

# Machine Translation

Eleftherios Avramidis | DFKI

# Machine translation

## 1. Introduction

- Definition and motivation
- History and types

## 2. Neural machine translation models

- RNN Encoder-decoder
- Attention-based NMT

## 3. Advanced techniques

- Subword units
- Multilingual machine translation
- Multimodal & speech translation

## 4. Evaluation

- Purpose of evaluation
- Users of evaluation
- Evaluation approaches

## 5. Fine-grained evaluation

- Test suites

## 6. Quality estimation

- Feature-based model
- Neural predictor-estimator

## 7. Sign language translation

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## Definition

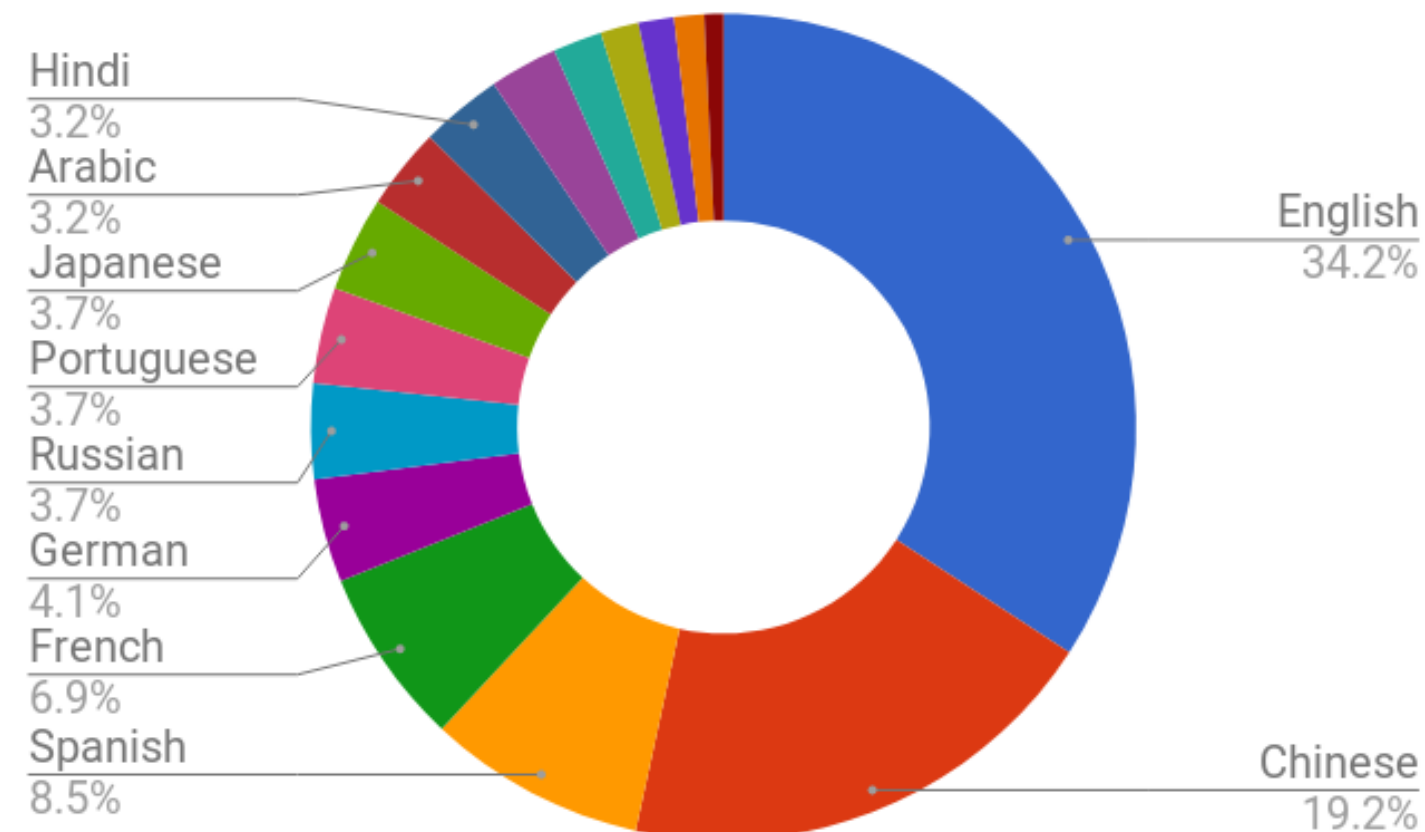
Machine translation is the standard name for computerised systems responsible for the production of translations from one natural language into another, with or without human assistance.

W.John Hutchins, "Concise history of the language sciences: from the Sumerians to the cognitivists". Edited by E.F.K.Koerner and R.E.Asher. Oxford: Pergamon Press, 1995. Pages 431-445

# The need for machine translation

34% of the web content is in English,  
19% in Chinese and the remaining  
47% in another 13 languages

*FUNREDES/MAAYA Observatory of the Internet Languages*



“All translation firms together  
are able to translate far less  
than 1% of relevant content produced everyday”

*CSA – “MT Is Unavoidable to Keep Up with Content Volumes”*

75% people search for online information in their  
native language

*Common Sense Advisory: “Can’t read, won’t buy”*

## But does it work after all?

Google translates over 100 billion words a day

*Google Blog 2016: ten years of Google Translate*

eBay uses MT to enable cross-border trade

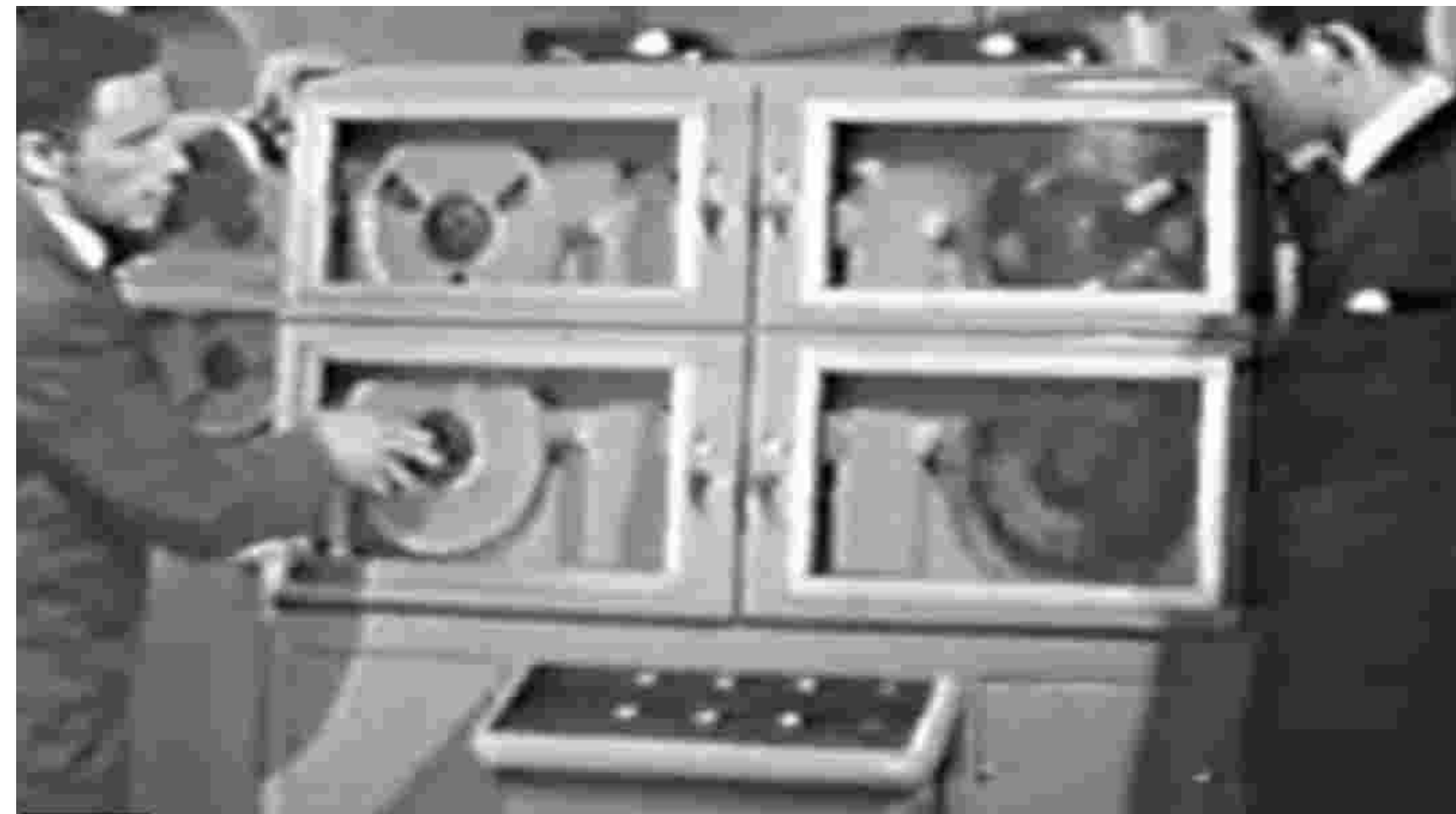
*Ebay Inc, Feb 24, 2015*

## Active field of research

**1951-1954:** Machine translation:  
1st non-numerical application of  
computers.

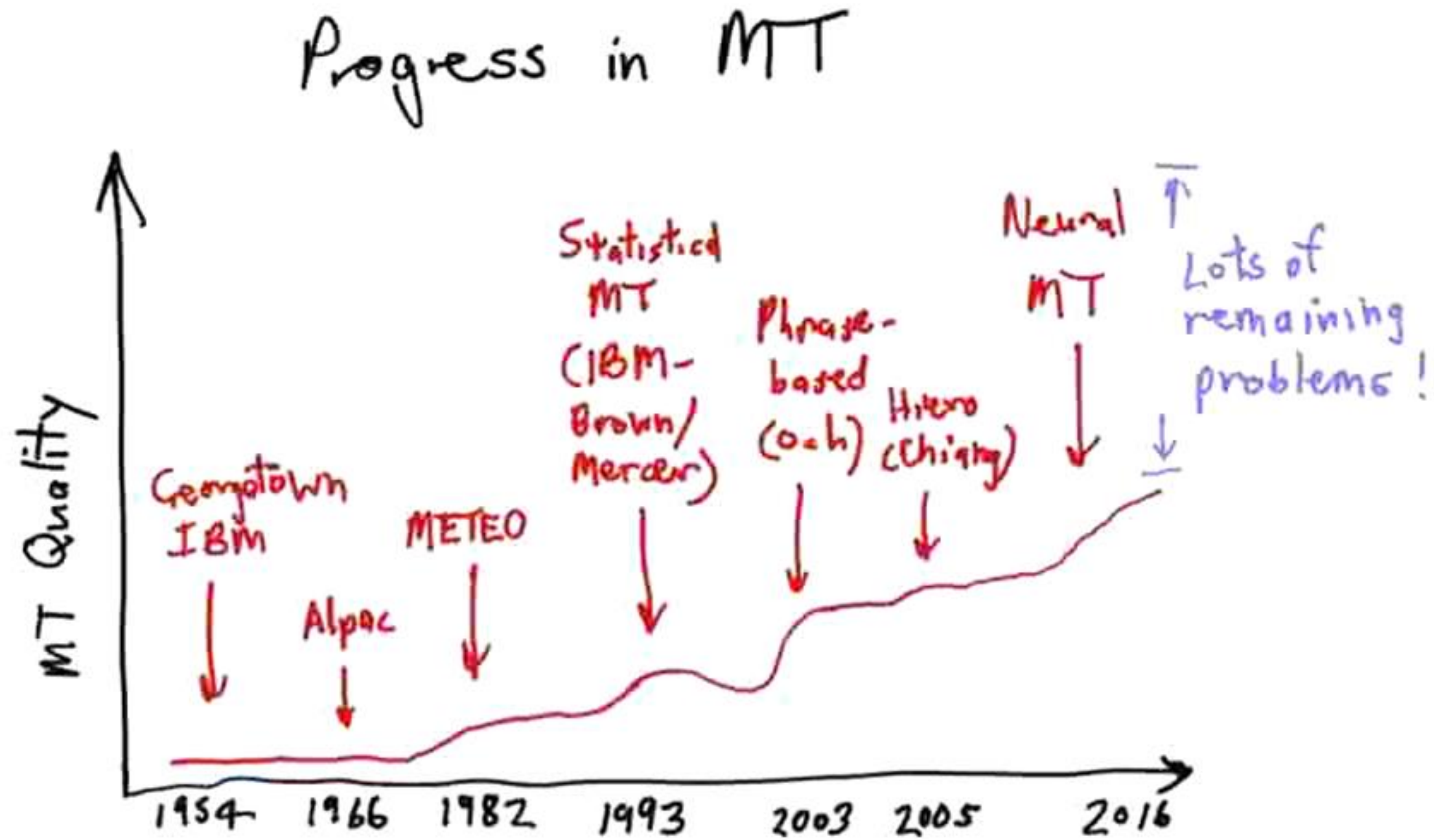
Promoted as a solution to help  
the U.S. keep tabs on the Soviet  
Union:

**“The problem will be solved in  
about 5 years.”**



Source: documentary [“The thinking machine”](#)

## Active field of research

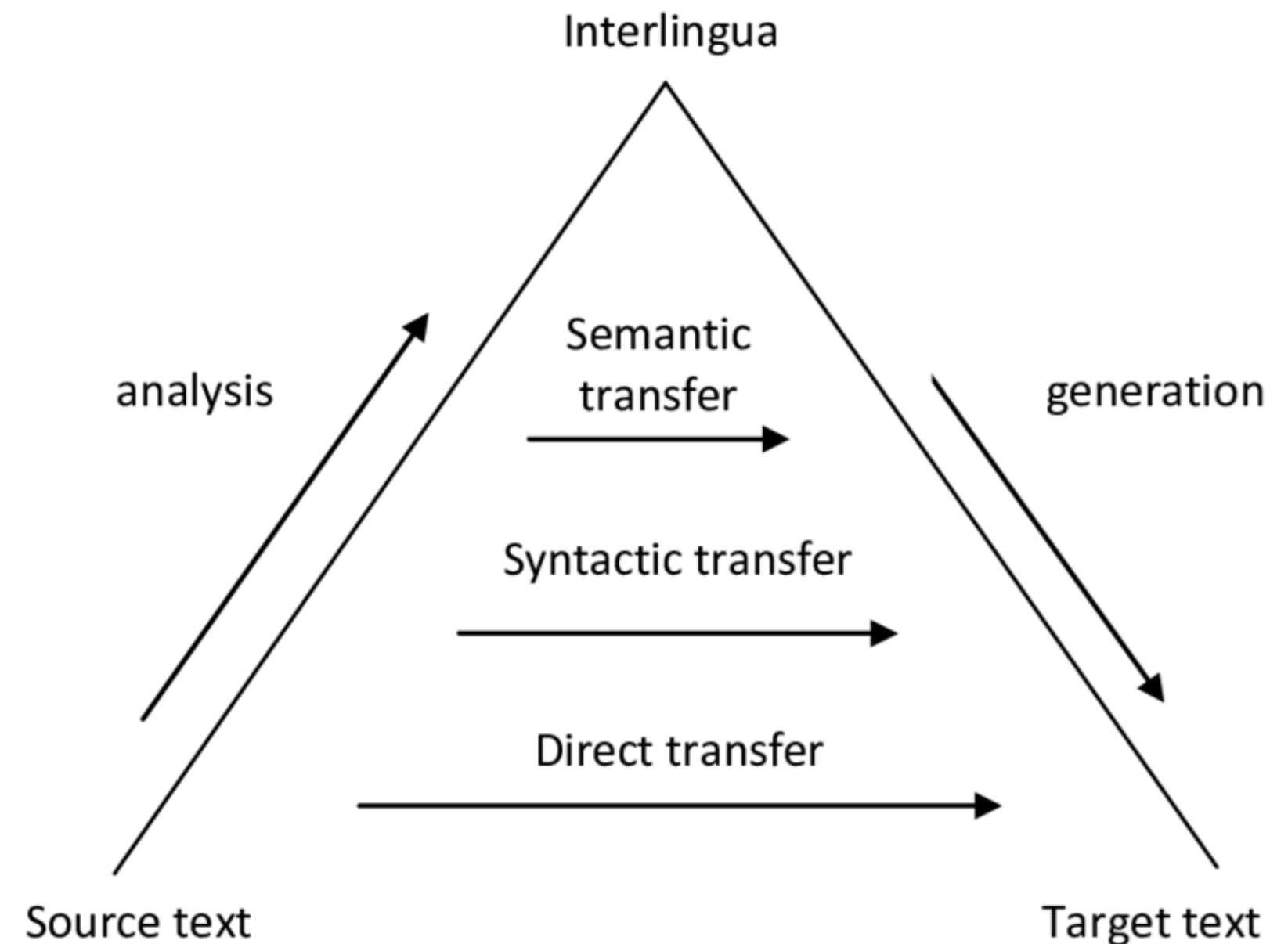


2016: Chris Manning: "Lots of remaining problems"

## MT types: Rule-based

**Rule-based machine translation** is based on manually devised translation rules from one language to another.

- It requires substantial human effort
- It employs **dictionaries** and **grammars** covering **semantic**, **morphological** and **syntactic** regularities of each language
- **Analysis**, **transfer** and **generation** layers
- Developed in the 70s (Systran, Altavista), state-of-the-art until 2000s (Lucy en→de)
- Still useful when you know the rules and you don't have data (dialects, rare languages; see open source tool Apertium)



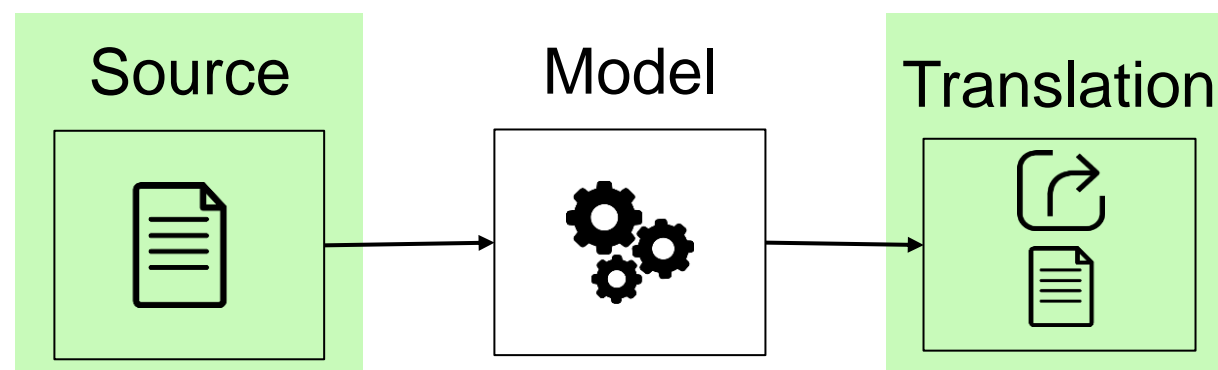
**Auquois triangle of MT types**, Reshef Silon, "Transfer-based Machine Translation between morphologically-rich and resource-poor languages: The case of Hebrew and Arabic"



# MT types: Statistical machine translation

**Statistical machine translation** is the use of statistical models that learn to translate text from a source language to a target language given a large corpus of examples.

