological systems or equipment, the scheduled maintenance in this case it is used as a safeguard. The maintenance it is performed separately most of the time for all components, the selection being made on usage, performance or simply because of fixed scheduled maintenance, just like any other activity performed within a manufacturing company and not only, the scheduled maintenance are not free of cost, the scheduled maintenance it is labour intensive, not free of cost and not efficient when it comes to identifying technical problems that develop between technicians visits. Unpredictable failures of computer systems or technological systems will still occur despite technicians' visits, on the other hand, the predictable failures or prediction of maintenance events related to computer systems or technological systems can determine the condition of the system in cause and allow the repair or the evaluation that must be performed. The ultimate goal of the predictive maintenance or the predictive failure for the technological systems in cause is to allow pro-active scheduling and corrective activities that will result in solving the problems created by unexpected failure of the systems in cause. Modern technological systems or information technology equipment is most of the time operated with the help of software applications, for example in the case of medical equipment, most operations such as warnings related to scan performed on a patient can be retrieved and used to create medical reports and to perform specific calibrations, these activities are controlled by a software application. The software applications most of the time are producing some kind of logs to represent their operations, these logs exist in order to inform the user of the software application about the activities that are being performed at a specific point in time by the application in cause or to reflect the software developers instructions or ideas about what valuable information must be mentioned, for example error messages, internal states or exceptions. The information found in the logs provided by the software applications can be used to trace back how a piece of hardware was used and to have an insight about the functionality of the component in cause by analysing the content of the logs for any errors or internal state abnormalities. However, the use of information related to technological systems or equipment found in log files can present many challenges and impediments when it comes to failure predictions as it has not yet been fully explored and understood since logs of software applications are used for debugging purposes they contain minimal information that can be used for failure predictions when it comes to technological systems or equipment, beside the minimal information the logs files also need data pre-processing actions as the logs contain symbols, categorial variables, times series and characters which are not structured, the data also being considerably large which will lead to time consuming analysis due to the calculation power required (Sipos, Moerchen, Fradkin and Wang 2014).

## 2.7 Computer Network Architectures

Having Access to networks or to the internet especially is becoming a fundamental element and most of the time a requirement for software applications as applications frequently need some kind of internet access in order to provide services to the users. The Internet of Things (IoT) allows more and more devices to be interconnected and because of this understanding how to have access to networks becomes a crucial element (Reese 2015). The term Computer Network Architecture refers to the physical and logical design of computing elements such as hardware, software, network protocols and media when it comes to transmission or transfer of data, in other words this term can be defined as a method that represents how computers are organised and how tasks are allocated to the computers. (JavaTPoint 2018). A computer network it is composed of nodes and links which are combined in order to create a network architecture. A device connected to the Internet of Things (IoT) it is usually called a node and a computer node is usually called host, the communications between these nodes are done by making use of protocols such as HTTP, TCP and UDP. The network nodes can include devices such as Network Interface Controller (NIC), bridges, switches, routers and hubs, these elements are all involved in the transmission of data between computer systems and other interconnected technological systems. The network links can be represented by the wires such as coaxial cables, twisted pairs, fibre optics and wireless such as Wi-Fi or satellite communications, these links can support a range of bandwidth and address specific communication needs. (Reese 2015). The communication between the network nodes it is done by sending a message across the internet from a home computer or any computer system, once this event happens, the computer's unique address is not globally unique and for this reason any messages sent between the network nodes or the computer systems will be handled by the Network Address Translation (NAT) device which will allow the changes of the address to an address that can be used for the Internet of Things (IoT) or simply the Internet and allow a single IP address to be used for multiple nodes on the network. There are two types of computer network architectures, these are the Peer-To-Peer (P2P) architecture and the Client-Server (CS) architecture (JavaTPoint 2018).

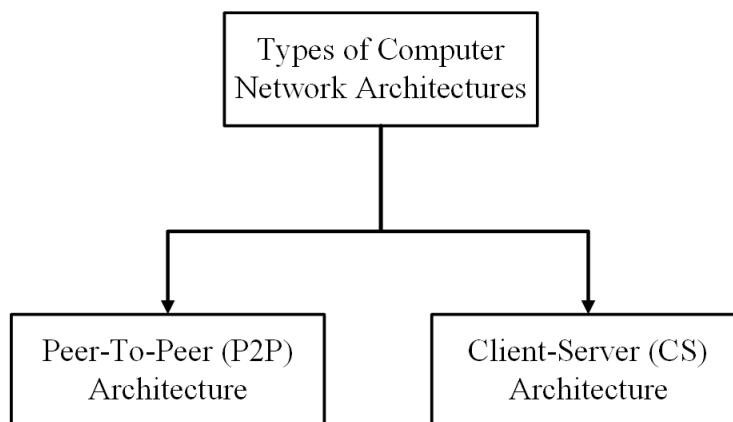


Figure 2.5: Computer Network Architectures (JavaTPoint 2018 fig. 1)

### 2.7.1 Data Transfer using Peer-to-Peer Architecture

The Peer-To-Peer (P2P) network can be defined as a network where all the nodes or computer systems are linked together and all nodes are both clients and servers at the same time with equal privileges and responsibilities when it comes to the transfer and the process of data (Neagu 2019).

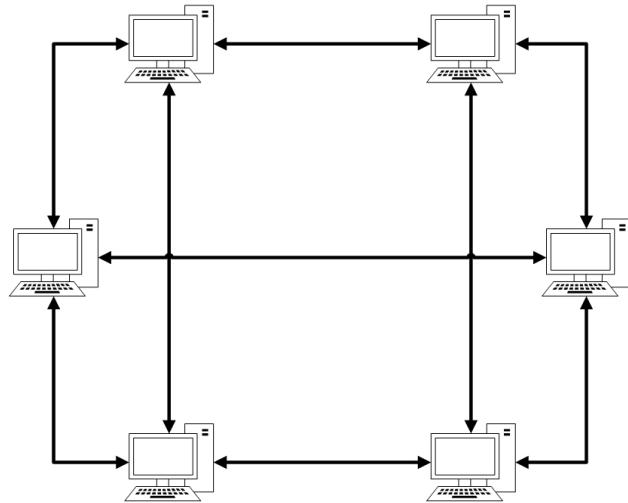


Figure 2.6: Computer Systems Peer-To-Peer (P2P) Simulation (Neagu 2019 fig. 1)

### 2.7.2 Data Transfer using Client-Server Architecture

The Client-Server (CS) network can be defined as a network where the nodes or computer systems are linked together to a centralised system called server, the nodes can be called clients which can access resources from the centralised system or server as the privileges and responsibilities when it comes to the transfer and the process of data are not equal, the server having more privileges and responsibilities (JavaTPoint 2018).

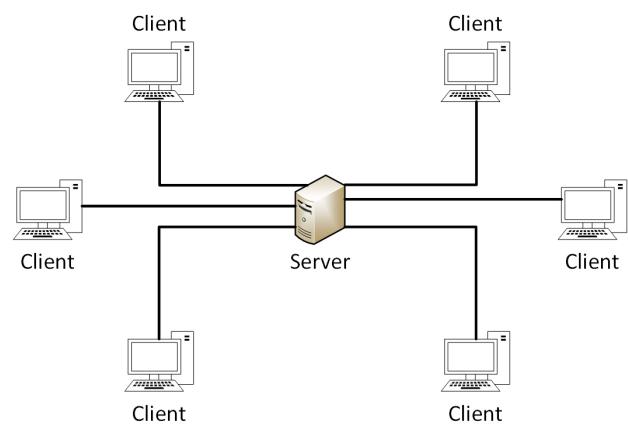


Figure 2.7: Computer Systems Client-Server (CS) Simulation (JavaTPoint 2018 fig. 2)

## 2.8 Approaches and Techniques

Numerous approaches have been performed in order to provide a solution to the maintenance of computer systems problem. Some of the most common approaches would be the ones that make use of machine learning techniques such as classification and regression models in order to analyse system log files which could contain data from simple system log in information to more relevant information when it comes to maintaining a machine such as software failure or hardware failure. Fulp, Fink and Haack (2008) suggest that the prediction models that have been used for the maintenance or failure of computer systems problem would be some of the standard machine learning models such as the Bayes Networks Model, the Hidden Markov Model and Partially Observable Markov Decision Process, these models have been used in association with an analysis of operating systems events logged and stored on the computer's hard-disk in order to be able to signal any occurring failure of the system in near real-time and also make predictions based on past data. In addition to those said, He, Feng, Lee, Wang, Han and Liu (2020) suggest that some of the most common approaches to the maintenance or failure predictions of computer systems are the use of the binary classification models such as Support Vector Machines and Linear Logistic Regression. Analysing the state of the storage component of the computer system in order to identify any decrease in the response time or failure of the machine is another approach undertaken in order to identify any maintenance event for a system based on storage component state, the storage system being a hard-disk in most cases as Botezatu, Giurgiu, Bogojeska and Wiesmann (2016) indicate, the hard-disk state, response time and utilization are the main factors that have been used when making use of machine learning models. In addition to those said about the hard-disk element, He, Feng, Lee, Wang, Han and Liu (2020) suggest that in order to provide a solution to the failure of computer systems based on the hard-disk component, the binary classification machine learning models must use the information found from the labels that enhance the accuracy of disk failure prediction based on S.M.A.R.T indicators which can be translated to features in a dataset. The failure or maintenance time prediction machine learning algorithms based on the use of information found in the S.M.A.R.T system make a good use of the systematic or gradual changes in these indicators, though these techniques are unable to handle well data containing a lot of noise or if the dataset it is imbalanced. Computer systems or technological systems log files are a vital factor when trying to offer a history or an audit of both hardware and software events. The hardware and software events in this context refer to information such as simple log in information to failure of applications and hardware components. Analysing system log files it is a good and efficient approach when considering failure predictions as it is possible to make use of some information found in the log files if the right features are extracted. Most of the time log files are text files which contain messages that are usually sent by software applications indicating the operations performed. Software applications can store information in the log files with the help of the syslog process which allows this process to happen. Many types of log files exist, yet the most interesting ones are those that contain S.M.A.R.T messages, these messages providing information about the health and status of the hard-disk drive component of a computer system (Fulp, Fink and Haack 2008).

### 2.8.1 Failure Predictions using Support Vector Machines (SVMs)

The machine learning algorithm called Support Vector Machines (SVM) it is a supervised machine learning method that is used as a binary classification algorithm, the binary classification meaning a class label it is required in order to perform the training of the model. When a dataset is given to the machine learning algorithm called Support Vector Machines (SVM) for the training, the dataset must contain a class label, if this requirement it is met the algorithm will try to separate the binary elements into a classification view using a plane that separates the two classes. The algorithm will attempt to separate the two classes mentioned in the dataset, if this operation is not possible, meaning the two classes cannot be separated linearly, then it is possible to use the higher-dimensional space to allow the finding of a separator. If the two classes can be separated using a linear plane then the Support Vector Machines algorithm can assign new non label data to a specific class. When it comes to maintenance or failure predictions of computer systems, the Support Vector Machines (SVM) algorithm can be used by analysing a series of messages which are or are not associated with a specific failure in the near future. Using the machine learning algorithm called Support Vector Machines (SVM) associated with information related to S.M.A.R.T indicators stored in the system log files has achieved a prediction accuracy of 73% and an error rate of 27% (Fulp, Fink and Haack 2008).

### 2.8.2 Failure Predictions using Hidden Markov Model (HMM)

The Hidden Markov Model (HMM) it is a machine learning algorithm that can be categorised as a statistical model which has the role to create relationships between variables in the form of mathematical equations and because of this the system modelled can be considered a Markov process with hidden states, in other words the Hidden Markov Model (HMM) can be considered the simplest dynamic Bayesian Network. Comparing to the simple Markov Model, in the Hidden Markov Model (HMM) the system state is not directly visible to the analyser or observer which leads to the fact that the Hidden Markov Model (HMM) can only have access to the result or output of the system in cause and not the entire state information. The Hidden Markov Model (HMM) can be used for assumptions such as a fault can generate a decrease in the performance of a system which could eventually lead to a complete failure of the system in cause, this being the approach undertaken in case when using the Hidden Markov Model. The mechanism related to the maintenance or failure prediction of a technological system implies the monitoring of the distributed system's behaviour by trying to find possible anomalies that could lead to failures, the failures usually being created by faults or errors, once this is done alerts related to software will be provided if the system state degradation becomes severe. Using the machine learning algorithm called Hidden Markov Model (HMM) associated with information related to assumptions based on the state of the system has achieved a prediction precision of 88.51% and a false positive rate of 11.26%. (Baldoni, Montanari and Rizzato 2014).

## 2.9 IT Systems Failure Predictions for Businesses

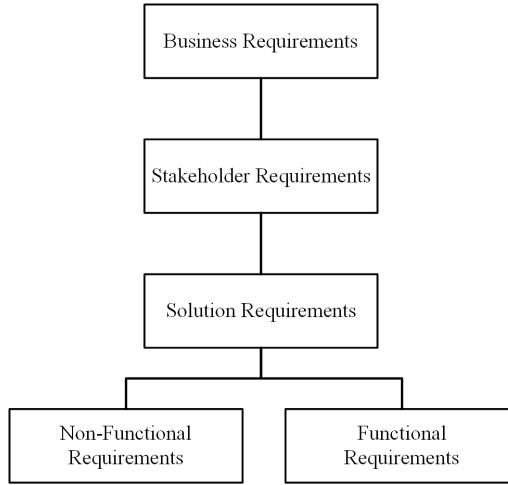


Figure 2.8: Workflow support for business needs (Wu and Buyya 2015 p. 66 fig. 2.12)

### 2.9.1 Business Requirements

The strategic analysis of a business can also be referred as a method that will allow the creation of the business requirements, these requirements being the result of the analysis performed. As it can be seen in the figure 5, the business requirements are the top level of the workflow support for the business needs and because of this the business requirements must be developed as the analysis of business activities are performed.

### 2.9.2 Stakeholder Requirements

According to Wu and Buyya (2015) the stakeholder requirements depend largely on the stakeholder of the business as the stakeholder represents all the persons involved in a technological project, while the stakeholder requirements represent an interface that provides solutions between other stakeholders, the stakeholder requirements will ensure that all other persons involved in a project as a group will be working towards the same ultimate goal and because of this the requirements can be considered as a method of consolidation or anticipation for the high-level business requirements that will allow the creation of comprehensive, practical, measurable and realistic solution requirements.

### 2.9.3 Solution Requirements

The solution requirements of a specific business can be referred as the business requirements but in a more comprehensive manner. They are constituted of two other sub sets of solution requirements; these two sub sets are the non-functional and functional requirements which will be translated into project tasks (Wu and Buyya 2015).

## 2.10 Conclusions

The background chapter has shown the importance of the maintenance actions that must be performed for computer systems, technological systems and equipment in order to ensure the stability of the systems in cause. In this chapter it was also discussed why businesses must take into account factors such as the labour investment and costs which will increase due to inability of the business to understand that the classical reliability theory related to computer systems or technological systems will not be able offer any solutions to the maintenance or failure of systems problem which will ultimately lead to the consequences to be felt significantly by the entities in cause if no measures are put in place to mitigate the failure events. In addition to the importance of the maintenance events in this chapter it was discussed that the failure predictions must be accompanied with a diagnostic of the failure root cause in order to be able to anticipate and execute the necessary actions to allow the system to remain functional and in a stable state. After the root causes, faults and errors were evaluated it was concluded that the hardware element presents a good candidate for further investigations in order to accentuate the research towards this area which could lead to a decrease of 10% of computer systems failures in data centres, the failure events being represented by the hardware element. Further into the research it was concluded that the maintenance solutions related to computer system or technological systems can be categorised as either reactive maintenance solutions or predictive maintenance solutions, the latter one being the focus of this research. During the extended research of the predictive maintenance solution it was concluded that providing a solution to computer system failure predictions problem will present many challenges and impediments due to the continuous increase of technological complexity and the dynamic runtime of computer systems. After the hardware element was set as the centre of research for failure predictions, the hardware elements that were investigated for possible failure predictions related to computer systems were the Central Processing Unit (CPU), the Random-Access Memory (RAM) and the Hard-Disk Drive (HDD) component. It was concluded that the Hard-Disk Drive it is more predispose to failure than the other components and it presents the best candidate for failure predictions as it has the suitable attributes that will allow the failure predictions happen. Once the predispose hardware component to failure has been identified, the software implications have been investigated and it has been concluded that the certain applications will save relevant information into system log files which could be beneficial to the process of failure predictions. The final evaluation was the analysis of possible candidate machine learning algorithms that could solve the problem of technological systems failure predictions, the Support Vector Machines (SVM) algorithm and the Hidden Markov Model (HMM) were evaluated and both algorithms present promising results, the Hidden Markov Model having the best results with a precision rate of 88.51% in the favour of the Support Vector Machines with a 73% accuracy. The machine learning algorithm called Support Vector Machines (SVM) will be used for the implementation of the solution as this algorithm it is used in association with the S.M.A.R.T indicators which are a core element for the failure predictions process.

