

# Progress on Mazur's program B

David Zureick-Brown

Emory University

Slides available at <http://www.mathcs.emory.edu/~dzb/slides/>

JMM Special Session on Number Theory, Arithmetic Geometry, and  
Computation

January 19, 2019

# Mazur's Program B

As presented at Modular functions in one variable V in Bonn

Theorem 1 also fits into a general program:

B. Given a number field  $K$  and a subgroup  $H$  of  $GL_2 \hat{\mathbb{Z}} = \prod_p GL_2 \mathbb{Z}_p$  classify  
all elliptic curves  $E/K$  whose associated Galois representation on torsion points  
maps  $\text{Gal}(\bar{K}/K)$  into  $H \subset GL_2 \hat{\mathbb{Z}}$ .

Mazur - Rational points on modular curves (1977)

# Background - Image of Galois

$$\rho_{E,n}: G_{\mathbb{Q}} \twoheadrightarrow H(n) \hookrightarrow \mathrm{GL}_2(\mathbb{Z}/n\mathbb{Z})$$

$$\left\{ \begin{array}{c} \overline{\mathbb{Q}} \\ \downarrow \\ \overline{\mathbb{Q}}^{\ker \rho_{E,n}} = \mathbb{Q}(E[n]) \\ \downarrow \\ \mathbb{Q} \end{array} \right\} H(n)$$

Problem (Mazur's "program B")

*Classify all possibilities for  $H(n)$ .*

## Example - torsion on an elliptic curve

If  $E$  has a  $K$ -rational **torsion point**  $P \in E(K)[n]$  (of exact order  $n$ ) then:

$$H(n) \subset \begin{pmatrix} 1 & * \\ 0 & * \end{pmatrix}$$

since for  $\sigma \in G_K$  and  $Q \in E(\overline{K})[n]$  such that  $E(\overline{K})[n] \cong \langle P, Q \rangle$ ,

$$\sigma(P) = P$$

$$\sigma(Q) = a_\sigma P + b_\sigma Q$$

## Example - Isogenies

If  $E$  has a  $K$ -rational, **cyclic isogeny**  $\phi: E \rightarrow E'$  with  $\ker \phi = \langle P \rangle$  then:

$$H(n) \subset \begin{pmatrix} * & * \\ 0 & * \end{pmatrix}$$

since for  $\sigma \in G_K$  and  $Q \in E(\overline{K})[n]$  such that  $E(\overline{K})[n] \cong \langle P, Q \rangle$ ,

$$\sigma(P) = a_\sigma P$$

$$\sigma(Q) = b_\sigma P + c_\sigma Q$$

## Example - other maximal subgroups

### Normalizer of a split Cartan:

$$N_{\text{sp}} = \left\langle \begin{pmatrix} * & 0 \\ 0 & * \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \right\rangle$$

$H(n) \subset N_{\text{sp}}$  and  $H(n) \not\subset C_{\text{sp}}$  iff

- there exists an unordered pair  $\{\phi_1, \phi_2\}$  of cyclic isogenies,
- whose kernels intersect trivially,
- neither of which is defined over  $K$
- but which are both defined over some quadratic extension of  $K$
- and which are Galois conjugate.

# Modular curves

## Definition

- $X(N)(K) := \{(E/K, P, Q) : E[N] = \langle P, Q \rangle\} \cup \{\text{cusps}\}$
- $X(N)(K) \ni (E/K, P, Q) \Leftrightarrow \rho_{E,N}(G_K) = \{I\}$

## Definition

$\Gamma(N) \subset H \subset \text{GL}_2(\widehat{\mathbb{Z}})$  (finite index)

- $X_H := X(N)/H$
- $X_H(K) \ni (E/K, \iota) \Leftrightarrow H(N) \subset H \pmod{N}$

## Stacky disclaimer

This is only true up to twist; there are some subtleties if

- 1  $j(E) \in \{0, 12^3\}$  (plus some minor group theoretic conditions), or
- 2 if  $-I \in H$ .

