

Notes on nets and filters

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1 • Basic theory of nets

If P is a preordered set, then a subset $A \subseteq P$ is said to be *cofinal* in P if for every $x \in P$ there is a $y \in A$ with $x \leq y$. A function $f: P \rightarrow Q$ between ordered sets is said to be *cofinal* if $f(P)$ is cofinal in Q .

DEFINITION 1.1: Nets

Let X be a set. A *net* in X is a function $u: I \rightarrow X$, where I is a nonempty directed set. The value $u(i)$ at $i \in I$ is usually denoted u_i .

Given $i \in I$, the set

$$T_i = T_i^u = \{u_j \in A \mid j \geq i\}$$

is called the *tail of u following i* . The collection of tails of u is denoted \mathcal{T}_u .

Some authors allow the domain I to be empty (e.g. Willard (1970), Folland (2007)), while others do not (e.g. Kelley 1975, Beardon 1997, who both require even directed sets to be nonempty). We require I to be nonempty since it makes the correspondence between nets and filters nicer, since filters are required to be nonempty.

Notice that \mathcal{T}_u is downward directed with respect to set inclusion: For $i, j \in I$ there is a $k \in I$ with $i, j \leq k$, and hence $T_k \subseteq T_i \cap T_j$. Intuitively speaking, every pair of tails of u ‘meet’ somewhere in the future.

DEFINITION 1.2

Let $u: I \rightarrow X$ be a net, and let $A \subseteq X$.

- (a) The net u is *eventually in A* if there exists a tail $T \in \mathcal{T}_u$ such that $T \subseteq A$. Equivalently, there exists an $i \in I$ such that $u_j \in A$ for all $j \geq i$.
- (b) The net u is *frequently/cofinally in A* if $T \cap A \neq \emptyset$ for all $T \in \mathcal{T}_u$. Equivalently, for all $i \in I$ there exists a $j \geq i$ such that $u_j \in A$, i.e. $u^{-1}(A)$ is cofinal

in I .

In particular, since \mathcal{T}_u is downward directed, if u is eventually in A then it is frequently in A . Notice also that u is *not* eventually in A if and only if it is frequently in $X \setminus A$.

DEFINITION 1.3

Let $u: I \rightarrow X$ be a net in a topological space X , and let $x \in X$.

- (a) The net u *converges to* x if u is eventually in N for all $N \in \mathcal{N}_x$, i.e. if

$$\forall N \in \mathcal{N}_x \exists T \in \mathcal{T}_u: T \subseteq N.$$

In this case we use either of the following notations:

$$u \rightarrow x, \quad u_i \rightarrow x, \quad \lim u = x, \quad \lim_{i \in I} u_i = x.$$

- (b) The point x is a *cluster point* of u if u is frequently in N for all $N \in \mathcal{N}_x$, i.e. if

$$\forall N \in \mathcal{N}_x, T \in \mathcal{T}_u: T \cap N \neq \emptyset.$$

Thus if $u \rightarrow x$, then x is a cluster point of u .

Next we consider *subnets*. There are at least three different, non-equivalent definitions of subnets:

DEFINITION 1.4: Subnets

Let $u: I \rightarrow X$ and $v: J \rightarrow X$ be nets in a set X .

- (a) We say that v is a (*Aarnes–Andenæs*) *subnet* of u if for all $A \subseteq X$,

$$u \text{ is eventually in } A \quad \Rightarrow \quad v \text{ is eventually in } A,$$

or equivalently if

$$v \text{ is frequently in } A \quad \Rightarrow \quad u \text{ is frequently in } A.$$

- (b) We say that v is a *Kelley subnet* of u if there exists a function $\varphi: J \rightarrow I$ such that

- (a) $v = u \circ \varphi$, and

- (b) for each $i_0 \in I$ there is a $j_0 \in J$ such that $j \geq j_0$ implies that $\varphi(j) \geq i_0$.

- (c) We say that v is a *Willard subnet* of u if there exists a function $\varphi: J \rightarrow I$ such that

- (a) $v = u \circ \varphi$,

- (b) φ is monotone, and
- (c) φ is cofinal, i.e. for each $i_0 \in I$ there is a $j_0 \in J$ such that $\varphi(j_0) \geq i_0$.

