

- Start as early as possible, and contact the instructor if you get stuck.
- See the course outline for details about the course's marking policy and rules on collaboration.
- Submit your completed solutions to **Crowdmark**.

1. Context-free and non-context-free languages

(a) Prove that the language

$$L_a = \{w \in \{0, 1\}^* \mid n_1(w) = n_0(w)^2\}$$

is **not** context-free. Recall that $n_1(w)$ denotes the number of occurrences of the symbol 1 in the string w , and $n_0(w)$ denotes the number of occurrences of the symbol 0 in the string w .

Proof. To prove the language L_a is **not** context-free we use the pumping lemma for context-free languages.

- Let $n > 0$ be arbitrary.
- Let $z = a^n b^{n^2}$, because $n_1(z) = n_0(z)^2$ we know $z \in L_a$.
- Consider the decompositions of z such that $z = uvwxy$ where,
 - $|vwx| \leq n$
 - $|vx| \geq 1$
 - $\forall i \in \mathbb{Z}^+ : uv^iwx^iy \in L_a$
- There are four cases to consider:
 1. When v and x are both equal to a^k for some $k \geq 0$
 - In this case, pumping i will increase the number of a s in the string while the number of b s remain the same. This will lead to there being more a 's than b 's which contradicts the condition $n_1(z) = n_0(z)^2$.
 2. When v and x are both equal to b^k for some $k \geq 0$
 - In this case pumping i will increase the number of b 's in the string without affecting the number of a s. While the number of b s will remain higher than the number of a s, each increase of i will cause a linear increment of b which is required to grow exponentially due to the condition $n_1(z) = n_0(z)^2$.
 3. When v is equal to a^k and x is equal to b^j for some $k, j \geq 0$
 - Let $v = a^k$ and $x = b^j$, where $k + j \geq 1$ and $k \leq n, j \leq n$.
 - The string $z = uvwxy$ will be $a^n b^{n^2}$, which has $n_a(z) = n$ and $n_b(z) = n^2$.
 - Now, if we pump down by selecting $i = 0$, we get $u(v^0)w(x^0)y = uwy$
 - Since v and x are non-empty, this means that u and y together will have some a 's and b 's, and w will have $n - k$ a 's and $n^2 - j$ b 's.
 - For this pumped string to be in L , we need $n_a(uwy) = n_b(uwy)^2$, but this won't be possible because $n_a(uwy)$ will not equal the square of $n_b(uwy)$ in general.
 4. When v is equal to b^k and x is equal to a^j for some $k, j \geq 0$
 - Let $v = b^k$ and $x = a^j$, where $k + j \geq 1$ and $k \leq n, j \leq n$.
 - The string $z = uvwxy$ will be $a^n b^{n^2}$, which has $n_a(z) = n$ and $n_b(z) = n^2$.

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- Now, if we pump down by selecting $i = 0$, we get $u(v^0)w(x^0)y = uwy$
 - Similar to the previous case, u and y together will have some a 's and b 's, and w will have $n^2 - k$ b 's and $n - m$ a 's
 - Again, for this pumped string to be in L , we need $n_a(uwy) = n_b(uwy)^2$, but this won't be possible because $n_a(uwy)$ will not equal the square of $n_b(uwy)$ in general.
 - Since no decomposition of $z = a^n b^{n^2}$ can be pumped which contradict the pumping lemma.
 - Therefore, by L_a is **not** context-free.

□

[4]

- (b) Let $\Sigma = \{a, b, c\}$ be the alphabet for this part and for part 1c. Prove that the language

$$L_b = \{a^i b^j c^k \mid j \leq i \text{ or } k \leq i\}$$

is context-free.

Proof. To prove the language L_b is context-free we will define two context-free grammars G_1 and G_2 by listing their productions. We will show the union of these grammars to be equal to the language defined by L_b . As the class of context-free languages are closed under a finite union this will prove L_b to be context-free.

