



Carnegie Mellon University  
Language  
Technologies  
Institute

# 11-324/11-624/11-724 Human Language for AI

## Phonemes and Underlying Representations

---

David R. Mortensen

September 19, 2022

Language Technologies Institute  
Carnegie Mellon University

# Learning Objectives

At the end of this lecture students will know:

- How phonetic representations and different from phonological representations
- How phonetic and phonological representations relate to one another
- That phonological representations are a kind of normalization of other representations

- How phonemic representations are different from underlying representations

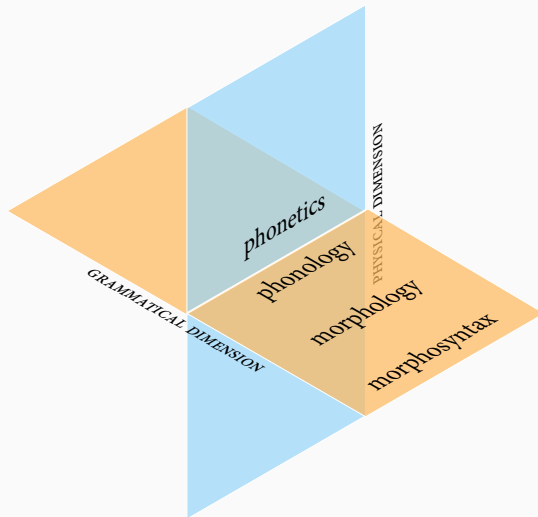
Students will be able to:

- Perform a phonemic analysis of data from an unfamiliar language
- Posit correct underlying representations for morphemes
- Be able to identify the correct ordering of two rules, given data

# Phonetics and Phonology

---

# Phonetics and phonology



# Phonology as Normalization

---

orthographic	phonetic
im-possible	[ɪm-pʰəsəbəl]
in-tolerable	[ɪn-tʰələɹəbəl]
in-conceivable	[ɪŋ-kʰənsivəbəl]
il-legal	[ɪl-ligəl]
ir-regular	[ɪr-ɹɛɡjələ]

## Allophones of English Plosives

aspirated	unreleased	unaspirated
<u>p</u> in	ni <u>p</u>	sp <u>i</u> n
<u>t</u> ick	ki <u>t</u>	st <u>i</u> ck
<u>k</u> in	ni <u>ck</u>	sk <u>i</u> n

# Allophony in Korean

kal	‘that’ll go’	ilkop	‘seven’	irwŋi	‘name’
kwnwŋl	‘shade’	ipalsa	‘barber’	kiri	‘road’
mul	‘water’	onwŋlp:am	‘tonight’	kwŋrŋm	‘then’
pal	‘leg’	pulpʰjŋn	‘discomfort’	kŋriro	‘to the street’
pʰal	‘arm’	silkwa	‘fruit’	saram	‘person’
sŋul	‘Seoul’	twŋlfjŋŋ	‘window’	uri	‘we’
tatwŋl	‘all of them’	ŋlmana	‘how much’	yŋrŋwŋm	‘summer’



Phonemes are contrasting units of sound.

# Korean T-Charts

l	
a	#
ʊ	#
u	#
a	#
a	#
u	#
ʊ	#
i	k
a	s
ʊ	p:
u	p <sup>h</sup>
i	k
ʊ	t͡ʃ
ə	m

r	
i	ʊ
ʊ	ə
ə	i
a	a
u	i
ə	ʊ

# Tusom Fricatives

Are [h] and [f] in contrast or are they allophones of one phoneme?

Form	Gloss
ʔametɣahitəp <sup>h</sup> ep <sup>h</sup> eʔe	banana is soft
ɟãmfɯ	fox
k <sup>h</sup> ãfɯ	steal (v.)
kəfɯ	put (v.)
hakəɬsɯ	sneeze
ʔɯkfukəɬɕ <sup>h</sup> y	wash hands (v.)
mápfyɬsɯ	tear (n.)
kfɯ	village/state
mehe	leech
məkuhẽ	twenty
kfɯnɬsɯ	fingernail

# Ordering

We will view allophonic rules as being INTRINSICALLY ORDERED. This means that the order in which the rules apply is governed by how specific the environment in the rule is (from most specific to most general). There is always an “elsewhere” rule that gives the realization of the phoneme if none of the more specific rules applies.

- Korean
  1. /l/ → [ɾ] / V\_V (between vowels)
  2. /l/ → [l] / elsewhere
- American English
  1. /t/ → [tʰ] / at the beginning of stressed syllables
  2. /t/ → [t̚] / \_# (word-finally)
  3. /t/ → [t] / elsewhere

## Different Forms of the Same Morpheme

Singular	Phonemic	Plural	Phonemic
dog	/dag/	dogs	/dag-z/
cat	/kæt/	cats	/kæt-s/
horse	/hɔ:ɹs/	horses	/hɔ:ɹs-əz/

## Different Forms of the Same Morpheme

Infinitive	Phonemic	3SG	Phonemic
take	/tejk/	takes	/tejk-s/
give	/gɪv/	gives	/gɪv-z/
watch	/wɑtʃ/	watches	/wɑtʃ-əz/

Active	Passive	Gerund	Gloss
hopu	hopukia	hopukana	'catch'
aru	arumia	arumana	'follow'
tohu	tohungia	tohungana	'point out'
maatu	maaturia	maaturana	'know'

ACTIVE — I *catch* the fish.