Research Question: Is it possible to build a solution using the Support Vector Machines (SVM) algorithm for the maintenance of computer systems problem?

# Chapter 3

## Specifications

As it was seen in the background chapter, a series of interpretations or conclusions were resulted after the literature review part was completed, these interpretations or conclusions will allow the creation of a series of aims and objectives in the form of project specifications which will ultimately allow the creation and execution of the design, implementation and testing of a potential solution presented in the form of a desktop application for the maintenance of computer systems problem. The ultimate goal of this project is to provide a solution to the maintenance of computer systems problem by creating a desktop application which will allow the near-real time monitoring of possible candidate computer systems and provide failure or maintenance predictions based on the storage system features. This project will focus on the Hard-Disk's S.M.A.R.T (Self-Monitoring, Analysis and Reporting Technology) indicators which can be used in association with machine learning algorithms such as the Support Vector Machines (SVM) algorithm in order to provide a decent result when it comes to failure or maintenance predictions of desktop computer systems. According to Baldoni, Montanari and Rizzuto (2014) a competent programming language that could solve the problem of maintenance of computer systems in a predictive manner it is the general-purpose programming language called Java. This general-purpose programming language was used in solving the maintenance of computer systems problem in association with the machine learning algorithm called the Hidden Markov Model (HMM). The initiative of using the general-purpose programming language called Java will be considered but without the machine learning algorithm called Hidden Markov Model (HMM) as this algorithm is not used in association with the Hard-Disk's S.M.A.R.T (Self-Monitoring, Analysis and Reporting Technology), instead the Support Vector Machines (SVM) will be used for this project. The solution provided by this project will be aimed directly at a specific case of desktop computer system which are used by the institution called Robert Gordon University. The solution to the maintenance of computer systems problem will be achieved by completing two distinct stages, each stage having multiple objectives and sub-objectives. Before starting the design, implementation and testing of the project a series of specifications will be defined using the MoSCoW system. This system is essential for any software development project as it allows the prioritisations of the tasks in sections named as "must", "should" and "could". The "must" requirements have to be implemented in order to consider the project a success, the "should" requirements can be considered as non-crucial elements for the project and the "could" requirements will be implemented in the ideal scenario.

The first stage will represent the Server-Side or the Command and Control (C&C) part of the desktop application which will allow the establishment of connections for possible clients or agents to its address and to a specific listening port that will be set by the user. Once a number of agents or clients have been connected to the Command and Control (C&C) Server, the remote execution of commands for a potential client or machine that may require maintenance will be possible.

The second stage will represent the Client-Side or the Agent part of the desktop application which will be created in a dynamic way by the Server-Side or Command and Control (C&C) Desktop Application. The Agent or the Client created will ultimately be responsible for sending relevant information to the Command and Control (C&C) Server and potentially executing specific instructions on the client machine which will be sent or instructed by the Command and Control (C&C) Application.

## **3.1 Functional Requirements**

### **3.1.1 Command and Control (C&C)**

#### **Controller**

1. The Desktop Application must validate the user input
  - (a) The validation of the user input must be done using the third party java library called Appache Commons,
  - (b) The validation of the user input must be performed for the TCP Listening ports when records will be added to the local database,
  - (c) The validation of the user input must be performed for the IP or DNS address when records will be added to the local database.
2. The Desktop Application should allow the creation of client application in the form of a Java Archive (.jar) which will have the role to connect to a specific IP address and port specified by the user,
  - (a) The Java Archive (.jar) should be created at a file location on the storage system specified by the user,
3. The Desktop Application must save the preferences of the application in an XML (Extensible Markup Language) file
  - (a) The preferences of the application must be saved using the Java Architecture for XML Binding (JAXB),
  - (b) The preferences file must contain a boolean variable related to the theme of the application, the value being True for the light theme and False for the dark theme.

## Networking Programming

1. The Desktop Application must use the Client-Server Network Architecture,
2. The Desktop Application must use the Transmission Control Protocol (TCP) for data transfer,
3. The Desktop Application must allow the establishment of connections from clients or agents on the current machine's address and on specific ports defined by the user,
4. The Desktop Application must be able to stop the establishment of connections from clients or agents on the current machine's address and on the specific ports defined by the user previously,
5. The Desktop Application must be able to receive packets from the clients or agents connected to the server,
6. The Desktop Application must be able to send packets to the clients or agents connected to the server,
7. The Desktop Application must maintain the connection between the server and clients,
8. The Desktop Application should allow the clients to reconnect to the server if the connection will be lost.

## Security

1. The Desktop Application should use symmetric or asymmetric encryption for the transfer of data between the clients and server.

## Local Database

1. The Desktop Application must present a Local Database created using SQLite.
  - (a) The local database must allow the creation of a table for listening ports called connections,
  - (b) The local database must allow the addition of records in the form of listening ports to a SQLite table called connections,
  - (c) The local database must allow the deletion of records in the form of listening ports from the SQLite table called connections.
  - (d) The local database could allow the modification of records for the listening ports added to the SQLite table called connections.

## Authentication System

1. The Desktop Application must use an AWS Cognito Authentication System
  - (a) The Authentication System must allow the user to Log In using an email address and a password,
  - (b) The Authentication System must allow the user to register using an email address, a password, their full name and a security code,
  - (c) The Authentication System must allow the user to register only if the input provided for the email address matches the format provided is valid, the full name does not contain numbers or special characters, the password consists of an upper case letter, a lower case letter, a number and a special character.
  - (d) The Authentication System must allow only genuine users to access the main application.
  - (e) The Authentication System could allow the user to reset their password by using their email address,

## Machine Learning

1. The desktop application should use the machine learning algorithm called Support Vector Machines (SVM) in order to perform a classification of the Hard-Disk S.M.A.R.T (Self-Monitoring, Analysis and Reporting Technology) indicators collected data from the machines which will be monitored using the Java Archive (.jar) clients or agents and provide predictions related to hard-disk failure.
  - (a) The Hard-Disk S.M.A.R.T indicators data should be collected from the clients machines and saved into a Comma-separated values (.csv) file.
  - (b) The Hard-Disk S.M.A.R.T indicators data should be explored before any pre-processing operations,
  - (c) The collected data should be pre-processed before creating a Support Vector Machines (SVM) model by verifying the file for any missing values,
  - (d) The collected data should be pre-processed before creating a Support Vector Machines (SVM) model by verifying the data if normalisation can be applied,
  - (e) The collected data should be used for training a Support Vector Machines (SVM) model in order to provide predictions related to hard-disk failures.
  - (f) The Support Vector Machines (SVM) model should be trained using multiple C parameter values and multiple Gamma parameter values in order to get the best results.
  - (g) The trained Support Vector Machines (SVM) model should be tested on a sample of Hard-Disks S.M.A.R.T indicators data in order to evaluate the accuracy and error rate of the model.

## Interface

1. The Graphical User Interface could present a Window for the Authentication Process,
  - (a) The Window could present a button to Log In into the main application,
  - (b) The Window could present a button to register into the system,
2. The Graphical User Interface could present a Window for the Terms of Service informative process which will inform the user of the legal and ethical aspect of using the application,
  - (a) The Window could present a button to visualise the full terms of service,
  - (b) The Window could present a button for declining the terms of service,
  - (c) The Window could present a button for accepting the terms of service,
3. The Graphical User Interface could present a Window for the Main features of the application which will be available once the authentication process will be completed,
4. The Graphical User Interface could present a welcome message with the user's full name once the authentication process will be completed,
5. The Graphical User Interface could present a panel for general information called Dashboard for the main Window of the application,
  - (a) The general information panel could present a widget that shows the number of clients connected to the server for the current application session,
  - (b) The general information panel could present a widget that shows the number of ports opened by the application for the current application session,
  - (c) The general information panel could present a widget that shows the number of clients connected to the server that may require maintenance assistance for the current application session,
  - (d) The general information panel could present a widget that shows the number of clients connected to the server with resolved maintenance problems for the current application session.
  - (e) The general information panel could present a widget that shows the activity of the application for a week time when considering the number clients connected, open ports, maintenance assistance and resolved issues.
  - (f) The general information panel could present a widget that shows the number of causes of maintenance the current application session.
  - (g) The general information panel could present a widget that shows the number of maintenance notifications classified as critical, warning and informative for the current application session.

6. The Graphical User Interface could present a panel for data analysis called Analytics for the main Window of the application,
  - (a) The data analysis panel could present an area chart for the collection of Hard-Disk S.M.A.R.T data over a week time period,
  - (b) The data analysis panel could present a table for maintenance or failure events sent by the clients machines with columns named as Time, Level, Event, Platform, Architecture, Computer Name, Host and Port.
7. The Graphical User Interface could present a panel for remote execution of instructions called Machines for the main Window of the application,
  - (a) The remote execution of instructions panel could present a table containing the current clients connected to the server in the form of table records with columns named as Platform, Architecture Computer Name, Host, Port, Payload and Action.
8. The Graphical User Interface could present a panel for past and scheduled maintenance events called Calendar for the main Window of the application,
  - (a) The scheduled maintenance events panel could contain a calendar view created using the user interface java framework called CalendarFX.
9. The Graphical User Interface could present a panel for the establishment of connections called Network for the main Window of the application,
  - (a) The establishment of clients connections panel could present a label called "TCP Port",
  - (b) The establishment of clients connections panel could present a text field for the TCP Port information,
  - (c) The establishment of clients connections panel could present a table containing a list of TCP listening ports.
  - (d) The establishment of clients connections panel could present a button for the addition of TCP Ports to a SQLite Database once the information will be provided by the user using the TCP Port text field,
  - (e) The establishment of clients connections panel could present a button for the deletion of the TCP listening ports from the table containing the listening ports,
  - (f) The establishment of clients connections panel could present a button for the creation of Server Sockets using the TCP ports in the table containing the ports.
  - (g) The establishment of clients connections panel could present a button for additional information related to the usage of the panel in cause in order to guide the user.

10. The Graphical User Interface could present a panel for the creation of the agent or client called Agent for the main Window of the application,
  - (a) The agent panel could present a label for the IP or DNS address of the Server Socket where the client or agent will connect to,
  - (b) The agent panel could present a label for the listening port of the Server Socket where the client or agent will connect to,
  - (c) The agent panel could present a text field for the IP address of the Server Socket where the client or agent will connect to,
  - (d) The agent panel could present a text field for the port of the Server Socket where the client or agent will connect to,
  - (e) The agent panel could present a button for the addition of the IP address and Port of the Server Socket to a list which will be displayed in a table, this information ultimately being used to allow the client or agent to connect to the Server or Command and Control (C&C),
  - (f) The agent panel could present a text field for the naming of the Java Archive (.jar) Agent.
  - (g) The creation of the agent panel could present a button in order to create a Java Archive (.jar) which will allow the connection of the client to the server once executed by the user on a specific machine.
11. The Graphical User Interface could present a panel for the selection of preferences called Settings for the main Window of the application,
  - (a) The settings panel could present a Radio Button interface component to select a light theme for the application,
  - (b) The settings panel could present a Radio Button interface component to select a dark theme for the application.
12. The Graphical User Interface could present a panel for the activity of the application called Events Log for the main Window of the application,
13. The Graphical User Interface could present a panel for additional application information called About for the main Window of the application,
  - (a) The additional application information panel could present a button for the terms of usage of the desktop application,
  - (b) The additional application information panel could present a button for any future software changes,
  - (c) The additional application information panel could present a button for the possibility to get into contact with the creators of the application,
  - (d) The additional application information panel could present a button for a manual of the application.
14. The Graphical User Interface could present a navigation system for the panels of the main application.

### 3.1.2 Agent (Client-Side)

#### Controller

1. The Agent Application must validate configuration data
  - (a) The validation of the configuration data must be done using the third party java library called Appache Commons,
  - (b) The validation of the configuration data must be performed for the TCP Listening ports when trying to establish a connection,
  - (c) The validation of the configuration data must be performed for the IP or DNS address when trying to establish a connection.

#### Network Programming

1. The Agent Application must use the Client-Server Network Architecture,
2. The Agent Application must use the Transmission Control Protocol (TCP) for data transfer,
3. The Agent Application must be able to connect to the server on a specific address and on ports defined by the user,
4. The Agent Application must be able to receive packets from the server once connected,
5. The Agent Application must be able to send packets to the server once connected,
6. The Agent Application must be able to retrieve hardware and software information from the machine that will execute the Java Archive (.jar).
7. The Agent Application should be able to reconnect to the server if the connection will be lost.

#### Security

1. The Desktop Application should use symmetric or asymmetric encryption for the transfer of data between the clients and server.

## 3.2 Non-Functional Requirements

### 3.2.1 Technologies

1. The general-purpose programming language called Java must be used for the development of both the Command and Control (C&C) Server application and the Agent application,
  - (a) The Build Automation tool called Maven must be used for the development of the applications,
  - (b) The User Interface framework called JavaFX must be used for the creation of the Graphical User Interface,
  - (c) The Third-Party library called TileFX must be used for the creation of application charts,
  - (d) The Third-Party library called CalendarFX must be used for the creation of the application calendar,
  - (e) The Third-Party library called MigLayout must be used for the layout of the widgets,
  - (f) The Third-Party library called Operating System and Hardware Information (OSHI) must be used to access the hardware and software information by the agent application,
  - (g) The Third-Party library called Statistical Machine Intelligence and Learning Engine should be used in order to train a Support Vector Machines (SVM) model from the Hard-Disks S.M.A.R.T data.

### 3.2.2 Design

1. The Desktop Application should use a two column approach for the layout of the application,
  - (a) The Desktop Application should present a side menu containing widgets for all panels of the application,
  - (b) The Desktop Application should present a main content section.
2. The Desktop Application should use the Robert Gordon University purple colour for the light theme of the application,
3. The Desktop Application should use a dark shade of the blue colour for the dark theme of the application.

### 3.2.3 Laws

1. The Desktop Application must comply with the Computer Misuse Act 1990,
2. The Desktop Application must comply with the General Data Protection Regulation 2018,
3. The Desktop Application must comply with the Intellectual Property Act 2014.

### 3.2.4 Constraints

1. The project must be partially completed before the 22<sup>nd</sup> of February for the proof of concept deadline.

### 3.2.5 Security

1. The software product must not store personal information of its users, an exception being the AWS Cognito Database which has the role to authenticate genuine users, nevertheless encryption techniques such as symmetric or asymmetric encryption approaches should be used when transferring data over the network.

### 3.2.6 Software Quality

#### Extensibility

1. As it was seen in the background chapter, the maintenance of computer systems is required for multiple areas of activity. This event naturally will suggest if the project could be applied to any industry that uses computer systems in order to solve problems.

#### Portability

1. The Command and Control (C&C) Server Application and the Agent (Client-Side) Application must be compatible with the Windows Operating System.

#### Scalability

1. The Command and Control (C&C) Server Application should be scalable when considering the number of clients that will be allowed to connect to the server.

#### Testability

1. The Command And Control (C&C) Server Application and the Agent (Client-Side) Application should be tested using a manual testing approach.

#### Stability

1. The Command and Control (C&C) Server Application and the Agent (Client-Side) Application should be stable during the operation of the applications.

#### Reusability

1. The network programming sections of the Command and Control (C&C) Server and the Agent (Client-Side) Application should be reusable by other software developers.

# Chapter 4

## Design

In this chapter of the document, the architectural design decisions related to the Command and Control (C&C) server and the Agent (Client-Side) applications will be discussed. The design process it is an important project stage which will lead to a clear development plan of the systems mentioned previously. This chapter will clarify and ratify the direction of both Command and Control (C&C) server and the Agent (Client-Side) applications when it comes to the implementation process which will follow at the end of the design process. The design of both Command and Control (C&C) server and the Agent (Client-Side) applications will be done using the information gathered during the background chapter, and the requirements resulted at the end of the specifications chapter. The background chapter of the document has set the initial direction of the project and the specifications chapter has complemented the ultimate path in which the project will go, yet the design chapter will ratify this path by making use of an interative design process which will evaluate the feasibility of the project plan by completing four iterative stages, the first stage would be to reflect on the research made in the background chapter, the second stage would be to reflect on the specifications priority considering the available time, the third stage would be to evaluate the viability of the suggested implementation and last but not the least, the fourth stage would be to renew or update the final design concluded. The reflection on the background chapter it is required in order to ensure that the ultimate goal of the project is not omitted in the final project experiments and artefact to be created. The prioritisation of the project requirements which were resulted at the end of the research process presented in the background chapter it is required in order to ensure that no fundamental or vital requirements are left out when it comes to the creation of the final project artefact. The feasibility or the viability of the implementation plan was required in order to ensure that the suggested development approach can be completed during the available time and the final product will present at least the foundation necessary for future work. The update of the design process it is required it is the final step of the interation process and it is required in order to adapt to the new information gathered related to the project progress. This process was repeated numerous times for single project components in order to increase the chance of project success.

