# $A_{\infty}$ -minimal models of matrix factorisations

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November 16, 2016

#### Abstract

We study  $A_{\infty}$ -minimal models of the differential graded algebras obtained from endomorphisms of generators of triangulated categories of matrix factorisations.

### 1 Introduction

Let k be a commutative  $\mathbb{Q}$ -algebra and  $W \in k[x_1, \ldots, x_n]$  a polynomial which is a potential over k in the sense of  $[3, \S 2.2]$ . For example,  $k = \mathbb{C}$  and W has isolated critical points. The  $\mathbb{Z}_2$ -graded DG-category  $\mathcal{A} = \mathrm{mf}(R, W)$  has for its objects finite-rank matrix factorisations of W over  $R = k[x_1, \ldots, x_n]$ . In this paper we study the minimal model problem for  $\mathcal{A}$ , the aim being to produce models that are finitely-generated and projective over k.

When k is a field, the standard minimal model theorem [?] constructs the structure of an  $A_{\infty}$ -category on the cohomology  $\mathcal{B} = H^* \mathcal{A}$  in such a way that  $\mathcal{B}$  is quasi-isomorphic to  $\mathcal{A}$ . Moreover, this  $A_{\infty}$ -category has finite-dimensional Hom-spaces and is therefore a good finite model of  $\mathcal{A}$ . The problem in this case is to have sufficient control over the inputs to the minimal model theorem that the  $A_{\infty}$ -products on  $H^* \mathcal{A}(X,X)$  can be reasonably calculated, for a given matrix factorisation X. In order to understand deformations of matrix factorisations [?, ?, ?] it is important to do this for generic X, but the special case where  $X = k^{\text{stab}}$ , the generator of  $\mathcal{A}$  studied by Dyckerhoff in the case of a single isolated singularity at the origin [Dyc11], is of particular interest.

Since one of our goals is to understand how matrix factorisation categories vary along unfoldings of singularities, we also want to consider the case where k is not a field. For example take  $k = \mathbb{C}[t]$  and  $R = \mathbb{C}[x_1, \ldots, x_n, t]$  so that  $W = W_t(x)$  is a potential with a parameter t. In this case we want an  $A_{\infty}$ -category  $\mathcal{B}$  quasi-isomorphic to  $\mathcal{A}$  over k, with  $\mathcal{B}(a,b)$  a finitely-generated projective k-module for each pair of objects a,b.

These two desiderata, understanding the  $A_{\infty}$ -products on  $H^*\mathcal{A}(X,X)$  for generic X, and the case where k is not a field, lead us naturally to a slightly non-standard use of the minimal model theorem. Following the ideas developed in [?,?,?] we prove that, writing  $\iota: R \longrightarrow R/(\partial_{x_1}W,\ldots,\partial_{x_n}W)$  for the quotient, there is a k-linear homotopy retract

$$(1.1) \iota^* \mathcal{A} \xrightarrow{} \mathcal{A} \otimes_k \bigwedge \left( k^{\oplus n}[1] \right).$$

### 1.1 The special case of the generator

Suppose that k is a field and that the potential W belongs to  $\mathfrak{m}^3$  where  $\mathfrak{m} = (x_1, \dots, x_n)$ , choose a presentation  $W = \sum_i x_i W^i$  with  $W^i \in \mathfrak{m}^2$  and consider the following pair

(1.2) 
$$k^{\text{stab}} = \left(k[x] \otimes_k \bigwedge \left(\bigoplus_{i=1}^n k\psi_i\right), \ d_{k^{\text{stab}}} = \sum_{i=1}^n x_i \psi_i^* + \sum_{i=1}^n W^i \psi_i\right)$$

where  $\psi_i^*, \psi_i$  denote respectively the operators of contraction  $\psi_i^* \lrcorner (-)$  and wedge product  $\psi_i = \psi_i \land (-)$  on the exterior algebra. Clearly  $(d_{k^{\text{stab}}})^2 = W$  so that  $k^{\text{stab}}$  is a matrix factorisation of W. When k is a field and W has an isolated critical point at the origin,  $k^{\text{stab}}$  is the representative in the homotopy category of matrix factorisations of the structure sheaf of the singular point at the origin, and was first studied by Dyckerhoff [Dyc11]. If the origin is the only singular point of the zero locus of W, or we work with power series instead of the polynomial ring,  $k^{\text{stab}}$  is a split generator of the homotopy category of matrix factorisations [?, Theorem ?].

The purpose of this paper is to show how to calculate the  $A_{\infty}$ -minimal model  $\mathscr{B}$  of the  $\mathbb{Z}_2$ -graded differential graded endomorphism algebra

(1.3) 
$$\mathscr{A} = \left( \operatorname{End}_{k[x]}(k^{\operatorname{stab}}), \ \partial = [d_{k^{\operatorname{stab}}}, -] \right).$$

The differential here is (throughout all commutators are graded commutators)

$$\partial = [d_{k^{\text{stab}}}, -] = \left[\sum_{i} x_i \psi_i^* + \sum_{i} W^i \psi_i, -\right]$$
$$= \sum_{i} x_i [\psi_i^*, -] + \sum_{i} W^i [\psi_i, -].$$

The minimal model is a finite-dimensional  $\mathbb{Z}_2$ -graded vector space  $\mathscr{B}$  with a family

$$\left\{ \rho_q : \left( \mathscr{B}[1] \right)^{\otimes q} \longrightarrow \mathscr{B}[1] \right\}_{q \geq 2}$$

of odd k-linear maps satisfying the forward suspended  $A_{\infty}$ -constraints [1], and having the property that there is an  $A_{\infty}$ -quasi-isomorphism  $\mathscr{B} \longrightarrow \mathscr{A}$ .

## 2 Background

- Commutation relations for  $[\psi_i, -]$ .
- The tilde grading on a  $\mathbb{Z}_2$ -graded k-module is defined by  $\widetilde{x} = |x| 1$ .

## 3 Feynman diagrams

The  $A_{\infty}$ -products on  $\mathscr{B}$  are defined in terms of Feynman diagrams (see Definition 3.15). In this section we explain how to enumerate all the relevant diagrams and how to compute their value; in the next section we prove that the maps they give rise to do indeed compute the minimal model of  $\mathscr{A}$ . Our reference for general background on Feynman diagrams is [5, Ch. 6] or [6, §4.4], although we need only superficial aspects of the theory there.