# Rational Points on modular curves

## Mazur's program B

Compute  $X_H(\mathbb{Q})$  for all  $H$ .

## Remark

- Sometimes  $X_H \cong \mathbb{P}^1$  or elliptic with rank  $X_H(\mathbb{Q}) > 0$ .
- Some  $X_H$  have *sporadic* points.
- Can compute  $g(X_H)$  group theoretically (via Riemann–Hurwitz).

## Fact

$$g(X_H), \gamma(X_H) \rightarrow \infty \text{ as } [\mathrm{GL}_2(\widehat{\mathbb{Z}}) : H] \rightarrow \infty.$$



# Sample subgroup (Serre)

$$\begin{array}{ccccc} \ker \phi_2 & \subset & H(8) & \subset & \mathrm{GL}_2(\mathbb{Z}/8\mathbb{Z}) & \dim_{\mathbb{F}_2} \ker \phi_2 = 3 \\ & & \downarrow \phi_2 & & \downarrow & \\ I + 2M_2(\mathbb{Z}/2\mathbb{Z}) & \subset & H(4) & = & \mathrm{GL}_2(\mathbb{Z}/4\mathbb{Z}) & \dim_{\mathbb{F}_2} \ker \phi_1 = 4 \\ & & \downarrow \phi_1 & & \downarrow & \\ & & H(2) & = & \mathrm{GL}_2(\mathbb{Z}/2\mathbb{Z}) & \end{array}$$

$$\chi: \mathrm{GL}_2(\mathbb{Z}/8\mathbb{Z}) \rightarrow \mathrm{GL}_2(\mathbb{Z}/2\mathbb{Z}) \times (\mathbb{Z}/8\mathbb{Z})^* \rightarrow \mathbb{Z}/2\mathbb{Z} \times (\mathbb{Z}/8\mathbb{Z})^* \cong \mathbb{F}_2^3.$$

$$\chi = \mathrm{sgn} \times \det$$

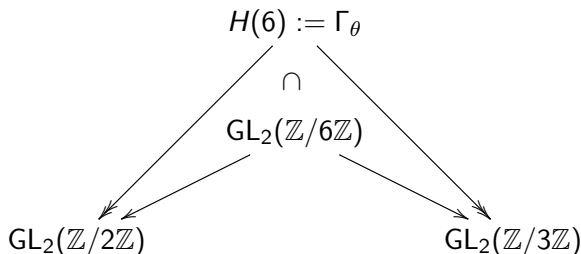
$$H(8) := \chi^{-1}(G), \quad G \subset \mathbb{F}_2^3.$$

# A typical subgroup

$\ker \phi_4 \subset H(32) \subset \mathrm{GL}_2(\mathbb{Z}/32\mathbb{Z})$	$\dim_{\mathbb{F}_2} \ker \phi_4 = 4$
$\downarrow \phi_4$	$\downarrow$
$\ker \phi_3 \subset H(16) \subset \mathrm{GL}_2(\mathbb{Z}/16\mathbb{Z})$	$\dim_{\mathbb{F}_2} \ker \phi_3 = 3$
$\downarrow \phi_3$	$\downarrow$
$\ker \phi_2 \subset H(8) \subset \mathrm{GL}_2(\mathbb{Z}/8\mathbb{Z})$	$\dim_{\mathbb{F}_2} \ker \phi_2 = 2$
$\downarrow \phi_2$	$\downarrow$
$\ker \phi_1 \subset H(4) \subset \mathrm{GL}_2(\mathbb{Z}/4\mathbb{Z})$	$\dim_{\mathbb{F}_2} \ker \phi_1 = 3$
$\downarrow \phi_1$	$\downarrow$
$H(2) = \mathrm{GL}_2(\mathbb{Z}/2\mathbb{Z})$	

# Non-abelian entanglements

There exists a surjection  $\theta: \mathrm{GL}_2(\mathbb{Z}/3\mathbb{Z}) \rightarrow \mathrm{GL}_2(\mathbb{Z}/2\mathbb{Z})$ .



$$\mathrm{im} \rho_{E,6} \subset H(6) \Leftrightarrow j(E) = 2^{10}3^3t^3(1-4t^3) \Rightarrow K(E[2]) \subset K(E[3]).$$
$$X_H \cong \mathbb{P}^1 \xrightarrow{j} X(1).$$

# Main conjecture

## Conjecture (Serre)

Let  $E$  be an elliptic curve over  $\mathbb{Q}$  without CM. Then for  $\ell > 37$ ,  $\rho_{E,\ell}$  is surjective.