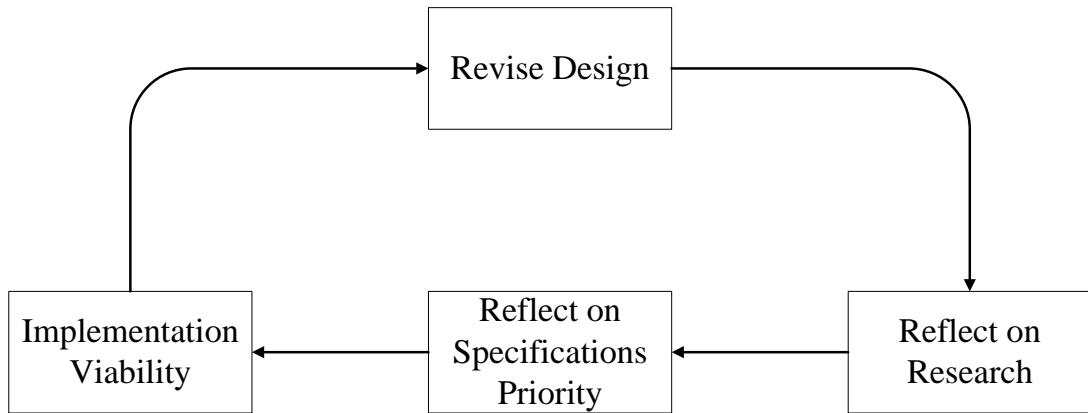


Figure 4.1: Iterative Design Process

The focus of this project's design will be on two main components, these components will have equal importance when it comes to the implementation process. The first component of the project it is the Command and Control (C&C) Server application and the second component of the project it is the Agent (Client-Side) application.

The Server-Side or the Command and Control (C&C) component of the project will allow the establishment of connections for possible clients or agents to its address and to a specific listening port that will be set by the user. Once a number of agents or clients have been connected to the Command and Control (C&C) Server, the remote execution of commands for a potential client or machine that may require maintenance will be possible.

## 4.1 Command and Control (C&C)

The first component of two primary projects components it is the Command and Control (C&C) Server which will support and partially represent the title of the project as this is not the only component required in order to achieve forecasting of computer systems failure. The Command and Control (C&C) Server application will allow the establishment of connections for possible clients or agents to its address and to specific listening ports that will be set by the user. Once an agent or client will connect to the Command and Control (C&C) Server, the Hard-Disk Drive Self-Monitoring Analysis and Reporting Technology (S.M.A.R.T) data will be retrieved and sent back to the Command and Control (C&C) for collection.

The term Command and Control (C&C) refers to a computer which will send directives to digital devices which were able to establish a connection successfully with the Command and Control (C&C). In this specific case the digital devices are computer systems which will establish the connection with the Command and Control (C&C) independently on program execution, as the Command and Control (C&C) will allow the creation of agents or clients dynamically with the help of the cross-platform behaviour of the Java Programming Language by creating Java Archives (.jar) which will later be deployed on the computers in question which will receive directives.

According to Tech Target (2017) a Command and Control (C&C) Server type of software it is a software used to send directives to digital devices which have been infected with malware and the Command and Control (C&C) servers can be used to create networks of devices capable of carrying out cyber attacks such as Distributed Denial-of-Service (DDoS) or other malicious activities such as stealing of data, encryption of data and deletion of data in order to perform a specific extortion scheme. In this specific project, the Command and Control (C&C) will not under any circumstances present any of the security features mentioned previously as the project has the ultimate goal to create a legitimate and commercial computer systems maintenance tool with a predictive behaviour, yet it must be considered that the term Command and Control (C&C) Server it is most of the time associated with a malicious type of software and a not a legitimate one, despite the presence of legitimate and commercial reactive remote desktop maintenance solutions on the market such as AnyDesk and TeamViewer. The architecture of the Command and Control (C&C) will consist of five main elements, these elements are the authentication system of the application, the interface of the application, the network side of the application, the local database of the application and last but not the least the collection of Self-Monitoring Analysis and Reporting Technology (S.M.A.R.T) data with the ultimate goal to analyze the data using the machine learning algorithm called Support Vector Machine (SVM).

#### 4.1.1 Command and Control (C&C) Architecture

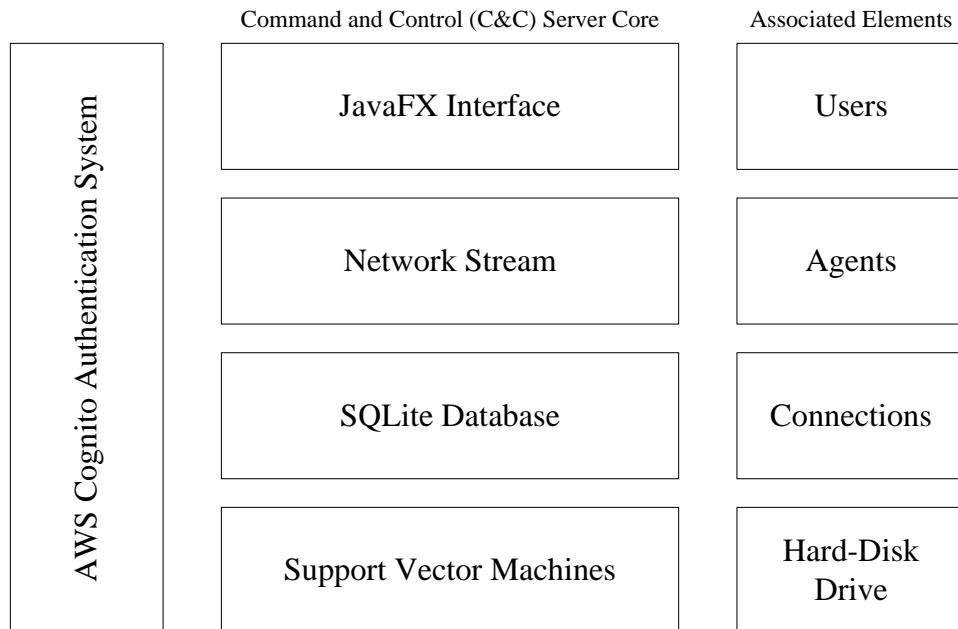


Figure 4.2: Command and Control (C&C) Architecture

### 4.1.2 Unified Modeling Language

#### Use Case Diagram

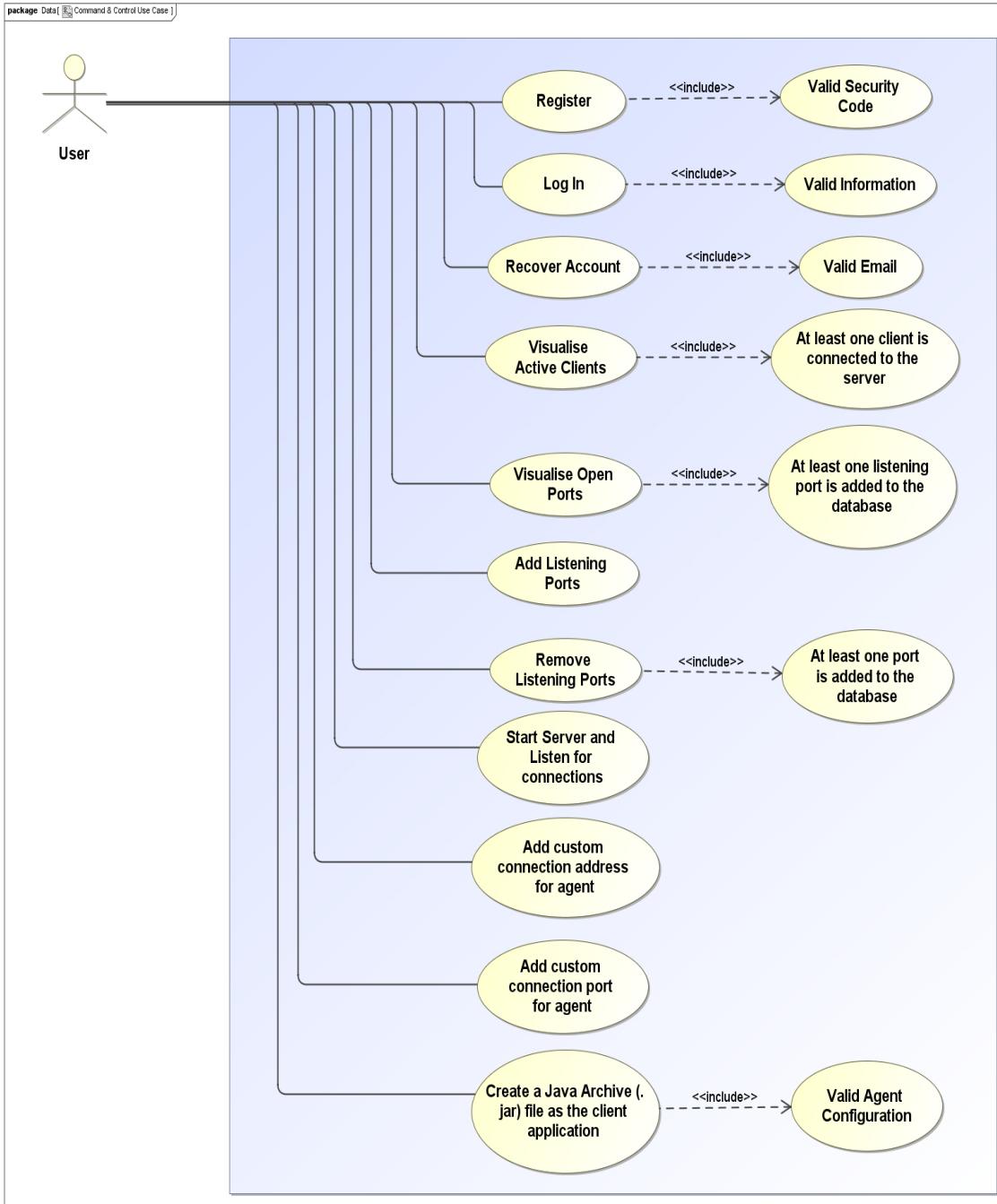


Figure 4.3: Command & Control (C&C) Application Use Case

The available actions of the Command and Control (C&C) Server presented in the figure above represent only a few of the possible interactions that a user of the desktop application would be able to perform, the most noticeable and the most vital features of the desktop application presented in the figure above are the addition of IP addresses, ports to a list of connections and the creation the Java Archive (.jar) agent.

## Activity Diagram

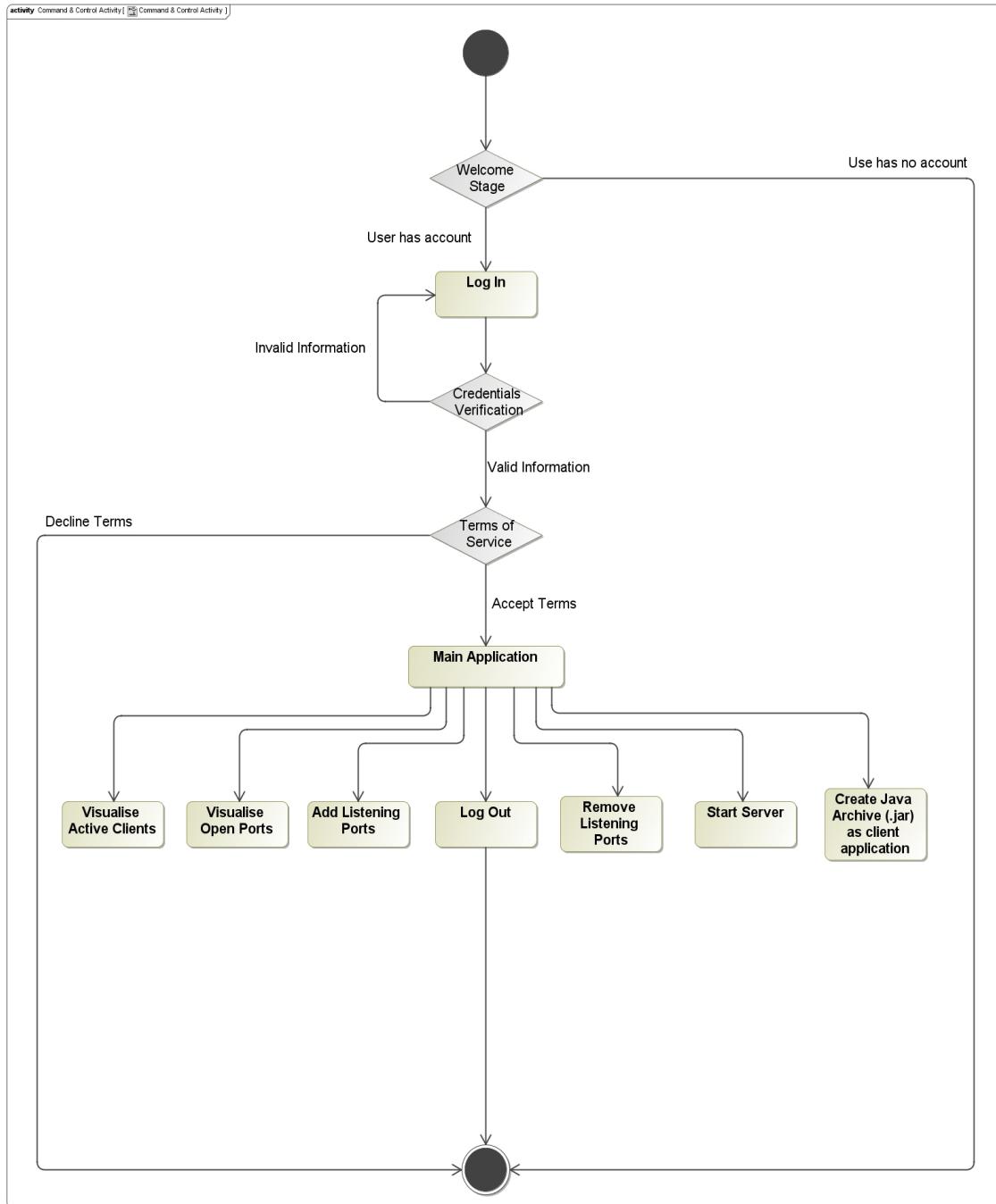


Figure 4.4: Command & Control (C&C) Application Activity

The activity flow presented in the figure above represents only a few of the possible interactions that the user will be presented with, yet comparing to the use case diagram, in this activity flow the user must perform specific operations in order to reach the main features of the Command and Control (C&C) Server application, these operations are the provision of valid authentication information followed by the acceptance of the application's terms of service.

