# Statistical physics cheat sheet

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### 1 Fock-states

$$\begin{aligned} |0\rangle &= |0,0,0,\ldots\rangle \\ \langle 0|0\rangle &= \langle \ldots,0,0,0|0,0,0,\ldots\rangle = 1 \\ \langle \ldots,n_i',\ldots,n_2',n_1'|n_1,n_2,\ldots,n_i,\ldots\rangle &= \cdots \times \delta_{n_1n_1'} \times \delta_{n_2n_2'} \times \cdots \times \delta_{n_in_i'} \times \cdots \end{aligned}$$

# 2 Creation and annihillation operators

## 2.1 Fermionic creation and annihillation operators

$$\hat{a}_{k}^{\dagger} | n_{1}, \dots, n_{k}, \dots \rangle = \sqrt{1 - n_{k}} (-1)^{\sum_{k}} | n_{1}, \dots, 1 + n_{k}, \dots \rangle 
\hat{a}_{k} | n_{1}, \dots, n_{k}, \dots \rangle = \sqrt{n_{k}} (-1)^{\sum_{k}} | n_{1}, \dots, 1 - n_{k}, \dots \rangle 
n_{k} \in \{0, 1\}, \ \sum_{k} = \sum_{j=1}^{k-1} n_{j} 
\hat{a}_{k}^{\dagger} | n_{1}, \dots, n_{k-1}, 1, n_{k+1}, \dots \rangle = 0 
\hat{a}_{k} | n_{1}, \dots, n_{k-1}, 0, n_{k+1}, \dots \rangle = 0 
\hat{a}_{k} = (\hat{a}_{k}^{\dagger})^{\dagger}$$

Anticommutation relations:

$$\{\hat{a}_k, \hat{a}_l^{\dagger}\} = \hat{a}_k \hat{a}_l^{\dagger} + \hat{a}_l^{\dagger} \hat{a}_k = \delta_{kl}$$
$$\{\hat{a}_k, \hat{a}_l\} = \{\hat{a}_k^{\dagger}, \hat{a}_l^{\dagger}\} = 0$$

#### 2.2 Bosonic creation and annihillation operators

$$\begin{aligned} \hat{a}_{k}^{\dagger} & | n_{1}, \dots, n_{k}, \dots \rangle = \sqrt{1 + n_{k}} & | n_{1}, \dots, n_{k} + 1, \dots \rangle \\ \hat{a}_{k} & | n_{1}, \dots, n_{k}, \dots \rangle = \sqrt{n_{k}} & | n_{1}, \dots, n_{k} - 1, \dots \rangle \\ n_{k} & \in \{0, 1, \dots\} \\ \hat{a}_{k}^{\dagger} & | n_{1}, \dots, n_{k-1}, 1, n_{k+1}, \dots \rangle = 0 \\ \hat{a}_{k} & | n_{1}, \dots, n_{k-1}, 0, n_{k+1}, \dots \rangle = 0 \end{aligned}$$

Commutation relations:

$$[\hat{a}_k, \hat{a}_l^{\dagger}] = \hat{a}_k \hat{a}_l^{\dagger} + \hat{a}_l^{\dagger} \hat{a}_k = \delta_{kl}$$
$$[\hat{a}_k, \hat{a}_l] = [\hat{a}_k^{\dagger}, \hat{a}_l^{\dagger}] = 0$$

For bosons only:

$$|n_1, \dots, n_k, \dots\rangle = \frac{1}{\sqrt{\prod_{i=1}^{\infty} n_i!}} (\hat{a}_1^{\dagger})^{n_1} \times (\hat{a}_2^{\dagger})^{n_2} \times \dots \times (\hat{a}_k^{\dagger})^{n_k} \times \dots |0\rangle.$$

#### For both bosons and fermions:

•  $\hat{n}_k = \hat{a}_k^\dagger \hat{a}_k$  gives the number of particles in the k-th eigenstate:

$$\hat{n}_k | n_1, \dots, n_k, \dots \rangle = n_k | n_1, \dots, n_k, \dots \rangle$$
.

