

# Thermo-elasticity

## applications

geothermal

energy

drilling

fluid injection

hydraulic

fracturing  
(unconv)  
tight  
geom

$\Delta T \uparrow$   
microwave

shallow

deep

$\uparrow \text{HF}$   
 $\uparrow \text{C}_\text{in}$

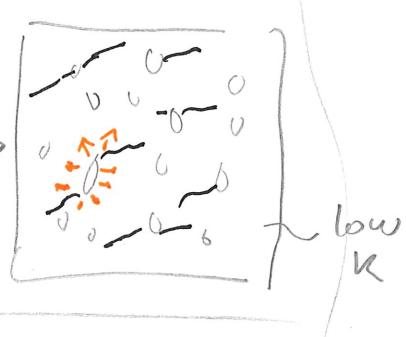
tensile  $\sigma_{\text{ee}}$

water  
 $\text{CO}_2$   
chemical

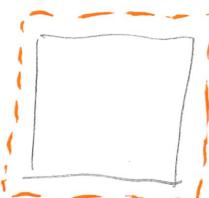
injection  
 $\text{CO}_2$   
disposal  
water

cold  $\rightarrow$  cryogenic  
fracturing

hot  $\rightarrow$  microwave

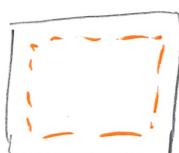


$$\Delta T > 0$$



$$\epsilon_{\text{vol}} = \epsilon < 0 \quad (\text{Dilation})$$

$$\Delta T < 0$$

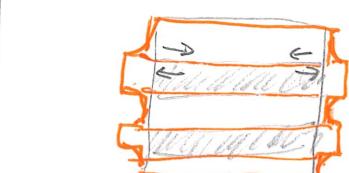


$$\epsilon_{\text{vol}} > 0 \quad (\text{contraction})$$

$$\Delta T \leq 0$$

$\rightarrow$  no shear strains

(isotropic)  
homogeneous



heterogeneous,  $\neq \beta$  clastic coeff

(49)

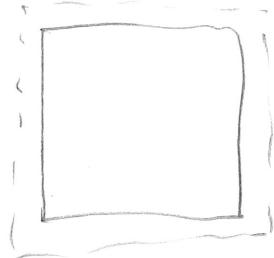
$$\underline{\underline{\sigma}} = \underline{\underline{C}} \cdot \underline{\underline{\epsilon}} + 3\beta K(\Delta T) \underline{\underline{I}}$$

linear thermal dilation coefficient      change of temp      identity matrix

isotropic  
no shear comp

### Dilatation

Free dilatation  $\rightarrow$  unconstrained



$$\underline{\underline{\sigma}} = \underline{\underline{C}} \underline{\underline{\epsilon}} + 3\beta K(\Delta T) \underline{\underline{I}}$$

$$\underline{\underline{\epsilon}} = - \underline{\underline{D}} (3\beta K(\Delta T) \underline{\underline{I}})$$

$$\begin{vmatrix} \epsilon_{11} \\ \epsilon_{22} \\ \epsilon_{33} \end{vmatrix} = \begin{vmatrix} Y_E & -v_E & -v_E \\ -v_E & Y_E & -v_E \\ -v_E & -v_E & Y_E \end{vmatrix} \begin{vmatrix} -3\beta K(\Delta T) \\ -3\beta K(\Delta T) \\ -3\beta K(\Delta T) \end{vmatrix}$$

$$\epsilon_{11} = \frac{(1-2v)}{E} (-3\beta K(\Delta T))$$

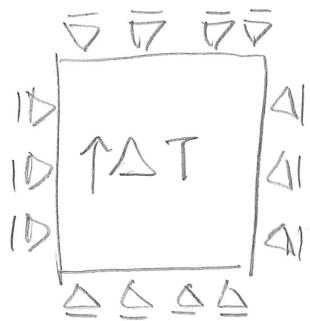
$$\boxed{\epsilon_{11} = -\beta(\Delta T)}$$

dilatation  
 $\Delta T > 0 \rightarrow \Delta \epsilon < 0$   
contraction  
 $\Delta T < 0 \rightarrow \Delta \epsilon > 0$

$$\left. \begin{array}{l} \beta = 10^{-6} - 10^{-5} \frac{1}{^{\circ}\text{K}} \\ \Delta T > 100^{\circ}\text{K} \end{array} \right\} \epsilon = 10^{-4} - \underbrace{10^{-3}}_{\text{thousandths}}$$

## Constrained dilation

(51)



→ 0

$$\underline{\underline{\sigma}} = \underline{\underline{C}} + 3\beta K \Delta T \underline{\underline{I}}$$

$$\begin{vmatrix} \sigma_{11} \\ \sigma_{22} \\ \sigma_{33} \end{vmatrix} = \begin{vmatrix} +3\beta K \Delta T \\ +3\beta K \Delta T \\ +3\beta K \Delta T \end{vmatrix}$$

$$\sigma_{11} = 3 \beta K \Delta T$$

$$K = 10 \text{ GPa} \quad \left. \right\} \quad \sigma_{11} = 3 \cdot 10^5 \frac{1}{K} \cdot 10^{10} \text{ Pa} \cdot 100 \text{ K}$$

$$\Delta T = 100^\circ \text{K} \quad \left. \right\}$$

$$\beta = 10^{-5} \frac{1}{\text{K}} \quad \sigma_{11} = 3 \cdot 10^7 \text{ Pa}$$

$$= 30 \text{ MPa}$$

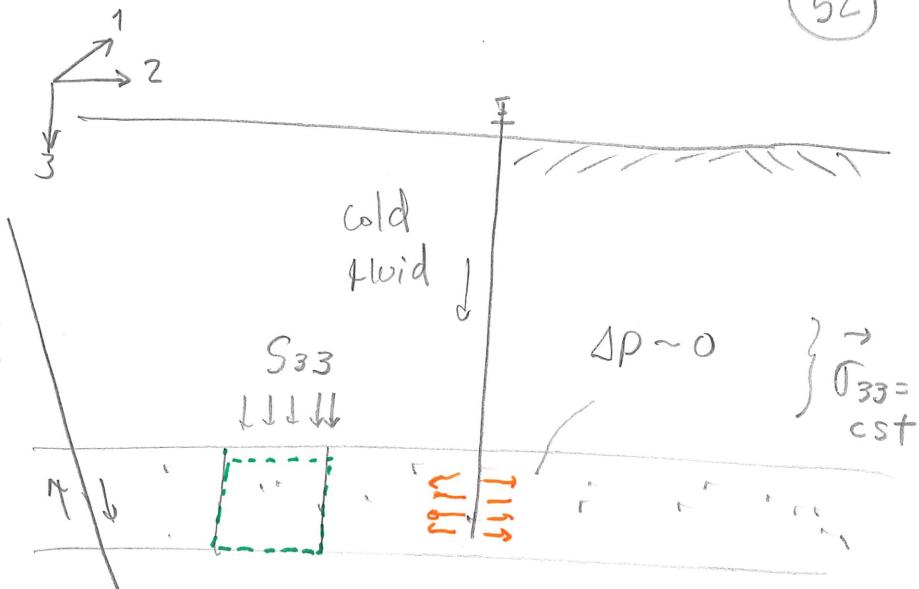
$\approx 4400 \text{ psi}$

compression

1D strain case

$$\Delta T = T - T_0$$

$$\underline{\underline{\sigma}} = \underline{\underline{C}} \underline{\underline{\varepsilon}} + 3\beta K \tilde{\theta} \underline{\underline{I}}$$



$$\begin{vmatrix} \sigma_{11} \\ \sigma_{22} \\ \sigma_{33} \end{vmatrix} = \frac{E}{(1+v)(1-2v)} \begin{vmatrix} 1-v & v & v \\ 1-v & 1 & v \\ 1-v & v & 1 \end{vmatrix} \begin{vmatrix} \varepsilon_{11} \\ \varepsilon_{22} \\ \varepsilon_{33} \end{vmatrix} + \begin{vmatrix} 3\beta K \theta \\ 3\beta K \theta \\ 3\beta K \theta \end{vmatrix}$$

$$10^5 \frac{Pa}{K} = 0.1 \cdot 10^6 \frac{Pa}{K}$$

$$\sigma_u = \frac{v E}{(1+v)(1-2v)} \varepsilon_{33} + 3\beta K \theta$$

↑

$$\sim \frac{10^{-5} \cdot 10^10 Pa}{1}$$

$$\sigma_{33} = \frac{(1-v) E}{(1+v)(1-2v)} \varepsilon_{33} + 3\beta K \theta$$

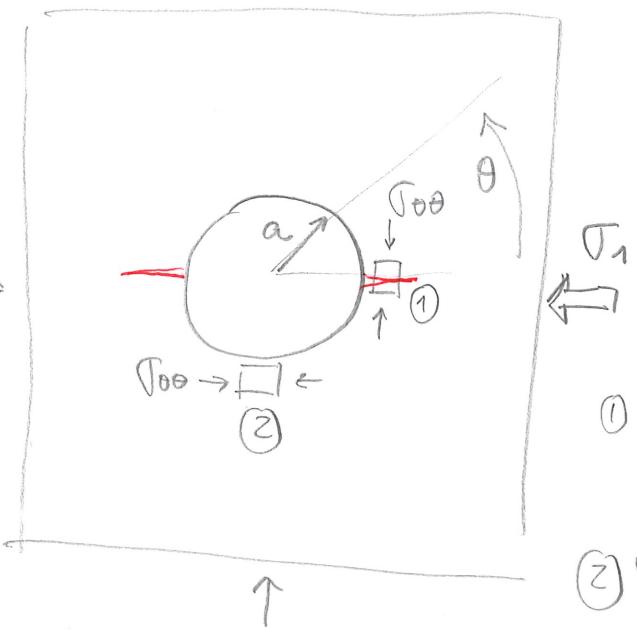
$$\sigma_{11} = \frac{v E}{(1+v)(1-2v)} \left( \sigma_{33} - 3\beta K \theta \right) \frac{(1+v)(1-2v)}{E(1-v)} + 3\beta K \theta$$

$$\sigma_{11} = \frac{v}{1-v} \sigma_{33} + 3\beta K \theta \left( 1 - \frac{v}{1-v} \right)$$

$$\left. \begin{array}{l} \beta = 10^{-5} / K \\ E = 10 G Pa \\ v = 0.2 \end{array} \right\} \frac{\partial \sigma_{11}}{\partial \theta} = 0.1 \frac{\eta \theta}{K}$$

$$\frac{\partial \sigma_{11}}{\partial \theta} \Big|_{\sigma_{33}} = 3\beta K \left( \frac{1-2v}{1-v} \right) = \beta \beta \frac{E}{\beta(1-2v)} \cdot \frac{(1-2v)}{(1-v)} = + \frac{\beta E}{1-v}$$

(S3)

 $\int \sigma_2$ 

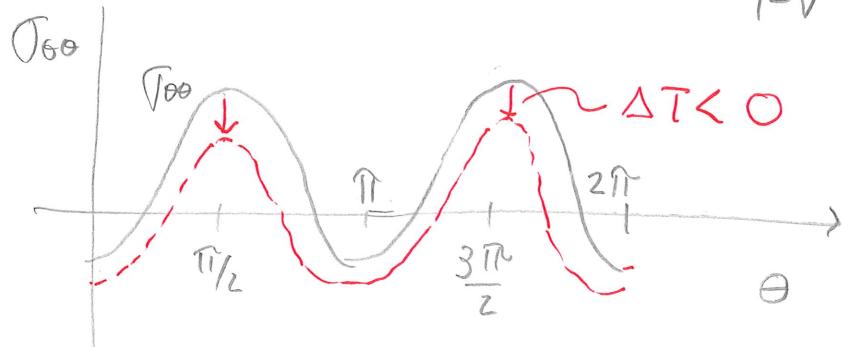
$$\frac{\partial \sigma_{\theta\theta}^T}{\partial \theta} = \frac{\beta E}{1-\nu}$$

↳ steady state conditions

$$\textcircled{1} \quad \sigma_{\theta\theta}(r=a) = -(P_w - P_p) - \sigma_1 + 3\sigma_2 + \Delta \sigma_{\theta\theta}^T$$

$$\textcircled{2} \quad \sigma_{\theta\theta}(r=a) = \underbrace{-(P_w - P_p)}_{\text{Kirsch}} + \underbrace{3\sigma_1 - \sigma_2 + \Delta \sigma_{\theta\theta}^T}_{\text{Thermal}}$$

$$\Delta \sigma_{\theta\theta}^T = \frac{\beta E}{1-\nu} \cdot \Delta T$$



### General problem of thermo-elasticity (f(time))

$$\nabla \underline{\underline{\epsilon}} + \underline{\underline{F}} = \underline{\underline{0}} \quad \text{Egual}$$

$$\underline{\underline{\epsilon}} = \frac{1}{2} (\nabla \underline{u} + \nabla \underline{u}^\top) \quad \text{Kinematic}$$

$$\underline{\underline{\sigma}} = \underline{\underline{C}} \underline{\underline{\epsilon}} + 3\beta K \theta \underline{\underline{\epsilon}} \quad \text{Constitutive}$$

$$\frac{d\theta}{dt} = \underbrace{\frac{K_T}{\rho C_v} \nabla^2 \theta}_{\text{Thermal diffusivity}} + \underbrace{\frac{3KBT_0}{\rho C_v} \frac{d\varepsilon_{vol}}{dT}}_{\text{Laplacian operator}}$$

thermal diffusivity  
typical:  $50K \cdot 0.01 = 0.5K$

Laplacian operator  
param

(54)

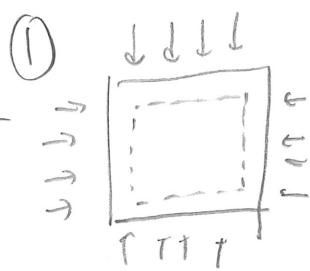
## Thermo-poro-dashicity (Coussy 4.3)

↳ new energy form  $\rightarrow$  entropy heat  $\hookrightarrow \Delta T = T - T_0$

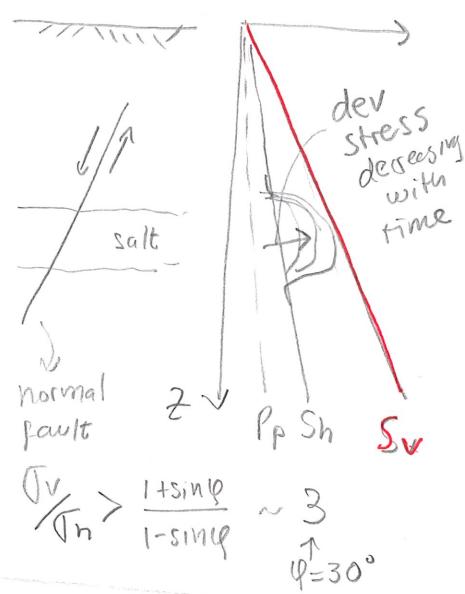
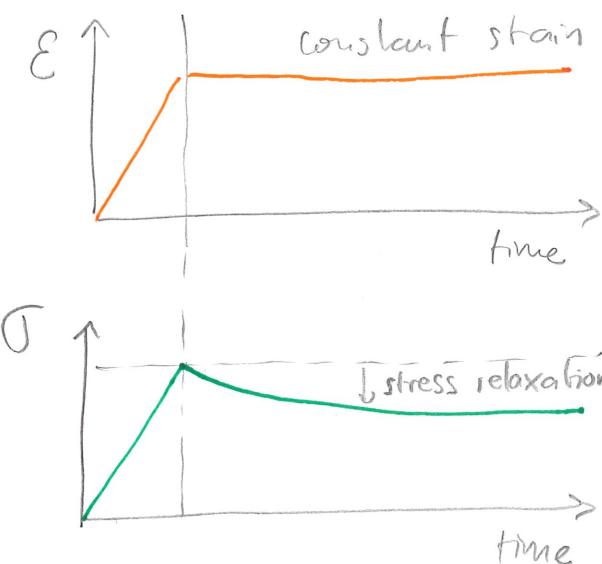
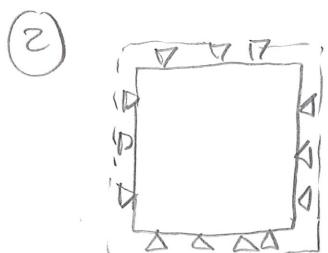
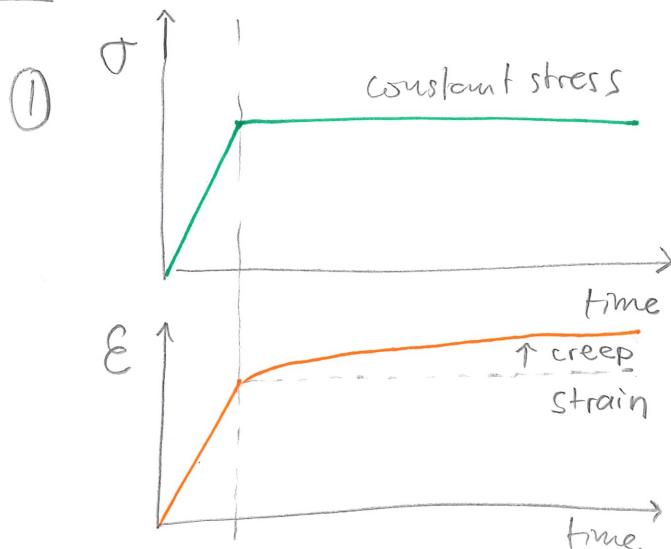
$$\left. \begin{array}{l} \text{mean stress} \\ \text{shear stress} \\ \Delta \text{ porosity} \\ \text{entropy} \end{array} \right\} \begin{aligned} S_m &= K\epsilon - \alpha p - 3\beta K(T - T_0) \\ S_{ij} &= 2G e_{ij} \\ \varphi &= \alpha\epsilon + P/N - 3\beta_\varphi(T - T_0) \quad ; \quad \beta_\varphi = \beta_{\text{solid}}(d - \vartheta_0) \\ S_s &= S_{s_0} + 3\beta K\epsilon - 3\beta_\varphi P + \frac{C_v(T - T_0)}{T_0} \end{aligned}$$

# Viscoelasticity

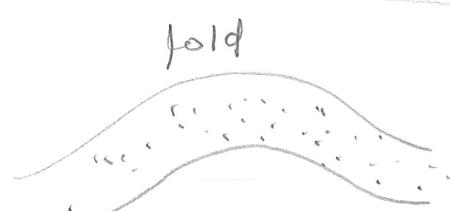
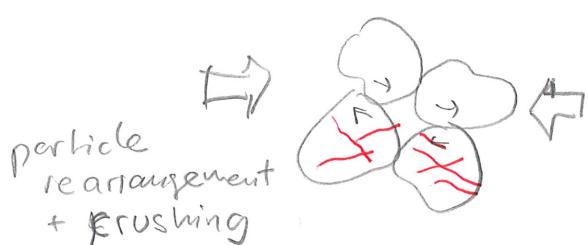
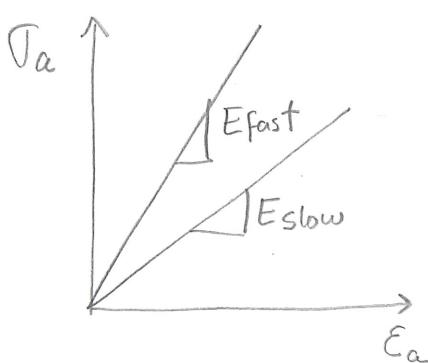
Example



creep  
strain



strain-rate  
dependent  
stiffness



sandstone:  
deformation over  
millions of years

- subcritical fracture propagation

# Elasticity

- ↳ Poro-elasticity ✓
- ↳ Thermo-elasticity ✓
- ↳ Visco-elasticity ✓
- ↳ Chemo-elasticity ✓
- ↳ Elasto-plasticity

Chemo-elasticity  $\longleftrightarrow$  chemo-plasticity chemical potential

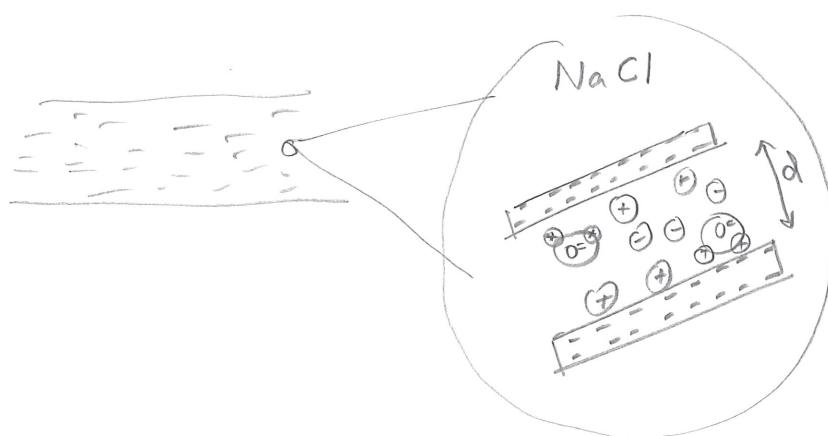
$$\underline{\underline{S}} = \underline{\underline{C}} \underline{\underline{\varepsilon}} + \underline{\alpha} \underline{\underline{P}} \underline{\underline{I}} + \underbrace{3\underline{\beta} \underline{K} \underline{\theta} \underline{\underline{I}}}_{\text{coeff}} + \underbrace{\underline{\delta} \underline{N} \underline{K} \underline{\underline{I}}}_{\text{coeff}}$$

