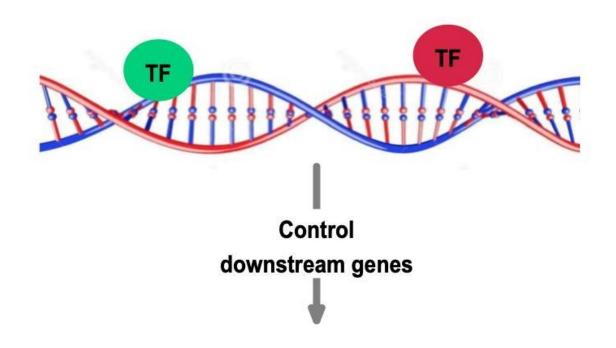
# Interpretable Attention Model in Transcription Factor Binding Site Prediction with Deep Neural Network

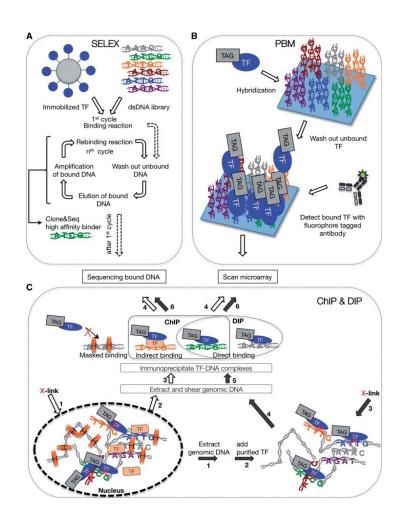
2020-10-08 Ping-Han Hsieh Roza Berhanu Lemma

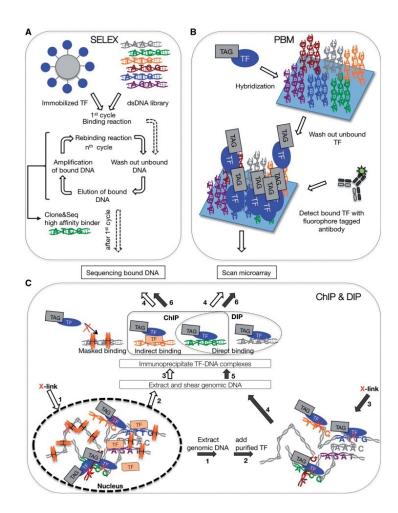
# Introduction



Homeostatic & developmental processes

## Methods for profiling TF-DNA interactions





#### Deep Neural Networks (DNNs) for TFBSs Prediction

- Advantageous over classical computational methods
  - · Learning high-level feature from extremely large sized data
  - Require less domain knowledge
  - Powerful when little to no prior knowledge of potential binding sites
- Combination of two DNN architectures are used in TFBSs prediction currently (e.g. DeepBind, TFImpute, DeepSea)
  - RNNs: better in learning useful information with long-term dependencies
  - CNNs: able to extract both local features (genomic signals) and regions
  - Attention mechanism: interpretable model by assigning 'attention weights' to different positions according to their importance

#### **Problem Definition**

#### Problem:

- Complexity of biological factors may influence binding of TFs to DNA
- Prediction of potential binding site is a difficult task in computational biology

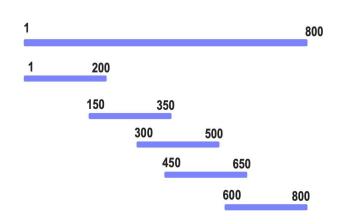
#### • Aim:

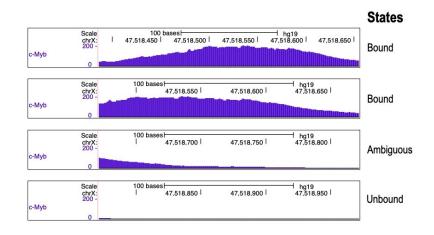
- Introducing the attention mechanism
- Increase prediction accuracy &
- Level of interpretability for existing CNN-RNN architecture models

#### Methods

- DeepGRN: a tool that combines attention mechanisms with DNNs
- ENCODE-DREAM challenge datasets as input features for model training
  - Ground truth: Binding status of TFs from ChIP-seq data
  - Chromatin accessibility information from DNase-seq data
  - RNA-seq data
- Similar organization of input features used by FactorNet
  - DNase-seq and primary sequence information are transformed into sequential features
  - Gene expression and annotations are transformed into non-sequential features

