

# Statistical physics cheat sheet

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# 1 Fock-states

$$\begin{aligned}
|0\rangle &= |0, 0, 0, \dots\rangle \\
\langle 0|0\rangle &= \langle \dots, 0, 0, 0|0, 0, 0, \dots\rangle = 1 \\
\langle \dots, n'_i, \dots, n'_2, n'_1|n_1, n_2, \dots, n_i, \dots\rangle &= \dots \times \delta_{n_1 n'_1} \times \delta_{n_2 n'_2} \times \dots \times \delta_{n_i n'_i} \times \dots
\end{aligned}$$

## 2 Creation and annihilation operators

### 2.1 Fermionic creation and annihilation operators

$$\begin{aligned}
\hat{a}_k^\dagger |n_1, \dots, n_k, \dots\rangle &= \sqrt{1 - n_k} (-1)^{\Sigma_k} |n_1, \dots, 1 + n_k, \dots\rangle \\
\hat{a}_k |n_1, \dots, n_k, \dots\rangle &= \sqrt{n_k} (-1)^{\Sigma_k} |n_1, \dots, 1 - n_k, \dots\rangle \\
n_k &\in \{0, 1\}, \quad \Sigma_k = \sum_{j=1}^{k-1} n_j \\
\hat{a}_k^\dagger |n_1, \dots, n_{k-1}, 1, n_{k+1}, \dots\rangle &= 0 \\
\hat{a}_k |n_1, \dots, n_{k-1}, 0, n_{k+1}, \dots\rangle &= 0 \\
\hat{a}_k &= (\hat{a}_k^\dagger)^\dagger
\end{aligned}$$

Anticommutation relations:

$$\begin{aligned}
\{\hat{a}_k, \hat{a}_l^\dagger\} &= \hat{a}_k \hat{a}_l^\dagger + \hat{a}_l^\dagger \hat{a}_k = \delta_{kl} \\
\{\hat{a}_k, \hat{a}_l\} &= \{\hat{a}_k^\dagger, \hat{a}_l^\dagger\} = 0
\end{aligned}$$

Creating fermionic state from vacuum:

$$|n_1, \dots, n_k, \dots, n_N\rangle = (\hat{a}_1^\dagger)^{n_1} \times (\hat{a}_2^\dagger)^{n_2} \times \dots \times (\hat{a}_N^\dagger)^{n_N} |0\rangle ,$$

where  $n_1, n_2, \dots, n_N \in \{0, 1\}$ .

### 2.2 Bosonic creation and annihilation operators

$$\begin{aligned}
\hat{a}_k^\dagger |n_1, \dots, n_k, \dots\rangle &= \sqrt{1 + n_k} |n_1, \dots, n_k + 1, \dots\rangle \\
\hat{a}_k |n_1, \dots, n_k, \dots\rangle &= \sqrt{n_k} |n_1, \dots, n_k - 1, \dots\rangle \\
n_k &\in \{0, 1, \dots\} \\
\hat{a}_k^\dagger |n_1, \dots, n_{k-1}, 1, n_{k+1}, \dots\rangle &= 0 \\
\hat{a}_k |n_1, \dots, n_{k-1}, 0, n_{k+1}, \dots\rangle &= 0
\end{aligned}$$

Commutation relations:

$$\begin{aligned}
[\hat{a}_k, \hat{a}_l^\dagger] &= \hat{a}_k \hat{a}_l^\dagger - \hat{a}_l^\dagger \hat{a}_k = \delta_{kl} \\
[\hat{a}_k, \hat{a}_l] &= [\hat{a}_k^\dagger, \hat{a}_l^\dagger] = 0
\end{aligned}$$

Creating bosonic state from vacuum:

$$|n_1, \dots, n_k, \dots, n_N\rangle = \frac{(\hat{a}_1^\dagger)^{n_1}}{\sqrt{n_1!}} \times \frac{(\hat{a}_2^\dagger)^{n_2}}{\sqrt{n_2!}} \times \dots \times \frac{(\hat{a}_N^\dagger)^{n_N}}{\sqrt{n_N!}} |0\rangle ,$$

where  $n_1, n_2, \dots, n_N \in \{0, 1, \dots\}$ . **For both bosons and fermions:**