## Class Diagram

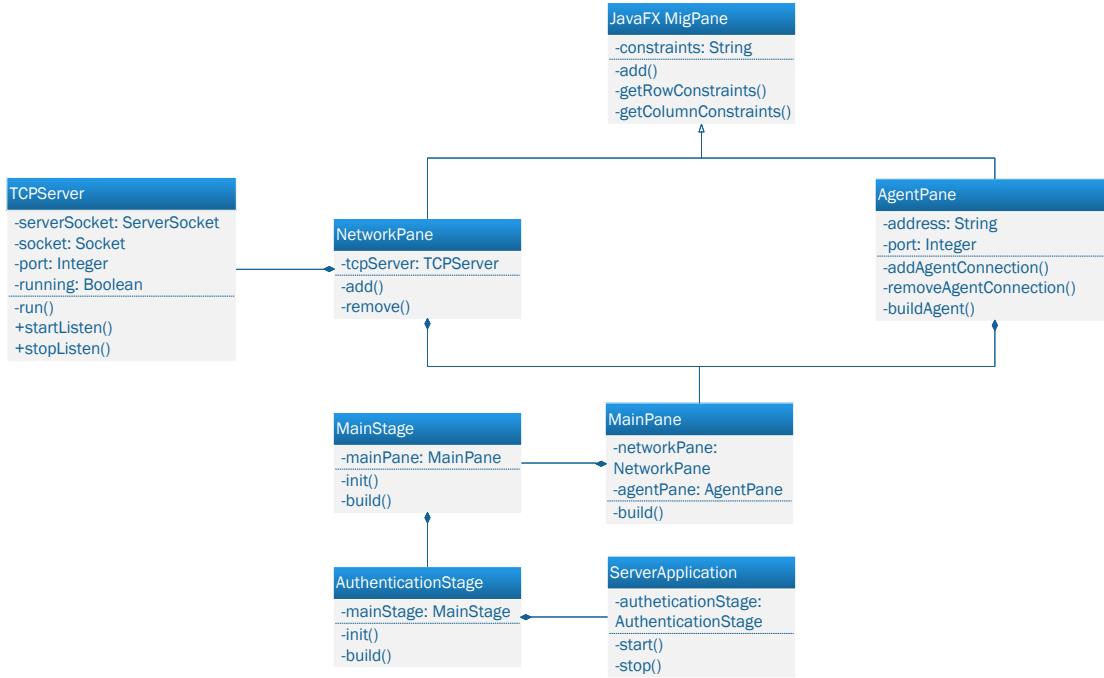


Figure 4.5: Command & Control (C&C) Application Class

The Command and Control (C&C) Server Application it is a Desktop Application with a decent level of complexity containing multiple Java Classes, in the figure presented above only a few of those classes are shown, the Java Classes shown in the class diagram from above are classes considered to be at the core of the Command and Control (C&C) Server Desktop Application. The Graphical User Interface of the Command and Control (C&C) will be built using the JavaFX Interface Framework in association with the MigLayout container which it is a grid based type of container with the potential to achieve even the most complex types of layout without the need of using other nested types of JavaFX build-in layouts such as BorderPane, HorizontalBox and VerticalBox. In the figure 4.5 it can be seen that all Panels inherit the features of the MigLayout Container in order to organize the structure of the Command and Control (C&C) Server application. The predominant connector presented in the Figure 4.5 it is the Composition connector which will imply the mandatory existence of the associated Classes. The only non-graphical Class presented in the Figure 4.5 it is the TCPServer Class which has the role to create an independent listening server object running on a separate Thread of the Main JavaFX Thread in order to allow for a smooth execution of operations without any interruptions, this Class will allow the establishment of connections from Agents or Clients on a specific IP Address and a specific Port set by the user using the Graphical User Interface. In addition to the listening operation of the Server Socket, the TCPServer Class will also allow the reset of all current connections using the "running" boolean attribute which can be set to true in order to start the Server or false to stop the server, this will be done with the help of the Class Getters and Setters, which will be used in the Network Panel to control this type of events.

## Sequence Diagram

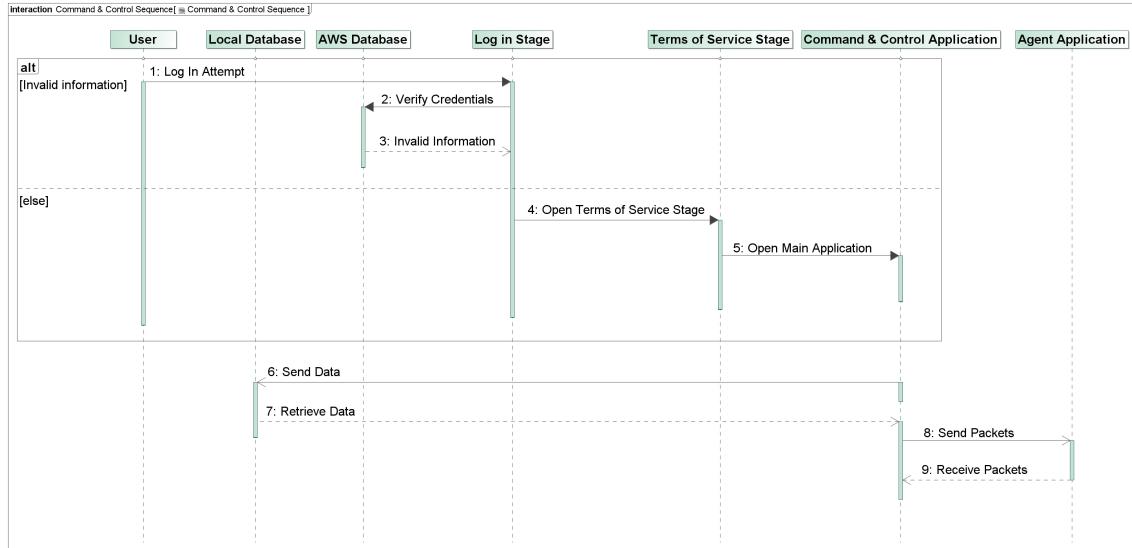


Figure 4.6: Command & Control (C&C) Application Sequence

The figure 4.6 represents the sequence of events between the main entities of the project. The entities presented in this diagram are the User entity, the local SQLite database entity, the Cloud Amazon Cognito database entity, the JavaFX Log in Stage, entity, the JavaFX Terms of Service Stage entity, the Command and Control (C&C) Server Application Stage entity and last but not the least the Agent (Client-Side) Application entity which will interact with the Command and Control (C&C) Server Application if a series of events would happen. The two most important entities in this diagram are the Command and Control (C&C) Application and the Agent Application. In order to have a sequence of events between the two most important mentioned entities, a series of events must happen in a specific order. The first event would be the user attempt to log in using the JavaFX Log in Stage, the second event would be the verification of user credentials against the Cloud Amazon Cognito database, if the credentials are invalid, then the user would not be able to access the JavaFX Terms of Service Stage, this being the third event and the response from the Cloud Amazon Cognito database. However, if the user would enter valid credentials, the JavaFX Terms of Service would be prompted, this being the fourth event in the sequence. Once the JavaFX Terms of Service would be shown, the user would be able to accept the terms shown to move to the main Command and Control (C&C) Server Application, where specific could ports associated with a password will be added to the local SQLite database and the communication with the Agent (Client-Side) would be possible in the form of sending packets and receiving packets between the two main applications (Command and Control (C&C) Server Application and Agent (Client-Side) Application).

#### 4.1.3 Controller of Application

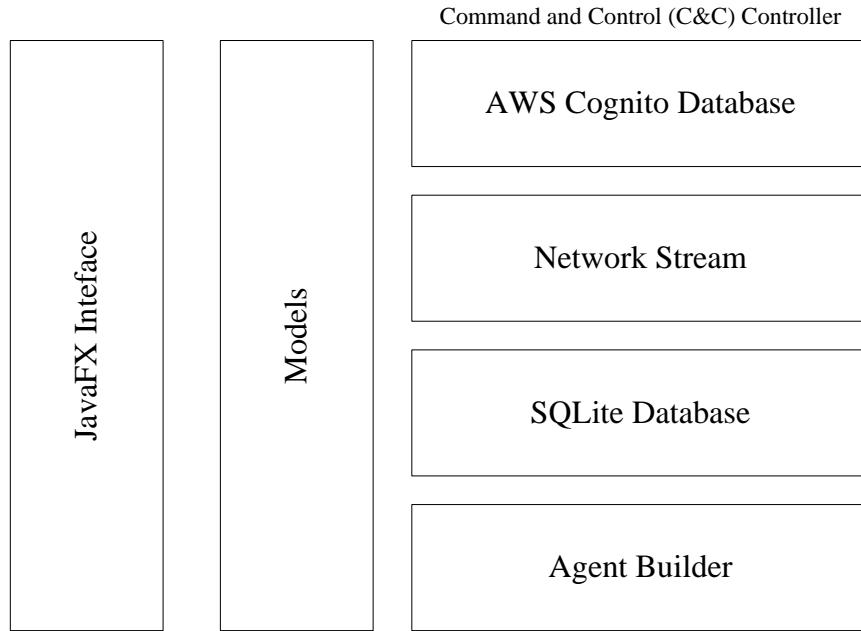


Figure 4.7: Command & Control (C&C) Application Controller Components

In the figure 4.7 it can be seen the architecture of the Command and Control (C&C) Server Application with close look at the controller part of the Application, the two elements presented beside the Command and Control (C&C) Server Controller are the View of the Application or the JavaFX Interface and the Models used though the whole application as a shared feature between the JavaFX Interface and the Controller. The main elements within the controller of the Command and Control (C&C) Server Application are the Amazon Cognito Database, the Network Sockets and Streams, the local SQLite database and the agent builder which is composed from multiple components which are not shown in the 4.7. However, the agent builder is composed of two main components which will be discussed largely in the implementation chapter, the first component would be the configuration aspect of the agent which concerns the IP or DNS (Domain Name System) address and the port to which the agent should connect to and the second component would be the creation of the agent into a Java Archive (.jar) with the associated configuration. The configuration of the agent would be created dynamically using the ASM Java Bytecode Manipulation library from France Telecom which will allow the modification of a Java class by changing the values of simple class constants to values used in specific class methods and even more as the library offers extended functionality for the manipulation of Java Classes.

#### 4.1.4 Interface of Application

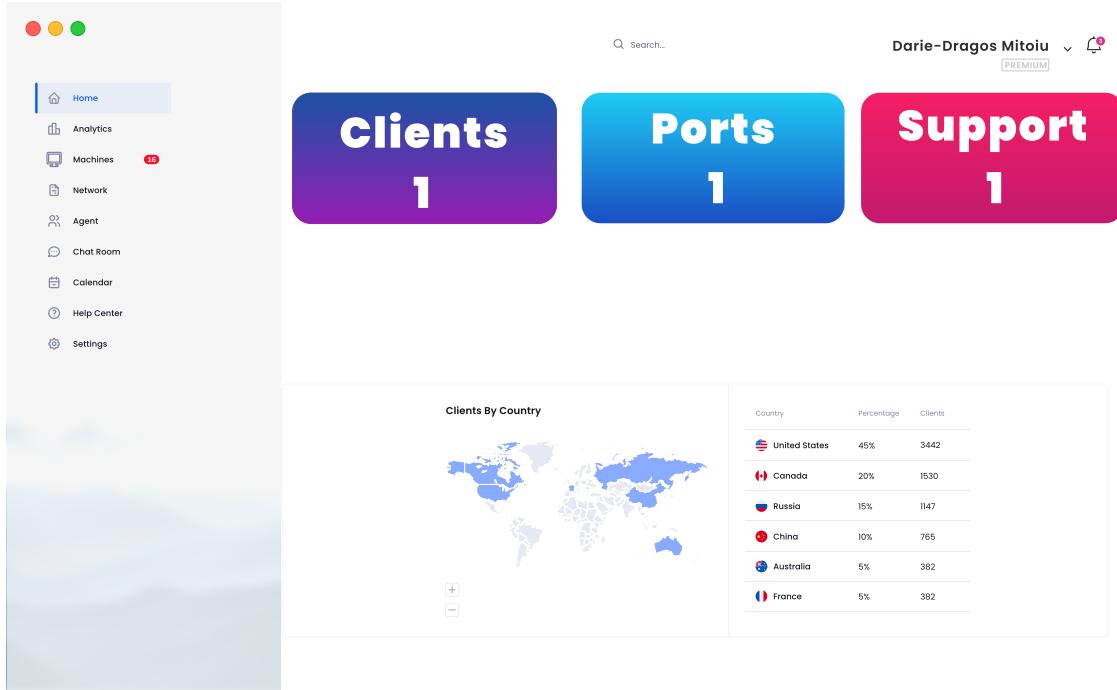


Figure 4.8: Command & Control (C&C) Application Dashboard Design

The partial interface of the Command and Control (C&C) Server application presented in the 4.8 was produced through numerous design iterations which have allowed a series of features to be presented in the final design result. The 4.8 represents the dashboard panel of the Command and Control (C&C) Server Application which has been mentioned in the specifications chapter. The overall interface it is presented into a JavaFX Stage with a vertical side menu presented on the left side of the window and the content of the dashboard panel on the right side of the window. The design presented in the 4.8 follows the two-column design approach, where the first grid column it is represented by the vertical side-menu and the second grid column it is represented by the selection made on the vertical side-menu as each options will replace the content on the right side of the window on button press. In addition to the general presentation, the JavaFX Stage also contains a minimize, expand and close window buttons situated on the left side of the window bar, these buttons can be placed either on the left side of the window bar or on the right side of the window bar due to the extensive capabilities of the JavaFX interface framework. When it comes to the content of the window, the side-menu contains eight menu elements, the first one being the Home element or the dashboard element which will show the number of clients or agents connected to the Command and Control (C&C) Server Application, the number of ports opened by the Command and Control (C&C) Server Application, the number of clients or agents that may require support based on the Hard-Disk Drive Self-Monitoring Analysis and Reporting Technology (S.M.A.R.T) indicators and last but not the least a world map situated below the session data containing the number of agents connected to the Command and Control (C&C) Server Application based on their geographical location.

## 4.2 Agent (Client-Side)

The component of the project will be the Agent component or the Client-Side application of which will be created in a dynamic way by the Server-Side or Command and Control (C&C) Desktop Application using an IP address and a port specified by the user at the moment of agent creation. The Agent or the Client created will ultimately be responsible for sending relevant information to the Command and Control (C&C) Server, which in this specific case, the data in question it is the Hard-Disk Drive Self-Monitoring Analysis and Reporting Technology (S.M.A.R.T) attributes and potentially executing specific instructions on the client machine which will be sent or instructed by the Command and Control (C&C) Server Application. The architecture of the Agent or Client application will consist of four main elements, these elements are the command line interface of the application, the network side of the application, the Java Bytecode configuration of the application and last but not the least the smartmon tools third-party application which will allow the Hard-Disk Drive Self-Monitoring Analysis and Reporting Technology (S.M.A.R.T) data to be retrieved and sent to the Command and Control (C&C) Server application.

### 4.2.1 Agent (Client-Side) Architecture

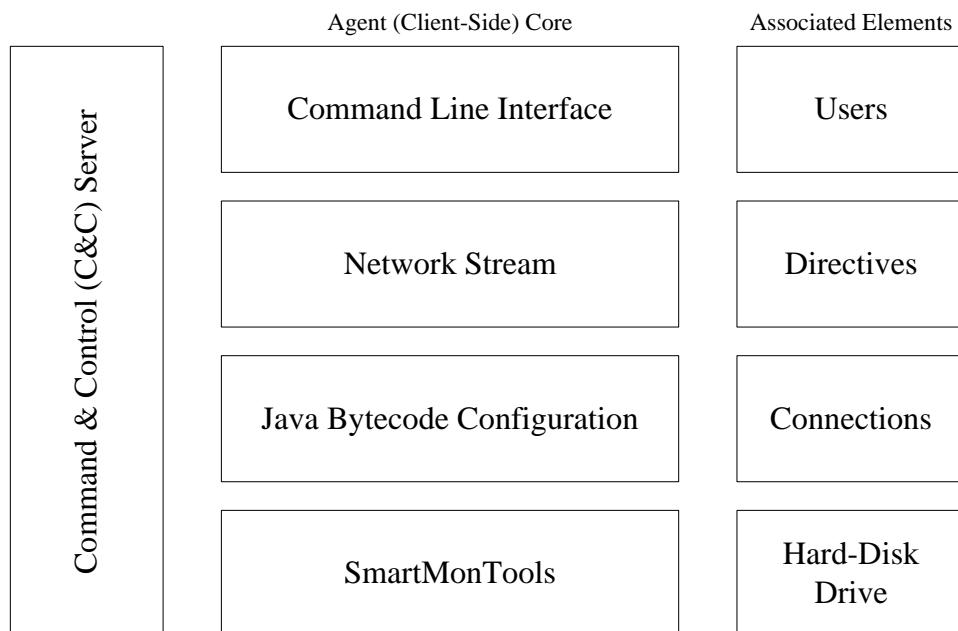


Figure 4.9: Agent (Client-Side) Architecture

#### 4.2.2 Controller of Application

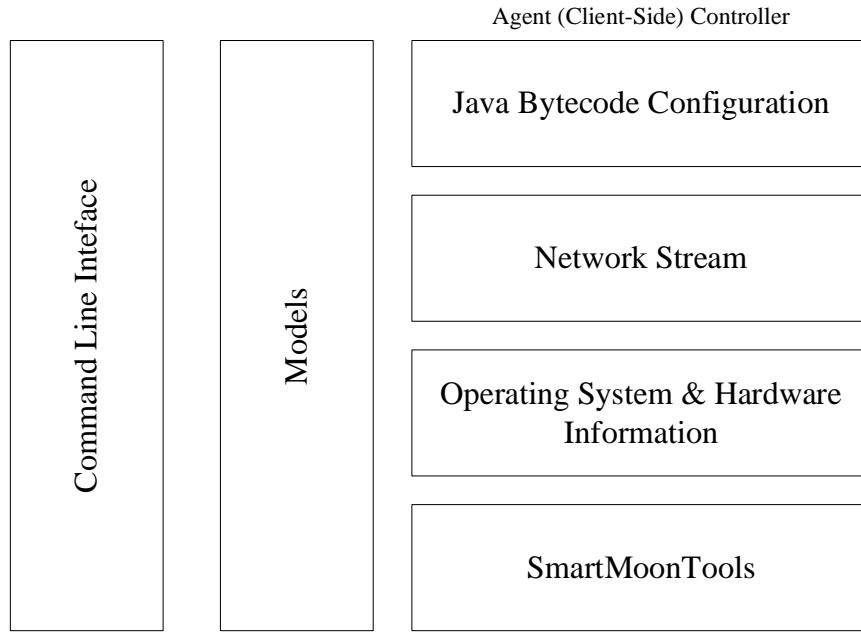


Figure 4.10: Agent (Client-Side) Controller

In the figure 4.10 it can be seen the architecture of the Agent (Client-Side) application with a focus on the controller of the application. The first two elements presented in the figure 4.10 are the command line interface of the Agent (Client-Side) and the models used through the whole Agent (Client-Side) sub-project. The main elements presented in the Agent (Client-Side) Controller are the Java Bytecode Configuration, the Network Socket and input, output Streams, the ability to retrieve Operating Systems and Hardware information and the utilisation of the third-party application called Smartmontools. The Java Bytecode Configuration element refers to a dynamically created Java Class containing the IP or DNS (Domain Name System) address and the port specified at the creation of the agent using the Command and Control (C&C) Server Application. The Network Stream element refers to the Object Input Stream and the Object Output Stream used to send and receive data to and from the Command and Control (C&C) Server Application. The Operating System & Hardware Information element refers to the ability to retrieve Operating System & Hardware Information either with the default behaviour of the Java Programming Language or with the help of the third-party libraries mentioned in the specifications chapter. The Smartmontools element refers to the involvement of the third-party application called Smartmontools which must be installed on the machine which will execute the Agent (Client-Side) Application in order to retrieve and send the Hard-Disk Drive Self-Monitoring Analysis and Reporting Technology (S.M.A.R.T) data to the Command and Control (C&C) Server Application.