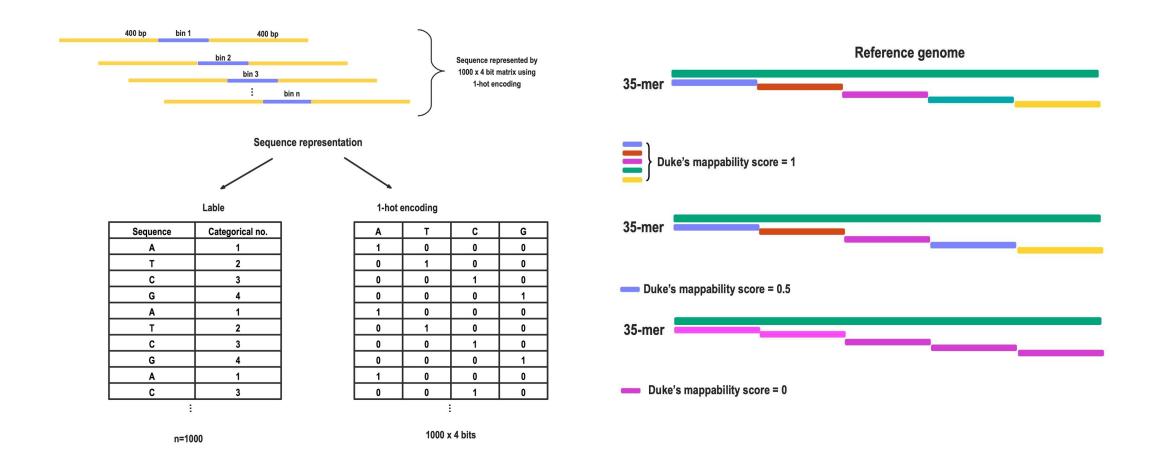
# **Transcription Factor Binding Data**





- IDR (Irreproducibility discovery rate) threshold of 5%
- Bins overlapping peaks and pass IDR threshold → Bound (Positive sites)
- Bins Overlapping peaks but fail IDR threshold → **Unbound** (negative sites)
- The rest → Ambiguous

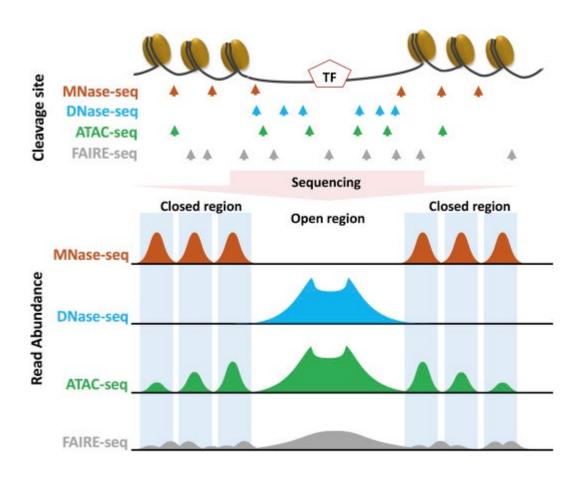
# **DNA Primary Sequence**



# DNase-seq Data

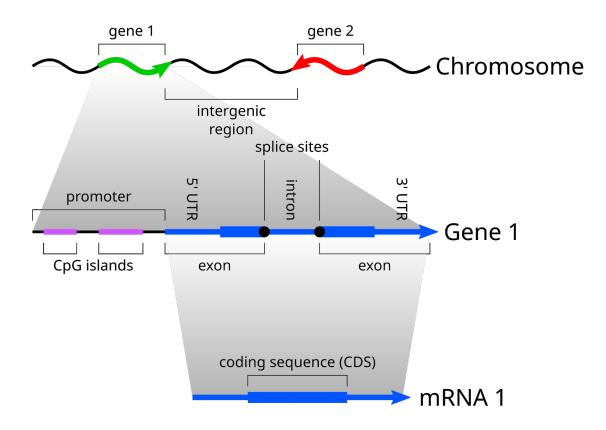
- Obtained Normalized 1x coverage score from BAM files with bin size =1
  - Represented by 1000 x 1 vector for each bin

Hsu et al. 2018. Epigenetics in Human Disease (Second Edition)



#### Annotation

• Annotation features for each bin is encoded as binary vector of length 6.



# **Gene Expression**

- PCA on TPM normalized count from RNA-seq data provided by the challenge
  - Took the first eight components of a cell type as expression scores for all bins for a given cell type
- Both annotation and gene expression non-sequential features are fused into the first dense layer in the deep learning model

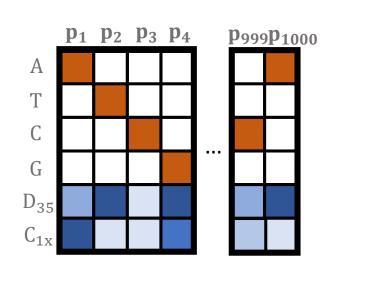
# Training, validation and evaluation

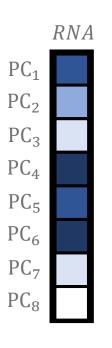
- All datasets (chromosome 1-22 and X)
- Chromosome 1, 8 and 21 of the following cell types are used to train the models. Chromosome 11 are used as validation set.

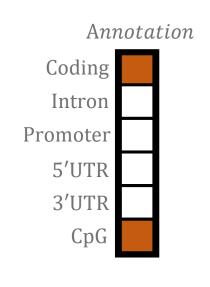
| Transcription | Cell Types   |                       |                                     |  |  |  |  |
|---------------|--|-----------------------|-------------------------------------|--|--|--|--|
| Factor        | Training   | Optional Model Tuning | Evaluation                          |  |  |  |  |
| CTCF          | A549, H1-hESC, HeLa-S3, HepG2, IMR90, K562, MCF-7    | GM12878               | PC-3, induced pluripotent stem cell |  |  |  |  |
| E2F1          | GM12878, HeLa-S3                                     |                       | K562                                |  |  |  |  |
| EGR1          | GM12878, H1-hESC, HCT116, MCF-7                      | K562                  | liver                               |  |  |  |  |
| FOXA1         | HepG2  | MCF-7                 | liver                               |  |  |  |  |
| FOXA2         | HepG2  |                       | liver                               |  |  |  |  |
| GABPA         | GM12878, H1-hESC, HeLa-S3, HepG2, MCF-7              | K562                  | liver                               |  |  |  |  |
| HNF4A         | HepG2  |                       | liver                               |  |  |  |  |
| JUND          | HCT116, HeLa-S3, HepG2, K562, MCF-7                  | H1-hESC               | liver                               |  |  |  |  |
| MAX           | A549, GM12878, H1-hESC, HCT116, HeLa-S3, HepG2, K562 | MCF-7                 | liver                               |  |  |  |  |
| NANOG         | H1-hESC  |                       | induced pluripotent stem cell       |  |  |  |  |
| REST          | H1-hESC, HeLa-S3, HepG2, MCF-7, Panc1                | K562                  | liver                               |  |  |  |  |
| TAF1          | GM12878, H1-hESC, HeLa-S3, K562                      | HepG2                 | liver                               |  |  |  |  |