Throughout  $\otimes = \otimes_k$ . The integer n is the number of variables in the ambient ring  $R = k[x_1, \ldots, x_n]$ . We assume in this section that  $W \in \mathfrak{m}^3$ .

**Definition 3.1.** Consider the  $\mathbb{Z}_2$ -graded algebra defined by the tensor product

$$\mathscr{H} = R \otimes_k \bigwedge \left( \bigoplus_{i=1}^n k\theta_i \right) \otimes_k \operatorname{End}_k \left( \bigwedge \left( \bigoplus_{i=1}^n k\psi_i \right) \right)$$

with degrees  $|\theta_i| = |\psi_i| = 1$ . On this space we have the homogeneous operators

$$x_i, \partial_i = \partial_{x_i}, \theta_i, \theta_i^*, [\psi_i, -].$$

Here  $\psi_i$  denotes the operator  $\psi_i \wedge (-)$  on  $\bigwedge \left( \bigoplus_{i=1}^n k \psi_i \right)$  and  $[\psi_i, -]$  the graded commutator with this operator, defined on a homogeneous operator  $\beta$  by

$$[\psi_i, \beta] = \psi_i \circ \beta - (-1)^{|\beta|} \beta \circ \psi_i.$$

We define the  $\mathbb{Z}_2$ -graded k-module

$$\mathscr{B} = \bigwedge \left( \bigoplus_{i=1}^{n} k \psi_i^* \right)$$

which we view as a submodule

$$\mathscr{B} \subset \operatorname{End}_k \left( \bigwedge \left( \bigoplus_{i=1}^n k \psi_i \right) \right)$$

by identifying  $\psi_i^* \in \mathcal{B}$  with the operation of contraction  $\psi_i^* \lrcorner (-)$  on the exterior algebra. In this way we may also identify  $\mathcal{B}$  with a submodule of  $\mathcal{H}$ , and we write  $\iota : \mathcal{B} \longrightarrow \mathcal{H}$  for the inclusion. Note that the operator  $[\psi_j, -]$  on  $\mathcal{H}$  defined above acts on the submodule  $\mathcal{B}$  as contraction with  $\psi_i = (\psi_i^*)^*$ , that is,

$$(3.2) \qquad \left[\psi_{j}, \iota\left(\psi_{i_{1}}^{*} \wedge \cdots \wedge \psi_{i_{r}}^{*}\right)\right] = \sum_{l=1}^{r} (-1)^{l-1} \delta_{j, i_{l}} \iota\left(\psi_{i_{1}}^{*} \wedge \cdots \wedge \widehat{\psi_{i_{l}}^{*}} \wedge \cdots \wedge \psi_{i_{r}}^{*}\right).$$

We write  $p: \mathcal{H} \longrightarrow \mathcal{B}$  for the projection, which is the tensor product of the k-algebra map  $R \longrightarrow k$  sending every variable to zero in the first position,  $\bigwedge$  applied to  $\bigoplus_{i=1}^n k\theta_i \longrightarrow 0$  in the second position, and an arbitrary projection of the endomorphism algebra onto  $\mathcal{B}$  in the third position (todo: clarify).

We view  $\mathscr{H}$  as the tensor product of the bosonic Fock space R with creation and annihilation operators  $x_i$ ,  $\partial_i$ , fermionic Fock space  $\wedge(\bigoplus_{i=1}^n k\theta_i)$  with creation and annihilation operators  $\theta_i$ ,  $\theta_i^*$  and the third factor, of which the calculations of the  $A_{\infty}$ -structure only involve the submodule  $\mathscr{B} = \wedge(\bigoplus_{i=1}^n k\psi_i^*)$  which is another fermionic Fock space. The inputs to the Feynman diagrams are tensor products of states in  $\mathscr{B}$ , that is, wedge products of  $\psi_i^*$ 's, on which the  $[\psi_i, -]$  act as annihilation operators.

The linear maps in (3.8), (3.9), (3.10) below which determine the  $A_{\infty}$  products  $\rho_q$  on  $\mathscr{B}$  will be defined in terms of these creation and annihilation operators on  $\mathscr{H}$ , and may be interpreted as particle interactions in the usual way. The interactions that appear are the following ones, depicted on the left as Feynman diagrams and on the right as operators. We call these respectively  $A_{-}$ ,  $B_{-}$  and  $C_{-}$ type interactions:

$$\mathbf{A} \qquad \theta_{a} x^{\gamma - e_{a}} \left[ \psi_{j}, - \right] \in \operatorname{End}_{k}(\mathscr{H})$$

$$1 \leq a, j \leq n, \gamma \in \mathbb{N}^{n}$$

$$(3.3)$$

$$\mathbf{B} \qquad \theta_t \partial_t \in \operatorname{End}_k(\mathscr{H})$$

$$1 \le t \le n$$

$$(3.4)$$

$$\mathbf{C} \qquad \qquad \psi_{j}^{*} \qquad \qquad m_{2}([\psi_{j}, -] \otimes \theta_{j}^{*}) \in \operatorname{Hom}_{k}(\mathscr{H}^{\otimes 2}, \mathscr{H})$$

$$1 \leq j \leq n$$

$$(3.5)$$

Here  $m_2$  is the multiplication in  $\mathscr{H}$  and, given  $\gamma \in \mathbb{N}^n$ , we write  $x^{\gamma} = x_1^{\gamma_1} \cdots x_n^{\gamma_n}$ , with  $e_i \in \mathbb{N}^n$  the unit vector with  $x^{e_i} = x_i$ . By convention if  $\gamma_a = 0$  then  $x^{\gamma - e_a} = 0$ , which ensures that we always have  $\gamma_a x^{\gamma - e_a} = \partial_a(x^{\gamma})$ . Finally note that bosons are depicted with dotted lines, and fermions with solid lines.

These interactions "take place" at vertices of the tree A(T) for some  $T \in \mathcal{T}_q$ , and come with the following constraints, which will be formalised below in terms of combinatorial data called *configurations*. We write  $f(\gamma)$  for the coefficient of  $x^{\gamma}$  in a polynomial  $f \in R$  and recall the chosen decomposition  $W = \sum_j x_j W^j$ .