Reck      Poro      thermal      chemical  
Hydro

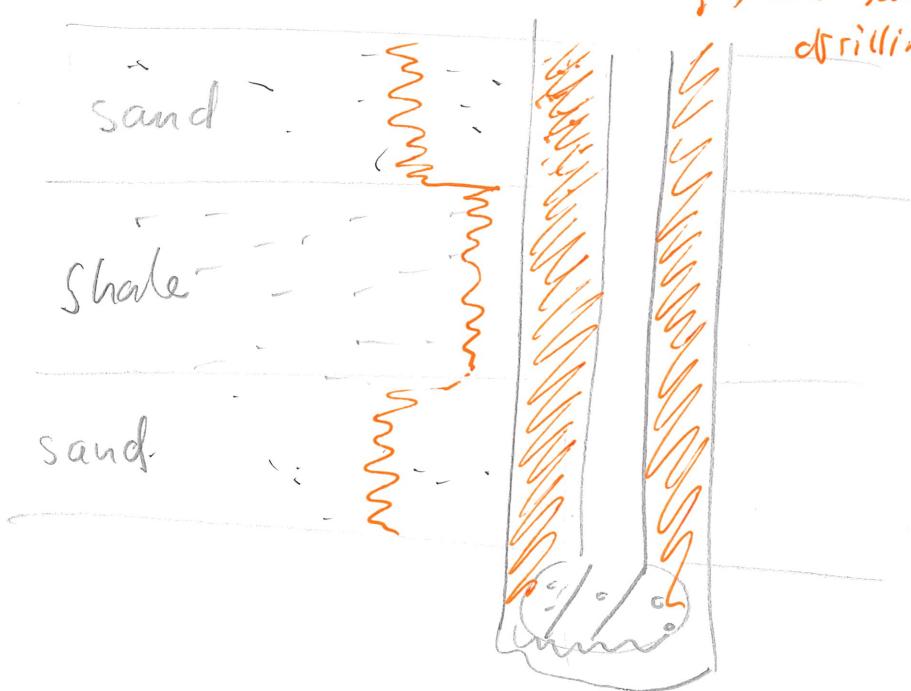
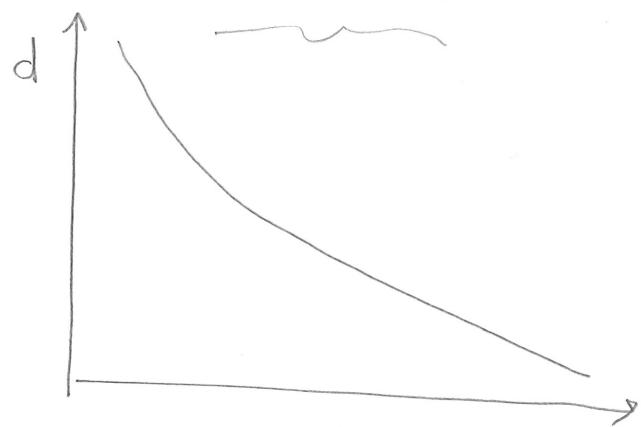
T H C M processes  
multiphysics  
problems

{  
⇒ emergent  
phenomena"

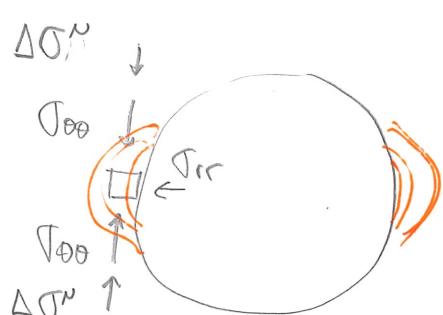
# ① Chemical sensitivity of shales



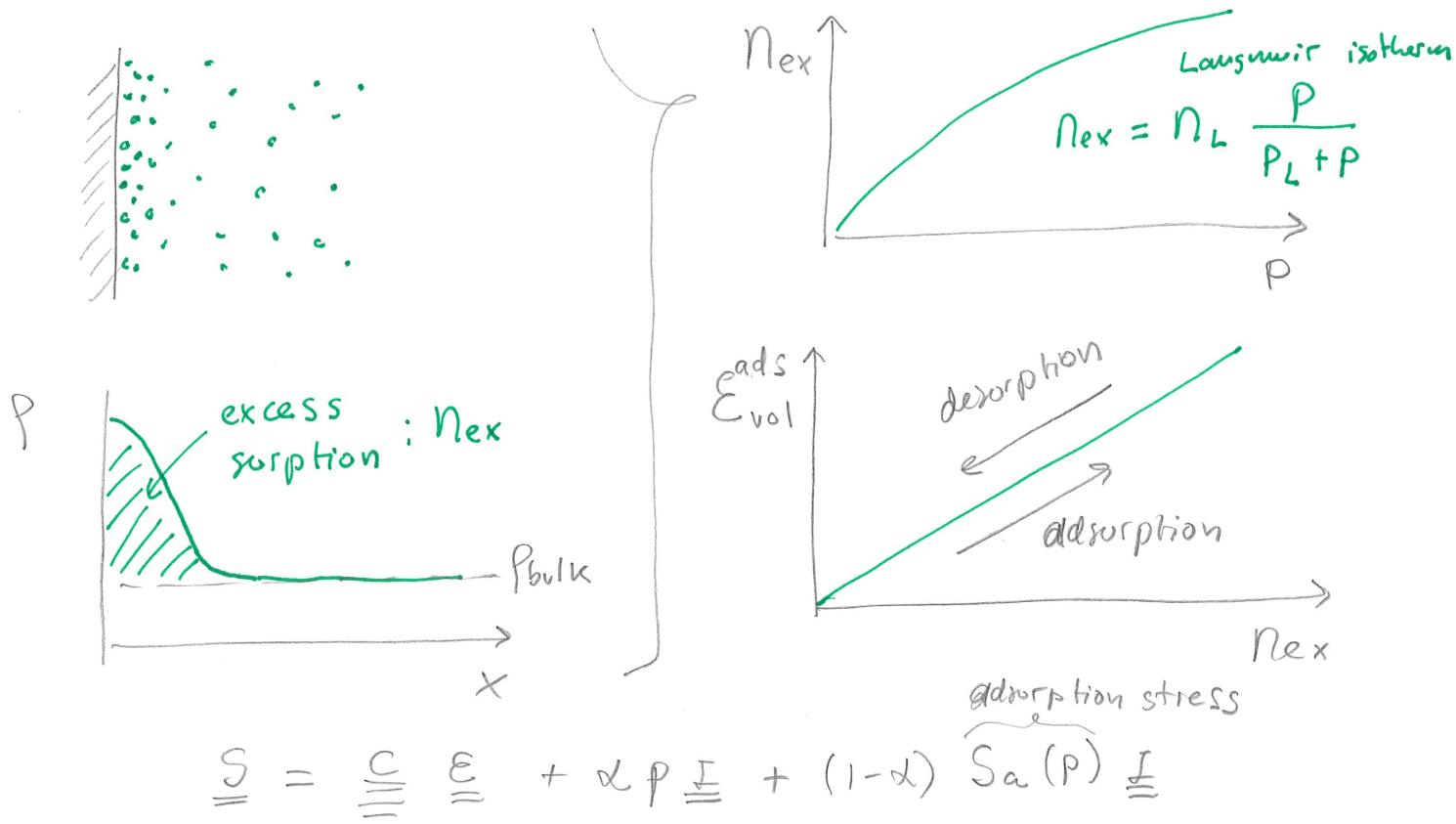
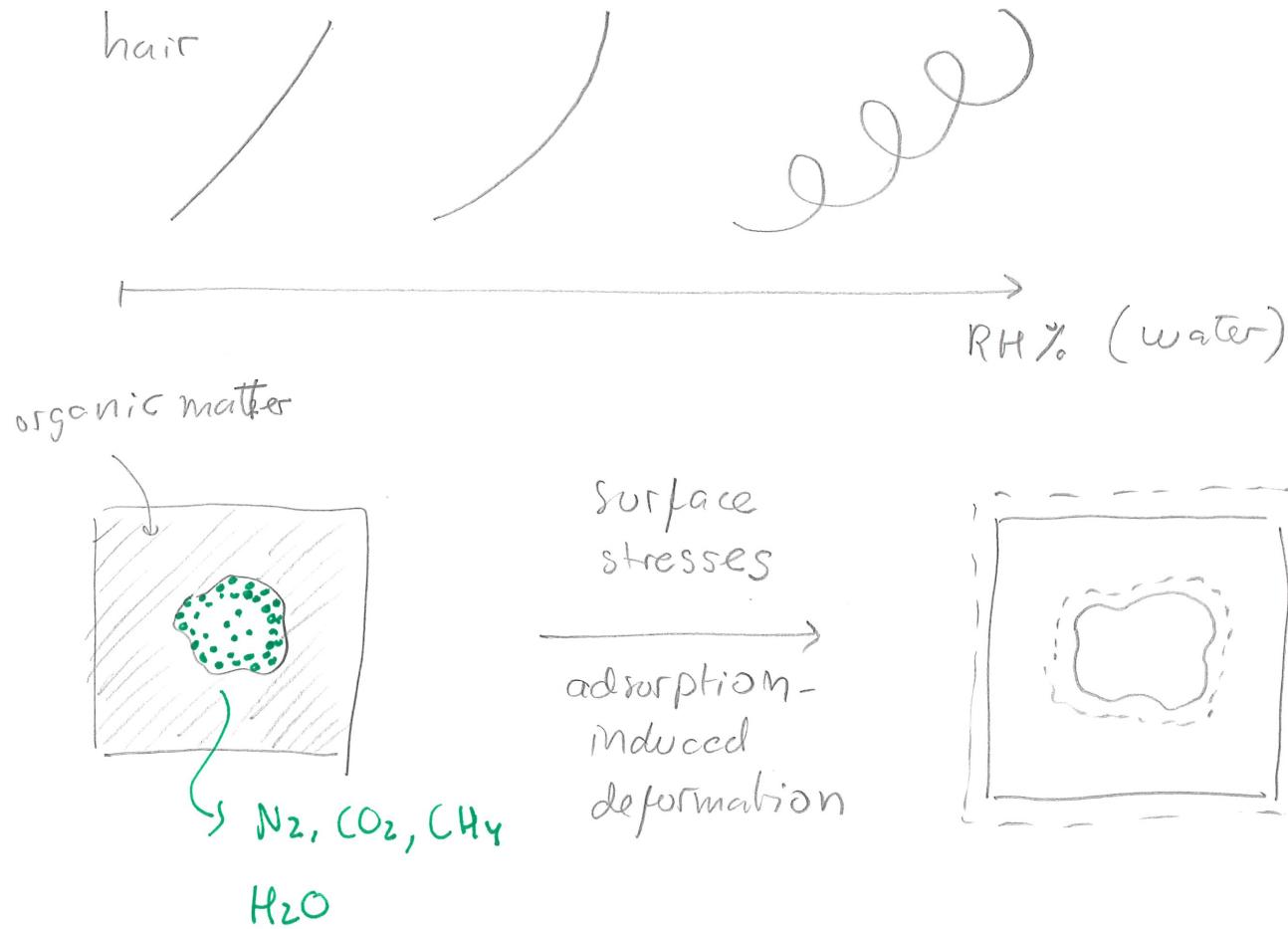
electrical repulsion  
↳ double layer



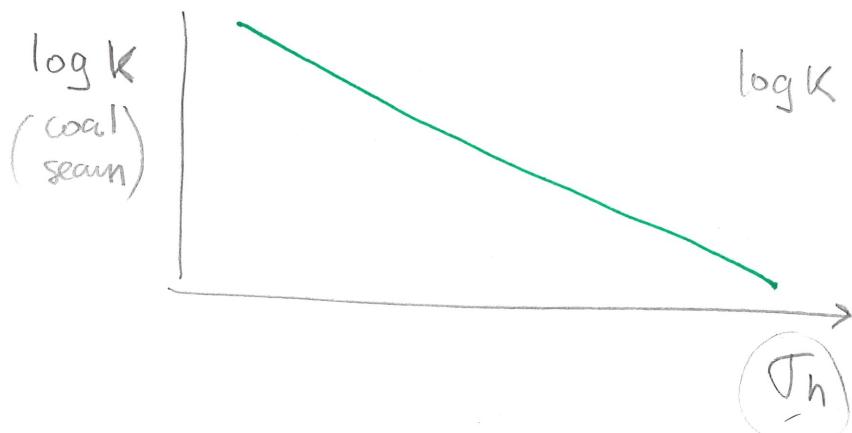
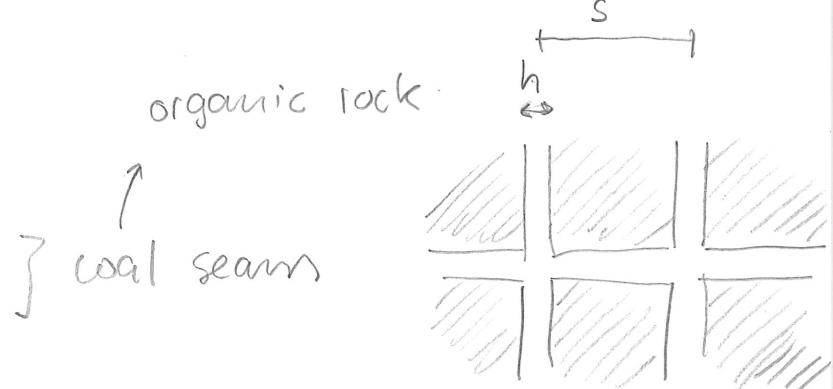
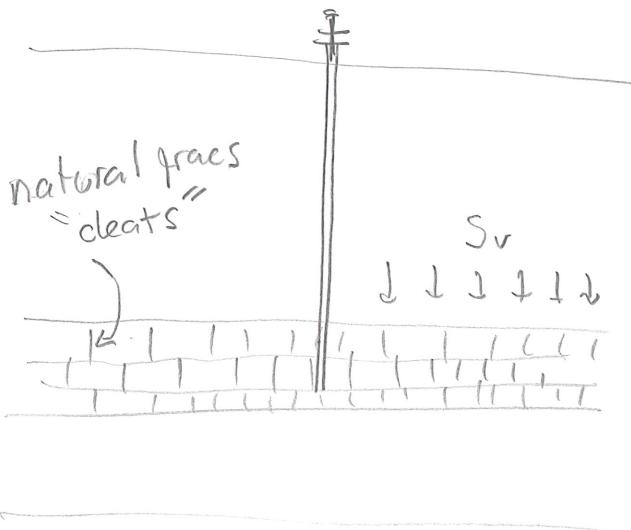
- drilling Mud
  - water based  
-  $\uparrow$  salinity
  - oil-based mud  
 $T$  doesn't hydrate clay
  - underbalanced drilling  
 $P_w < P_p$



## Example 2: Adsorption-induced deformation



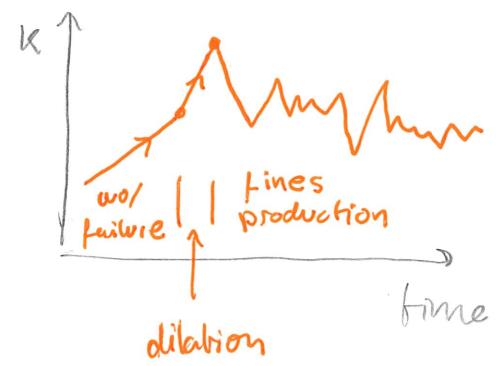
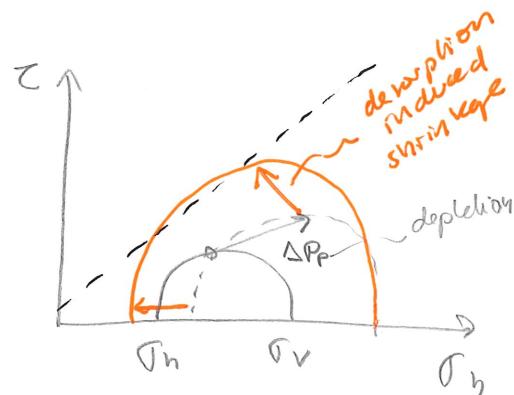
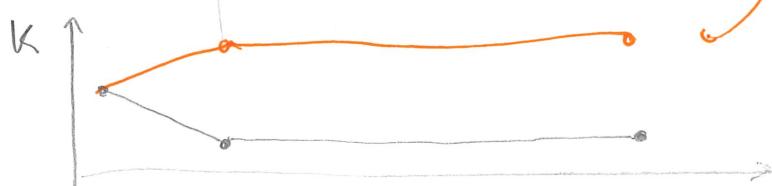
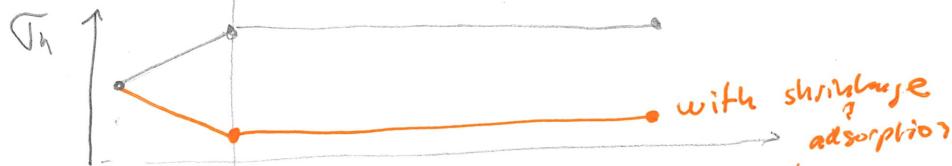
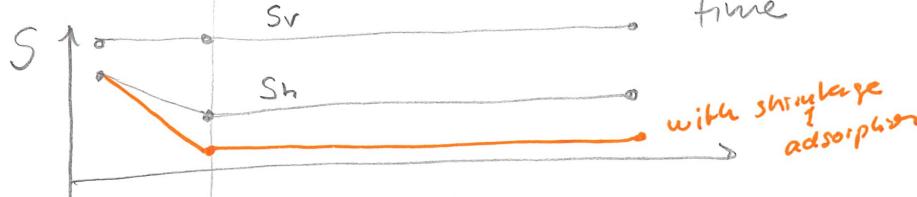
coal bed methane



$$\log K \propto \frac{1}{\sigma_h}$$

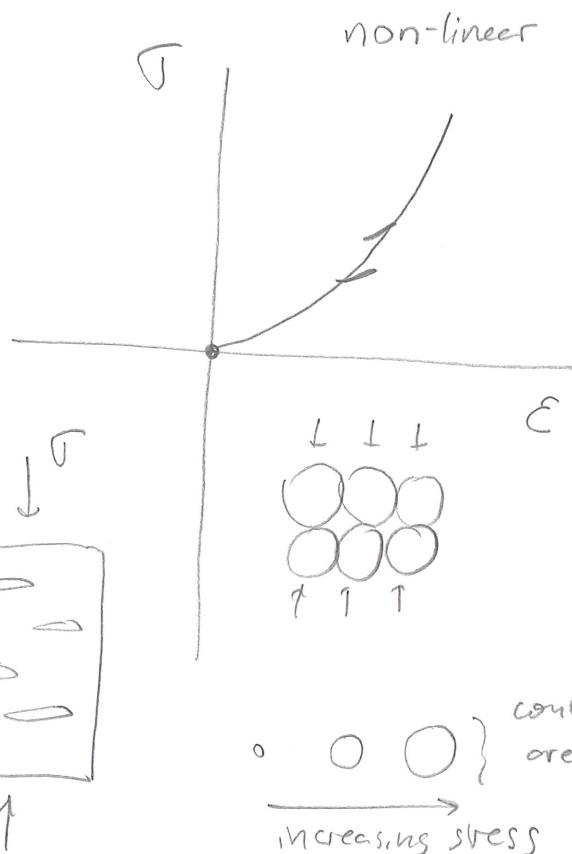
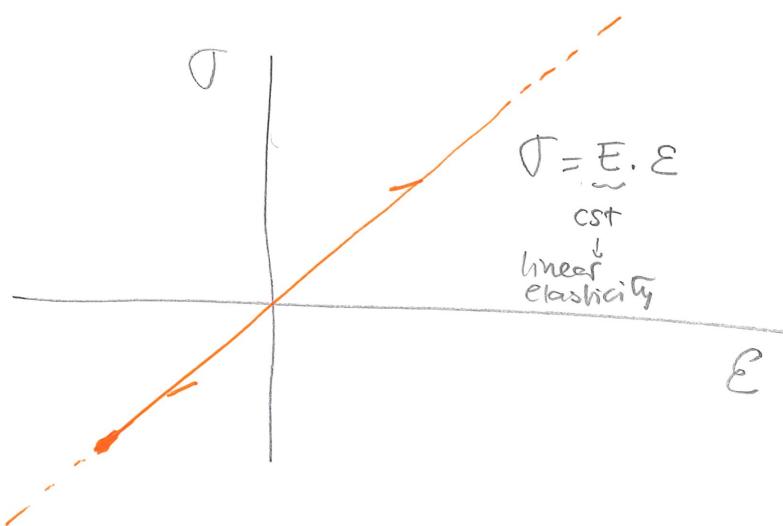
fractured porous media

$$K = \frac{h^3}{12 \cdot S}$$



# Plasticity and Inelasticity

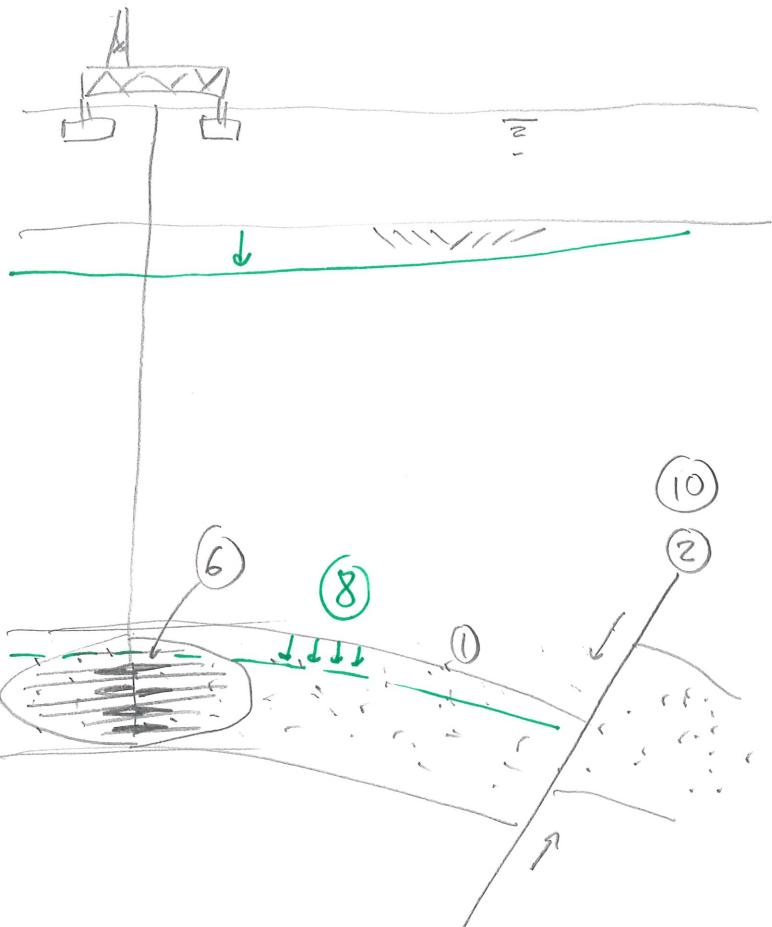
(60)



