

Tevatron Higgs

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Abstract

1 Introduction

2 Theory

3 Method

3.1 Event Simulation

The Monte Carlo simulation of the events used in the training and evaluation of the neural networks is beyond the scope of this project, so will only be briefly summarised here. Signal $\phi b \rightarrow b\bar{b}b$ events were simulated using the PYTHIA event generator [1] to leading order, with a mass hypothesis of $M_H = 110\text{GeV}/c^2$ and then corrected to next-to-leading order with MCFM [2]. The background multijet events were generated using ALPGEN [3]. The simulated events were then passed through a model of the DØ detector [4]. Finally various preprocessing cuts were applied to the data excluding unlikely signal events, giving a final total of 53,960 events.

3.2 Feature Selection

In any scenario where statistical methods are used to interpret data selecting features in order to maximise the discriminatory or explanatory power of the model plays a critical role. From the b-tagged jets, those with the highest and second highest transverse momentum P_t were identified as being the putative product of the decaying Higgs boson, with all the following variable differences are considered to be taken between these leading jets. Following [5] seven feature variables were extracted from the raw simulation data, and used as inputs to the classification neural network.

Pseudorapidity difference $\Delta\eta$

The pseudorapidity of a jet is defined as

$$\eta = -\ln \left[\tan \left(\frac{\theta}{2} \right) \right] \quad (1)$$

where θ is the angle between the jet and the beam axis. The pseudorapidity is preferred to θ as the difference between two pseudorapidity is Lorentz invariant.

Momentum balance $P_{balance}$

The momentum balance of the leading b jet pair is defined

$$P_{balance} = \frac{|p_1 - p_2|}{|p_1 + p_2|} \quad (2)$$

Sphericity S

The sphericity is defined as

$$S = \frac{3}{2}(\lambda_2 + \lambda_3) \quad (3)$$

where λ_2 and λ_3 are the second and third largest eigenvalues of the sphericity tensor $\hat{\mathbf{S}}^{\alpha\beta}$

$$\hat{\mathbf{S}}^{\alpha\beta} = \frac{\sum_i p_\alpha^i p_\beta^i}{\sum_i |p^i|^2} \quad (4)$$

Additionally we define the **azimuthal angle difference** $\Delta\phi$, the **combined pseudorapidity** η_H , the **difference between the leading jet and the Higgs** $\eta_H - \eta_1$ and the **invariant di-jet mass** M_H .