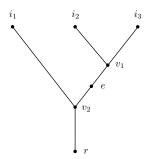
- A-type interactions only occur at input vertices and internal edges of T.
- B-type interactions only occur at internal edges of T.
- C-type interactions only occur at internal vertices of T, and moreover the incoming lines must come from different branches of the tree.
- There may be arbitrarily many A-type or C-type interactions per vertex of A(T), but there is *precisely one* B-type interaction at each internal edge of T.
- The A-type interaction with indices  $a, j, \gamma$  appears with the coefficient

$$-\frac{\gamma_a}{|\gamma|}W^j(\gamma).$$

where  $|\gamma| = \sum_{i=1}^{n} \gamma_i$ . Each B- and C-type interaction appears with coefficient (-1).

As we will see, the coefficient (3.6) is the only way that W enters the rules, and thus the definition of the  $A_{\infty}$ -products on  $\mathscr{B}$ . The precise definition of the Feynman rules will be given in Definition 3.3 below, but first we give an example of a tree with interactions inserted, to illustrate the various ingredients.

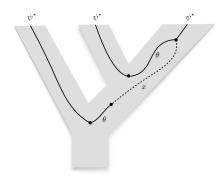
**Example 3.2.** Consider the tree  $T \in \mathcal{T}_3$  whose augmented tree A(T) is depicted below, together with labels for its vertices, where we label by e the vertex corresponding to the internal edge of T:



Set  $W = x^3 \in \mathbb{C}[x]$  so that  $W^1 = x^2$  and the only A-type interaction has  $a = 1, j = 1, \gamma = 2$  and coefficient -1, as in the diagram (we write  $\theta = \theta_1, \psi = \psi_1$ )



Consider the input state  $\Psi = \psi^* \otimes \psi^* \otimes \psi^*$  in  $\mathscr{B}^{\otimes 3}$ . One possible pattern of interactions (called a configuration, below) has a single A-type interaction at the input vertex  $i_3$ , a B-type interaction at e, and two C-type interactions at  $v_1, v_2$ , as shown in the following "topological" Feynman diagram



The value of this diagram in  $\mathcal{B}$  is the value of the linear map

$$(3.7) (-1)^3 p \circ m_2([\psi, -] \otimes \theta^*) \circ (\iota \otimes \theta \partial_x \circ m_2([\psi, -] \otimes \theta^*) (\iota \otimes \theta x[\psi, -]\iota))$$

on the input state  $\Psi$ . Usually when evaluating such an expression we would pay attention to Koszul signs from commuting the input  $\psi^*$ 's into position (see Definition ??). Ignoring all signs, the value is

$$\pm p \circ m_2([\psi, -] \otimes \theta^*) \circ (\iota \otimes \theta \partial_x \circ m_2([\psi, -] \otimes \theta^*) (\iota \otimes \theta x[\psi, -]\iota)) (\Psi)$$

$$= \pm p \circ m_2([\psi, \psi^*] \otimes \theta^* \theta \partial_x \circ m_2([\psi, \psi^*] \otimes \theta^* \theta x[\psi, \psi^*]))$$

$$= \pm p \circ m_2(1 \otimes \theta^* \theta \partial_x \circ m_2(1 \otimes \theta^* \theta x))$$

$$= \pm p \circ m_2(1 \otimes \partial_x x)$$

$$= \pm p(1) = \pm 1 \in \mathscr{H}.$$

Now we give some more precise definitions. Let  $T \in \mathcal{T}_q$  be a valid plane tree with q inputs and  $e_i(T)$  internal edges, and let A(T) be the augmented plane tree. The definitions are all relative to the ambient integer n, but for the sake of readability we will not reflect this in the notation.

**Definition 3.3.** A configuration C of a valid plane tree T consists of the following data, for each non-root vertex v of A(T):

- An integer  $m(v) \ge 0$ .
- A subset  $J(v) \subseteq \{1, \dots, n\}$  with |J(v)| = m(v).
- If v is an input, or comes from an internal edge of T, a pair

$$(a_j(v), \gamma_j(v)) \in \{1, \dots, n\} \times \mathbb{N}^n$$

for each  $j \in J(v)$ , with  $\gamma_j(v)_{a_j(v)} \ge 1$ .

• If v comes from an internal edge of T, an integer  $t(v) \in \{1, \ldots, n\}$ .

Let Con(T) denote the set of all configurations.

**Remark 3.4.** The integer m(v) counts how many interactions of type A or C take place at v (there is no point counting B interactions as precisely one occurs at each internal edge). The set J(v) consists of all j-indices appearing in interactions at v. The interactions of A-type (at inputs and internal edges) are defined by indices  $a_j(v), \gamma_j(v)$  while at each internal edge e of T, t(e) is the index of the B-type interaction.

**Definition 3.5.** Given a tree  $T \in \mathcal{T}_q$  and configuration  $C \in \text{Con}(T)$  we define a decoration  $D_{T,C}$  of A(T) by the assignment of the modules

- $\bullet$   $\mathscr{B}$  to the input at each non-root leaf and the output at the root, and
- $\mathcal{H}$  to each edge.

To each vertex v of A(T) we associate an operator  $\phi_v$  as follows, writing  $m, J, \{(a_j, \gamma_j)\}_{j \in J}, t$  for the data associated to v by the configuration C:

• if v is an input, then  $\phi_v$  is the linear map  $\mathscr{B} \longrightarrow \mathscr{H}$  given by

(3.8) 
$$\phi_v = (-1)^m \prod_{j \in J} \left\{ \frac{1}{|\gamma_j|} (\gamma_j)_{a_j} W^j(\gamma_j) \theta_{a_j} x^{\gamma_j - e_{a_j}} [\psi_j, -] \right\} \circ \iota.$$

Note that the operator under the product is even, so the order is irrelevant. If J(v) is empty then the product is interpreted to be the identity operator.