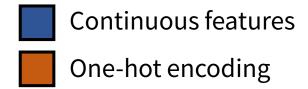
#### Review on the data

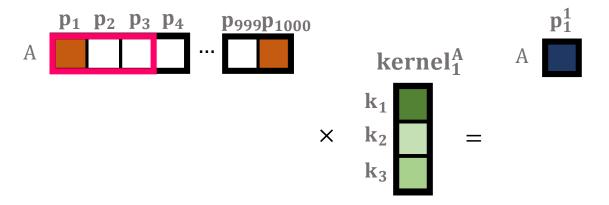
For each bin (instance)

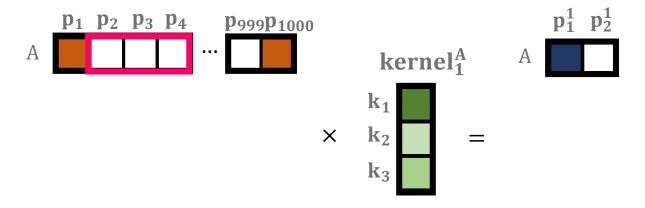


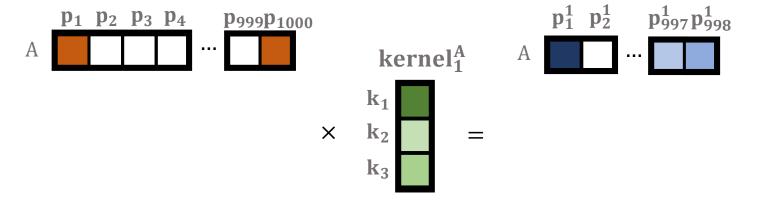


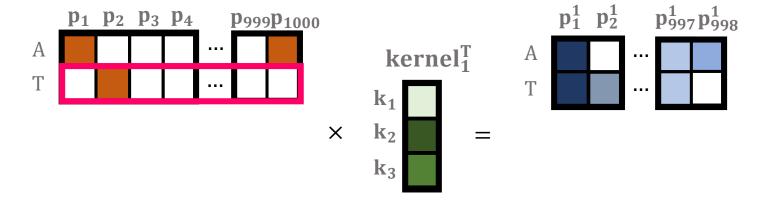


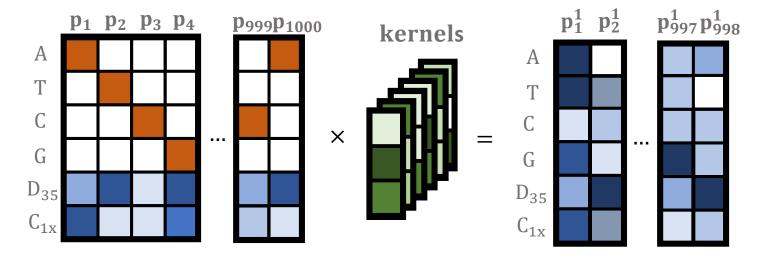




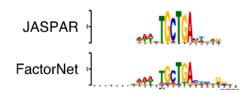


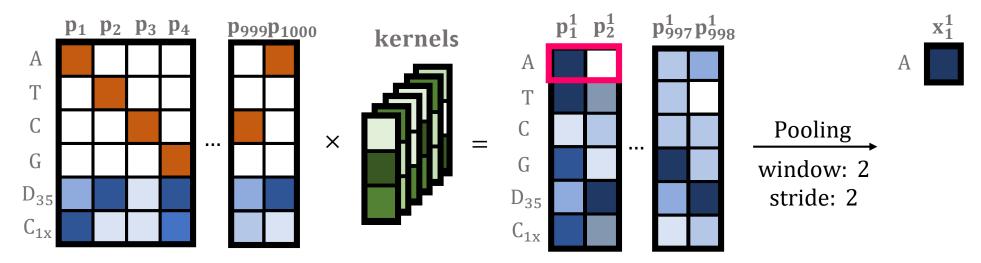




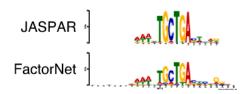


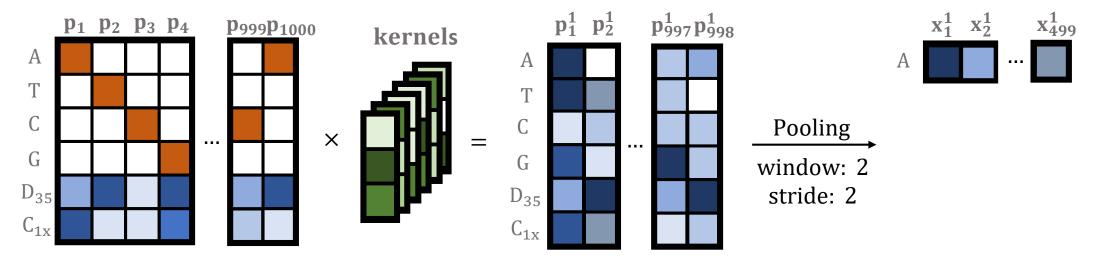
- 1. The weight of the kernels can be viewed as the unnormalized position weight matrix that is relevant to the prediction.
- 2. Usually, multiple kernels are used in the model.