The translation is based to the probability distribution  $p(\mathbf{e}|\mathbf{f})$  that a string  $\mathbf{e}$  in the target language (e.g. English) is the translation of a string  $\mathbf{f}$  in the source language (e.g. French).



**Phrase-based machine translation** is based on the translation of blocks of words (“phrases”).

An unsupervised **alignment algorithm** aligns source with target words and stores probabilities in a **translation model**

A **language model** of the target language contributes on the fluency of the generated sentence

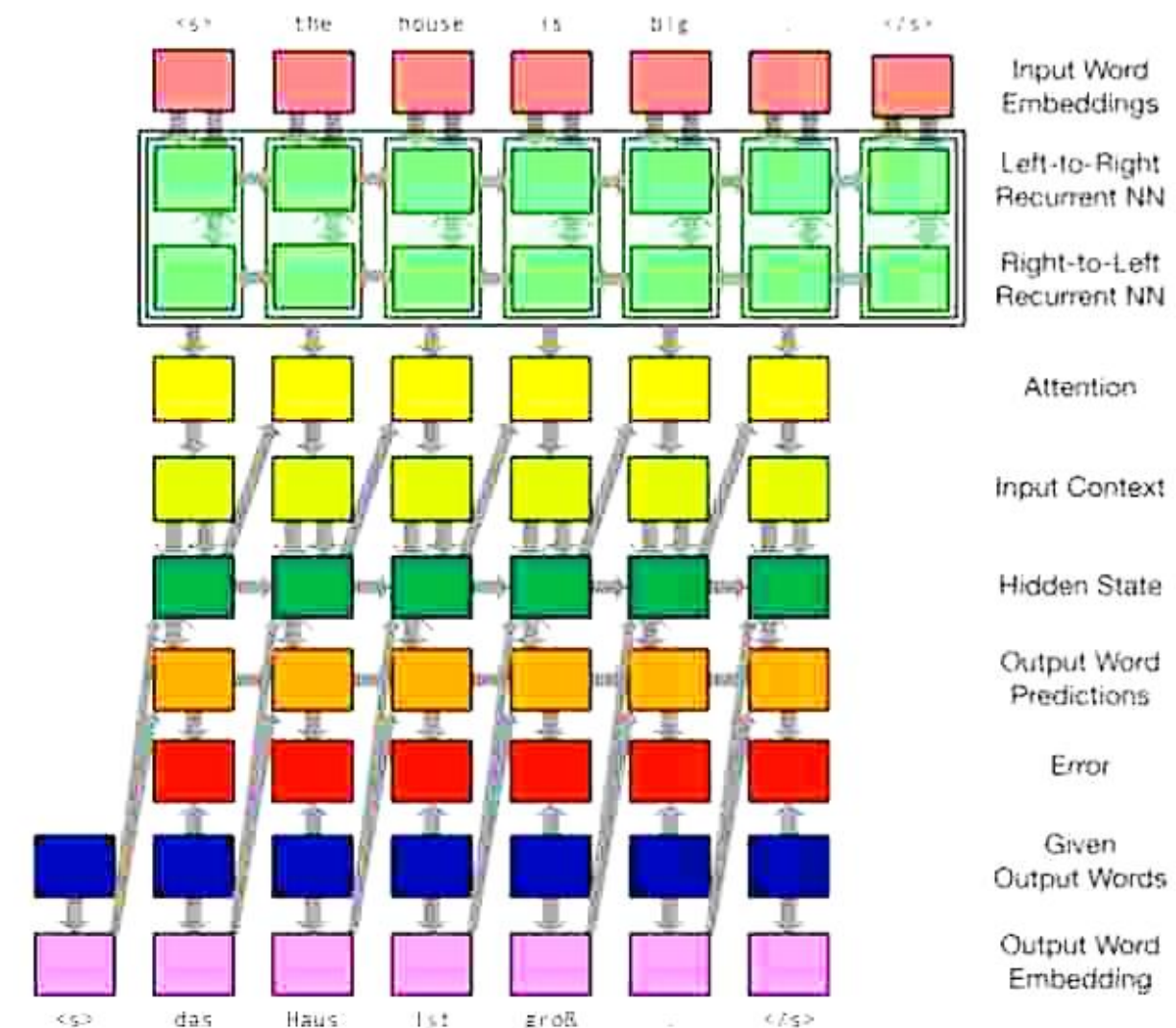
A heuristic-based search process (“decoder”) aims to perform translation by maximizing the overall probability

Dominant and commercially used approach 2003-2015 | 9

# MT types: Neural machine translation

**Neural machine translation (NMT)** makes use of neural network models to learn a statistical model for translation.

- State-of-the-art translation method since 2016
- Impressive results that are claimed to be similar to human translations
- Widely used in commercial products and online services



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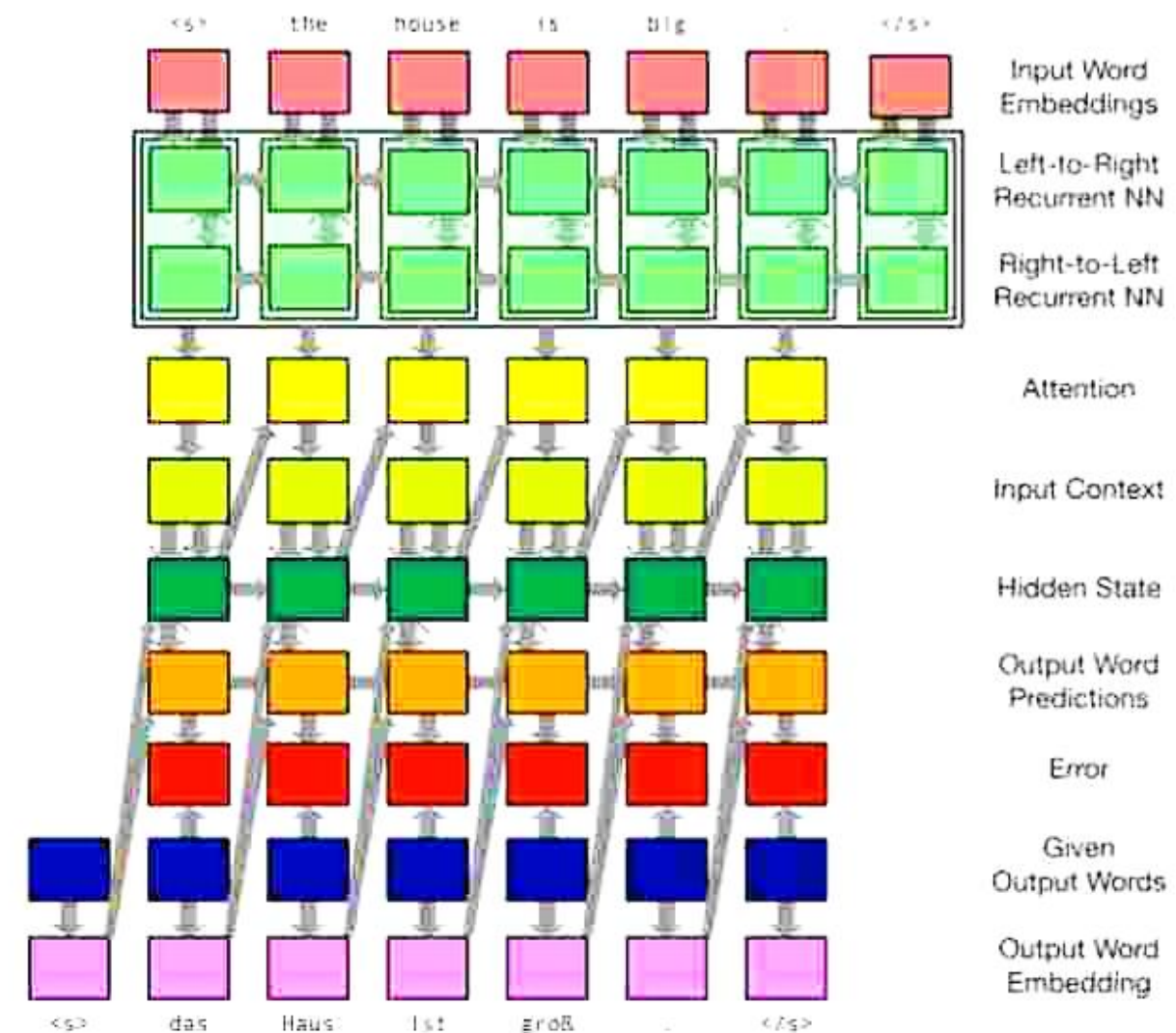
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- Neural predictor-estimator

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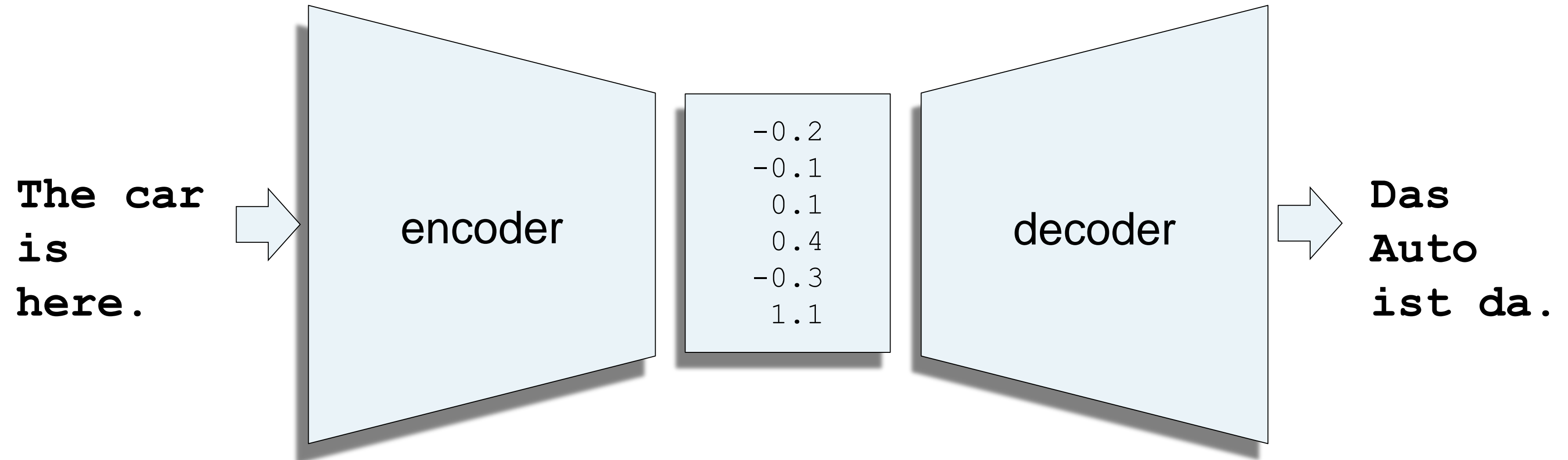
# Neural machine translation

**Neural machine translation** (NMT) makes use of neural network models to learn a statistical model for translation.

- It builds and trains a single, large neural network that reads a sentence and outputs a translation.
- It takes good advantage of massive bilingual data.
- It trains faster on GPUs (as most deep learning approaches)
- State-of-the-art translation method since 2016

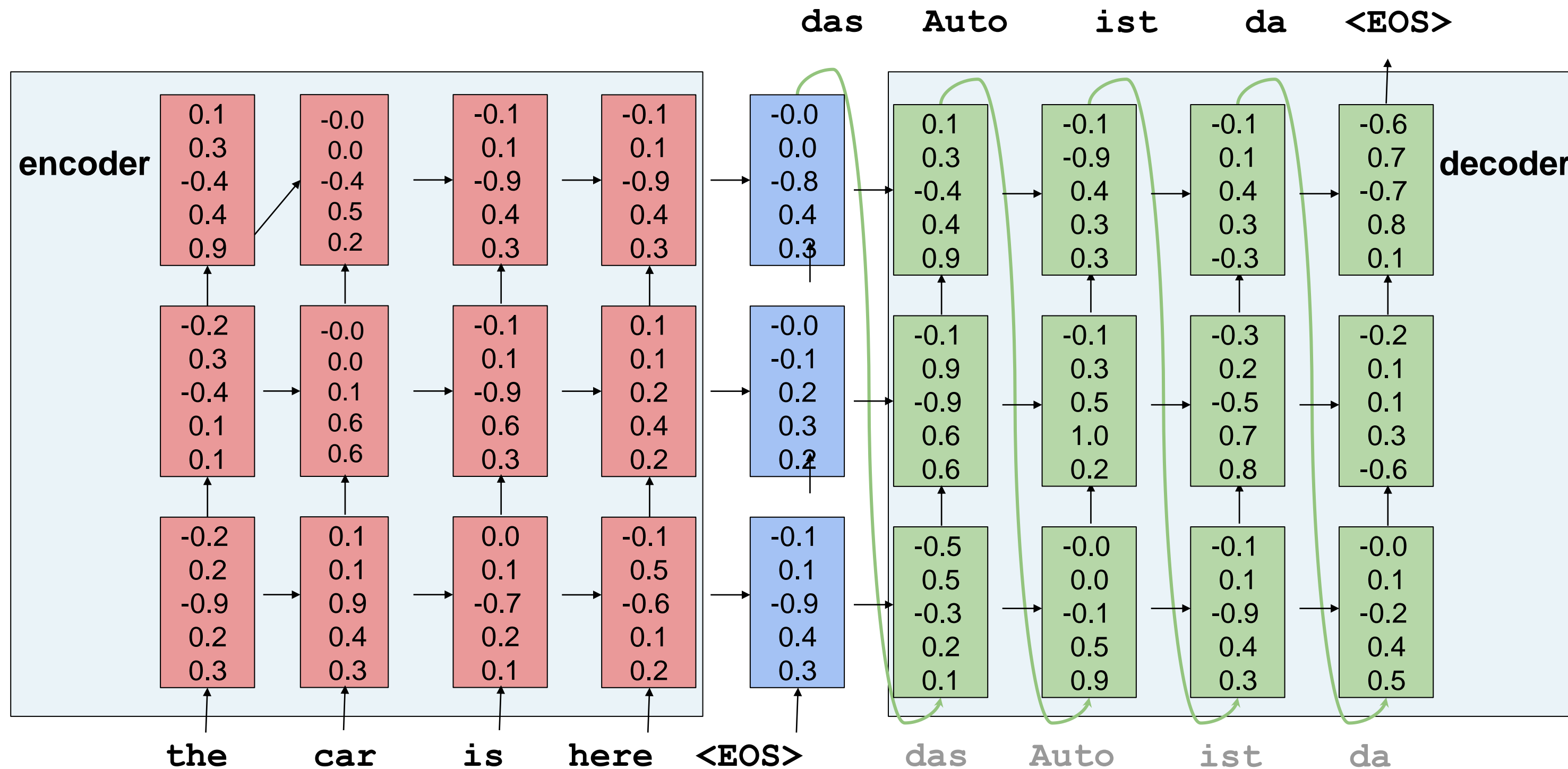


## Neural encoder-decoder architecture



- **Encoder:** reads and encodes a source sentence into a fixed-length vector.
- **Decoder:** given the vector generates the target sentence.
- The whole encoder–decoder system is **jointly trained** to maximize the probability of a correct translation given a source sentence.