- $\hat{n}_k = \hat{a}_k^\dagger \hat{a}_k$  gives the number of particles in the  $k$ -th eigenstate:

$$\hat{n}_k |n_1, \dots, n_k, \dots\rangle = n_k |n_1, \dots, n_k, \dots\rangle.$$

- $\hat{N} = \sum_k \hat{n}_k$  gives the total number of particles:

$$\hat{N} |n_1, \dots, n_k, \dots\rangle = \sum_{j=1}^{\infty} n_j |n_1, \dots, n_k, \dots\rangle = N |n_1, \dots, n_k, \dots\rangle.$$

- $\langle 0|0\rangle = 1$
- $\langle 0|\hat{a}_k^\dagger = 0$
- $\hat{a}_k|0\rangle = 0$

### 3 Field operators

$$\begin{aligned}\hat{\Psi}^\dagger(x) &= \sum_{j=1}^{\infty} \varphi_j^*(x) \hat{a}_j^\dagger \\ \hat{\Psi}(x) &= \sum_{j=1}^{\infty} \varphi_j(x) \hat{a}_j,\end{aligned}$$

where  $\varphi_j(x) = \langle x|j\rangle$  is the wavefunction of the  $j$ -th one-particle eigenstate.

The field operator satisfy the anticommutation relations for fermions:

$$\begin{aligned}\{\hat{\Psi}(x), \hat{\Psi}^\dagger(y)\} &= \delta(x - y) \\ \{\hat{\Psi}^\dagger(x), \hat{\Psi}^\dagger(y)\} &= \{\hat{\Psi}(x), \hat{\Psi}(y)\} = 0\end{aligned}$$

And for bosons, the commutation relations:

$$\begin{aligned}[\hat{\Psi}(x), \hat{\Psi}^\dagger(y)] &= \delta(x - y) \\ [\hat{\Psi}^\dagger(x), \hat{\Psi}^\dagger(y)] &= [\hat{\Psi}(x), \hat{\Psi}(y)] = 0\end{aligned}$$

The particle number operator is

$$\hat{N} = \int dx \hat{\Psi}^\dagger(x) \hat{\Psi}(x) = \dots = \sum_{k=1}^{\infty} \hat{a}_k^\dagger \hat{a}_k$$

Calculating the first-quantized wavefunction for an  $N$ -particle system: Let  $|\Phi_N\rangle$  be a second quantized state for which

$$\hat{N} |\Phi_N\rangle = N |\Phi_N\rangle.$$

The first-quantized wavefunction for this state is

$$\Phi(x_1, x_2, \dots, x_N) = \frac{1}{\sqrt{N!}} \left\langle 0 \left| \prod_{j=1}^N \hat{\Psi}(x_j) \right| \Phi_N \right\rangle.$$

## 4 Fock-space operators

If  $\hat{o}$  is a single-particle operator in first-quantization, then its second quantized form is

$$\begin{aligned}\hat{O} &= \int dx \hat{\Psi}^\dagger(x) \hat{o} \hat{\Psi}(x) \\ &= \sum_{j,k} \int dx \varphi_j^*(x) \hat{o} \varphi_k(x) \hat{a}_j^\dagger \hat{a}_k.\end{aligned}$$

If  $\hat{o}$  is a two-particle operator in first-quantization, then its second-quantized form is

$$\begin{aligned}\hat{O} &= \frac{1}{2} \int dx dx' \hat{\Psi}^\dagger(x) \hat{\Psi}^\dagger(x') \hat{o} \hat{\Psi}(x') \hat{\Psi}(x) \\ &= \frac{1}{2} \sum_{i,j,k,l} \int dx dx' \varphi_i^*(x) \varphi_j^*(x') \hat{o} \varphi_k(x') \varphi_l(x) \hat{a}_i^\dagger \hat{a}_j^\dagger \hat{a}_k \hat{a}_l.\end{aligned}$$

## 5 Green function method

### 5.1 Grand canonical ensemble

$$\begin{aligned}\hat{H} &= \hat{H}_0 + \hat{H}_1 \\ \hat{K} &:= \hat{H} - \mu \hat{N} \\ \hat{K} &= \hat{K}_0 + \hat{K}_1 \\ \hat{K}_0 &= \hat{H}_0 - \mu \hat{N}, \quad \hat{K}_1 = \hat{H}_1\end{aligned}$$

The trace of an operator:

$$\text{Tr} [\hat{A}] = \text{Tr} [\hat{A}] = \sum_{\{n_i\}} \langle \dots, n_i, \dots, n_1 | \hat{A} | n_1, \dots, n_i, \dots \rangle,$$

where the sum is over the entire Fock-space, not just the  $N$ -particle subspace.