•  $\hat{N} = \sum_{k} \hat{n}_{k}$  gives the total number of particles:

$$\hat{N} | n_1, \dots, n_k, \dots \rangle = \sum_{j=1}^{\infty} n_j | n_1, \dots, n_k, \dots \rangle = N | n_1, \dots, n_k, \dots \rangle.$$

- $\langle 0|0\rangle = 1$
- $\bullet \ \langle 0 | \, \hat{a}_k^\dagger = 0$
- $\bullet \ \hat{a}_k \left| 0 \right\rangle = 0$

# 3 Field operators

$$\hat{\Psi}^{\dagger}(x) = \sum_{j=1}^{\infty} \varphi_j^*(x) \hat{a}_j^{\dagger}$$

$$\hat{\Psi}(x) = \sum_{j=1}^{\infty} \varphi_j(x)\hat{a}_j,$$

where  $\varphi_j(x) = \langle x|j\rangle$  is the wavefunction of the j-th one-particle eigenstate.

The field operator satisfy the anticommutation relations for fermions:

$$\{\hat{\Psi}(x), \hat{\Psi}^{\dagger}(y)\} = \delta(x - y) \{\hat{\Psi}^{\dagger}(x), \hat{\Psi}^{\dagger}(y)\} = \{\hat{\Psi}(x), \hat{\Psi}(y)\} = 0$$

And for bosons, the commutation relations:

$$\begin{split} [\hat{\Psi}(x), \hat{\Psi}^{\dagger}(y)] &= \delta(x - y) \\ [\hat{\Psi}^{\dagger}(x), \hat{\Psi}^{\dagger}(y)] &= [\hat{\Psi}(x), \hat{\Psi}(y)] = 0 \end{split}$$

The particle number operator is

$$\hat{N} = \int \mathrm{d}x \hat{\Psi}^{\dagger}(x) \hat{\Psi}(x) = \dots = \sum_{k=1}^{\infty} \hat{a}_k^{\dagger} \hat{a}_k$$

Calculating the first-quantized wavefunction for an N-particle system: Let  $|\Phi_N\rangle$  be a second quantized state for which

$$\hat{N} |\Phi_N\rangle = N |\Phi_N\rangle$$
.

The first-quantized wavefunction for this state is

$$\Phi(x_1, x_2, \dots, x_N) = \frac{1}{\sqrt{N!}} \left\langle 0 \left| \prod_{j=1}^N \hat{\Psi}(x_j) \right| \Phi_N \right\rangle.$$

# 4 Fock-space operators

If  $\hat{o}$  is a single-particle operator in first-quantization, then its second quantized form is

$$\hat{O} = \int dx \hat{\Psi}^{\dagger}(x) \hat{o} \hat{\Psi}(x)$$
$$= \sum_{j,k} \int dx \varphi_j^*(x) \hat{o} \varphi_k(x) \hat{a}_j^{\dagger} \hat{a}_k.$$

If  $\hat{o}$  is a two-particle operator in first-quantization, then its second-quantized form is

$$\hat{O} = \frac{1}{2} \int dx dx' \hat{\Psi}^{\dagger}(x) \hat{\Psi}^{\dagger}(x') \hat{o} \hat{\Psi}(x') \hat{\Psi}(x)$$

$$= \frac{1}{2} \sum_{i,j,k,l} \int dx dx' \varphi_i^*(x) \varphi_j^*(x') \hat{o} \varphi_k(x') \varphi_l(x) \hat{a}_i^{\dagger} \hat{a}_j^{\dagger} \hat{a}_k \hat{a}_l.$$

## 5 Green function method

#### 5.1 Grand canonical ensemble

$$\begin{split} \hat{H} &= \hat{H}_0 + \hat{H}_1 \\ \hat{K} &:= \hat{H} - \mu \hat{N} \\ \hat{K} &= \hat{K}_0 + \hat{K}_1 \\ \hat{K}_0 &= \hat{H}_0 - \mu \hat{N}, \ \hat{K}_1 = \hat{H}_1 \end{split}$$

The trace of an operator:

$$\operatorname{Tr}\left[\hat{A}\right] = \operatorname{Tr}\left[\hat{A}\right] = \sum_{\{n_i\}} \langle \dots, n_i, \dots, n_1 | \hat{A} | n_1, \dots, n_i, \dots \rangle,$$

where the sum is over the entire Fock-space, not just the N-particle subspace.