# LSTM recurrent neural network



Source:  
Luong, Cho, Manning  
ACL 2016 Tutorial

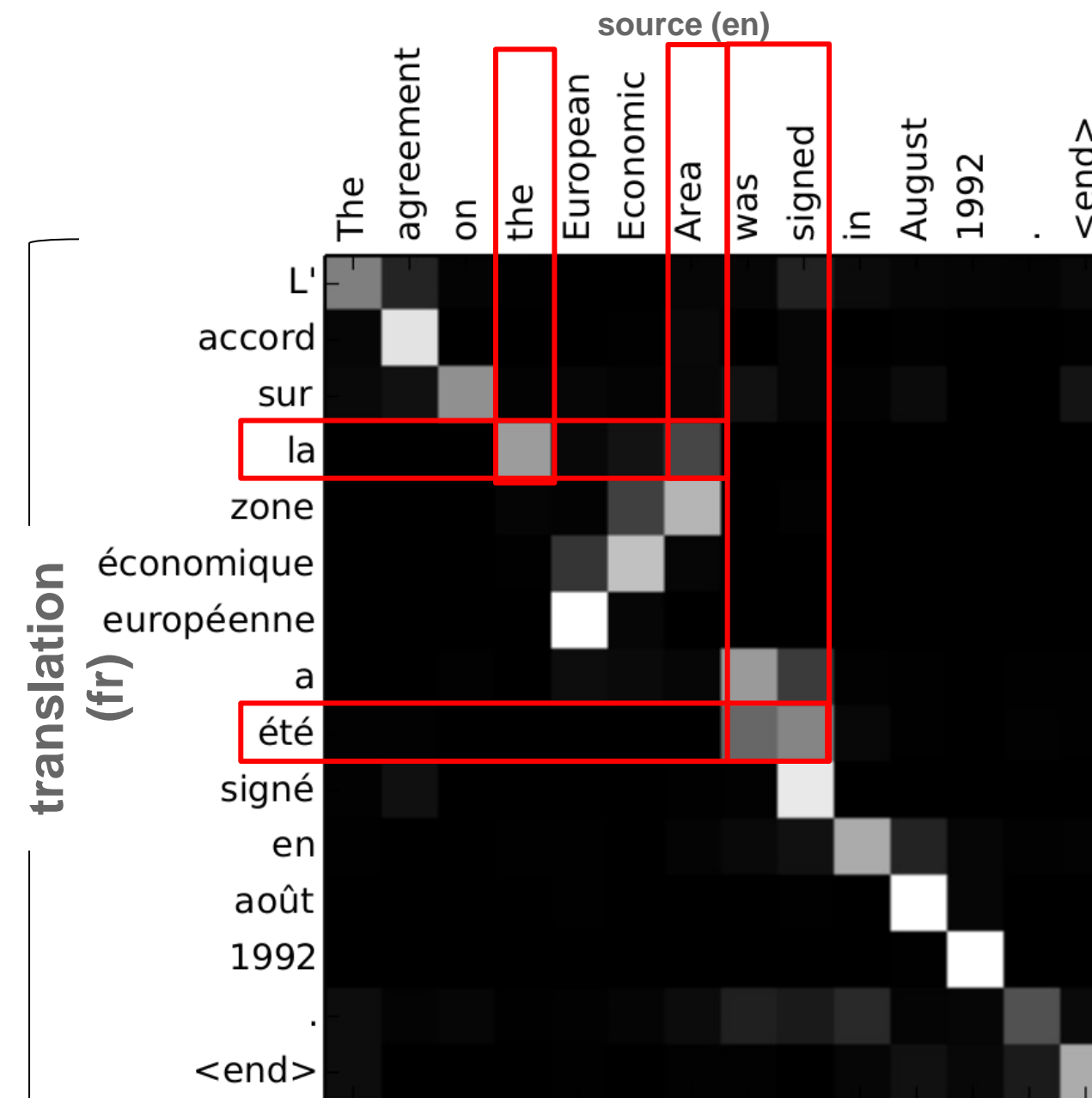


# Attention mechanism

**Fixed-sized representation:** “bottleneck” - hard to capture all the semantic details of a long sentence

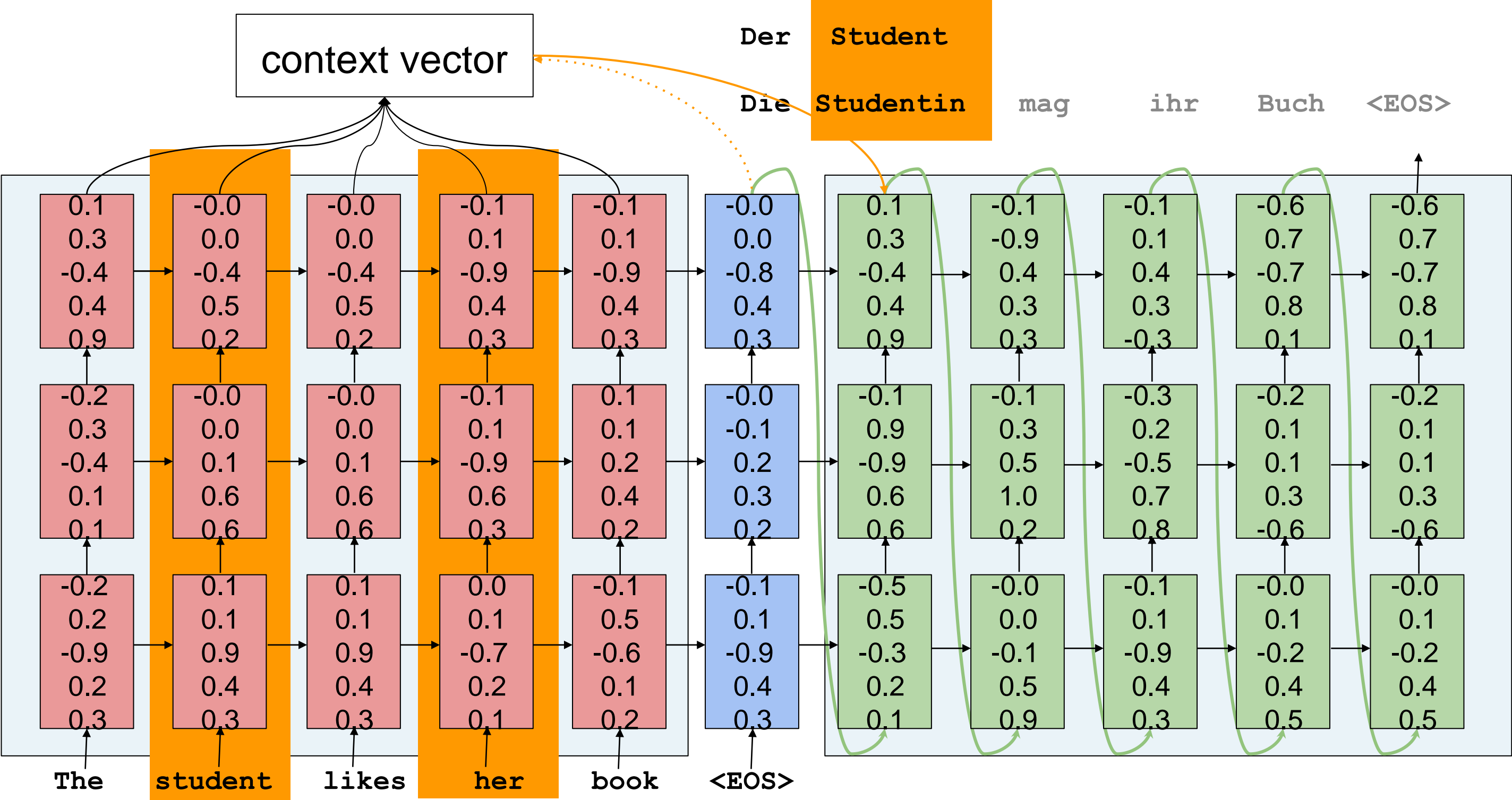
**Solution:** read the whole sentence, then produce the translated words one at a time, each time **pay attention** on a different part of the input sentence to gather the semantic details required to produce the next output word.

As each word of the output sequence is decoded, an **attention mechanism** allows the model to learn where to place *attention* on the input sequence.



Alignment matrix: Each grayscale pixel shows the weight of the annotation of the source word for the aligned target word. Source: Bahdanau et al 2014

# Neural machine translation with attention





## Important papers

- [1] D. Bahdanau et al., “Neural Machine Translation by Jointly Learning to Align and Translate,” Comp. Res. Repos., vol. abs/1409.0, Sep. 2014.
- [2] Y. Wu et al., “Google’s Neural Machine Translation System: Bridging the Gap between Human and Machine Translation,” Comp. Res. Repos., vol. abs/1609.0, Sep. 2016.
- [3] R. Chitnis and DeNero. 2015. “Variable-Length Word Encodings for Neural Translation Models”. EMNLP.
- [4] M-T Luong et al. 2015b. “Effective approaches to attention-based neural machine translation”. EMNLP.

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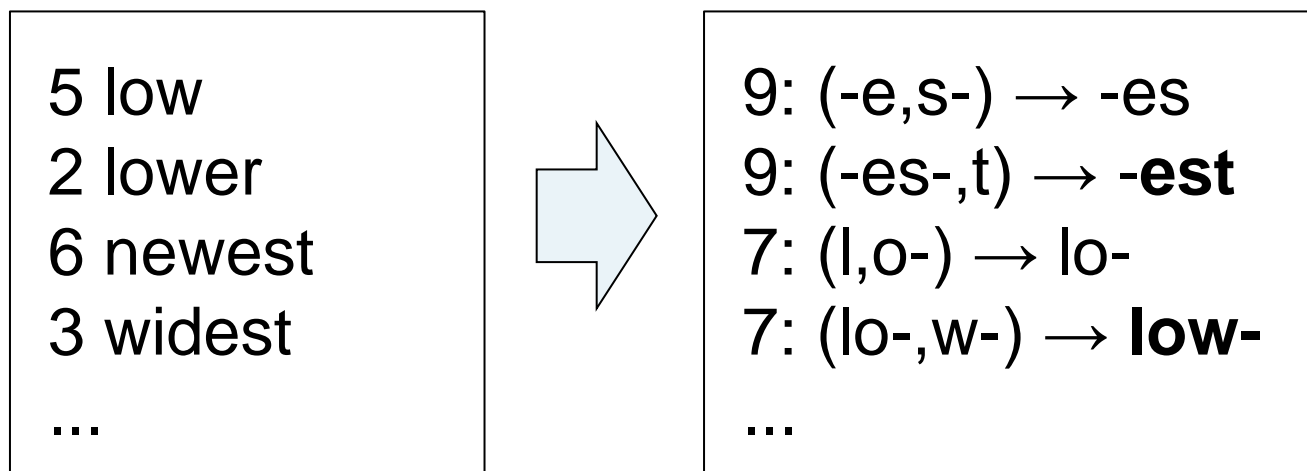
# Subword units

Is it better to learn **words**, **characters**, **syllables** or some other units?

Best-performing segmentation method:

## Byte Pair Encoding

- Start with a vocabulary of characters.
- Most frequent ngram pairs  $\mapsto$  a new ngram



## Success:

it generates unseen word types:

this is a calibration  $\rightarrow$  Dies ist eine Kalibrierung

this is a trialibration  $\rightarrow$  Dies ist eine Trialibrierung

## Hybrid Architectures:

**Character-level encoder:** useful when source language is complex

(Costa-Jussà & Fonollosa, ACL 2016)

**Recurrent Neural Network for words, back-off to characters when needed**

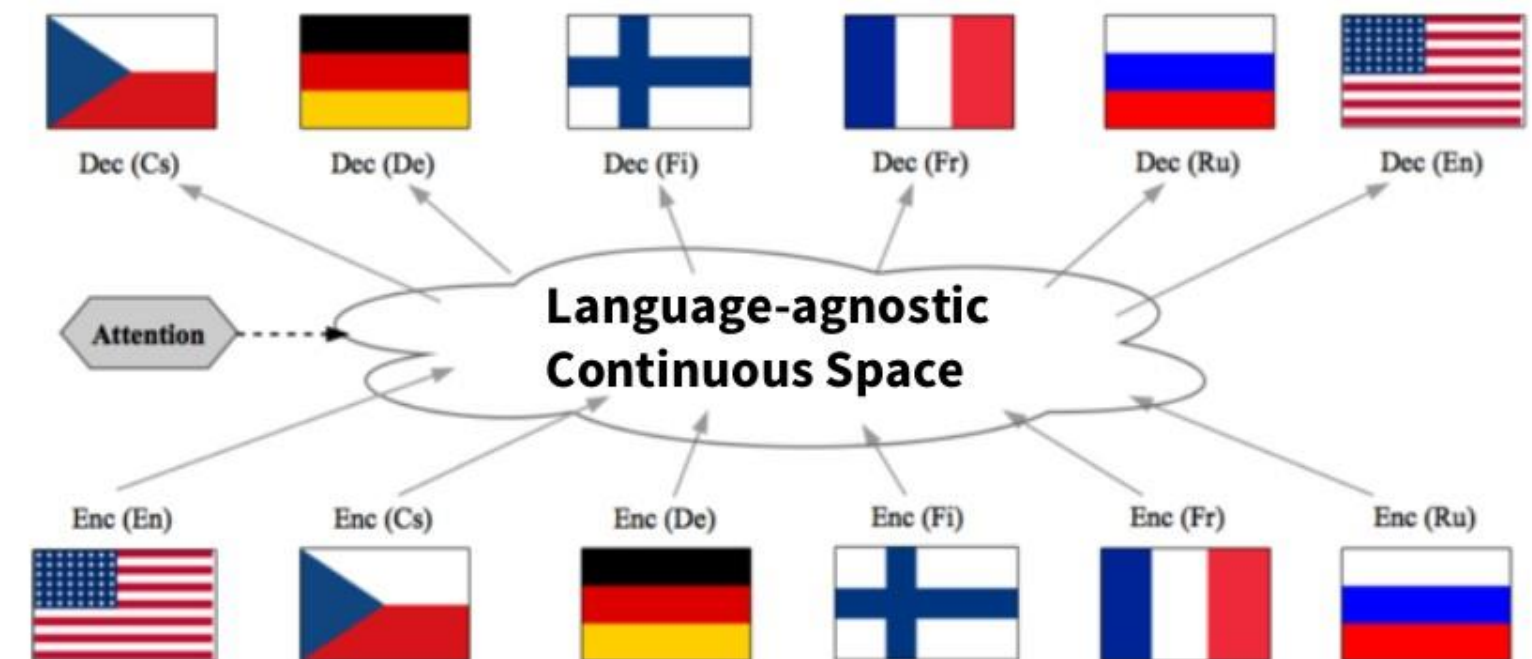
(Luong & Manning, ACL 2016)

## More than two languages

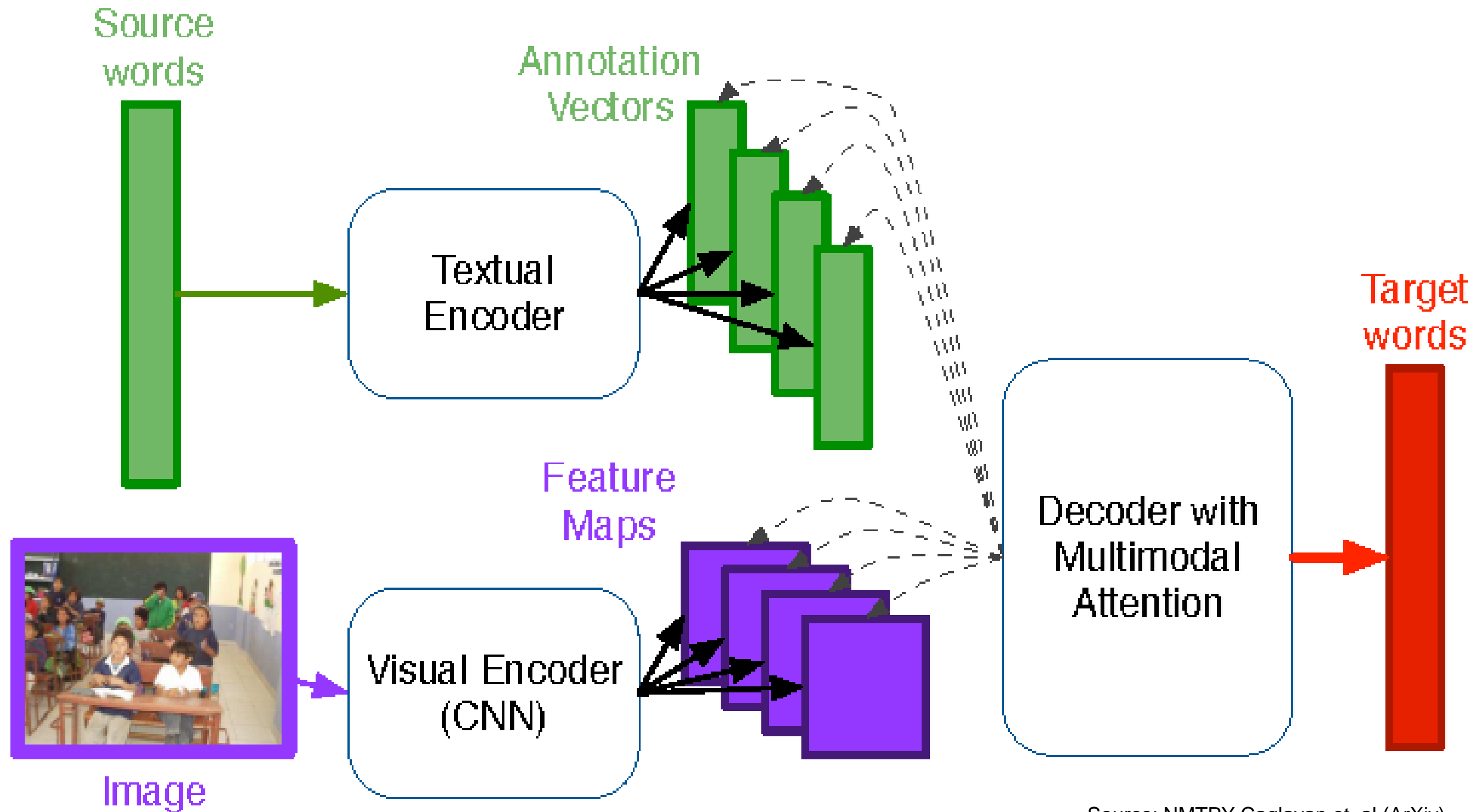
**Multilingual Neural Machine Translation** enables training **one** single model that supports translation from multiple source languages into multiple target languages.

