### **Artificial Neural Networks**

- Learning real-valued, discrete-valued, and vectorvalued functions from examples.
- Robust to errors in training data.
- Applications: interpreting visual scenes, speech recognition, robot control strategies

### **Biological Motivation**

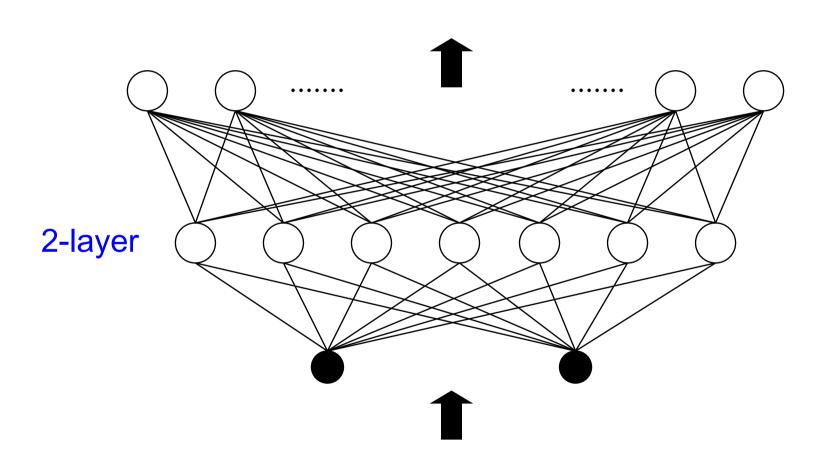
- Human brain = 10<sup>11</sup> neurons
- Each connected to 10<sup>4</sup> others
- Switching time = 10<sup>-3</sup> seconds
   (Computer switching speed = 10<sup>-10</sup> seconds)
- It requires 10<sup>-1</sup> seconds to recognize a human face
  - ⇒ highly parallel and distributed processes

### **Biological Motivation**

- ANN model is not the same as that of biological neural systems
- Using ANNs to study and model biological learning processes