It will turn out that Aarnes–Andenæs subnets (henceforth simply ‘AA subnets’) will be most important to us. We may equip the class of nets in a set X with a preorder by letting $v \leq u$ if v is an AA subnet of u . If both $v \leq u$ and $u \leq v$, then we say that u and v are *equivalent* and write $u \sim v$. As with sequences, if $u \rightarrow x$ and v is a subnet (of any kind) of u , then also $v \rightarrow x$. This is clear for AA subnets, and will follow for the other two kinds from the following remark:

REMARK 1.5: Relationship between definitions of subnets.

We claim that each Willard subnet is a Kelley subnet, and each Kelley subnet is an AA subnet. For the first claim, let $i_0 \in I$ and choose j_0 in accordance with [ref?]. For $j \in J$ with $j \geq j_0$ we thus have

$$\varphi(j) \geq \varphi(j_0) \geq i_0$$

as desired.

Next assume that v is a Kelley subnet of u , assume that v is frequently in some $A \subseteq X$, and let $i_0 \in I$. Choose $j_0 \in J$ such that $j \geq j_0$ implies $\varphi(j) \geq i_0$. There is some $j \in J$ such that $u_{\varphi(j)} = v_j \in A$. Hence u is frequently in A , so v is an AA subnet.

[TODO: converses don’t hold, see Schachter.] ┘

LEMMA 1.6

Let $u: I \rightarrow X$ and $v: J \rightarrow X$ be nets in a set X with the property that $T_1 \cap T_2 \neq \emptyset$ for all $T_1 \in \mathcal{T}_u$ and T_v . Then u and v have a common Willard subnet w .

Furthermore, w can be chosen to be maximal among common AA subnets of u and v : That is, any common AA subnet of u and v is also an AA subnet of w .

We have stated the lemma in terms of two nets, but the proof generalises in an obvious way to any finite number of nets.

PROOF. For $i_0 \in I$ and $j_0 \in J$, notice that

$$\{x \in X \mid x = u_i = v_j \text{ for some } i \geq i_0, j \geq j_0\} = T_{i_0}^u \cap T_{j_0}^v \neq \emptyset.$$

Hence the set

$$K = \{(i, j) \in I \times J \mid u_i = v_j\}$$

is nonempty, and if $I \times J$ is equipped with the product order, it is easy to see that K is directed. Define a net $w: K \rightarrow X$ by defining $w_{(i,j)}$ as the common value $u_i = v_j$. Then $w = u \circ \pi_1|_K$, where $\pi_1: I \times J \rightarrow I$ is the projection onto I .

This trivially satisfies the conditions in [TODO Willard subnet ref], showing that w is a Willard subnet of both u and v .

It is also easy to see that

$$T_{(i,j)}^w = T_i^u \cap T_j^v$$

for all $(i, j) \in K$. [TODO] The theory of filters then shows that w is maximal as claimed. \square

COROLLARY 1.7

Let u be a net, and let v be an AA subnet. Then u has a Willard subnet that is equivalent to v .

PROOF. Notice that u and v have a common AA subnet, namely v . But [TODO ref] yields a maximal common Willard subnet w , and by maximality v is also a subnet of w . Hence these are equivalent as claimed. \square

Thus the three types of subnets can be used interchangeably, insofar as the properties of a subnet are invariant up to equivalence. We will use AA subnets since their correspondence with superfilters is nicer, and henceforth ‘subnet’ will mean AA subnet.

2 • Basic theory of filters

DEFINITION 2.1: Filters

Let X be a set. A *filter on X* is a proper filter \mathcal{F} on the powerset 2^X ordered by inclusion. That is, \mathcal{F} is a nonempty collection of subsets of X that is

- (a) proper, i.e. $\emptyset \notin \mathcal{F}$,
- (b) downward directed, i.e., for $F_1, F_2 \in \mathcal{F}$ there is an $F_3 \in \mathcal{F}$ such that $F_3 \subseteq F_1, F_2$, and
- (c) upward closed, i.e. $\mathcal{F} = \mathcal{F}^\uparrow$.

The condition (c) means that if $F \in \mathcal{F}$ and $F \subseteq G$, then $G \in \mathcal{F}$. By [TODO remark ref], in the presence of (c) condition (b) [TODO links] is equivalent to \mathcal{F} being closed under (binary) intersections.

