

“Sporadic” torsion on elliptic curves

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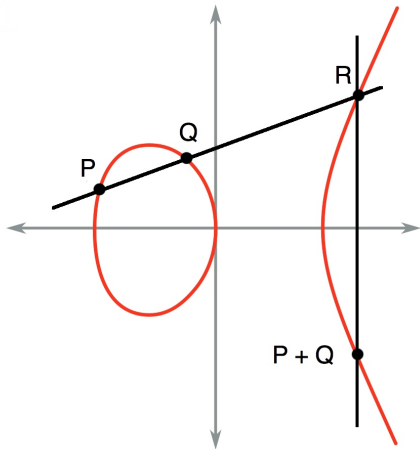
with Maarten Derickx,
Anastassia Etropolski,
Jackson S. Morrow,
and Mark van Hoeij

Connecticut Summer School in Number Theory

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Slides available at <https://dmzb.github.io/>

Elliptic Curves – addition



$$E: y^2 = x^3 + ax + b$$

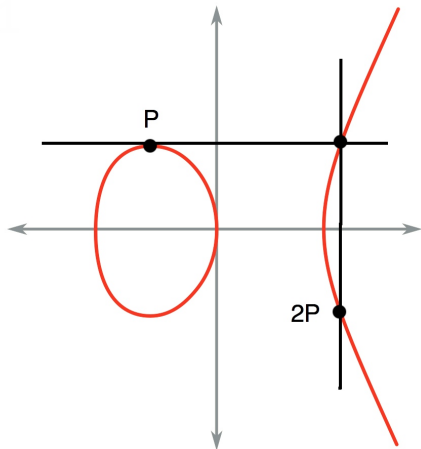
$$P = (x_0, y_0)$$

$$Q = (x_1, y_1)$$

$$R = (x_2, y_2)$$

$$P + Q = (x_2, -y_2)$$

Elliptic Curves - duplication

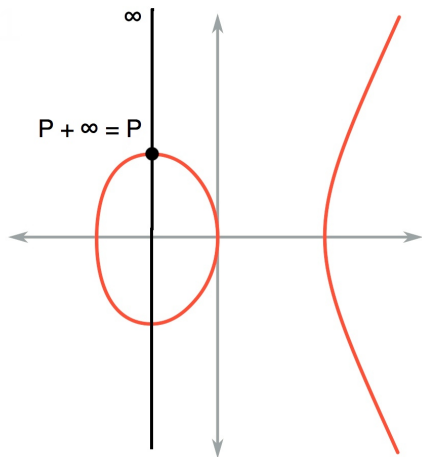


$$E: y^2 = x^3 + ax + b$$

$$P = (x_0, y_0)$$

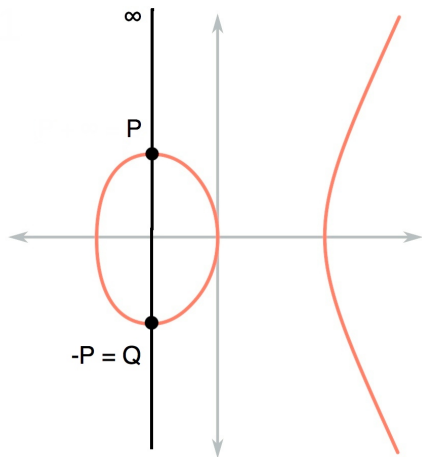
$$2P = (x_3, y_3)$$

Elliptic Curves – identity



$$E: y^2 = x^3 + ax + b$$

Elliptic Curves – inverses



$$E: y^2 = x^3 + ax + b$$

Guiding question

What are the possibilities for the abelian group $E(K)$?

$E(K)$ as K varies

Complete fields

- $E(\mathbb{C}) \cong S^1 \times S^1 \cong \mathbb{C}/\Lambda$ (a torus).
- $E(\mathbb{R}) \cong S^1$ or $S^1 \times \mathbb{Z}/2\mathbb{Z}$.
- $E(\mathbb{Q}_p) \cong \mathbb{Z}_p \oplus T$

Mordell–Weil theorem

$E(\mathbb{Q})$ is finitely generated, thus isomorphic to $\mathbb{Z}^r \oplus T$

- r is the **rank** of $E(\mathbb{Q})$
- T is the **torsion subgroup** of $E(\mathbb{Q})$
- T is a finite abelian group (thus a product of cyclic groups)

Finite Fields

$E(\mathbb{F}_q)$ is finite, and $\#E(\mathbb{F}_q) \leq q + 1 + 2\sqrt{q}$.