The grand canonical partition function is defined as

$$Z_G = e^{-\beta \Omega(T, V, \mu)} = \text{Tr} [e^{-\beta \hat{K}}] = \sum_{\{n_i\}} \langle \dots, n_i, \dots, n_1 | e^{-\beta \hat{K}} | n_1, \dots, n_i, \dots \rangle,$$

where  $\beta = (1/k_B T)$ , and

$$\Omega(T, V, \mu) = -k_B T \ln Z_G$$

is the grand potential.

The grand canonical density matrix is

$$\hat{\rho}_G = \frac{e^{-\beta \hat{K}}}{Z_G}.$$

The average of operator  $\hat{O}$  over the grand canonical ensemble is

$$\langle \hat{O} \rangle = \text{Tr} [\hat{\rho}_G \hat{O}] = \frac{1}{Z_G} \text{Tr} [e^{-\beta \hat{K}} \hat{O}].$$

### 5.2 Imaginary time and $K$ -picture

The imaginary time is defined to be

$$\tau = -it.$$

The imaginary time dependent form of an operator is defined as

$$\hat{A}_K(\tau) = e^{\frac{\hat{K}\tau}{\hbar}} \hat{A} e^{-\frac{\hat{K}\tau}{\hbar}}$$

This is also called the  $K$ -picture of the operator. It can be shown that  $\hat{K}(\tau) = \hat{K}$ :

$$\left[ f(\hat{K}), \hat{K} \right] = 0 \implies \left[ e^{\frac{\hat{K}\tau}{\hbar}}, \hat{K} \right] = 0$$

Using the Baker-Campbell-Hausdorff formula:

$$\hat{K}(\tau) = e^{\frac{\hat{K}\tau}{\hbar}} \hat{K} e^{-\frac{\hat{K}\tau}{\hbar}} = \hat{K} + \left[ e^{\frac{\hat{K}\tau}{\hbar}}, \hat{K} \right] + \frac{1}{2!} \left[ e^{\frac{\hat{K}\tau}{\hbar}}, \left[ e^{\frac{\hat{K}\tau}{\hbar}}, \hat{K} \right] \right] + \frac{1}{3!} \left[ e^{\frac{\hat{K}\tau}{\hbar}}, \left[ e^{\frac{\hat{K}\tau}{\hbar}}, \left[ e^{\frac{\hat{K}\tau}{\hbar}}, \hat{K} \right] \right] \right] + \dots = \hat{K}$$

Properties:

- $\frac{d}{d\tau} \hat{A}_K(\tau) = \frac{1}{\hbar} [\hat{K}, \hat{A}_K(\tau)]$
- If  $\hat{C} = \hat{A}\hat{B}$ , then  $\hat{C}(\tau) = \hat{A}(\tau)\hat{B}(\tau)$
- $\hat{\Psi}(x, \tau) = e^{\frac{\hat{K}\tau}{\hbar}} \hat{\Psi}(x) e^{-\frac{\hat{K}\tau}{\hbar}} = \sum_k \varphi_k(x) \hat{a}_k(\tau)$
- $\hat{\Psi}^\dagger(x, \tau) = e^{\frac{\hat{K}\tau}{\hbar}} \hat{\Psi}^\dagger(x) e^{-\frac{\hat{K}\tau}{\hbar}} = \sum_k \varphi_k(x) \hat{a}_k^\dagger(\tau)$
- $[\hat{A}(\tau), \hat{B}(\tau)] = e^{\frac{\hat{K}\tau}{\hbar}} [\hat{A}, \hat{B}] e^{-\frac{\hat{K}\tau}{\hbar}}$

## 6 Exercises

1. What is the first quantized wavefunction of  $\sum_k c_k \hat{a}_k^\dagger |0\rangle$ , where  $\hat{a}_k^\dagger$  is a fermionic creation operator?
2. Calculate the first-quantized wavefunction for  $\hat{a}_k^\dagger \hat{a}_l^\dagger |0\rangle$  for both fermionic and bosonic operators!
3. Consider 2 fermionic particles prepared in states

$$\begin{aligned} \psi_1(x_1) &= \sum_k b_k \varphi_k(x_1) \\ \psi_2(x_2) &= \sum_l c_l \varphi_l(x_2). \end{aligned}$$

