

# Gauss composition and integral arithmetic invariant theory

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# Sums of Squares

Recall ( $p$  prime)

$p = x^2 + y^2$  if and only if  $p = 1 \bmod 4$  or  $p = 2$ .

For products

$$(x^2 + y^2)(z^2 + w^2) = (xz + yw)^2 + (xw - yz)^2$$

# Sums of Squares

Recall ( $p$  prime)

$p = x^2 + dy^2$  if and only if **[more complicated condition]**.

Example

$p = x^2 + 2y^2$  for some  $x, y \in \mathbb{Z}$  if and only if  $p = 2$  or  $p \equiv 1, 3 \pmod{8}$ .

Example

$p = x^2 + 3y^2$  for some  $x, y \in \mathbb{Z}$  if and only if  $p = 3$  or  $p \equiv 1 \pmod{3}$ .

# Sums of Squares

Recall ( $p$  prime)

$p = x^2 + dy^2$  if and only if **[more complicated condition]**.

For products

$$(x^2 + dy^2)(z^2 + dw^2) = (xz + dyw)^2 + d(xw - yz)^2$$

# Integers represented by a quadratic form

General quadratic forms (initiated by Lagrange)

$$Q(x, y) \in \mathbb{Z}[x, y]_2$$

Recall ( $p$  prime)

$p = Q(x, y)$  for some  $x, y \in \mathbb{Z}$  if and only if **[more complicated condition]**.

Composition law?

$$Q(x, y)Q(z, w) = Q(a, b)$$

# Sums of Squares (Euler's conjecture)

## Example

$p = x^2 + 14y^2$  for some  $x, y \in \mathbb{Z}$  if and only if  $\left(\frac{-14}{p}\right) = -1$  and  $(z^2 + 1)^2 = 8$  has a solution mod  $p$ .

## Example

$p = 2x^2 + 7y^2$  for some  $x, y \in \mathbb{Z}$  if and only if  $\left(\frac{-14}{p}\right) = -1$  and  $(z^2 + 1)^2 - 8$  factors into two irreducible quadratics mod  $p$ .

# Integers represented by a quadratic form (equivalence)

## Equivalence of forms

- ①  $Q(x, y) \in \mathbb{Z}[x, y]_2$
- ②  $M \in \mathrm{SL}_2(\mathbb{Z})$ ,  $Q^M(x, y) := Q(ax + by, cx + dy)$
- ③  $n \in \mathbb{Z}$  is represented by  $Q$  iff it is represented by  $Q^M$ .
- ④ **Reduced forms:**  $|b| \leq a \leq c$  and  $b \geq 0$  if  $a = c$  or  $a = |b|$ .

## Example

$$29x^2 + 82xy + 58y^2 \sim x^2 + y^2.$$

# Gauss composition

## Theorem (Gauss composition)

The *reduced*, *non-degenerate positive definite* forms of discriminant  $-D$  form a finite abelian group, isomorphic to the class group of  $\mathbb{Q}(\sqrt{-D})$ .

## Example ( $D = -56$ )

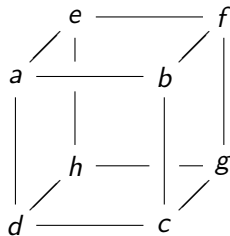
$$x^2 + 14y^2, 2x^2 + 7y^2, 3x^2 \pm 2xy + 5y^2$$

## Remark

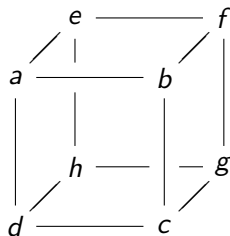
- 1 Gauss's proof was long and complicated; difficult to compute with.
- 2 Later reformulated by Dirichlet.
- 3 Much later reformulated by Bhargava.



# Bhargava cubes

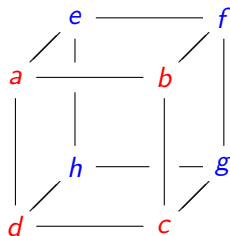


# Bhargava cubes



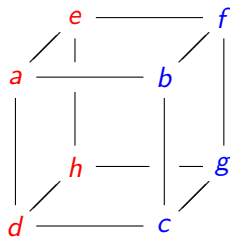
- 1  $a, b, d, c, e, f, h, g \in \mathbb{Z}$ ,
- 2 Cube is really an element of  $\mathbb{Z}^2 \otimes \mathbb{Z}^2 \otimes \mathbb{Z}^2$ , with a natural  $\mathrm{SL}_2(\mathbb{Z})^3$  action