$E(K)$ as K varies

If $K \subset L$, then $E(K) \subset E(L)$ is a subgroup.

If K is a number field (e.g., $\mathbb{Q}(i)$), then

Mordell–Weil theorem

$E(K)$ is finitely generated, thus isomorphic to $\mathbb{Z}^r \oplus T$

- r is the **rank** of $E(K)$
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- T is a finite abelian group (thus a product of cyclic groups)

Elliptic Curves – torsion subgroup

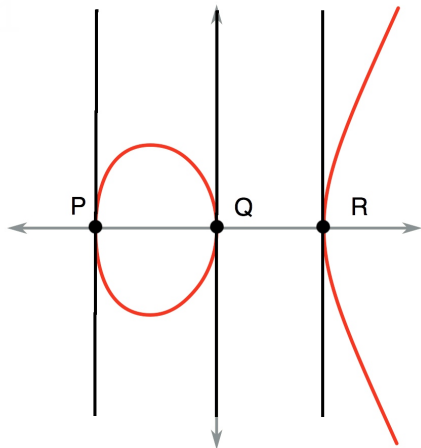
Let $n \in \mathbb{Z}$ be an integer.

Definition

The n -torsion subgroup $E[n]$ of E is defined to be

$$\ker \left(E \xrightarrow{[n]} E \right) := \{P \in E : nP := P + \dots + P = \infty\}.$$

Elliptic Curves – two torsion



$$E: y^2 = x^3 + ax + b$$

$$2P = 2Q = 2R = \infty$$

Elliptic Curves – structure of torsion

Let E be given by the equation $y^2 = f(x) = x^3 + ax + b$.

- $E[n](\mathbb{C}) = E[n](\overline{\mathbb{Q}}) \cong (\mathbb{Z}/n\mathbb{Z})^2$.

Elliptic Curves – structure of torsion

Let E be given by the equation $y^2 = f(x) = x^3 + ax + b$.

- $E[n](\mathbb{C}) = E[n](\overline{\mathbb{Q}}) \cong (\mathbb{Z}/n\mathbb{Z})^2$.
- $E[n](\mathbb{Q})$ may be smaller,

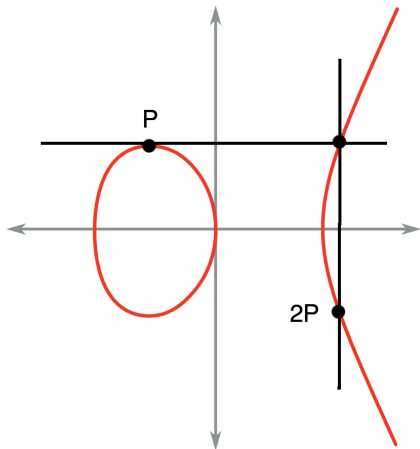
Elliptic Curves – structure of torsion

Let E be given by the equation $y^2 = f(x) = x^3 + ax + b$.

- $E[n](\mathbb{C}) = E[n](\overline{\mathbb{Q}}) \cong (\mathbb{Z}/n\mathbb{Z})^2$.
- $E[n](\mathbb{Q})$ may be smaller, e.g.,

$$E[2](\mathbb{Q}) \cong \begin{cases} \{\infty\} & \text{if } f(x) \text{ has 0 rational roots} \\ \mathbb{Z}/2\mathbb{Z} & \text{if } f(x) \text{ has 1 rational roots} \\ (\mathbb{Z}/2\mathbb{Z})^2 & \text{if } f(x) \text{ has 3 rational roots} \end{cases}$$