# Chapter 5

## Implementation

In this chapter of the document, the architectural decisions presented in the previous chapter will be taken forward into building the mentioned artifacts in association with the experiments necessary in order to prove the forecasting aspect of computer systems failure by making use of machine learning techniques such as the Support Vector Machine (SVM) algorithm. In addition, this chapter will cover specific implementation decisions in order to solve the problem of forecasting computer systems with the help of machine learning techniques. The implementation chapter will contain three main sections which will aim at the most important aspects of the project, these three main sections are the Command and Control (C&C) Server Application part of the project, the Agent (Client-Side) part of the project and the Machine Learning aspect of the project. The Command and Control (C&C) Server Application section of the chapter will contain a series of sub-sections, these sub-sections will discuss the Interface of the application, the Controller of the application, the Amazon Cognito Authentication Database, the local SQLite Database, the networking programming aspect of the application, the collection of Hard-Disk Drive Self-Monitoring Analysis and Reporting Technology (S.M.A.R.T) data aspect of the application and the specific interactions with the Agent (Client-Side) application, the security aspect of the application and last but not the least the testing approach for the features of the application. The Interface sub-section of the Command and Control (C&C) Server Application will also contain a series of sub-sub-sections which will aim at discussing the benefits of using the JavaFX Interface Framework in association with the Model-View-Controller architectural structure over the older Interface framework called Swing. The Agent (Client-Side) section will contain a series of sub-sections, these sub-sections are the controller of the application, the networking programming aspect of the application, the security aspect of the application and last but not the least the testing approach taken for the features of the application. The third main section of the implementation chapter it is the Machine Learning section which will focus on a static analysis of a BackBlaze Hard-Disk Drive Self-Monitoring Analysis and Reporting Technology (S.M.A.R.T) data from a single vendor of Hard-Disk components in order to have accurate predictions. The Machine Learning section will contain a series of sub-sections which are data exploration, data pre-processing and classification.

## 5.1 Command and Control (C&C)

The Command and Control (C&C) Server Application it is structured into three main architectural components based on the Model-View-Controller architecture. The View or the Interface of the application it is a JavaFX based Interface while the Models and the Controller of the application do not present any special mentions in their composition. The Controller of the application handles the networking aspect of the application, the Amazon Cognito Authentication Database and the local SQLite Database, while the Models part of the application it is a shared component between the View and the Controller of the application.

### 5.1.1 Model-View-Controller

The Model-View-Controller (MVC) it is an architectural pattern which will allow the separation of the application logic into three main components, these components are called Model, View and Controller, usually these names will be used for package names in a Java project. The three components mentioned are designed to handle specific development aspects of the application. This architectural pattern will allow the creation of extensible and scalable applications. The pattern it is used most of the time for web development project, but is not limited to this usage, as many desktop applications and mobile applications projects can be structured to use the same architectural pattern with success.

#### Model

The Model element in a Model-View-Controller Architecture pattern represents a Java Object carrying data, this object can also have a logical controller with the role to modify necessary data. The Model component represents all data related logic that the developer will use for the development of the application. This aspect can represent any application logic related data or just data which is passed between the view and controller.

#### View

The View element represents the visualisation method of the data that the model contains. The View element can be interpreted as the Interface code container of the application. The view component it is used for the graphical user interface aspect of the application, e.g: labels, text fields, dropdown menus etc.

#### Controller

The Controller element will act as both model and view as it controls the data flow into the model object and eventually it will update the view whenever the data will change, this aspect separates the view and model elements. The controller component will behave as an intermediary between the model and the view components in order to process all application logic and incoming requests to manipulate necessary data using the required model and then interact with the view or graphical user interface component to show some visual content.

### 5.1.2 Graphical User Interface

In the background chapter it was seen that the machine learning algorithm called Hidden Markov Model (HMM) it is a statistical machine algorithm used for anomaly detection which in the case of the research papers investigated was implemented using the Java Programming Language. In the specifications chapter, the initiative of using the Java Programming Language was followed, but in the functional requirements mentioned in the specifications chapter have been created with the utilisation of the machine learning algorithm called Support Vector Machine (SVM) in association with the Hard-Disk Drive Self-Monitoring Analysis and Reporting Technology (S.M.A.R.T) indicators. This being said, the involvement of the Java Programming Language offers a rich set of libraries or frameworks to create Graphical User Interfaces in a platform independent way, there are two libraries that stand out in terms of functionality and can be considered as main options when it comes to the design and implementation of the Command and Control (C&C) Server Application, these two options are the Swing Interface Framework and the JavaFX Interface Framework. The Swing Interface Framework can be integrated with the JavaFX Interface Framework and vice-versa in order to create rich, custom and interchangeable interfaces due to the interoperability offered by both Interface Frameworks. An example of such interoperability would be to use these two Interface Frameworks together to have a Swing Frame and multiple JavaFX Widgets in that frame if the JFXPanel container is used and added to the Swing Frame. The Swing Interface Framework or API it is a set of extensible Interface components designed to ease the process of creating rich and custom Java based Graphical User Interfaces, the Swing Framework or Application Programming Interface (API) it is build on top of an older Interface Framework called Abstract Window Toolkit (AWT) and since the Abstract Window Toolkit (AWT) it is an even older Java Interface Framework, the Swing Framework acts as replacement of the Abstract Window Toolkit (AWT) Framework or Application Programming Interface (API) as it has most of the Abstract Window Toolkit (AWT) graphical widgets or components. The Swing Interface Framework also complies with the Model-View-Controller architecture which will eventually respect elements such as: An Interface Framework or Graphical Application Programming Interface (API) will suffice to support multiple platforms aspects and an Interface Framework or Graphical Application Programming Interface (API) will be model driven so no data should be involved in the highest level Application Programming Interface (API). The JavaFX Framework also follows a similar pattern to the Swing Framework and it is a Framework which can create applications with a more appealing visual aspect comparing to the Swing Framework. The Command and Control (C&C) Server Application will be implemented using the JavaFX Graphical User Interface due to the modern feel and look comparing to the Swing Framework. However, the interoperability between the two Graphical User Interface Frameworks will allow the interchangeability of the interface components really easy. Both Swing and JavaFX Graphical User Interface will allow the project to be structured into a Model-View-Controller architecture pattern, nevertheless, the JavaFX Graphical User Interface Framework can be approached using the Model-View-Controller in two ways, either by making use of the FXML feature which will be discussed in the following sections, or the creation of the view using pure Java code which is more suitable for dynamic and interactive Graphical User Interfaces.

### 5.1.2.1 Swing

The Java Swing Interface Framework presents a list of features when it comes to the ability to create rich and custom Graphical User Interfaces, these features are the following:

#### Light Weight

The Swing Interface components or widgets do not rely on the Operating System to function as the components are independent of the Operating Systems's Application Programming Interface (API), the widgets being created and rendered using the pure Java Cross-Platform instead of relying on Operating System calls.

#### Rich Controls

The Swing Interface components or widgets offer a rich set of controls which can be considered as advanced or with a high degree of complexity, e.g: JTree, JTabbedPane, JSlider, JColorChooser and JTable.

#### Highly Customizable

The Swing Interface components or widgets offer a highly customizable behaviour in a very easy manner when it comes to the visual appearance due to the independent aspect of internal representation.

#### Pluggable look-and-feel

The Swing Interface components or widgets offer the ability to change the visual look and feel at run-time based on specific values, meaning the widgets can have specific visual appearance for the Operating Systems the application is running on.

### 5.1.2.2 JavaFX

The technology called JavaFX it is a framework or library used to create Rich Internet Applications. The JavaFX applications will be by default cross-platform applications due to the involvement of the Java Programming Language. In addition, the applications which are built using the JavaFX Interface Framework can run on devices such as Desktop Computers, Mobile Phones, Tablets and TVs. In order to develop rich Graphical User Interfaces using the Java Programming Language, software developers have relied for many years on Interface Frameworks such as Abstract Window Toolkit (AWT) and Swing. However, with the release of the JavaFX Interface Framework, the software developers not only they can develop new applications using the newly created Framework, the older applications wrote in Abstract Window Toolkit (AWT) and Swing can be integrated with the JavaFX Interface Framework due to the interoperability mentioned in the previous sections.

The JavaFX Interface Framework presents a list of features when it comes to the ability to create rich and custom Graphical User Interfaces, these features are the following:

## **FXML**

The JavaFX Interface Framework presents a feature known as FXML which is a language similar to HTML which has the role to define static user interface components.

## **Swing Interoperability**

The JavaFX Interface Framework allows the integration of Swing components or widgets using the Swing Node Class, this interoperability can also work the other way around where Swing application can allow the integration of JavaFX components or widgets using the JavaFX Node Class.

## **Build-In User Interface Controls**

The JavaFX Interface Framework presents a list of Build-In User Interface controls or components which will allow the creation of rich and full featured applications.

## **Cascade Style Sheet**

The JavaFX Interface Framework allows the utilisation of the CSS (Cascade Style Sheets) for the style of the application, the use of the CSS (Cascade Style Sheets) allows the design and styling of the application to be done in an easy manner due to the common aspect of the CSS (Cascade Style Sheet) this styling method being used extensively in web development.

## **Rich Set of APIs**

The JavaFX Interface Framework offers the ability to access a series of Java Platform capabilities such as Java Language annotations, generics multi-threading, lambda expressions in order to create rich Graphical User Interfaces.

## **Integrated Graphics**

The JavaFX Interface Framework offers the ability to use specific Classes for two-dimensional and three-dimensional graphics.

## **Graphics Pipeline**

The JavaFX Interface Framework offers the graphics accelerator called Hardware accelerated graphics pipeline also known as Prism. If the Graphics Processing Unit (GPU) supports this feature, the graphical experience will be a smooth one.

## CHAPTER 5. IMPLEMENTATION

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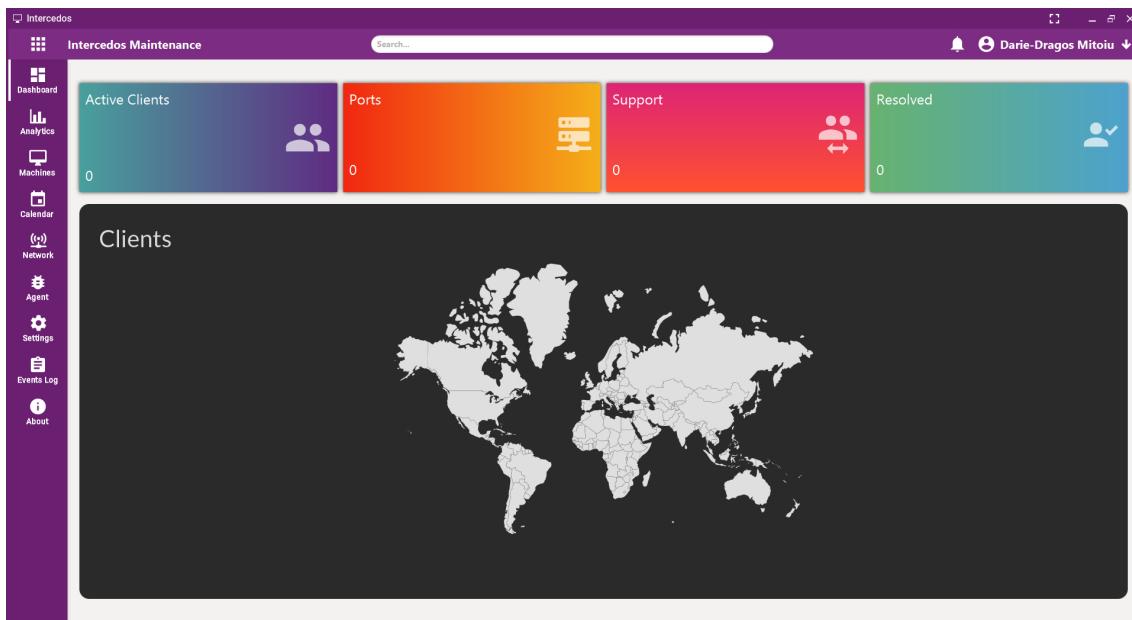