# Gauss composition via Bhargava cubes



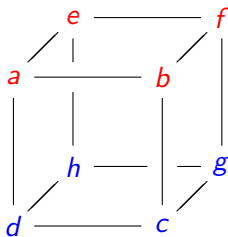
$$Q_1(x, y) := -\text{Det} \left( \begin{pmatrix} a & b \\ d & c \end{pmatrix} x - \begin{pmatrix} e & f \\ h & g \end{pmatrix} y \right)$$

# Gauss composition via Bhargava cubes



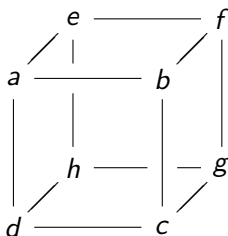
$$Q_i(x, y) := -\text{Det}(M_i x - N_i y)$$

# Gauss composition via Bhargava cubes



$$Q_i(x, y) := -\text{Det}(M_i x - N_i y)$$

# Bhargava's theorem



$$Q_i(x, y) := -\text{Det}(M_i x - N_i y)$$

## Theorem (Bhargava)

$$Q_1(x, y) + Q_2(x, y) + Q_3(x, y) = 0$$

# Lots of parameterizations

## Example

binary cubic forms	$\leftrightarrow$	cubic fields
pairs (ternary, quadratic) forms	$\leftrightarrow$	quartic fields
quadruples of quinary alternating bilinear forms	$\leftrightarrow$	quintic fields
binary quartic forms	$\leftrightarrow$	2-Selmer elements of Elliptic curves

## Remark

- ① 14 more (Bhargava)
- ② many more (Bhargava-Ho)

# Representation theoretic framework

## Space of forms

- 1 The space  $V$  of **binary quadratic forms** is 3-dimensional vector space (resp.  $R$ -module).
- 2  $V = \text{Sym}^2 \mathbb{C}^2$

## Representations

$$\text{SL}_2(\mathbb{C}) \curvearrowright \text{Sym}^2 \mathbb{C}^2$$

$$\text{SL}_2(\mathbb{R}) \curvearrowright \text{Sym}^2 \mathbb{R}^2$$

$$\text{SL}_2(\mathbb{Z}) \curvearrowright \text{Sym}^2 \mathbb{Z}^2 \text{ etc..}$$

## Invariants

- 1  **$\mathbb{C}$ -Invariants:** two non-zero forms  $f, g$  are  $\mathbb{C}$  equivalent iff  $\Delta(f) = \Delta(g)$ .
- 2  **$\mathbb{Z}$ -Invariants:**  $\Delta(f) = \Delta(g) \not\Rightarrow \mathbb{Z}$  equivalence.



# Representation theoretic framework

## Invariants

- ①  **$\mathbb{C}$ -Invariants:** two non-zero forms  $f, g$  are  $\mathbb{C}$  equivalent iff  $\Delta(f) = \Delta(g)$ .
- ②  **$\mathbb{Z}$ -Invariants:**  $\Delta(f) = \Delta(g) \not\Rightarrow \mathbb{Z}$  equivalence.

## Example ( $D = -14 \cdot 4$ )

$x^2 + 14y^2$  is not equivalent to  $2x^2 + 7y^2$ .

## Fundamental object of study

- ①  $\mathrm{SL}_2(\mathbb{Z})$ -orbits of **an**  $\mathrm{SL}_2(\overline{\mathbb{Q}})$ -orbit

# General representation theoretic framework

## Framework

- 1  $V = \text{free } R \text{ module}$
- 2  $G \curvearrowright V$
- 3  $R \rightarrow R'$  ring extension
- 4  $v \in V(R)$

## Goal

Understand the  $G(R)$ -orbits of **the**  $G(R')$ -orbit of  $v$

*"Is every group a **cohomology group***

$$H_{\text{ét}}^1(\text{Spec } \mathbb{Z}, \text{Res}_{\mathcal{O}/\mathbb{Z}} \mathbb{G}_m)$$

*“Is every group a **cohomology group** or a **Manjul shaped asteroid that fell from the sky?**” – Jordan Ellenberg*

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## Setup

- 1  $f, g \in V(\mathbb{Q})$
- 2  $M \in G(\overline{\mathbb{Q}})$  s.t.  $g = M \cdot f$
- 3  $\sigma \in \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$
- 4 Then  $g = M^\sigma \cdot f$ , so  $f = M^{-1}M^\sigma \cdot f$ , i.e.  $M^{-1}M^\sigma \in \text{Stab}_f$

## Cohomological framework

The map

$$\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{Stab}_f; \sigma \mapsto M^{-1}M^\sigma$$

is a **cocycle**, and gives an element of  $H^1(\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}), \text{Stab}_f)$ .

