

# Amortized analysis

# Amortized analysis

- In an amortized analysis, we estimate the time required to perform a sequence of operations.
- With amortized analysis, we have the opportunity to show that the average cost of an operation is small, even if the cost of such an operation looks big (according to asymptotic notation)

# Amortized analysis

Two techniques

- Accounting method
  - Faster to use, intuitive. Better suited for simple operations
- **Potential method**
  - **More formal and structured. Better suited for involved algorithms**

# The potential method

- Instead of representing prepaid work as credit stored with specific objects in the data structure, the **potential method** of amortized analysis represents the prepaid work as “potential energy,” or just “potential,” which can be released to pay for future operations.
- A **potential function** maps each data structure  $D_i$  to a real number, which is the **potential** associated with the data structure  $D_i$

The diagram shows the equation for amortized cost:  $\hat{c}_i = c_i + \Phi(D_i) - \Phi(D_{i-1})$ . The entire equation is enclosed in a light blue rounded rectangle. Annotations include:
 

- A red arrow pointing to  $\hat{c}_i$  with the text "Amortized cost".
- A red arrow pointing to  $c_i$  with the text "Real cost".
- A red arrow pointing to  $\Phi(D_i)$  with the text "Potential function". Below this, there is a handwritten "||" and the symbol  $\phi_i$ .
- A red arrow pointing to  $\Phi(D_{i-1})$  with the text " $D_{i-1}$  is the data structure before an operation is performed".
- A red arrow pointing to  $\Phi(D_i)$  with the text " $D_i$  is the data structure after an operation is performed".
- A bracket above the equation points to the  $\Phi(D_i)$  and  $\Phi(D_{i-1})$  terms with a question mark.

$\hat{c}_i$  = credits earned from algorithm

$c_i$  = real cost of algorithm (like, RAM model or whatever)

$D_i$  = data structure *after* algorithm completed on it

$\phi_i(D_i)$  = "potential function", a function that scales with algorithm complexity using some element(s) of data structure ( $D_i$ ) as *input* (not necessarily multiplication)

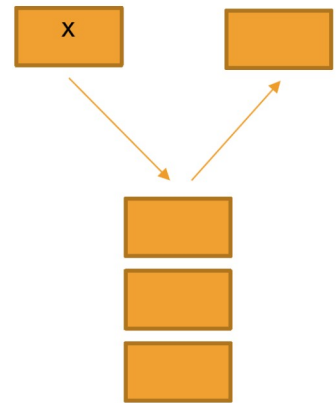
$D_{i-1}$  = supposedly the data structure before the algorithm is used on it but I don't know why the equation is -1 and not a variable (nvm its because its the step before i but i dont know what i is) (edited)

## First example

Consider a stack data structure

Step 1 – find the potential function more suitable for our problem.

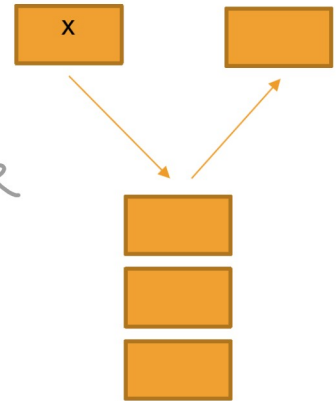
Idea – the potential function should return a high number when we are close to perform an expensive operation



# First example - Stack

$\phi$  = returns the number of element in the stack

• That's what determines expensive operations



Cost of function

$$\hat{c}_i = c_i + \Phi(D_i) - \Phi(D_{i-1})$$

PUSH -  $= 1 + (k'+1) - k' = 2$

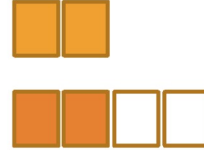
POP -  $= 1 + (k'-1) - k' = 0$

MULTIPOP -  $= k + (k' - k) - k' = 0$

Handwritten annotations for PUSH: "Elements after push" points to  $(k'+1)$ , "Elements before push" points to  $k'$ .  
 Handwritten annotations for POP: "Elements after pop" points to  $(k'-1)$ , "Elements before pop" points to  $k'$ .

## Second example – Dynamic Table

$$\phi = 2 * T.num - T.size$$



0 when T is half empty

T.Num when T is full

$$\hat{c}_i = c_i + \Phi(D_i) - \Phi(D_{i-1})$$

$$\begin{aligned} \text{INSERT - } &= 1 + (2 * (T.num + 1) - T.size) - (2 * T.num - T.size) \\ &= 1 + 2 = 3 \end{aligned}$$

$$\begin{aligned} \text{RESIZE } &= (T.num+1) + (2 * (T.num+1) - 2 * T.size) - (2 * T.num - T.size) \\ &= T.size + 1 + 2T.size + 2 - 2T.size - 2T.size + T.size \\ &= 3 \end{aligned}$$

## What's next?

- Book chapters
  - Chapter 1.4
- In class activities
  - Use amortized analysis on new examples
- Next class
  - Union find data structures