Inelastic  
deformation



## Examples

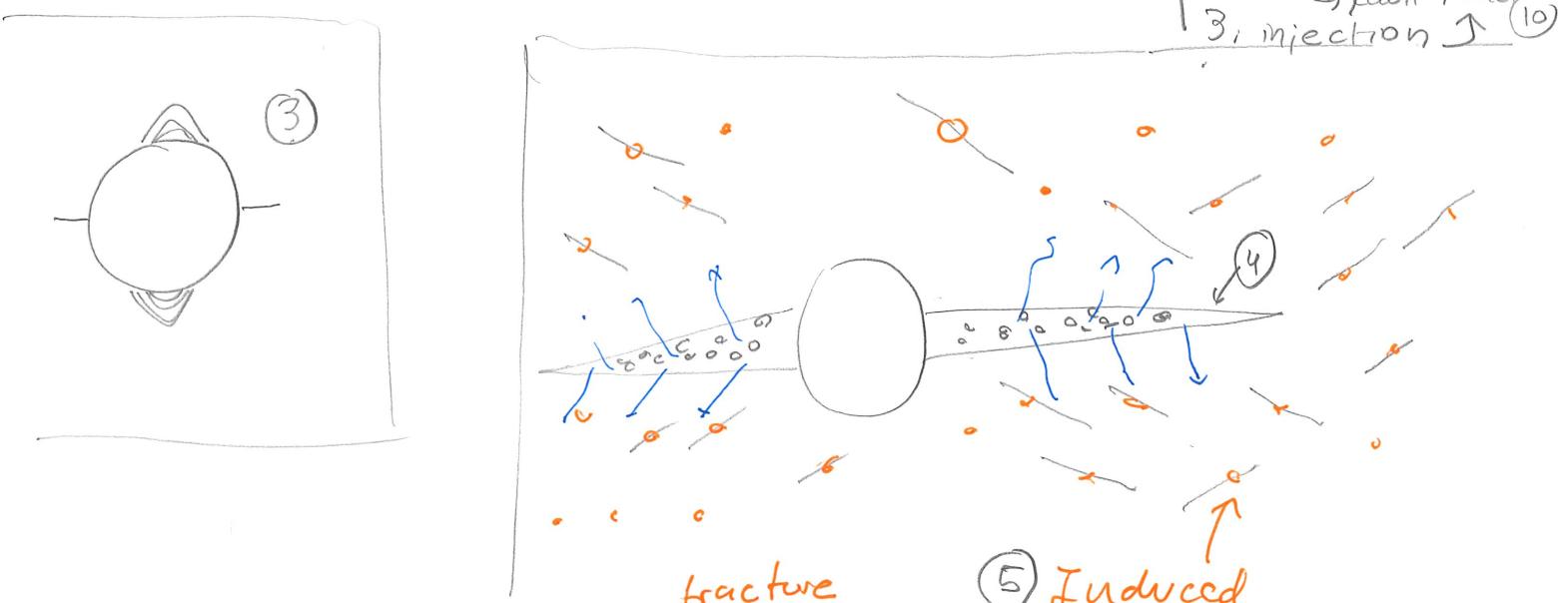


- Exploration
    - folding (6)
    - faulting (7)
- $$\sigma_1 = \frac{1 + \sin \varphi}{1 - \sin \varphi} \sigma_3 \quad (2)$$

- Drilling
  - breakouts and tensile cracks (3)
  - wellbore cuttings

- Completion
  - fracturing (4)
  - induced micro-seismicity (5)
  - perforations (6)
  - reduced stress - shadow (7)

- Production
  - 1. compaction (8)  
(sand)
  - 2. fines production  
↳ fault reactivation (9)
  - 3. injection (10)

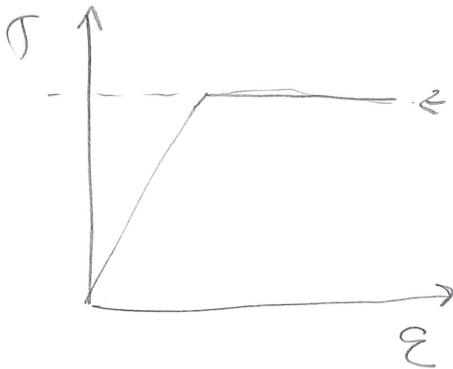


fracture reactivation

$$\sigma_1 = q \cdot \sigma_3$$

$$\frac{1 + \sin \varphi}{1 - \sin \varphi}$$

⑤ Induced micro seismicity



(62)

$\sigma_y$ : yield stress



$$\sigma_1 = \sigma_2 = 0$$

$$\sigma_3 (@yield) = \sigma_y$$

$$f(\underline{\sigma}) = K \rightarrow \text{failure criterion}$$

*yield*

$$f(\sigma_1, \sigma_2, \sigma_3, \text{ directions } \sigma_i) = K$$

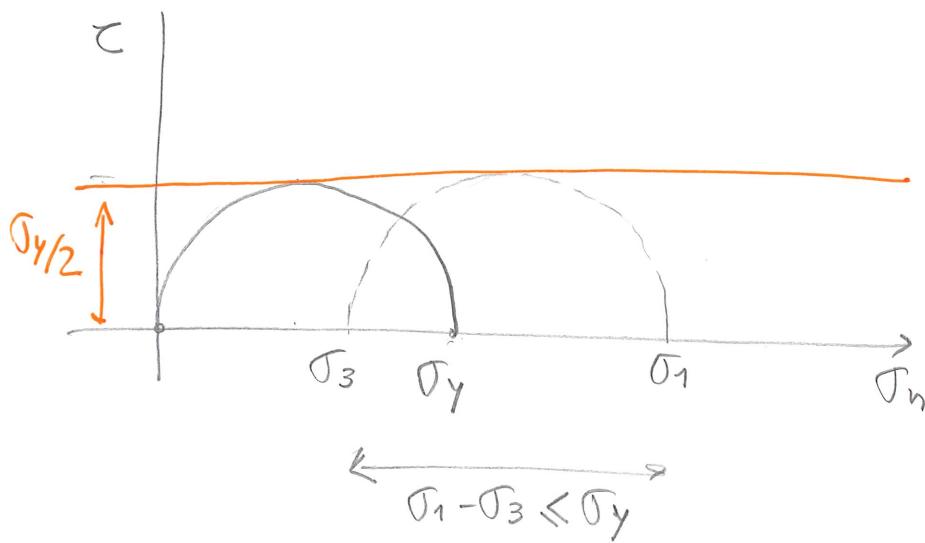
↓ assuming isotropy

$$f(\sigma_1, \sigma_2, \sigma_3) = K$$

$$f^*(I_1, I_2, I_3) = K^*$$

Tresca

$$\sigma_1 - \sigma_3 \leq \sigma_y$$



$$|\sigma_1 - \sigma_{\text{III}}| \leq \sigma_y$$

$$|\sigma_I - \sigma_{\text{II}}| \leq \sigma_y$$

$$|\sigma_{\text{II}} - \sigma_{\text{III}}| \leq \sigma_y$$

principal stresses

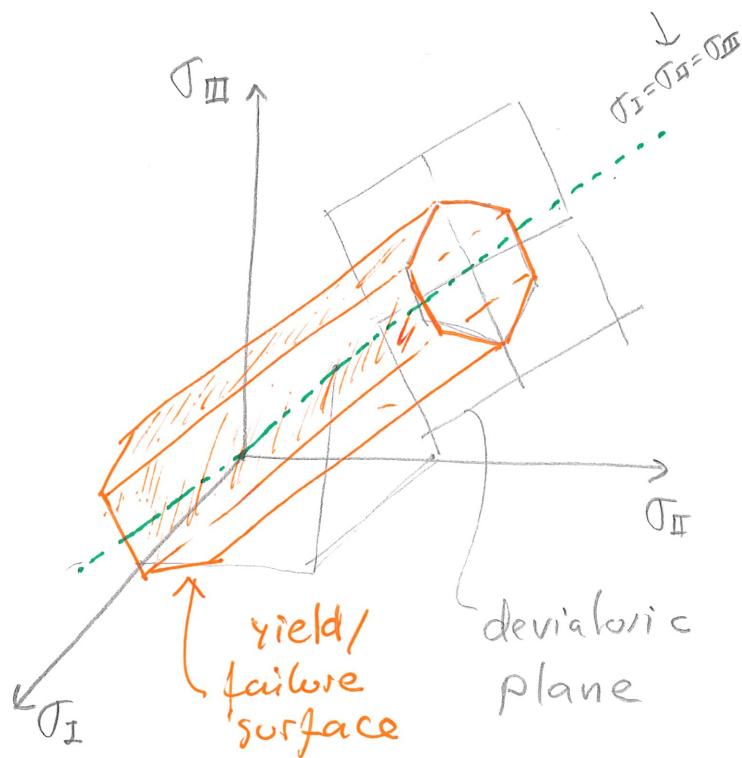
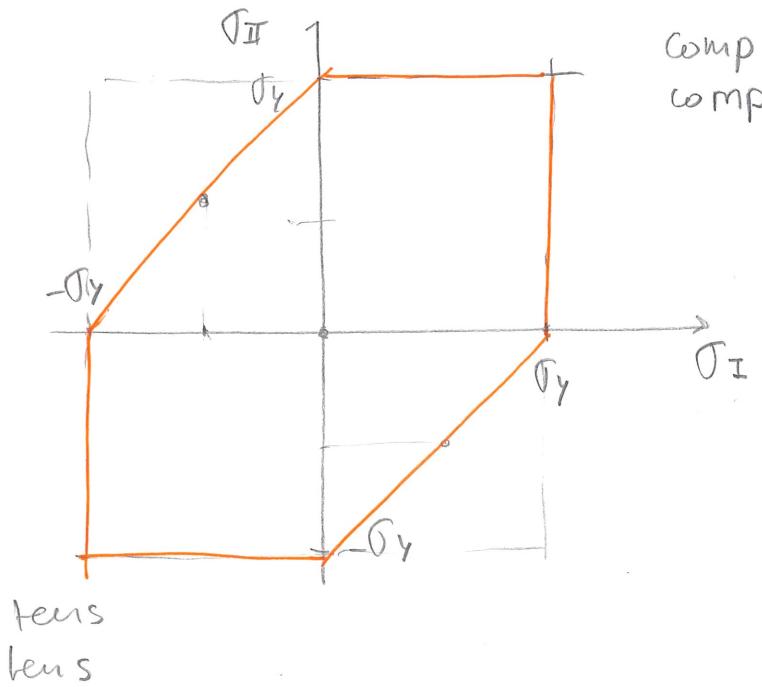
not ordered

# Principal stresses space

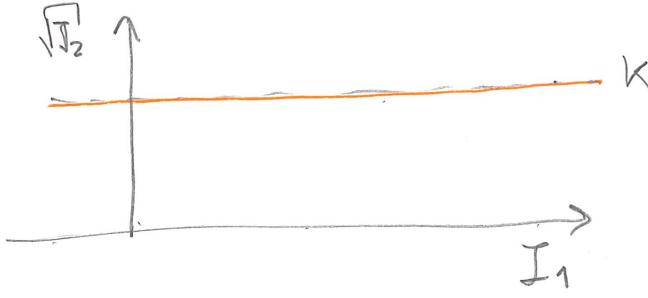
3D

(63)  
hydrostatic axis  
isotropic axis

2D ( $\sigma_{III}=0$ )



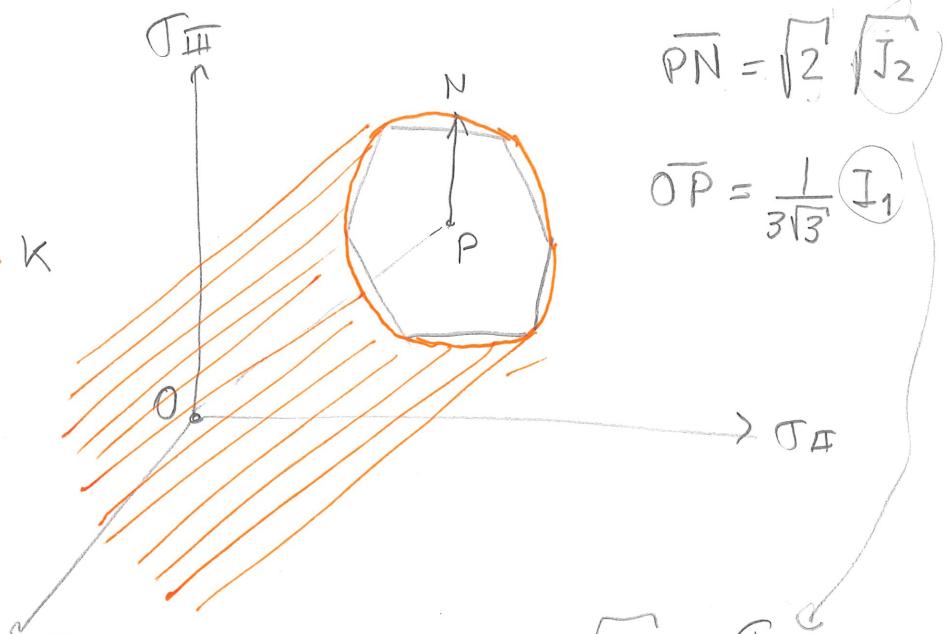
# Von Mises



$$\sqrt{J_2} = K$$



$\sigma_y$  measured  
from a uniaxial stress test



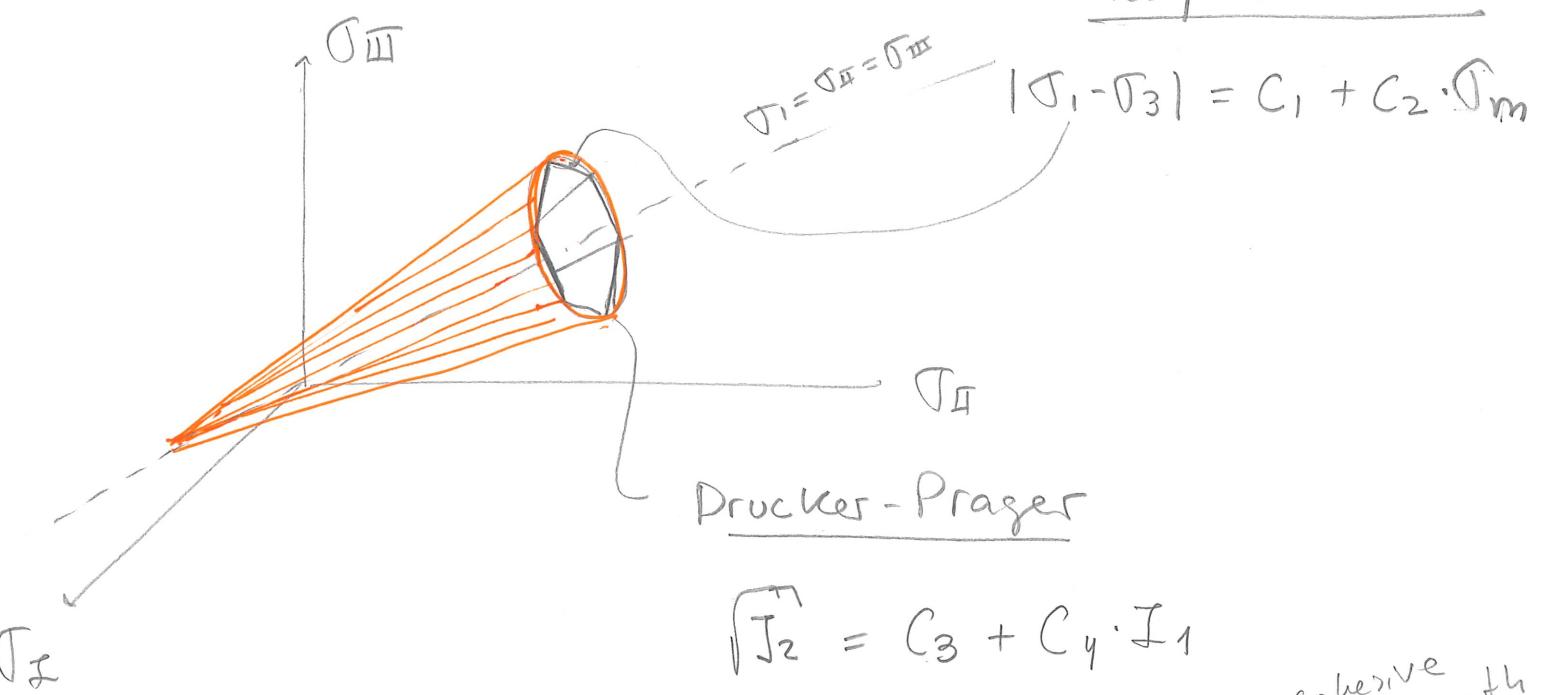
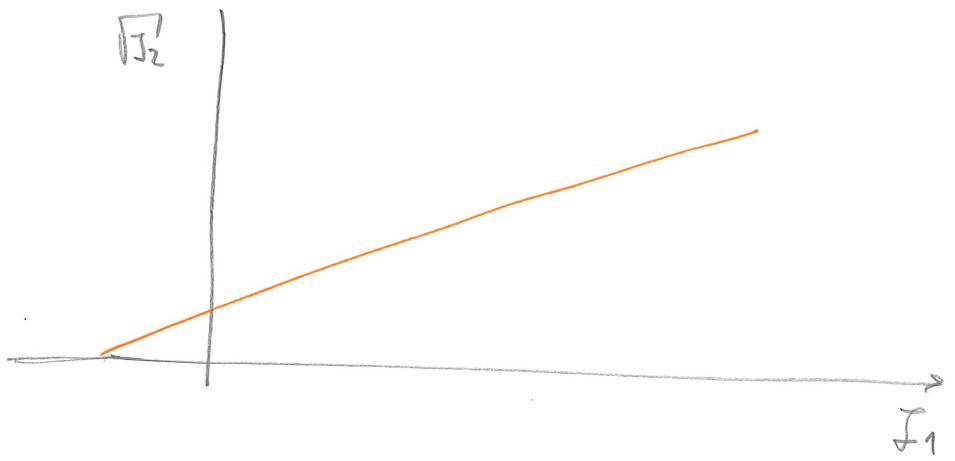
$$\sqrt{J_2} = \frac{\sigma_y}{\sqrt{3}}$$

$$\bar{PN} = \sqrt{\frac{2}{3}} \sigma_y$$

$$\bar{PN} = \sqrt{2} \sqrt{J_2}$$

$$\bar{OP} = \frac{1}{3\sqrt{3}} (I_1)$$

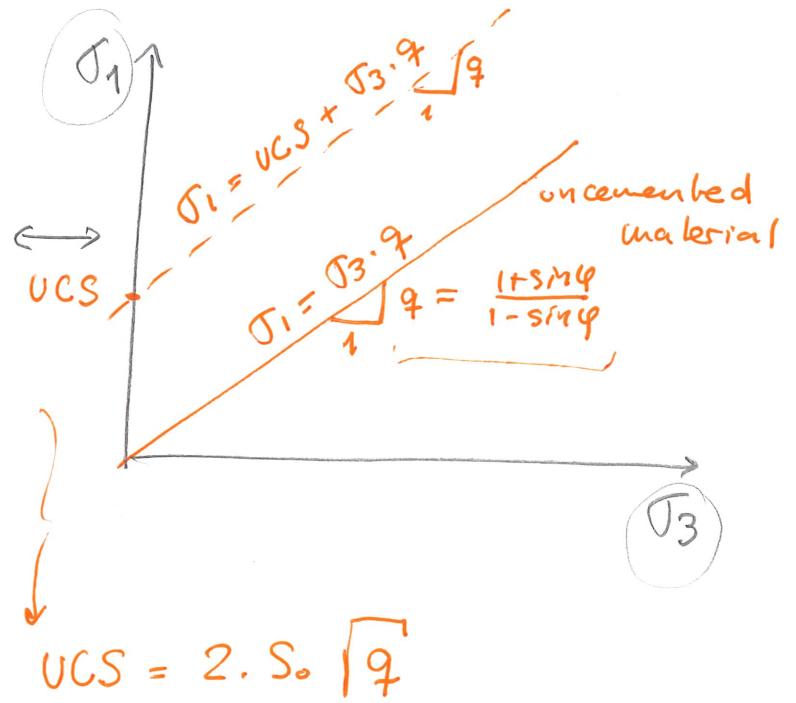
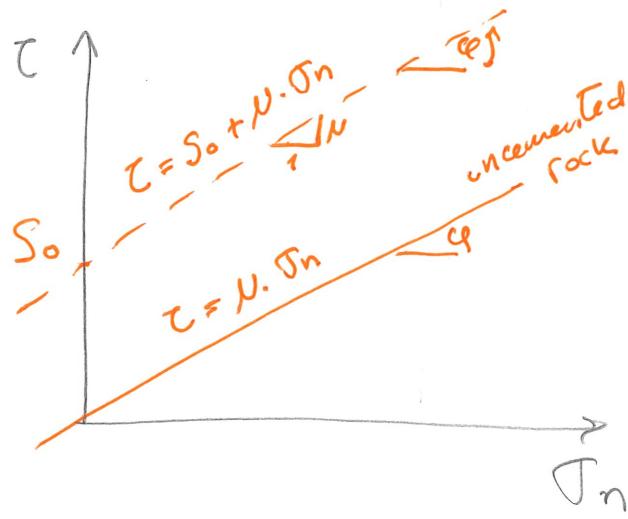
# Stress sensitive yield criteria