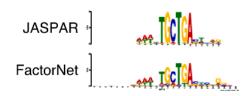


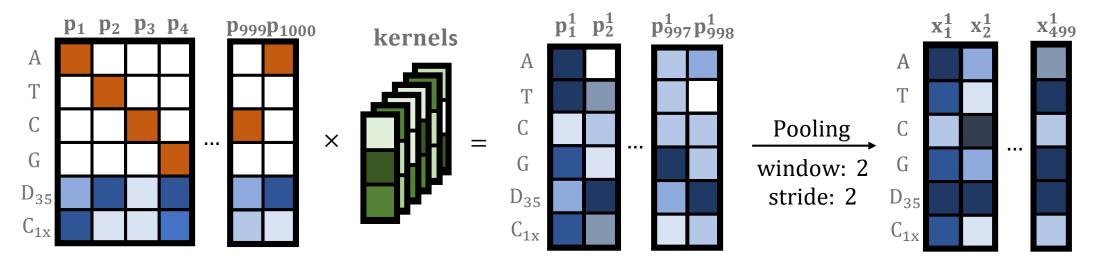
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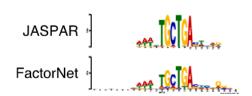


- 1. The weight of the kernels can be viewed as the unnormalized position weight matrix that is relevant to the prediction.
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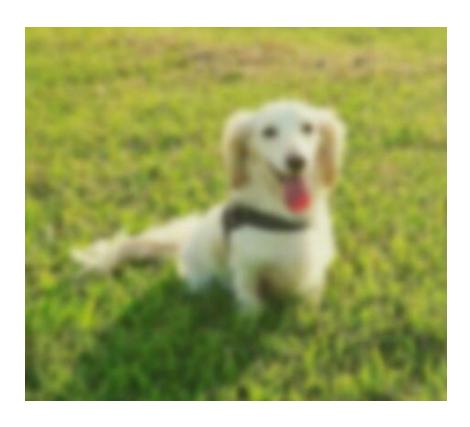


- 1. The weight of the kernels can be viewed as the unnormalized position weight matrix that is relevant to the prediction.
- 2. Usually, multiple kernels are used in the model.



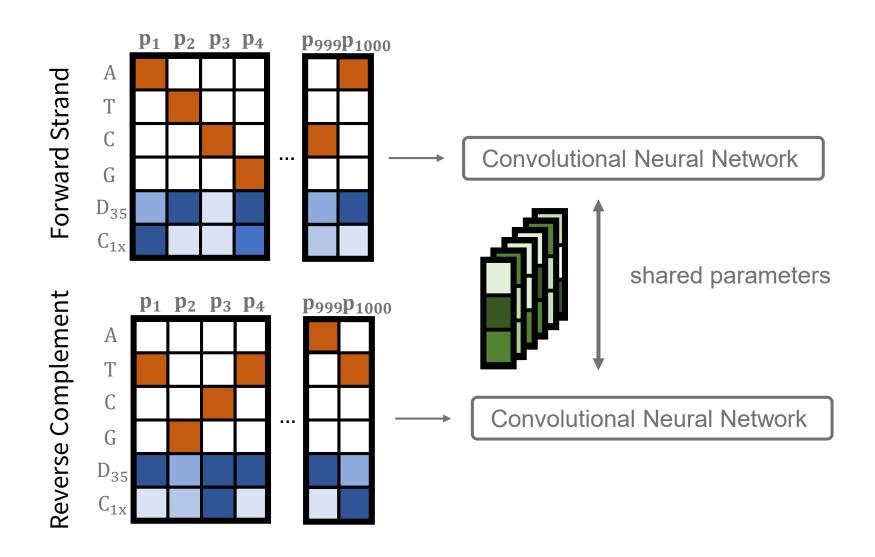
# Intuition with pooling



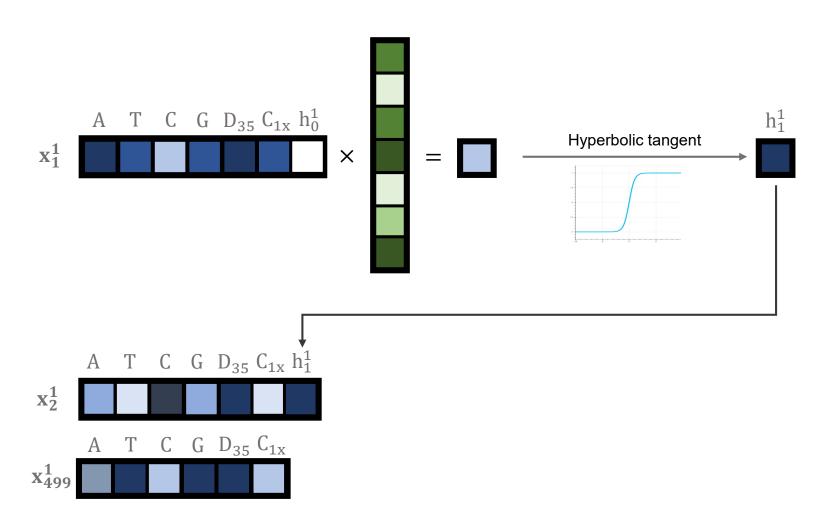


Reduce resolution does not really affect much with the prediction (in terms of the image)