The state of the joint system in first quantization is

$$\Phi(x_1, x_2) = \frac{1}{\sqrt{2}} [\psi_1(x_1)\psi_2(x_2) - \psi_1(x_2)\psi_2(x_1)]$$

What is the Fock-space representation of  $\Phi(x_1, x_2)$ ? Verify Your result by converting it back to first-quantization using the formula!

*Solution.*

$$\begin{aligned} \psi_1(x_1) &= \sum_k b_k \varphi_k(x_1) \rightarrow \sum_k b_k \hat{a}_k^\dagger |0\rangle \\ \psi_2(x_2) &= \sum_l c_l \varphi_l(x_2) \rightarrow \sum_l c_l \hat{a}_l^\dagger |0\rangle \\ \Phi(x_1, x_2) &= \sum_{k,l} b_k c_l \frac{1}{\sqrt{2}} [\varphi_k(x_1)\varphi_l(x_2) - \varphi_k(x_2)\varphi_l(x_1)] \rightarrow \sum_{k,l} b_k c_l \hat{a}_k^\dagger \hat{a}_l^\dagger |0\rangle \end{aligned}$$

4. Find the eigenvalues and eigenvectors of  $\hat{a}_k$  and  $\hat{a}_k^\dagger$ !
5.  $\hat{\Psi}^\dagger(x) |0\rangle = ?$
6.  $[\hat{\Psi}(x), \hat{N}] = ?$
7. Calculate the first-quantized wavefunction  $\Phi(x_1, x_2, x_3)$  for bosonic three-particle system prepared in state  $|2, 0, 1, 0, 0, \dots\rangle$ .

8. Prove that

$$e^{-\beta \sum_k (\varepsilon_k - \mu) \hat{a}_k^\dagger \hat{a}_k} |n_1, \dots, n_k, \dots\rangle = e^{-\beta \sum_k (\varepsilon_k - \mu) n_k} |n_1, \dots, n_k, \dots\rangle$$

9. Prove that

$$[\hat{a}_j, f(\hat{a}_j^\dagger)] = \frac{\partial f(\hat{a}_j^\dagger)}{\partial \hat{a}_j^\dagger}$$

$$[\hat{a}_j^\dagger, f(\hat{a}_j)] = -\frac{\partial f(\hat{a}_j)}{\partial \hat{a}_j}$$

Hint: Use the Taylor-expansion formula:

$$f(\hat{A}) = \sum_{k=1}^{\infty} \frac{1}{k!} f^{(k)}(0) \hat{A}^k$$

And the derivative is

$$\frac{\partial f(\hat{A})}{\partial A} = \sum_{k=1}^{\infty} \frac{1}{(k-1)!} f^{(k)}(0) \hat{A}^{k-1}$$

10. Consider a fermionic system. What is the Fock-space representation of  $\hat{S}_z$  and what is the meaning of this operator? What are the eigenstates of  $\hat{S}_z$ ?
11. Calculate  $\text{Tr} [\hat{N}]$  for bosonic particles!