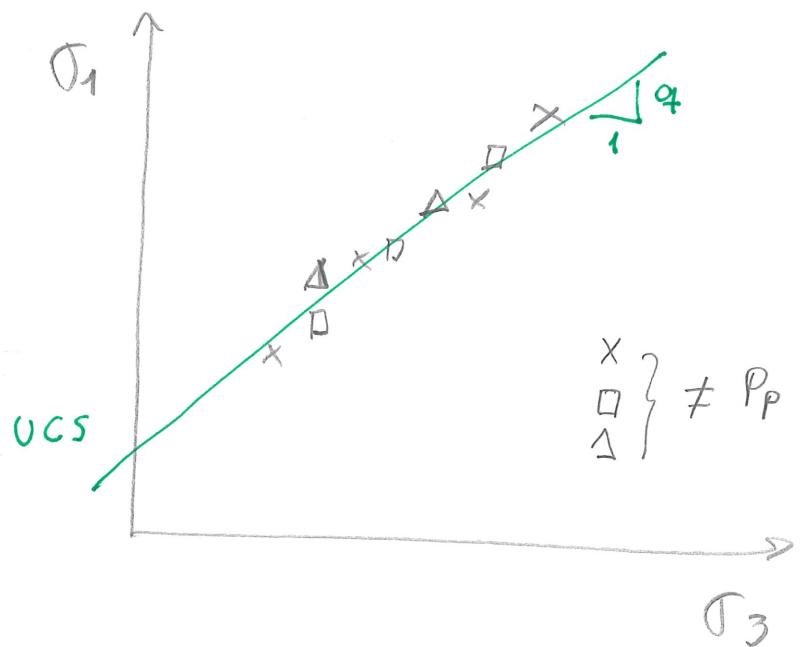
$$C_3 = \frac{6 \cdot (S_c) \cos(\varphi)}{\sqrt{3} \cdot (3 - \sin\varphi)}$$

cohesive strength  
 friction angle

$$C_4 = \frac{2}{\sqrt{3}} \frac{\sin\varphi}{(3 - \sin\varphi)}$$



Triaxial  
(axisymmetric)  $\rightarrow$   
test + with  
pore pressure



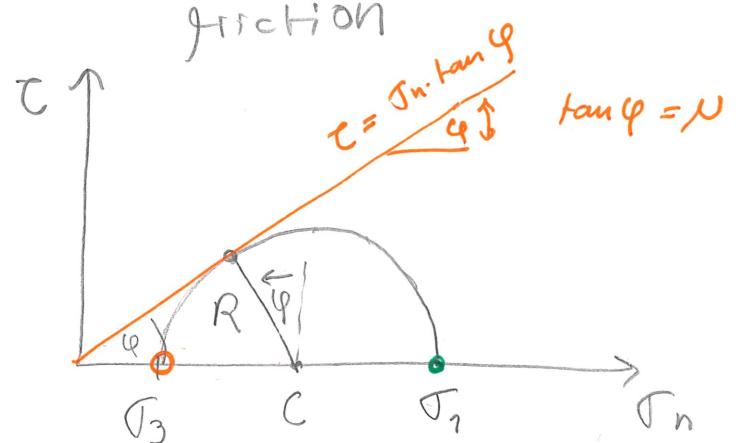
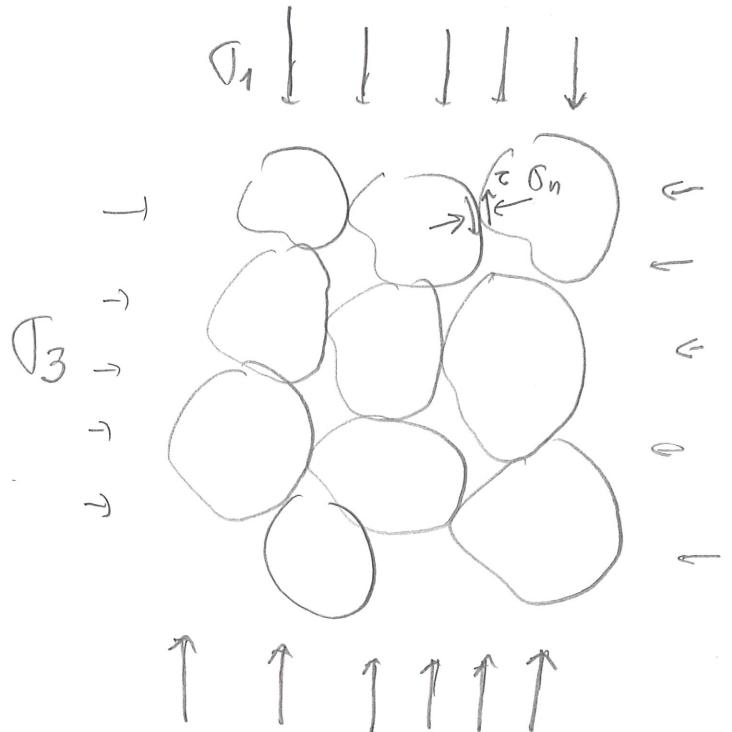
# Stress sensitive geomaterials

$$\downarrow \sigma_n = F_N \cdot A$$

$F_T \cdot A = \tau$

$$\tau = N \sigma_n$$

coefficient  
of  
friction

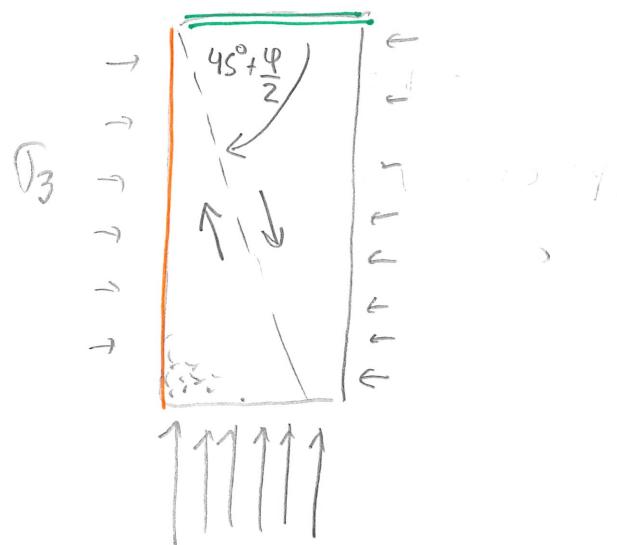


$$\frac{\sigma_1}{\sigma_3} = \frac{C + R}{C - R}$$

$$\frac{\sigma_1}{\sigma_3} = \frac{C + C \cdot \sin \varphi}{C - C \cdot \sin \varphi}$$

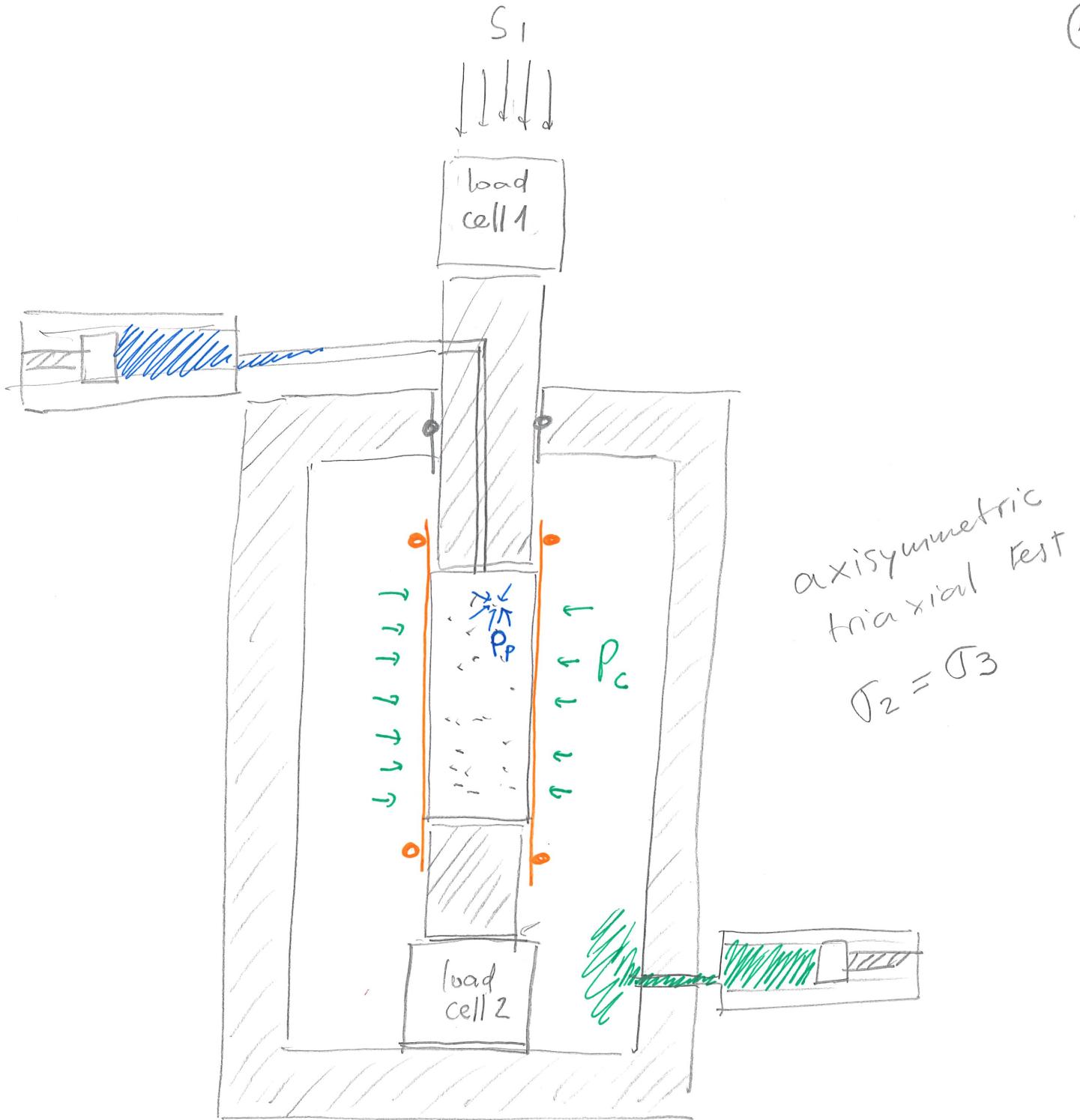
$$\left\{ \frac{\sigma_1}{\sigma_3} = \frac{1 + \sin \varphi}{1 - \sin \varphi} \right\}$$

stress  
anisotropy  
ratio



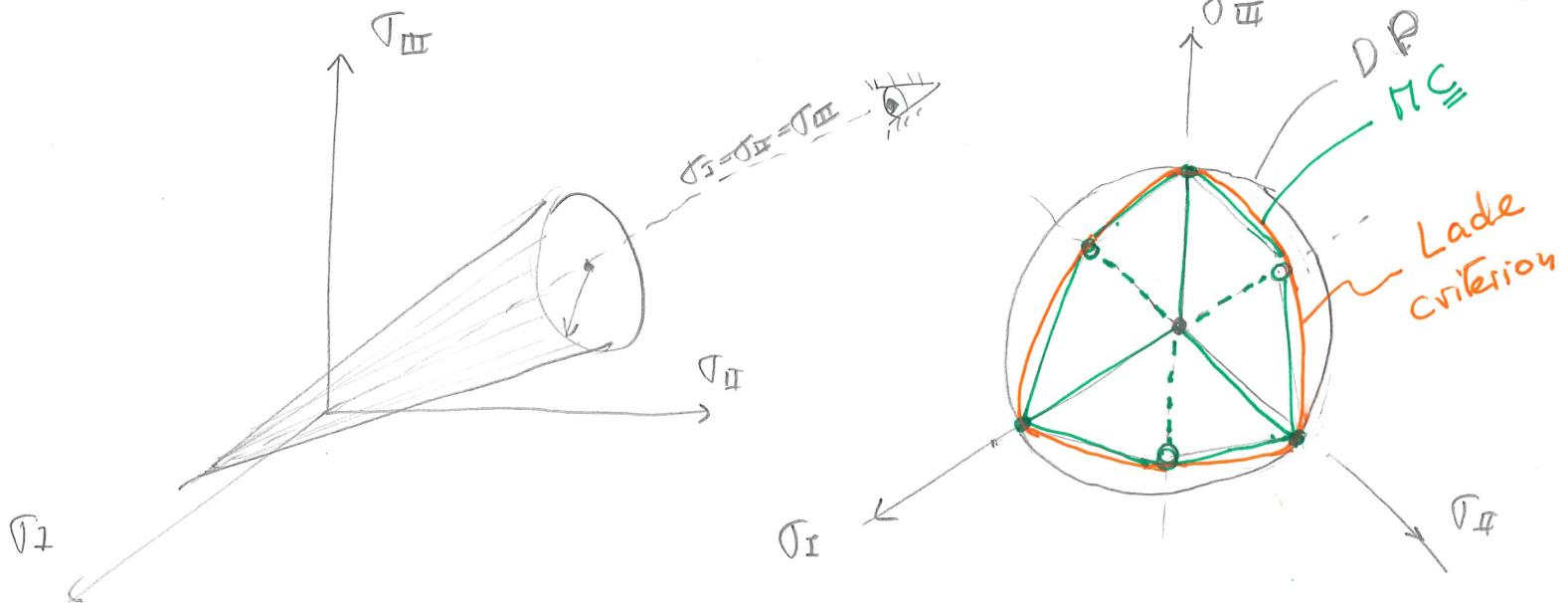
$$\sigma_1 = \underbrace{\left( \frac{1 + \sin \varphi}{1 - \sin \varphi} \right)}_{q} \cdot \sigma_3$$

$q : \{ \text{ if } \varphi = 30^\circ \Rightarrow q = 3 \}$



$$\left\{ \begin{array}{l} S_3 = P_c \\ S_1 \rightarrow \text{load cell 1} \end{array} \right. \Rightarrow \left\{ \begin{array}{l} \sigma_3 = P_c - P_p \\ \sigma_1 = S_1 - P_p \end{array} \right.$$

$$S_d = S_1 - S_3 \rightarrow \text{load cell 2} \rightarrow = \sigma_1 - \sigma_3 = \sigma_d$$



$\downarrow \downarrow$  Triaxial compression  
 $\boxed{P_c = \sigma_2 = \sigma_3 < \sigma_1}$  —————

$\downarrow$  Triaxial extension  
 $\sigma_3 < \sigma_1 = \sigma_2$  - - - - -

### Modified Lade criterion

$$f(I_1, I_3) = K \quad ?$$

$$\left[ \frac{(I_1^*)^3}{I_3^*} = 27 \eta \right]$$

$$I_1^* = \sigma_1^* + \sigma_2^* + \sigma_3^*$$

$$I_3^* = \sigma_1^* \cdot \sigma_2^* \cdot \sigma_3^*$$

+ 3 check book

?

eff stress

$$\text{where } \sigma_i^* = \sigma_i + S$$

$$S = S_0 / \tan \varphi$$

$$\eta = \frac{4 (\tan \varphi)^2 (9 - 7 \sin \varphi)}{1 - \sin \varphi}$$

# Project #6

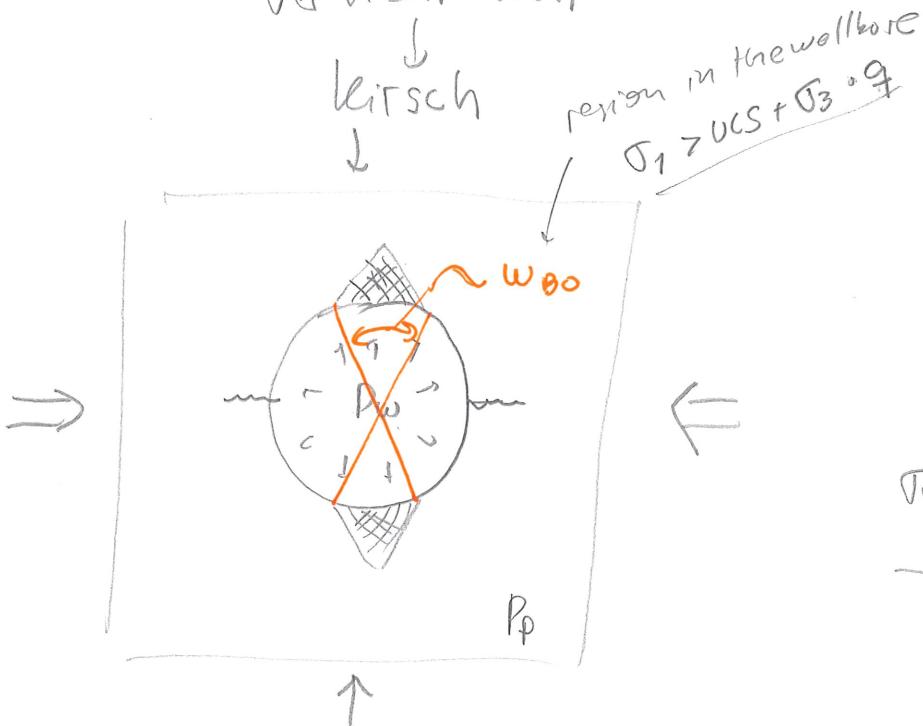
Step 1) → Get equations for failure criteria

- └ Coulomb
- └ Lade (modified)
- └ Drucker-Prager

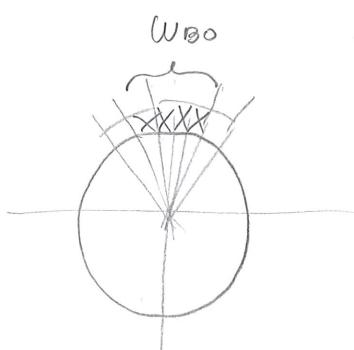
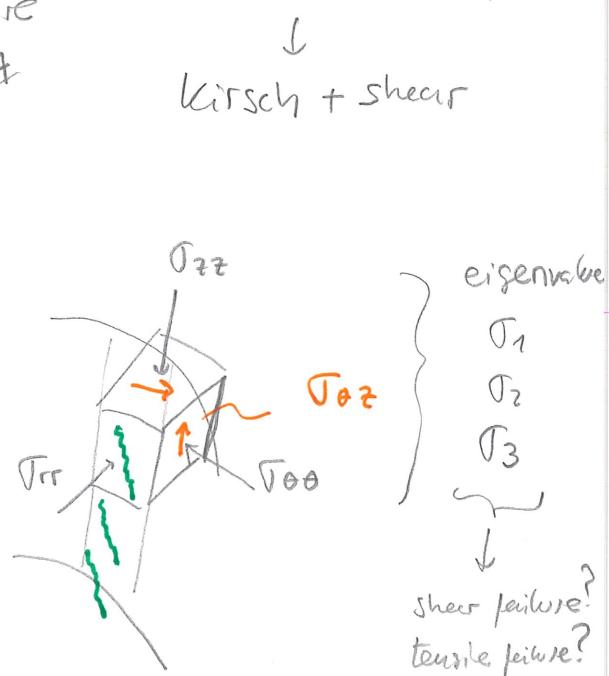
→  $T_s$ : tensile strength

Step 2) Calculate stresses around + he wellbore wall

Vertical well



deviated well



for  $\delta = 0$  to  $360^\circ$

for  $\phi = 0$  to  $90^\circ$

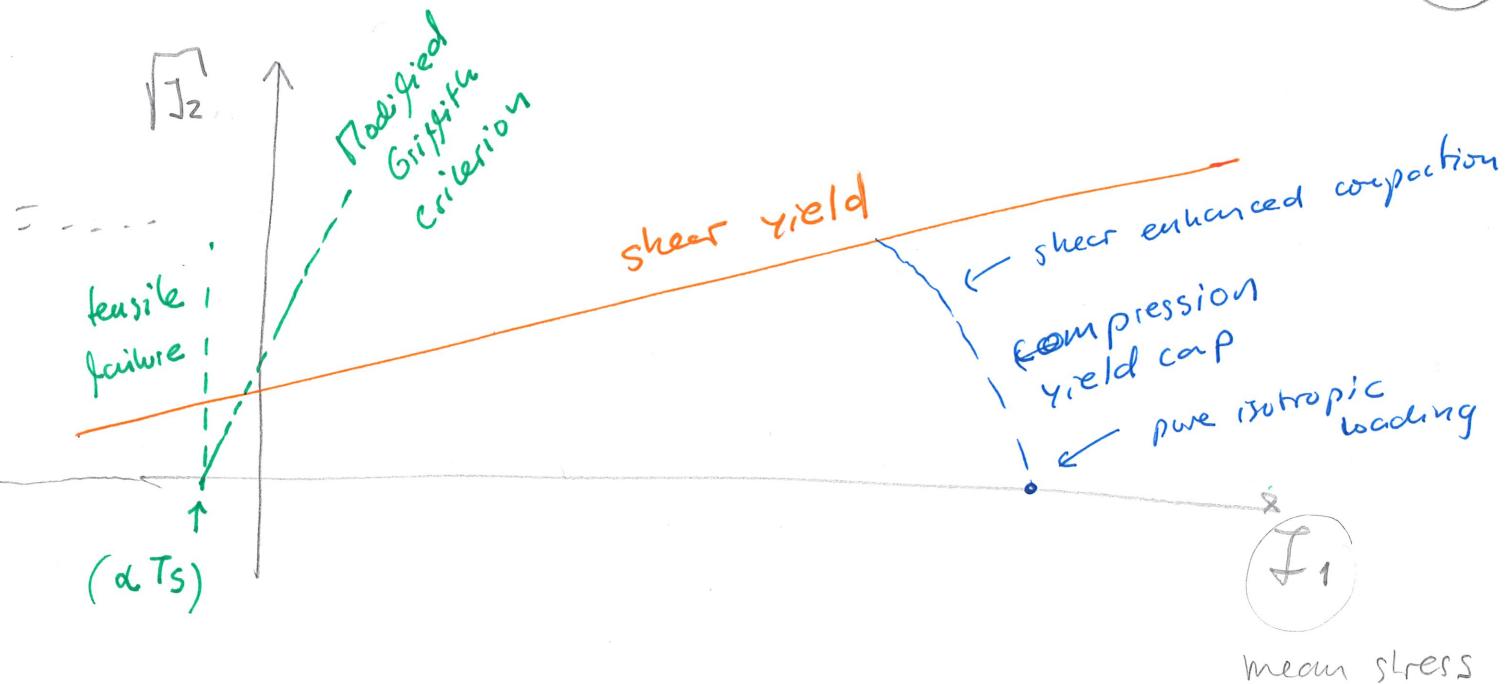
for  $\alpha = 0^\circ$  to  $360^\circ$  ( $1^\circ$  ++)

$\sigma_{rr}$ ,  $\sigma_{\theta\theta}$ ,  $\tau_{\theta z}$ ,  $\sigma_{zz} \rightarrow \sigma_1, \sigma_2, \sigma_3$

shear failure?

tensile fracture?

