

Dialogue Response Ranking Training with Large-Scale Human Feedback Data

Xiang Gao, Yizhe Zhang, Michel Galley, Chris Brockett, Bill Dolan Microsoft Research AI, Redmond, WA, USA



paper: arxiv.org/abs/2009.06978

code: github.com/golsun/**DialogRPT** data: https://dialogfeedback.github.io

EMNLP 2020

The 2020 Conference on Empirical Methods in Natural Language Processing



- Great progress in building conversational AI with large-scale pre-trained models
- They are trained mostly by minimizing <u>perplexity</u> on human samples



DialoGPT: arxiv.org/abs/1911.00536
Trained with **147 M** Reddit Dialogues!



Meena: arxiv.org/abs/2001.09977

FACEBOOK

Blender: arxiv.org/abs/2004.13637

And many other awesome works..

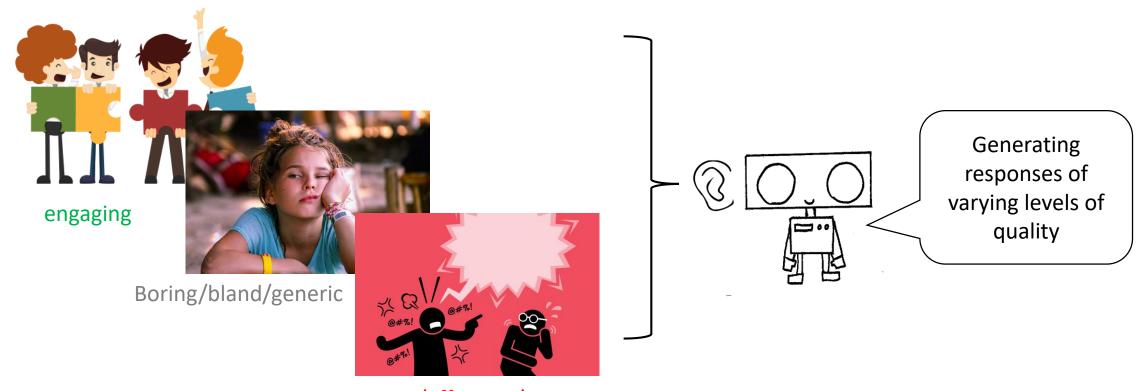


data: https://dialogfeedback.github.io

in Natural Language Processing



- Great progress in building conversational AI with large-scale pre-trained models
- They are trained mostly by minimizing **perplexity** on human samples
- However, some human replies are more engaging than others, spawning more follow-up interactions







paper: arxiv.org/abs/2009.06978

code: github.com/golsun/DialogRPT

data: https://dialogfeedback.github.io

The 2020 Conference on Empirical Methods



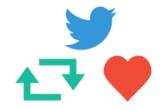
A Diversity-Promoting Objective Function for Neural Conversation Models

Jiwei Li^{1*} Michel Galley² Chris Brockett² Jianfeng Gao² Bill Dolan²

¹Stanford University, Stanford, CA, USA jiweil@stanford.edu ²Microsoft Research, Redmond, WA, USA {mgalley,chrisbkt,jfgao,billdol}@microsoft.com

- Existing ranking methods may be suboptimal
 - Perplexity: e.g. MMI, only reflects relevancy
 - Manually designed features: not directly based on real-world human preferences in an end-to-end fashion.
- Crowdsourcing of large-scale training data is too expensive
- Social networks provide ways to measure Human feedback on dialogues (and other contents).









paper: arxiv.org/abs/2009.06978

code: github.com/golsun/DialogRPT

data: https://dialogfeedback.github.io

The 2020 Conference on Empirical Methods

in Natural Language Processing





Social network human feedback data!



paper: arxiv.org/abs/2009.06978

code: github.com/golsun/DialogRPT

data: https://dialogfeedback.github.io

in Natural Language Processing



- Motivation
- Dataset
- Method
- Results

Dialogue Response Ranking Training with Large-Scale Human Feedback Data

Xiang Gao, Yizhe Zhang, Michel Galley, Chris Brockett, Bill Dolan *Microsoft Research AI, Redmond, WA, USA*