- Let $G_1 = (V_1, T_1, P_1, S_1)$ be the context free grammar with the start symbol S_1 defined by the following productions:

$$S_1 \rightarrow S_1 c \mid T_1 \mid \varepsilon$$

$$T_1 \rightarrow a T_1 b \mid a T_1 \mid \varepsilon$$

- We claim $L(G_1) = \{a^i b^j c^k \mid j \leq i\}$ by considering the productions in G_1 .
 - * We first consider the edge cases:
 - Starting at S_1 , when $i = j = k = 0$ $S_1 \rightarrow \varepsilon$.
 - When $i = j = 0$ and k is arbitrary we have 0 a 's and b 's with an arbitrary number of c 's. This can be obtained with the production $S_1 \rightarrow S_1 c$.
 - * Next we consider the general case where i, j, k are arbitrary.
 - By taking the production $S_1 \rightarrow S_1 c$ k times we can always include k c 's in our string
 - To add a 's and b 's to the string we must take the production $S_1 \rightarrow T_1$.
 - For T_1 we can add a balanced number of a 's and b 's with the production $T_1 \rightarrow a T_1 b$
 - At any time we can take production $T_1 \rightarrow a T_1$ to add additional a 's to the string
 - * We note that any number of productions of T_1 will lead to either the case where there are a balanced number of a 's and b 's or a case where there are more a 's than b 's.
 - * Furthermore, at any time we have shown the production path to add an arbitrary number of c 's
 - * As there are no more productions to consider in G_1 , the condition $j \leq i$ for $a^i b^j c^k$ will always hold.
 - * Thus $L(G_1) = \{a^i b^j c^k \mid j \leq i\}$
- Let $G_2 = (V_2, T_2, P_2, S_2)$ be the context free grammar with the start symbol S_2 defined by the following productions:

$$S_2 \rightarrow a S_2 \mid a S_2 c \mid S_2 c \mid \varepsilon$$

- We claim $L(G_2) = \{a^i b^j c^k \mid k \leq i\}$ by considering the productions in G_2 .
 - * We first consider the edge cases:
 - Starting at S_2 , when $i = j = k = 0$ $S_2 \rightarrow \varepsilon$.

- When $i = k = 0$ and j is arbitrary we have 0 a 's and c 's with an arbitrary number of b 's. This can be obtained with the production $S_2 \rightarrow S_2 b$.
- * Next we consider the general case where i, j, k are arbitrary.
 - By taking the production $S_2 \rightarrow S_2 b$ j times we can always include j b 's in our string
 - To add a 's and c 's to the string we must take the production $S_2 \rightarrow aS_2c$ or $S_2 \rightarrow aS_2$.
 - We add a balanced number of a 's and c 's at any time with the production $S_2 \rightarrow aS_2c$
 - At any time we can take production $S_2 \rightarrow aS_2$ to add additional a 's to the string
- * We note that any number of productions of S_2 will lead to either the case where there are a balanced number of a 's and c 's with an arbitrary number of b 's or a case where there are more a 's than c 's.
- * As there are no more productions to consider in G_2 , the condition $k \leq i$ for $a^i b^j c^k$ will always hold.
- * Thus $L(G_2) = \{a^i b^j c^k | k \leq i\}$
- We have shown that $L(G_1) = \{a^i b^j c^k | j \leq i\}$ and $L(G_2) = \{a^i b^j c^k | k \leq i\}$.
- It is clear that $L(G_1) \cup L(G_2) = \{a^i b^j c^k | j \leq i \text{ and } k \leq i\}$
- As G_1 and G_2 are defined by context-free grammars they must describe context-free languages.
- Since the class of context-free languages are closed under union and $L(G_1) \cup L(G_2) = L_b$
- Therefore, L_b is a context-free language.

□

[4]

(c) Prove that the complement, L'_b , is **not** context-free. (**Remark:** This proves that L_b is **not** a DCFL.)