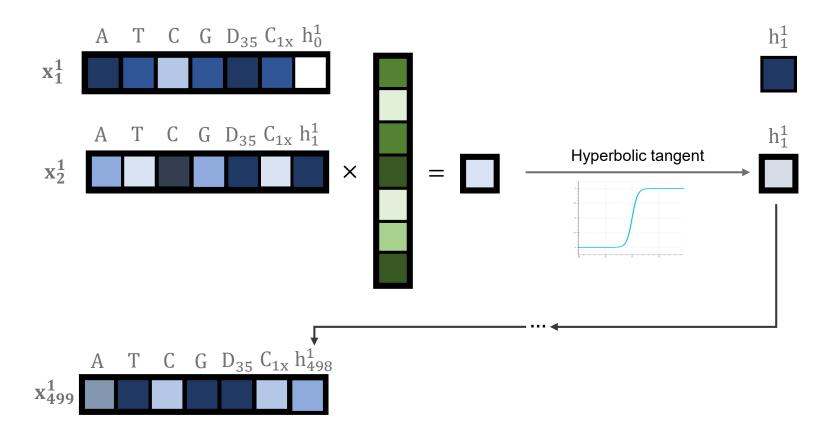
#### Siamese Network



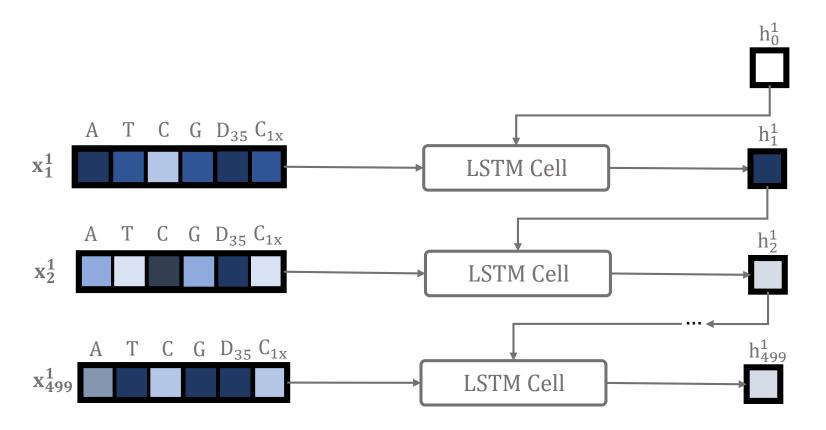
### Recurrent Neural Network



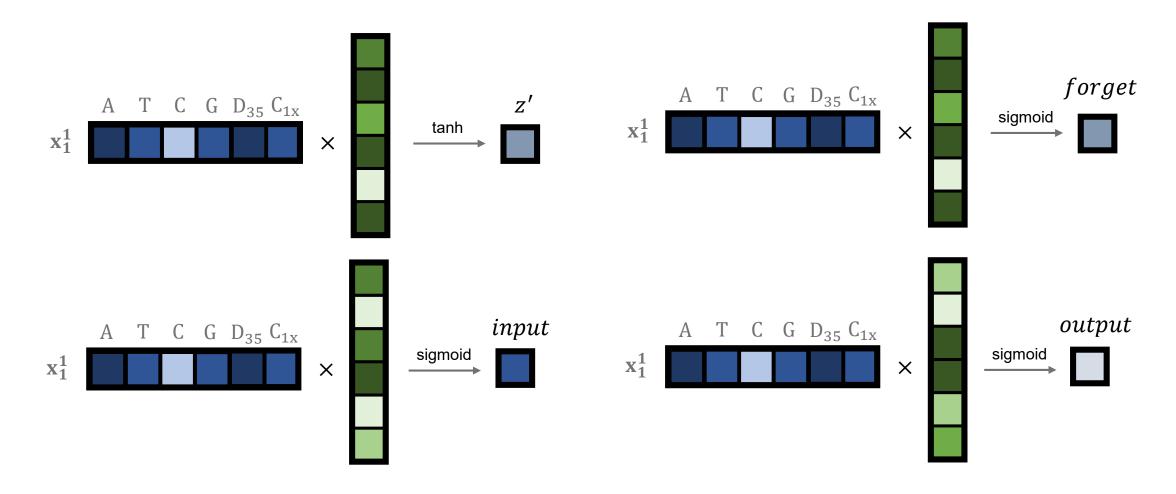
#### Recurrent Neural Network



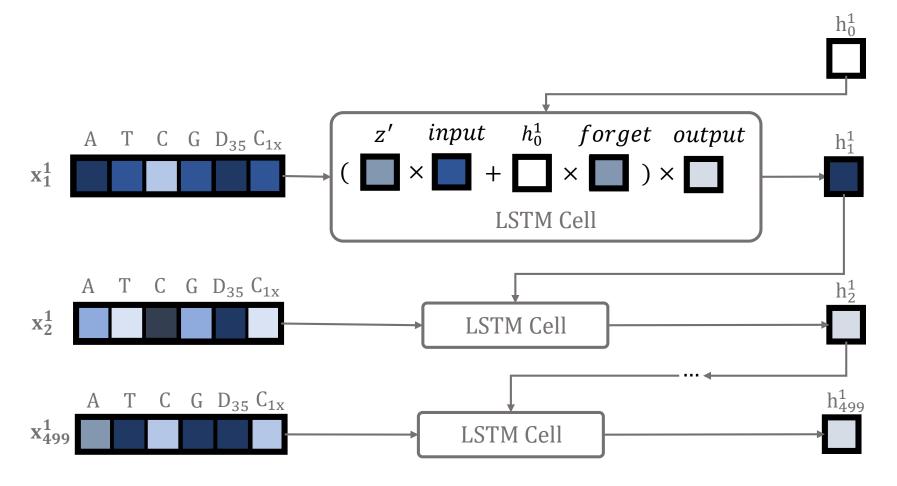
# Long short-term memory



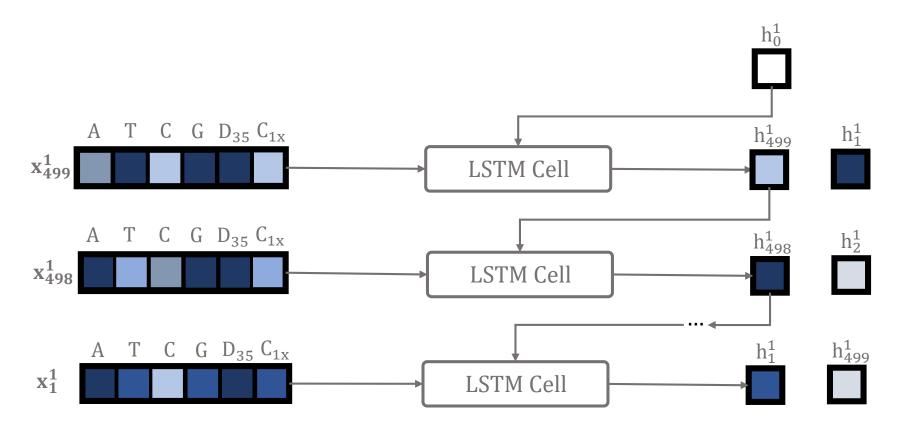
# Long short-term memory cell



# Long short-term memory cell

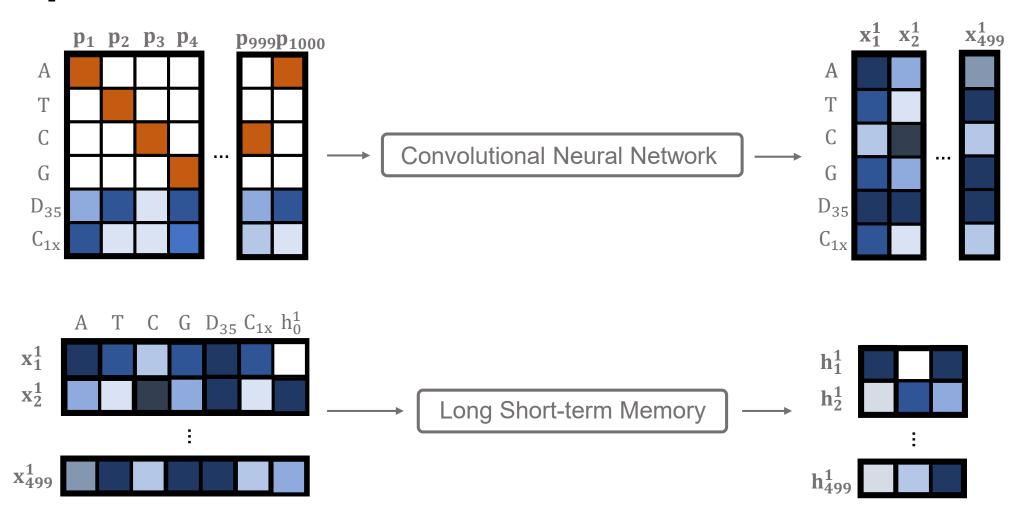