paper: arxiv.org/abs/2009.06978

code: github.com/golsun/DialogRPT data: https://dialogfeedback.github.io

EMNLP 2020

The 2020 Conference on Empirical Methods in Natural Language Processing

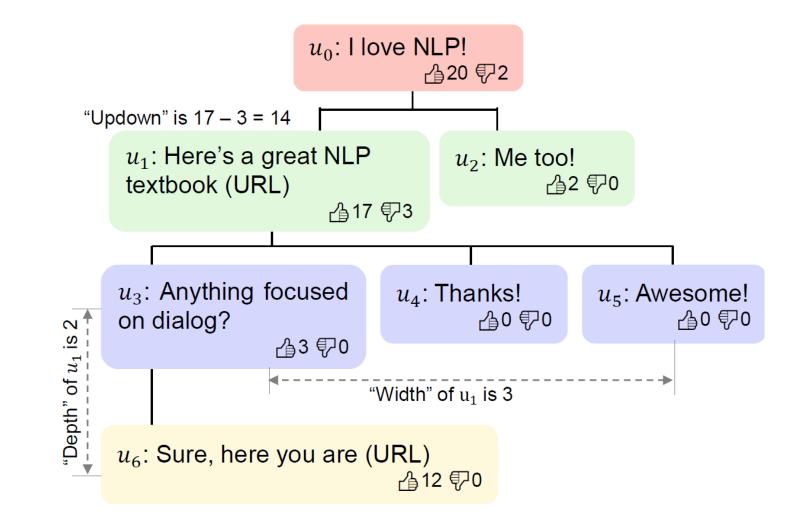
16th - 20th November 2020



Dataset



- We define three metrics of human feedback on Reddit
 - Updown
 - Width
 - Depth



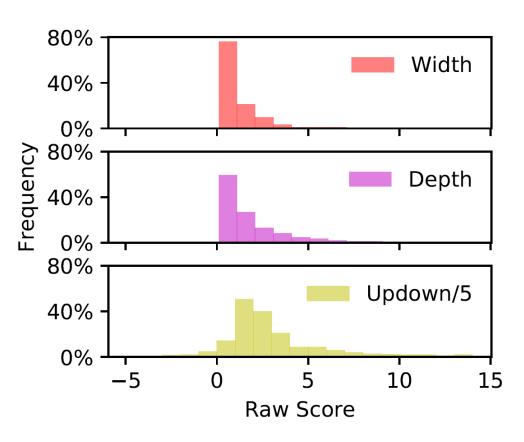


The 2020 Conference on Empirical Methods



Dataset

All of three metrics have a long-tailed distribution



They are correlated. Width and depth are more correlated as both are measure of number of replies

	Width	Depth	Updown
Width	1	0.8592	0.3491
Depth	0.8592	1	0.3257
Updown	0.3491	0.3257	1

Table 1: Spearman's ρ between different measurements of human feedback. Darker cell color indicates higher correlation.