2. Closure rules for CFLs

[2]

- (a) Let L be a CFL and let F be a finite language. Prove that $L \setminus F = \{w \in L \mid w \notin F\}$ is a CFL.

Proof. • $L \setminus F \underset{\text{DeMorgan}}{=} L \cap F^c$

- F is finite and thus a regular language.
- As regular languages are closed under complement F^c is regular.
- The class of context-free languages are closed under a finite intersection with a regular language.
- As L is a context-free language by definition $L \cap F^c$ is a context-free language.
- Since $L \cap F^c$ is context free, therefore $L \setminus F$ is a context-free language.

□

[2]

(b) Let L be a **non**-context-free language and let F be a finite language. Prove that $L \setminus F = \{w \in L \mid w \notin F\}$ is a **non**-context-free language.

Proof. • We know $L \setminus F \underset{DeMorgan}{=} L \cap F^c$

- F is finite and thus a regular language.
- Assume $L \setminus F$ is a context-free language.
- We know context-free languages are closed under intersection with a regular language.
- Since $L \setminus F$ is a context-free language then L must be a context-free language but this is a contradiction to the definition of L
- Therefore $L \setminus F$ is **not** context-free.

□

[2]

(c) Let L be a **non**-context-free language and let F be a finite language. Prove that $L \cup F$ is a **non**-context-free language.

Proof. • Let $L = \{a^i b^i c^i \mid i \geq 0\}$ which we know is not context-free.

- Define $M = L(a^*)$ which is regular since it is defined by a regular expression.
- Note, $L \cup M = \{a^i b^i c^i \mid i \geq 0\}$ which is equivalent to the language L
- Since L is not context-free and $L \cup M$ is equivalent to L
- Therefore, $L \cup F$ is not context free.

