

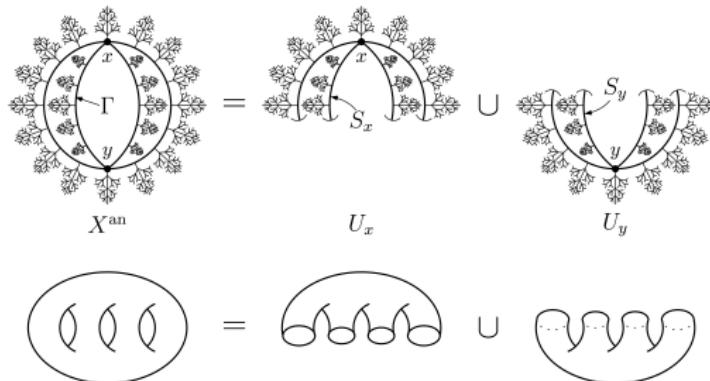
Diophantine and tropical geometry

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joint with Eric Katz (Waterloo) and Joe Rabinoff (Georgia Tech)

Slides available at <http://www.mathcs.emory.edu/~dzb/slides/>

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Basic Problem: given a system of diophantine equations,

Qualitative:

- Does there **exist** a solution?
- Do there exist **infinitely many** solutions?
- Does the set of solutions have some **extra structure** (e.g., geometric structure, group structure).

Quantitative

- How **many** solutions are there?
- How **large** is the **smallest** solution?
- How can we explicitly **find** all solutions? (With proof?)

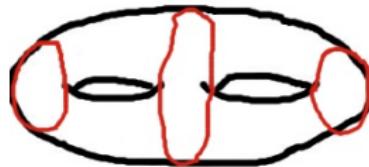
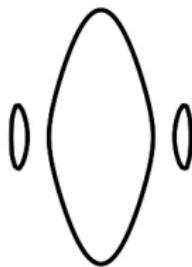
Implicit question

- Why do equations **have** (or fail to have) solutions?
- Why do some have **many** and some have **none**?
- What **underlying mathematical structures** control this?

Mordell Conjecture

Example

$$y^2 = (x^2 - 1)(x^2 - 2)(x^2 - 3)$$



This is a cross section of a two holed torus. The **genus** is the number of holes.

Conjecture (Mordell); Theorem (Faltings, Bombieri, Vojta)

A curve of genus $g \geq 2$ has only finitely many rational solutions.

Uniformity

Problem

- ① Given X , compute $X(\mathbb{Q})$ exactly.
- ② Compute bounds on $\#X(\mathbb{Q})$.

Conjecture (Uniformity)

There exists a constant $N(g)$ such that every smooth curve of genus g over \mathbb{Q} has at most $N(g)$ rational points.

Theorem (Caporaso, Harris, Mazur)

Lang's conjecture \Rightarrow uniformity.

Uniformity numerics

g	2	3	4	5	10	45	g
$B_g(\mathbb{Q})$	642	112	126	132	192	781	$16(g + 1)$

Remark

Elkies studied K3 surfaces of the form

$$y^2 = S(t, u, v)$$

with lots of rational lines, such that S restricted to such a line is a perfect square.

Coleman's bound

Theorem (Coleman)

Let X be a curve of genus g and let $r = \text{rank}_{\mathbb{Z}} \text{Jac}_X(\mathbb{Q})$. Suppose $p > 2g$ is a prime of **good reduction**. Suppose $r < g$. Then

$$\#X(\mathbb{Q}) \leq \#X(\mathbb{F}_p) + 2g - 2.$$

Remark

This can be used to provably compute $X(\mathbb{Q})$.

Example (Gordon, Grant)

$$y^2 = x(x - 1)(x - 2)(x - 5)(x - 6)$$

Analysis

① $\text{rank}_{\mathbb{Z}} \text{Jac}_X(\mathbb{Q}) = 1$, $g = 2$

② $X(\mathbb{Q})$ contains

$$\{\infty, (0, 0), (1, 0), (2, 0), (5, 0), (6, 0), (3, \pm 6), (10, \pm 120)\}$$

③ $\#\mathcal{X}_5^{\text{sm}}(\mathbb{F}_7) = 8$

④ $10 \leq \#X(\mathbb{Q}) \leq \#X(\mathbb{F}_7) + 2g - 2 = 10$

This determines $X(\mathbb{Q})$.

Coleman's bound

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Remark

- ① A modified statement holds for $p \leq 2g$ or for $K \neq \mathbb{Q}$.
- ② Note: **this does not prove uniformity** (since the first good p might be large).