## **Bi-directional LSTM**

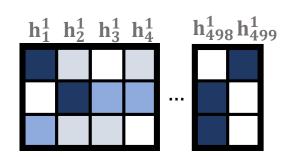


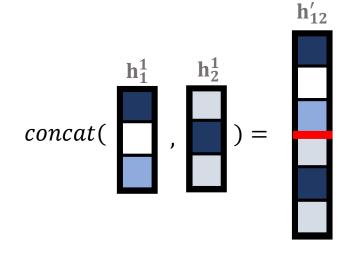
concatenate the two output

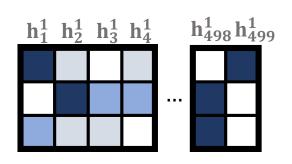
# Input for Recurrent Neural Network



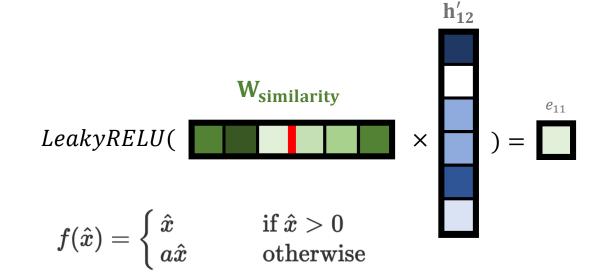
#### 1. Concatenation



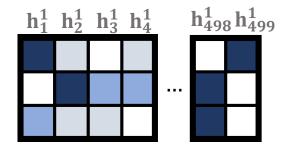


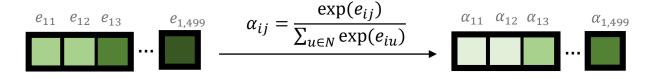


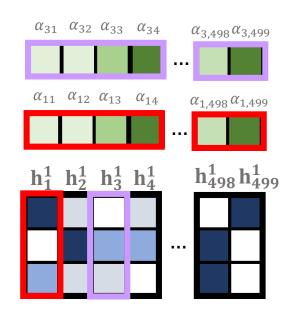
- 1. Concatenation
- 2. Train a neural network