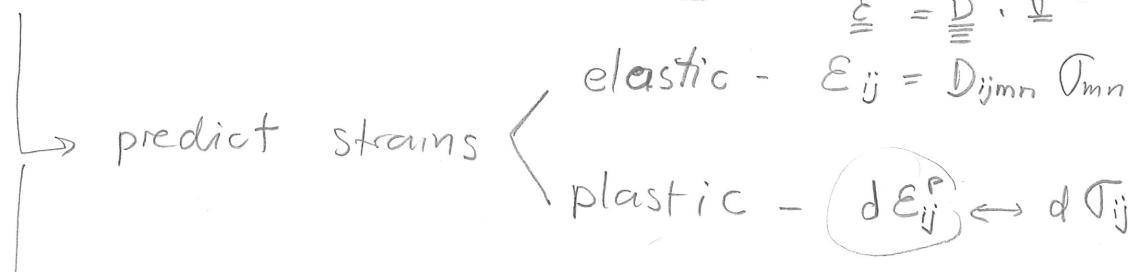
end end end



mean stress

$$= \bar{\sigma}_1 + \bar{\sigma}_2 + \bar{\sigma}_3$$

### Beyond the yield point (Fjaer et al.)



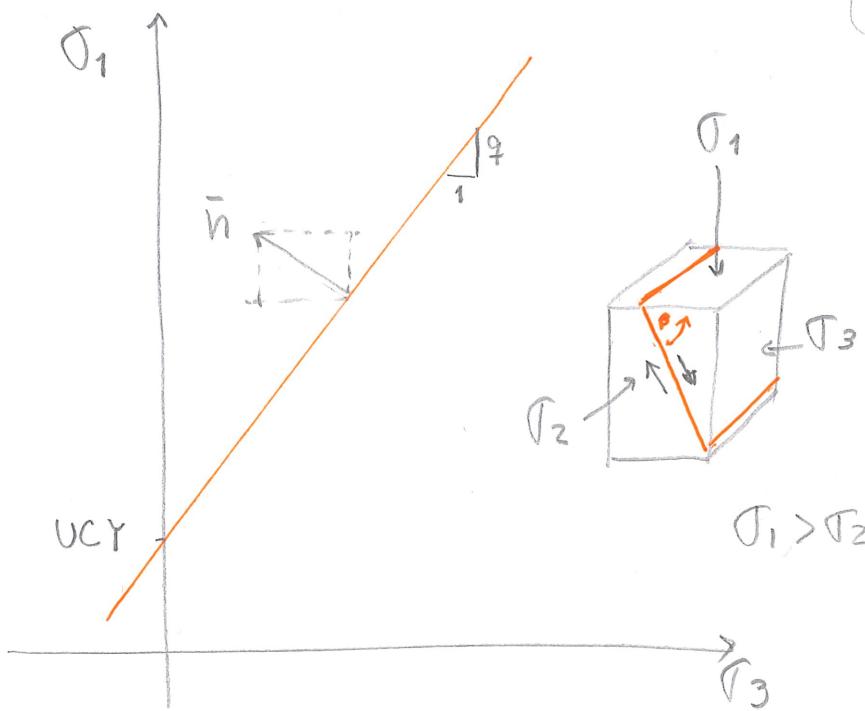
- Small-strain
- Continuous strain field
- rate-independent

- |  |  |
|--|--|
| <ul style="list-style-type: none"> <li>① yield criterion</li> <li><math>f(\tau_{ij}) = Y</math></li> <li>② strain-hardening rule</li> <li><math>Y = f^2(\delta\varepsilon^p)</math></li> <li>③ strain decomposition</li> <li><math>\varepsilon_{ij} = \varepsilon_{ij}^e + \varepsilon_{ij}^p</math></li> <li>④ plastic flow rule</li> <li><math>d\varepsilon_{ij}^p \leftrightarrow d\tau_{ij}</math></li> <li>⑤ elastic unloading criterion</li> </ul> | ① yield criterion<br>$f(\tau_{ij}) = Y$<br>② strain-hardening rule<br>$Y = f^2(\delta\varepsilon^p)$<br>③ strain decomposition<br>$\varepsilon_{ij} = \varepsilon_{ij}^e + \varepsilon_{ij}^p$<br>④ plastic flow rule<br>$d\varepsilon_{ij}^p \leftrightarrow d\tau_{ij}$<br>⑤ elastic unloading criterion |
|--|--|

$$\tau = s_0 + \sigma_n \cdot \tan \varphi \quad (71)$$

Example: Mohr-Coulomb

$$\sigma_1 = ucy + q \sigma_3$$



$$f = \sigma_1 - ucy - q \sigma_3$$

$$\beta = 45^\circ + \varphi/2$$

$$\bar{n} = \left( \frac{\partial F}{\partial \sigma_1}, \frac{\partial F}{\partial \sigma_2}, \frac{\partial F}{\partial \sigma_3} \right)$$

Flow rule  
(associated)

$$\delta \varepsilon_{ij}^P = \frac{d\lambda}{cst} \cdot \frac{\partial F}{\partial \sigma_{ij}}$$

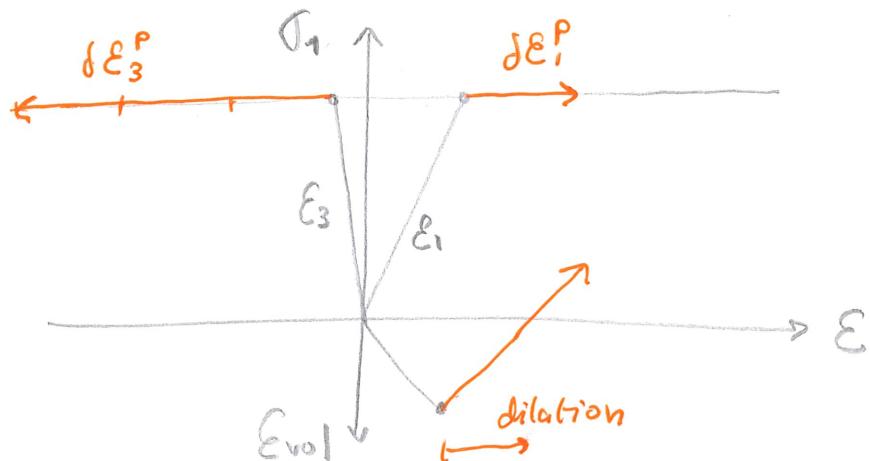
$$\bar{n} = (1, 0, -q)$$

$$\varphi = 30^\circ \Rightarrow q = 3$$

$$\left. \begin{array}{l} \delta \varepsilon_1^P = d\lambda \cdot 1 \\ \delta \varepsilon_2^P = d\lambda \cdot 0 \\ \delta \varepsilon_3^P = d\lambda \cdot (-q) \end{array} \right\} \rightarrow \delta \varepsilon_{vol} = d\lambda (1 - q)$$

dilation

$$q = \frac{1 + \sin \varphi}{1 - \sin \varphi}$$



— elastic

— plastic

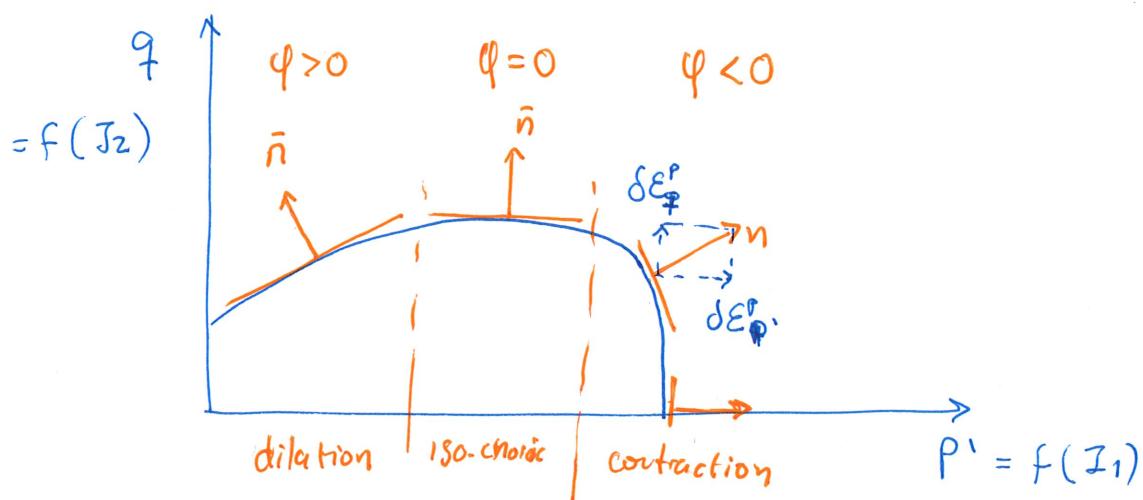
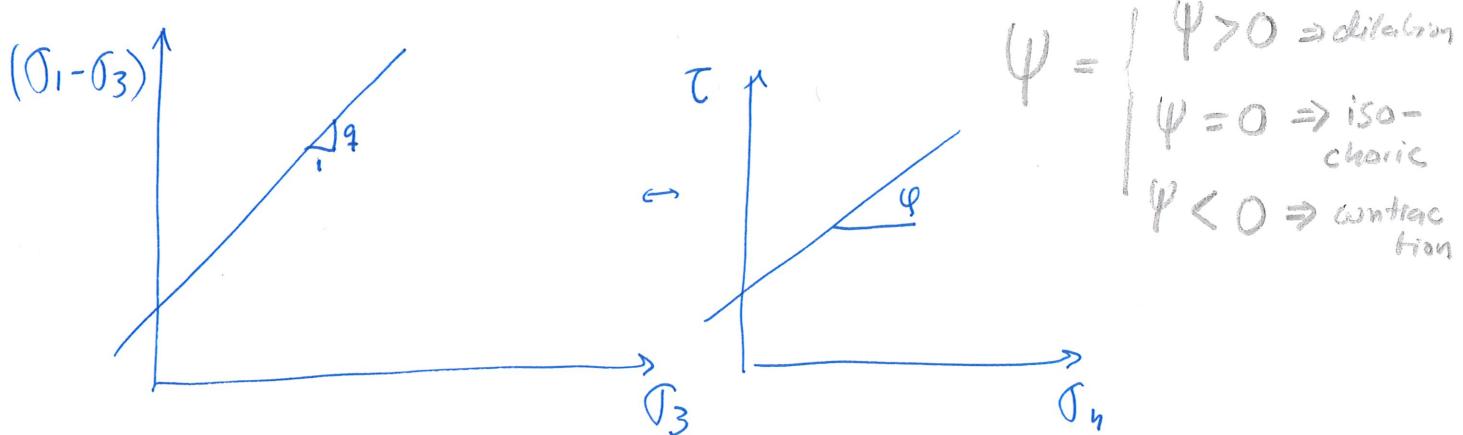
└ perfect plasticity

└ no hardening

$$\partial \varepsilon_{ij}^P = \partial \lambda \frac{\partial F}{\partial \sigma_{ij}} \sim \text{yield surface} \Rightarrow \text{Associated flow rule}$$

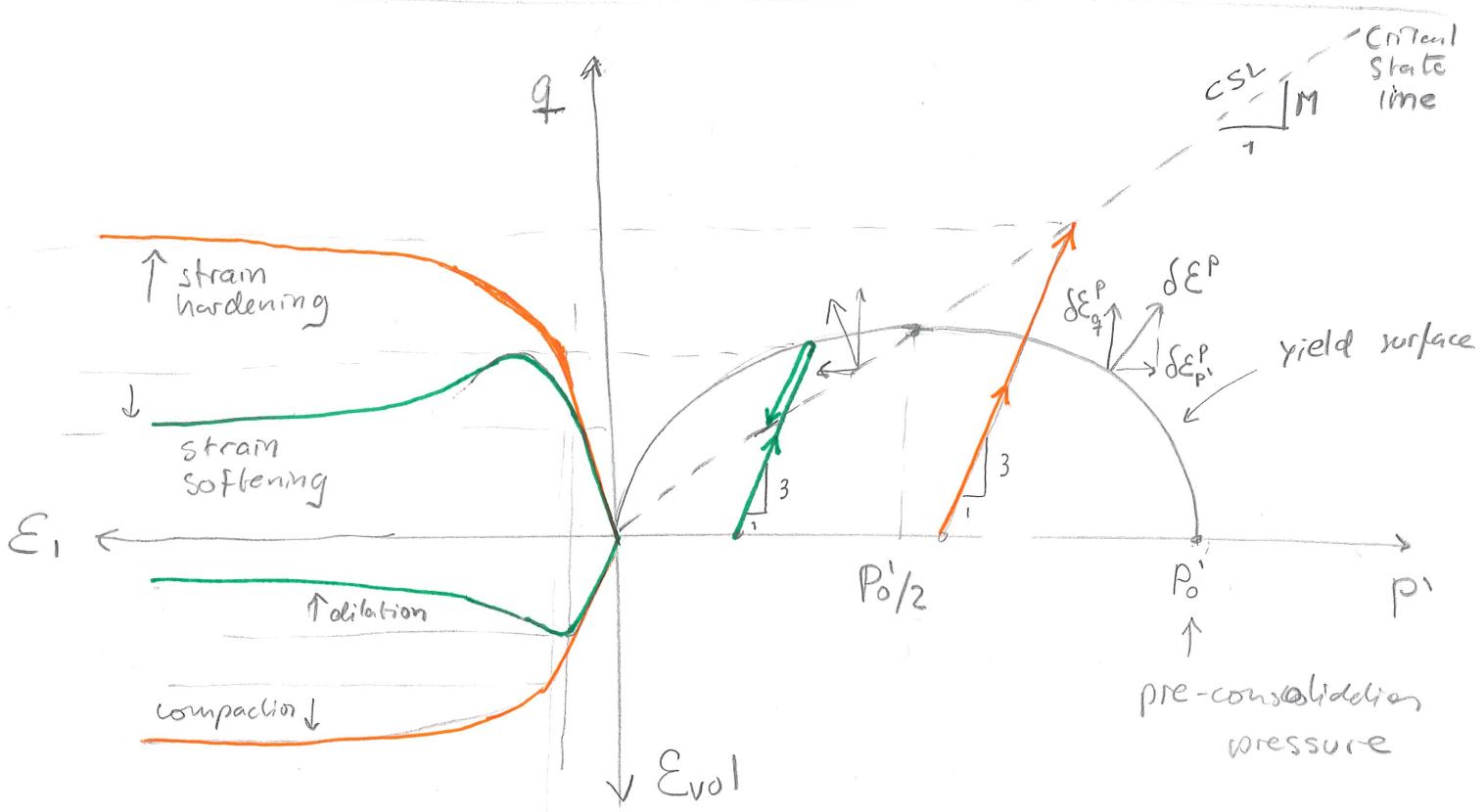
$$\partial \varepsilon_{ij}^P = \partial \lambda \frac{\partial g}{\partial \sigma_{ij}} \sim \text{plastic potential function} \Rightarrow g \neq F \text{ Non-associated flow rule}$$

$$g = \sigma_1 - u c \gamma - \frac{1 + \sin \Psi}{1 - \sin \Psi} \sigma_3 \quad \downarrow \text{dilation angle} \quad \Psi < \varphi$$

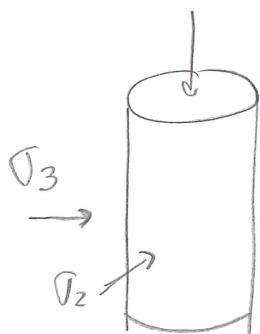


# Critical State Soil Mechanics

↳ Cam-Clay Model (uncemented sediments)



$$\sigma_1 + \Delta \sigma_1$$



Triaxial  
Test with  
deviatoric  
compression

$$P' = \frac{\sigma_1 + \sigma_2 + \sigma_3}{3}$$

$$q = \sigma_1 - \sigma_3$$

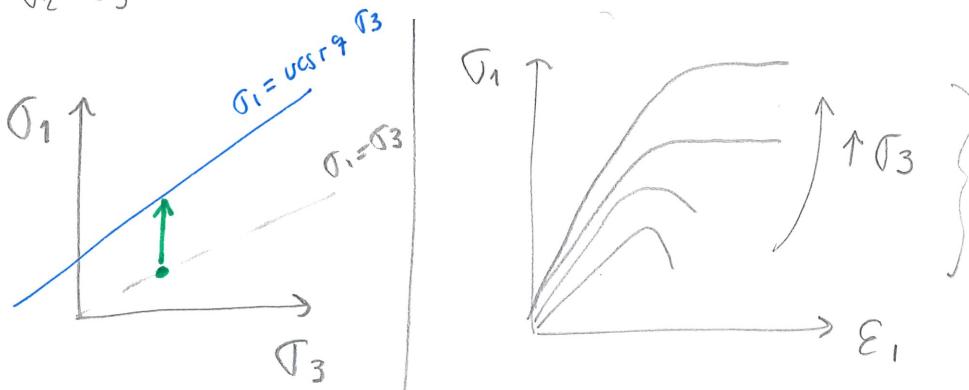
$$\Delta \sigma_1$$

$$\Delta P' = \frac{\Delta \sigma_1}{3}$$

$$\Delta q = \Delta \sigma_1$$

$$\frac{\Delta q}{\Delta P'} = \frac{\Delta \sigma_1}{\Delta \sigma_1 / 3} = 3$$

$$\sigma_2 = \sigma_3 = cst$$



brittle to ductile  
transition

(74)

critical state line

$$q = M \cdot p' (@ CSL)$$

$$\varphi_{cs} = 30^\circ \quad \frac{\sigma_1 = 3\sigma_3}{\downarrow}$$

$$\hookrightarrow M = \frac{q}{p'} = \frac{\sigma_1 - \sigma_3}{\frac{\sigma_1 + 2\sigma_3}{3}} \Rightarrow M = \frac{2\sigma_3}{\frac{5\sigma_3}{3}} = 1.2$$

In general

$$M = \frac{3 \left( \frac{1 + \sin \varphi_{cs}}{1 - \sin \varphi_{cs}} - 1 \right)}{2 + \frac{1 + \sin \varphi_{cs}}{1 - \sin \varphi_{cs}}}$$

$$f(q, p; P_0) = q^2 - M^2 p'(P_0 - p') = 0 \leftarrow \begin{matrix} \text{yield} \\ \text{surface} \end{matrix}$$