Obtaining highly effective machine learning algorithms

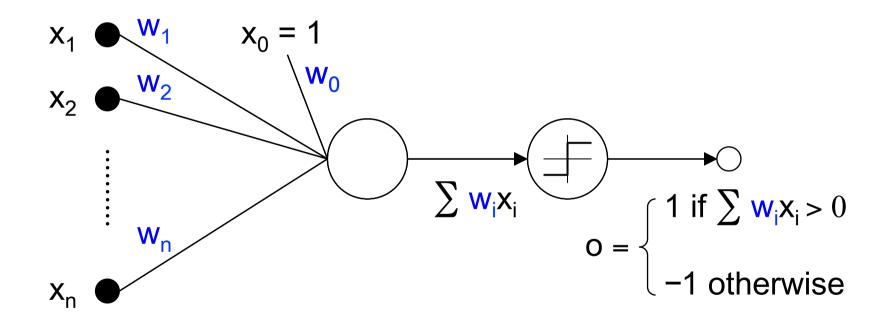
# **ANN** Representation



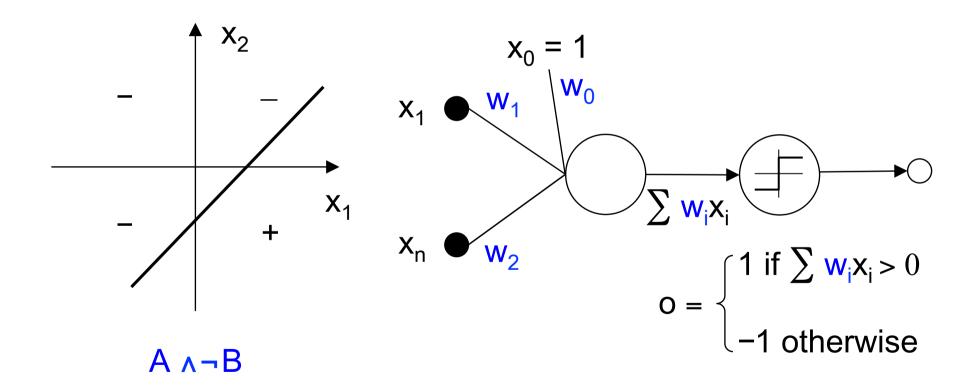
### **Appropriate Problems for ANNs**

- Instances are represented by many attribute-value pairs
- Target function output may be discrete-valued, realvalued, vector-valued
- Training examples can contain errors
- Long training time is acceptable
- Fast evaluation of the learned target function may be required
- Understanding the learned target concept is not important

# Perceptrons



### Perceptrons



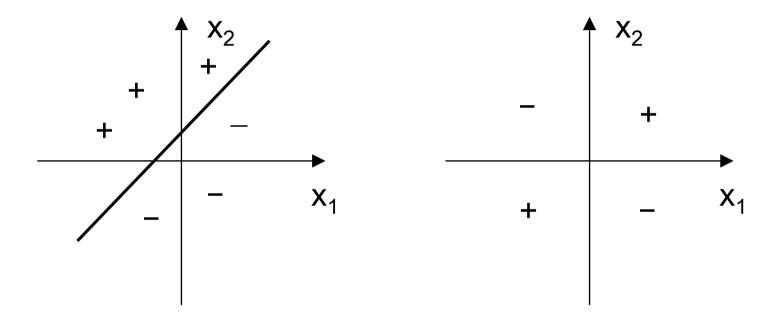
$$W_i \leftarrow W_i + \Delta W_i$$

$$\Delta W_i = \eta (t - o) X_i$$

- t target output of the current training example
- o the thresholded output generated by the perceptron
- n learning rate (positive constant)

$\mathbf{w}_0$	<b>W</b> <sub>1</sub>	<b>W</b> <sub>2</sub>	<b>X</b> <sub>1</sub>	<b>x</b> <sub>2</sub>	$t = x_1 \wedge \neg x_2$	$o = /w_0 + w_1 x_1 + w_2 x_2 /$	$\Delta w_0$	$\Delta w_1$	$\Delta w_2$
1	0	1	1	1	-1	1	-2	-2	-2
-1	-2	-1	1	-1	1	-1	2	2	-2
1	0	-3	-1	1	-1	-1	0	0	0
1	0	-3	-1	-1	-1	1	-2	2	2
-1	2	-1	1	1	-1	-1	0	0	0
-1	2	-1	1	-1	1	1	0	0	0
-1	2	-1	-1	1	-1	-1	0	0	0
-1	2	-1	-1	-1	-1	-1	0	0	0

$$W_{i} \leftarrow W_{i} + \Delta W_{i}$$
$$\Delta W_{i} = (t - O)X_{i}$$

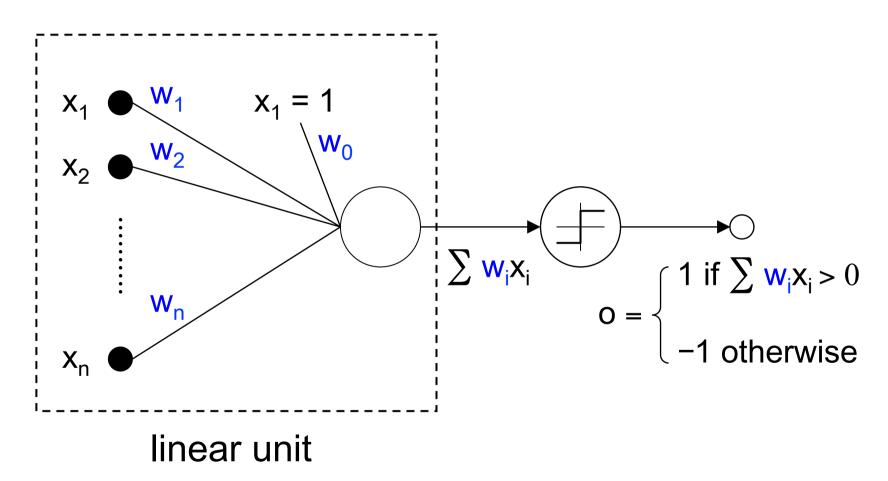


linearly separable

non linearly separable

- The learning procedure converges to a weight vector that correctly classifies all linearly separable training examples
- Non-differentiable