We want a way to generate filters from less restrictive collections of sets. Davey and Priestley (2002, Exercise 2.22) gives a general way to do this, and we notice that if $\emptyset \neq \mathcal{B} \subseteq 2^X$ is already downward directed, then the filter generated by \mathcal{B} is just \mathcal{B}^\uparrow . In fact, it is trivial to show that (in a general lattice) \mathcal{B} is downward directed if and only if \mathcal{B}^\uparrow is, so \mathcal{B}^\uparrow is a (not necessarily proper)

filter if and only if \mathcal{B} is downward directed. If we further require that \mathcal{B} not contain the empty set, then \mathcal{B}^\uparrow is a filter in the above sense. This motivates the following definition:

DEFINITION 2.2: Filter bases

Let X be a set. A *filter basis* on X is a nonempty collection \mathcal{B} of subsets of X that is

- (a) proper, and
- (b) downward directed.

The filter *generated by* \mathcal{B} is the filter \mathcal{B}^\uparrow . If \mathcal{F} is a filter on X and $\mathcal{F} = \mathcal{B}^\uparrow$, then \mathcal{B} is called a *basis* for \mathcal{F} .

If X is a topological space and $x \in X$, then we denote the family of neighbourhoods of x by \mathcal{N}_x . Notice that this is a filter on X .

DEFINITION 2.3

Let \mathcal{F} be a filter on a topological space X , and let $x \in X$.

- (a) The filter \mathcal{F} *converges to* x if $\mathcal{N}_x \subseteq \mathcal{F}$. In this case we write $\mathcal{F} \rightarrow x$.
- (b) The point x is called a *cluster point* of \mathcal{F} if

$$\forall N \in \mathcal{N}_x, F \in \mathcal{F} : F \cap N \neq \emptyset.$$

Thus if $\mathcal{F} \rightarrow x$, then x is a cluster point of \mathcal{F} . Notice the similarity between the definition of cluster points for nets and filters respectively.

REMARK 2.4. Notice also that if \mathcal{B} is a basis for the filter \mathcal{F} and $\mathcal{N}_x \subseteq \mathcal{B}$, then $\mathcal{F} \rightarrow x$. Furthermore, for every $F \in \mathcal{F}$ there is a $B \in \mathcal{B}$ with $B \subseteq F$. So if $B \cap N \neq \emptyset$ then also $F \cap N \neq \emptyset$. Hence we may also replace \mathcal{F} with \mathcal{B} in (b) [TODO ref].

In the theory of filters, the concept corresponding to subnets is that of *superfilters*:

DEFINITION 2.5: Superfilters

Let \mathcal{F} and \mathcal{G} be filters on the same set X . We say that \mathcal{G} is a *superfilter* of \mathcal{F} if $\mathcal{F} \subseteq \mathcal{G}$.

We sometimes also say that \mathcal{F} is a *subfilter* of \mathcal{G} , or that \mathcal{F} is *finer* than \mathcal{G} and \mathcal{G} *coarser* than \mathcal{F} . The class of filters on a fixed set is of course partially ordered by inclusion.

Notice that if $\mathcal{F} \rightarrow x$ and \mathcal{G} is a superfilter of \mathcal{F} , then also $\mathcal{G} \rightarrow x$ as we would expect if superfilters are to play the role of subnets.

3 • Correspondence between nets and filters

We wish to study the correspondence between nets and filters on a fixed set X . Given a net u in X , recall that the collection \mathcal{T}_u of tails of u is downward directed, so $(\mathcal{T}_u)^\uparrow$ is indeed a filter, namely the smallest filter containing all tails of u . We call this the *eventuality filter* of u and denote it by Φ_u . This defines a map $\Phi: \mathfrak{N}(X) \rightarrow \mathfrak{F}(X)$ given by $\Phi(u) = \Phi_u$.

Furthermore, we define an ordering \vdash on the set of filters by letting $\mathcal{G} \vdash \mathcal{F}$ if $\mathcal{F} \subseteq \mathcal{G}$ (i.e. \vdash is dual to set inclusion), and in this case we say that \mathcal{G} is *subordinate* to \mathcal{F} .

LEMMA 3.1

Let \mathcal{F} be a filter on a set X . There exists a net u in X such that $\mathcal{F} = \mathcal{T}_u$. In particular $\mathcal{F} = \Phi_u$, so the map Φ is surjective.