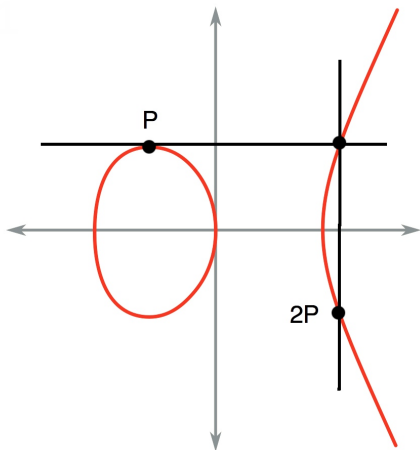
3-torsion and flexes



$$3P = 0$$

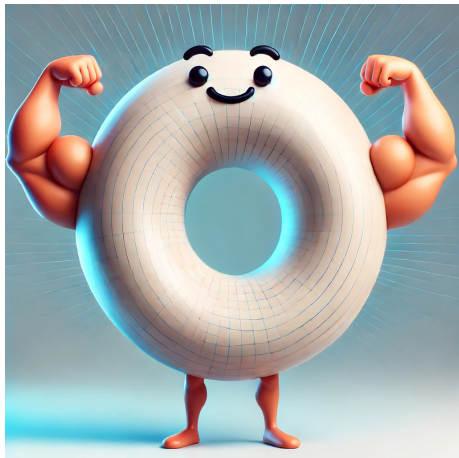
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3-torsion and flexes



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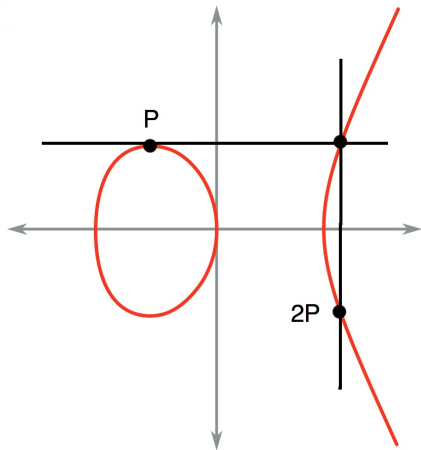


9 flexes

9 flexes



4 torsion



$$4P = 0$$

$$2P = -2P$$

Mazur's Theorem

Let E/\mathbb{Q} be an elliptic curve.

Theorem (Mazur, 1978)

$E(\mathbb{Q})_{tors}$ is isomorphic to one of the following groups.

$$\begin{array}{ll} \mathbb{Z}/N\mathbb{Z}, & \text{for } 1 \leq N \leq 10 \text{ or } N = 12, \\ \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/2N\mathbb{Z}, & \text{for } 1 \leq N \leq 4. \end{array}$$

Quadratic Torsion

Theorem (Kamienny–Kenku–Momose, 1980's)

*Let E be an elliptic curve over a quadratic number field K .
Then $E(K)_{tors}$ is one of the following groups.*

$$\begin{array}{ll} \mathbb{Z}/N\mathbb{Z}, & \text{for } 1 \leq N \leq 16 \text{ or } N = 18, \\ \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/2N\mathbb{Z}, & \text{for } 1 \leq N \leq 6, \\ \mathbb{Z}/3\mathbb{Z} \oplus \mathbb{Z}/3N\mathbb{Z}, & \text{for } 1 \leq N \leq 2, \text{ or} \\ \mathbb{Z}/4\mathbb{Z} \oplus \mathbb{Z}/4\mathbb{Z}. & \end{array}$$

Higher Degree Torsion

Let K/\mathbb{Q} have degree d .

Theorem

If $p \mid \#E(K)_{\text{tors}}$, then:

$$(\text{Merel, 1996}) \quad p \leq d^{3d^2}$$

$$(\text{Oesterlé}) \quad p \leq (3^{d/2} + 1)^2 \text{ (if } p > 3)$$

Problem: Classify possibilities for $E(K)_{\text{tors}}$ for K/\mathbb{Q} of degree d .

Modular curves

The curve $Y_1(N)$ parameterizes pairs (E, P) , where P is a point of exact order N on E .

Let $M \mid N$.

The curve $Y_1(M, N)$ parameterizes E/K such that $E(K)_{\text{tors}}$ contains $\mathbb{Z}/M\mathbb{Z} \oplus \mathbb{Z}/N\mathbb{Z}$.