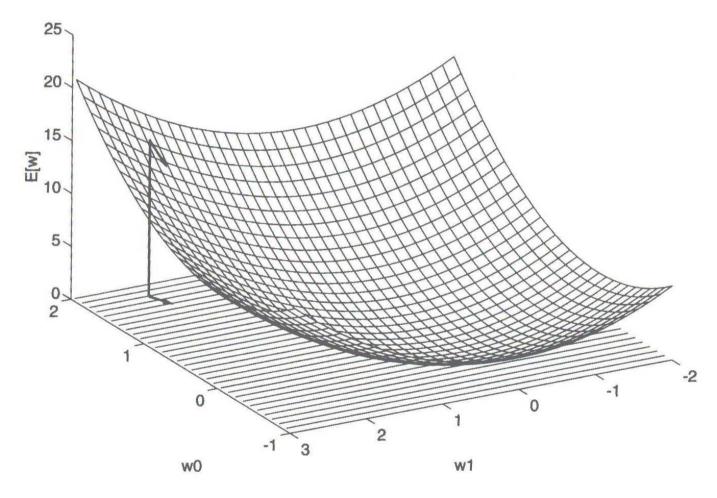
# Delta Rule (using gradient descent)



Training error (for the linear unit):

$$E(\overrightarrow{w}) = \sum_{d \in D} (t_d - o_d)^2 / 2$$

- t<sub>d</sub> target output of training example d
- $o_d$  the unthresholded output for d (=  $\overrightarrow{w}$ .  $\overrightarrow{x}_d$ )



Gradient of E (steepest increase direction):

$$\nabla E(\overrightarrow{w}) = [\partial E/\partial w_0, \partial E/\partial w_1, \dots, \partial E/\partial w_n]$$

$$\overrightarrow{\mathsf{W}} \leftarrow \overrightarrow{\mathsf{W}} + \overrightarrow{\mathsf{\Delta}} \overrightarrow{\mathsf{W}}$$

$$\overrightarrow{w} \leftarrow \overrightarrow{w} - \eta \nabla E(\overrightarrow{w})$$

$$w_{i} \leftarrow w_{i} + \Delta w_{i}$$

$$\Delta w_{i} = -\eta \partial E / \partial w_{i}$$

$$\partial E / \partial w_{i} = -\sum_{d \in D} (t_{d} - o_{d}) x_{id}$$

$$\Delta w_{i} = \eta \sum_{d \in D} (t_{d} - o_{d}) x_{id}$$

- Converging to a local minimum can be quite slow
- No guarantee to converge to the global minimum

### Stochastic Approximation

Delta rule:

$$E(\overrightarrow{w}) = (t_d - o_d)^2/2$$

$$\Delta w_{i} = \eta (\underbrace{t_{d} - o_{d}}_{\delta}) x_{id}$$

### Stochastic Approximation

- Weights are updated upon examining each training example
- Less computation per weight update step is required
- Falling into local minima can be avoided