## Remark

- ① AIT only works for fields; can't recover Gauss composition
- ② Analogue of Galois cohomology is étale cohomology.

# Integral arithmetic invariant theory – setup

## Setup

- 1  $S$  any base (e.g.  $\mathbb{Z}$ );
- 2  $G/S$  any group scheme (**not necessarily** smooth, or even flat);
- 3  $X$  (usually a vector space);
- 4  $G \curvearrowright X$  an action.

## Example (“Gauss”)

$G = \mathrm{SL}_{2,\mathbb{Z}}$ , acting on  $X = \mathrm{Sym}^2 \mathbb{A}_{\mathbb{Z}}^2$



# Main Theorem

## Theorem (Giraud; Geraschenko-ZB)

Let  $v \in X(S)$ . Then there is a functorial long exact sequence (of groups and pointed sets)

$$0 \rightarrow \text{Stab}_v(S) \rightarrow G(S) \xrightarrow{g \mapsto g \cdot v} \text{Orbit}_v(S) \rightarrow H^1(S, \text{Stab}_v) \rightarrow H^1(S, G).$$

If  $\text{Stab}_v$  is commutative, then

$$\text{Orbit}_v(S)/G(S) \cong \ker (H^1(S, \text{Stab}_v) \rightarrow H^1(S, G))$$

is a group.

## Remark

The image  $\text{Orbit}_v(S)/G(S)$  of  $X(S)$  is the set of  $G(S)$  equivalence classes of  $v' \in \text{Orbit}_v(S)$  in the same **local** orbit as  $v$ .

# Example: Gauss composition revisited

## Example (“Gauss”)

$G = \mathrm{SL}_2, \mathbb{Z}$  acts on  $X = \mathrm{Sym}^2 \mathbb{A}_{\mathbb{Z}}^2$ ;  $\mathrm{Stab}_v$  is a non-split torus (thus *commutative*).

Let  $f \in X(\mathbb{Z})$  be a *primitive* (non-zero mod all  $p$ ) integral quadratic form.

$$0 \rightarrow \mathrm{Stab}_v(\mathbb{Z}) \rightarrow \mathrm{SL}_2(\mathbb{Z}) \xrightarrow{g \mapsto g \cdot f} \mathrm{Orbit}_f(\mathbb{Z}) \rightarrow H^1(\mathbb{Z}, \mathrm{Stab}_v) \rightarrow H^1(\mathbb{Z}, \mathrm{SL}_2).$$

## Remark

- ①  $H^1(\mathbb{Z}, \mathrm{SL}_2) = 0$  (this is Hilbert’s theorem 90).
- ②  $\mathrm{Orbit}_f(\mathbb{Z}) / \mathrm{SL}_2(\mathbb{Z}) =$  integral equivalence classes of primitive forms with the same discriminant.
- ③  $H^1(\mathbb{Z}, \mathrm{Stab}_v) \cong \mathrm{Orbit}_f(\mathbb{Z}) / \mathrm{SL}_2(\mathbb{Z})$ .
- ④  $H^1(\mathbb{Z}, \mathrm{Stab}_v) \cong \mathrm{Pic} \mathbb{Z}[(\Delta_f + \sqrt{\Delta_f})/2] = \mathrm{Cl} \mathbb{Q}[\sqrt{\Delta_f}]$ .

## Example: Gauss composition (non-primitive)

### Remark

- 1 If  $f \in \mathbb{Z}^2$  is *not* primitive, then  $\text{Stab}_f$  is not *flat* over  $\text{Spec } \mathbb{Z}$ .
- 2 (Easiest way to not be flat:  $\dim \text{Stab}_{f, \mathbb{F}_p}$  is not constant.)
- 3 Our machinery does not care; and recovers Gauss composition for non-primitive forms.

## More applications wanted.

- ① We're currently iterating through the known literature, deriving parameterizations where possible.
- ② E.g. Delone–Faddeev (ternary cubic forms vs cubic rings): stabilizer is a finite flat group scheme.
- ③ Future predictive power, especially of degenerate objects/orbits.