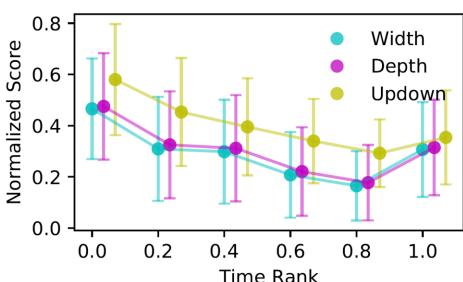
paper: arxiv.org/abs/2009.06978

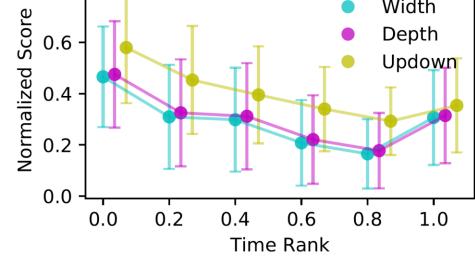
code: github.com/golsun/DialogRPT



Dataset

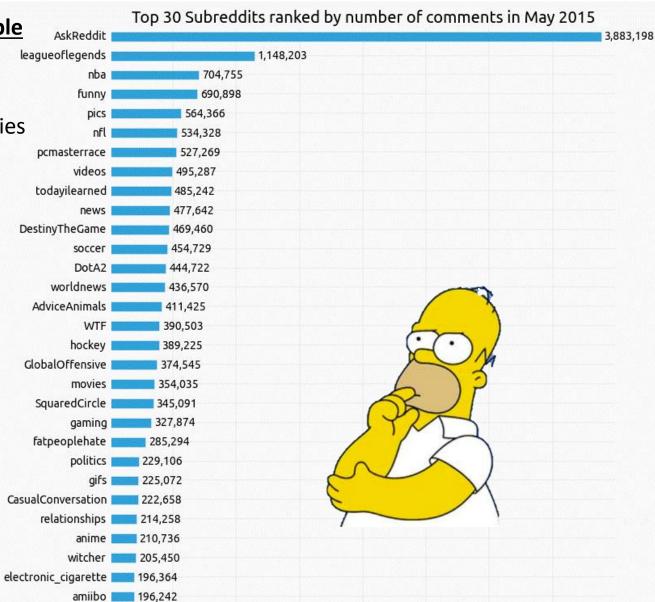
- However, these metrics are **not directly usable/comparable**
- Confounding factors
 - **Topics/Subreddits**: popularity differs significantly
 - **Timing**: The early comments gets more upvotes/replies







paper: arxiv.org/abs/2009.06978 code: github.com/golsun/DialogRPT data: https://dialogfeedback.github.io



Author: Ramiro Gómez - ramiro.org • Data: Reddit /u/Stuck_In_the_Matrix & /u/fhoffa - reddit.com



- Motivation
- Dataset
- Method
- Results

Dialogue Response Ranking Training with Large-Scale Human Feedback Data

Xiang Gao, Yizhe Zhang, Michel Galley, Chris Brockett, Bill Dolan *Microsoft Research AI, Redmond, WA, USA*

paper: arxiv.org/abs/2009.06978

code: github.com/golsun/DialogRPT data: https://dialogfeedback.github.io

EMNLP 2020

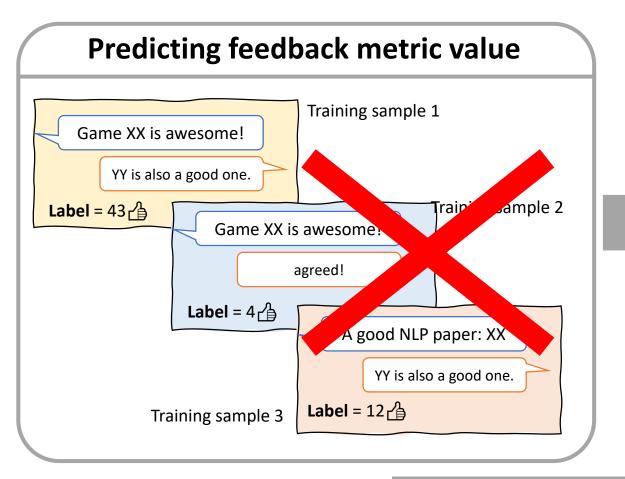
The 2020 Conference on Empirical Methods in Natural Language Processing

16th – 20th November 2020

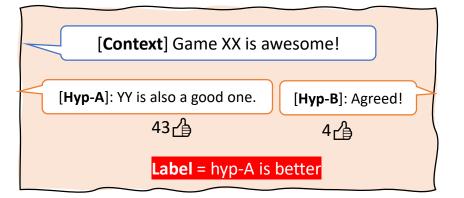


Contrastive Dataset

- Directly predicting metric value is **hard**, due to confounding factors (e.g. timing of post)
- Contrastive learning!



Classify which one gets more feedback



Only compare pairs of responses that are comparable

- For the same dialogue context
- Published at roughly the same time
- ...



paper: <u>arxiv.org/abs/2009.06978</u>

code: github.com/golsun/DialogRPT

Contrastive Learning Microsoft Research Al

$$h = \text{Logits} \text{ (scalar)}$$
 $c = \text{Context} \text{ (string)}$
$$h(c, r) = \text{DIALOGRPT}(c, r) \longrightarrow r = \text{Response} \text{ (string)}$$

• Inferred Score

$$s(r|c) = \text{Sigmoid}(h(c,r))$$

• Training Loss $\mathcal{L}=-$ negative log likelihood

$$\mathcal{L} = -\sum_{i \in \text{batch}} \log \frac{e^{h(c_i, r_i^+)}}{e^{h(c_i, r_i^+)} + e^{h(c_i, r_i^-)}}$$