PROOF. Let \mathcal{F} be a filter on X and define a direction on the set

$$I = \{(x, F) \mid x \in F \in \mathcal{F}\}$$

by letting $(x, F) \leq (y, G)$ if $G \subseteq F$. Define a net $u: I \rightarrow X$ by $u_{(x, F)} = x$. Notice that

$$\mathcal{T}_{(x, F)} = \{u_{(y, G)} \mid y \in G \subseteq F\} = \{y \mid y \in G \subseteq F\} = F,$$

so each tail lies in \mathcal{F} , and every element in \mathcal{F} is a tail. Hence $\mathcal{F} = \mathcal{T}_u$, which implies that

$$\Phi_u = (\mathcal{T}_u)^\uparrow = \mathcal{F}^\uparrow = \mathcal{F},$$

since \mathcal{F} is already a filter. □

THEOREM 3.2

Let u and v be nets in a set X . For $F \subseteq X$ we have

- (a) $F \in \Phi_u$ if and only if u is eventually in F , and
- (b) $v \leq u$ if and only if $\Phi_v \vdash \Phi_u$.

In particular, the map $\Phi: (\mathfrak{N}(X), \leq) \rightarrow (\mathfrak{F}(X), \vdash)$ is monotone.

PROOF. (a): We have $F \in \Phi_u = (\mathcal{T}_u)^\uparrow$ if and only if F contains a tail T of u , and this is the case if and only if u is eventually in F .

(b): The filter Φ_u contains those subsets $F \subseteq X$ such that u is eventually in F , so this follows immediately from (a). □

This motivates the following construction: If u is a net in X , denote by $[u]$ the \sim -equivalence class of u . [TODO ref theorem] then says that the map Φ induces a map $\tilde{\Phi}: \tilde{\mathfrak{N}}(X) \rightarrow \mathfrak{F}(X)$ which sends a class $[u]$ to Φ_u , and that this map is injective. It inherits surjectivity from Φ , so it is in fact a bijection, hence an order isomorphism. We denote its inverse by $\tilde{\Psi}$.

COROLLARY 3.3

Let u be a net in a topological space X , and let $x \in X$. We then have that

- (a) $u \rightarrow x$ if and only if $\Phi_u \rightarrow x$, and
- (b) x is a cluster point of u iff it is a cluster point of Φ_u .

PROOF. (a): Apply [theorem] to each neighbourhood in \mathcal{N}_x .

(b): Notice that x is a cluster point of u if and only if

$$\forall N \in \mathcal{N}_x, T \in \mathcal{T}_u: N \cap T \neq \emptyset.$$

Since \mathcal{T}_u is a basis for Φ_u , by [Remark 2.4](#) the above holds if and only if x is a cluster point of Φ_u . \square

4 • Cluster points

Next we see that cluster points can be characterised in terms of subnets and superfilters, just as limit points can in terms of sequences in a metric space.

PROPOSITION 4.1

Let X be a topological space, u a net in and \mathcal{F} a filter on X . For $x \in X$ we have that

- (a) x is a cluster point of \mathcal{F} iff \mathcal{F} has a superfilter converging to x ,
and equivalently that
- (b) x is a cluster point of u iff u has a subnet converging to x .

PROOF. (a): First assume that x is a cluster point of \mathcal{F} and consider the collection of sets

$$\mathcal{B} = \{F \cap N \mid F \in \mathcal{F}, N \in \mathcal{N}_x\} \neq \emptyset.$$

Notice that every element in \mathcal{B} is nonempty, and that

$$(F_1 \cap N_1) \cap (F_2 \cap N_2) = (F_1 \cap F_2) \cap (N_1 \cap N_2) \in \mathcal{B},$$

so \mathcal{B} is a filter basis. Denote the filter it generates by \mathcal{G} . This is a superfilter of \mathcal{F} since $X \in \mathcal{N}_x$, and we have $\mathcal{N}_x \subseteq \mathcal{G}$ since $X \in \mathcal{F}$, so $\mathcal{G} \rightarrow x$.

Conversely assume that \mathcal{F} has a superfilter \mathcal{G} converging to x . This means that $\mathcal{N}_x \subseteq \mathcal{G}$, so for all $F \in \mathcal{F}$ and $N \in \mathcal{N}_x$ the intersection $F \cap N$ lies in \mathcal{G} since \mathcal{G} is a filter, hence is nonempty.