 $\bullet$  if v comes from an internal edge of T, then

(3.9) 
$$\phi_v = (-1)^m \prod_{j \in I} \left\{ \frac{1}{|\gamma_j|} (\gamma_j)_{a_j} W^j(\gamma_j) \theta_{a_j} x^{\gamma_j - e_{a_j}} [\psi_j, -] \right\} \circ \theta_t \partial_t.$$

 $\bullet$  if v comes from an internal vertex of T, then

(3.10) 
$$\phi_v = (-1)^m m_2 \circ \prod_{j \in J} \left\{ \left[ \psi_j, - \right] \otimes \theta_j^* \right\}$$

which is a map  $\mathscr{H}^{\otimes 2} \longrightarrow \mathscr{H}$ . Here  $m_2$  denotes the product on  $\mathscr{H}$ .

• if v = r is the root,  $\phi_v = p : \mathscr{H} \longrightarrow \mathscr{B}$  is the projection defined in (??).

**Definition 3.6.** Given  $T \in \mathcal{T}_q$  and  $C \in \text{Con}(T)$  we define the k-linear operator

$$\mathcal{O}(T,C):\mathscr{B}^{\otimes q}\longrightarrow\mathscr{B}$$

to be the denotation  $\mathcal{O}(T,C) = \langle D_{T,C} \rangle$ , defined without Koszul signs.

**Example 3.7.** The configuration C which is described in Example 3.2 has n=1 and

$$m(i_3) = m(v_1) = m(v_2) = 1,$$
  
 $J(i_3) = J(v_1) = J(v_2) = \{1\},$   
 $t(e) = 1.$ 

At  $i_3$  we have the pair a=1 and  $x^{\gamma}=x^2$ . The operator  $\mathcal{O}(T,C)$  is precisely (3.7).

**Remark 3.8.** Let us now briefly explain the connection between configurations, the linear map  $\mathcal{O}(T,C)$  and Feynman diagrams, such as the one in Example 3.2. Ultimately we will not actually use these diagrams to perform calculations, so we refrain from formulating them too precisely; however, they are very useful as a tool for understanding.

We view  $\Psi_1 \otimes \cdots \otimes \Psi_q \in \mathscr{B}^{\otimes q}$  as the result of applying creation operators (meaning wedge products  $\psi_i^* \wedge -$ ) to the vacuum (meaning the identity of the exterior algebra) in the various copies of  $\mathscr{B}$ . The value  $\mathcal{O}(T,C)$  on  $\Psi$  may then be computed by commuting all creation operators acting on  $\mathscr{H}$  (that is, the operators  $x_i, \theta_i, \psi_i^*$ ) leftward in the expression, which means down the tree. These operators commute with all creation operators and with those annihilation operators which are either of a different type (e.g.  $[x_i, \theta_j^*] = 0$ ) or of same type but with different indices (e.g.  $[x_1, \partial_2] = 0$ ). However, there will be a nonzero commutator every time a creation operator meets an annihilation operator of the same type and index (respectively  $\partial_i, \theta_i^*, [\psi_i, -]$ ). We view such a commutator, say

$$\theta_i \theta_i^* + \theta_i^* \theta_i = [\theta_i, \theta_i^*] = 1,$$

which arises as the result of commuting the  $\theta_i$  leftward to meet the  $\theta_i^*$ , as generating two diagrams, corresponding to the two choices of summands in  $\theta_i^*\theta_i = 1 - \theta_i\theta_i^*$ . Choosing the first summand means there is an interaction (drawn as a line marked  $\theta_i$  from the original position of the  $\theta_i$  to the position of the  $\theta_i^*$  in the tree) while choosing the second summand means there is no interaction (the  $\theta_i$  sails on, to meet its partner further down the tree). If a particular series of such choices leads to an  $x_i$  or  $\theta_i$  meeting the bottom of the tree (that is, p) then this diagram does not contribute to  $\mathcal{O}(T, C)(\Psi)$  since p projects out such elements of  $\mathcal{H}$ .

We can depict a series of choices by linking the creation and annihilation operators,

$$p \circ m_2([\psi, -] \otimes \theta^*) \circ (\iota \otimes \theta \partial_x \circ m_2([\psi, -] \otimes \theta^*) (\iota \otimes \theta x [\psi, -] \iota)) (\psi^* \otimes \psi^* \otimes \psi^*)$$

or by drawing these linkages, marked with the type and index of the "particle" on the tree T, joining the two positions determined by the configuration as in Example 3.2.

Note that in this example one of the other series of "choices" would involve commuting the rightmost  $\theta$  past the first  $\theta^*$  to meet with the leftmost  $\theta^*$ . In the corresponding Feynman diagram the  $\theta$  fermion would travel from the A-type interaction vertex all the way to

the bottom of the tree. However, this diagram gives a zero contribution to  $\mathcal{O}(T,C)(\Psi)$ , for at least two reasons: firstly, in the calculation we see parallel identical fermion lines which vanish (since  $\theta^2 = 0$ ), and secondly the leftmost  $\theta$  may in this case be commuted left to give zero on p, after the "guard"  $\theta^*$  is cancelled by the other  $\theta$ .

The following simple identity is well-known in the literature in connection with soft photons and infrared divergences in quantum field theory, see for instance [5, Ch 13] and [6, p.204]. The amplitudes for our Feynman diagrams will involve a generalisation.

**Lemma 3.9.** Given a sequence  $a_1, \ldots, a_m \geq 1$  of integers,

(3.11) 
$$\sum_{\sigma \in \mathfrak{S}_m} \frac{1}{a_{\sigma(1)}(a_{\sigma(1)} + a_{\sigma(2)}) \cdots (a_{\sigma(1)} + \cdots + a_{\sigma(m)})} = \frac{1}{a_1 \cdots a_m}.$$

**Definition 3.10.** Given a sequence  $a_1, \ldots, a_m \geq 1$  of integers and  $a \geq 0$  we define

$$\zeta_a(a_1, \dots, a_m) = a_1 \cdots a_m \cdot \sum_{\sigma \in \mathfrak{S}_m} \prod_{i=1}^m \left( a + \sum_{j=1}^i a_{\sigma(j)} \right)^{-1} \\
= \sum_{\sigma \in \mathfrak{S}} \frac{a_1 \cdots a_m}{(a + a_{\sigma(1)})(a + a_{\sigma(1)} + a_{\sigma(2)}) \cdots (a + a_{\sigma(1)} + \cdots + a_{\sigma(m)})}.$$

Clearly this is a symmetric function of the  $a_i$  and, by the previous lemma,

(3.12) 
$$\zeta_0(a_1, \dots, a_m) = 1.$$

As we have described it above, the Feynman diagrams have as incoming and outgoing particles the fermions  $\psi_i^*$ 's, while the internal edges involve two kinds of virtual particles, the bosonic  $x_i$ 's and fermionic  $\theta_i$ 's. A configuration C gives rise to numerous Feynman diagrams, but it will turn out that all diagrams with nonzero amplitude have the *same* pattern of connections among the virtual particles. This means that to a configuration C and edge e of T we may associate the *number*  $N_C(e)$  of virtual particles entering e.