In other words, conjecturally,  $H(\ell) = \mathrm{GL}_2(\mathbb{Z}/\ell\mathbb{Z})$  for  $\ell > 37$ .

# “Vertical” image conjecture

## Conjecture

There exists a constant  $N$  such that for every  $E/\mathbb{Q}$  without CM

$$\left[ \mathrm{GL}_2(\hat{\mathbb{Z}}) : \rho_E(G_{\mathbb{Q}}) \right] \leq N.$$

## Remark

This follows from the “ $\ell > 37$ ” conjecture.

## Problem

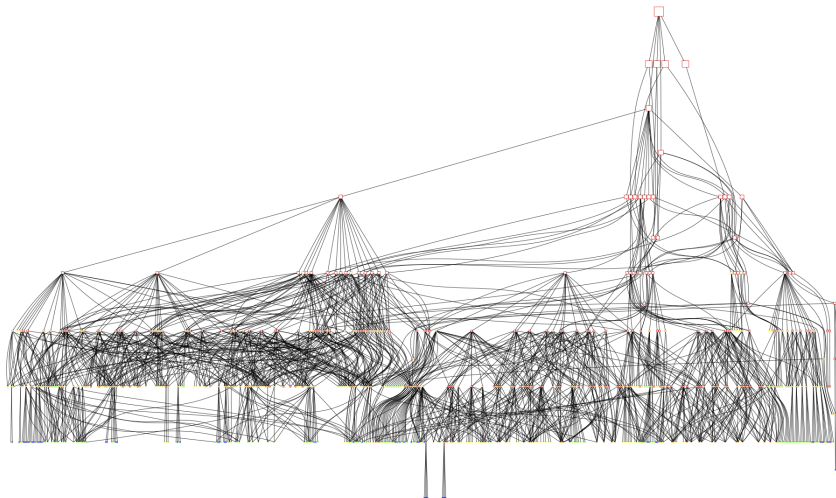
*Assume the “ $\ell > 37$ ” conjecture and compute  $N$ .*

## Rouse, ZB (2-adic)

The index of  $\rho_{E,2^\infty}(G_{\mathbb{Q}})$  divides 64 or 96; all such indices occur.

- 1 All indices dividing 96 occur infinitely often; 64 occurs only twice.
- 2 The 2-adic image is determined by the mod 32 image
- 3 1208 different images can occur for non-CM elliptic curves
- 4 There are 8 “sporadic” subgroups.

# Subgroups of $GL_2(\mathbb{Z}_2)$



## Index, # of isogeny classes

1 , 727995

2 , 7281

3 , 175042

4 , 1769

6 , 57500

8 , 577

12 , 29900

16 , 235

24 , 5482

32 , 20

48 , 1544

64 , 0 (two examples)

96 , 241 (first example -  $X_0(15)$ )

CM , 1613



**Index, # of isogeny classes**

64 , 0

$$j = -3 \cdot 2^{18} \cdot 5^3 \cdot 13^3 \cdot 41^3 \cdot 107^3 \cdot 17^{-16}$$