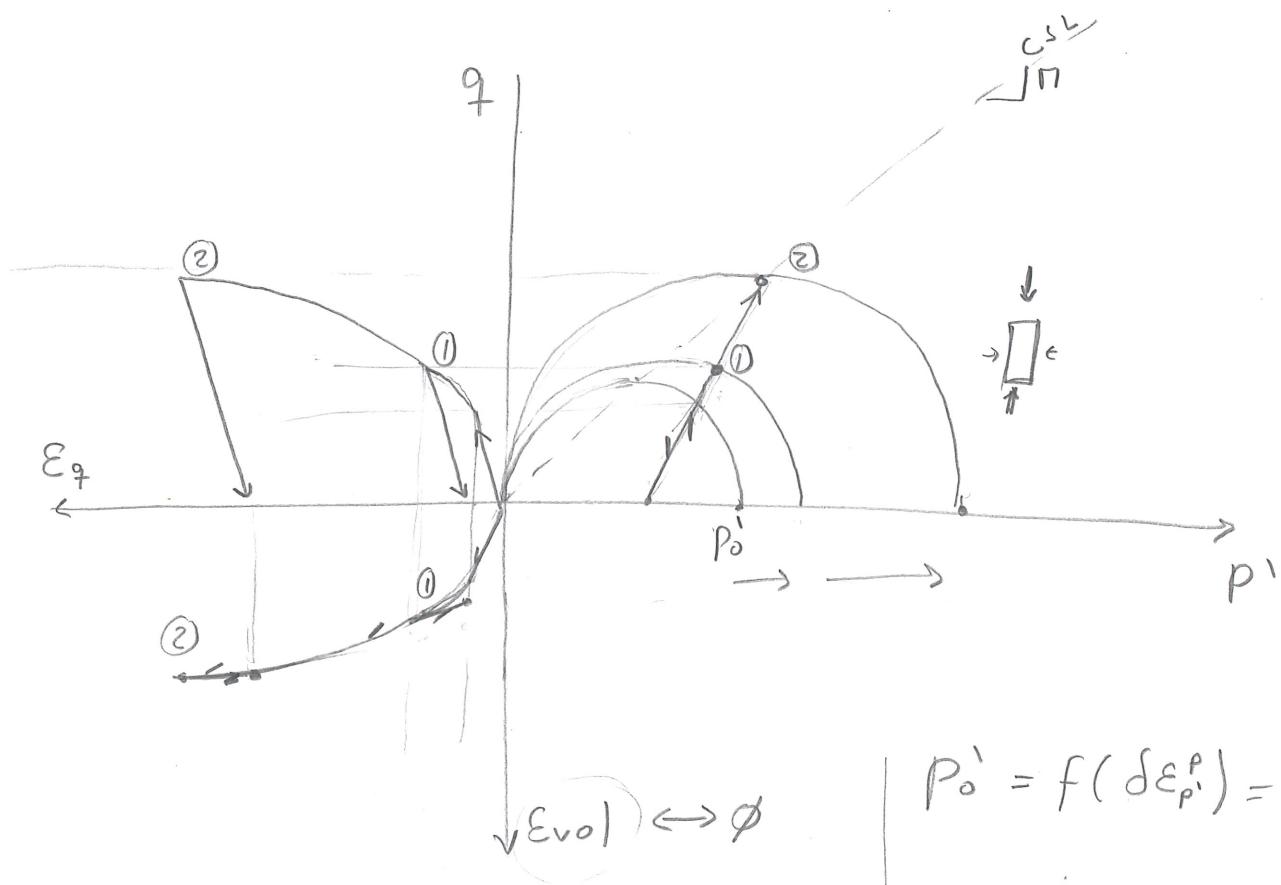
$$\hookrightarrow q = 0 \Rightarrow p' = P_0$$

$$\hookrightarrow q = M p' \Rightarrow p' = \frac{P_0}{2}$$

variable

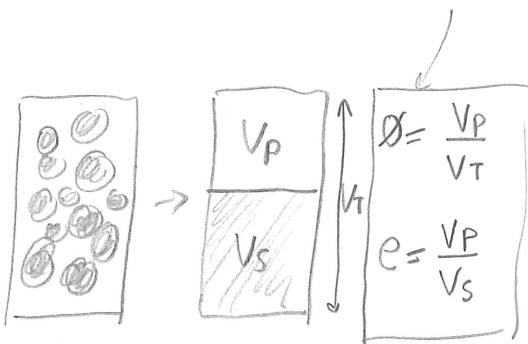
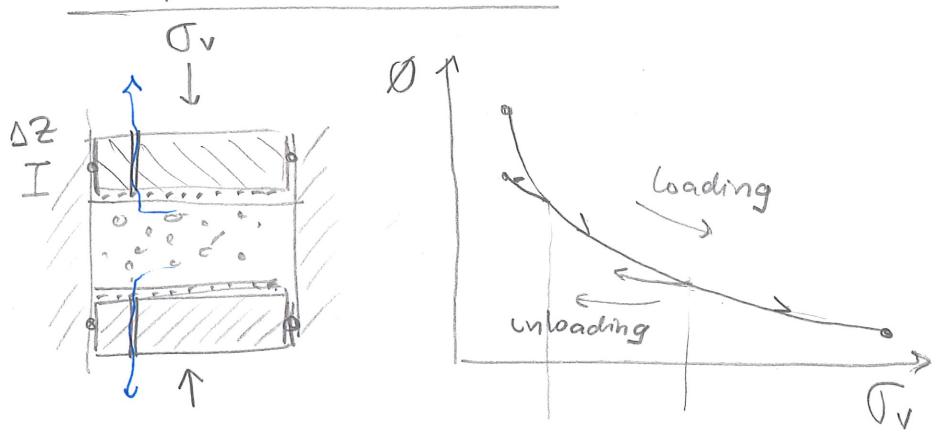
- pre-consolidation pressure
- size of the yield surface
- hardening parameter

$$\hookrightarrow f(\phi) \sim f(\delta \epsilon_p^p)$$

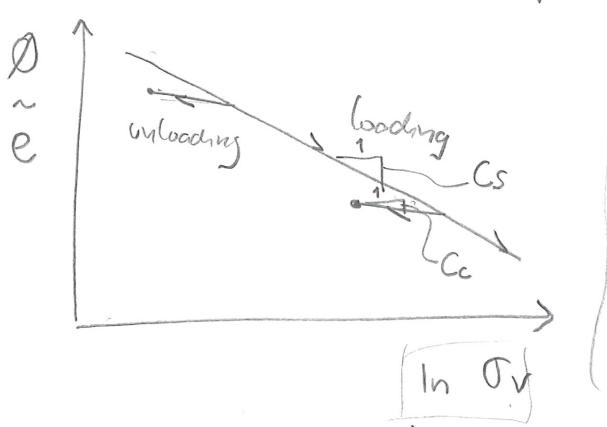


$$P'_0 = f(\delta \epsilon_p^p) = f\left(\frac{\epsilon}{1-\epsilon}\right)$$

### Compaction curve



say  $V_T = 1$        $e = \frac{\phi}{1-\phi}$

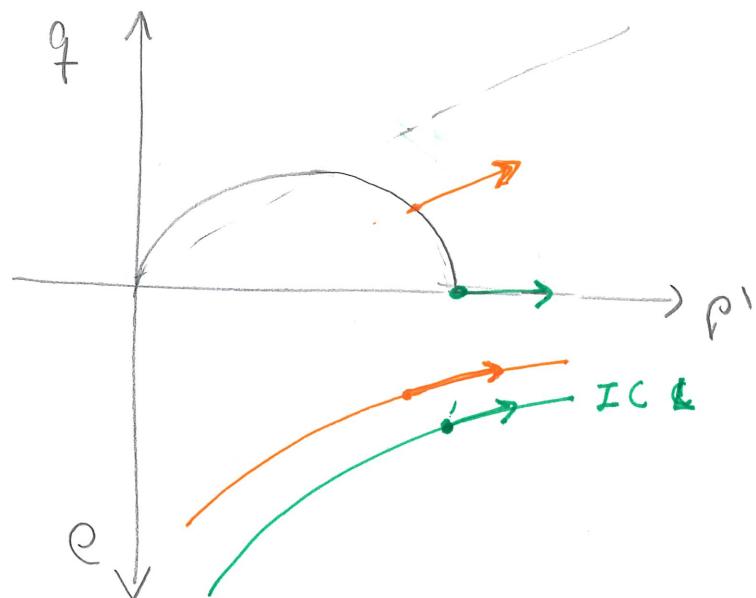
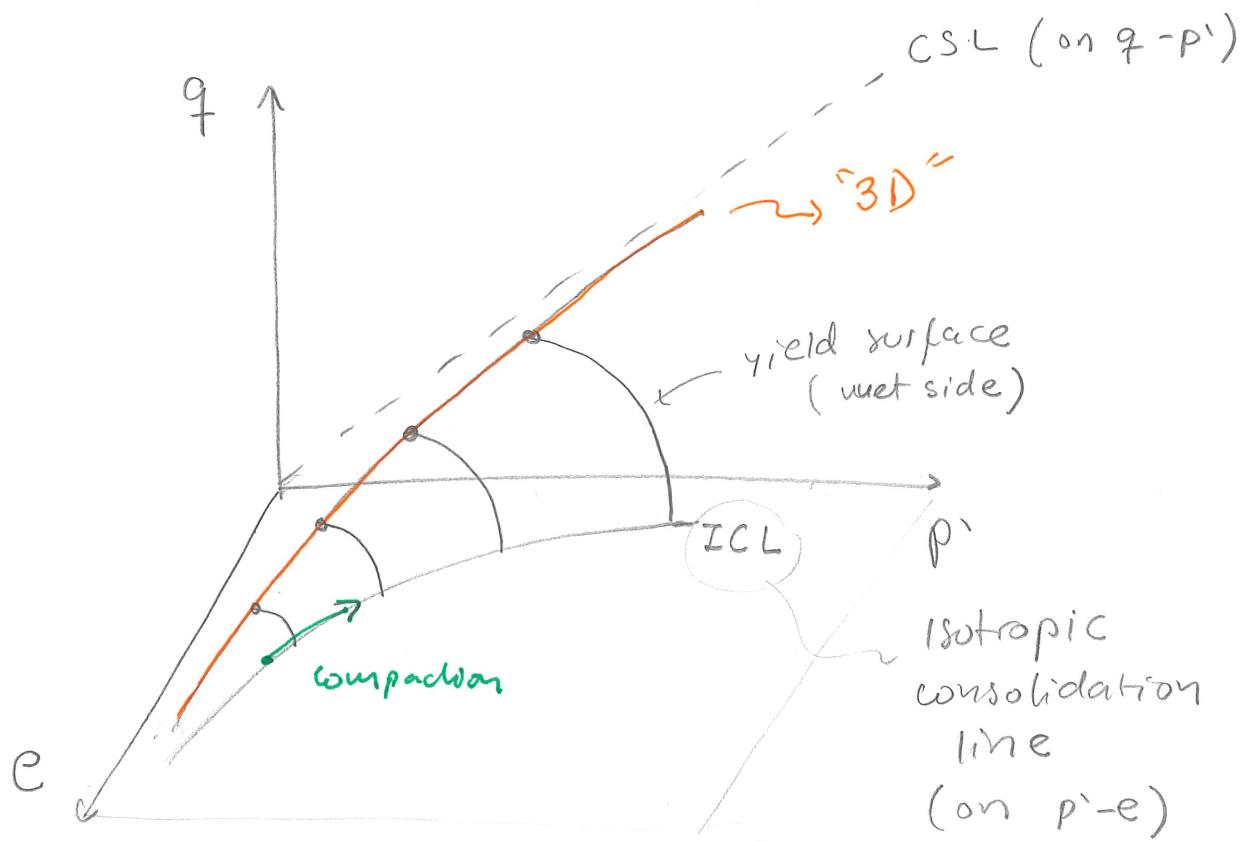
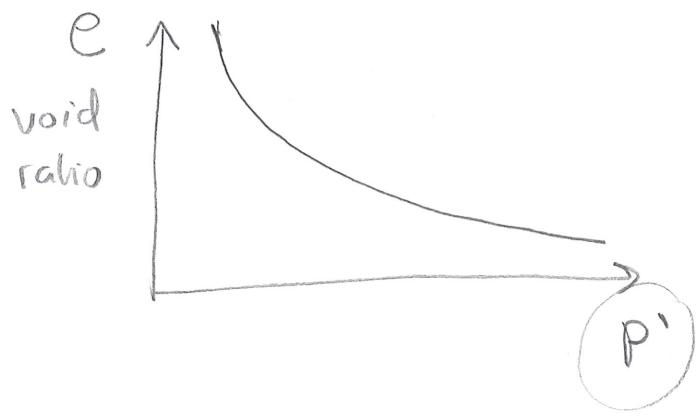


$\Delta \delta \epsilon_p^p > 0 \Rightarrow \downarrow \phi \Rightarrow \text{stronger}$

$\Delta \delta \epsilon_p^p < 0 \Rightarrow \uparrow \phi \Rightarrow \text{weaker}$

elastic     $\{ e = e_0 - C_c \ln \left( \frac{\sigma_v}{100 \text{ Pa}} \right)$

plastic     $\{ e = e_0 - C_s \ln \left( \frac{\sigma_v}{100 \text{ Pa}} \right)$



1D-strain  
 ↗ sedimentation  
 ↗ compaction  
 ↗ depletion

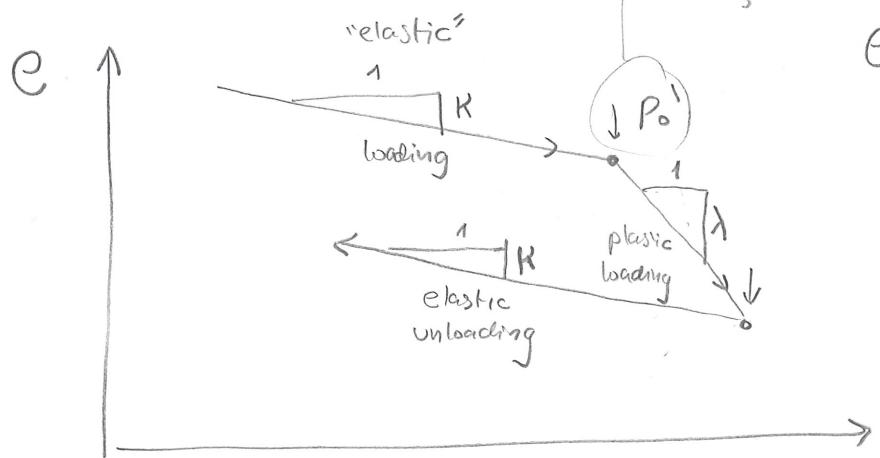
Isotropic

$$\underline{\underline{\epsilon}} = \underline{\underline{\epsilon}}^e + \underline{\underline{\epsilon}}^p$$

$$d\underline{\underline{\epsilon}} = d\underline{\underline{\epsilon}}^e + d\underline{\underline{\epsilon}}^p$$

$$d\underline{\underline{\epsilon}} = \underbrace{C^e \cdot d\underline{\underline{\sigma}}}_{\text{elastic strains}} + \underbrace{C^p \cdot d\underline{\underline{\sigma}}}_{\text{plastic strains}}$$

## (1) Elastic strains



$$e = C - K \ln P'$$

$$\frac{de}{dp'} = -\frac{K}{p'} \quad (1)$$

$$C = \frac{V_U}{V_b - V_U} = \dots$$

$\ln P'$

$$de = \dots dV_U + \dots dV_b$$

↓ assume  
 $dV_U = dV_b$  ( $\alpha \approx 1$ )

$$(2) \underline{de} = -(1+e) \underline{d\epsilon_p} \leftarrow$$

$$\delta \epsilon_p = \frac{dV_b}{V_b} = \frac{dV_U}{V_b}$$

Change Volumetric strain

$$(1) \left. \begin{cases} \delta \epsilon_p = \frac{K}{1+e} \cdot \frac{dp'}{p'} ; \quad 1+e = V : \text{specific volume} \\ \delta \epsilon_q = \frac{1}{3G} \cdot dq ; \quad \epsilon_q = \frac{2}{3} (\epsilon_1 - \epsilon_3) \end{cases} \right\}$$

$$\left. \begin{cases} \delta \epsilon_p = \frac{K}{1+e} \cdot \frac{dp'}{p'} \\ \delta \epsilon_q = \frac{1}{3G} \cdot dq \end{cases} \right\}$$

$$\left[ \begin{array}{c} \delta \epsilon_p \\ \delta \epsilon_q \end{array} \right] = \left[ \begin{array}{cc} K/(1+e)p' & 0 \\ 0 & 1/3G \end{array} \right] \left[ \begin{array}{c} dp' \\ dq \end{array} \right]$$

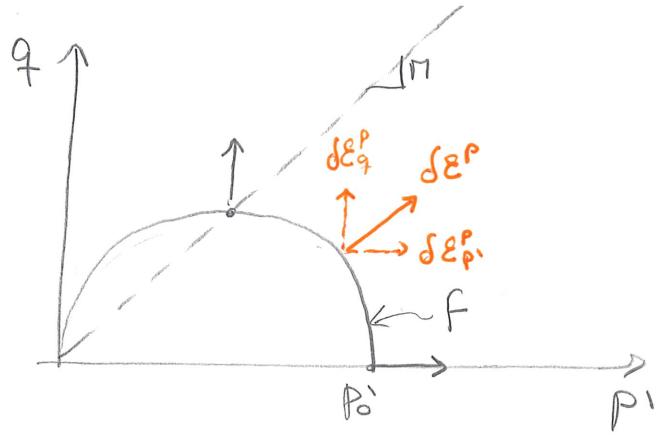
stress

Elastic  
(before yield)

## ② Plastic strains

$$f = q^2 - \pi^2 p' (P_0' - p')$$

$$F^* = \frac{p'}{P_0'} - \frac{\pi^2}{\eta^2 + \pi^2}; \quad \eta = \frac{q}{p'}$$



derivatives

$$\left. \begin{aligned} \frac{\partial F^*}{\partial p'} &= P_0' \pi^2 \left( \frac{\pi^2 - \eta^2}{\pi^2 + \eta^2} \right) \\ \frac{\partial F^*}{\partial q} &= P_0' \pi^2 \left( \frac{2\eta}{\pi^2 + \eta^2} \right) \\ \frac{\partial F^*}{P_0'} &= - \frac{p'}{(P_0')^2} \end{aligned} \right\} \quad (3)$$

Associated flow rule

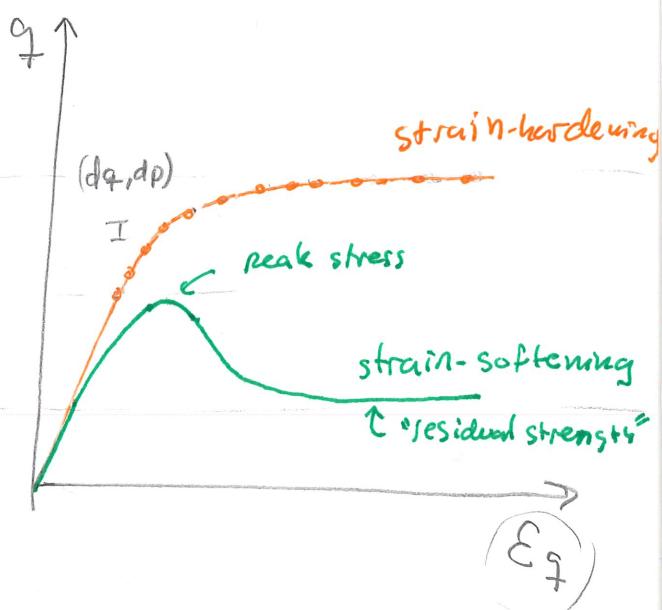
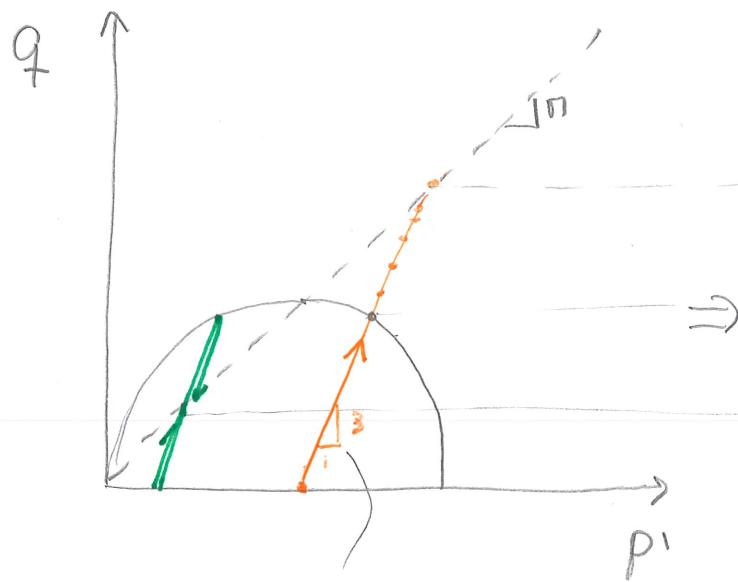
$$\delta \epsilon_{ij}^P = d\chi \cdot \frac{df}{d\sigma_{ij}} \Rightarrow \left\{ \begin{aligned} \delta \epsilon_{p'}^P &= d\chi \cdot \frac{df^*}{dp'} \\ \delta \epsilon_q^P &= d\chi \cdot \frac{df^*}{dq} \end{aligned} \right\}$$

from JCL (4)  $\delta \epsilon_{p'}^P = \frac{\lambda - K}{1+e} \cdot \frac{dp'}{P_0'}$

$$(3)+(4) \quad (d\chi) = \frac{\lambda - K}{(1+e)p'(\pi^2 + \eta^2)} \quad \leftarrow \text{hardening parameter}$$

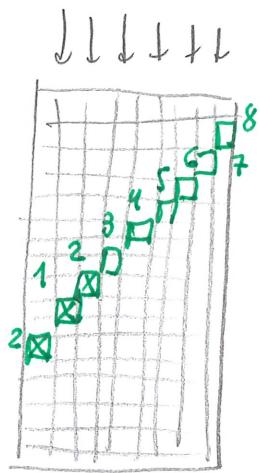
$$\left[ \begin{array}{c} \delta \epsilon_{p'}^P \\ \delta \epsilon_q^P \end{array} \right] = \frac{\lambda - K}{V \cdot p' \cdot (\pi^2 + \eta^2)} \left[ \begin{array}{cc} \pi^2 - \eta^2 & 2\eta \\ 2\eta & \frac{4\eta^2}{\pi^2 - \eta^2} \end{array} \right] \left[ \begin{array}{c} dp' \\ dq \end{array} \right]$$

} Plastic strains

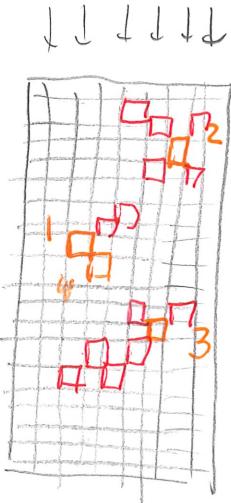


$dq = 3dp'$   $\rightarrow$  axisymmetric triaxial stress path

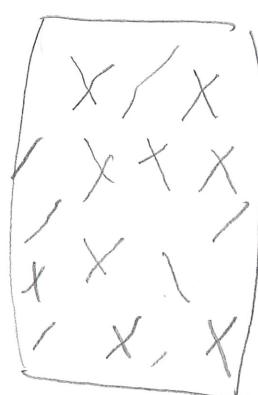
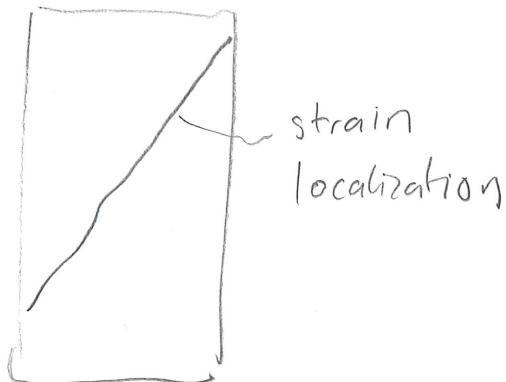
fixed by you