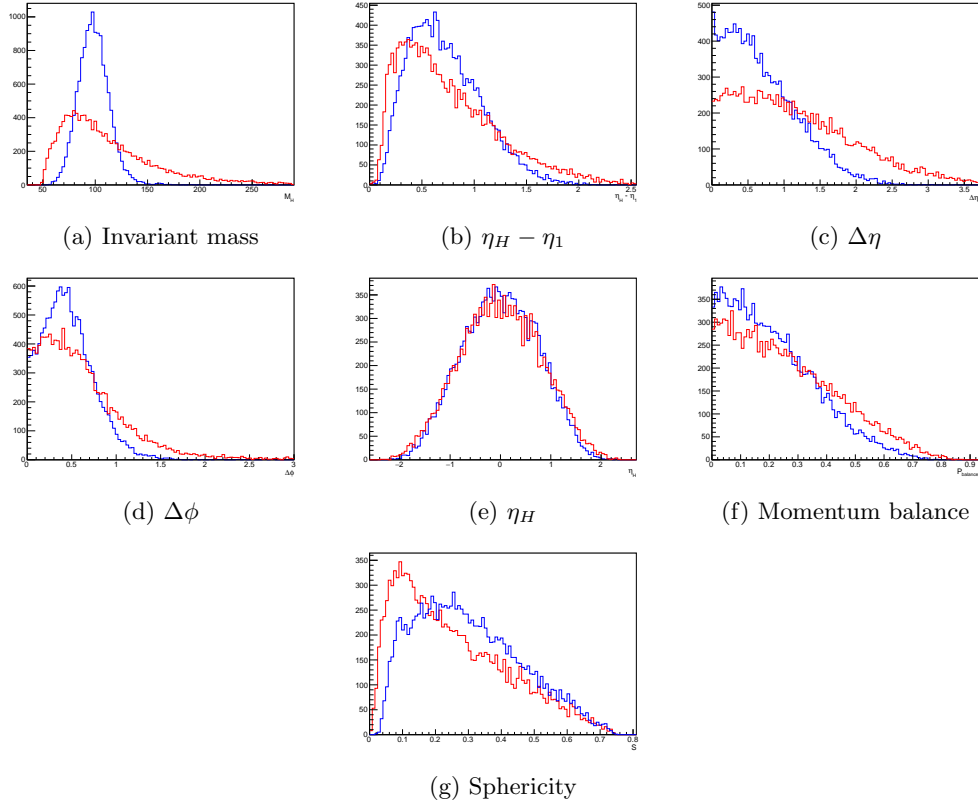


Figure 1: Distributions of the input features. All y scales are events. The signal is in blue and the background in red.

3.3 Artificial Neural Networks

Artificial neural networks (ANNs) are a machine learning approach to classification/regression problems. They consist of stacked layers of neurons, each implementing a nonlinear activation function, interlinked with linear synapses. We utilise a class of feedforward ANNs called Multi-layer Perceptrons (MLPs), where activation function $g(x)$ is taken as a sigmoid and the output node a single logistic regression node

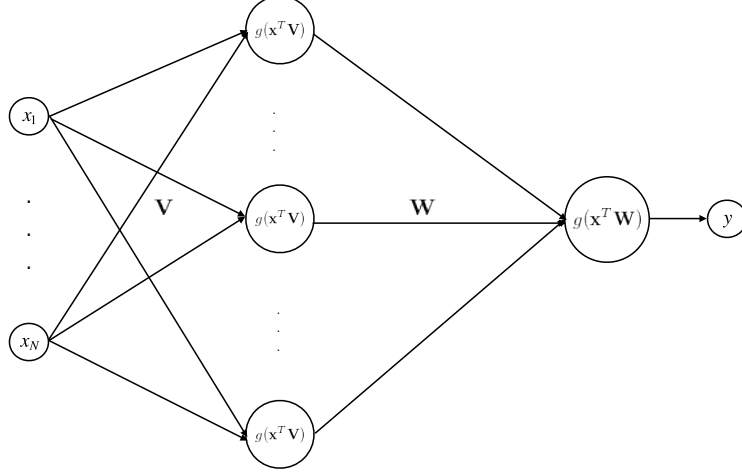


Figure 2: An example MLP with one hidden layer, N input variables \mathbf{x} and single output $0 < y < 1$. The transfer function $g(\mathbf{x}^T \mathbf{V}) = \frac{1}{1+e^{-\mathbf{x}^T \mathbf{V}}}$ is sigmoid and the weight matrices are \mathbf{V} and \mathbf{W}

As a supervised machine learning problem, ANNs are trained by minimising the negative log likelihood (NLL) function $L(\mathbf{W})$ w.r.t. the parameters,

$$L(\mathbf{W}) = -\log [P(\mathbf{W}|\mathcal{D})] \quad (5)$$

$$= -\sum_{i=1}^{N_{train}} [y^i \log \hat{y}^i + (1 - y^i) \log(1 - \hat{y}^i)] \quad (6)$$

where \mathbf{W} denotes the weight matrices collectively and \mathcal{D} the data. Due to the nonlinearity of the activation function the NLL is in general a non-convex function of \mathbf{W} , so it is minimised using random restarts of stochastic gradient descent. A strength of ANNs is that the gradient of the NLL can be efficiently computed by the backpropagation algorithm [6], which allows that gradient at the n th hidden layer to be calculated in terms of the error at the $(n + 1)$ th layer, so computation can start at the output layer and work backwards. Once the gradient has been calculated the weights are optimised and the output estimates \hat{y} updated by forward propagating the inputs through the network. A single backpropagation, optimisation, forward propagation cycle is called an epoch. In order to avoid overfitting, the input data is split and 50% reserved as a “test set” so that after each epoch the network can be evaluated on the unseen test set and the

misclassification error $e = \frac{1}{N_{test}} \sum_{i=1}^{N_{test}} \mathbf{1}\{y^i \neq \hat{y}^i\}$ calculated. Training is stopped when e stops declining significantly, indicating that the network has learned all it can and further training is likely to decrease performance. We used the Cern ROOT [7] implementation of an MLP, `TMultiLayerPerceptron` due to its tight integration with the rest of the ROOT data analysis frame, despite it lacking some desirable features.