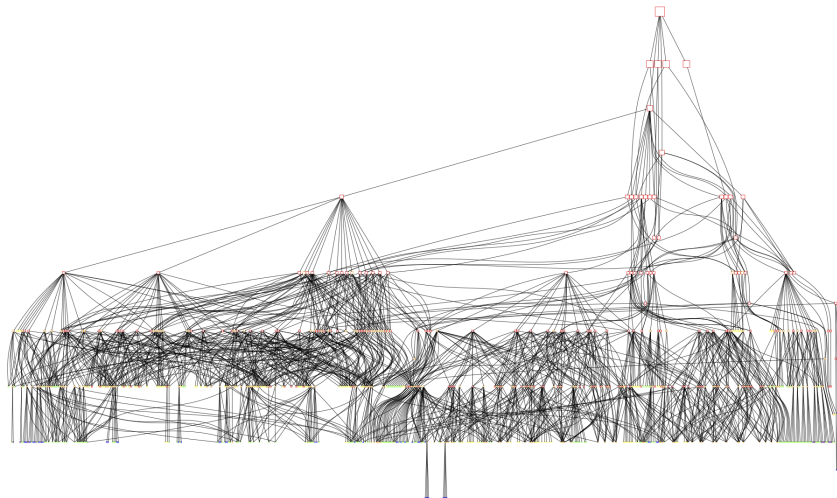
$$j = -2^{21} \cdot 3^3 \cdot 5^3 \cdot 7 \cdot 13^3 \cdot 23^3 \cdot 41^3 \cdot 179^3 \cdot 409^3 \cdot 79^{-16}$$

Rational points on  $X_{ns}^+(16)$  (Heegner, Baran)

# Proof template

- 1 Compute all arithmetically minimal  $H \subset \mathrm{GL}_2(\mathbb{Z}_2)$
- 2 Compute equations for each  $X_H$
- 3 Find (with proof) all rational points on each  $X_H$ .

# Subgroups of $GL_2(\mathbb{Z}_2)$



# Finding Equations – Basic idea

- 1 The canonical map  $C \hookrightarrow \mathbb{P}^{g-1}$  is given by  $P \mapsto [\omega_1(P) : \cdots : \omega_g(P)]$ .
- 2 For a general curve, this is an embedding, and the relations are quadratic.
- 3 For a modular curve,