- positive feedback mechanism
- unstable process



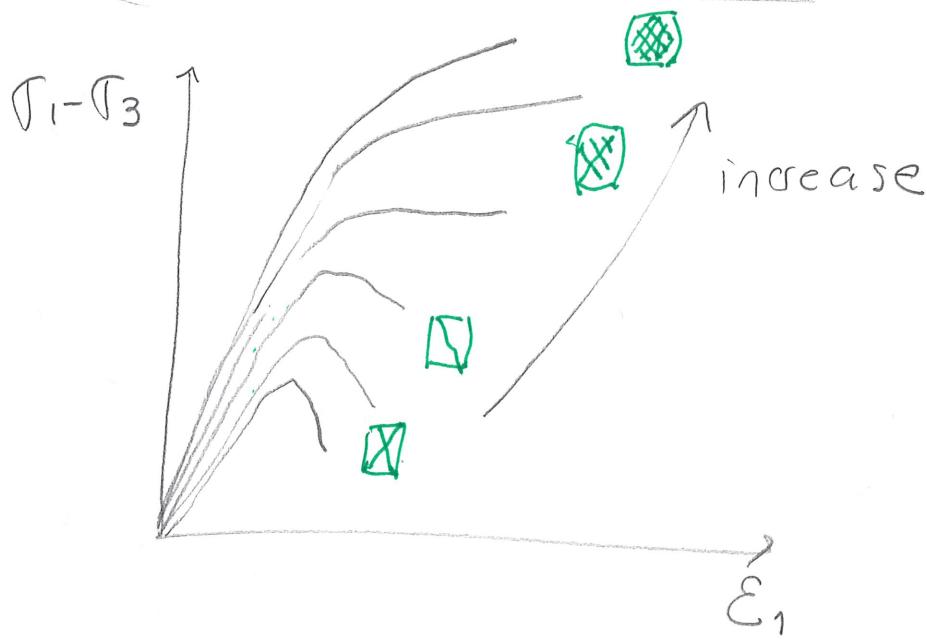
- , shear and compaction bands
- stable process



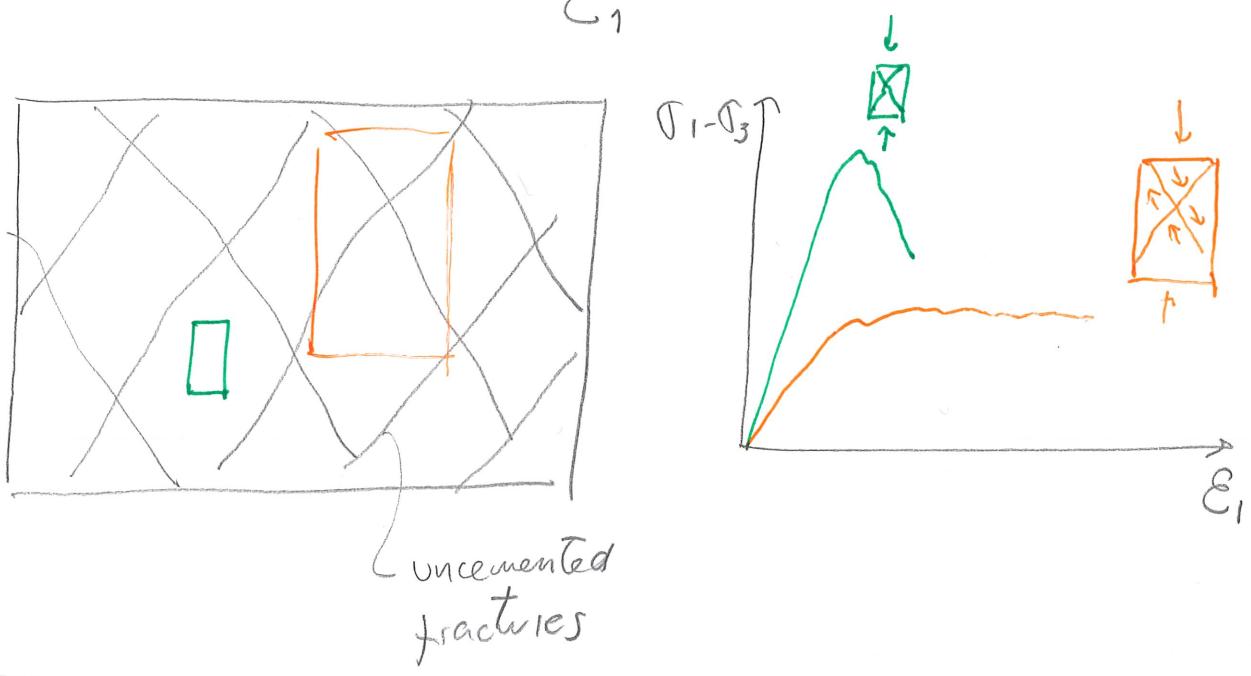
- diffuse deformation

$\sim m^{-2}$

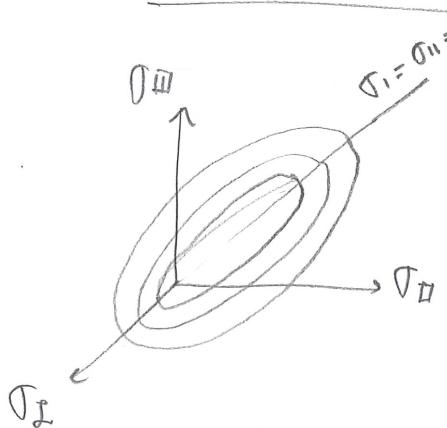
## Brittle to ductile transition



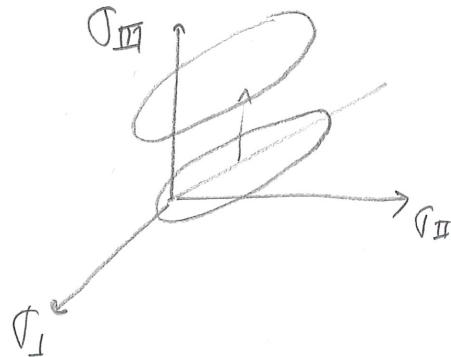
- $\uparrow T_3$  or  $T_m$  or  $P'$
- Temperature
- $\downarrow$  loading rate
- shales  $\rightarrow$ 
  - $\uparrow$  TOC
  - $\uparrow$  % clay



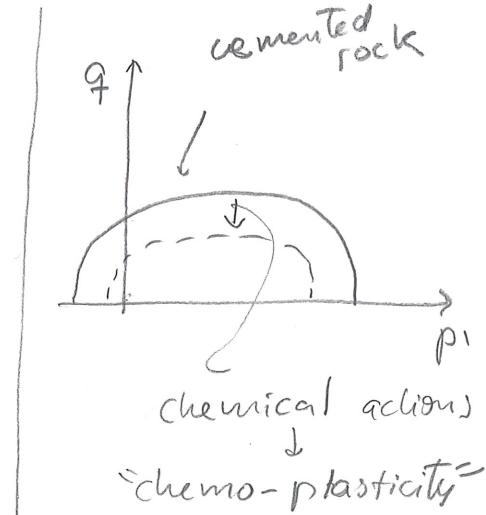
### Isotropic hardening ✓



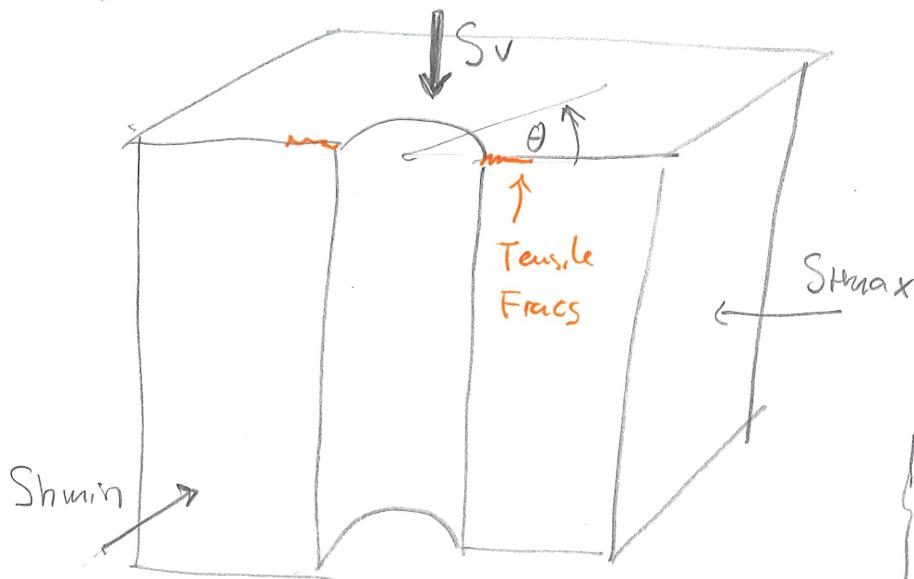
### Kinematic hardening



### Cemented rock



# Hydraulic Fracturing



$$S_v > \sigma_{H\max} > \sigma_{H\min}$$

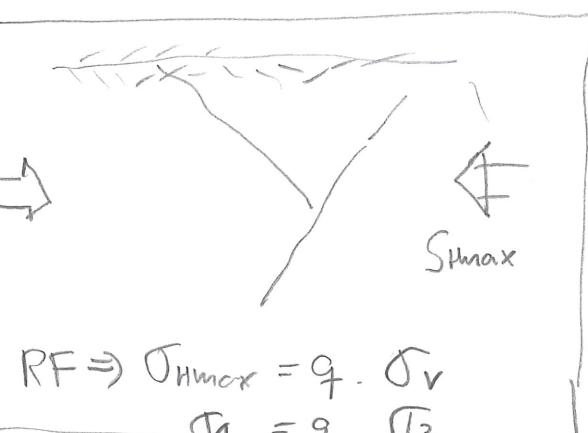
$$\begin{aligned} J_{\theta\theta}(r=a, \theta) = & - (P_w - P_p) + \\ & (\sigma_{H\max} - \sigma_{H\min}) \\ & - 2(\sigma_{H\max} - \sigma_{H\min}) \cos(2\theta) \end{aligned}$$

$$\left\{ \begin{array}{l} \theta = 0, \pi \\ J_{\theta\theta} = -T_s \end{array} \right.$$

Breakdown pressure

$$P_w = P_b = P_p - \sigma_{H\max} + 3\sigma_{H\min} + T_s$$

Pore pressure      Stress Anisotropy      Tensile Strength



$$1) \quad \sigma_{H\max} \approx \sigma_{H\min} = \sigma_h \quad (\text{isotropic})$$

$$P_b = P_p + 2\sigma_h + T_s$$

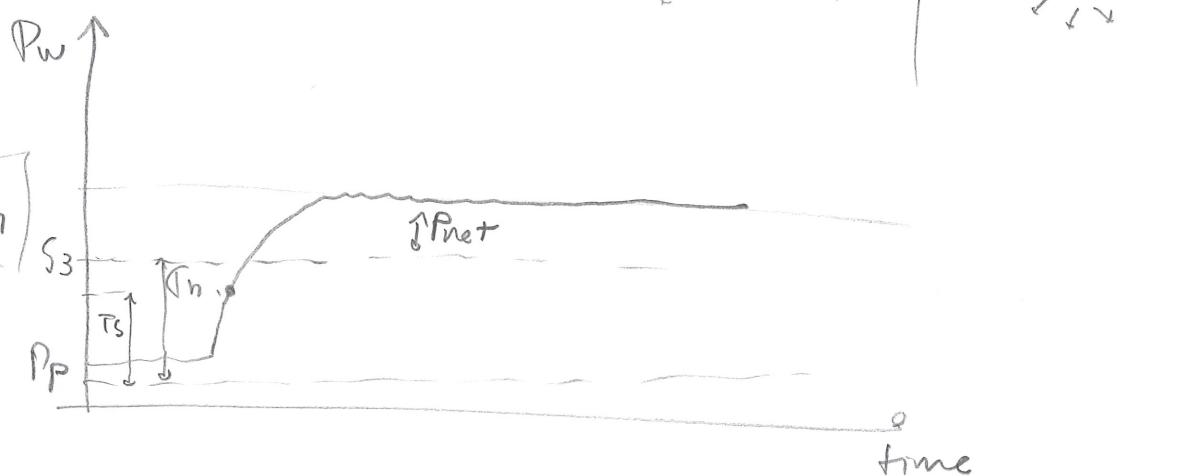
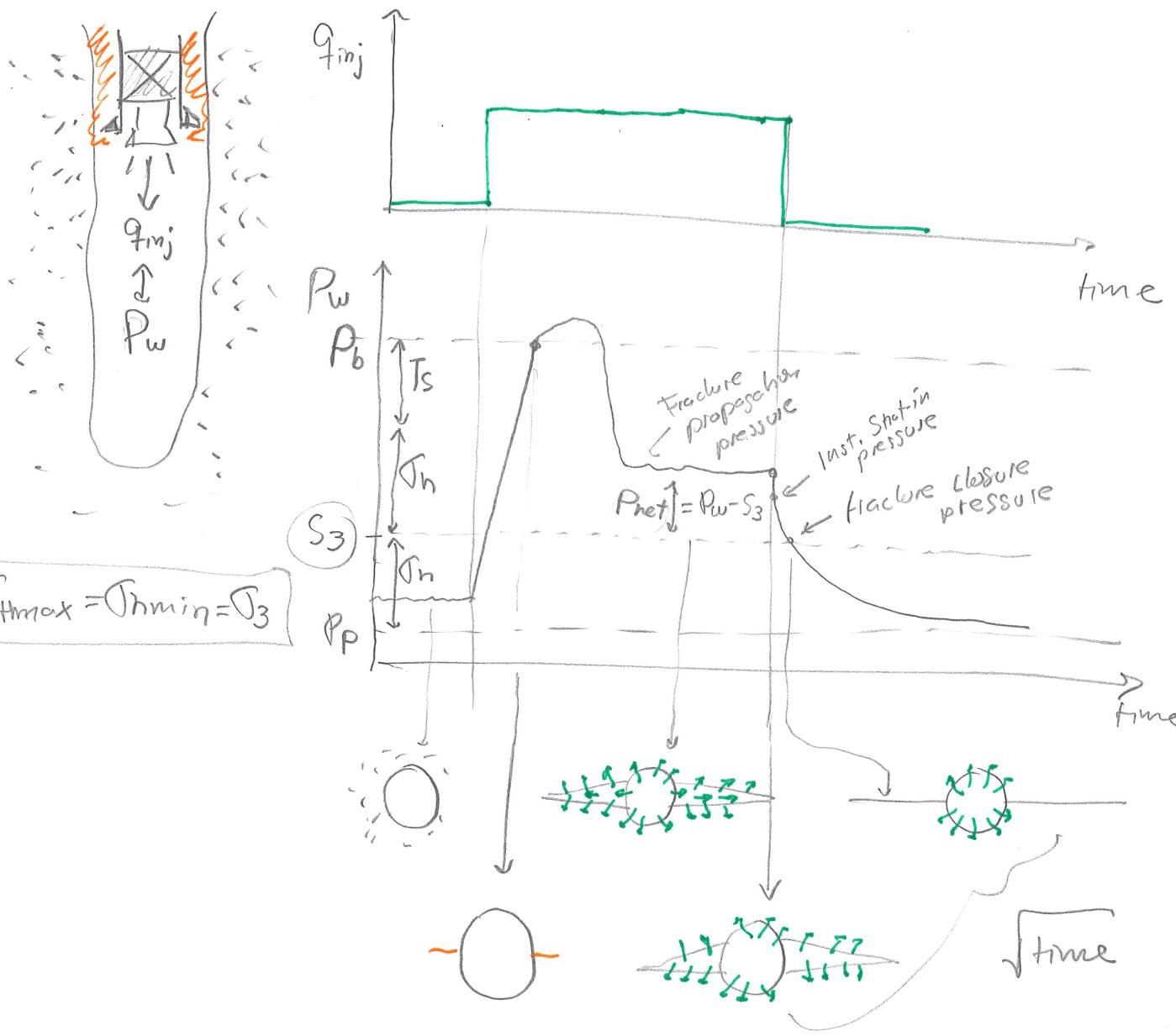
$$2) \quad \sigma_{H\max} = 3 \cdot \sigma_{H\min} \quad (\text{highly anisotropic})$$

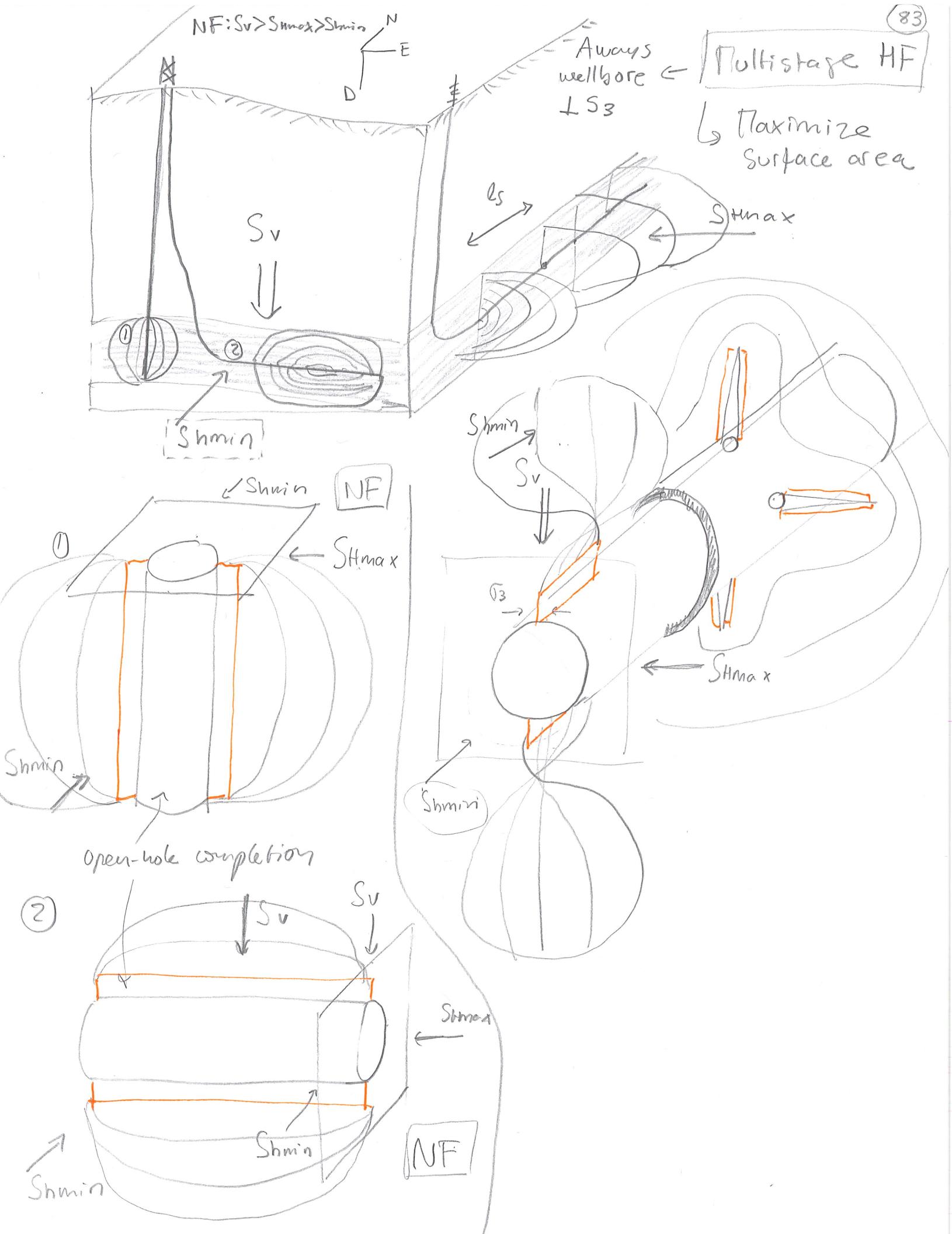
$$P_b = P_p + 3\sigma_{H\min} - 3\sigma_{H\min} + T_s$$

$$RF \Rightarrow \sigma_{H\max} = q \cdot \sigma_v$$

$$\sigma_1 = q \sqrt{3}$$

# Leak-off test (Diagnostic Fracture Initiation Test)

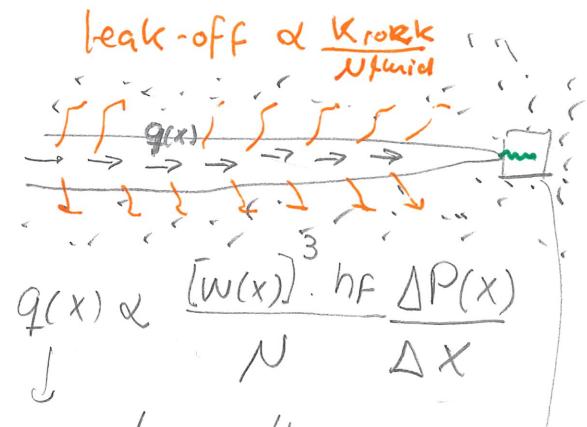
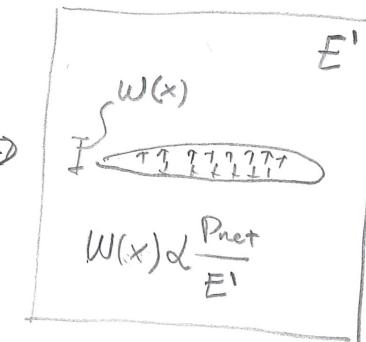
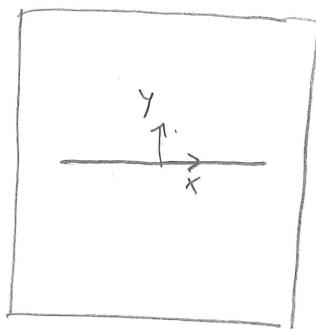