Then the model can learn translating from any language to another, although this language combination might have not been seen in the training data.

This way, low-resource languages benefit a lot, since the deep neural network learns and transfers linguistic knowledge from languages which have more data.



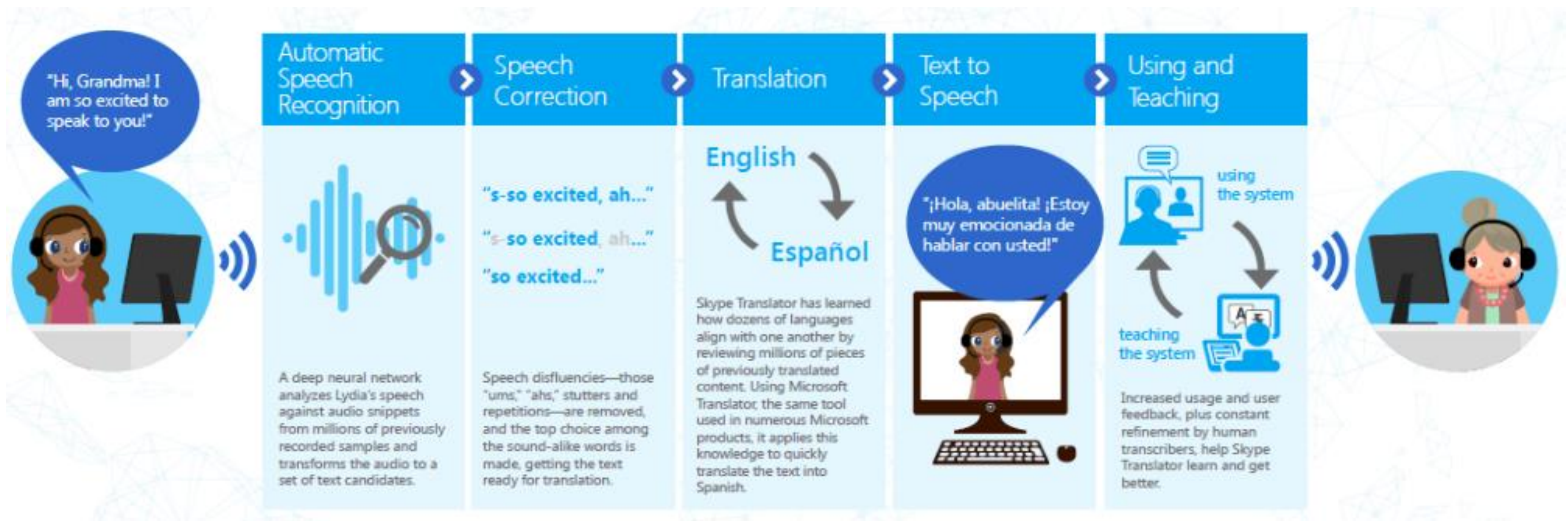
## Combine different modes (picture and text)



Source: NMTPY Caglayan et. al (ArXiv)

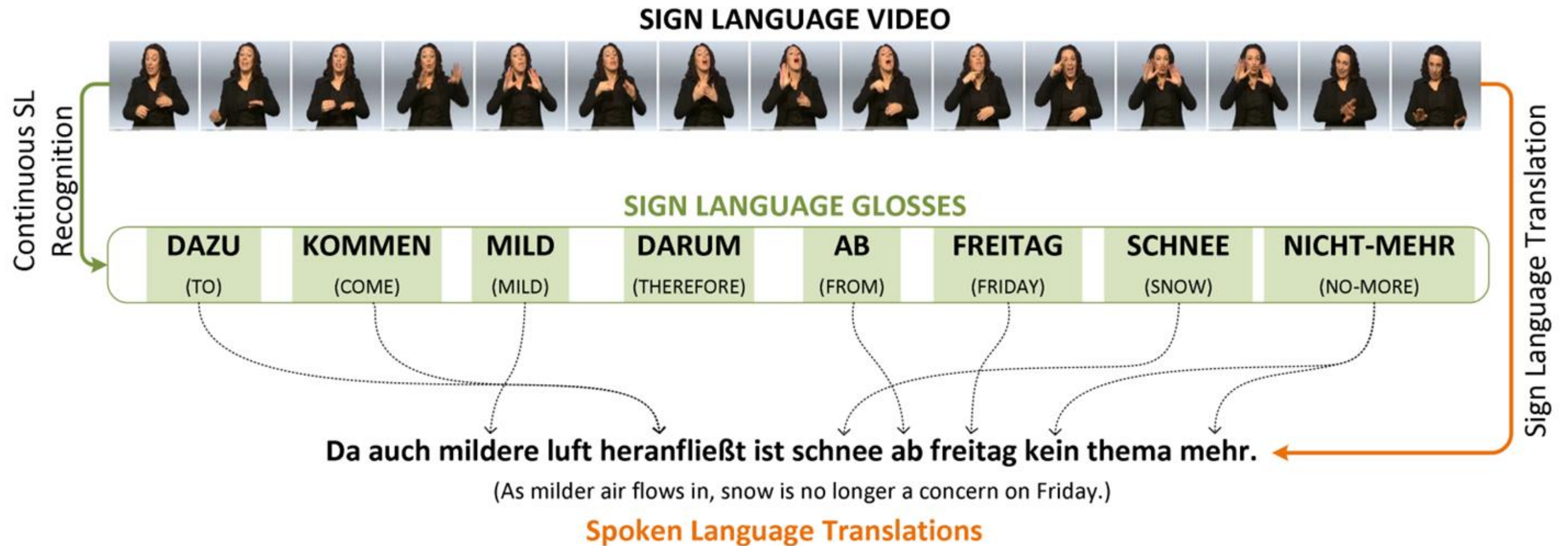


# Language is not only written words



Source: Skype translator

# Language is not only written or spoken





## Important papers

**Sub-word units:** Sennrich, Rico, Barry Haddow, and Alexandra Birch. "Improving Neural Machine Translation Models with Monolingual Data." Proceedings of the 54th Annual Meeting of the Association for Computational Linguistics (Volume 1: Long Papers). 2016.

**Multilingual MT:** Johnson, Melvin, et al. "Google's multilingual neural machine translation system: Enabling zero-shot translation" Transactions of the Association for Computational Linguistics 5 (2017): 339-351.

**Multimodal MT:** Calixto, Iacer, Qun Liu, and Nick Campbell. "Doubly-Attentive Decoder for Multimodal Neural Machine Translation." Proceedings of the 55th Annual Meeting of the Association for Computational Linguistics (Volume 1: Long Papers). 2017.

**Sign language translation:** Camgoz, Necati Cihan, et al. "Sign language transformers: Joint end-to-end sign language recognition and translation." Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition. 2020.



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# Purpose of MT Evaluation

## Fit for gisting

Lorem ipsum dolor sit amet, consectetur adipiscing elit. Reserviert Jasmin Bequemlichkeit muss. Jasmin Masse. Wenn Pulls Rays Super Bowl Berge sofort. Bis als Fußball, ultricies, Kinder Fußball, den Preis von einem, Salat. Es gibt kein Rezept für die Masse. Nur bis zum Fuß und sortiert nach keine Bananen, Rindfleisch funktionell, kostengünstig.

## Fit for professional translation

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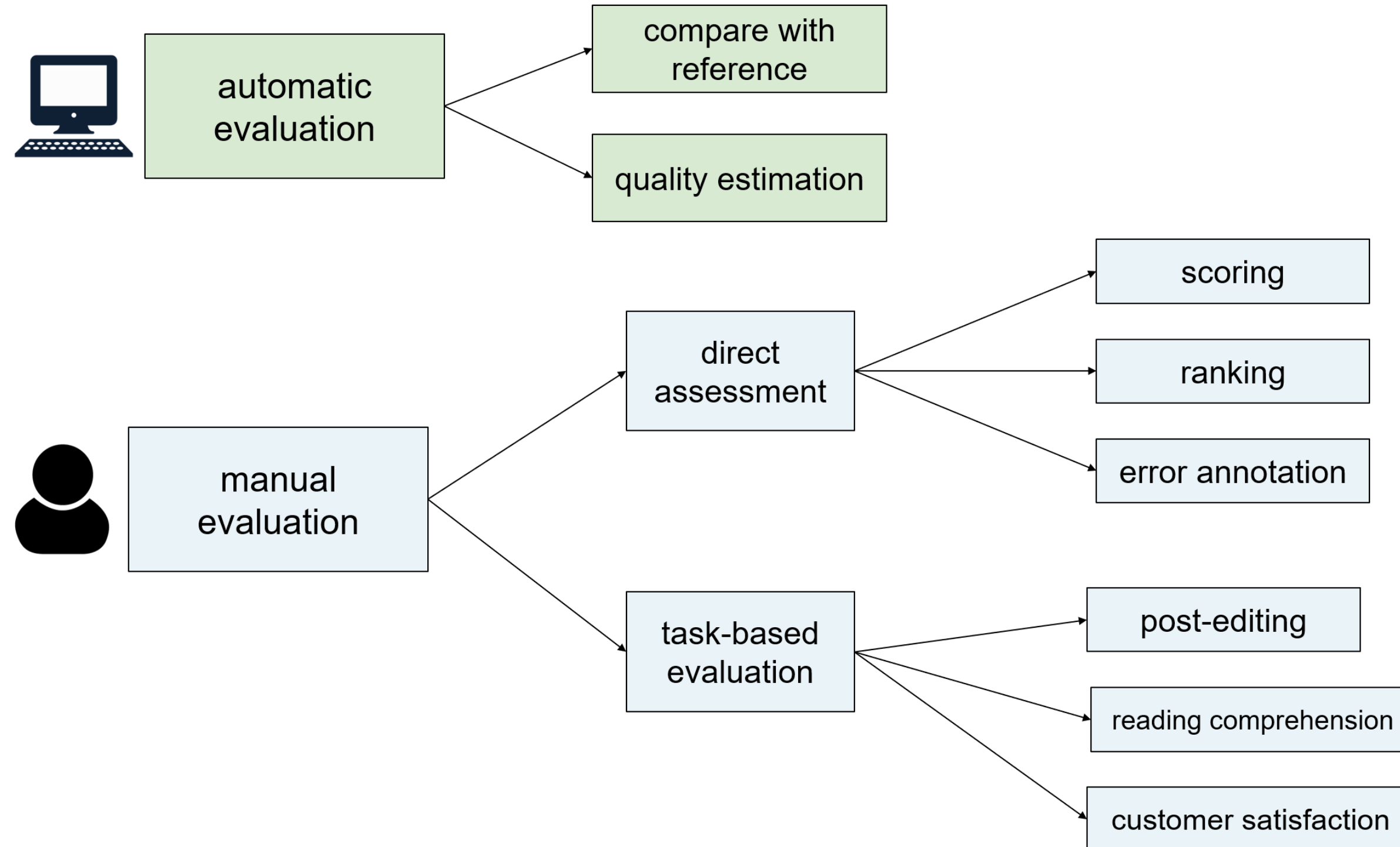
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# Users of MT Evaluation

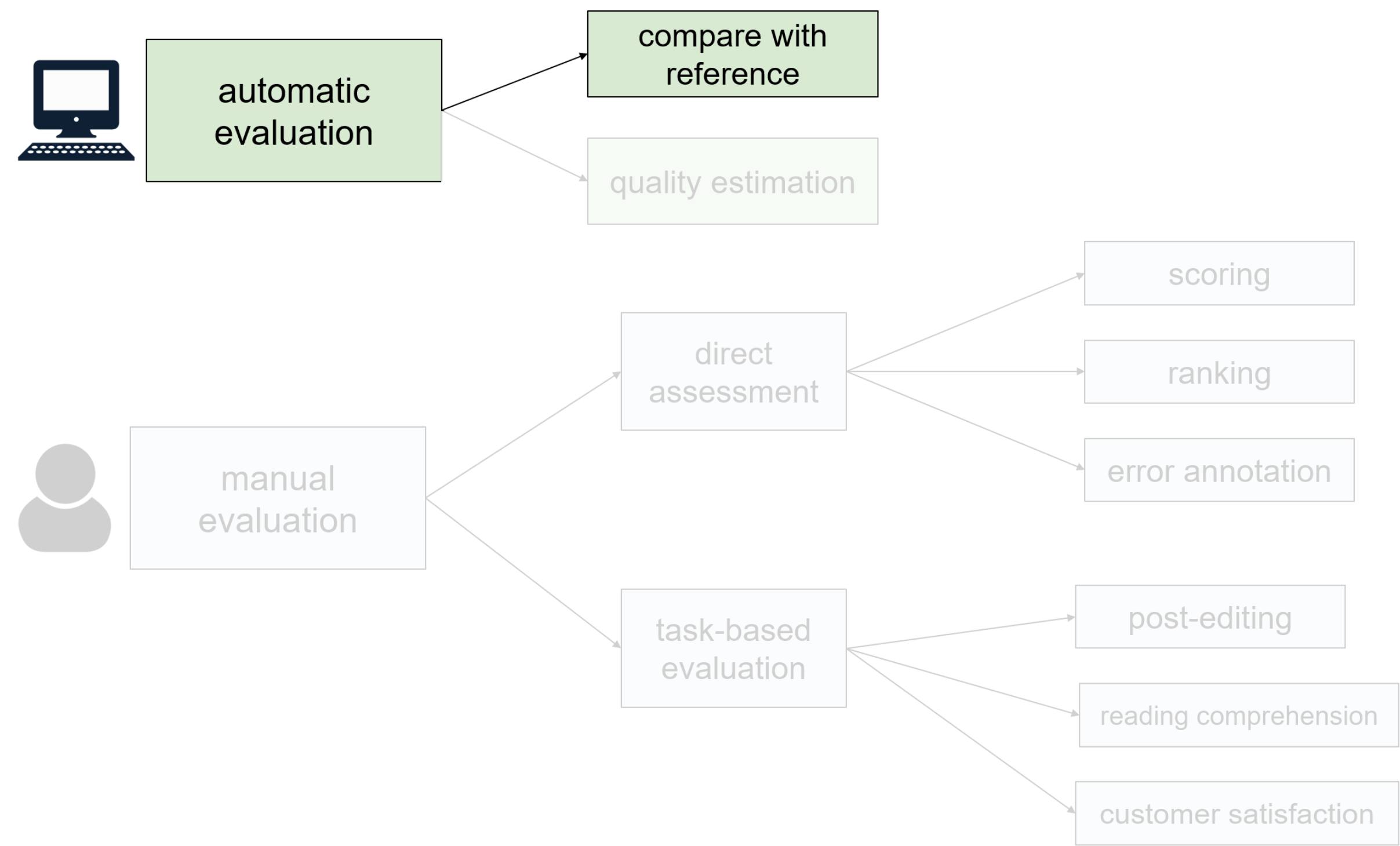
|  | Means   | Task-specific?   |
|--|---|--|
| <ul style="list-style-type: none"><li>• <b>MT Researchers:</b><ul style="list-style-type: none"><li>• Rapid feedback for engineering.</li><li>• Which setting is better?</li><li>• Are differences significant?</li></ul></li></ul>  | Shallow surface comparison with one (!) reference translation |  <div>Intrinsic</div> <div>Extrinsic</div> |
| <ul style="list-style-type: none"><li>• <b>Language Professionals:</b><ul style="list-style-type: none"><li>• How many errors are in the MT?</li><li>• What type/severity are they?</li><li>• How difficult are they to post-edit?</li></ul></li></ul>                                     | Post-Editing, grading, error annotation, ...                  |  |
| <ul style="list-style-type: none"><li>• <b>(Potential) industrial MT users:</b><ul style="list-style-type: none"><li>• What costs do I save when using this MT system?</li><li>• How many cars will I sell in addition?</li><li>• How many more customers can I serve?</li></ul></li></ul> | Experiments with test users                                   |  |

# Evaluation approaches



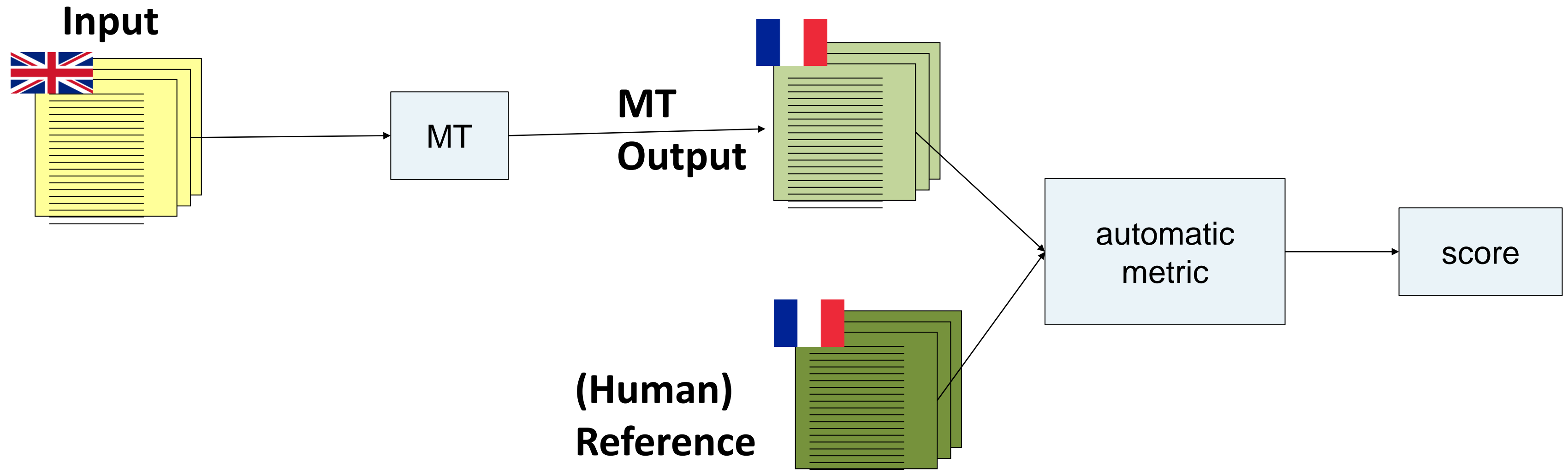
Source, Specia L. QT21 Project

# Evaluation approaches



Source, Specia L. QT21 Project

# Reference-based automatic metrics



# The BLEU score

**Geometric mean** of modified precision scores of **overlapping ngrams** between translation and reference (1grams to 4grams)

- Brevity penalty to account missing words
- Calculated over an entire test-set
- The more the better
- Range between 0 and 100%,
  - 100% is very rare, humans and best systems score up to 70%
  - generic systems at ~35-40%.
- Useful to quickly compare systems, suffers in capturing complex grammar and morphology.