Figure 5.1: Command and Control (C&C) Light Theme

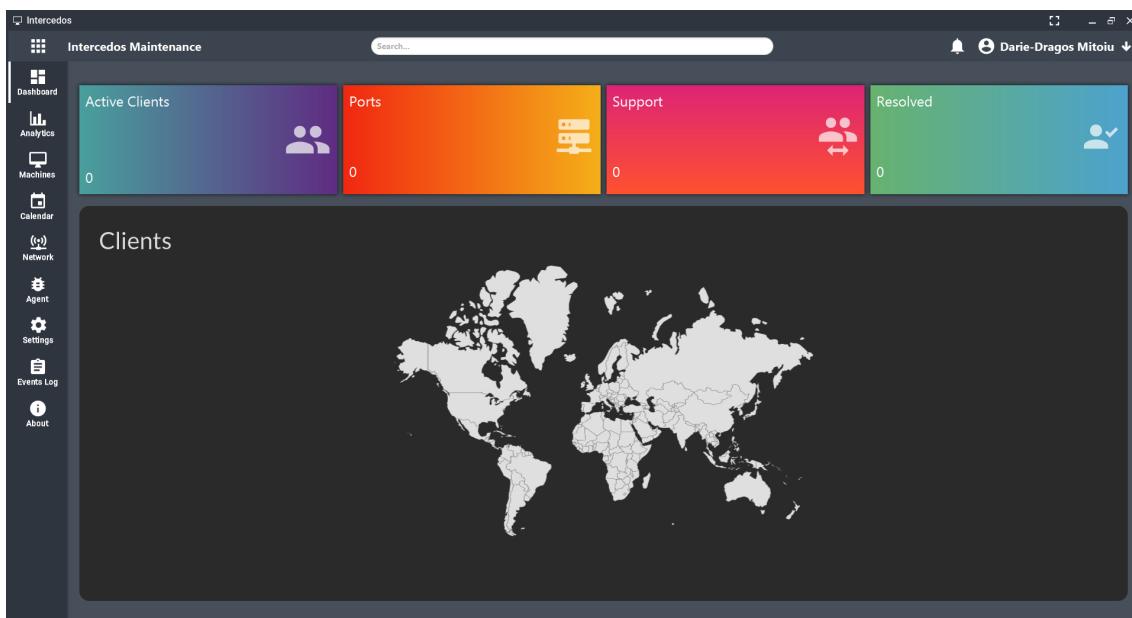


Figure 5.2: Command and Control (C&C) Dark Theme

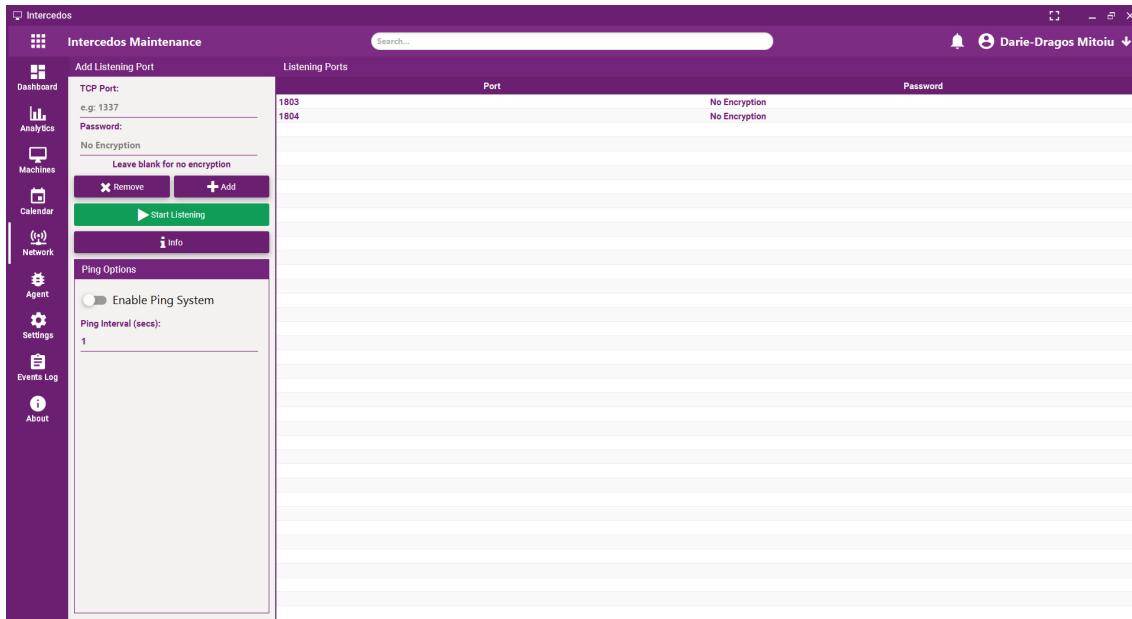


Figure 5.3: Command and Control (C&C) Network Panel

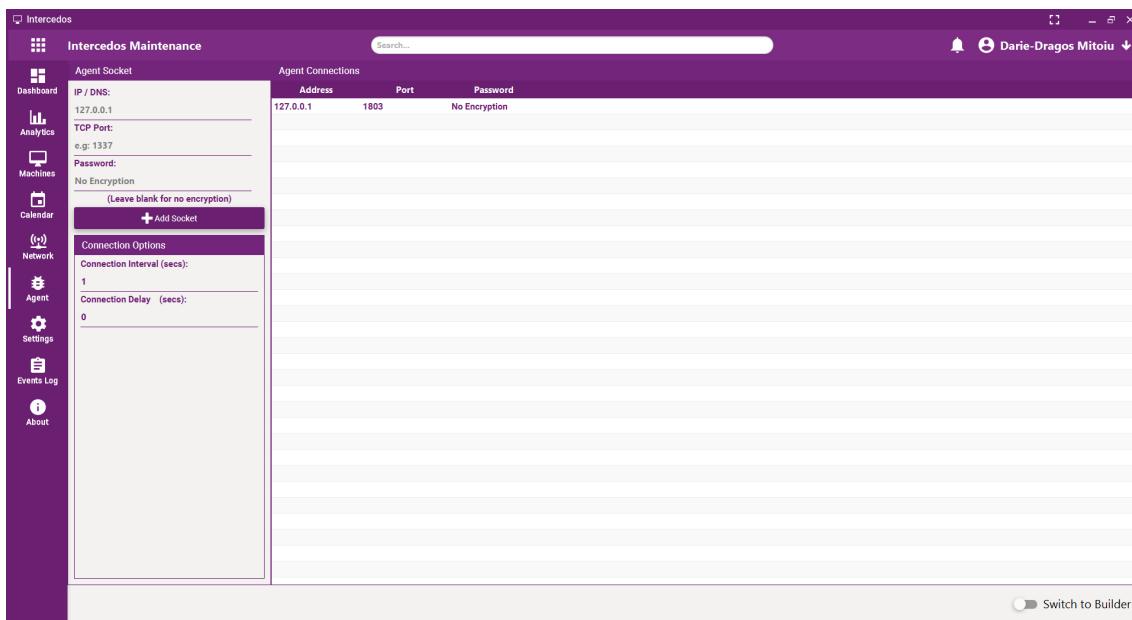


Figure 5.4: Command and Control (C&C) Agent Panel

### 5.1.2.3 MigLayout

The creation of rich and complex Graphical User Interfaces can be a tedious and time consuming process. Both Swing and JavaFX Interface Frameworks present build-in containers or layouts which will allow the creation of the graphical user interface either by writing Java instructions or just by using a third-party tool such as Scene Builder for JavaFX which will generate some FXML based on some interactions with a graphical user interface tool. However, this process is not efficient enough as even with the help of third-party tool such as Scene Builder in the case of JavaFX as there will still be limitations in the graphical user interface created without some additions of multiple types of layouts to create the desired result, as the build-in layouts lack the capabilities to create rich and complex layouts using just a single type of layout. This problem it is addressed by the third-party layout called MigLayout which it is a grid based type of layout designed specifically to avoid the utilisation of multiple types of containers or layouts to achieve the desired result, the MigLayout container can be used for both Swing Interface Framework and the JavaFX Interface Framework with the same syntax achieving the same result. The Command and Control (C&C) Server Application has been built using mostly the MigLayout for the creation of the application's containers, this layouts has allowed the acceleration of the development of the application in a significant way due to the numerous features ranging from the creation of responsive Graphical User Interfaces to the ability to add or remove graphical components at need during run-time of the application. It must be noted that each Graphical User Interface, Swing or JavaFX, will require a different type of MigLayout dependency in order to function properly, the interoperability will still be possible with the use of the MigLayout between the Swing Framework and the JavaFX Framework, yet the appropriate Interface Nodes must be used.

### 5.1.3 Controller

The controller of the Command and Control (C&C) Server application will have the role to act as an intermediary between the Graphical User Interface (JavaFX) and the models of the application. As composition, the controller will consists of a package called authentication, database, network, messages, build and logger. The authentication package will contain Java Classes related to the Amazon Cognito Authentication Database for actions such as user registration, user log in and password recovery. The database package will contain Java Classes related to the local SQLite Database which will hold specific ports which can be opened by the Command and Control (C&C) Server. The network package will hold packages related to the networking programming aspect of the application such as the TCP Server with the associated Object Input Stream and Object Output Stream for data transmission. The messages package will contain Java Classes related to specific Java Classes which will behave as network packets as all classes in this package implement the Serializable Java Interface. The Build package will contain code related to the creation of the agent application. The logger package will contain Java Classes related to the appending of informative log events which will be added to the Graphical User Interface.

### 5.1.3.1 Agent Configuration

```

1 public class Constants {
2     public final static String SERVER_ADDRESS = "127.0.0.1";
3     public final static int SERVER_PORT = 1337;
4     public final static String T_CLASS = "/client/ClientApplication.class";
5     public final static String T_METHOD = "main";
6     public final static String T_DESCRIPTOR = "([Ljava/lang/String;)V";
7 }

```

Algorithm 5.1: Agent (Client-Side) Constants Class

```

1 ClassVisitor classVisitor = new ClassVisitor(ASM5, cw) {
2     @Override
3     public FieldVisitor visitField(int access, String name, String descriptor,
4                                     String signature, Object value) {
5         if(name.equals("SERVER_ADDRESS"))
6             value = serverAddress;
7         if(name.equals("SERVER_PORT"))
8             value = serverPort;
9         return super.visitField(access, name, descriptor, signature, value);
10    }
11    @Override
12    public MethodVisitor visitMethod(int access, String name, String desc,
13                                     String signature, String[] exceptions) {
14        MethodVisitor mv = super.visitMethod(
15            access, name, desc, signature, exceptions);
16        if(name.equals(Constants.T_METHOD)
17            && desc.equals(Constants.T_DESCRIPTOR)) {
18            return new MethodVisitor(Opcodes.ASM5, mv) {
19                @Override
20                public void visitLdcInsn(Object address) {
21                    if(Constants.SERVER_ADDRESS.equals(address))
22                        address = serverAddress;
23                    super.visitLdcInsn(cst);
24                }
25                @Override
26                public void visitIntInsn(int i, int port) {
27                    if(Constants.SERVER_PORT == port)
28                        port = serverPort;
29                    super.visitIntInsn(i, port);
30                }
31            };
32        }
33        return mv;
34    }
35};

```

Algorithm 5.2: Agent (Client-Side) Java Bytecode Manipulation

```
1 private static byte[] createConfiguration(String serverAddress,  
2 int serverPort) {  
3     log.info("Generating agent configuration...");  
4     String modifyClass = Constants.T_CLASS;  
5     InputStream path = Constants.class.getResourceAsStream(modifyClass);  
6     ClassReader cr = new ClassReader(path);  
7     ClassWriter cw = new ClassWriter(cr,0);  
8     cr.accept(classVisitor, 0);  
9     cw.visitEnd();  
10    return cw.toByteArray();  
11 }
```

Algorithm 5.3: Agent (Client-Side) Configuration Creation

The Command and Control (C&C) Server Application will present a feature which can be considered to be at the core of the application when it comes to the Hard-Disk Drive Self-Monitoring Analysis and Reporting Technology (S.M.A.R.T) indicators data retrieval, this feature is the agent creation which it is one of the most complex features of the application as it involves the manipulation of Java Bytecode in order ratify a series of instructions due to the dynamic configuration of the agent by the user using the Graphical User Interface. In the figure 5.1 it can be observed a list of static constants which have the role to hold the agent configuration and the Java Class Manipulation Key values. The constants are placed into a class called "Constants" which will allow the access to these values by calling the "Constants" class though the project. The constants called "SERVER\_ADDRESS" refers to the IP address which will be given to the agent application dynamically by the Command and Control (C&C) application in order to establish a connection, usually this address would be the same address of the machine which will execute or run the Command and Control (C&C) Server Application. The constant called "SERVER\_PORT" refers to the port given to the agent application dynamically by the Command and Control (C&C) application to complement the previous IP address. The "Constants" Class also contains a series of constants which are aiming at the Java Bytecode manipulation of a specific Java Class, these constants use the prefix letter "T" which stands for transform, the contant class called "T\_CLASS" specifies the class to be modified at run-time, the constant called "T\_METHOD" specifies the method which has to be modified at run-time and last but not the least the constant called "T\_DESCRIPTOR" it is used in order to specify the type of the method which will be modified which in this case it is a String type. The transform constants will be used in association with the ClassVisitor of the ASM Java Bytecode Manipulation Library from France Telecom. The ClassVisitor object created will perform all the modifications required based on the transform constants. Once the classVisitor object it is created then the generation of the agent configuration can happen as it can be seen in the 5.3 and the newly created class it is returned from the method as a byte array which will be used at the creation of the Java Archive (.jar). The constants and variables used in the 5.1 code list and 5.3 code list have been adapted in order to fit in the paper of the document, to explain the shorthands used in the naming of the constants.

### 5.1.3.2 Agent Builder

```

1  private static void jarBuilder(File input, File output){
2      try{
3          JarFile jarFile = new JarFile(input);
4          String className = ClientApplication.class.getName();
5          String clientClass = "client/controller/network/Constants.class";
6          Manifest manifest = new Manifest(jarFile.getManifest());
7          manifest.getMainAttributes().putValue("Main-Class", className);
8
9          FileOutputStream fileOutStream;
10         JarOutputStream jarOutStream;
11         fileOutStream = new FileOutputStream(output);
12         jarOutStream = new JarOutputStream(fileOutStream, manifest);
13
14         Enumeration<JarEntry> entries = jarFile.entries();
15         Host host = (Host) connectionsTable.getItems().get(0);
16         byte[] constants = createConfiguration(host.getAddress(),
17                                               host.getPort());
18
19         while(entries.hasMoreElements()){
20             JarEntry jarEntry = entries.nextElement();
21             if(!jarEntry.getName().equals("META-INF/MANIFEST.MF")){
22                 if(jarEntry.getName().equals(clientClass)){
23                     ZipEntry conEntry = new ZipEntry(jarEntry.getName());
24                     constantsEntry.setSize(constants.length);
25                     jarOutputStream.putNextEntry(conEntry);
26                 } else {
27                     jarOutputStream.putNextEntry(jarEntry);
28                 }
29                 if(!jarEntry.isDirectory()){
30                     if(jarEntry.getName().equals(clientClass)){
31                         jarOutputStream.write(constants);
32                     } else {
33                         jarOutputStream.write(IOUtils.
34                             toByteArray(jarFile.getInputStream(jarEntry)));
35                     }
36                     jarOutputStream.closeEntry();
37                 }
38             }
39             jarFile.close();
40             jarOutputStream.close();
41         } catch (IOException e){
42             e.printStackTrace();
43         }
44     }

```

Algorithm 5.4: Agent (Client-Side) Builder

### 5.1.4 Authentication System

The Command and Control (C&C) Server Application uses the Amazon Cognito Database in order to grant access to the main features of the application. The Amazon Cognito Database provides authentication, authorization and user management for web application, mobile applications and desktop application. This type of database will allow the user to log in using their email and password. The two main component of the Amazon Cognito Database are the User Pools and the Identity pools, the User Pools can be considered as user directories which can provide registration options for the application, while the identity pools allow access to other Amazon Services. The Amazon Cognito Database will require the following fields to be provided by the user in order to allow the account creation: Given Name, Family Name, Email and Password.

### 5.1.5 Local Database

The Command and Control (C&C) Server Application uses two main SQLite tables, these tables are the "connections" table and the "banlist" table. The connections table will contain the ports associated with their passwords which can be seen in the figure 5.3. The table called "banlist" does not present any implemented features in the current version of the Command and Control (C&C) Server. However, the "banlist" table will be used to block specific agent connections at need by using their IP address.

#### 5.1.5.1 SQLite Structure

```
1 CREATE TABLE connections(
2     port integer(10),
3     password varchar(255)
4 );
5
6 CREATE TABLE banlist(
7     ip varchar(255),
8     reason varchar(255)
9 );
```

Algorithm 5.5: Command & Control (C&C) SQLite Tables

```
1 CREATE TABLE servers(
2     address varchar(255),
3     port integer(10),
4     description varchar(255),
5     password varchar(255)
6 );
```

Algorithm 5.6: Agent (Client-Side) SQLite Tables

### 5.1.6 Networking

#### 5.1.6.1 Transmission Control Protocol (TCP)

The term Transmission Control Protocol (TCP) refers to the standard method of how to establish and maintain a network connection or conversation through which applications or programs can send and receive data. The Transmission Control Protocol (TCP) is associated with the Internet Protocol (IP) which defines how computer systems can send packets of data between each other (Lutkevich 2020).

#### 5.1.6.2 User Datagram Protocol (UDP)

The term User Datagram Protocol (UDP) refers to a protocol of communications that is primarily used for establishment of connections which are categorised as low-latency and loss-tolerating connections between applications or programs over the internet. This approach it will accelerate the transmission of data by enabling the transfer of data before an agreement or hand-shake with the receiving party (Rosencrance and Lawton and Moozakis 2020).

#### 5.1.6.3 Hard-Disk Drive S.M.A.R.T Attribute Class

```
1 public class DiskAttribute implements Serializable {
2     private int id;
3     private String name;
4     private String flag;
5     private int value;
6     private int worst;
7     private int thresh;
8     private String type;
9     private String updated;
10    private String failed;
11    private String raw;
12
13    public DiskAttribute(String name, String flag, int value, int worst,
14                         int thresh, String type, String updated,
15                         String failed, String raw){
16        this.name = name;
17        this.flag = flag;
18        this.value = value;
19        this.worst = worst;
20        this.thresh = thresh;
21        this.type = type;
22        this.updated = updated;
23        this.failed = failed;
24        this.raw = raw;
25    }
26 }
```

Algorithm 5.7: Hard-Disk Drive S.M.A.R.T Attribute Class

## 5.2 Agent (Client-Side)

The Agent (Client-Side) Application it is composed of two main components, these two components are the controller of the application and the data models which the application is using. The controller of the application it is split into another two components which the configuration component and the network component.