$$M_k(H) \cong H^0(X_H, \Omega^1(\Delta)^{\otimes k/2})$$

given by

$$f(z) \mapsto f(z) dz^{\otimes k/2}.$$

## Equations – Example: $X_1(17) \subset \mathbb{P}^4$

$$q - 11q^5 + 10q^7 + O(q^8)$$

$$q^2 - 7q^5 + 6q^7 + O(q^8)$$

$$q^3 - 4q^5 + 2q^7 + O(q^8)$$

$$q^4 - 2q^5 + O(q^8)$$

$$q^6 - 3q^7 + O(q^8)$$

$$xu + 2xv - yz + yu - 3yv + z^2 - 4zu + 2u^2 + v^2 = 0$$

$$xu + xv - yz + yu - 2yv + z^2 - 3zu + 2uv = 0$$

$$2xz - 3xu + xv - 2y^2 + 3yz + 7yu - 4yv - 5z^2 - 3zu + 4zv = 0$$

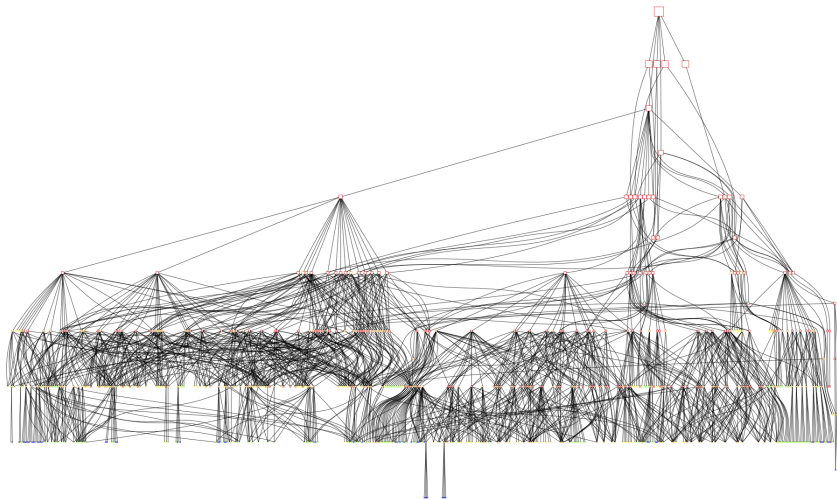
# Equations – general

- ①  $H' \subset H$  of index 2,  $X_{H'} \rightarrow X_H$  degree 2.
- ② Given equations for  $X_H$ , compute equations for  $X_{H'}$ .
- ③ Compute a new modular form on  $H'$ , compute (quadratic) relations between this and modular forms on  $H$ .
- ④ **Main technique** – if  $X_{H'}$  has “new cusps”, then write down Eisenstein series which vanish at “one new cusp, not others”.

# More 2-adic facts

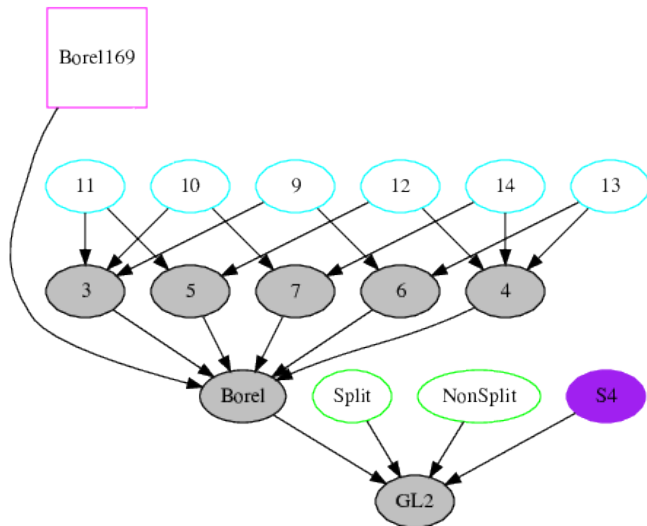
- ① There are 8 “sporadic” subgroups
  - ① Only one genus 2 curve has a sporadic point
  - ② Six genus 3 curves each have a single sporadic point
  - ③ The genus 1, 5, and 7 curves have no sporadic points
- ② Many accidental isomorphisms of  $X_H \cong X_{H'}$ .
- ③ There is one  $H$  such that  $g(X_H) = 1$  and  $X_H \in X_H(\mathbb{Q})$ .