*Solution.*

$$\begin{aligned}
\text{Tr} [\hat{N}] &= \sum_{\{n_i\}} \langle \dots, n_i, \dots, n_1 | \hat{N} | n_1, \dots, n_i, \dots \rangle \\
&= \sum_{\{n_i\}} \left\langle \dots, n_i, \dots, n_1 \left| \int dx \hat{\Psi}^\dagger(x) \hat{\Psi}(x) \right| n_1, \dots, n_i, \dots \right\rangle \\
&= \sum_{\{n_i\}} \left\langle \dots, n_i, \dots, n_1 \left| \int dx \sum_{k,l} \varphi_k^*(x) \varphi_l(x) \hat{a}_k^\dagger \hat{a}_l \right| n_1, \dots, n_i, \dots \right\rangle \\
&= \sum_{\{n_i\}} \sum_{k,l} \int dx \varphi_k^*(x) \varphi_l(x) \langle \dots, n_i, \dots, n_1 | \hat{a}_k^\dagger \hat{a}_l | n_1, \dots, n_i, \dots \rangle \\
&= \sum_{\{n_i\}} \sum_{k,l} \int dx \varphi_k^*(x) \varphi_l(x) \langle \dots, n_i, \dots, n_1 | \hat{a}_k^\dagger \sqrt{n_l} | n_1, \dots, n_l - 1, \dots \rangle \\
&= \sum_{\{n_i\}} \sum_{k,l} \int dx \varphi_k^*(x) \varphi_l(x) \langle \dots, n_i, \dots, n_1 | \sqrt{n_k + 1} \sqrt{n_l} | n_1, \dots, n_k + 1, \dots, n_l - 1, \dots \rangle \\
&= \sum_{\{n_i\}} \sum_{k,l} \sqrt{n_k + 1} \sqrt{n_l} \int dx \varphi_k^*(x) \varphi_l(x) \langle \dots, n_i, \dots, n_1 | n_1, \dots, n_k + 1, \dots, n_l - 1, \dots \rangle \\
&= \sum_{\{n_i\}} \sum_{k,l} \sqrt{n_k + 1} \sqrt{n_l} \int dx \varphi_k^*(x) \varphi_l(x) \delta_{kl} \\
&= \sum_{\{n_i\}} \sum_k n_k \underbrace{\int dx \varphi_k^*(x) \varphi_k(x)}_{=1} \\
&= \sum_{\{n_i\}} \sum_k n_k
\end{aligned}$$

12. Calculate  $\text{Tr} [\hat{H}_0]$ , where

$$\hat{H}_0 = \int dx \hat{\Psi}^\dagger(x) \left[ -\frac{\hbar^2}{2M} \nabla^2 + U(x) \right] \hat{\Psi}(x)$$

13. Calculate  $\langle \hat{n}_k \rangle$  for free non-interacting particles!

*Solution.* For non-interacting particles,

$$\begin{aligned}
\hat{K} &= \hat{K}_0 = \int dx \hat{\Psi}^\dagger(x) \left[ -\frac{\hbar^2}{2M} \nabla^2 + U(x) - \mu \right] \hat{\Psi}(x) \\
\langle \hat{n}_k \rangle &= \langle \hat{a}_k^\dagger \hat{a}_k \rangle = \frac{1}{Z_G} \text{Tr} \left[ e^{-\beta \hat{K}_0} \hat{a}_k^\dagger \hat{a}_k \right] = \frac{1}{Z_G} \text{Tr} \left[ \hat{a}_k e^{-\beta \hat{K}_0} \hat{a}_k^\dagger \right] = \frac{1}{Z_G} \text{Tr} \left[ e^{-\beta \hat{K}_0} e^{\beta \hat{K}_0} \hat{a}_k e^{-\beta \hat{K}_0} \hat{a}_k^\dagger \right].
\end{aligned}$$

We can use the imaginary time-dependence of operators ( $K$ -picture):

$$\begin{aligned}
\hat{a}_k(\tau) &= e^{\frac{\hat{K}\tau}{\hbar}} \hat{a}_k e^{-\frac{\hat{K}\tau}{\hbar}} \implies \hat{a}_k(\beta\hbar) = e^{\beta \hat{K}_0} \hat{a}_k e^{-\beta \hat{K}_0} \\
\frac{d}{d\tau} \hat{a}_k(\tau) &= \frac{1}{\hbar} [\hat{K}_0, \hat{a}_k(\tau)]
\end{aligned}$$

Using the identity  $K_0 = K_0(\tau)$ :

$$\begin{aligned}
\frac{d}{d\tau} \hat{a}_k(\tau) &= \frac{1}{\hbar} [\hat{K}_0(\tau), \hat{a}_k(\tau)] \\
&= \frac{1}{\hbar} \left[ \sum_{l,m} \int dx \varphi_l^*(x) \left( -\frac{\hbar^2}{2M} \nabla^2 + U(x) - \mu \right) \varphi_m(x) \hat{a}_l^\dagger(\tau) \hat{a}_m(\tau), \hat{a}_k(\tau) \right] \\
&= \frac{1}{\hbar} \left[ \sum_l a_l^\dagger(\tau) \hat{a}_l(\tau) (e_l - \mu), \hat{a}_k(\tau) \right] = \frac{1}{\hbar} \sum_l (e_l - \mu) \left[ a_l^\dagger(\tau) \hat{a}_l(\tau), \hat{a}_k(\tau) \right].
\end{aligned}$$