### 5.2.1 Controller

The most important component of the Agent (Client-Side) application it is the network component as it contains all the necessary tools in order to retrieve the Hard-Disk Drive Self-Monitoring Analysis and Reporting Technology (S.M.A.R.T) indicators data, yet in order to do this, the agent application must be executed as administrator with the third-party application called Smartmontools installed on the machine which will run the application.

### 5.2.2 Networking

The Agent (Client-Side) Application it uses the Transmission Control Protocol (TCP) in order to communicate with the Command and Control (C&C) Server Application, this is due to the ensurance of the packets transmission over the network which the Transmission Control Protocol (TCP) provides, this aspect is not present for the User Datagram Protocol (UDP) alternative.

## 5.3 Security

When it comes to the security of the Command and Control (C&C) Server Application and the Agent (Client-Side) Application, the specifications and design have considered the security concerns related to the transmission of Hard-Disk Drive Self-Monitoring Analysis and Reporting Technology (S.M.A.R.T) data over the network, this consideration can be seen in the figure 5.3 and figure 5.4 as the "password" element implies some consideration of symmetric encryption for the data transfer. However due to lack of time, the current versions of Command and Control (C&C) Server Application and Agent (Client-Side) Application do not present any encryption capabilities and the data is sent unencrypted over the network.

## 5.4 Testing

Both Command and Control (C&C) Server Application and Agent (Client-Side) have been tested using a manual testing approach, the results can be seen in the table A.2 and respectively table B.2. The tables mentioned do not contain any evidence of the tests, for this aspect the Demonstration of the project will evidence that.

## 5.5 Machine Learning

### 5.5.1 Hard-Disk S.M.A.R.T Indicators

S.M.A.R.T Attribute ID	S.M.A.R.T Attribute Name
SMART 1	Raw Read Error Rate
SMART 3	Spin Up Time
SMART 4	Start Stop Count
SMART 5	Reallocated Sector Count
SMART 7	Seek Error Rate
SMART 9	Power On Hours
SMART 183	Runtime Bad Block
SMART 184	End-To-End Error
SMART 187	Reported Uncorrectable Errors
SMART 188	Command Timeout
SMART 189	High Fly Writes
SMART 190	Temperature Difference
SMART 191	G-Sense Error Rate
SMART 193	Load Cycle Count
SMART 194	Temperature Celsius
SMART 197	Current Pending Sector
SMART 198	Offline Uncorrectable
SMART 199	UDMA CRC Error Count
SMART 200	Multi Zone Error Rate
SMART 201	Soft Read Error Rate
SMART 202	Data Address Mark Errors
SMART 203	Run Out Cancel
SMART 204	Soft ECC Correction
SMART 205	Thermal Asperity Rate
SMART 206	Flying Height
SMART 207	Spin High Current

Table 5.2: SMART Attributes Names

S.M.A.R.T Attribute	Seagate ST4000DM000	Hitachi HDS722020ALA330
smart_1_norm	23%	28%
smart_1_raw	2%	15%
smart_5_norm	2%	22%
smart_5_raw	19%	31%
smart_7_norm	14%	-
smart_7_raw	26%	-
smart_183_norm	0.5%	-
smart_183_raw	0.5%	-
smart_184_norm	1%	-
smart_184_raw	1%	-
smart_187_norm	21%	-
smart_187_raw	21%	-
smart_188_norm	0%	-
smart_188_raw	10%	-
smart_189_norm	1%	-
smart_189_raw	1%	-
smart_190_norm	2%	-
smart_190_raw	2%	-
smart_193_norm	10%	-
smart_193_raw	63%	-
smart_194_norm	2%	31%
smart_194_raw	2%	2%
smart_197_norm	5%	4%
smart_197_raw	27%	22%
smart_198_norm	6%	-
smart_198_raw	27%	-

Table 5.4: SMART Correlation Frequencies for Seagate and Hitachi models  
(Botezatu, Giurgiu, Bogojeska and Wiesmann 2016 p. 5 table 2)

### 5.5.2 BackBlaze Data

In order to prove the viability of forecasting computer systems failure using machine learning techniques and the Hard-Disk Drive Self-Monitoring Analysis and Reporting Technology (S.M.A.R.T) indicators, a series of experiments were performed using data provided by the BackBlaze company. The Data provided it is from a single vendor of Hard-Disk component which aims at the model Seagate ST4000DM000, the data also it is relatively new as it is from the year 2017. This specific study is aiming specifically at the machine learning algorithm called Support Vector Machine (SVM).

### 5.5.3 Data Exploration

When the exploration of the data was performed it was seen that the data file contains 142,638 records and 95 features.

### 5.5.4 Data Pre-Processing

Before creating the machine learning models, a series of pre-processing operations were performed, these operations are the handling of missing values, the selection of the most relevant features and the balance of class distribution.

#### 5.5.4.1 Features Selection

According to Beach (2014) the most relevant Hard-Disk Drive Self-Monitoring Analysis and Reporting Technology (S.M.A.R.T) features are the following:

- SMART 5: Reallocated\_Sector\_Count,
- SMART 187: Reported\_Uncorrectable\_Errors,
- SMART 188: Command\_Timeout.
- SMART 197: Current\_Pending\_Sector\_Count.
- SMART 198: Offline\_Uncorrectable.

### 5.5.5 Classification

Algorithm	F-1 Score	ROC AUC	Accuracy (%)
Support Vector Machines	0.44	0.67	94.56%
Random Forest Model	0.50	0.70	95.10%
Logistic Regression	0.45	0.68	94.61%
Multi-Layer Perceptron	0.46	0.69	94.52%

Table 5.6: Best Results for each algorithm used for the experiments

# Chapter 6

## Evaluation

The two project components mentioned in the design and implementation chapters - Command and Control (C&C) Server Application and the Agent (Client-Side) Application - have been successfully implemented. The networking features of both applications will allow the transfer of data between the Command and Control (C&C) and the Agent (Client-Side) application successfully, however, due to lack of resources in the form of computer systems for data collection, the forecasting of computer systems is not present at the current stage for the Command and Control (C&C) Server Application, instead a Static Analysis was performed in the implementation chapter in order to prove the viability of forecasting computer systems failure using the Hard-Disk Drive Self-Monitoring Analysis and Reporting Technology (S.M.A.R.T) indicators. It is important to note that due to lack of resources, time and the high level of project complexity there was a permanent risk of project failure, yet a foundation which will allow future development is present and the forecasting of computer systems failure was proved and evidenced.

Firstly, in this chapter the two main components - Command and Control (C&C) Server Application and the Agent (Client-Side) application - of the project will be evaluated against the functional requirements mentioned in the specifications chapter using their appropriate notes.

Secondly, this chapter will cover and evaluate the viability of forecasting computer systems failure using the Hard-Disk Drive Self-Monitoring Analysis and Reporting Technology (S.M.A.R.T) indicators and a considerable amount of data collected to train machine learning algorithms such as Support Vector Machines (SVMs), Logistic Regression and the Random-Forest Model.

Finally, this chapter will cover how possible improvements related to the - Command and Control (C&C) Server Application, Agent (Client-Side) Application and the machine learning aspect - of the project could be performed. The improvements features which will be discussed in this chapter will concern the viability of future development for the - Command and Control (C&C) Server Application by adding the Machine Learning discoveries presented into the implementation chapter into a viable feature which will forecast computer systems failure using the Hard-Disk Drive Self-Monitoring Analysis and Reporting Technology (S.M.A.R.T) indicators.

## 6.1 Acceptance Testing

The term "Acceptance Testing" refers to a technique used for software testing which is performed in order to determine whether a specific software system has met or not a series of requirements. The role of an acceptance test it is to evaluate if the system is compliant with the business requirements and to check if it has met the needs of the end-users (Tutorials Point 2021).

### 6.1.1 Command and Control (C&C)

The Command and Control (C&C) Server Application at the end of the project development cycle in the allocated time frame presents 73 functional requirements out of 86 functional requirements mentioned in the specifications chapter. It is easy to notice that the number mentioned shows some considerable development success. However, some functional requirements labeled as "should" and "could" have not been implemented, either from lack of available time or because a different development approach has been taken, e.g: The machine learning aspect of the Command and Control (C&C) Server Application has been replaced with a static analysis of a BackBlaze dataset.

### 6.1.2 Agent (Client-Side)

The Agent (Client-Side) Application at the end of the project development cycle in the allocated time frame presents 10 functional requirements out of 12 functional requirements mentioned in the specifications chapter. It is easy to notice that the number mentioned shows some considerable development success. However, some functional requirements labeled as "should" have not been implemented due to lack of development time.

### 6.1.3 Machine Learning

The Machine Learning Aspect of the project had to be associated with the use the of the BlackBlaze Hard-Disk Drive Self-Monitoring Analysis and Reporting Technology (S.M.A.R.T) data in order to prove the viability of computer systems failure predictions as there was a lack of resources in the form of computer systems. The Support Vector Machines algorithm was used in association with the BackBlaze dataset and an accuracy of 94% was produced, it is safe to say that the machine learning aspect of the project was a complete success.

## 6.2 Improvements

While the metrics of the Support Vector Machines algorithm are promising, the static analysis will not offer any real world problem solving, for this reason the Command and Control (C&C) Server Application must present a feature in the future which will allow the training of machine learning models and the testing of the models on real computer systems using a Java library such as Statistical Machine Intelligence and Learning Engine (SMILE) which will give access to the Support Vector Machine (SVM) algorithm.

# Chapter 7

## Conclusions

In this chapter the core aspects presented in the background, design and implementation chapters will be discussed. To recapitulate, this project had the ultimate goal to investigate if the forecast of computer systems failure using the Hard-Disk Drive Self-Monitoring Analysis and Reporting Technology (S.M.A.R.T) indicators it is possible and if so, to translate the discoveries into a real-world appliance. To do this, four main objectives had to be satisfied, these objectives were to research forecasting of computer systems failure practices, to create a Command and Control (C&C) Server Application, to create an Agent (Client-Side) Application to collect the Hard-Disk Drive Self-Monitoring Analysis and Reporting Technology (S.M.A.R.T) data and last but not the least to investigate the viability of forecasting computer systems using the machine learning algorithm called Support Vector Machine (SVM). The four objectives mentioned have been completed successfully.

This chapter will also cover the challenges encountered during the course of the project, alternatives approaches for the forecasting of computer systems failure, future work that can be performed for the current project and last but not the least the auto-evaluation or the critical analysis from a personal point of view for this project will be given.

### 7.1 Maintenance of Computer Systems

During the background chapter it was seen that the research area related to the maintenance of computer systems is a vast and complex environment, the complexity of the computer systems being largely represented by the dynamic and unforeseen runtime of the systems, for this type of complexity the classical reactive measure which would be taken would not suffice and because of this a different approach had to be taken which was to forecast possible failure events of computer systems. In the background chapter it was seen that there are multiple approaches to the forecasting of computer systems failure, this document only covered two of those approaches, the first approach being the use of the machine learning algorithm called Support Vector Machines (SVMs) in association with the Hard-Disk Drive Self-Monitoring Analysis and Reporting Technology (S.M.A.R.T) indicators and the second approach being the use of the statistical machine learning algorithm called Hidden Markov Model (HMM) in association with a series of hardware sensors which will allow a failure probability to be computed.

## 7.2 Future Work

The project can be considered a success considering the high level of complexity and the amount of work that had to be invested into this project, as the project consists of three sub-projects, elements which are the Command and Control (C&C) Server Application, the Agent (Client-Side) Application and the Machine Learning aspect. The three sub-project elements have been completed successfully. However, the Machine Learning aspect of the project on its own does not present any real-world problem solving, because of this the Machine Learning aspect of using the Support Vector Machines (SVMs) algorithm in association with the Hard-Disk Drive Self-Monitoring Analysis and Reporting Technology (S.M.A.R.T) indicators must be translated into a feature for the Command and Control (C&C) Server Application, this feature should allow the training of machine learning models using existing Hard-Disk Drive Self-Monitoring Analysis and Reporting Technology (S.M.A.R.T) data from a single vendor of Hard-Disk components and tested on machines which use the same vendor, the use of single vendor of Hard-Disk components it is important in order to have accurate predictions.

In addition to the Machine Learning feature for the Command and Control (C&C) Server Application, the addition of a native agent application for Windows type of Operating System must be implemented in the future as the current Java Archive (.jar) Agent Application will require the installation of the Java Runtime Environment 8 on the machine which will execute the program.

## 7.3 Auto-Evaluation

Considering the level of project complexity, the time frame allocated and the effort put to complete the project, I feel satisfied with the final result and how the project was managed during the course of the whole process. I believe that I worked to the best of my ability at the current stage and I made use of the available time in the best possible way. The design and implementation phases have required more time than the research part of the project due to the iteration processes which were used in order to reach the final result.

When it comes to the most difficult aspect of the project, each stage had it's own specific challenges but for me the most difficult stage of the project was the design phase which had to be looked into multiple times due to the inability to find the right design from the start.

This project was driven by the passion for network programming, at the start of the project the best method of learning this branch was to create a reactive maintenance tool for computer systems, even though the final product does not present any reactive maintenance features and it aims at a predictive method when it comes to the failure of computer systems, the main motivation of conducting the project was that in the future the product could present both reactive maintenance features and predictive ones using different machines learning algorithms.

# Appendix A

## Command and Control (C&C) Tests

Requirement	Status	Notes
The Desktop Application must validate the user input	Implemented	Not Applicable
The validation of the user input must be performed for the TCP Listening ports when records will be added to the local database	Implemented	Not Applicable
The validation of the user input must be performed for the IP or DNS address when records will be added to the local database.	Implemented	Not Applicable
The Desktop Application should allow the creation of client application in the form of a Java Archive (.jar) which will have the role to connect to a specific IP address and port specified by the user,	Implemented	Not Applicable
The Java Archive (.jar) should be created at a file location on the storage system specified by the user,	Implemented	Not Applicable
The Desktop Application must save the preferences of the application in an XML (Extensible Markup Language) file	Implemented	Not Applicable
The preferences of the application must be saved using the Java Architecture for XML Binding (JAXB),	Implemented	Not Applicable

The preferences file must contain a boolean variable related to the theme of the application, the value being True for the light theme and False for the dark theme.	Implemented	Not Applicable
The Desktop Application must use the Client-Server Network Architecture,	Implemented	Not Applicable
The Desktop Application must use the Transmission Control Protocol (TCP) for data transfer,	Implemented	Not Applicable
The Desktop Application must allow the establishment of connections from clients or agents on the current machine's address and on specific ports defined by the user,	Implemented	Not Applicable
The Desktop Application must be able to stop the establishment of connections from clients or agents on the current machine's address and on the specific ports defined by the user previously,	Implemented	Not Applicable
The Desktop Application must be able to receive packets from the clients or agents connected to the server,	Implemented	Not Applicable
The Desktop Application must be able to send packets to the clients or agents connected to the server,	Implemented	Not Applicable
The Desktop Application must maintain the connection between the server and clients,	Implemented	Not Applicable
The Desktop Application should allow the clients to reconnect to the server if the connection will be lost.	Not Implemented	Not Applicable
The Desktop Application should use symmetric or asymmetric encryption for the transfer of data between the clients and server.	Not Implemented	Not Applicable
The Desktop Application must present a Local Database created using SQLite.	Implemented	Not Applicable

The local database must allow the creation of a table for listening ports called connections,	Implemented	Not Applicable
The local database must allow the addition of records in the form of listening ports to a SQLite table called connections,	Implemented	Not Applicable
The local database must allow the deletion of records in the form of listening ports from the SQLite table called connections.	Implemented	Not Applicable
The local database could allow the modification of records for the listening ports added to the SQLite table called connections.	Not Implemented	Not Applicable
The Desktop Application must use an AWS Cognito Authentication System	Implemented	Not Applicable
The Authentication System must allow the user to Log In using an email address and a password,	Implemented	Not Applicable
The Authentication System must allow the user to register using an email address, a password, their full name and a security code,	Implemented	Not Applicable
The Authentication System must allow the user to register only if the input provided for the email address matches the format provided is valid, the full name does not contain numbers or special characters, the password consists of an upper case letter, a lower case letter, a number and a special character.	Implemented	Not Applicable
The Authentication System could allow the user to reset their password by using their email address,	Not Implemented	Not Applicable
The Authentication System must allow only genuine users to access the main application.	Implemented	Not Applicable