Tools

p -adic integration and Riemann–Roch

Chabauty's method

(p -adic integration) There exists $V \subset H^0(X_{\mathbb{Q}_p}, \Omega_X^1)$ with $\dim_{\mathbb{Q}_p} V \geq g - r$ such that,

$$\int_P^Q \omega = 0 \quad \forall P, Q \in X(\mathbb{Q}), \omega \in V$$

(p -adic Rolle's (Coleman), via Newton Polygons)

Number of zeroes in a residue disc D_P is $\leq 1 + n_P$, where

$$n_P = \#(\operatorname{div} \omega \cap D_P)$$

(Riemann-Roch) $\sum n_P = 2g - 2$.

(Coleman's bound) $\sum_{P \in X(\mathbb{F}_p)} (1 + n_P) = \#X(\mathbb{F}_p) + 2g - 2$.

Example (from McCallum-Poonen's survey paper)

Example

$$X: y^2 = x^6 + 8x^5 + 22x^4 + 22x^3 + 5x^2 + 6x + 1$$

- ① Points reducing to $\tilde{Q} = (0, 1)$ are given by

$$x = p \cdot t, \text{ where } t \in \mathbb{Z}_p$$

$$y = \sqrt{x^6 + 8x^5 + 22x^4 + 22x^3 + 5x^2 + 6x + 1} = 1 + x^2 + \dots$$

- ② $\int_{(0,1)}^{P_t} \frac{xdx}{y} = \int_0^t (x - x^3 + \dots) dx$

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Bad reduction bound

Theorem (Lorenzini-Tucker, McCallum-Poonen)

Let X be a curve of genus g and let $r = \text{rank}_{\mathbb{Z}} \text{Jac}_X(\mathbb{Q})$. Suppose $p > 2g$ is a prime. Suppose $r < g$.

Let \mathcal{X} be a regular proper model of X . Then

$$\#X(\mathbb{Q}) \leq \#\mathcal{X}^{\text{sm}}(\mathbb{F}_p) + 2g - 2.$$

Remark (Still doesn't prove uniformity)

$\#\mathcal{X}^{\text{sm}}(\mathbb{F}_p)$ can contain an n -gon, for n arbitrarily large.

Tools

p -adic integration and arithmetic Riemann–Roch ($\mathcal{K} \cdot \mathcal{X}_p = 2g - 2$)

Models – semistable example

$$\begin{aligned}y^2 &= (x(x-1)(x-2))^3 - 5 \\&= (x(x-1)(x-2))^3 \pmod{5}.\end{aligned}$$



Note: no point can reduce to $(0, 0)$. Local equation looks like $xy = 5$

Models – semistable example (not regular)

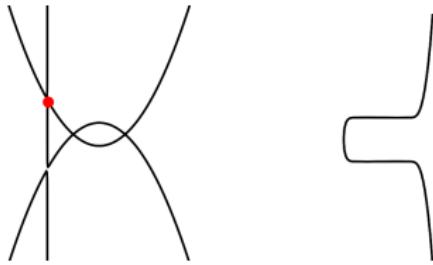
$$\begin{aligned}y^2 &= (x(x-1)(x-2))^3 - 5^4 \\&= (x(x-1)(x-2))^3 \pmod{5}\end{aligned}$$



Now: $(0, 5^2)$ reduces to $(0, 0)$. Local equation looks like $xy = 5^4$

Models – semistable example

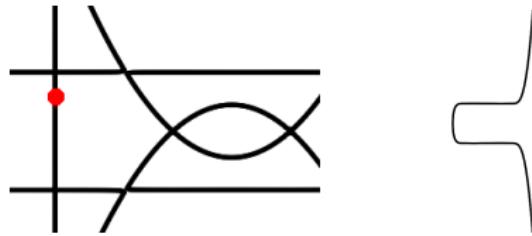
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Blow up. Local equation looks like $xy = 5^3$

Models – semistable example (regular at (0,0))

$$\begin{aligned}y^2 &= (x(x-1)(x-2))^3 - 5^4 \\&= (x(x-1)(x-2))^3 \pmod{5}\end{aligned}$$



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Tools

p -adic integration and arithmetic Riemann–Roch ($\mathcal{K} \cdot \mathcal{X}_p = 2g - 2$)

Stoll's hyperelliptic uniformity theorem

Theorem (Stoll)

Let X be a *hyperelliptic* curve of genus g and let $r = \text{rank}_{\mathbb{Z}} \text{Jac}_X(\mathbb{Q})$. Suppose $r < g - 2$.