The grand canonical partition function is defined as

$$Z_G = e^{-\beta\Omega(T,V,\mu)} = \operatorname{Tr}\left[e^{-\beta\hat{K}}\right] = \sum_{\{n_i\}} \langle \dots, n_i, \dots, n_1|e^{-\beta\hat{K}}|n_1, \dots, n_i, \dots \rangle,$$

where  $\beta = (1/k_BT)$ .

The grand canonical density matrix is

$$\hat{\rho}_G = \frac{e^{-\beta \hat{K}}}{Z_G}.$$

The average of operator  $\hat{O}$  over the grand canonical ensemble is

$$\langle \hat{O} \rangle = \text{Tr} \left[ \hat{\rho}_G \hat{O} \right] = \frac{1}{Z_G} \text{Tr} \left[ e^{-\beta \hat{K}} \hat{O} \right].$$

## 5.2 Imaginary time and K-picture

The imaginary time is defined to be

$$\tau = -it$$
.

The imaginary time dependendent form of an operator is defined as

$$\hat{A}_K(\tau) = e^{\frac{\hat{K}\tau}{\hbar}} \hat{A} e^{-\frac{\hat{K}\tau}{\hbar}}$$

This is also called the K-picture of the operator. It can be shown that  $\hat{K}(\tau) = \hat{K}$ :

$$\left[f(\hat{K}), \hat{K}\right] = 0 \implies \left[e^{\frac{\hat{K}\tau}{\hbar}}, \hat{K}\right] = 0$$

Using the Baker-Campbell-Hausdorff formula:

$$\hat{K}(\tau) = e^{\frac{\hat{K}\tau}{\hbar}} \hat{K} e^{-\frac{\hat{K}\tau}{\hbar}} = \hat{K} + [e^{\frac{\hat{K}\tau}{\hbar}}, \hat{K}] + \frac{1}{2!} [e^{\frac{\hat{K}\tau}{\hbar}}, [e^{\frac{\hat{K}\tau}{\hbar}}, \hat{a}_k]] + \frac{1}{3!} [e^{\frac{\hat{K}\tau}{\hbar}}, [e^{\frac{\hat{K}\tau}{\hbar}}, [e^{\frac{\hat{K}\tau}{\hbar}}, \hat{K}]]] + \dots = \hat{K}$$

Properties:

• 
$$\frac{\mathrm{d}}{\mathrm{d}\tau}\hat{A}_K(\tau) = \frac{1}{\hbar}[\hat{K}, \hat{A}_K(\tau)]$$

• If 
$$\hat{C} = \hat{A}\hat{B}$$
, then  $\hat{C}(\tau) = \hat{A}(\tau)\hat{B}(\tau)$ 

• 
$$\hat{\Psi}(x,\tau) = e^{\frac{\hat{K}\tau}{\hbar}} \hat{\Psi}(x) e^{-\frac{\hat{K}\tau}{\hbar}} = \sum_{k} \varphi_k(x) \hat{a}_k(\tau)$$

• 
$$\hat{\Psi}^{\dagger}(x,\tau) = e^{\frac{\hat{K}\tau}{\hbar}} \hat{\Psi}^{\dagger}(x) e^{-\frac{\hat{K}\tau}{\hbar}} = \sum_{k} \varphi_{k}(x) \hat{a}_{k}^{\dagger}(\tau)$$

• 
$$[\hat{A}(\tau), \hat{B}(\tau)] = e^{\frac{\hat{K}\tau}{\hbar}} [\hat{A}, \hat{B}] e^{-\frac{\hat{K}\tau}{\hbar}}$$

## 6 Exercises

- 1. What is the first quantized wavefunction of  $\sum_{k} c_k \hat{a}_k^{\dagger} |0\rangle$ , where  $\hat{a}_k^{\dagger}$  is a fermionic creation operator?
- 2. Calculate the first-quantized wavefunction for  $\hat{a}_k^{\dagger} \hat{a}_l^{\dagger} |0\rangle$  for both fermionic and bosonic operators!
- 3. Consider 2 fermionic particles prepared in states

$$\psi_1(x_1) = \sum_k b_k \varphi_k(x_1)$$
$$\psi_2(x_2) = \sum_l c_l \varphi_l(x_2).$$

The state of the joint system in first quantization is

$$\Phi(x_1, x_2) = \frac{1}{\sqrt{2}} [\psi_1(x_1)\Psi_2(x_2) - \psi_1(x_2)\Psi_2(x_1)]$$

What is the Fock-space representation of  $\Phi(x_1, x_2)$ ? Verify Your result by converting it back to first-quantization using the formula!