The desktop application should use the machine learning algorithm called Support Vector Machines (SVM) in order to perform a classification of the Hard-Disk S.M.A.R.T (Self-Monitoring, Analysis and Reporting Technology) indicators collected data from the machines which will be monitored using the Java Archive (.jar) clients or agents and provide predictions related to hard-disk failure.	Partially Implemented	Not Applicable
The Hard-Disk S.M.A.R.T indicators data should be collected from the clients machines and saved into a Comma-separated values (.csv) file.	Implemented	Not Applicable
The Hard-Disk S.M.A.R.T indicators data should be explored before any pre-processing operations,	Not Implemented	Not Applicable
The collected data should be pre-processed before creating a Support Vector Machines (SVM) model by verifying the file for any missing values,	Not Implemented	Not Applicable
The collected data should be pre-processed before creating a Support Vector Machines (SVM) model by verifying the data if normalisation can be applied,	Not Implemented	Not Applicable
The collected data should be used for training a Support Vector Machines (SVM) model in order to provide predictions related to hard-disk failures.	Not Implemented	Not Applicable
The Support Vector Machines (SVM) model should be trained using multiple C parameter values and multiple Gamma parameter values in order to get the best results.	Not Implemented	Not Applicable
The trained Support Vector Machines (SVM) model should be tested on a sample of Hard-Disks S.M.A.R.T indicators data in order to evaluate the accuracy and error rate of the model.	Not Implemented	Not Applicable

The Graphical User Interface could present a Window for the Authentication Process,	Implemented	Not Applicable
The Window could present a button to Log In into the main application,	Implemented	Not Applicable
The Window could present a button to register into the system,	Implemented	Not Applicable
The Graphical User Interface could present a Window for the Terms of Service informative process which will inform the user of the legal and ethical aspect of using the application,	Implemented	Not Applicable
The Window could present a button to visualise the full terms of service,	Implemented	Not Applicable
The Window could present a button for declining the terms of service,	Implemented	Not Applicable
The Window could present a button for accepting the terms of service,	Implemented	Not Applicable
The Graphical User Interface could present a Window for the Main features of the application which will be available once the authentication process will be completed,	Implemented	Not Applicable
The Graphical User Interface could present a welcome message with the user's full name once the authentication process will be completed,	Implemented	Not Applicable
The Graphical User Interface could present a panel for general information called Dashboard for the main Window of the application,	Implemented	Not Applicable
The general information panel could present a widget that shows the number of clients connected to the server for the current application session,	Implemented	Not Applicable
The general information panel could present a widget that shows the number of ports opened by the application for the current application session,	Implemented	Not Applicable

The general information panel could present a widget that shows the number of clients connected to the server that may require maintenance assistance for the current application session,	Implemented	Not Applicable
The general information panel could present a widget that shows the number of clients connected to the server with resolved maintenance problems for the current application session.	Implemented	Not Applicable
The general information panel could present a widget that shows the activity of the application for a week time when considering the number clients connected, open ports, maintenance assistance and resolved issues.	Not Implemented	Not Applicable
The general information panel could present a widget that shows the number of causes of maintenance the current application session.	Not Implemented	Not Applicable
The general information panel could present a widget that shows the number of maintenance notifications classified as critical, warning and informative for the current application session.	Not Implemented	Not Applicable
The Graphical User Interface could present a panel for data analysis called Analytics for the main Window of the application,	Implemented	Not Applicable
The data analysis panel could present an area chart for the collection of Hard-Disk S.M.A.R.T data over a week time period,	Implemented	Not Applicable
The data analysis panel could present a table for maintenance or failure events sent by the clients machines with columns named as Time, Level, Event, Platform, Architecture, Computer Name, Host and Port.	Implemented	Not Applicable

The Graphical User Interface could present a panel for remote execution of instructions called Machines for the main Window of the application,	Implemented	Not Applicable
The remote execution of instructions panel could present a table containing the current clients connected to the server in the form of table records with columns named as Platform, Architecture Computer Name, Host, Port, Payload and Action.	Implemented	Not Applicable
The Graphical User Interface could present a panel for past and scheduled maintenance events called Calendar for the main Window of the application,	Implemented	Not Applicable
The scheduled maintenance events panel could contain a calendar view created using the user interface java framework called CalendarFX.	Implemented	Not Applicable
The Graphical User Interface could present a panel for the establishment of connections called Network for the main Window of the application,	Implemented	Not Applicable
The establishment of clients connections panel could present a label called "TCP Port",	Implemented	Not Applicable
The establishment of clients connections panel could present a text field for the TCP Port information,	Implemented	Not Applicable
The establishment of clients connections panel could present a table containing a list of TCP listening ports.	Implemented	Not Applicable
The establishment of clients connections panel could present a button for the addition of TCP Ports to a SQLite Database once the information will be provided by the user using the TCP Port text field,	Implemented	Not Applicable

The establishment of clients connections panel could present a button for the deletion of the TCP listening ports from the table containing the listening ports,	Implemented	Not Applicable
The establishment of clients connections panel could present a button for the creation of Server Sockets using the TCP ports in the table containing the ports.	Implemented	Not Applicable
The establishment of clients connections panel could present a button for additional information related to the usage of the panel in cause in order to guide the user.	Implemented	Not Applicable
The Graphical User Interface could present a panel for the creation of the agent or client called Agent for the main Window of the application,	Implemented	Not Applicable
The agent panel could present a label for the IP or DNS address of the Server Socket where the client or agent will connect to,	Implemented	Not Applicable
The agent panel could present a label for the listening port of the Server Socket where the client or agent will connect to,	Implemented	Not Applicable
The agent panel could present a text field for the IP address of the Server Socket where the client or agent will connect to,	Implemented	Not Applicable
The agent panel could present a text field for the port of the Server Socket where the client or agent will connect to,	Implemented	Not Applicable

The agent panel could present a button for the addition of the IP address and Port of the Server Socket to a list which will be displayed in a table, this information ultimately being used to allow the client or agent to connect to the Server or Command and Control (C&C),	Implemented	Not Applicable
The agent panel could present a text field for the naming of the Java Archive (.jar) Agent.	Implemented	Not Applicable
The creation of the agent panel could present a button in order to create a Java Archive (.jar) which will allow the connection of the client to the server once executed by the user on a specific machine.	Implemented	Not Applicable
The Graphical User Interface could present a panel for the selection of preferences called Settings for the main Window of the application,	Implemented	Not Applicable
The settings panel could present a Radio Button interface component to select a light theme for the application,	Implemented	Not Applicable
The settings panel could present a Radio Button interface component to select a dark theme for the application.	Implemented	Not Applicable
The Graphical User Interface could present a panel for the activity of the application called Events Log for the main Window of the application,	Implemented	Not Applicable
The Graphical User Interface could present a panel for additional application information called About for the main Window of the application,	Implemented	Not Applicable
The additional application information panel could present a button for the terms of usage of the desktop application,	Implemented	Not Applicable

The additional application information panel could present a button for any future software changes,	Implemented	Not Applicable
The additional application information panel could present a button for the possibility to get into contact with the creators of the application,	Implemented	Not Applicable
The additional application information panel could present a button for a manual of the application.	Implemented	Not Applicable
The Graphical User Interface could present a navigation system for the panels of the main application.	Implemented	Not Applicable

Table A.2: Command and Control (C&amp;C) Tests

# Appendix B

## Agent (Client-Side) Tests

Requirement	Status	Notes
The Agent Application must validate configuration data	Implemented	Not Applicable
The validation of the configuration data must be done using the third party java library called Appache Commons,	Implemented	Not Applicable
The validation of the configuration data must be performed for the TCP Listening ports when trying to establish a connection,	Implemented	Not Applicable
The validation of the configuration data must be performed for the IP or DNS address when trying to establish a connection.	Implemented	Not Applicable
The Agent Application must use the Client-Server Network Architecture,	Implemented	Not Applicable
The Agent Application must use the Transmission Control Protocol (TCP) for data transfer,	Implemented	Not Applicable
The Agent Application must be able to connect to the server on a specific address and on ports defined by the user,	Implemented	Not Applicable
The Agent Application must be able to receive packets from the server once connected,	Implemented	Not Applicable
The Agent Application must be able to send packets to the server once connected,	Implemented	Not Applicable

The Agent Application must be able to retrieve hardware and software information from the machine that will execute the Java Archive (.jar).	Implemented	Not Applicable
The Agent Application should be able to reconnect to the server if the connection will be lost.	Not Implemented	Not Applicable
The Desktop Application should use symmetric or asymmetric encryption for the transfer of data between the clients and server.	Not Implemented	Not Applicable

Table B.2: Agent (Client-Side) Tests

# Appendix C

## Project Log

Week Commencing	Activities
28/09/2020	<ul style="list-style-type: none"><li>• Review Summer Literature</li><li>• Reflect on Summer Progress</li><li>• Define Aims and Objectives</li><li>• Define a Provisional Project Plan</li></ul>
05/10/2020	<ul style="list-style-type: none"><li>• Research Existing Maintenance Tools</li><li>• Research Machine Learning Techniques</li><li>• Research Cloud Services</li><li>• Learn LaTeX core elements</li><li>• Learn BibTeX core elements</li></ul>
12/10/2020	<ul style="list-style-type: none"><li>• Complete Project Proposal Document</li><li>• Complete Project Ethics Document</li><li>• Create BibTeX Database</li></ul>
19/10/2020	<ul style="list-style-type: none"><li>• Write Draft Structure for Background Chapter</li><li>• Read Network Programming by Richard Reese</li><li>• Continue Learning LaTeX</li><li>• Continue Learning BibTeX</li></ul>
26/10/2020	<ul style="list-style-type: none"><li>• Read Data Centres Failures paper</li><li>• Read Data Science Made Easy by Richard Reese</li><li>• Create Thesis Structure using LaTeX</li></ul>
02/11/2020	<ul style="list-style-type: none"><li>• Write First Draft for the Background Chapter</li><li>• Read Computer Systems Maintenance Solutions paper</li><li>• Read Support Vector Machines paper</li><li>• Create Thesis Bibliography using BibTeX and LaTeX</li></ul>
09/11/2020	<ul style="list-style-type: none"><li>• Write Second Draft for the Background Chapter</li><li>• Research Hardware Sensors</li><li>• Find Datasets related to Hard-Disks S.M.A.R.T</li><li>• Find Statistics related to Hard-Disks Failures</li><li>• Research Computer Network Architectures</li></ul>

16/11/2020	<ul style="list-style-type: none"> <li>• Write Third Draft for the Background Chapter</li> <li>• Read Hidden Markov Model paper</li> <li>• Read Bayesian Networks paper</li> </ul>
23/11/2020	<ul style="list-style-type: none"> <li>• Research the Peer-to-Peer Network Architecture</li> <li>• Research Client-Server Network Architecture</li> <li>• Update Draft Structure for the Background Chapter</li> <li>• Write Conclusions for the Background Chapter</li> </ul>
30/11/2020	<ul style="list-style-type: none"> <li>• Continue Learning Java and JavaFX</li> <li>• Research JavaFX User Interface Extensions</li> <li>• Research Modern User Interface Designs</li> </ul>
07/12/2020	<ul style="list-style-type: none"> <li>• Reflect on the Conclusions of the Background Chapter</li> <li>• Research the Hard Disks S.M.A.R.T Indicators</li> <li>• Research the Support Vector Machines Implementations</li> </ul>
14/12/2020	<i>Due to extenuating circumstances, no work was conducted this week</i>
21/12/2020	<i>Due to Christmas break, no work was conducted this week</i>
28/12/2020	<i>Due to Christmas break, no work was conducted this week</i>
04/01/2021	<ul style="list-style-type: none"> <li>• Consider the Background Chapter Feedback</li> <li>• Edit the Background Chapter</li> </ul>
11/01/2021	<ul style="list-style-type: none"> <li>• Write Specifications Chapter Introduction</li> <li>• Write Desktop Application Functional Requirements</li> <li>• Write Agent Application Functional Requirements</li> <li>• Write Non-Functional Requirements</li> </ul>
18/01/2021	<ul style="list-style-type: none"> <li>• Reflect on the Background and Specifications Chapters</li> <li>• Create Unified Modeling Language Diagrams for the Desktop Application</li> <li>• Create Unified Modeling Language Diagrams for the Agent Application</li> </ul>
25/01/2021	<ul style="list-style-type: none"> <li>• Deliver First Proof of Concept Video</li> <li>• Reflect on the intended implementation</li> </ul>
01/02/2021	<ul style="list-style-type: none"> <li>• Research Hard-Disk S.M.A.R.T Data Retrieval Tools</li> <li>• Research Java Reflection API in order to retrieve hard-disk S.M.A.R.T data.</li> <li>• Select smartmontools third-party software to retrieve hard-disk S.M.A.R.T data.</li> <li>• Implement hard-disk S.M.A.R.T data retrieval feature using smartmontools and Java Reflection.</li> </ul>

## APPENDIX C. PROJECT LOG

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08/02/2021	<ul style="list-style-type: none"> <li>• Research Hard-Disk S.M.A.R.T available datasets</li> <li>• Research Machine Learning algorithms used with hard-disk S.M.A.R.T data.</li> <li>• Select BackBlaze dataset from 2017 for the Seagate ST4000DM000 hard-disk model.</li> <li>• Implement static analysis of the hard-disk S.M.A.R.T using Support Vector Machines.</li> </ul>
15/02/2021	<ul style="list-style-type: none"> <li>• Continue Research of Machine Learning algorithms used with hard-disk S.M.A.R.T data.</li> <li>• Implement static analysis of the hard-disk S.M.A.R.T using Random-Forest Model.</li> <li>• Implement static analysis of the hard-disk S.M.A.R.T using Logistic Regression.</li> <li>• Create Second Proof of Concept Video.</li> </ul>
22/02/2021	<ul style="list-style-type: none"> <li>• Continue Research of Machine Learning algorithms used with hard-disk S.M.A.R.T data.</li> <li>• Implement static analysis of the hard-disk S.M.A.R.T using Multi-Layer Perceptron.</li> <li>• Create ROC Curve Figures for the machine learning algorithms.</li> </ul>
01/03/2021	<ul style="list-style-type: none"> <li>• Create Final Report Structure.</li> <li>• Write Final Report Credits using the licenses of the libraries used.</li> <li>• Reflect on the implementation of the hard-disk S.M.A.R.T data retrieval.</li> </ul>
08/03/2021	<ul style="list-style-type: none"> <li>• Research Java Bytecode manipulation libraries.</li> <li>• Read ASM Java Bytecode manipulation library documentation.</li> <li>• Implement validation for the authentication system of the Desktop Application.</li> <li>• Implement Dynamic Java Archive (.jar) creation for the client application.</li> </ul>
15/03/2021	<ul style="list-style-type: none"> <li>• Research Modern Designs for Dashboards.</li> <li>• Implement Dashboard component of the interface for the Desktop Application.</li> <li>• Implement Robert Gordon University theme for the Desktop Application.</li> </ul>
22/03/2021	<i>Due to extenuating circumstances, no work was conducted this week</i>
29/03/2021	<i>Due to extenuating circumstances, no work was conducted this week</i>

## APPENDIX C. PROJECT LOG

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05/04/2021	<ul style="list-style-type: none"><li>• Research Poster Designs</li><li>• Create Project Poster</li><li>• Reflect on the Project Poster Design.</li></ul>
12/04/2021	<ul style="list-style-type: none"><li>• Write Design Chapter of the Desktop Application and Agent</li><li>• Write Implementation Chapter of the Desktop Application</li><li>• Write Tests of the Desktop Application and Agent</li></ul>
19/04/2021	<ul style="list-style-type: none"><li>• Write Implementation Chapter of the Agent Application</li><li>• Write Evaluation Chapter</li><li>• Write Conclusions Chapter</li><li>• Write Project Credits.</li></ul>
26/04/2021	<ul style="list-style-type: none"><li>• Create PowerPoint Presentation for the Demo</li><li>• Final Report Modifications</li><li>• Submission.</li></ul>

Table C.2: Project Log

# Appendix D

## Credits

### Apache Commons

**Maintainer:** The Apache Software Foundation

**Usage:** Input Validation

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**JFoenix**

**Maintainer:** JFoenix

**Usage:** JavaFX Material Design Library

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**Usage:** JavaFX Custom Controls

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## CalendarFX

**Maintainer:** Dirk Lemmermann

**Usage:** Command and Control (C&C) Calendar

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## FontAwesomeFX

**Maintainer:** Jens Deters

**Usage:** Widgets Icons

**License:** Copyright (c) 2021 Jens Deters

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## ASM

**Maintainer:** Eugene Kuleshov, Andrei Loskutov, Rémi Forax

**Usage:** Java Bytecode Manipulation Library

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**smartmontools**

**Maintainer:** Bruce Allen, Christian Franke, Michael Cornwell

**Usage:** Pre-Requisite for Hard-Disk S.M.A.R.T Access

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## Super-CSV

**Maintainer:** Kasper B. Graversen

**Usage:** Java Comma Separated Values (.csv) Manipulation Library

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## AWS Java SDK

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