(b): Recall that x is a cluster point u if and only if it is a cluster point of Φ_u , which by (a) is the case just when Φ_u has a superfilter converging to x . But such a superfilter corresponds to a subnet of u , and this converges if and only if the superfilter does. \square

5 • Ultrafilters and ultranets

DEFINITION 5.1: Ultrafilters

A filter \mathcal{U} on a set X is said to be an *ultrafilter* if it is a maximal element in $\mathfrak{F}(X)$, i.e. if $\mathcal{U} \subseteq \mathcal{F}$ implies that $\mathcal{U} = \mathcal{F}$ for any filter \mathcal{F} on X .

PROPOSITION 5.2

A filter \mathcal{U} on a set X is an ultrafilter if and only if for every $A \subseteq X$ either $A \in \mathcal{U}$ or $X \setminus A \in \mathcal{U}$.

PROOF. Assume that there is an $A \subseteq X$ such that neither A nor $X \setminus A$ is an element of \mathcal{U} . Notice that then $A \cap U \neq \emptyset$ for all $U \in \mathcal{U}$, since otherwise $U \subseteq X \setminus A$, and so we would have $X \setminus A \in \mathcal{U}$. The set

$$\mathcal{B} = \{A \cap U \mid U \in \mathcal{U}\}$$

is then easily seen to be a filter basis. Let \mathcal{F} be the filter generated by \mathcal{B} . Clearly $\mathcal{U} \subseteq \mathcal{F}$ since $A \cap U \subseteq U$ for any $U \in \mathcal{U}$. But since $X \in \mathcal{U}$ we also have $A \in \mathcal{F}$, so \mathcal{F} is strictly larger than \mathcal{U} . Hence \mathcal{U} is not an ultrafilter.

Conversely let \mathcal{F} be a not necessarily proper filter on X that is strictly greater than \mathcal{U} , and let $A \in \mathcal{F} \setminus \mathcal{U}$. Then we must have $X \setminus A \in \mathcal{U}$, so $\emptyset = A \cap X \setminus A \in \mathcal{F}$, and hence $\mathcal{F} = 2^X$. \square

The corresponding notion for nets is usually defined as follows:

DEFINITION 5.3

A net u in a set X is an *ultranet* or *universal net* if for every $A \subseteq X$, u is eventually in either A or $X \setminus A$.

[TODO ref prop] thus shows that u is an ultranet if and only if Φ_u is an ultrafilter.

THEOREM 5.4

Every filter is contained in an ultrafilter. Equivalently, every net has a universal subnet.

PROOF. Let \mathcal{F} be a filter on a set X , and consider the set

$$\mathbb{F} = \{\mathcal{G} \in \mathfrak{F}(X) \mid \mathcal{F} \subseteq \mathcal{G}\}.$$

This is a nonempty partially ordered set. If \mathbb{G} is a chain in \mathbb{F} , then it is easy to see that $\bigcup_{\mathcal{G} \in \mathbb{G}} \mathcal{G} \in \mathbb{F}$. Hence every chain in \mathbb{F} is bounded, so Zorn's lemma yields a maximal element \mathcal{U} . Clearly \mathcal{U} is an ultrafilter containing \mathcal{F} as desired. \square

6 • Continuity

DEFINITION 6.1

Let $f: X \rightarrow Y$ be a function, $u: I \rightarrow X$ a net and \mathcal{F} a filter on X .

- (a) The *pushforward of u by f* is the net $f(u) = f \circ u: I \rightarrow Y$.
- (b) The *pushforward of \mathcal{F} by f* is the filter $f(\mathcal{F})$ generated by the filter basis $\{f(F) \mid F \in \mathcal{F}\}$.

REMARK 6.2.