# Subgroups of $GL_2(\mathbb{Z}_2)$

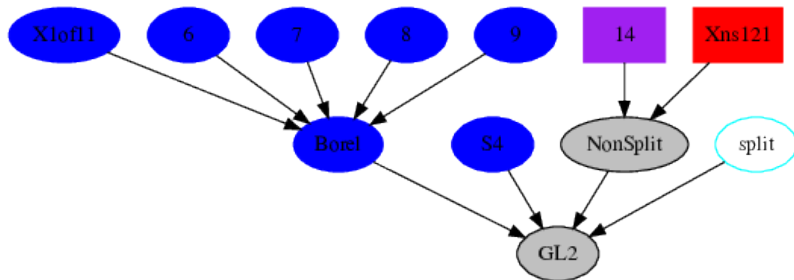




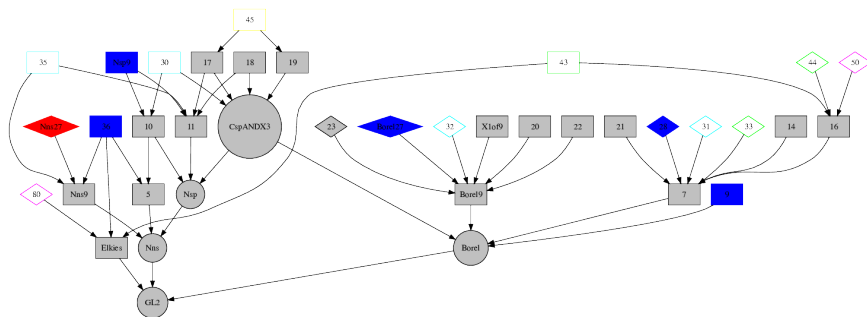
# Subgroups of $GL_2(\mathbb{Z}_{13})$



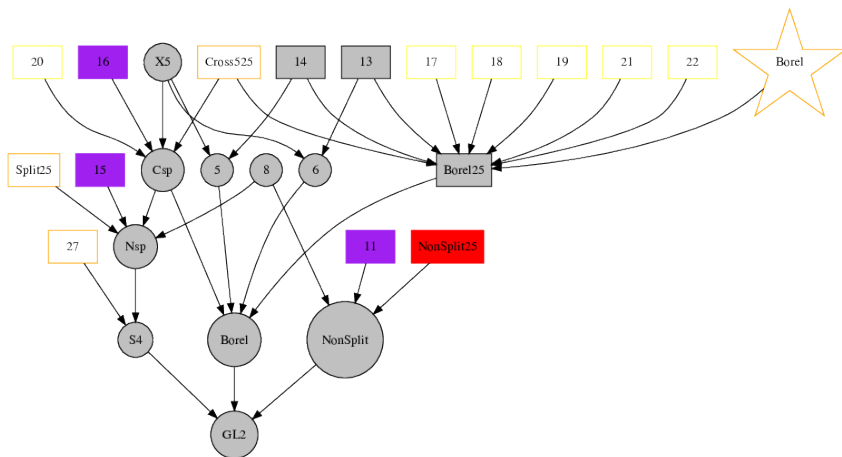
# Subgroups of $GL_2(\mathbb{Z}_{11})$



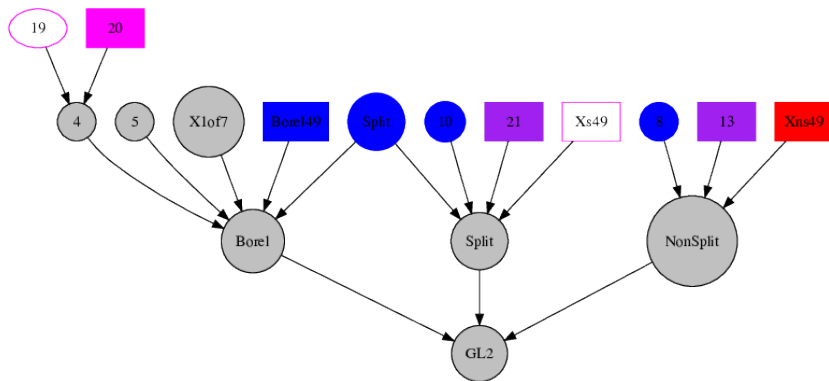
# Subgroups of $GL_2(\mathbb{Z}_3)$



# Subgroups of $GL_2(\mathbb{Z}_5)$



# Subgroups of $GL_2(\mathbb{Z}_7)$



# Rational Points: summary of remaining work.

3	$g = 12$
5	$g = 2, 4, 14$
7	$g = 9, 12, 69$
11	$g = 41, 511$
13	$X_{S_4}(13)$ (genus 3)

# Rational Points: summary of remaining work – more info.

The Untouchables	$X_{ns}^+(27), X_{ns}^+(25), X_{ns}^+(49), X_{ns}^+(121)$ $g = 12, 14, 69, 511$
Also probably untouchable ( $r \geq g$ )	$X_{13}, X_{21}, X_{14}$ $g = 9, 9, 41$ level 7, 7, 11
Cautiously optimistic ( $r \geq g$ )	$X_{11}, X_{15}, X_{16}, X_{S_4}$ $g = 2, 2, 4, 3$ level 5, 5, 5, 13
Optimistic ( $r = 3 < g$ )	$g = 12$ , level 7

# Explicit methods: highlight reel

- Local methods
- Chabauty
- Elliptic Chabauty
- Mordell–Weil sieve
- étale descent
- Pryms
- **Equationless descent via group theory.**
- **New techniques for computing** Aut  $C$ .



Thank you!