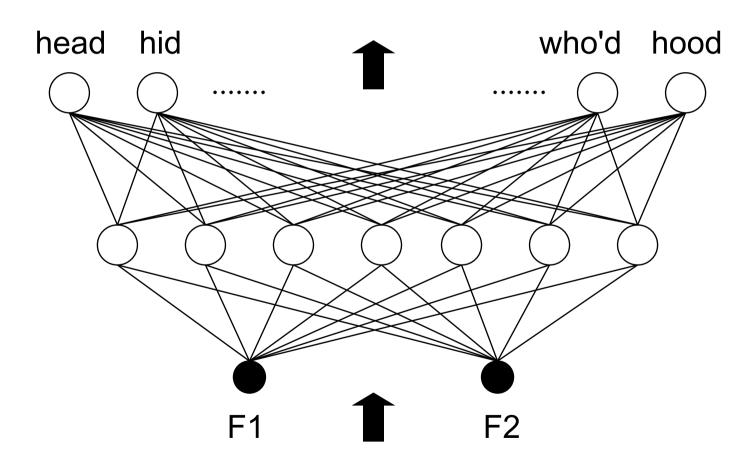
### Stochastic Approximation

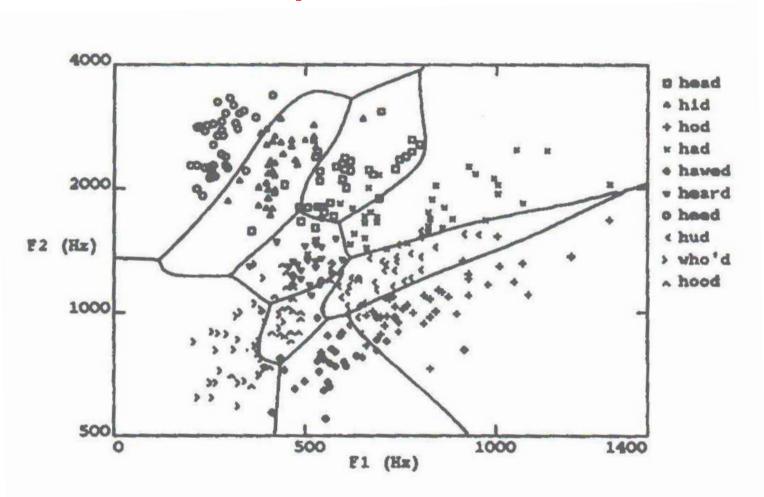
 The delta rule converges towards a best-fit approximation to the target concept, regardless of whether the training data are linearly separable

### Single Perceptrons

- Single perceptrons can express only linear decision surfaces
- Minsky, M. & Papert, S. (1969). Perceptrons.
   MIT Press.

A multilayer network can represent highly nonlinear decision surfaces



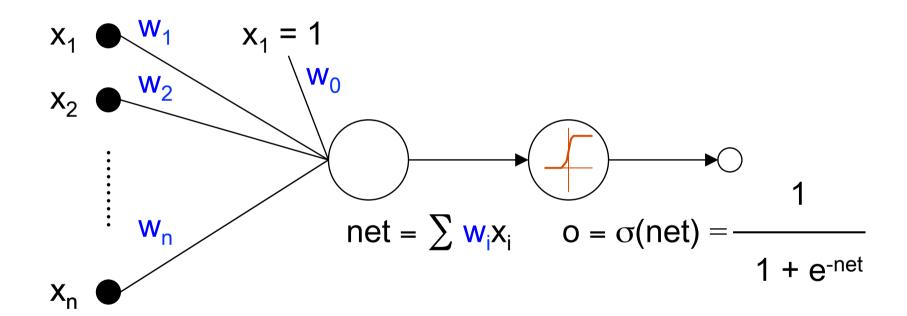


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### What type of unit?

- Perceptrons: non-differentiable
- Linear units: only linear functions

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### sigmoid unit

### Sigmoid unit:

$$\partial \sigma(y)/\partial y = \sigma(y).(1 - \sigma(y)) = o(1 - o)$$

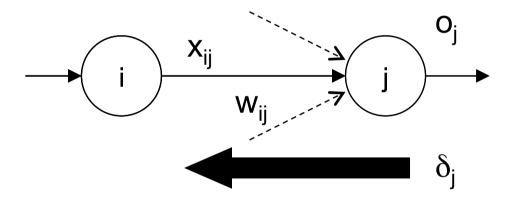
### Training error:

$$E(\overrightarrow{w}) = \sum_{d \in D} \sum_{k \in \text{outputs}} (t_{kd} - o_{kd})^2 / 2$$