- (a) If $u \sim v$ then also $f(u) \sim f(v)$. We therefore define the pushforward $f([u])$ of the class $[u]$ by the class $[f(u)]$.
- (b) If \mathcal{B} is a basis for the filter \mathcal{F} , then consider the filter $f(\mathcal{B})$ generated by the filter basis $\{f(B) \mid B \in \mathcal{B}\}$. We claim that $f(\mathcal{B}) = f(\mathcal{F})$. The inclusion ' \subseteq ' is clear, and if $G = f(F)$ for some $F \in \mathcal{F}$, then $B \subseteq F$ for some $B \in \mathcal{B}$. Hence $f(B) \subseteq G$, so $G \in f(\mathcal{B})$ since the latter is upward closed.
- (c) We may give a different characterisation of the pushforward $f(\mathcal{F})$. Consider the set

$$\mathcal{G} = \{G \subseteq Y \mid f^{-1}(G) \in \mathcal{F}\}.$$

This is easily seen to be a filter. We claim that $f(\mathcal{F}) = \mathcal{G}$. For all $F \in \mathcal{F}$ we have $F \subseteq f^{-1}(f(F))$, so $f^{-1}(f(F)) \in \mathcal{F}$, and hence $f(F) \in \mathcal{G}$. It follows that $f(\mathcal{F}) \subseteq \mathcal{G}$.

Conversely, if $G \in \mathcal{G}$ then $f(f^{-1}(G)) \subseteq G$. Since $f^{-1}(G) \in \mathcal{F}$ we have $G \in f(\mathcal{F})$, so $\mathcal{G} \subseteq f(\mathcal{F})$. \sqcup

LEMMA 6.3

Let $f: X \rightarrow Y$ be a function, and let u be a net in X . Then $f(\Phi_u) = \Phi_{f(u)}$. In particular $f(\tilde{\Phi}_{[u]}) = \tilde{\Phi}_{f([u])}$, so $\tilde{\Psi}_{f(\mathcal{F})} = f(\tilde{\Psi}_{\mathcal{F}})$ for every filter \mathcal{F} on X .

PROOF. Since the tails \mathcal{T}_u is a basis for Φ_u , the collection $\{f(T) \mid T \in \mathcal{T}_u\}$ is a basis for $f(\Phi_u)$. On the other hand, this is precisely $\mathcal{T}_{f(u)}$, which is a basis for $\Phi_{f(u)}$. \square

COROLLARY 6.4

Let $f: X \rightarrow Y$ be a function, u a net in X , and $y \in Y$. Then $f(u) \rightarrow y$ if and only if $f(\Phi_u) \rightarrow y$.

PROOF. This is immediate since $f(\Phi_u) = \Phi_{f(u)}$. \square

PROPOSITION 6.5

Let $f: X \rightarrow Y$ be a function between topological spaces, and let $x \in X$. Then the following are equivalent:

- (a) f is continuous at x .
- (b) For all nets u in X , $u \rightarrow x$ implies that $f(u) \rightarrow f(x)$.
- (c) For all filters \mathcal{F} on X , $\mathcal{F} \rightarrow x$ implies that $f(\mathcal{F}) \rightarrow f(x)$.

PROOF. (a) \Rightarrow (b): For each $N \in \mathcal{N}_{f(x)}$ there is an $M \in \mathcal{N}_x$ such that $f(M) \subseteq N$. Since u is eventually in M , $f(u) = f \circ u$ is eventually in $f(M) \subseteq N$, showing that $f(u) \rightarrow f(x)$.

(b) \Rightarrow (c): This follows immediately from [TODO cor].

(c) \Rightarrow (a): Recall that \mathcal{N}_x is a filter that converges to x . Hence $f(\mathcal{N}_x) \rightarrow f(x)$, which by definition means that $\mathcal{N}_{f(x)} \subseteq f(\mathcal{N}_x)$. Each $N \in \mathcal{N}_{f(x)}$ thus contains an element of the basis of $f(\mathcal{N}_x)$, namely a set on the form $f(M)$ for some $M \in \mathcal{N}_x$. Hence f is continuous at x . \square

PROPOSITION 6.6

Let $\{X_\alpha\}_{\alpha \in A}$ be a family of topological spaces, and set $X = \prod_{\alpha \in A} X_\alpha$. Let u be a net in X , and let \mathcal{F} be a filter in X . Then we have for $x \in X$ that

- (a) $\mathcal{F} \rightarrow x$ if and only if $\pi_\alpha(\mathcal{F}) \rightarrow x_\alpha$ for all $\alpha \in A$, and that
- (b) $u \rightarrow x$ if and only if $\pi_\alpha(u) \rightarrow x_\alpha$ for all $\alpha \in A$.

PROOF. We prove (a), and (b) is an immediate consequence. The ‘only if’ part follows from [TODO].