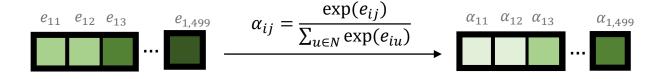
- 1. Concatenation
- 2. Train a neural network
- 2. Apply softmax

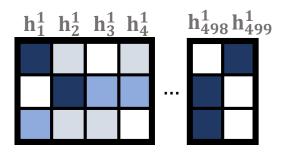




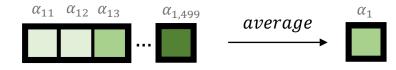


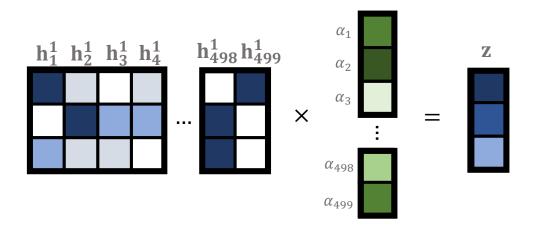
- 1. Concatenation
- 2. Train a neural network
- 3. Apply softmax



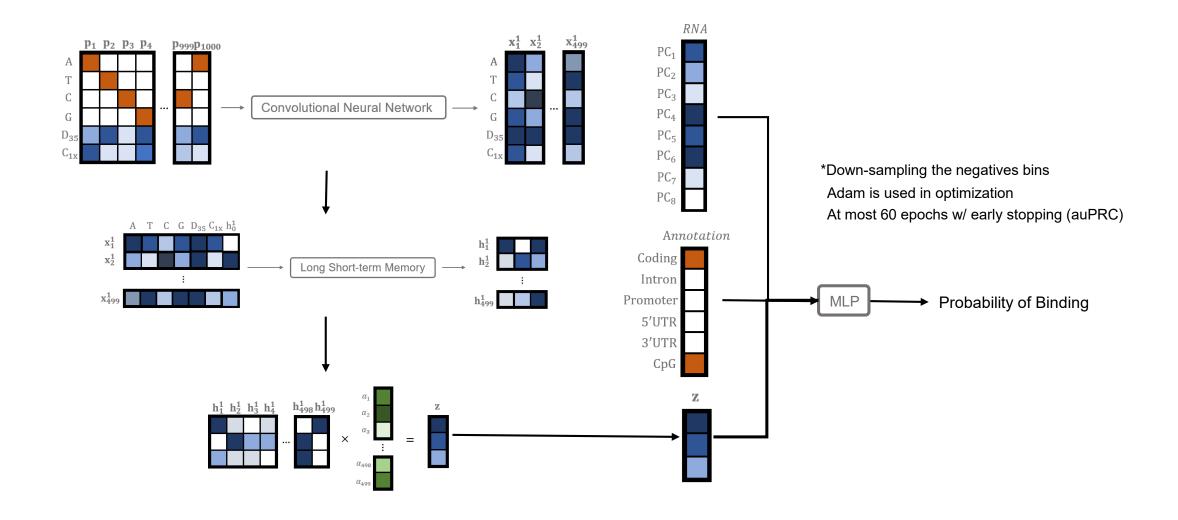


- 1. Concatenation
- 2. Train a neural network
- 3. Apply softmax
- 4. Aggregate





#### Overall network structure



#### Results

#### **Benchmarking on Evaluation Data**

- DeepGRN attention model better performed on:
  - 69.23% of prediction targets than Anchor
  - 69.23% of prediction targets than FactorNet
  - 72.92% of prediction targets than Catchitt
  - 92.31% of prediction targets than Cheburashka
- Highest auPRC on six targets

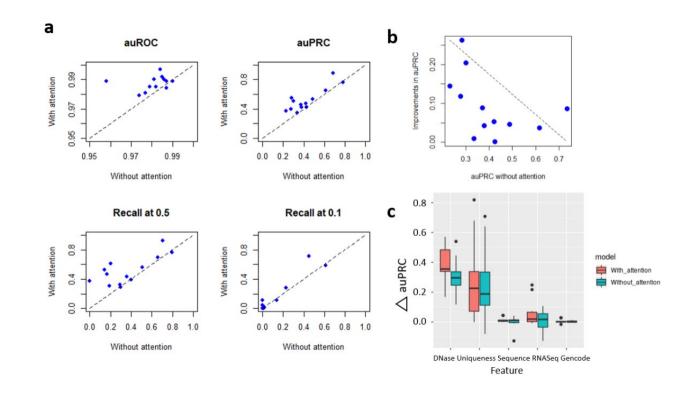
**Table 1.** The results of our attention model and top four algorithms in the DREAM Challenge (Bold denotes the highest auPRC among all methods).

| TF Name | Cell Type            |             | Anchor | FactorNet | Cheburashka | Catchitt | DeepGRN |
|---------|----------------------|-------------|--------|-----------|-------------|----------|---------|
| CTCF    | induced<br>stem cell | pluripotent | 0.755  | 0.861     | 0.780       | 0.816    | 0.883   |
| CTCF    | PC-3                 |             | 0.608  | 0.783     | 0.480       | 0.753    | 0.756   |
| E2F1    | K562                 |             | 0.352  | 0.241     | 0.353       | 0.427    | 0.375   |
| EGR1    | liver                |             | 0.429  | 0.317     | 0.364       | 0.399    | 0.395   |
| FOXA1   | liver                |             | 0.376  | 0.492     | 0.310       | 0.488    | 0.534   |
| FOXA2   | liver                |             | 0.461  | 0.217     | 0.315       | 0.387    | 0.505   |
| GABPA   | liver                |             | 0.470  | 0.442     | 0.444       | 0.423    | 0.473   |
| HNF4A   | liver                |             | 0.586  | 0.619     | 0.519       | 0.596    | 0.652   |
| JUND    | liver                |             | 0.599  | 0.265     | 0.447       | 0.422    | 0.546   |
| MAX     | liver                |             | 0.535  | 0.422     | 0.474       | 0.448    | 0.422   |
| NANOG   | induced<br>stem cell | pluripotent | 0.309  | 0.354     | 0.195       | 0.315    | 0.344   |
| REST    | liver                |             | 0.398  | 0.412     | 0.324       | 0.264    | 0.46    |
| TAF1    | liver                |             | 0.437  | 0.428     | 0.375       | 0.413    | 0.426   |