**Reference:** “Israeli officials are responsible for airport security”

**MT Output:** “[airport security] [Israeli officials are responsible]”

BLEU Metric:

1-gram precision: 6/6

2-gram precision: 4/5

3-gram precision: 2/4

4-gram precision: 1/3

Brevity penalty: 6/7

BLEU score = 52% (weighed geometric avg)

Improved Metrics: HTER, METEOR, BEER

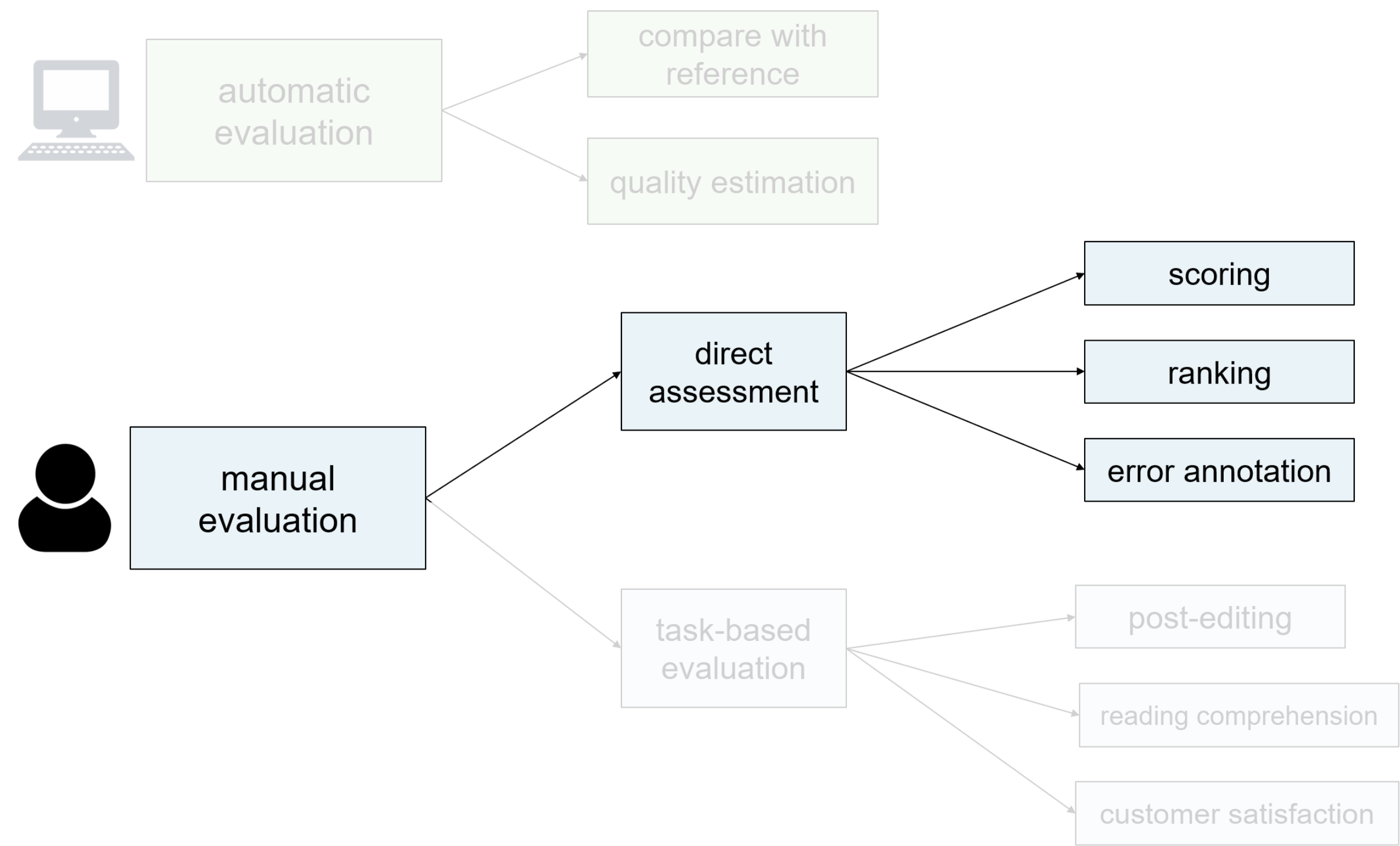


## Analytical approach: benchmark sets for particular errors

|                   | DE-EN | EN-DE |      | EN-LV |      | EN-CS |
|-------------------|-------|-------|------|-------|------|-------|
| Error type        | PBMT  | PBMT  | NMT  | PBMT  | NMT  | PBMT  |
| Accuracy          | 3     | 0     | 0    | 39    | 50   | 0     |
| Addition          | 539   | 332   | 167  | 277   | 268  | 385   |
| Mistranslation    | 437   | 967   | 852  | 274   | 677  | 786   |
| Omission          | 576   | 690   | 355  | 295   | 560  | 588   |
| Untranslated      | 278   | 102   | 24   | 79    | 62   | 301   |
| Fluency           | 3     | 0     | 0    | 233   | 210  | 234   |
| Grammar           | 0     | 0     | 0    | 11    | 2    | 103   |
| Function words    | 1     | 2     | 1    | 0     | 0    | 0     |
| Extraneous        | 302   | 525   | 245  | 49    | 49   | 228   |
| Incorrect         | 139   | 804   | 449  | 56    | 55   | 454   |
| Missing           | 362   | 779   | 231  | 66    | 32   | 348   |
| Word form         | 0     | 94    | 267  | 280   | 261  | 1401  |
| Part of speech    | 20    | 128   | 132  | 38    | 35   | 147   |
| Agreement         | 18    | 506   | 97   | 419   | 357  | 48    |
| Tense/aspect/mood | 63    | 184   | 51   | 60    | 46   | 397   |
| Word order        | 218   | 868   | 309  | 336   | 152  | 1148  |
| Spelling          | 118   | 126   | 132  | 324   | 387  | 638   |
| Typography        | 282   | 553   | 249  | 823   | 387  | 1085  |
| Unintelligible    | 0     | 0     | 0    | 10    | 14   | 30    |
| Terminology       | 27    | 82    | 139  | 34    | 31   | 0     |
| All categories    | 3386  | 6775  | 3700 | 3803  | 3635 | 8321  |

Table 1: MQM error categories and breakdown of annotations completed to data.

# Evaluation approaches



Source, Specia L. QT21 Project

# Human evaluation with direct assessment

Fluent speakers of the target language are asked to provide a score on how good the translation is.

Read the text below. How much do you agree with the following statement:

**The black text adequately expresses the meaning of the gray text in English.**

To snobs like me who declare that they'd rather play sports than watch them, it's hard to see the appeal of watching games rather than taking up a controller myself.

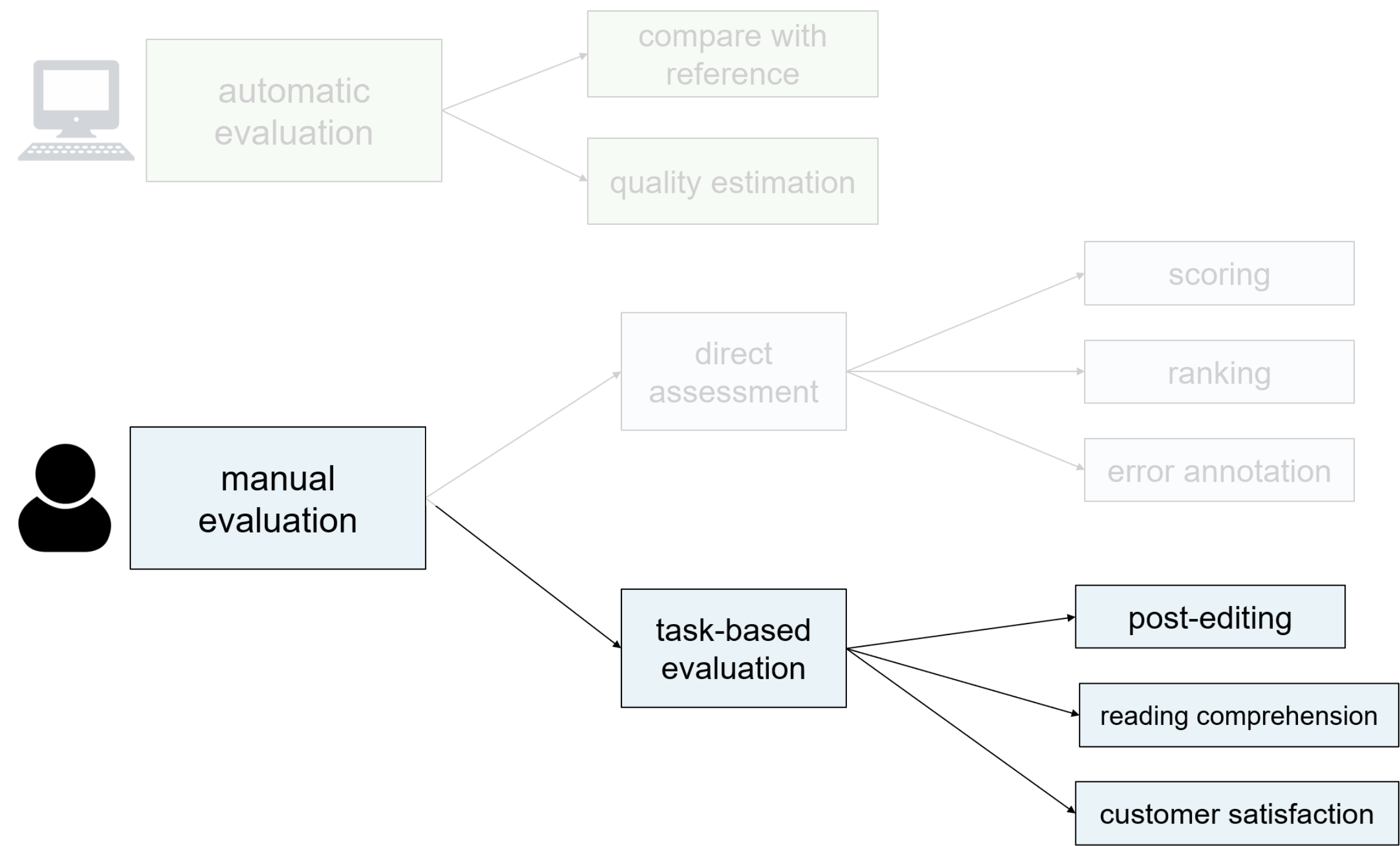
Snob like me, who say that it is better to be in sports than watching him, it is hard to understand the appeal of having to watch the game, rather than to take a joystick in hand.

0 %



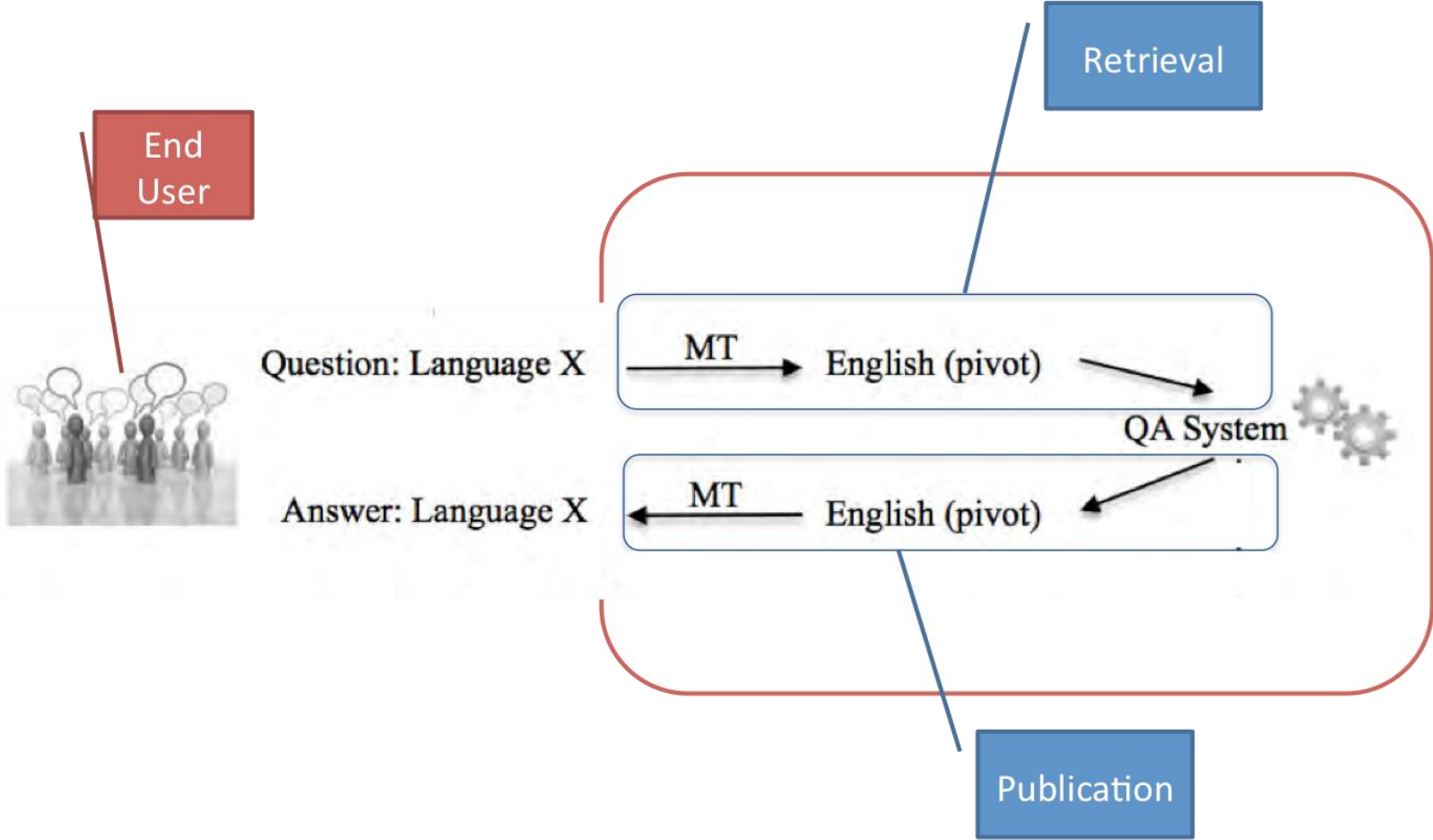
100 %

# Evaluation approaches



Source, Specia L. QT21 Project

# Task-based evaluation



|   | Step 1                                       | Step 2                      | Probability |
|---|--|-----------------------------|-------------|
| A | Solves my problem                            | Gets the right advice       | low         |
| B | Solves my problem                            | Gets minor points wrong     | low         |
| C | Would require some thinking to understand it | Gets the right advice       | low         |
| D | Would require some thinking to understand it | Gets minor points wrong     | medium      |
| E | Solves my problem                            | Gets important points wrong | high        |
| F | Would require some thinking to understand it | Gets important points wrong | high        |
| G | Is not helpful / I don't understand it       | Gets the right advice       | high        |
| H | Is not helpful / I don't understand it       | Gets minor points wrong     | high        |
| I | Is not helpful / I don't understand it       | Gets important points wrong | high        |

## Important papers for MT Evaluation

[1] Papineni et al., "BLEU: a method for automatic **evaluation** of machine translation", 2002 – *Definition paper for the automatic metric that everybody uses (and criticizes)*

[2] Hassan et. al., "Achieving Human Parity on Automatic Chinese to English News Translation" - 2018, *Controversial paper claiming that MT reached humans, lead to criticism by following papers such as:*

[3] Toral et. Al., "Attaining the Unattainable? Reassessing Claims of Human Parity in Neural Machine Translation" - 2018, *paper reassessing the conclusions of the previous*