Solution.

$$\psi_1(x_1) = \sum_k b_k \varphi_k(x_1) \to \sum_k b_k \hat{a}_k^{\dagger} |0\rangle$$

$$\psi_2(x_2) = \sum_l c_l \varphi_l(x_2) \to \sum_l c_l \hat{a}_k^{\dagger} |0\rangle$$

$$\Phi(x_1, x_2) = \sum_{k,l} b_k c_l \frac{1}{\sqrt{2}} [\varphi_k(x_1) \varphi_l(x_2) - \varphi_k(x_2) \varphi_l(x_1)] \to \sum_{k,l} b_k c_l \hat{a}_k^{\dagger} a_l^{\dagger} |0\rangle$$

- 4. Find the eigenvalues and eigenvectors of  $\hat{a}_k$  and  $\hat{a}_k^{\dagger}$ !
- 5.  $\hat{\Psi}^{\dagger}(x)|0\rangle = ?$
- 6.  $[\hat{\Psi}(x), \hat{N}] = ?$
- 7. Calculate the first-quantized wavefunction  $\Phi(x_1, x_2, x_3)$  for bosonic three-particle system prepared in state  $|2, 0, 1, 0, 0, \cdots\rangle$ .
- 8. Prove that

$$[\hat{a}_j, f(\hat{a}_j^{\dagger})] = \frac{\partial f(\hat{a}_j^{\dagger})}{\partial \hat{a}_j^{\dagger}}$$
$$[\hat{a}_j^{\dagger}, f(\hat{a}_j)] = -\frac{\partial f(\hat{a}_j)}{\partial \hat{a}_j}$$

Hint: Use the Taylor-expansion formula:

$$f(\hat{A}) = \sum_{k=1}^{\infty} \frac{1}{k!} f^{(k)}(0) \hat{A}^k$$

And the derivative is

$$\frac{\partial f(\hat{A})}{\partial A} = \sum_{k=1}^{\infty} \frac{1}{(k-1)!} f^{(k)}(0) \hat{A}^{k-1}$$

- 9. Consider a fermionic system. What is the Fock-space representation of  $\hat{S}_z$  and what is the meaning of this operator? What are the eigenstates of  $\hat{S}_z$ ?
- 10. Calculate Tr  $\left[\hat{N}\right]$  for bosonic particles! Solution.

$$\begin{split} \operatorname{Tr}\left[\hat{N}\right] &= \sum_{\{n_i\}} \left\langle \dots, n_i, \dots, n_1 \middle| \hat{N} \middle| n_1, \dots, n_i, \dots \right\rangle \\ &= \sum_{\{n_i\}} \left\langle \dots, n_i, \dots, n_1 \middle| \int \mathrm{d}x \hat{\Psi}^\dagger(x) \hat{\Psi}(x) \middle| n_1, \dots, n_i, \dots \right\rangle \\ &= \sum_{\{n_i\}} \left\langle \dots, n_i, \dots, n_1 \middle| \int \mathrm{d}x \sum_{k,l} \varphi_k^*(x) \varphi_l(x) \hat{a}_k^\dagger \hat{a}_l \middle| n_1, \dots, n_i, \dots \right\rangle \\ &= \sum_{\{n_i\}} \sum_{k,l} \int \mathrm{d}x \varphi_k^*(x) \varphi_l(x) \left\langle \dots, n_i, \dots, n_1 \middle| \hat{a}_k^\dagger \hat{a}_l \middle| n_1, \dots, n_i, \dots \right\rangle \\ &= \sum_{\{n_i\}} \sum_{k,l} \int \mathrm{d}x \varphi_k^*(x) \varphi_l(x) \left\langle \dots, n_i, \dots, n_1 \middle| \hat{a}_k^\dagger \sqrt{n_l} \middle| n_1, \dots, n_l - 1, \dots \right\rangle \\ &= \sum_{\{n_i\}} \sum_{k,l} \int \mathrm{d}x \varphi_k^*(x) \varphi_l(x) \left\langle \dots, n_i, \dots, n_1 \middle| \sqrt{n_k + 1} \sqrt{n_l} \middle| n_1, \dots, n_k + 1, \dots, n_l - 1, \dots \right\rangle \\ &= \sum_{\{n_i\}} \sum_{k,l} \sqrt{n_k + 1} \sqrt{n_l} \int \mathrm{d}x \varphi_k^*(x) \varphi_l(x) \left\langle \dots, n_i, \dots, n_1 \middle| n_1, \dots, n_k + 1, \dots, n_l - 1, \dots \right\rangle \\ &= \sum_{\{n_i\}} \sum_{k,l} \sqrt{n_k + 1} \sqrt{n_l} \int \mathrm{d}x \varphi_k^*(x) \varphi_l(x) \delta_{kl} \\ &= \sum_{\{n_i\}} \sum_{k,l} n_k \underbrace{\int \mathrm{d}x \varphi_k^*(x) \varphi_k(x)}_{=1} \\ &= \sum_{\{n_i\}} \sum_{k,l} n_k \underbrace{\int \mathrm{d}x \varphi_k^*(x) \varphi_k(x)}_{=1} \\ &= \sum_{\{n_i\}} \sum_{k,l} n_k \underbrace{\int \mathrm{d}x \varphi_k^*(x) \varphi_k(x)}_{=1} \end{aligned}$$