12

 $=P(r^+|c)$

Softmax probability to pick r^+

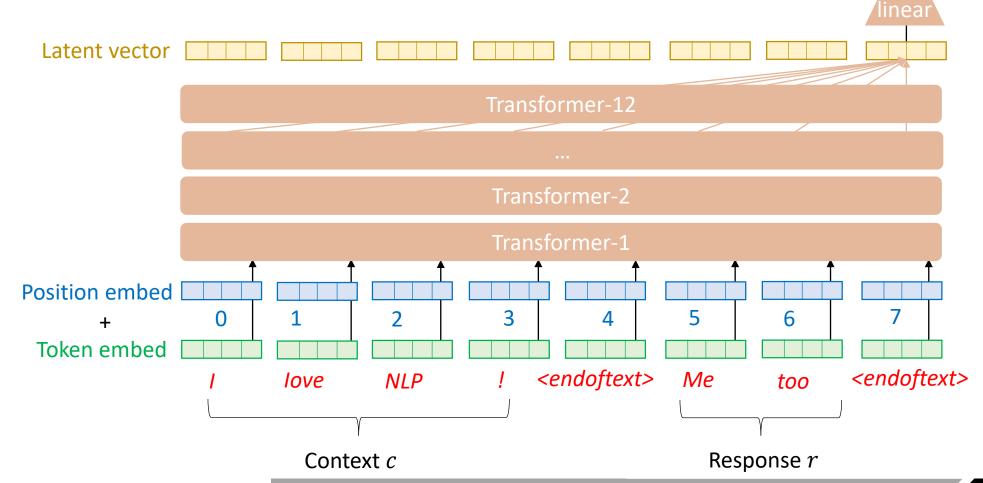
- r^+ for positive sample
- r^- for negative sample



Implementation Microsoft Research Al

- GPT-2 type model, initialized with DialoGPT weight
- Using the latent vector at the last time step to compute logit and score

DialogRPT: <u>Dialogue</u> Ranking Pretrained Transformers





paper: <u>arxiv.org/abs/2009.06978</u>

code: github.com/golsun/DialogRPT

data: https://dialogfeedback.github.io

Logits h(c,r)

The 2020 Conference on Empirical Methods

However, can we apply rankers trained on human vs human data on generators?

r is a human-like response: $r \in H$ =0, assumed Probability that response r gets the most feedback given context c: $P(r|c) = P(r|c, r \in H)P(r \in H) + P(r|c, r \notin H)P(r \notin H)$ $= P(r|c, r \in H)P(r \in H)$

Task	Subtask Description	Training size (number of pairs)
$P(r \in H)$	Fake = Retrieved human response	40.7 M
Human vs fake	Fake = Machine generated response	40.7 M
$P(r c,r \in H)$ Human vs human (which gets more feedback)	Feedback = Updown (more upvotes - downvotes)	40.7 M
	Feedback = Width (more direct replies)	22.3 M
	Feedback = Depth (longer follow-up thread)	25.1 M



paper: arxiv.org/abs/2009.06978

code: github.com/golsun/**DialogRPT**



- Motivation
- Dataset
- Method
- Results

Dialogue Response Ranking Training with Large-Scale Human Feedback Data

Xiang Gao, Yizhe Zhang, Michel Galley, Chris Brockett, Bill Dolan *Microsoft Research AI, Redmond, WA, USA*

paper: arxiv.org/abs/2009.06978

code: github.com/golsun/DialogRPT data: https://dialogfeedback.github.io

EMNLP 2020

The 2020 Conference on Empirical Methods in Natural Language Processing

16th – 20th November 2020



Context: I love NLP!								
Response:	Width	Depth	Updown					
A Me too!	0.033	0.043	0.171					
B It's super useful and more and more powerful!	0.054	0.164	0.296					
C Can you tell me how it works?	0.644	0.696	0.348					
D Can anyone recommend a nice review paper?	0.687	0.562	0.332					
E Here's a free textbook (URL) in case anyone needs it.	0.319	0.409	0.612					

Table 3: Predicted feedback scores of several example responses given the same context.



paper: <u>arxiv.org/abs/2009.06978</u>

code: github.com/golsun/**DialogRPT**

Generator reranking

Although hypothesis C is most likely to be generated (Generation Probability = 0.496), it's relatively boring. Using Updown Score, we can pick the hypothesis A, which is perhaps more interesting (Updown Score = 0.431)

[Context]: Can we restart 2020?