#### Results

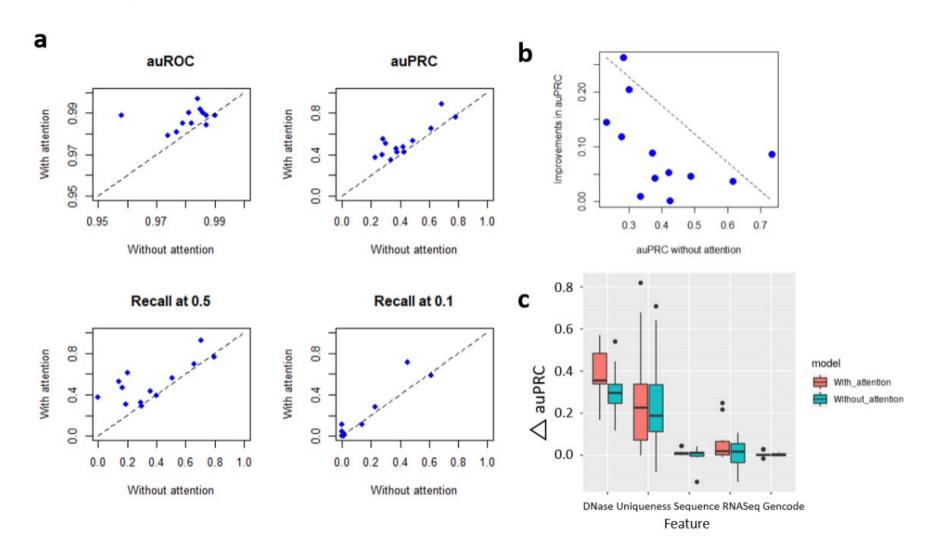
#### Performance improvement of attention mechanism with a CNN-RNN model

- DeepGRN attention model achieved better or equal performance on:
  - 7 targets with all scoring metrics
  - 10 targets with 3 metrics
    - Except for recall at FDR 0.1
- Largest auPRC improvement
  - JUND (0.262), FOXA2 (0.204), E2F1 (0.144), and EGR1 (0.118)

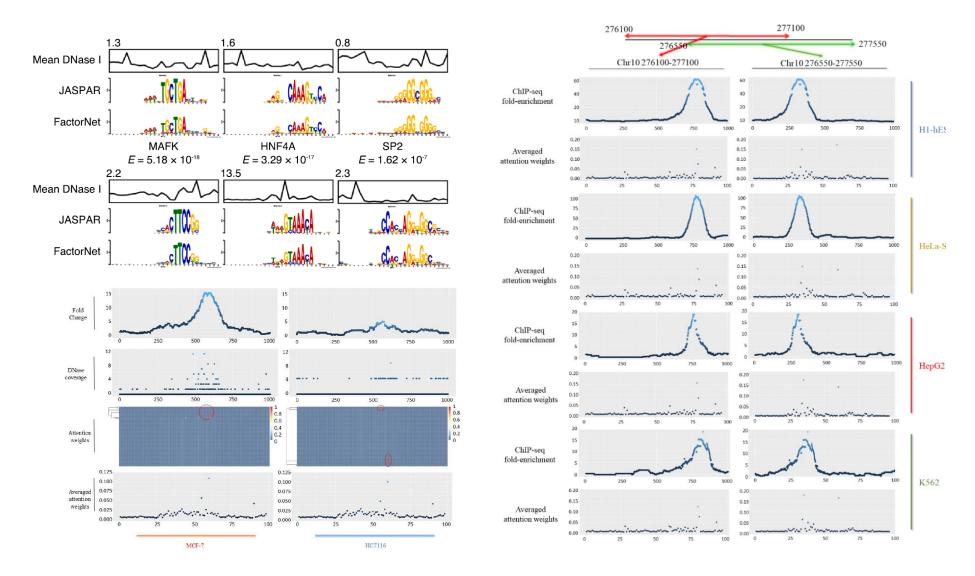


#### Results

Performance improvement of attention mechanism



# Interpretation of the Model



#### **Discussions**

- The manuscript is short of visual representation specially for simplified explanation of the structure of the model
- They refer to the FactorNet model to describe their input structures
  - It is less convenient for the reader to refer to another paper and try to make the connection with this paper
  - The manuscript would benefit from a comprehensive visual representation (diagram) of structure of input features (as in FactorNet) & their of DNN architecture
- Visual report of the results of their benchmarking instead of table(s)
- Figure 2b caption refers to Pearson and Spearman correlation coefficients but these are missing from the figure
- The discussion section is very thin.
  - They are rephrasing the results part and put it in discussion
- Annotation feature 'CpG islands', may not be optimal
  - ~70% of human promoters contain CpG islands

# Thanks