Modular curves via Tate normal form

Move a given point P to $(0, 0)$ and change coordinates to put E in the form

$$y^2 + \textcolor{red}{a}xy + \textcolor{blue}{b}y = x^3 + \textcolor{blue}{b}x^2$$

The point $P = (0, 0)$ may or may not be a torsion point.

The condition that $nP = 0$ is an algebraic condition on a and b , and this gives you a curve.

Modular curves via Tate normal form

Example ($N = 9$)

$E(K) \supset \mathbb{Z}/9\mathbb{Z}$ if and only if there exists $t \in K$ such that E is isomorphic to

$$y^2 + (t - rt + 1)xy + (rt - r^2t)y = x^3 + (rt - r^2t)x^2$$

where r is $t^2 - t + 1$. The torsion point is $(0, 0)$.

Example ($N = 11$)

$E(K) \supset \mathbb{Z}/11\mathbb{Z}$ if and only if there exist $a, b \in K$ such that

$$a^2 + (b^2 + 1)a + b;$$

in which case E is isomorphic to

$$y^2 + (s - rs + 1)xy + (rs - r^2s)y = x^3 + (rs - r^2s)x^2$$

where r is $ba + 1$ and s is $-b + 1$.

Mazur's Theorem

Let E/\mathbb{Q} be an elliptic curve.

Theorem (Mazur, 1978)

$E(\mathbb{Q})_{\text{tors}}$ is isomorphic to one of the following groups.

$$\begin{array}{ll} \mathbb{Z}/N\mathbb{Z}, & \text{for } 1 \leq N \leq 10 \text{ or } N = 12, \\ \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/2N\mathbb{Z}, & \text{for } 1 \leq N \leq 4. \end{array}$$

Modular curves:

- $Y_1(N)$ parametrizes (E, P) with $P \in E[N]$ (of exact order N);
- $Y_1(M, N)$ parametrizes containments $\mathbb{Z}/M\mathbb{Z} \oplus \mathbb{Z}/N\mathbb{Z} \subset E(K)_{\text{tors}}$.

Mazur:

$Y_1(N)(\mathbb{Q}) \neq \emptyset$ and $Y_1(2, 2N)(\mathbb{Q}) \neq \emptyset$ iff N are as above.

Rational Points on $X_1(N)$ and $X_1(2, 2N)$

Let $X_1(N)$ and $X_1(M, N)$ be smooth compactifications of $Y_1(N)$ and $Y_1(M, N)$.

We can restate Mazur's Theorem as follows.

Theorem (Mazur, 1978)

- $X_1(N)$ and $X_1(2, 2N)$ have **genus 0** for **exactly** the N in Mazur's Theorem.
- In particular, there are **infinitely many** E/\mathbb{Q} with such torsion structures.
- If $g(X)$ is **greater than 0**, then $X(\mathbb{Q})$ consists **only of cusps**.

Minimalism

The *simplest* thing that could happen does for these modular curves.

Higher Degree Torsion

Let K/\mathbb{Q} have degree d .

Theorem

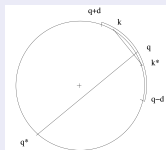
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Proof: **formal immersions** on $\text{Sym}^{(d)} X_1(p)$.

Expository reference: Darmon, Rebello (Clay 2006)



Problem: Classify possibilities for $E(K)_{\text{tors}}$ for K/\mathbb{Q} of degree d .

Quadratic Torsion

Theorem (Kamienny–Kenku–Momose, 1980's)

*Let E be an elliptic curve over a quadratic number field K .
Then $E(K)_{tors}$ is one of the following groups.*

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- The corresponding modular curves all have $g(X) \leq 2$.
- Each admits a **degree 2 map** $X \rightarrow \mathbb{P}^1$.
- This guarantees that $\text{Sym}^{(2)} X(\mathbb{Q})$ is infinite.
- i.e., each has infinitely many quadratic points.

Sporadic Points

Let X/\mathbb{Q} be a curve and let $P \in \overline{\mathbb{Q}}$. The **degree** of P is $[\mathbb{Q}(P) : \mathbb{Q}]$.