□

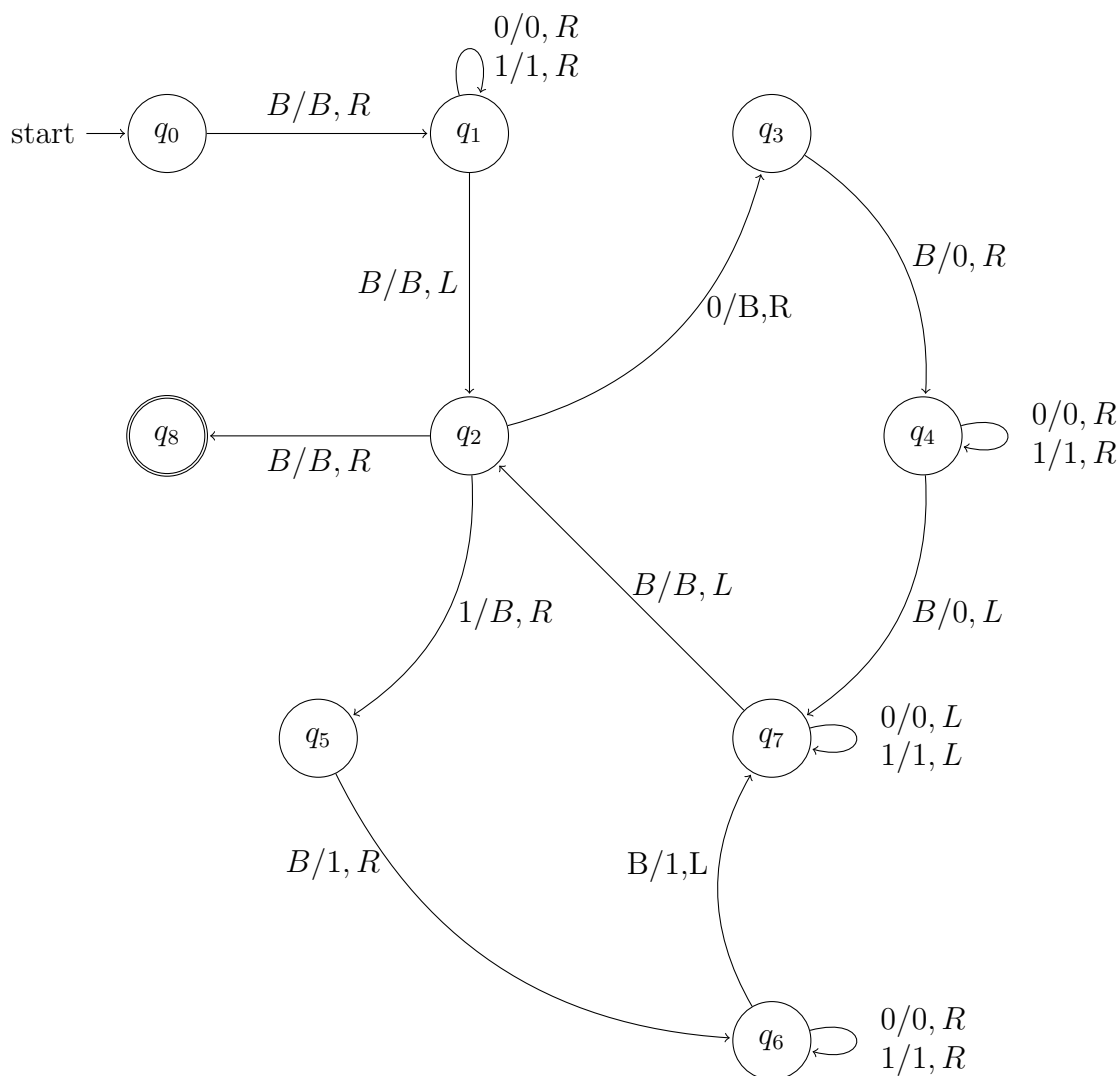
3. Computations in a Turing machine

Let M be a Turing Machine over the alphabet $\Sigma = \{0, 1\}$. Let M 's tape alphabet be $\{0, 1, B\}$. Let M 's states be $\{q_0, q_1, q_2, q_3, q_4, q_5, q_6, q_7, q_8\}$, with q_8 being the sole final state. Let the transition function, δ , for M be defined by the following table.

q	x	$\delta(q, x)$	q	x	$\delta(q, x)$	q	x	$\delta(q, x)$
q_0	B	(q_1, B, R)	q_2	B	(q_8, B, R)	q_6	0	$(q_6, 0, R)$
q_1	0	$(q_1, 0, R)$	q_3	B	$(q_4, 0, R)$	q_6	1	$(q_6, 1, R)$
q_1	1	$(q_1, 1, R)$	q_4	0	$(q_4, 0, R)$	q_6	B	$(q_7, 1, L)$
q_1	B	(q_2, B, L)	q_4	1	$(q_4, 1, R)$	q_7	0	$(q_7, 0, L)$
q_2	0	(q_3, B, R)	q_4	B	$(q_7, 0, L)$	q_7	1	$(q_7, 1, L)$
q_2	1	(q_5, B, R)	q_5	B	$(q_6, 1, R)$	q_7	B	(q_2, B, L)

Let M begin processing in the configuration $(q_0, \underline{B}w)$, where $w \in \Sigma^*$ is the input word.

(a) Draw a diagram for M .