11. Calculate Tr  $\left[\hat{H}_0\right]$ , where

$$\hat{H}_0 = \int dx \hat{\Psi}^{\dagger}(x) \left[ -\frac{\hbar^2}{2M} \nabla^2 + U(x) \right] \hat{\Psi}(x)$$

12. Calculate  $\langle \hat{n}_k \rangle$  for free non-interacting particles! Solution. For non-interacting particles,

$$\hat{K} = \hat{K}_0 = \int \mathrm{d}x \hat{\Psi}^{\dagger}(x) \left[ -\frac{\hbar^2}{2M} \nabla^2 + U(x) - \mu \right] \hat{\Psi}(x)$$

$$\langle \hat{n}_k \rangle = \langle \hat{a}_k^\dagger \hat{a}_k \rangle = \frac{1}{Z_G} \mathrm{Tr} \left[ e^{-\beta \hat{K}_0} \hat{a}_k^\dagger \hat{a}_k \right] = \frac{1}{Z_G} \mathrm{Tr} \left[ \hat{a}_k e^{-\beta \hat{K}_0} \hat{a}_k^\dagger \right] = \frac{1}{Z_G} \mathrm{Tr} \left[ e^{-\beta \hat{K}_0} e^{\beta \hat{K}_0} \hat{a}_k e^{-\beta \hat{K}_0} \hat{a}_k^\dagger \right].$$

We can use the imaginary time-dependence of operators (K-picture):

$$\hat{a}_k(\tau) = e^{\frac{\hat{K}\tau}{\hbar}} \hat{a}_k e^{-\frac{\hat{K}\tau}{\hbar}} \Longrightarrow \hat{a}_k(\beta \hbar) = e^{\beta \hat{K}_0} \hat{a}_k e^{-\beta \hat{K}_0}$$
$$\frac{\mathrm{d}}{\mathrm{d}\tau} \hat{a}_k(\tau) = \frac{1}{\hbar} [\hat{K}_0, \hat{a}_k(\tau)]$$

Using the identity  $K_0 = K_0(\tau)$ :

$$\begin{split} \frac{\mathrm{d}}{\mathrm{d}\tau} \hat{a}_k(\tau) &= \frac{1}{\hbar} [\hat{K}_0(\tau), \hat{a}_k(\tau)] \\ &= \frac{1}{\hbar} \left[ \sum_{l,m} \int \mathrm{d}x \varphi_l^*(x) \left( -\frac{\hbar^2}{2M} \nabla^2 + U(x) - \mu \right) \varphi_m(x) \hat{a}_l^{\dagger}(\tau) \hat{a}_m(\tau), \hat{a}_k(\tau) \right] \\ &= \frac{1}{\hbar} \left[ \sum_{l} a_l^{\dagger}(\tau) \hat{a}_l(\tau) (e_l - \mu), \hat{a}_k(\tau) \right] = \frac{1}{\hbar} \sum_{l} (e_l - \mu) \left[ a_l^{\dagger}(\tau) \hat{a}_l(\tau), \hat{a}_k(\tau) \right]. \end{split}$$