Conversely let $N \in \mathcal{N}_x$, and let $U \in \mathcal{N}_{x_\alpha}$ be such that $\pi_\alpha^{-1}(U) \subseteq N$ for some $\alpha \in A$. Since \mathcal{F} is a filter, to show that $N \in \mathcal{F}$ it suffices to show that $\pi_\alpha^{-1}(U) \in \mathcal{F}$. Since $\pi_\alpha(\mathcal{F}) \rightarrow x_\alpha$ we have $U \in \pi_\alpha(\mathcal{F})$, and by [TODO ref remark] it follows that $\pi_\alpha^{-1}(U) \in \mathcal{F}$. Hence $\mathcal{F} \rightarrow x$ as desired. \square

PROPOSITION 6.7

Let $f: X \rightarrow Y$ be a function, u an ultranet in X and \mathcal{U} an ultrafilter on X . Then

- (a) $f(\mathcal{U})$ is an ultrafilter on Y , and
- (b) $f(u)$ is an ultranet on Y .

PROOF. As usual, (b) follows immediately from (a). To prove (a) we use [TODO ref], so let $B \subseteq Y$. Then either $f^{-1}(B) \in \mathcal{U}$ or $f^{-1}(Y \setminus B) = X \setminus f^{-1}(B) \in \mathcal{U}$. By [TODO rem ref] either $B \in f(\mathcal{U})$ or $Y \setminus B \in f(\mathcal{U})$, so $f(\mathcal{U})$ is an ultrafilter. \square

7 • Compactness

PROPOSITION 7.1

Let X be a topological space. The following are equivalent:

- (a) X is compact.
- (b) Every filter on X has a cluster point.
- (c) Every filter on X has a convergent superfilter.
- (d) Every ultrafilter on X converges.

These are equivalent to the corresponding claims concerning nets:

- (e) Every net in X has a cluster point.
- (f) Every net in X has a convergent subnet.
- (g) Every ultranet in X converges.

We will use the following well-known characterisation of compactness: The space X is compact if and only if for every collection \mathcal{K} of closed subsets with the finite intersection property, $\bigcap_{K \in \mathcal{K}} K \neq \emptyset$.

PROOF. (a) \Rightarrow (b): Let \mathcal{F} be a filter on X . Clearly \mathcal{F} itself has the finite intersection property, and so does the collection $\{\bar{F} \mid F \in \mathcal{F}\}$. Hence $\bigcap_{F \in \mathcal{F}} \bar{F} \neq \emptyset$, so \mathcal{F} has a cluster point by [TODO ref].

(b) \Rightarrow (c): This is an immediate consequence of [TODO ref].

(c) \Rightarrow (d): If \mathcal{U} is an ultrafilter on X , then it has a convergent superfilter \mathcal{F} . But since $\mathcal{U} = \mathcal{F}$, \mathcal{U} itself must be convergent.

(d) \Rightarrow (a): Assume that there is a collection \mathcal{A} of subsets of X with the finite intersection property and with $\bigcap_{A \in \mathcal{A}} A = \emptyset$. The collection

$$\mathcal{B} = \left\{ \bigcap_{i=1}^n A_i \mid n \in \mathbb{Z}_+, A_1, \dots, A_n \in \mathcal{A} \right\}$$

is clearly a filter basis, so let \mathcal{F} be the filter it generates. By [TODO ref] \mathcal{F} is contained in an ultrafilter \mathcal{U} . Now notice that

$$\bigcap_{B \in \mathcal{U}} \bar{B} \subseteq \bigcap_{B \in \mathcal{B}} \bar{B} = \bigcap_{B \in \mathcal{B}} B = \emptyset.$$

Thus \mathcal{U} has no cluster points, so it does not converge. \square

THEOREM 7.2: Tychonoff's theorem

Let $\{X_\alpha\}_{\alpha \in A}$ be a collection of compact topological spaces. Then the product $\prod_{\alpha \in A} X_\alpha$ is also compact.

PROOF. Let \mathcal{U} be an ultrafilter in $X = \prod_{\alpha \in A} X_\alpha$. For all $\alpha \in A$, $\pi_\alpha(\mathcal{U})$ is an ultrafilter in X_α by [TODO ref], so since X_α is compact [TODO ref] implies that $\pi_\alpha(\mathcal{U})$ converges. [TODO ref] in turn implies that \mathcal{U} converges, so another application of [TODO ref] yields compactness of X . \square

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