The set of degree d points of X is infinite if (and only if)

- X admits a degree d map $X \rightarrow \mathbb{P}^1$;
- X admits a degree d map $X \rightarrow E$, where $\text{rank } E(\mathbb{Q}) > 0$; or
- Jac_X contains a positive rank abelian subvariety such that ...

Most $\overline{\mathbb{Q}}$ points on curves arise in this fashion (by Riemann–Roch).

- We call outliers **isolated**.
- **Cusps and CM** points are often isolated on modular curves.
- An isolated point P on X is **sporadic** if there are only finitely points of X with the same degree as P .
- A sporadic point is **exceptional** if it is not cuspidal or CM.

See Bianca Viray's CNTA talk, linked [here](#).

Cubic Torsion

Theorem (Jeon–Kim–Schweizer, 2004)

Let E be an elliptic curve over a cubic number field K . Then the subgroups which arise as $E(K)_{\text{tors}}$ infinitely often are exactly the following.

$$\begin{array}{ll} \mathbb{Z}/N\mathbb{Z}, & \text{for } 1 \leq N \leq 20, N \neq 17, 19, \text{ or} \\ \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/2N\mathbb{Z}, & \text{for } 1 \leq N \leq 7. \end{array}$$

Minimalist conjecture

Conjecture

A modular curve X admits a non cuspidal, non CM point of degree d if and only if

- *X admits a degree d map $X \rightarrow \mathbb{P}^1$; or*
- *X admits a degree d map $X \rightarrow E$, where $\text{rank } E(\mathbb{Q}) > 0$; or*
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Theorem (Najman, 2014)

The elliptic curve [162b1](#) has a 21-torsion point over $\mathbb{Q}(\zeta_9)^+$.

Theorem (Parent)

The largest prime that can divide $E(K)_{\text{tors}}$ in the cubic case is $p = 13$.

Classification of Cubic Torsion

Theorem (Etropolski–Morrow–ZB–Derickx–van Hoeij)

The only torsion subgroups which appear for an elliptic curve over a cubic field are

$$\begin{array}{ll} \mathbb{Z}/N\mathbb{Z}, & \text{for } 1 \leq N \leq 21, N \neq 17, 19, \text{ and} \\ \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/2N\mathbb{Z}, & \text{for } 1 \leq N \leq 7. \end{array}$$

The only sporadic point is the elliptic curve 162b1 over $\mathbb{Q}(\zeta_9)^+$.

Najman's example

explained

Theorem (Najman, 2014)

The elliptic curve 162b1 has a 21-torsion point over $\mathbb{Q}(\zeta_9)^+$.

- Let $H := \rho_{E,21}(G_{\mathbb{Q}})$.
- Then H contains an **index 3** subgroup H' such that $H' \subset \langle \begin{pmatrix} 1 & * \\ 0 & * \end{pmatrix} \rangle$
- Thus there is a degree 3 map

$$X_{H'} \rightarrow X_H$$

and an induced map

$$X_H \rightarrow \mathrm{Sym}^{(3)} X_{H'} \rightarrow \mathrm{Sym}^3 X_1(21)$$

Sporadic points on $X_1(N)$ with rational j -invariant

Bourdon–Gill–Rouse–Watson (2020)

The odd degree isolated points on $X_1(N)$ with rational j -invariant are

$$j = -3^2 \cdot 5^6 / 2^3, \text{ or } 3^3 \cdot 13 / 2^2$$

The first is the Najman cubic example, and the second corresponds to a degree 8 point on $X_1(28)$, found by Najman and González-Jiménez.

Bourdon–Hashimoto–Keller–Klagsbrun–Lowry–Duda–Morrison–Najman–Shukla, with Derickx–Van Hoeij (2023)

Strong evidence that the other other isolated $j \in \mathbb{Q}$ are

$$j = -7 \cdot 11^3 \text{ or } 7 \cdot 137^3 \cdot 2083^3 \quad (\text{from } X_0(37)(\mathbb{Q})).$$

Rouse–Sutherland–Zureick–Brown–Voight

Conjectural classification of $X_H(\mathbb{Q})$ for prime power level.