	Generation Probability	Updown Score	Generated Hypothesis
Α	0.383	0.431	I think we should go back to the beginning, and start from the beginning.
В	0.195	0.323	I think we should just give up and let the year just pass.
С	0.496	0.302	Yes, we can.
D	0.328	0.153	I think so, yes.



paper: <u>arxiv.org/abs/2009.06978</u>

code: github.com/golsun/**DialogRPT**



	Method	Pairwise	Spearman
	Wethod	accuracy	ho
	Dialog ppl.	0.513	-0.009
	Reverse dialog ppl.	0.571	0.099
Width	Length baseline	0.595	0.229
	BoW baseline	0.596	0.234
	DIALOGRPT	0.752	0.357
	Dialog ppl.	0.508	-0.004
	Reverse dialog ppl.	0.557	0.063
Depth	Length baseline	0.543	0.134
•	BoW baseline	0.584	0.187
	DIALOGRPT	0.695	0.317
	Dialog ppl.	0.488	0.003
	Reverse dialog ppl.	0.560	0.076
Updown	Length baseline	0.531	0.063
•	BoW baseline	0.571	0.134
	DIALOGRPT	0.683	0.295

Table 5: Performance on test set ranking gold responses, measured by pairwise accuracy and Spearman's ρ .





For each context, there're k human responses and n distractor (random human responses), Rank these k + n candidates based on predicted $P(r \in H)$ Even DialogRPT is only trained on Reddit, it performs very well on all four datasets

Dataset	Method	Hits@k		Dataset	Method	Hits@k
	BLEU1	0.651		T 1	BM25	0.178
	BERTScore	0.685		Twitter	ConvRT	0.439
Reddit	BLEURT	0.714	•	(k=1,n=19)	Dialog ppl.	0.107
(k > 5, n = k)	BM25	0.309		_	Reverse dialog ppl.	0.440
	ConvRT	0.760	Zero-		DIALOGRPT	0.548
	Dialog ppl.	0.560	short!		BM25	0.117
	Reverse dialog ppl.	0.775			ConvRT	0.197
	DIALOGRPT	0.886		PersonaChat	IR Baseline	0.213
Daila Diala	BM25	0.182		(k=1,n=19)	Starspace	0.318
DailyDialog $(k=1,n=19)$	ConvRT	0.380			KV profile memory	0.349
	Dialog ppl.	0.176			Dialog ppl.	0.108
	Reverse dialog ppl.	0.457			Reverse dialog ppl.	0.449
	DIALOGRPT	0.621			DIALOGRPT	0.479



paper: <u>arxiv.org/abs/2009.06978</u>

code: github.com/golsun/DialogRPT

		Tested on				
Model	Trained on	Human vs. Human			Human vs. Fake	
		Width	Depth	Updown	Rand	Generated
	Width	0.764	0.693	0.601	0.517	0.644
Human feedback	Depth	0.749	0.701	0.588	0.512	0.647
	Updown	0.659	0.602	0.683	0.526	0.667
Human-like	Rand	0.558	0.552	0.522	0.843	0.413
	+ Generated	0.560	0.558	0.522	0.864	0.880
Ensemble	-	0.746	0.675	0.666	0.758	0.821

Table 6: Pairwise accuracy of DIALOGRPT models. Darker cell color indicates better performance.