Then

$$\#X(\mathbb{Q}) \leq 8(r + 4)(g - 1) + \max\{1, 4r\} \cdot g$$

Tools

p -adic integration on *annuli*

comparison of different *analytic continuations* of p -adic integration

p -adic *Rolle's* on hyperelliptic annuli

Analytic continuation of integrals

(Residue Discs.)

$$P \in \mathcal{X}^{\text{sm}}(\mathbb{F}_p), t: D_P \cong p\mathbb{Z}_p, \omega|_{D_P} = f(t)dt$$

(Integrals on a disc.)

$$Q, R \in D_P, \int_Q^R \omega := \int_{t(Q)}^{t(R)} f(t)dt.$$

(Integrals between discs.)

$$Q \in D_{P_1}, R \in D_{P_2}, \int_Q^R \omega := ?$$

Analytic continuation of integrals via Abelian varieties

(Integrals between discs.)

$$Q \in D_{P_1}, R \in D_{P_2}, \int_Q^R \omega := ?$$

(Albanese map.)

$$\iota: X \hookrightarrow \text{Jac}_X, Q \mapsto [Q - \infty]$$

(Abelian integrals via functoriality and additivity.)

$$\int_Q^R \iota^* \omega = \int_{\iota(Q)}^{\iota(R)} \omega = \int_{[Q - \infty]}^{[R - \infty]} \omega = \int_0^{[R - Q]} \omega = \frac{1}{n} \int_0^{n[R - Q]} \omega$$

Analytic continuation of integrals via Frobenius

(Integrals between discs.)

$$Q \in D_{P_1}, R \in D_{P_2}, \int_Q^R \omega := ?$$

(Abelian integrals via functorality and Frobenius.)

$$\int_Q^R \omega = \int_Q^{\phi(Q)} \omega + \int_{\phi(Q)}^{\phi(R)} \omega + \int_{\phi(R)}^R \omega$$

(Very clever trick (Coleman))

$$\int_{\phi(Q)}^{\phi(R)} \omega_i = \int_Q^R \phi^* \omega_i = df_i + \sum_j \int_Q^R a_{ij} \omega_j$$

Comparison of integrals

Facts

- ① For X with good reduction, the **Abelian** and **Coleman** integrals agree.
- ② A mystery. The associated Berkovich curve is contractable.
- ③ For X with bad reduction they differ.

Theorem (Stoll; Katz-Rabinoff-Zureick-Brown)

There exist linear functions $a(\omega), c(\omega)$ such that

$$\oint_Q^R \omega - \int_Q^R \omega = a(\omega) (\log(t(R)) - \log(t(Q))) + c(\omega) (t(Q) - t(R))$$

Why bother? Integration on Annuli (a trade off)

Assumption

Assume \mathcal{X}/\mathbb{Z}_p is **stable**, but not regular.

(Residue Discs.)

$P \in \mathcal{X}^{\text{sm}}(\mathbb{F}_p)$, $t: D_P \cong p\mathbb{Z}_p, \omega|_{D_P} = f(t)dt$

(Residue Annuli.)

$P \in \mathcal{X}^{\text{sing}}(\mathbb{F}_p)$, $t: D_P \cong p\mathbb{Z}_p - p^r\mathbb{Z}_p, \omega|_{D_P} = f(t, t^{-1})dt$

(Integrals on an annulus are multivalued.)

$$\int_Q^R \omega := \int_{t(Q)}^{t(R)} f(t, t^{-1})dt = \dots + \color{red}{a(\omega) \log t} + \dots$$

(Cover the annulus with discs)

Each analytic continuation implicitly chooses a branch of \log .

Why bother? Integration on Annuli (a trade off)

(**Abelian integrals.**) Analytically continue via [Albanese](#).

$$\oint_Q^R \omega = 0 \text{ if } R, Q \in X(\mathbb{Q}), \omega \in V$$

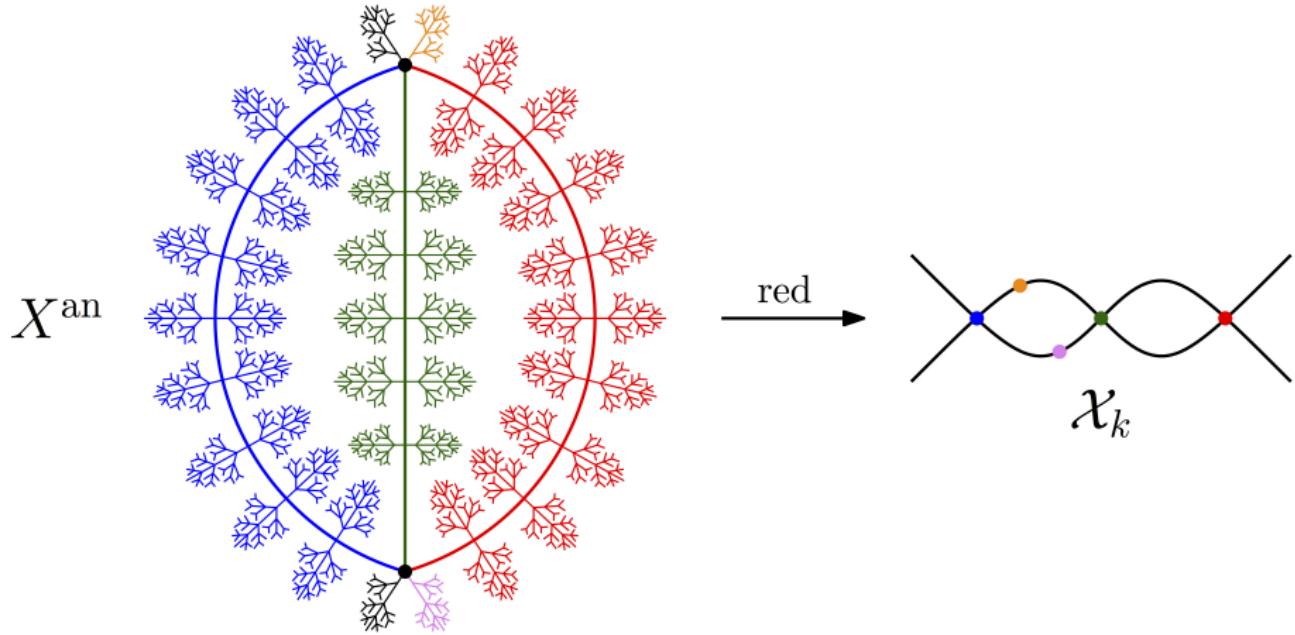
(**Berkovich-Coleman integrals.**) Analytically continue via [Frobenius](#).