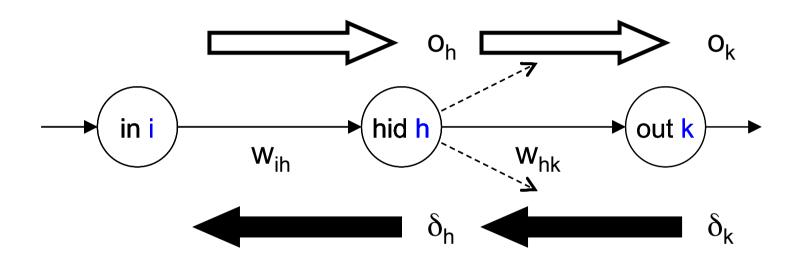
or stochastic approximation:

$$E(\overrightarrow{w}) = \sum_{k \in \text{outputs}} (t_{kd} - o_{kd})^2/2$$

### Weight update:



$$\Delta w_{ij} = -\eta \partial E / \partial w_{ij} = \eta \delta_j x_{ij}$$
$$\delta_j = o_j (1 - o_j) (t_j - o_j)$$



$$\delta_h = o_h (1 - o_h) \sum_k w_{hk} \delta_k$$

$$\delta_k = o_k (1 - o_k) (t_k - o_k)$$

### Adding momentum:

$$\Delta w_{ij}(n) = \eta \delta_j x_{ij} + \alpha \Delta w_{ij}(n-1)$$

$$\downarrow \qquad \qquad \downarrow$$

$$iteration \qquad momentum (0 \le \alpha \le 1)$$

- Keeping the search direction ⇒ passing small local minima
- Increasing the search step size ⇒ speeding convergence

### Convergence and local minima:

- Not guaranteed to converge towards the global minimum error, but highly effective in practice
- Approximately linear when the weights are close to 0 (due to sigmoid), hence avoiding local minima of nonlinear functions

### Heuristics to alleviate the local minima problem:

- Add a momentum term to the weight-update rule
- Use stochastic gradient descent rather than true gradient descent
- Train multiple networks using the same data, but initializing each network with different random weights

### Representation power of feedforward networks:

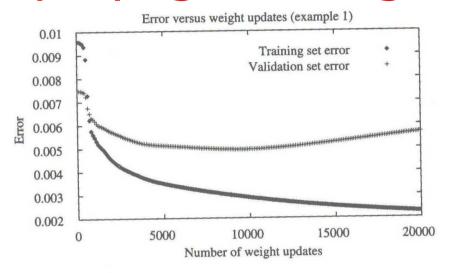
- Boolean functions: any one, using 2-layer (1 hidden + 1 output) networks
- Continuous functions: any bounded one with approximation, using 2-layer networks
- Arbitrary functions: any one with approximation, using 3-layer networks

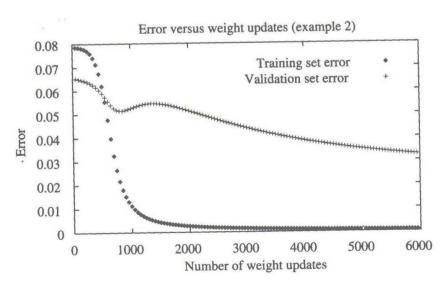
### Hypothesis space and inductive bias:

- Hypothesis: every possible assignment of network weights
- Inductive bias: smooth interpolation between data points

### Stopping criterion and overfitting:

- Number of iterations
- Limit of training errors





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## **Applications**

### To recognize face pose:

- 30 × 32 resolution input images
- 4 directions: left, straight, right, up
  - $\Rightarrow$  960 × 3 × 4 network

### **Exercises**

• In Mitchell's ML (Chapter 4): 4.1 to 4.10