For the next two definitions we take  $T \in \mathcal{T}_q$  and  $C \in \text{Con}(T)$ .

**Definition 3.11.** Given an internal edge e of T we define

$$N_C(e) = \sum_{v < e} \sum_{j \in J(v)} |\gamma_j(v)| - \sum_{z < e} m(z)$$

where v ranges over all inputs and internal edges of T which are strictly above e in A(T), and z ranges over all internal vertices of T which are strictly above e.

This counts the number of virtual particles entering v from above, since an A-type interaction with indices  $a, j, \gamma$  creates  $|\gamma|$  virtual particles, a B-type interaction preserves the number of virtual particles, and a C-type interaction decreases the number by one.

**Example 3.12.** In the situation of Example 3.7,  $N_C(e) = 1$ .

Combinatorially the most important part of the  $A_{\infty}$ -products in the minimal model for W is the rational number  $Z_C$  in the next definition. We use the following shorthand: if e is an internal edge of T assigned by the configuration C the data m = m(v), J = J(v) and  $\{\gamma_j\}_{j\in J}$ , then we write

(3.13) 
$$\underline{\gamma}(e) = (|\gamma_j|)_{j \in J} = (|\gamma_{j_1}|, \dots, |\gamma_{j_m}|)$$

for the sequence of total degrees where  $J = \{j_1, \ldots, j_m\}$ .

**Definition 3.13.** We define

$$Z(T,C) = \prod_{e} \frac{\zeta_{N_C(e)}(\underline{\gamma}(e))}{N_C(e)} \in \mathbb{Q}$$

where is the product is over all internal edges e of T with  $N_C(e) \neq 0$ . For an edge e with m(e) = 0 and thus  $\underline{\gamma}(e) = \emptyset$  we take  $\zeta_{N_C(e)}(\underline{\gamma}(e)) = 1$ . If there are no internal edges then we take  $Z(T,C) = \overline{1}$ .

We note that in the definition of the higher products  $\rho_q$  below, any pair (T, C) which has an internal edge e with  $N_C(e) = 0$  will not contribute to the sum in any case, so we do not care about Z(T, C) for such configurations.

**Example 3.14.** When  $m(e) = 1, J(e) = \{j\}$  and we write  $\gamma = \gamma_j(e), N = N_C(e)$ , we have

$$\zeta_{N_C(e)}(\underline{\gamma}(e)) = \frac{|\gamma|}{N + |\gamma|}.$$

In the case where m(e) = 2,  $J(e) = \{j, j'\}$  and we write  $\gamma = \gamma_j(e)$ ,  $\gamma' = \gamma_{j'}(e)$ ,

$$\zeta_{N_C(e)}(\underline{\gamma}(e)) = \frac{|\gamma||\gamma'|}{N + |\gamma| + |\gamma'|} \left( \frac{1}{N + |\gamma|} + \frac{1}{N + |\gamma'|} \right).$$

In particular, for the tree and configuration of Examples 3.2, 3.7 we only have one internal edge e with m(e) = 0, so  $Z(T, C) = \frac{1}{N_C(e)} = 1$ .

**Definition 3.15.** We define the map  $\rho_q : \mathscr{B}[1]^{\otimes q} \longrightarrow \mathscr{B}[1]$  of degree +1 on homogeneous elements  $\Lambda_1, \ldots, \Lambda_q \in \mathscr{B}$  by

$$\rho_q(\Lambda_q, \dots, \Lambda_1) = \sum_{T \in \mathcal{T}_q} \sum_{C \in \text{Con}(T)} (-1)^{Q(T,\underline{\Lambda})} Z(T,C) \cdot \mathcal{O}(T,C)(\Lambda_1, \dots, \Lambda_q)$$

where  $\mathcal{O}(T,C)$  is from Definition 3.6 and the sign is given by

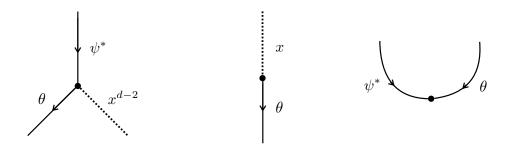
$$Q(T,\underline{\Lambda}) = e_i(T) + q + 1 + \sum_{1 \le i < j \le q} \widetilde{\Lambda}_i \widetilde{\Lambda}_j + \sum_{i=1}^q \widetilde{\Lambda}_i C_i.$$

Here  $C_i$  is the number of times the path from the *i*th input to the root enters a trivalent vertex from the left in T,  $e_i(T)$  is the number of internal edges and  $\widetilde{\Lambda} = |\Lambda| - 1$ .

**Theorem 3.16.** The operators  $\rho_q$  satisfy the forward suspended  $A_{\infty}$ -constraints, so that  $(\mathcal{B}, \{\rho_q\}_{q\geq 2})$  is an  $A_{\infty}$ -algebra. Moreover  $\mathcal{B}$  is  $A_{\infty}$ -quasi-isomorphic to  $\mathcal{A}$ .

*Proof.* See Section ??.

**Example 3.17.** The potential  $W = x^d$  for d > 2 has the following Feynman rules:



Let us compute the  $A_{\infty}$ -products  $\rho_q$  on  $\mathscr{B}$ :

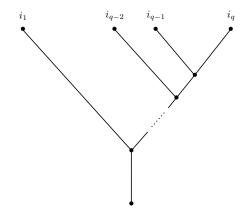
• For q=2 there is only one tree  $T \in \mathcal{T}_2$ , which has no internal edge, and therefore no configuration C containing an A-interaction (that is, m(v) > 0 at an input vertex) which contributes to  $\rho_2$ . So it is clear that

(3.14) 
$$\rho_2(\Lambda_2, \Lambda_1) = (-1)^{\widetilde{\Lambda_1}\widetilde{\Lambda_2} + \widetilde{\Lambda_1} + 1} \Lambda_1 \cdot \Lambda_2$$

where  $(-) \cdot (-)$  denotes the usual product in the exterior algebra. This is just the forward suspension of the product in the exterior algebra.

