

Exercise 3: Deep Learning

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December 26, 2023

Problem 1: Neural Chatbot

Neural Dialog Model are Sequence-to-Sequence (Seq2Seq) models that produce conversational response given the dialog history. In this assignment we will build a simple single turn conversations to make based on the data. In this assignment you will implement,

- Seq2Seq encoder-decoder model base on LSTM model
- Seq2Seq model with attention mechanism
- Greedy search decoding algorithms

In this exercise, we use [Cornell Movie Dialog Corpus](#) to train the model. However, it is optional to train the model on a larger corpus to get a better performance.

See the colab file for more details.

Problem 2: Multi-Head Attention

Let $X \in \mathbb{R}^{N \times d}$ be the input of attention layer. For example, you can assume that there are N token and each of them has a d dimension embedding. Suppose that we have H heads indexed by $h = 1, \dots, H$ of the form

$$H_h = \text{Attention}(Q_h, K_h.V_h) = \text{Softmax}\left[\frac{Q_h K_h^T}{\sqrt{d_h}}\right] V_h$$

where $Q_h, K_h, V_h \in \mathbb{R}^{N \times d_h}$. Here, we have defined separate query, key, and value matrices for each head using

$$Q_h = XW_h^{(q)}, K_h = XW_h^{(k)}, V_h = XW_h^{(v)}$$

The heads are first concatenated into a single matrix, and the result is then linearly transformed using a matrix $W^{(o)}$ to give a combined output in the form

$$O = \text{Concat}[H_1, \dots, H_H]W^{(o)}$$

- i) Consider matrices $W_h^{(q)}$ and $W_h^{(k)}$, where all elements are independent and identically distributed (i.i.d.) random variables with a mean of 0 and a variance of σ^2 . Additionally, let x_i and x_j in $\mathbb{R}^{1 \times d}$ represent the embeddings of tokens i and j , satisfying $\|x_i\|^2 = \|x_j\|^2 = 1$.

Define $q_i = x_i W_h^{(q)}$ and $k_j = x_j W_h^{(k)}$ as the query for token i and the key for token j . Introduce the similarity measure between query i and key j as

$$\alpha_{i,j} = \frac{q_i k_j^T}{\sqrt{d_h}}$$

where d_h is the dimensionality of the hidden space.

Now, compute the expected value $E[\alpha_{i,j}]$ and the variance $\Sigma_{i,j} = \text{var}[\alpha_{i,j}]$. Finally, elucidate the rationale behind incorporating the scaling factor $\sqrt{d_h}$ by using central limit theorem.

- ii) Let $d_h = d/H$ for all $h = 1, \dots, H$. How many multiplication is required to compute the output O ? You can assume that running time of computing e^x is $O(1)$.

Hint: The time complexity of matrix multiplication for matrices A of size $m \times n$ and B of size $n \times p$ is given by $O(m \cdot n \cdot p)$. The resulting product matrix $C = A \cdot B$ will have dimensions $m \times p$.

- iii) Show that there exists matrices $\tilde{H}_h \in \mathbb{R}^{N \times N}$ and $W^{(h)} \in \mathbb{R}^{d \times d}$ such that

$$O = \sum_{h=1}^H \tilde{H}_h X W^{(h)}$$

Argue that these two representations are not equivalent.

Hint: What is $\text{rank}(W^{(h)})$?

Problem 3: Coming Soon!!!