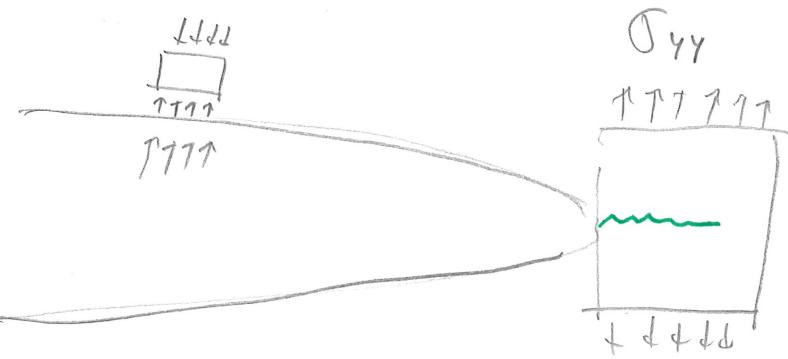
(84)

fluid-driven  
coupled fracture problem (Valves and Economics  
Hydraulic Fracture Mechanics)

$$P_{\text{net}} = P_f - S_3 = \underbrace{P(\text{elasticity}) + P(\text{viscous losses})}_{\downarrow} + P(\text{new rock surface})$$



$$\begin{aligned} w(x) &\leftrightarrow \Omega = \underline{\underline{\epsilon}} \\ &\quad \nabla \cdot \underline{\underline{\sigma}} = 0 \\ &\quad k_{\text{linear}} \end{aligned}$$



LEFM

$\bullet K_I > K_{Ic} \rightarrow \text{frac propagates}$

$\bullet K_I < K_{Ic} \rightarrow \text{frac does not propagate}$

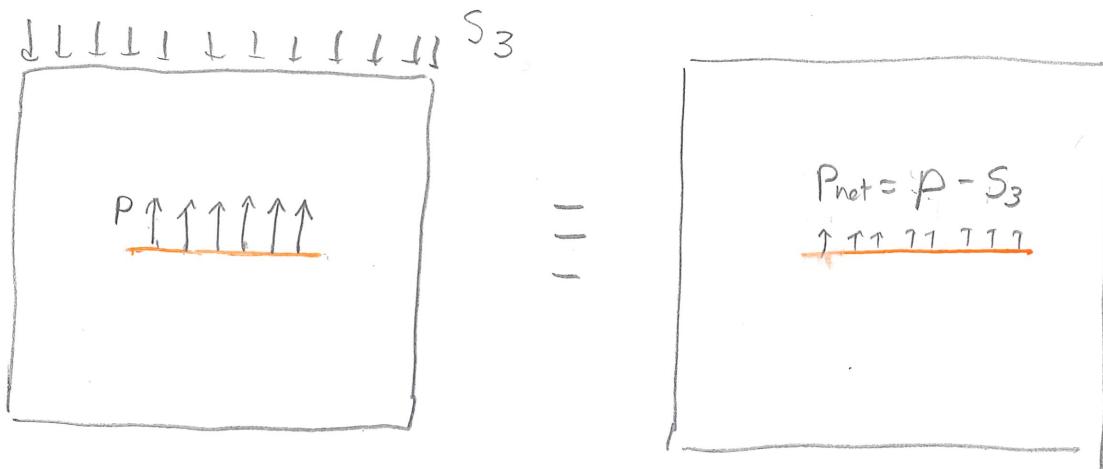
$$K_I = \lim_{r \rightarrow 0^+} \left[ \sqrt{2\pi r} \cdot \sigma_{yy}(c+r, 0) \right]$$

stress intensity

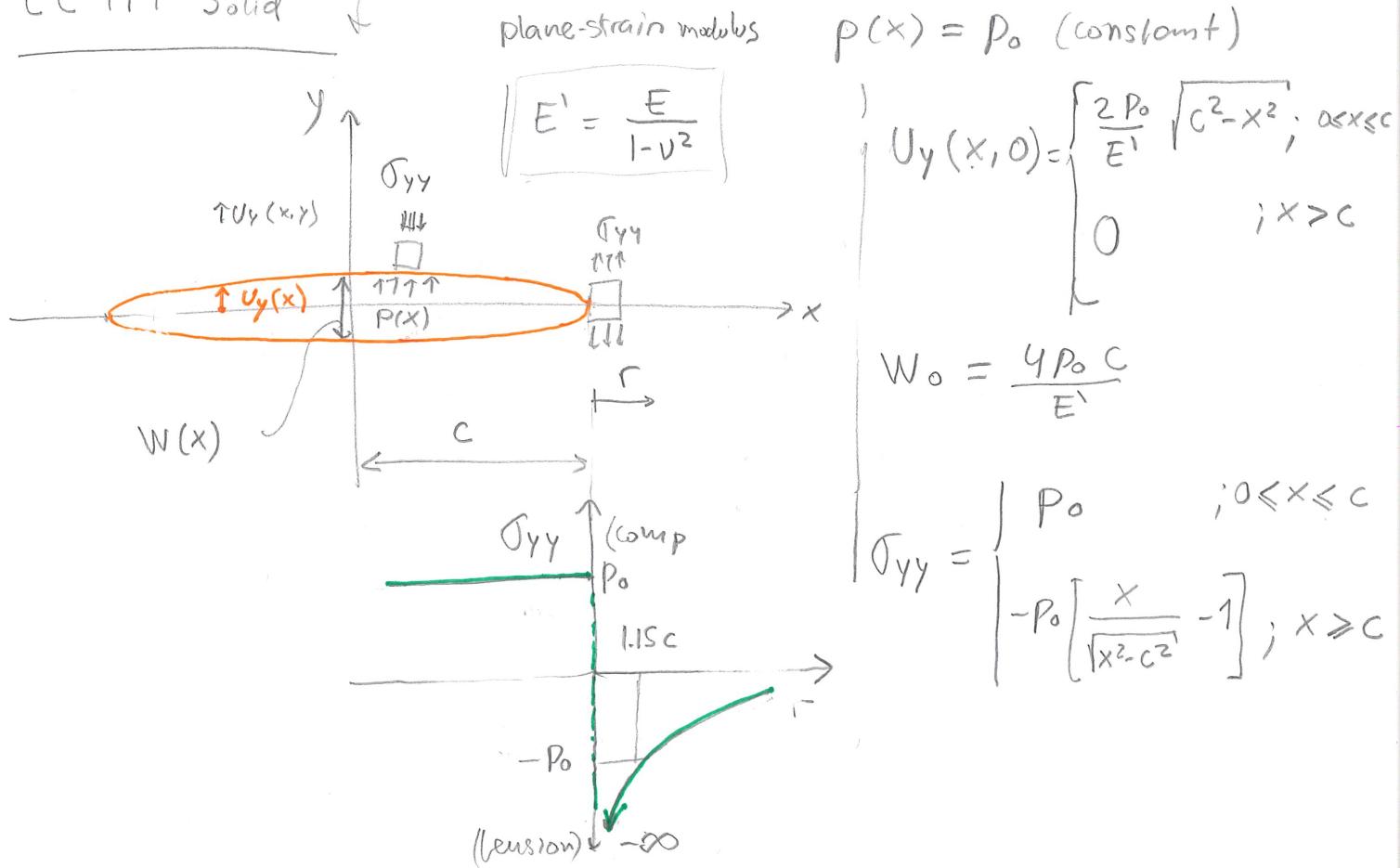
toughness  
↳ property  
rock

$$f(l_f, P_n)$$

# Griffith problem



*mechanical properties  
homogeneous*  
LEH1 Solid



Stress intensity factor

$$K_I = \lim_{r \rightarrow 0^+} \left[ \sqrt{2\pi r} \cdot \sigma_{yy}(c+r, 0) \right] = P_0 \sqrt{\pi c}$$

constant pressure solution

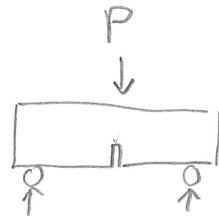
Mode I - Open mode

II - shear in-plane

III - shear out-of-plane

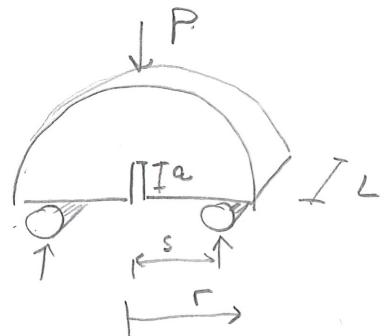
$K_{IC}$  ← critical stress intensity factor  
 ← property of the rock  
 ← measured in the lab

↳ 3-point loading method



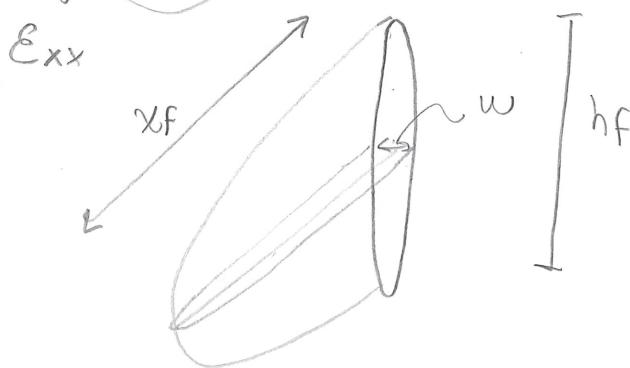
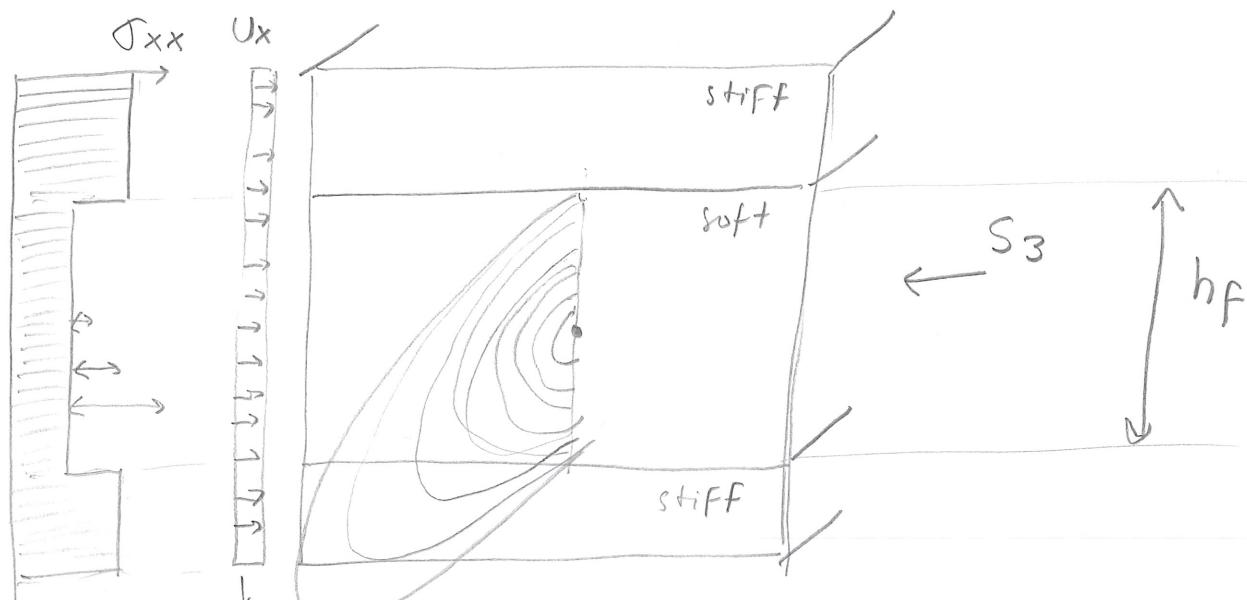
↳ semicircular bending

$$K_{IC} = \frac{P_{max} (\pi a)^{1/2}}{2rL} Y_I$$



$$Y_I(a, r, s) \sim 5$$

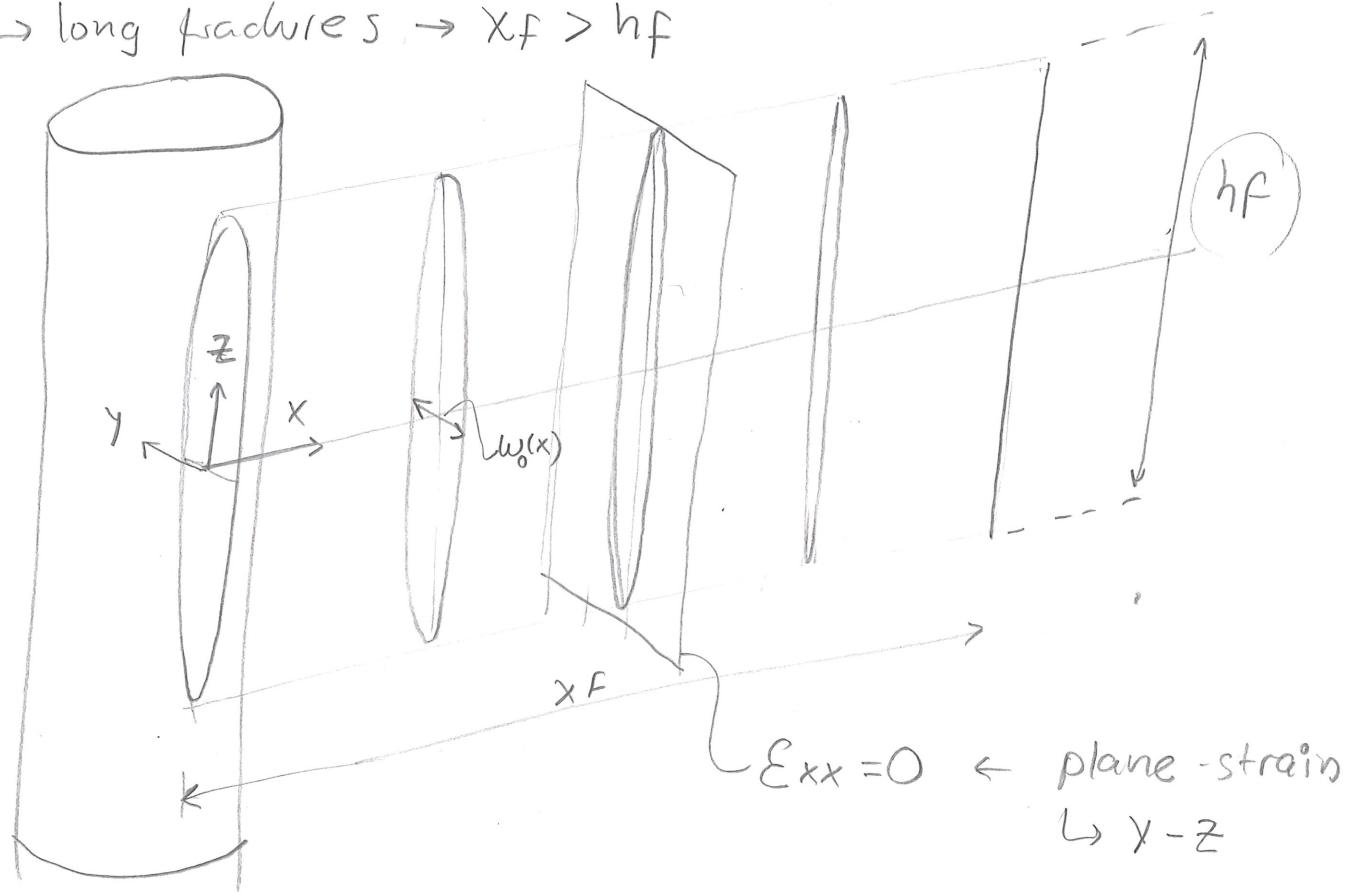
Fracture height



## PKN Model

(Perkins, Kern, Nordgren)

long fractures  $\rightarrow x_F > h_F$



Linear elasticity

$$w_0(x) = \frac{4 P_n(x) (h_F/z)}{E'} = \frac{2 P_n(x) h_F}{E'} \quad (1)$$

Fluid Mechanics

$$\frac{dP}{dx} = - \frac{64 N q(x)}{\pi [w_0(x)]^3 h_F} \quad (2)$$

elliptical shape  
Newtonian fluid  
laminar flow

Material Balance

$$V_i = \tilde{V} + \tilde{V_L} \quad (3)$$

$V_L = 2 \cdot A_f \cdot C_L \cdot \sqrt{t}$

$$V_i = (i) \cdot t \quad (4)$$

constant injection rate  
 $\rightarrow$  accounts one-wing

$$\textcircled{1}, \textcircled{2}, q(x)=i \Rightarrow \frac{dp}{dx} = -\frac{64Ni}{\pi [2hf P_n(x)/E]^3 hf}$$

$$\int_{P_n(x=0)}^{P_n(x_F)} [P_n(x)]^3 dp = - \int_{x=0}^{x_F} \frac{8NiE^3}{\pi hf^4} dx$$

$$\cancel{\frac{[P_n(x_F)]^4}{4}} - \frac{[P_n(x=0)]^4}{4} = -\frac{8NiE^3}{\pi hf^4} x_F$$

↑ net pressure

at the tip = 0

$$\hookrightarrow P_n(x=0) = \left( \frac{3NiE^3 x_F}{\pi hf} \right)^{1/4} \quad \textcircled{5}$$

$$\hookrightarrow W_o(x=0) = \left( \frac{512NiE^3}{\pi E^3} \right)^{1/4} \quad \textcircled{5} + \textcircled{1}$$

$$\text{Geometry} \quad V_{\text{frac}} = x_F hf (\bar{w}) \sim \bar{w} = \frac{\pi}{5} W_o(x=0) \quad \textcircled{6}$$

$$\text{Mass balance} \quad V_{\text{frac}} = i \cdot t \quad \textcircled{7}$$

$$\textcircled{6} + \textcircled{7} \quad x_F = \frac{i \cdot t}{hf \left( \frac{\pi}{5} W_o(x=0) \right)}$$

PKN

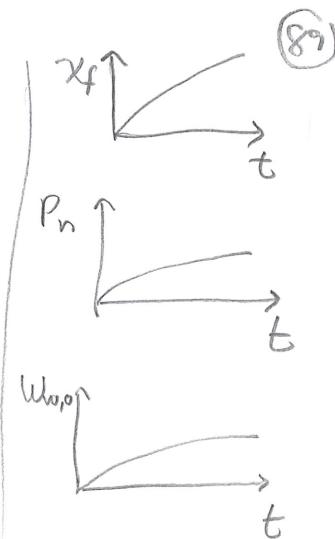
model

- no leak-off
- constant inj. rate

$$x_f = 0.524 \left( \frac{i^3 E'}{N h_f^4} \right)^{1/5} t^{4/5}$$

$$P_n(x=0) = 1.520 \left( \frac{E'^4 N i^2}{N F^6} \right) t^{1/5}$$

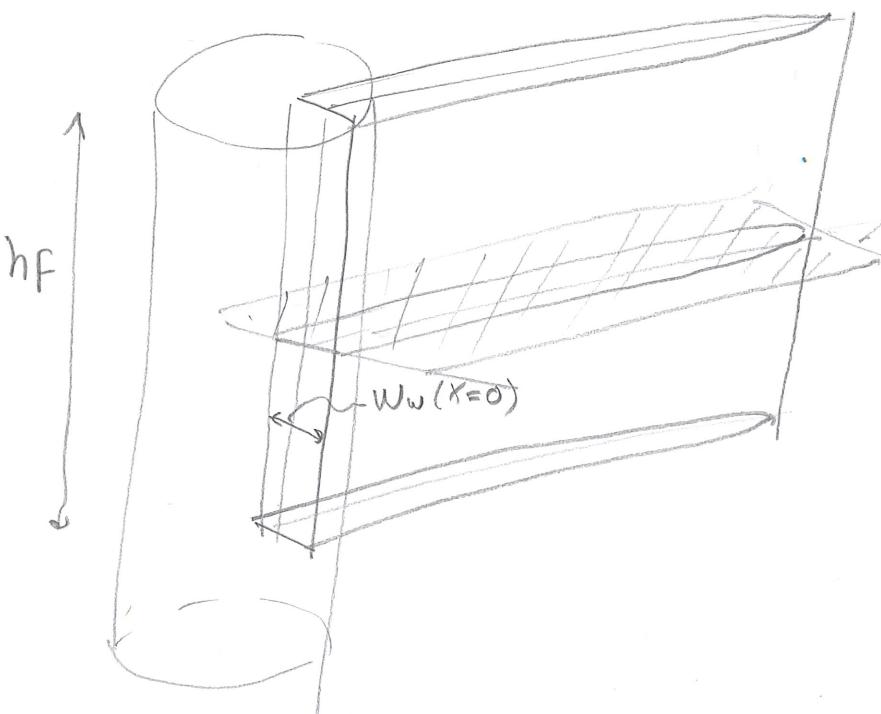
$$W_0(k=0) = 3.040 \left( \frac{i^2 N}{E' h_f} \right) t^{1/5}$$



(87)

KGD

→ short fractures  $x_f < h_f$



plane-strain  
y-x

$$x_f = t^{2/3}$$

$$w_w = t^{1/3}$$

$$P_{n,w} = t^{-1/3}$$

Radial

→ penny shape fracture

$x_f$   
 $w_w$   
 $P_n$