• For q > 2 let C be a configuration with  $\mathcal{O}(T,C) \neq 0$ . Then C must have at least one A-type interaction, since otherwise the  $\partial_x$  in the B-type interactions are applied to  $1 \in R$ . Moreover, these A-type interactions can only be inserted at input vertices, since at an internal edge (3.9) would contribute  $\theta^2 = 0$ . In terms of the Feynman diagram, there will be parallel  $\theta$ -lines and thus a vanishing amplitude.

For the same reason, the  $\theta$  coming out of the B-type interaction at an internal edge e must be consumed in a C-type interaction at the vertex v immediately after e on the path to the root: if not, it will annihilate with the  $\theta$  emitted at the next internal edge, or, if v is adjacent to the root, it will annihilate with p. This means that e must be the second branch at v, from which we deduce that T is



It is then clear that there is *precisely* one A-type interaction in C, and it takes place at the vertex marked  $i_q$  in the diagram. But since T contains q-2 internal edges, if  $\mathcal{O}(T,C) \neq 0$  then we must have q=d. That is,  $\rho_q=0$  unless  $q \in \{2,d\}$ .

• Finally, let us describe  $\rho_d: \mathscr{B}^{\otimes d} \longrightarrow \mathscr{B}$  on the basis of tensors  $(\psi^*)^{s_0} \otimes \cdots \otimes (\psi^*)^{s_d}$  where  $s_j \in \{0,1\}$  for  $1 \leq j \leq d$ . We require a copy of  $\psi^*$  at each input  $i_1, \ldots, i_{q-1}$  in order to meet the  $\theta$  emitted at  $i_q$  by the A-type interaction and the  $\theta$  emitted each internal edge, and we need a  $\psi^*$  at  $i_q$  to feed the A-type interaction there. Hence  $\rho_d$  is zero on all the basis elements except for

$$\rho_d(\psi^* \otimes \psi^* \otimes \cdots \otimes \psi^*) = (-1)^{Q(T,\underline{\Lambda})}.$$

To compute the sign, observe that  $e_i(T) = d - 2$  and  $\Lambda_i = \psi^*$  is odd so  $\widetilde{\Lambda}_i$  is even, whence  $Q(T, \underline{\Lambda}) = (d-2) + d + 1 = 1$ .

In summary: the underlying  $\mathbb{Z}_2$ -graded k-module of  $\mathscr{B}$  is the exterior algebra  $\bigwedge(k\psi^*)$  and the  $A_{\infty}$ -products  $\rho_q$  are zero unless  $q \in \{2, d\}$ . The product  $\rho_2$  is the forward suspended version of the usual product on the exterior algebra, while

$$\rho_d(\psi^* \otimes \psi^* \otimes \cdots \otimes \psi^*) = -1.$$

## 4 Perturbation and Koszul complexes

Let k be a characteristic zero field,  $R = k[x_1, \ldots, x_n]$  and let  $W \in \mathfrak{m}^2$  be a potential with chosen decomposition  $W = \sum_{i=1}^n x_i W^i$ . To apply the  $A_{\infty}$ -minimal model construction to

$$\mathcal{A} = \left( \operatorname{End}_{R}(k^{\operatorname{stab}}), \ \partial = [d_{k^{\operatorname{stab}}}, -] \right)$$
$$= \left( R \otimes_{k} \operatorname{End}_{k} \left( \bigwedge \left( \bigoplus_{i=1}^{n} k \psi_{i} \right) \right), \partial = \sum_{i} x_{i} [\psi_{i}^{*}, -] + \sum_{i} W^{i} [\psi_{i}, -] \right)$$

we first enlarge this DG-algebra to  $S \otimes_k \mathscr{A}$ , where S is the exterior algebra

$$(4.1) S = \bigwedge \left( \bigoplus_{i=1}^{n} k\theta_i \right)$$

viewed as a  $\mathbb{Z}_2$ -graded DG-algebra with grading  $|\theta_i| = 1$  and zero differential. We also need to introduce the  $n\mathbb{Z}_2$ -graded vector space

(4.2) 
$$\mathscr{E} = \operatorname{End}_k \left( \bigwedge \left( \bigoplus_{i=1}^n k \psi_i \right) \right)$$

and the Koszul complex of  $x_1, \ldots, x_n$ ,

(4.3) 
$$K = \left(R \otimes \bigwedge \left( \bigoplus_{i=1}^{n} k\theta_i \right), \ d_K = \sum_{i} x_i \theta_i^* \right)$$

Note that  $S \otimes_k \mathscr{A}$  has the same underlying  $\mathbb{Z}_2$ -graded k-module as  $K \otimes_R \mathscr{A}$ .

**Theorem 4.1.** There is a diagram of k-linear homotopy equivalences

$$(4.4) \qquad \left(S \otimes_k \mathscr{A}, \partial\right) \xrightarrow{\exp(-\delta)} \left(K \otimes_R \mathscr{A}, d_K + \partial\right) \xrightarrow{\pi} \left(\mathscr{B}, 0\right).$$

Proof. See 
$$[2]$$
.

The notation is as follows:

• The complexes involved here are

$$\left(S \otimes \operatorname{End}_{R}(k^{\operatorname{stab}}), \ \partial\right)$$
  
 $\left(K \otimes_{R} \operatorname{End}_{R}(k^{\operatorname{stab}}), \ d_{K} + \partial\right)$   
 $\left(\mathscr{E}, 0\right).$ 

• The operator  $\psi_j = \psi_j \wedge -$  on  $k^{\text{stab}}$  satisfies

$$[\psi_j, d_{k^{\text{stab}}}] = [\psi_j, \sum_i x_i \psi_i^*] = x_j \cdot 1,$$

where [-,-] always denotes the graded commutator. That is,  $\psi_j$  is a homotopy for the action of  $x_j$  on  $k^{\text{stab}}$ . It is then easy to check that the odd operator  $\alpha \mapsto \psi_j \circ \alpha$  on  $\text{End}_R(k^{\text{stab}})$  is also a homotopy for  $x_j$ , and where it will not cause confusion we will also write  $\psi_j$  for this operator of post-composition.