3.3.1 Model Selection

The performance of a neural network is highly dependent on both the number of nodes and the network topology. In theory by the Universal Approximation Theorem, an ANN with sufficiently many nodes arranged in single hidden layer is able to approximate an arbitrary decision boundary. However in practice deep neural networks can perform better, so a variety of sizes and topologies were investigated and evaluated.

A commonly used criterion for measuring signal/background separation performance is the significance, $\frac{S(c)}{\sqrt{B(c)}}$ where $S(c)$ and $B(c)$ are the weighted number of signal and background events respectively, scoring above the signal acceptance cutoff c . Typically, as a function of $c \in (0, 1)$, $\frac{S(c)}{\sqrt{B(c)}}$ has a maximum which can be used as a comparison.

Another evaluation parameter common in machine learning applications is the AUC, the area under the ROC (receiver operator characteristic) curve. The ROC curve is the parametric plot of the true positive rate (tpr) against the false positive rate (fpr), parameterised by the cutoff.

4 Discusion

5 Conclusion

References

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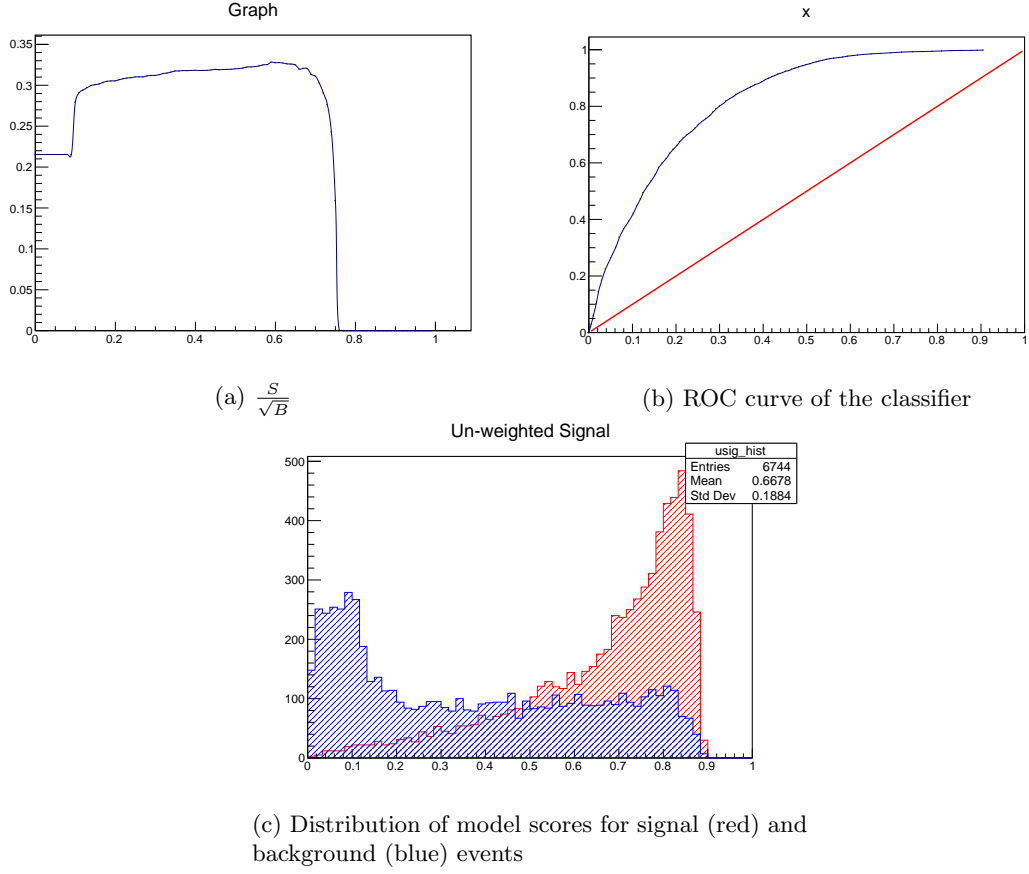


Figure 3: Diagnostic plots for a train ANN with two layers of 7 and 6 hidden nodes