$$\begin{aligned}
[\hat{a}_l^\dagger(\tau) \hat{a}_l(\tau), \hat{a}_k(\tau)] &= \hat{a}_l^\dagger(\tau) \hat{a}_l(\tau) \hat{a}_k(\tau) - \hat{a}_k(\tau) \hat{a}_l^\dagger(\tau) \hat{a}_l(\tau) \\
&= e^{\frac{\hat{K}\tau}{\hbar}} \hat{a}_l^\dagger \hat{a}_l \hat{a}_k e^{-\frac{\hat{K}\tau}{\hbar}} - e^{\frac{\hat{K}\tau}{\hbar}} \hat{a}_k \hat{a}_l^\dagger \hat{a}_l e^{-\frac{\hat{K}\tau}{\hbar}} \\
&= e^{\frac{\hat{K}\tau}{\hbar}} \hat{a}_l^\dagger \hat{a}_l \hat{a}_k e^{-\frac{\hat{K}\tau}{\hbar}} - e^{\frac{\hat{K}\tau}{\hbar}} (\delta_{kl} - \hat{a}_l^\dagger \hat{a}_k) \hat{a}_l e^{-\frac{\hat{K}\tau}{\hbar}} \\
&= e^{\frac{\hat{K}\tau}{\hbar}} \hat{a}_l^\dagger \hat{a}_l \hat{a}_k e^{-\frac{\hat{K}\tau}{\hbar}} - e^{\frac{\hat{K}\tau}{\hbar}} (\delta_{kl} \hat{a}_l - \hat{a}_l^\dagger \hat{a}_l \hat{a}_k) e^{-\frac{\hat{K}\tau}{\hbar}} \\
&= -e^{\frac{\hat{K}\tau}{\hbar}} \delta_{kl} \hat{a}_l e^{-\frac{\hat{K}\tau}{\hbar}}.
\end{aligned}$$

Using this result, we get

$$\begin{aligned}
\frac{d}{d\tau} \hat{a}_k(\tau) &= -\frac{1}{\hbar} \sum_l (e_l - \mu) \hat{a}_k(\tau) \\
\implies \hat{a}_k(\tau) &= \hat{a}_k e^{-\frac{(e_l - \mu)}{\hbar} \tau}
\end{aligned}$$

$$\begin{aligned}
\langle \hat{n}_k \rangle &= \frac{1}{Z_G} \text{Tr} \left[ e^{-\beta \hat{K}_0} e^{\beta \hat{K}_0} \hat{a}_k e^{-\beta \hat{K}_0} \hat{a}_k^\dagger \right] = \frac{1}{Z_G} \text{Tr} \left[ e^{-\beta \hat{K}_0} \hat{a}_k(\beta \hbar) \hat{a}_k^\dagger \right] \\
&= \frac{1}{Z_G} \text{Tr} \left[ e^{-\beta \hat{K}_0} (1 \pm \hat{a}_k^\dagger \hat{a}_k(\beta \hbar)) \right] \\
&= \frac{1}{Z_G} \text{Tr} \left[ e^{-\beta \hat{K}_0} \right] \pm \frac{1}{Z_G} \text{Tr} \left[ e^{-\beta \hat{K}_0} \hat{a}_k^\dagger \hat{a}_k(\beta \hbar) \right] \\
&= \frac{1}{Z_G} \text{Tr} \left[ e^{-\beta \hat{K}_0} \right] \pm \frac{1}{Z_G} \text{Tr} \left[ e^{-\beta \hat{K}_0} \hat{a}_k^\dagger \hat{a}_k e^{-\beta(e_l - \mu)} \right] \\
&= 1 \pm \langle \hat{n}_k \rangle e^{-\beta(e_l - \mu)} \\
\implies \langle \hat{n}_k \rangle &= \frac{1}{1 \mp e^{-\beta(e_l - \mu)}}.
\end{aligned}$$

14. Calculate  $\langle \hat{N} \rangle$  for bosonic particles!

15. Do something

16. asdfghjk

$$(\circledast), (\nabla), \mathcal{G}(x, \tau, x', \tau')$$