• Both  $S \otimes \mathscr{A}_W$  and  $K \otimes_R \mathscr{A}_W$  have the same underlying  $\mathbb{Z}_2$ -graded k-module, namely

$$(4.5) R \otimes \bigwedge (\bigoplus_{i=1}^{n} k\theta_i) \otimes \operatorname{End}_k \left( \bigwedge (\bigoplus_{i=1}^{n} k\psi_i) \right).$$

On this space we define the even operator

(4.6) 
$$\delta = \sum_{i=1}^{n} \psi_i \theta_i^*,$$

where  $\psi_i$  is the operator on  $\operatorname{End}_R(k^{\operatorname{stab}})$  discussed above, given by  $\alpha \mapsto (\psi_i \wedge -) \circ \alpha$ , and  $\theta_i^*$  acts by contraction on S. It is easy to check that  $\exp(\delta), \exp(-\delta)$  intertwines the differentials  $\partial$  and  $d_K + \partial$  and therefore gives an isomorphism between the first two complexes in (4.4) [2, Proposition 4.11].

• The morphism of complexes  $\pi: K \longrightarrow R/\mathfrak{m}$  is defined by composing the projection of K onto the submodule  $R \cdot 1$  of  $\theta$ -degree zero forms, with the quotient  $R \longrightarrow R/\mathfrak{m}$ . We also write  $\pi$  for the result of tensoring this morphism with  $\operatorname{End}_R(k^{\operatorname{stab}})$  to obtain

$$K \otimes_R \operatorname{End}_R(k^{\operatorname{stab}}) \xrightarrow{\pi \otimes 1} R/\mathfrak{m} \otimes_R \operatorname{End}_R(k^{\operatorname{stab}}) = \operatorname{\underline{End}}(k^{\operatorname{stab}}).$$

This is a morphism of complexes, because the differential on  $\operatorname{End}_R(k^{\operatorname{stab}})$  vanishes on the quotient  $\operatorname{End}(k^{\operatorname{stab}})$  by the hypothesis that  $W \in \mathfrak{m}^2$ .

• The k-linear homotopy inverse  $\sigma_{\infty}$  to  $\pi$  in (4.4) is defined in terms of the following ingredients. The first is the k-linear connection (viewing  $\theta_i$  as a 1-form)

(4.7) 
$$\nabla: K \longrightarrow K, \qquad \nabla = \sum_{i} \partial_{i} \theta_{i}$$

and the degree -1 (with respect to the  $\theta$ -degree) k-linear operator

$$(4.8) H = [d_K, \nabla]^{-1} \nabla.$$

We write  $\partial$  for the differential on  $\operatorname{End}_R(k^{\operatorname{stab}})$ , and  $\sigma: k \longrightarrow K$  for the map which sends  $\lambda \in k$  to  $\lambda \cdot 1 \in K$ , and define k-linear maps

(4.9) 
$$\sigma_{\infty} = \sum_{m>0} (-1)^m (H\partial)^m \sigma,$$

(4.10) 
$$H_{\infty} = \sum_{m>0} (-1)^m (H\partial)^m H.$$

Here  $H_{\infty}$  is an odd operator on  $K \otimes_R \operatorname{End}_R(k^{\operatorname{stab}})$ . These maps satisfy:

$$(4.11) \pi\sigma_{\infty} = 1,$$

(4.12) 
$$\sigma_{\infty}\pi = 1 - [d_K + \partial, H_{\infty}].$$

By inspection of (4.4), we therefore have the following:

**Proposition 4.2.** There is a diagram of morphisms of  $\mathbb{Z}_2$ -graded k-complexes

$$(4.13) S \otimes \mathscr{A}_W \xrightarrow{\Phi}_{\Phi^{-1}} \mathscr{E}$$

where  $\Phi = \pi \exp(-\delta)$ ,  $\Phi^{-1} = \exp(\delta)\sigma_{\infty}$  and  $\widehat{H} = \exp(\delta)H_{\infty}\exp(-\delta)$  satisfy

(4.14) 
$$\Phi\Phi^{-1} = 1, \qquad \Phi^{-1}\Phi = 1 - [\partial, \widehat{H}].$$

Thus,  $\Phi$  and  $\Phi^{-1}$  are mutually inverse homotopy equivalences over k.

The endomorphism algebra  $C = \operatorname{End}_k(S)$  is a Clifford algebra generated by the contraction  $\theta_i^*$  and wedge product  $\theta_i$  operators. It is observed in [2] that (4.13) is an isomorphism of Clifford representations (in the homotopy category) when the complex  $\mathscr{E}$  is equipped with the action induced by Atiyah classes:

**Definition 4.3.** The Atiyah classes of  $\mathscr{A}_W$  are the R-linear odd operators

$$\operatorname{At}_i = [\partial, \partial_i] : \operatorname{End}_R(k^{\operatorname{stab}}) \longrightarrow \operatorname{End}_R(k^{\operatorname{stab}}),$$

Lemma 4.4. We have

$$\operatorname{At}_{i} = -[\psi_{i}^{*}, -] - \sum_{q=1}^{n} \partial_{i}(W^{q})[\psi_{q}, -].$$

*Proof.* By direct calculation:

$$\begin{split} \operatorname{At}_i &= \left[ [d_{k^{\operatorname{stab}}}, -], \partial_i \right] \\ &= \sum_q \left[ x_q [\psi_q^*, -], \partial_i \right] + \sum_q \left[ W^q [\psi_q, -], \partial_i \right] \\ &= - \sum_q \partial_i (x_q) [\psi_q^*, -] - \sum_q \partial_i (W^q) [\psi_q, -] \\ &= - [\psi_i^*, -] - \sum_q \partial_i (W^q) [\psi_q, -] \,. \end{split}$$

We define the even operator  $\delta_i = \psi_i \theta_i^*$  on the underlying module (4.5) of  $S \otimes \mathscr{A}_W$ , so that  $\delta = \sum_i \delta_i$ . Since  $[\delta_i, \delta_j] = 0$  for all i, j we have