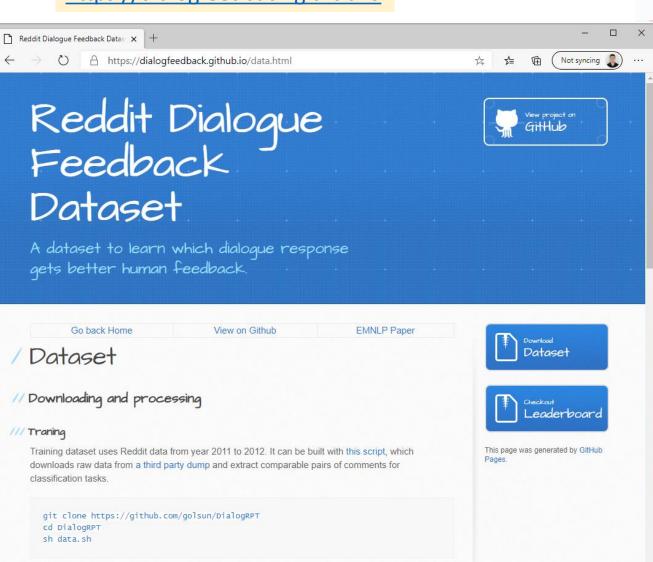
paper: <u>arxiv.org/abs/2009.06978</u>

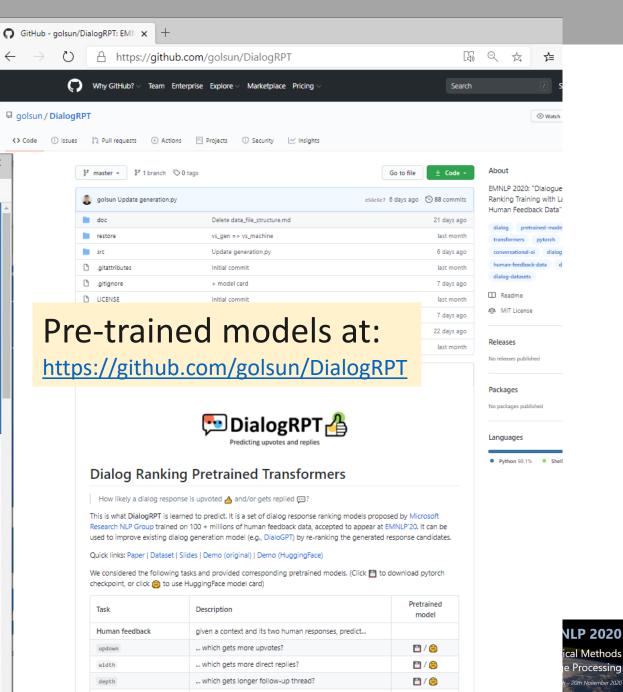
code: github.com/golsun/DialogRPT



Open-sourced!

Dataset available at:

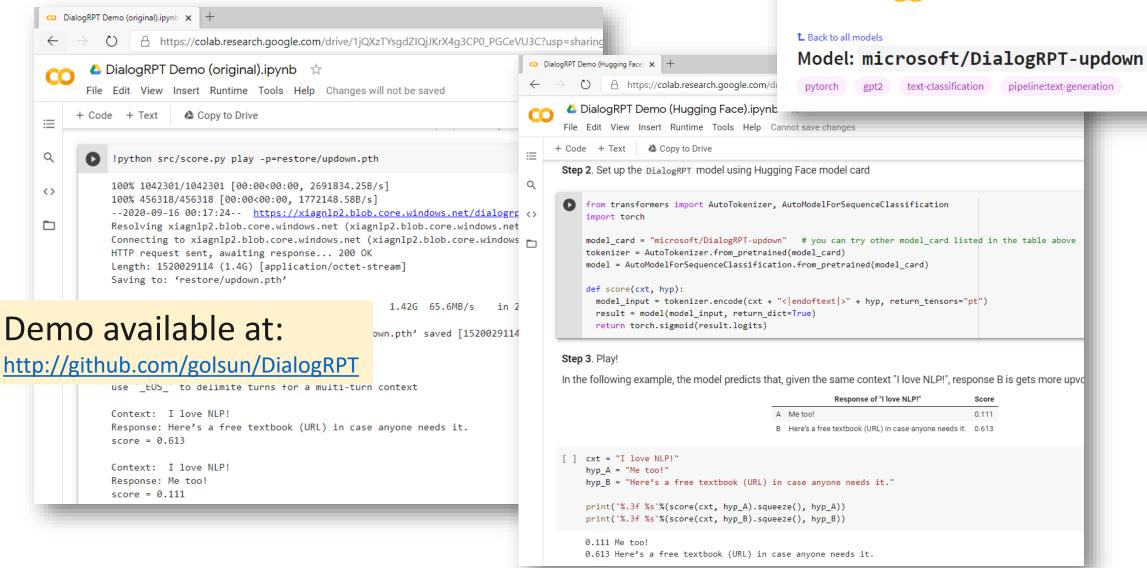






Open-sourced!







paper: arxiv.org/abs/2009.06978

code: github.com/golsun/DialogRPT



Thank you!

Dialogue Response Ranking Training with Large-Scale Human Feedback Data

Xiang Gao, Yizhe Zhang, Michel Galley, Chris Brockett, Bill Dolan Microsoft Research AI, Redmond, WA, USA



paper: arxiv.org/abs/2009.06978

code: github.com/golsun/**DialogRPT** data: https://dialogfeedback.github.io

EMNLP 2020

The 2020 Conference on Empirical Methods in Natural Language Processing

16th – 20th November 2020