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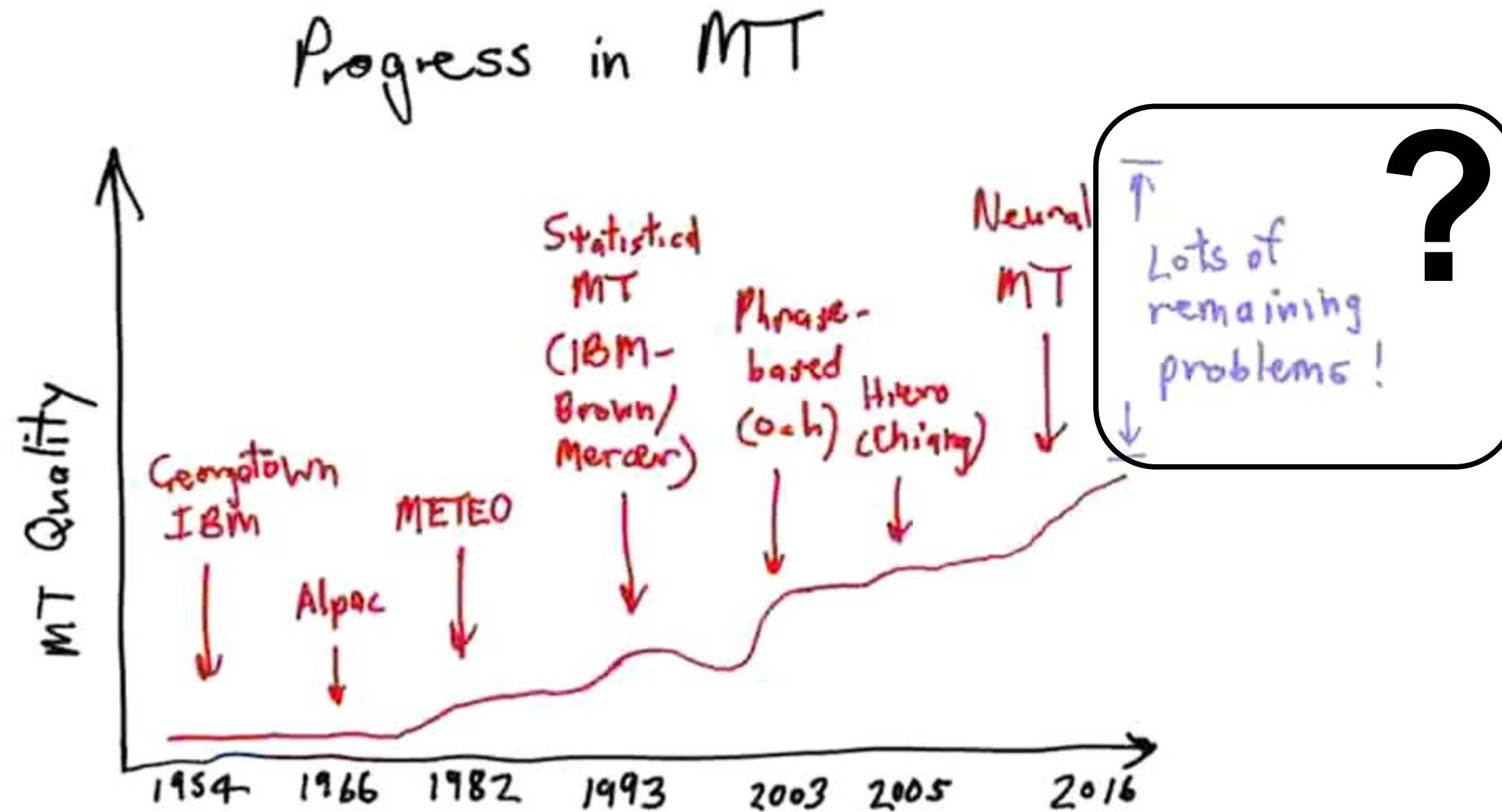
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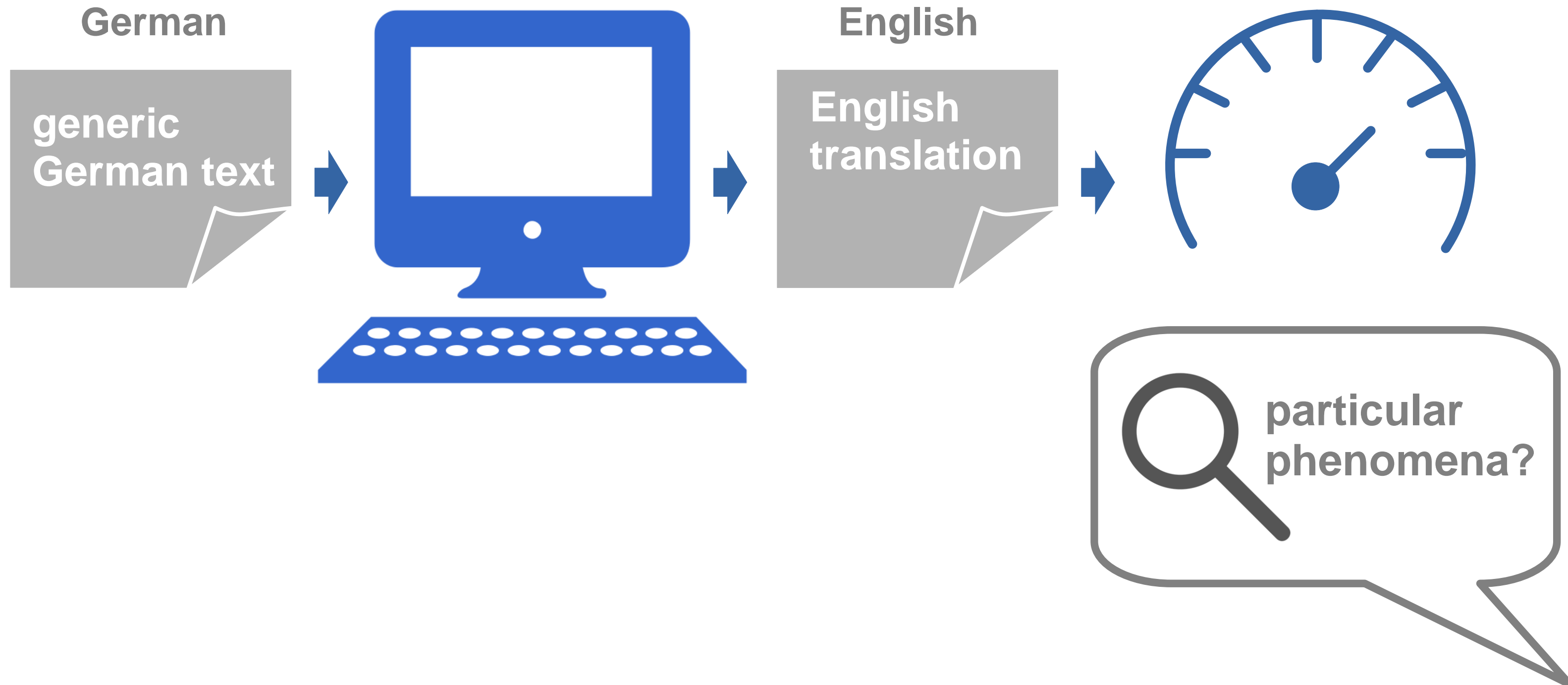
## Are we close to human parity?



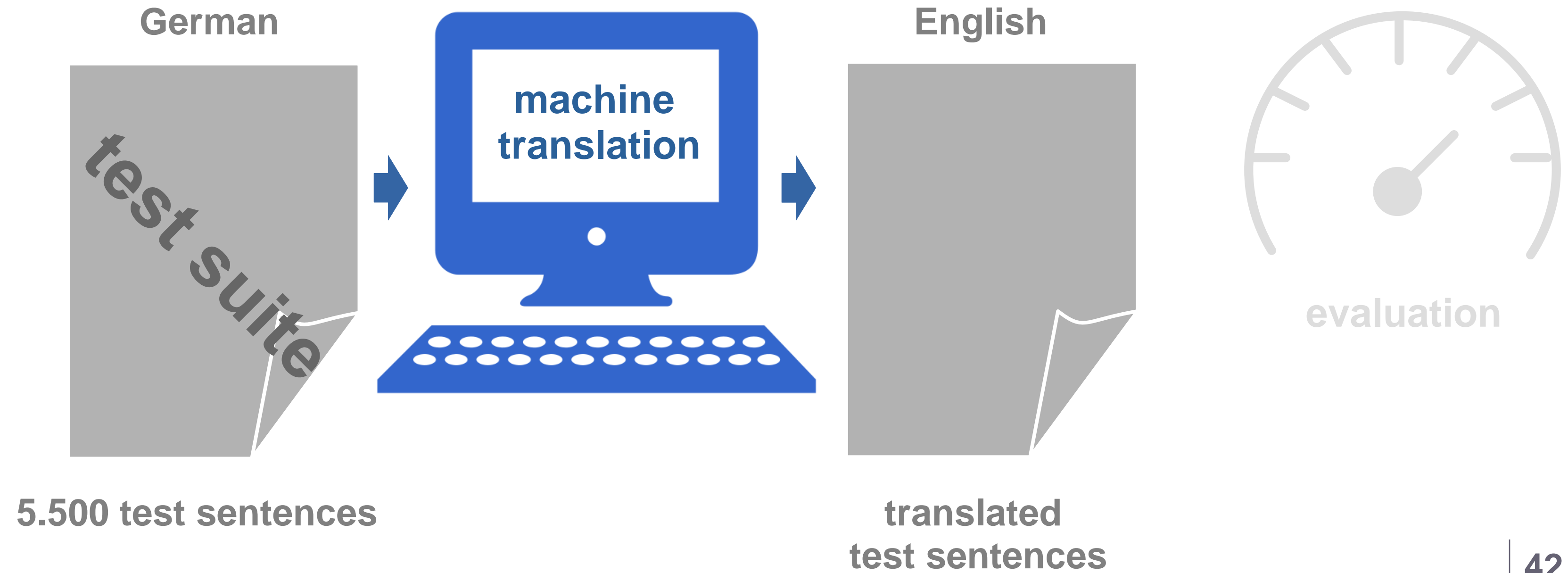
2016: Chris Manning: "Lots of remaining problems"



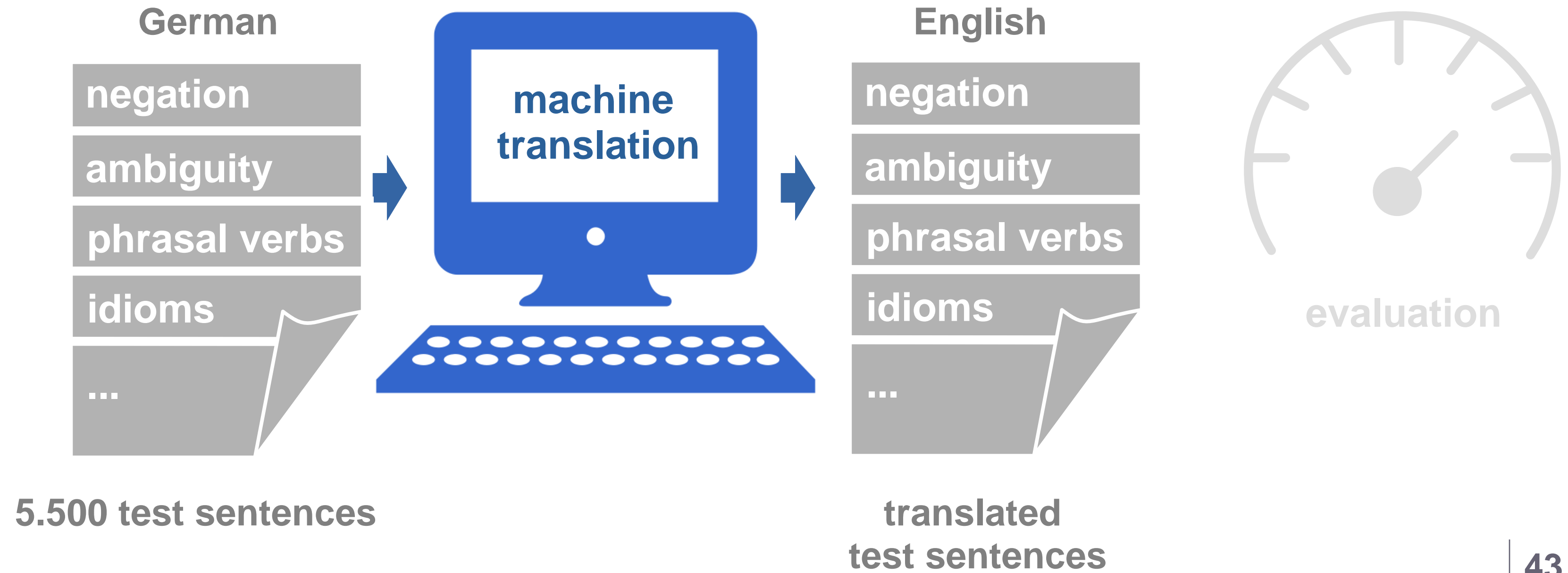
## Evaluating overall system performance



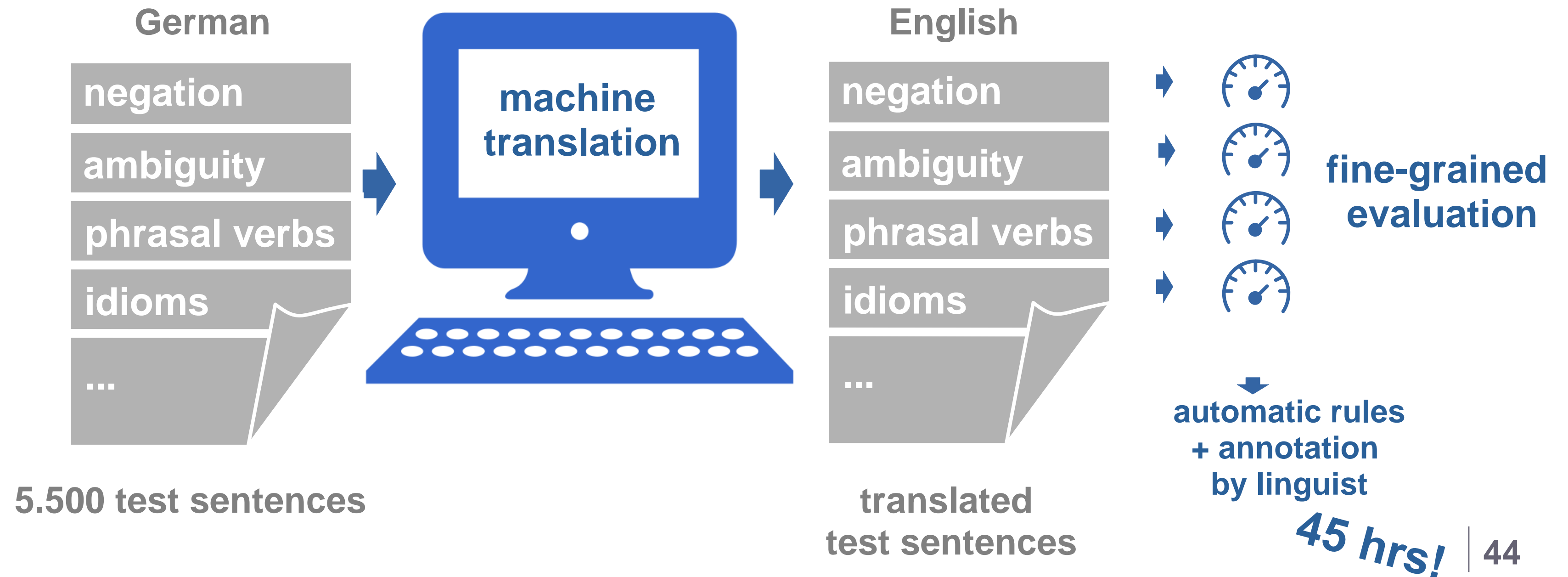
## Evaluating overall system performance