(4.15) 
$$\exp(\pm \delta) = \exp(\pm \delta_1) \cdots \exp(\pm \delta_n).$$

**Lemma 4.5.** For  $1 \le i \le n$  there is a commutative diagram

$$(4.16) (S \otimes \mathscr{A}_{W}) \otimes (S \otimes \mathscr{A}_{W}) \xrightarrow{m_{2}} S \otimes \mathscr{A}_{W}$$

$$\delta_{i} \otimes 1 + 1 \otimes \delta_{i} + [\psi_{i}, -] \otimes \theta_{i}^{*} \downarrow \qquad \qquad \downarrow \delta_{i}$$

$$(S \otimes \mathscr{A}_{W}) \otimes (S \otimes \mathscr{A}_{W}) \xrightarrow{m_{2}} S \otimes \mathscr{A}_{W}$$

#### **Definition 4.6.** We define

$$(4.17) \Xi_i = [\psi_i, -] \otimes \theta_i^* : S \otimes \mathscr{A}_W \longrightarrow S \otimes \mathscr{A}_W.$$

and

$$(4.18) \Xi = \sum_{i} \Xi_{i} .$$

#### **Proposition 4.7.** There is a commutative diagram

We have for m > 0

$$(H\partial)^m = \prod_{i=1}^m \sum_{j_i=1}^n H(x_{j_i}[\psi_{j_i}^*, -] + W^{j_i}[\psi_{j_i}, -]).$$

Let us write

(4.20) 
$$f_j^u = \begin{cases} x_j & u = 0 \\ W^j & u = 1 \end{cases} \quad \psi_j^u = \begin{cases} \psi_j^* & u = 0 \\ \psi_j & u = 1 \end{cases}.$$

and for  $f \in R$  write  $f = \sum_{l>0} f_l$  where  $f_l$  is homogeneous of degree d. Then

$$(H\partial)^m = \sum_{\mathbf{j},\mathbf{u}} \prod_{i=1}^m H\left(f_{j_i}^{u_i}[\psi_{j_i}^{u_i}, -]\right)$$

$$= \sum_{\mathbf{j},\mathbf{u},\mathbf{l}} \prod_{i=1}^m H\left(f_{j_i,l_i}^{u_i}[\psi_{j_i}^{u_i}, -]\right)$$

$$= \sum_{\mathbf{j},\mathbf{u},\mathbf{l}} \prod_{i=1}^m [d_K, \nabla]^{-1} \nabla\left(f_{j_i,l_i}^{u_i}[\psi_{j_i}^{u_i}, -]\right).$$

where **j** ranges over elements in of  $\{1, \ldots, n\}^m$ , **u** over elements of  $\{0, 1\}^m$  and **l** ranges over elements of  $\mathbb{Z}_{\geq 1}^m$ . Note that  $W^i$  contains no constant term, since by hypothesis  $W \in \mathfrak{m}^2$ . Our convention is that products of operators expand from left to right as i increases, so that the rightmost factor in the product is i = m.

Recall that  $\nabla = \sum_i \partial_i \theta_i$  and  $[d_K, \nabla]$  acts on a tensor product  $f \otimes \omega$ , where f is a homogeneous polynomial and  $\omega$  a homogeneous form in the  $\theta$ s, by

$$[d_K, \nabla](f \otimes \omega) = (|f| + |\omega|)f \otimes \omega.$$

Now suppose we feed into  $(H\partial)^m$  a tensor  $f \otimes \omega$  as above (to be clear, there may be  $\psi^*$ 's in this input, but they will not play a role in the following calculation). Each time we multiply with  $f_{j_i,l_i}^{u_i}$  we increase the polynomial degree by  $l_i$ , and each time we apply  $\nabla$  we decrease the polynomial degree by one, while increasing the theta-degree by one, so overall there is no change in the sum of the polynomial and  $\theta$ -degree.

If we write  $a=|f|+|\omega|$  this means the first  $[d_K,\nabla]^{-1}$  to act (by which we mean the rightmost one) will act by multiplication with  $\frac{1}{a+l_m}$ , the second will act as  $\frac{1}{a+l_{m-1}+l_m}$  and so on. This leads to an un-symmetrised version of  $\zeta$  from Definition 3.10:

**Definition 4.8.** Given a sequence  $a_1, \ldots, a_m \ge 1$  of integers and  $a \ge 0$  we define

(4.21) 
$$\zeta_a(a_1,\ldots,a_m) = \frac{1}{(a+a_m)(a+a_{m-1}+a_m)\cdots(a+a_1+\cdots+a_m)}.$$

With  $\beta \in \{H, \sigma\}$  and using  $\nabla^2 = 0$ ,  $\nabla \sigma = 0$  and  $[\nabla, f[\psi, -]] = -\sum_q \partial_q(f)[\psi, -]\theta_q$  this becomes

$$(H\partial)^{m}(f\otimes\omega) = \sum_{\mathbf{j},\mathbf{u},\mathbf{l}} \zeta_{|f|+|\omega|}(l_{1},\ldots,l_{m}) \Big\{ \prod_{i=1}^{m} \nabla \circ \big(f_{j_{i},l_{i}}^{u_{i}}[\psi_{j_{i}}^{u_{i}},-]\big) \Big\} \beta(f\otimes\omega)$$

$$= \sum_{\mathbf{j},\mathbf{u},\mathbf{l}} \zeta_{|f|+|\omega|}(l_{1},\ldots,l_{m}) \Big\{ \prod_{i=1}^{m} \Big[\nabla, f_{j_{i},l_{i}}^{u_{i}}[\psi_{j_{i}}^{u_{i}},-]\Big] \Big\} \beta(f\otimes\omega)$$

$$= \sum_{\mathbf{j},\mathbf{u},\mathbf{l},\mathbf{z}} (-1)^{m} \zeta_{|f|+|\omega|}(l_{1},\ldots,l_{m}) \Big\{ \prod_{i=1}^{m} \partial_{z_{i}}(f_{j_{i},l_{i}}^{u_{i}})[\psi_{j_{i}}^{u_{i}},-]\theta_{z_{i}} \Big\} \beta(f\otimes\omega)$$

where **z** ranges over  $\{1, \ldots, n\}^m$ .

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