[2]

(b) Give the sequence of instantaneous descriptions of M as it processes the input word $w = 01$.

$$\begin{aligned}
 (q_0, \underline{B}01) &\vdash (q_1, 0\underline{1}) \\
 &\vdash (q_1, 0\underline{1}) \\
 &\vdash (q_1, 01\underline{B}) \\
 &\vdash (q_2, 01\underline{B}) \\
 &\vdash (q_5, 0B\underline{B}) \\
 &\vdash (q_6, 0B1\underline{B}) \\
 &\vdash (q_7, 0B\underline{1}1) \\
 &\vdash (q_7, 0\underline{B}11) \\
 &\vdash (q_2, 0\underline{B}11) \\
 &\vdash (q_3, B\underline{B}11) \\
 &\vdash (q_4, B0\underline{1}1) \\
 &\vdash (q_4, B01\underline{1}) \\
 &\vdash (q_4, B011\underline{B}) \\
 &\vdash (q_7, B011\underline{1}0) \\
 &\vdash (q_7, B0\underline{1}10) \\
 &\vdash (q_7, B\underline{0}110) \\
 &\vdash (q_7, \underline{B}0110) \\
 &\vdash (q_2, \underline{B}B0110) \\
 &\vdash (q_8, \underline{B}0110)
 \end{aligned}$$

[4]

(c) Give the sequence of instantaneous descriptions of M as it processes the input word $w = 100$.

$$\begin{aligned}
 (q_0, \underline{B}100) &\vdash (q_1, \underline{1}00) \\
 &\vdash (q_1, \underline{1}0\underline{0}) \\
 &\vdash (q_1, \underline{1}0\underline{0}) \\
 &\vdash (q_1, 100\underline{B}) \\
 &\vdash (q_2, 10\underline{0}) \\
 &\vdash (q_3, 10\underline{B}\underline{B}) \\
 &\vdash (q_4, 10\underline{B}0\underline{B}) \\
 &\vdash (q_7, 10\underline{B}\underline{0}0) \\
 &\vdash (q_7, 10\underline{B}00) \\
 &\vdash (q_2, 1\underline{0}B00) \\
 &\vdash (q_3, 1\underline{B}\underline{B}00) \\
 &\vdash (q_4, 1\underline{B}0\underline{0}0) \\
 &\vdash (q_4, 1\underline{B}00\underline{0}) \\
 &\vdash (q_4, 1\underline{B}000\underline{B}) \\
 &\vdash (q_7, 1\underline{B}000\underline{0}) \\
 &\vdash (q_7, 1\underline{B}00\underline{0}0) \\
 &\vdash (q_7, 1\underline{B}0\underline{0}00) \\
 &\vdash (q_7, 1\underline{B}0000) \\
 &\vdash (q_2, \underline{1}B0000) \\
 &\vdash (q_5, \underline{B}\underline{B}0000) \\
 &\vdash (q_6, \underline{B}1\underline{0}000) \\
 &\vdash (q_6, \underline{B}10\underline{0}00) \\
 &\vdash (q_6, \underline{B}100\underline{0}0) \\
 &\vdash (q_6, \underline{B}1000\underline{0}) \\
 &\vdash (q_6, \underline{B}10000\underline{B}) \\
 &\vdash (q_7, \underline{B}1000\underline{0}1) \\
 &\vdash (q_7, \underline{B}100\underline{0}01) \\
 &\vdash (q_7, \underline{B}10\underline{0}001) \\
 &\vdash (q_7, \underline{B}100001) \\
 &\vdash (q_7, \underline{B}100001) \\
 &\vdash (q_7, \underline{B}100001) \\
 &\vdash (q_2, \underline{B}\underline{B}100001) \\
 &\vdash (q_8, \underline{B}100001)
 \end{aligned}$$

[2]

(d) Briefly describe the algorithm which M performs, given any input word $w \in \Sigma^*$.

The Turing Machine M takes an input word $w \in \Sigma^*$ and returns $x \in \Sigma^*$ such that $x = ww^R$. In plain english the Turing Machine takes an input word and returns the concatenation of the input word followed by it's reversal.