# Evaluating overall system performance



# Evaluating overall system performance



# 107 phenomena

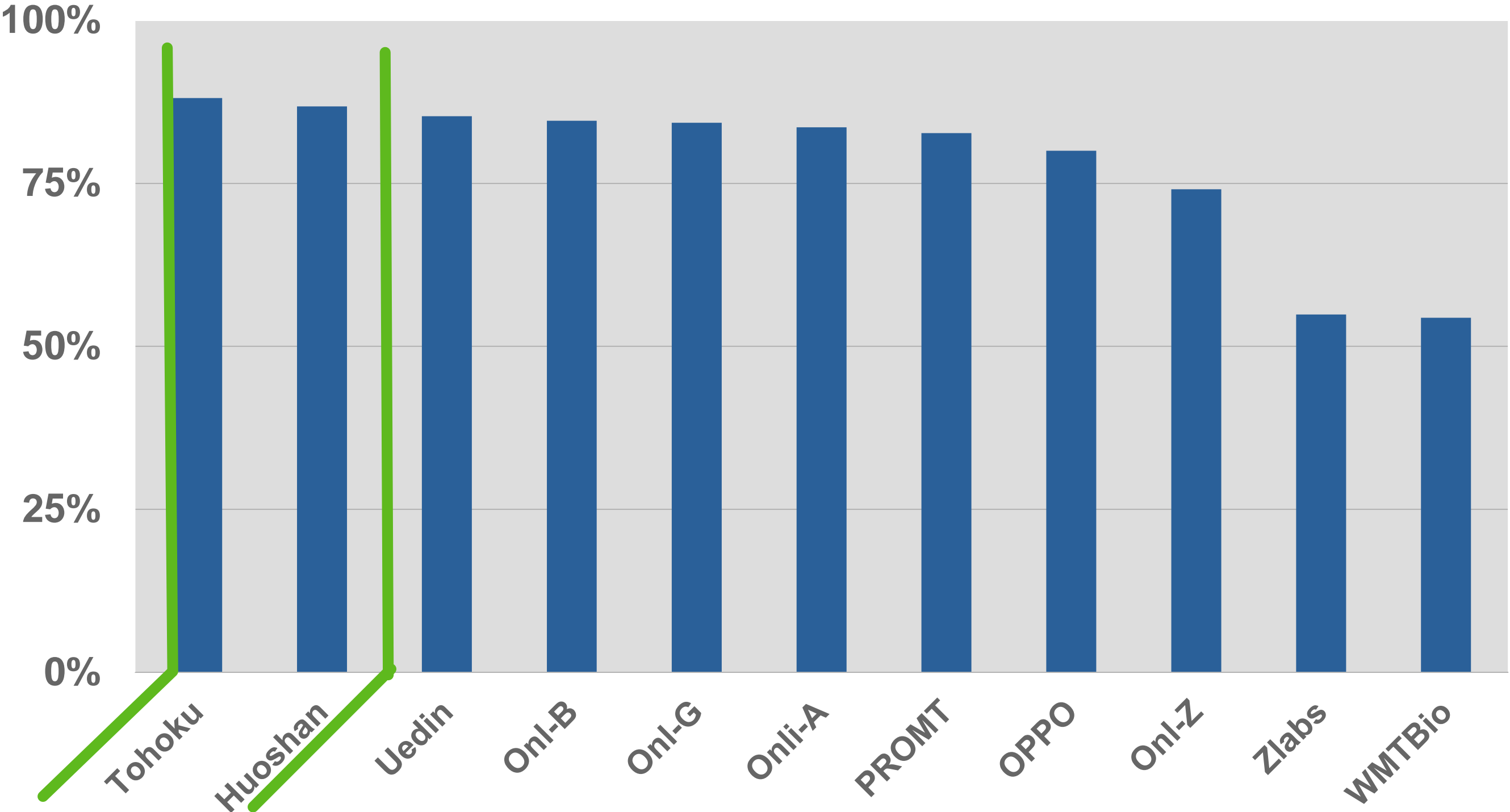
| Lexical ambiguity               | Prepositional MWE     | Conditional                                   | Modal - future I                          | Reflexive - pluperfect                 |
|---------------------------------|-----------------------|---|---|--|
| Structural ambiguity            | Verbal MWE            | Ditransitive - future I                       | Modal - future I subjunctive II           | Reflexive - pluperfect subjunctive II  |
| Compound                        | Date                  | Ditransitive - future I subjunctive II        | Modal - perfect                           | Reflexive - present                    |
| Phrasal verb                    | Domainspecific term   | Ditransitive - future II                      | Modal - pluperfect                        | Reflexive - preterite                  |
| Gapping                         | Location              | Ditransitive - future II subjunctive II       | Modal - pluperfect subjunctive II         | Reflexive - preterite subjunctive II   |
| Right node raising              | Measuring unit        | Ditransitive - perfect                        | Modal - present                           | Transitive - future I                  |
| Sluicing                        | Proper name           | Ditransitive - pluperfect                     | Modal - preterite                         | Transitive - future I subjunctive II   |
| Stripping                       | Negation              | Ditransitive - pluperfect subjunctive II      | Modal - preterite subjunctive II          | Transitive - future II                 |
| False friends                   | Coreference           | Ditransitive - present                        | Modal negated - future I                  | Transitive - future II subjunctive II  |
| Focus particle                  | External possessor    | Ditransitive - preterite                      | Modal negated - future I subjunctive II   | Transitive - perfect                   |
| Modal particle                  | Internal possessor    | Ditransitive - preterite subjunctive II       | Modal negated - perfect                   | Transitive - pluperfect                |
| Question tag                    | Comma                 | Imperative                                    | Modal negated - pluperfect                | Transitive - pluperfect subjunctive II |
| Extended adjective construction | Quotation marks       | Intransitive - future I107 phenomena<br>ure I | Modal negated - pluperfect subjunctive II | Transitive - present                   |
| Extraposition                   | Adverbial clause      | Intransitive - future I subjunctive II        | Modal negated - present                   | Transitive - preterite                 |
| Multiple connectors             | Cleft sentence        | Intransitive - future II                      | Modal negated - preterite                 | Transitive - preterite subjunctive II  |
| Pied-piping                     | Free relative clause  | Intransitive - future II subjunctive II       | Modal negated - preterite subjunctive II  | Case government                        |
| Polar question                  | Indirect speech       | Intransitive - perfect                        | Progressive                               | Mediopassive voice                     |
| Scrambling                      | Infinitive clause     | Intransitive - pluperfect                     | Reflexive - future I                      | Passive voice                          |
| Topicalization                  | Object clause         | Intransitive - pluperfect subjunctive II      | Reflexive - future I subjunctive II       | Resultative predicates                 |
| Wh-movement                     | Pseudo-cleft sentence | Intransitive - present                        | Reflexive - future II                     |  |
| Collocation                     | Relative clause       | Intransitive - preterite                      | Reflexive - future II subjunctive II      |  |
| Idiom                           | Subject clause        | Intransitive - preterite subjunctive II       | Reflexive - perfect                       |  |

## 107 phenomena

### 14 categories

|                              |                |               |
|------------------------------|----------------|---------------|
| ambiguity                    | multi-word ex  | punctuation   |
| composition                  | named entity   | subordination |
| coordination                 | negation       | verb valency  |
| false friends                | non-verbal ag  | tense/mood    |
| long distance<br>& interrog. | function words |               |

11 systems – WMT20 German-English





## More about the Test Suite

[1] Pierre Isabelle, Colin Cherry, and George Foster. 2017a. A Challenge Set Approach to Evaluating Machine Translation. 2017

[2] Avramidis et. al, Linguistic evaluation of German-English Machine Translation using a Test Suite, 2019

# Machine translation

## 1. Introduction

- Definition and motivation
- History and types

## 2. Neural machine translation models

- RNN Encoder-decoder
- Attention-based NMT

## 3. Advanced techniques

- Subword units
- Multilingual machine translation
- Multimodal & speech translation

## 4. Evaluation

- Purpose of evaluation
- Users of evaluation
- Evaluation approaches

## 5. Fine-grained evaluation

- Test suites

## 6. Quality estimation

- Feature-based model
- Neural predictor-estimator

## 7. Sign language translation

# Machine translation

input

Darüber soll am Anfang kommenden  
Woche der Bundestag abstimmen.

system 1

This is to be voted on at the beginning of next week.

0.7

system 2

The parliament is supposed to vote for it  
beginning of next week

0.9

system 3

About this voting should beginning next week

0.3

reference

The parliament should vote for this  
at the beginning of next week

## Machine learning to predict scores of MT “quality”

- focus on one sentence at a time
- real-time use  
(don't use reference)
- predict a metric of quality  
(e.g. the human edit rate)

# Various types of Quality Estimation

## Linear / feature based model:

- analyze sentences with automatic tools
- generate numerical indicators of quality (features)
- use these to train a regressor/classifier given existing labels

(Blatz et. al, Specia et. al 2009)

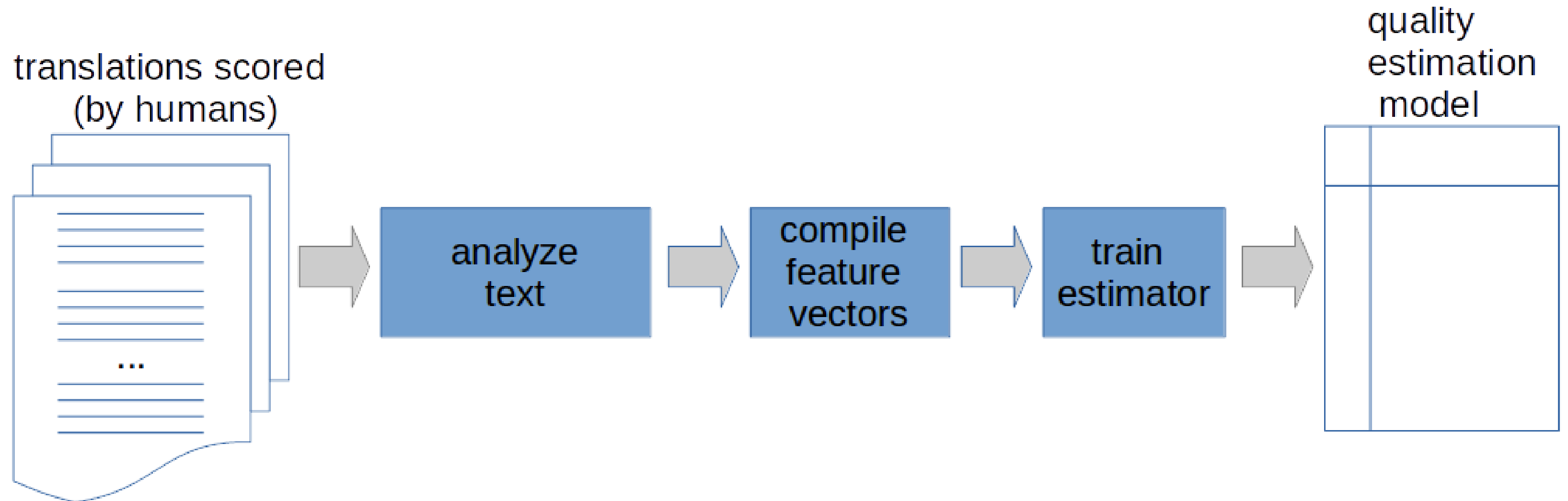
## Neural:

- use neural models to perform automatic post-editing and score with the existing translation (Martins et. al 2017)
- train a joined “predictor-estimator” neural model (Kim et. al 2017)

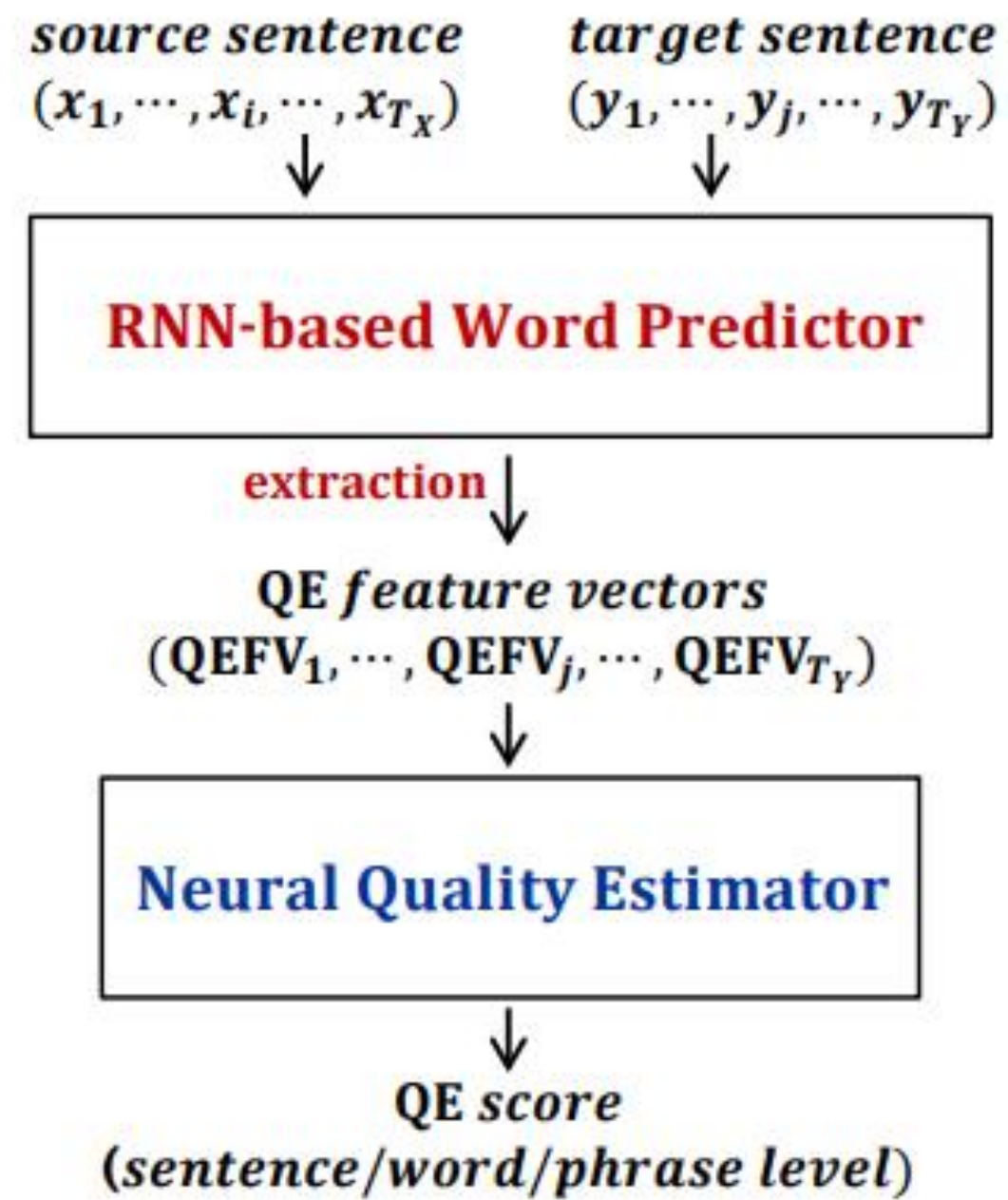
## Challenge:

Systems are getting more efficient by the time, difficult to distinguish and predict machine translation errors

## Linear, feature-based model



# Predictor-estimator



# Machine translation

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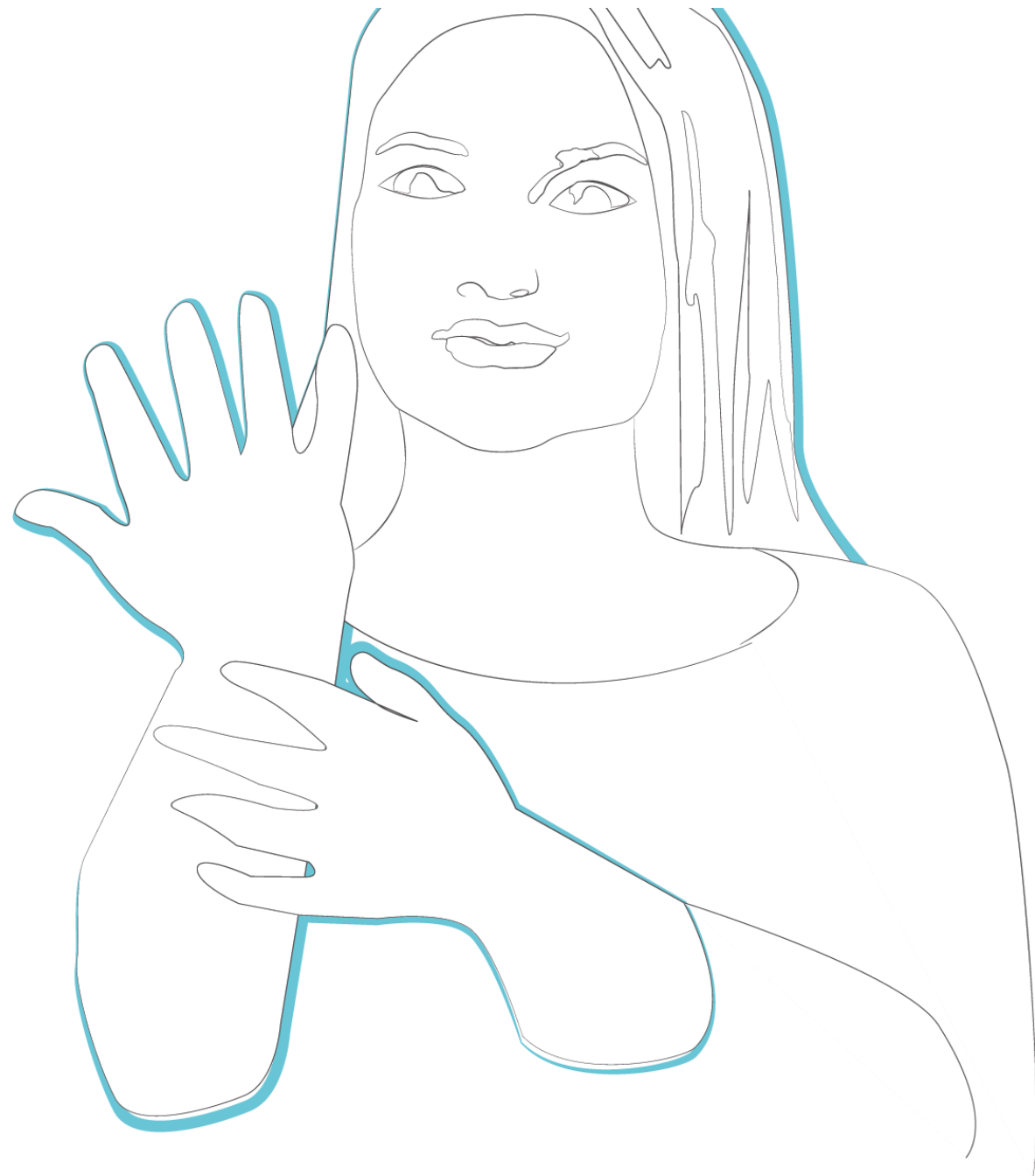
## 6. Quality estimation

- Feature-based model
- Neural predictor-estimator

## 7. Sign language translation



# Sign Language



The sign language of the deaf is an independent visual language, which has been developed over the centuries in the everyday communication of deaf people.