$$\begin{split} [\hat{a}_l^{\dagger}(\tau)\hat{a}_l(\tau),\hat{a}_k(\tau)] &= \hat{a}_l^{\dagger}(\tau)\hat{a}_l(\tau)\hat{a}_k(\tau) - \hat{a}_k(\tau)\hat{a}_l^{\dagger}(\tau)\hat{a}_l(\tau) \\ &= e^{\frac{\hat{K}\tau}{\hbar}}\hat{a}_l^{\dagger}\hat{a}_l\hat{a}_k e^{-\frac{\hat{K}\tau}{\hbar}} - e^{\frac{\hat{K}\tau}{\hbar}}\hat{a}_k\hat{a}_l^{\dagger}\hat{a}_l e^{-\frac{\hat{K}\tau}{\hbar}} \\ &= e^{\frac{\hat{K}\tau}{\hbar}}\hat{a}_l^{\dagger}\hat{a}_l\hat{a}_k e^{-\frac{\hat{K}\tau}{\hbar}} - e^{\frac{\hat{K}\tau}{\hbar}}(\delta_{kl} - \hat{a}_l^{\dagger}\hat{a}_k)\hat{a}_l e^{-\frac{\hat{K}\tau}{\hbar}} \\ &= e^{\frac{\hat{K}\tau}{\hbar}}\hat{a}_l^{\dagger}\hat{a}_l\hat{a}_k e^{-\frac{\hat{K}\tau}{\hbar}} - e^{\frac{\hat{K}\tau}{\hbar}}(\delta_{kl}\hat{a}_l - \hat{a}_l^{\dagger}\hat{a}_l\hat{a}_k) e^{-\frac{\hat{K}\tau}{\hbar}} \\ &= -e^{\frac{\hat{K}\tau}{\hbar}}\hat{b}_{kl}\hat{a}_l e^{-\frac{\hat{K}\tau}{\hbar}}. \end{split}$$

Using this result, we get

$$\frac{\mathrm{d}}{\mathrm{d}\tau}\hat{a}_k(\tau) = -\frac{1}{\hbar} \sum_{l} (e_l - \mu)\hat{a}_k(\tau)$$

$$\implies \hat{a}_k(\tau) = \hat{a}_k e^{-\frac{(e_l - \mu)}{\hbar}\tau}$$

$$\begin{split} \langle \hat{n}_k \rangle &= \frac{1}{Z_G} \mathrm{Tr} \left[ e^{-\beta \hat{K}_0} e^{\beta \hat{K}_0} \hat{a}_k e^{-\beta \hat{K}_0} \hat{a}_k^{\dagger} \right] = \frac{1}{Z_G} \mathrm{Tr} \left[ e^{-\beta \hat{K}_0} \hat{a}_k (\beta \hbar) \hat{a}_k^{\dagger} \right] \\ &= \frac{1}{Z_G} \mathrm{Tr} \left[ e^{-\beta \hat{K}_0} (1 \pm \hat{a}_k^{\dagger} \hat{a}_k (\beta \hbar)) \right] \\ &= \frac{1}{Z_G} \mathrm{Tr} \left[ e^{-\beta \hat{K}_0} \right] \pm \frac{1}{Z_G} \mathrm{Tr} \left[ e^{-\beta \hat{K}_0} \hat{a}_k^{\dagger} \hat{a}_k (\beta \hbar) \right] \\ &= \frac{1}{Z_G} \mathrm{Tr} \left[ e^{-\beta \hat{K}_0} \right] \pm \frac{1}{Z_G} \mathrm{Tr} \left[ e^{-\beta \hat{K}_0} \hat{a}_k^{\dagger} \hat{a}_k e^{-\beta (e_l - \mu)} \right] \\ &= 1 \pm \langle \hat{n}_k \rangle e^{-\beta (e_l - \mu)} \end{split}$$

$$\implies \langle \hat{n}_k \rangle = \frac{1}{1 \mp e^{-\beta(e_l - \mu)}}.$$

- 13. Calculate  $\langle \hat{N} \rangle$  for bosonic particles!
- 14. Do something
- 15. asdfghjk

$$(!), (\nabla), \mathcal{G}(x, \tau, x', \tau')$$