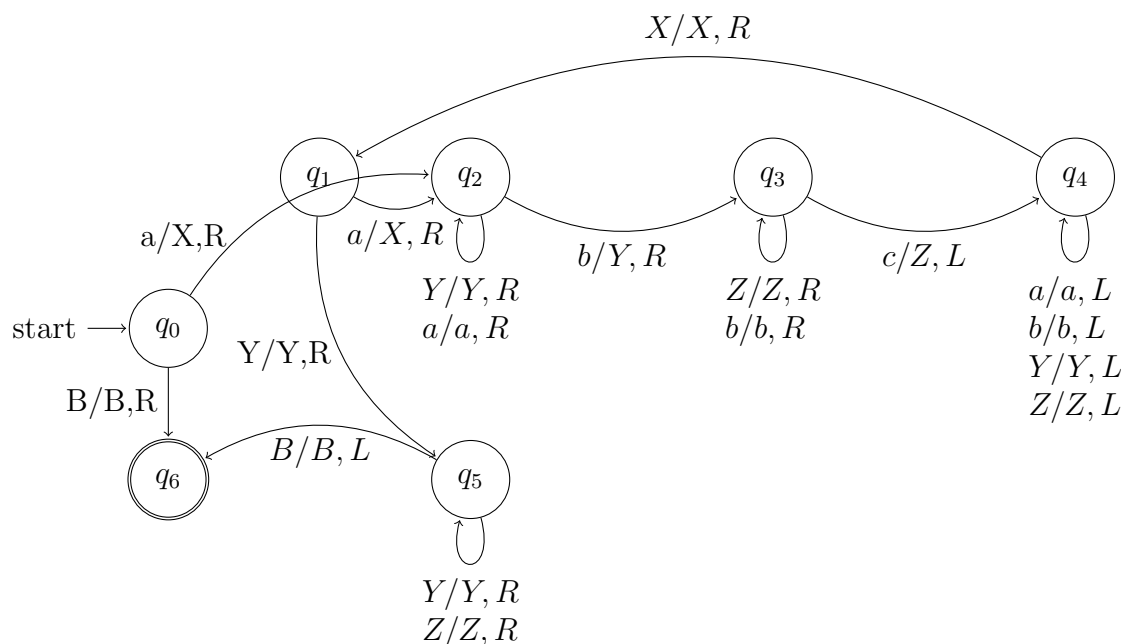
[8]

4. A language which is recursive but not context-free

Let $\Sigma = \{a, b, c\}$. Recall from the lectures that this language is **not** context-free:

$$L = \{a^i b^i c^i \mid i \geq 0\}.$$

Give an **algorithm** for a Turing machine that **decides** membership in the language L . **You do not need to give a detailed diagram for the Turing machine**, provided you describe your algorithm clearly enough. Argue informally why your algorithm is correct.



- Add the symbols X, Y, Z, a, b, B to the stack alphabet
- The input word is loaded on the tape and the head is positioned at the first symbol.
- If the tape is blank, accept
- If the tape is not blank the TM will loop through the following steps:
 - Read an a replacing it with an X and move the tape head to the right.
 - Keep moving right skipping any a or Y symbols until a b is found.
 - Read the b and replace it with a Y .
 - Move right for any b or Z symbols until a c is read.
 - When a c is read replace it with a Z and move the tape head left.
 - Keep moving the tape head left for any symbol (except blank) until an X is found. This X is in the position of the last a that was replaced in this loop.
 - While the character after this X is a continue looping
- The above loop will replace an a with an X , a b with a Y and a c with a Z at each iteration.
- If there are an unbalanced number of a 's b 's and c 's the machine will crash as there will be no path to take.
- Only when the machine sees a Y following an X does it move right through any Y 's and Z 's on the tape until a blank is reached.

- If any character is seen before the blank the machine will crash as the number of a 's b 's and c 's are unbalanced.
- When the expected blank is read the machine immediately accepts.

5. Every context-free language is recursive

Let Σ be a non-empty finite alphabet. Let G be an arbitrary context-free grammar over Σ , and let $L = L(G)$. Give an algorithm for a Turing machine which decides membership in the language L . Argue informally why your algorithm is correct.

[6]