$$\int_Q^R \omega := \int_{t(Q)}^{t(R)} f(t, t^{-1}) dt = \cdots + a(\omega) \log_{\text{Col}} t + \cdots$$

(**Stoll's theorem.**)

$$\oint_Q^R \omega - \int_Q^R \omega = a(\omega) (\log_{\text{ab}}(r(R)) - \log_{\text{ab}}(t(Q))) + c(\omega) (t(Q) - t(R))$$

Berkovich picture



Stoll's comparison theorem, tropical geometry edition

Theorem (Katz, Rabinoff, ZB)

The difference $\log_{Col} - \log_{ab}$ is the unique homomorphism that takes the value

$$\int_{\gamma} \omega$$

on $Trop(\gamma)$, where $Trop: G(\mathbb{K}) \rightarrow T(\mathbb{K})/T(\mathcal{O})$.

$$\begin{array}{ccccc} & & T & & \\ & & \downarrow & & \\ \Lambda & \longrightarrow & G & \longrightarrow & (\text{Jac}_X)^{\text{an}} \\ & & \downarrow & & \\ & & B & & \end{array}$$

T = torus, Λ = discrete, and B = Abelian w/ good reduction.

Main Theorem (partial uniformity for curves)

Theorem (Katz, Rabinoff, ZB)

Let X be *any* curve of genus g and let $r = \text{rank}_{\mathbb{Z}} \text{Jac}_X(\mathbb{Q})$. Suppose $r \leq g - 2$. Then

$$\#X(\mathbb{Q}) \leq 84g^2 - 98g + 28$$

Tools

p-adic integration on annuli

comparison of different analytic continuations of *p*-adic integration

Non-Archimedean (Berkovich) structure of a curve [BPR]

Combinatorial restraints coming from the Tropical canonical bundle

p-adic Rolle's on annuli for arbitrary curves

Comments

Corollary ((Partially) effective Manin-Mumford)

There is an effective constant $N(g)$ such that if $g(X) = g$, then

$$\#(X \cap \text{Jac}_{X,\text{tors}})(\mathbb{Q}) \leq N(g)$$

Corollary

*There is an effective constant $N'(g)$ such that if $g(X) = g > 3$ and X/\mathbb{Q} has **totally degenerate, trivalent** reduction mod 2, then*

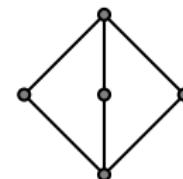
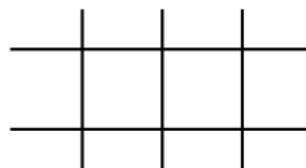
$$\#(X \cap \text{Jac}_{X,\text{tors}})(\mathbb{C}) \leq N'(g)$$

The second corollary is a big improvement

- ① It requires working over a **non-discretely valued** field.
- ② The bound **only depends on the reduction type**.
- ③ Integration over **wide opens** (c.f. Coleman) instead of discs and annuli.

Baker-Payne-Rabinoff and the slope formula

(Dual graph Γ of $X_{\mathbb{F}_p}$)



(Contraction Theorem) $\tau: X^{\text{an}} \rightarrow \Gamma$.

(Combinatorial harmonic analysis/potential theory)

f a meromorphic function on X^{an}

$F := (-\log |f|) \big|_{\Gamma}$ associated tropical, piecewise linear function

$\text{div } F$ combinatorial record of the slopes of F

(Slope formula) $\tau_* \text{div } f = \text{div } F$

Berkovich picture