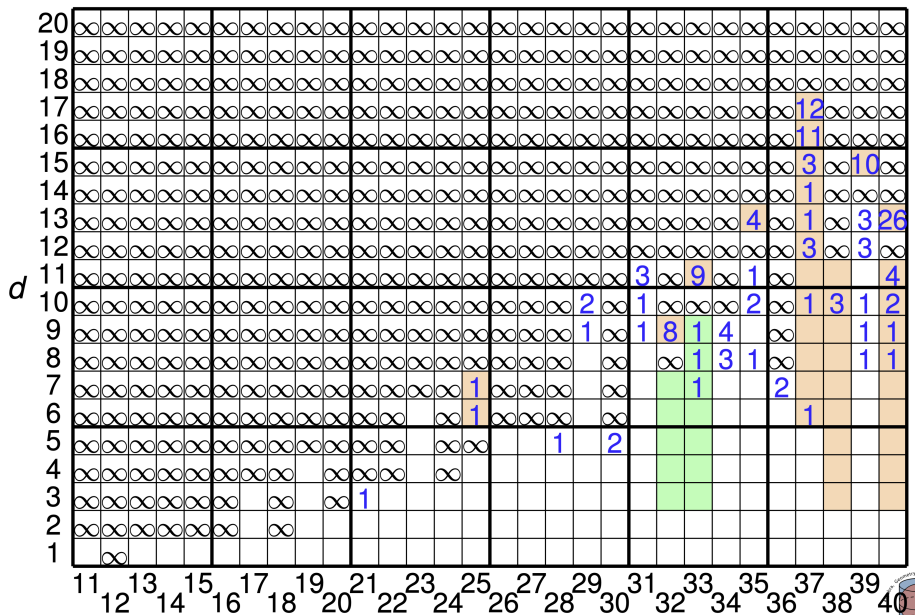
See Jeremy Rouse's CNTA talk, linked [here](#)

Mazur - Rational Isogenies of Prime Degree (1978)

Let N be a positive integer. Examples of elliptic curves over \mathbf{Q} possessing rational cyclic N -isogenies are known for the following values of N :

| N | g | v | N | g | v | N | g | v |
|-----|-----|----------|-----|-----|-----|-----|-----|-----|
| 10 | 0 | ∞ | 11 | 1 | 3 | 27 | 1 | 1 |
| 12 | 0 | ∞ | 14 | 1 | 2 | 37 | 2 | 2 |
| 13 | 0 | ∞ | 15 | 1 | 4 | 43 | 3 | 1 |
| 16 | 0 | ∞ | 17 | 1 | 2 | 67 | 5 | 1 |
| 18 | 0 | ∞ | 19 | 1 | 1 | 163 | 13 | 1 |
| 25 | 0 | ∞ | 21 | 1 | 4 | | | |

More Sporadic Points on $X_1(N)$, via Derickx–van Hoeij



Classification of Cubic Torsion

Theorem (Etropolski–Morrow–ZB–Derickx–van Hoeij)

The only torsion subgroups which appear for an elliptic curve over a cubic field are

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The only sporadic point is the elliptic curve 162b1 over $\mathbb{Q}(\zeta_9)^+$.

Good fortune – many small level ranks are zero

Let

$$S_0 = \{1, \dots, 36, 38, \dots, 42, 44, \dots, 52, 54, 55, 56, 59, 60, 62, 63, 64, 66, 68, \\ 69, 70, 71, 72, 75, 76, 78, 80, 81, 84, 87, 90, 94, 95, 96, 98, 100, 104, 105, \\ 108, 110, 119, 120, 126, 132, 140, 144, 150, 168, 180\},$$

$$S_1 = \{1, \dots, 21, 24, 25, 26, 27, 30, 33, 35, 36, 42, 45\}.$$

Theorem (Etropolski–Morrow–ZB–Derickx–van Hoeij)

- 1 $\text{rank } J_0(N)(\mathbb{Q}) = 0$ *if and only if* $N \in S_0$.
- 2 $\text{rank } J_1(N)(\mathbb{Q}) = 0$ *if and only if* $N \in S_0 - \{63, 80, 95, 104, 105, 126, 144\}$.
- 3 $\text{rank } J_1(2, 2N)(\mathbb{Q}) = 0$ *if and only if* $N \in S_1$.