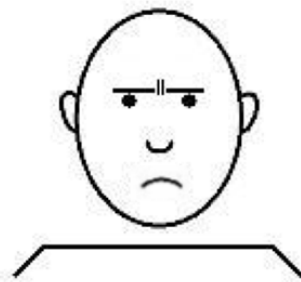
# Building blocks of sign language



Papa

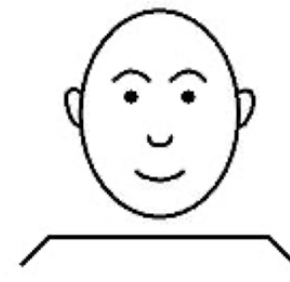


Herbst



Angst

(Faust schlägt an Brust)



Mut

(Faust schlägt an Brust)

## a) manual

- hands (hand shape & hand position), arms
- executing position
- movement

## b) non-manual

- facial expression (facial expression)
- direction of eyes
- head direction
- posture (especially of the upper body)
- Mouth image

## Quick facts

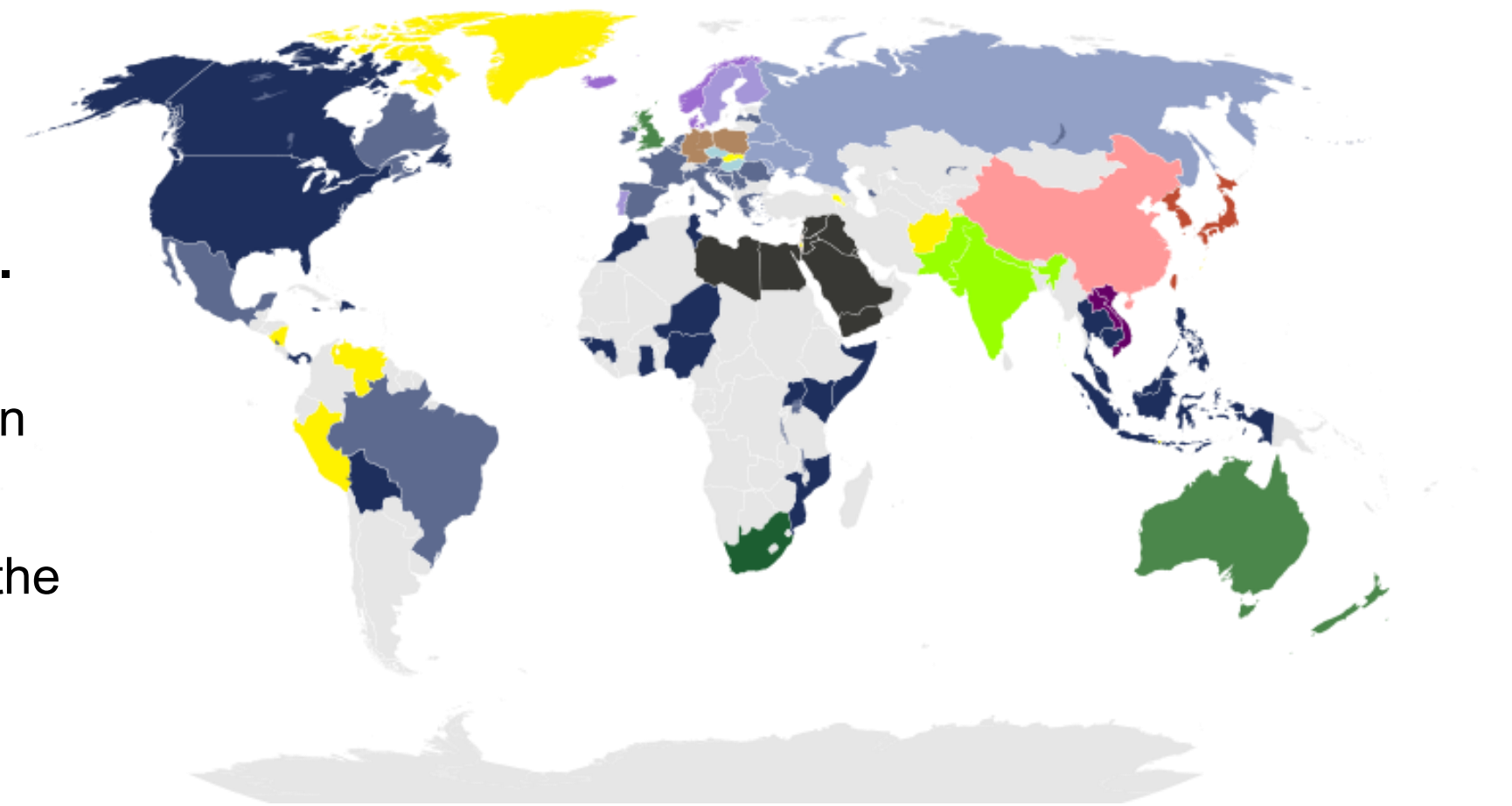
- 0.1 % of the German population is deaf. This amounts to about 80.000 people.
- Every day 2 deaf children are born in Germany.

### Sign languages around the world

- **Common misperception: all sign languages are the same. Nope!**
- ~130 national sign languages are known, while ~60 have been analytically processed
- American Sign Language (ASL) - different that the British or the Australian!

### German sign language regional dialects

Berliner, Hamburger, Münchner, Frankfurter Dialekt Ruhrgebiet, etc. (~75% of vocabulary overlap)



## Quick facts

- Sign language is equivalent to spoken language.
  - equally suitable to express meanings and feelings
  - possible to express and discuss complex matters
  - it consists of a comprehensive vocabulary and an elaborate grammar.
- not invented by a person or institution (like for example esperanto), but was continuously and organically developed by its native speakers.
  - Not limited to visible things, that can be visualized with hand signs and gestures.
  - Signs have a complex substructure, that can be analytically represented by rules that connect the **shape of the hand, orientation of the hand, position relative to the body and motion.**

# History of automatic sign language translation



**1977:** Research project successfully matched English letters from a keyboard to ASL manual alphabet letters which were simulated on a robotic hand.

**1996:** Recognition method with gloves (only 20 gestures) and (shallow) neural networks

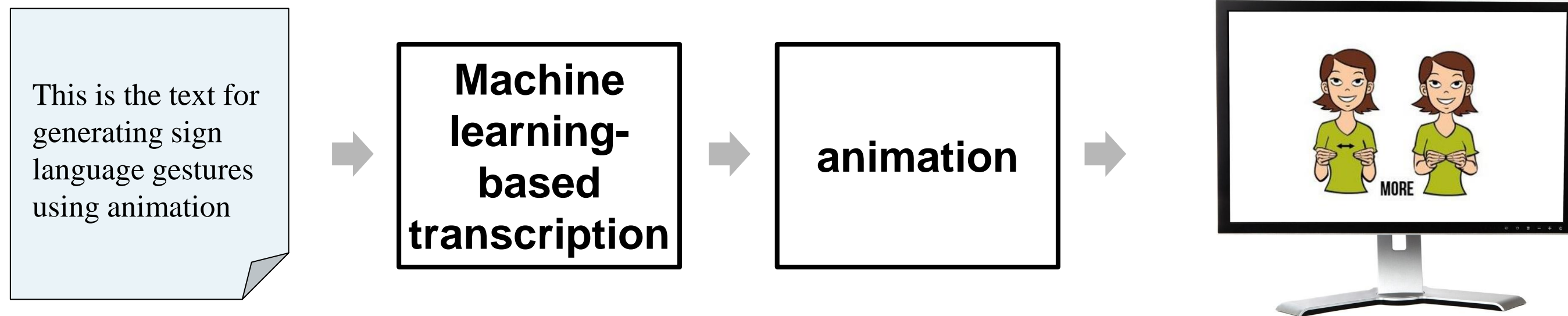
**2005:** Recognition method using cameras was proposed (but not implemented)

**2012:** First work on (Chinese) sign language translation with Kinect (still low accuracy)

**2016:** First system using deep learning to recognize gestures on videos (but does not yet produce text)

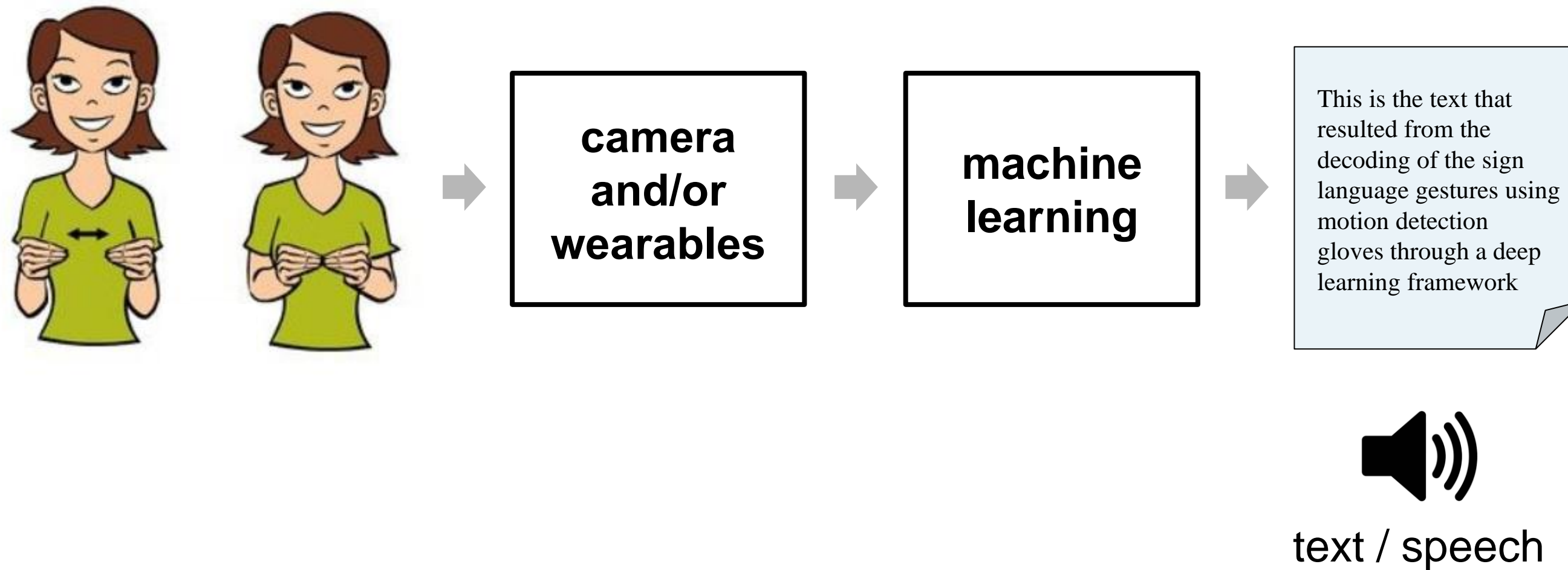
**2018:** First end-to-end system using techniques similar to text Machine Translation (but only trained on weather forecasts!)

## From text to signing avatar





# From sign language to text





## Different granularities: Finger alphabet



# Isolated sign language translation



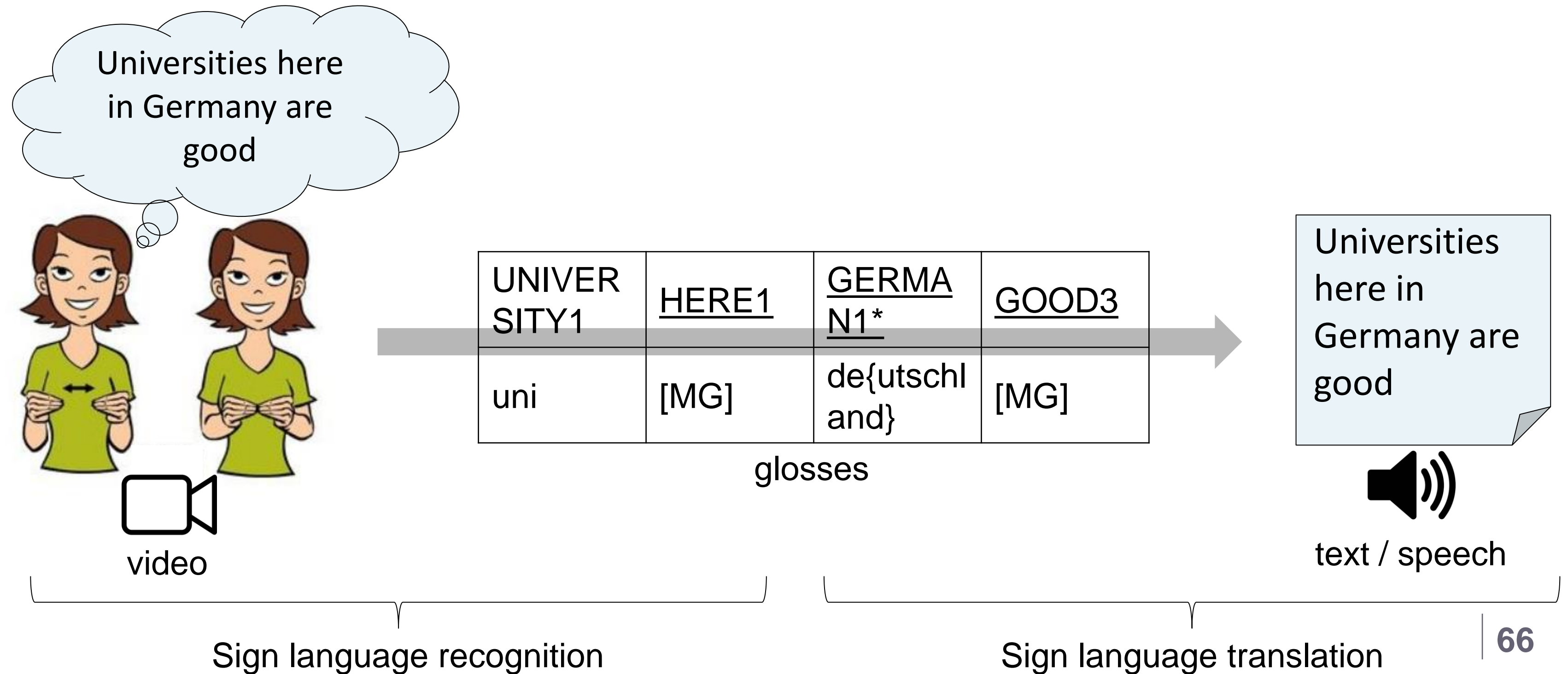
# Isolated sign language translation



# Isolated sign language translation

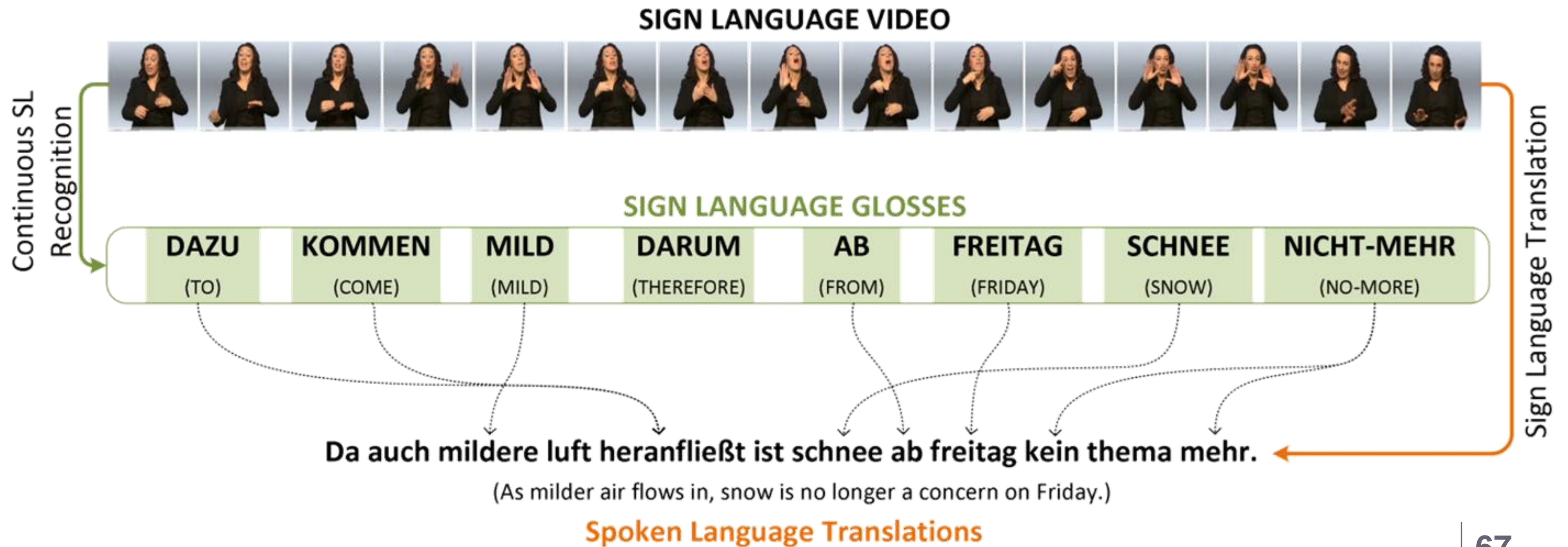


# Continuous sign language translation





# Continuous sign language translation



# Sign language recognition via body recognition