PASSIVE — The fish *is caught*.

GERUND — Her *catching* the fish was good.

Active	Passive	Gerund	Gloss
hopu	hopuk-ia	hopuk-anga	'catch'
aru	arum-ia	arum-anga	'follow'
tohu	tohuŋ-ia	tohuŋ-anga	'point out'
maatu	maatur-ia	maatur-anga	'know'



# Phonology as a Computational System

---

UR of Root	Active	Passive	Gerund	Gloss
/hopuk/	hopu	hopuk-ia	hopuk-anga	'to catch'
/arum/	aru	arum-ia	arum-anga	'to follow'
/tohung/	tohu	tohung-ia	tohung-anga	'to point out'
/maatur/	maatu	maatur-ia	maatur-anga	'to know'

# Phonemic Representations Versus Underlying Representations

Phonemic representations and underlying representations both represent normalizations over the sounds of speech. They are both enclosed in slashes. However, they are not the same.

Phonemic Representations	Underlying Representations
Phonemes	Underlying segments
Normalization over <b>phones</b>	Normalization over <b>allomorphs</b>
Each <b>phoneme</b> always has the same representation	Each <b>morpheme</b> always has the same representation

$$\left\{ \begin{array}{c} p \\ t \\ k \\ m \\ n \\ \eta \\ r \\ \dots \end{array} \right\} \rightarrow 0 \ / \ _ \ #$$

$$z \rightarrow s / \left\{ \begin{array}{c} p \\ t \\ k \\ f \\ \theta \\ s \\ \int \\ \text{tj} \end{array} \right\} \_ \#$$

$$0 \rightarrow \text{ə} / \left\{ \begin{array}{c} \text{s} \\ \text{z} \\ \text{ʃ} \\ \text{ʒ} \\ \text{tʃ} \\ \text{dʒ} \end{array} \right\} - \left\{ \begin{array}{c} \text{s} \\ \text{z} \\ \text{ʃ} \\ \text{ʒ} \\ \text{tʃ} \\ \text{dʒ} \end{array} \right\}$$

# How Are They Ordered?

- Voicing assimilation

$$z \rightarrow s / \left\{ \begin{array}{c} p \\ t \\ k \\ f \\ \theta \\ s \\ \int \\ \text{tj} \end{array} \right\} - \#$$

- Epenthesis

$$0 \rightarrow \text{ə} / \left\{ \begin{array}{c} s \\ z \\ \int \\ 3 \\ \text{tj} \\ \text{d}3 \end{array} \right\} - \left\{ \begin{array}{c} s \\ z \\ \int \\ 3 \\ \text{tj} \\ \text{d}3 \end{array} \right\}$$

# Rule Interactions

feeding **Rule A** creates an environment where **Rule B** can apply

bleeding **Rule A** destroys an environment where **Rule A** would otherwise apply

counter-feeding **Rule B** would feed **Rule A** if their relative orders were reversed

counter-bleeding **Rule B** would bleed **Rule A** if their relative orders were reversed



# Catalan Example I

MASC SG	FEM SG		MASC SG	FEM SG	
əkəlʲ	əkəlʲə	‘that’	mal	malə	‘bad’
sɪβil	sɪβilə	‘civil’	əskerp	əskerpə	‘shy’
ʃop	ʃopə	‘drenched’	sɛk	sɛkə	‘dry’
əspɛs	əspɛsə	‘thick’	ɡros	ɡrosə	‘large’
baf	bafə	‘short’	kof	kofə	‘lame’
tot	totə	‘all’	brut	brutə	‘dirty’
pɔk	pɔkə	‘little’	prəsis	prəsize	‘precise’
frənses	frənsezə	‘French’	ɡris	ɡrizə	‘grey’
kəzat	kəzaðə	‘married’	bwit	bwiðə	‘empty’
rɔʦʲ	rɔʦə	‘red’	boʦʲ	boʦə	‘crazy’
orp	orβə	‘blind’	lʲark	lʲaryə	‘long’
sɛk	seyə	‘blind’	fəʃuk	fəʃuyə	‘heavy’
ɡrok	ɡroyə	‘yellow’	puruk	puruyə	‘fearful’
kandit	kandiðə	‘candid’	frɛt	frɛðə	‘cold’

## Catalan Example II

MASC SG	FEM SG		MASC SG	FEM SG	
səyu	səyurə	‘sure’	du	durə	‘hard’
səyəðo	səyəðorə	‘reaper’	kla	klarə	‘clear’
nu	nuə	‘nude’	kru	kruə	‘raw’
flɔɲdʒu	flɔɲdʒə	‘soft’	dropu	dropə	‘lazy’
əgzaktə	əgzaktə	‘exact’	əlβi	əlβinə	‘albino’
sa	sanə	‘healthy’	pla	planə	‘level’
bo	bonə	‘good’	sərə	sərənə	‘calm’
suβlim	suβlimə	‘sublime’	al	altə	‘tall’
fɔr	fɔrtə	‘strong’	kur	kurtə	‘short’
sor	sorðə	‘deaf’	bər	bərðə	‘green’
san	santə	‘saint’	kələn	kələntə	‘hot’
prufun	prufundə	‘deep’	fəkun	fəkundə	‘fertile’
dəsen	dəsəntə	‘decent’	dulen	dulentə	‘bad’
əstuðian	əstuðiantə	‘student’	blaɲ	blaɲkə	‘white’