Strategy

Previous work

- (Parent) handles $p > 13$ (via formal immersions).
- (Momose) $N = 27, 64$.
- (Wang) $N = 77, 91, 143, 169$
- (Bruin–Najman) $N = 40, 49, 55$

This leaves

- (rank 0) $N = 21, 22, 24, 25, 26, 28, 30, 32, 33, 35, 36, 39, 45$
- (rank 1) $N = 65, 121$

Rank 0

“Direct” analysis: $J(\mathbb{Q})$ is finite, and in principle it is a straightforward Riemann–Roch computation to compute the preimages of the Abel–Jacobi map:

$$X^{(d)}(\mathbb{Q}) \xrightarrow{\iota} J(\mathbb{Q})$$

Mordell–Weil Sieve: For a finite set S of primes of good reduction, we compare the images of α and β :

$$\begin{array}{ccc} X^{(d)}(\mathbb{Q}) & \xrightarrow{\iota} & J(\mathbb{Q}) \\ \downarrow & & \downarrow \alpha \\ \prod_{p \in S} X^{(d)}(\mathbb{F}_p) & \xrightarrow{\beta} & \prod_{p \in S} J(\mathbb{F}_p) \end{array}$$

Big obstacle: we need to know $J(\mathbb{Q})$!

Minutiae

| Level | Genus | Method of proof | Genus of quotient |
|--------|-------|---|---------------------|
| 32 | 17 | Maps to another curve in this table | $g(X_1(2, 16)) = 5$ |
| 36 | 17 | Maps to another curve in this table | $g(X_1(2, 18)) = 7$ |
| 22 | 6 | Local methods at $p = 3$ (§6.1) | N/A |
| 25 | 12 | Local methods at $p = 3$ | N/A |
| 21 | 5 | Direct analysis over \mathbb{Q} (§6.2) | N/A |
| 26 | 10 | Direct analysis over \mathbb{F}_3 | N/A |
| 30 | 9 | Direct analysis over \mathbb{Q} on $X_0(30)$ (§6.4) | $g(X_0(30)) = 3$ |
| 33 | 21 | Direct analysis over \mathbb{Q} on $X_0(33)$ | $g(X_0(33)) = 3$ |
| 35 | 25 | Direct analysis over \mathbb{Q} on $X_0(35)$ | $g(X_0(35)) = 3$ |
| 39 | 33 | Direct analysis over \mathbb{Q} on $X_0(39)$ | $g(X_0(39)) = 3$ |
| (2,16) | 5 | Hecke bound + direct analysis over \mathbb{F}_3 (§6.5) | N/A |
| (2,18) | 7 | Hecke bound + direct analysis over \mathbb{F}_5 | N/A |
| 28 | 10 | Hecke bound + direct analysis over \mathbb{F}_3 (§6.6) | N/A |
| 24 | 5 | Hecke bound + additional argument (§4.13) + direct analysis over \mathbb{F}_5 | N/A |
| 45 | 41 | Hecke bound + direct analysis over \mathbb{Q} on $X_H(45)$ (§6.7) | $g(X_H(45)) = 5$ |
| 65 | 121 | Formal immersion criteria (§7.3) | $g(X_0(65)) = 5$ |
| 121 | 526 | Formal immersion criteria (§7.1) | $g(X_0(121)) = 6$ |

Formal immersions

- Classically, one takes p so large that any points of $X_1(p)^{(d)}(\mathbb{Q})$ reduces to a cusp mod 3
- (possible by the Hasse bound).
- *formal immersion criterion* \Rightarrow the diagonal map is injective

$$\begin{array}{ccccc} X^{(d)}(\mathbb{Q}) & \xrightarrow{\iota} & J(\mathbb{Q}) & \longrightarrow & A(\mathbb{Q}) \\ \uparrow & & & \nearrow & \\]\infty[& & & & \end{array}$$

Maarten's insight

- This doesn't really have anything to do with modular forms
- (just differentials).
- For small N , if you understand what is going on well enough, you can modify the “criterion” to any individual case you need.

Thank you!

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- ③ $\text{rank } J_1(2, 2N)(\mathbb{Q}) = 0$ if and only if $N \in S_1$.

Rank 0

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