



# The crystal structure of *apo*-FtsH reveals domain movements necessary for substrate unfolding and translocation

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The hexameric membrane-spanning ATP-dependent metalloprotease FtsH is universally conserved in eubacteria, mitochondria, and chloroplasts, where it fulfills key functions in quality control and signaling. As a member of the self-compartmentalizing ATPases associated with various cellular activities (AAA+ proteases), FtsH converts the chemical energy stored in ATP via conformational rearrangements into a mechanical force that is used for substrate unfolding and translocation into the proteolytic chamber. The crystal structure of the ADP state of *Thermotoga maritima* FtsH showed a hexameric assembly consisting of a 6-fold symmetric protease disk and a 2-fold symmetric AAA ring. The 2.6 Å resolution structure of the cytosolic region of *apo*-FtsH presented here reveals a new arrangement where the ATPase ring shows perfect 6-fold symmetry with the crucial pore residues lining an open circular entrance. Triggered by this conformational change, a substrate-binding edge beta strand appears within the proteolytic domain. Comparison of the *apo*- and ADP-bound structure visualizes an inward movement of the aromatic pore residues and generates a model of substrate translocation by AAA+ proteases. Furthermore, we demonstrate that mutation of a conserved glycine in the linker region inactivates FtsH.

AAA+ protease | conformational rearrangement | pore residue | FtsH | metalloprotease

The ATPases associated with various cellular activities (AAA+) family comprises a large group of proteins which share a common ATPase module, carrying the Walker A and B and other conserved sequence motifs (1, 2). They function as oligomers and usually form hexa- or heptameric rings, whereas in some cases oligomerization is ATP-dependent. AAA+ proteins are present in all kingdoms of life and participate in a wide range of different actions such as protein disaggregation, complex remodeling, and protein degradation (reviewed in refs. 3–5). In these processes, the energy of ATP is converted into a mechanical force by large conformational rearrangements. Until now, information on these immense structural changes is sparse; x-ray studies on HslU (6) and p97 (7) revealed only relatively small changes between the conformations of *apo*, ADP, and ATP state. In contrast, electron microscopic studies of p97 (8) and of the chaperone ClpB (9) displayed very large conformational rearrangements of the AAA domains.

The membrane-bound metalloprotease FtsH (10, 11) is a homo-hexameric complex that carries, contrary to most other AAA+ proteases, the AAA and proteolytic domain on the same polypeptide chain. The AAA domain bears the Walker A and B motifs necessary for nucleotide binding and hydrolysis; the second region of homology (SRH), which carries conserved arginine residues (“arginine fingers”) for oligomerization and nucleotide hydrolysis; and the conserved FGV pore motif required for substrate recognition and translocation. The protease domain exhibits the zinc-binding HEXXH finger print. The N-terminal AAA domain is preceded by 2 transmembrane helices flanking a small periplasmic region, thereby locating the largest part of the protein complex in

the cytoplasm. FtsH is universally conserved in eubacteria, chloroplasts, and mitochondria as it has an essential function in protein turnover and processing. Of the 5 energy-dependent AAA+ proteases in *Escherichia coli*, namely Lon, HslVU, ClpXP, ClpAP, and FtsH, it is the only essential one, and malfunctioning of its human homolog paraplegin causes a drastic form of hereditary spastic paraplegia (12, 13). FtsH and its orthologs play a key role in quality and regulatory control within the cell by degrading a unique subset of substrates. On the one hand, the protease is able to identify and degrade nonfunctional or damaged membrane proteins by pulling them out of the lipid bilayer, followed by further substrate unfolding and translocation into the proteolytic chamber. On the other hand, certain cytosolic signaling proteins can be classified as regulatory control substrates. This group comprises proteins such as the heat-shock factor  $\sigma^{32}$ , the lambda phage transcriptional activator CII (14), and LpxC (15), a key enzyme controlling the amount of membrane lipopolysaccharides.

The mechanism of AAA+ proteases is currently the subject of intense investigation (reviewed in refs. 3, 4). However, due to the lack of structural data, there is a considerable gap in our understanding of the way of function. Currently, crystal structures of only one complete AAA+ protease (HslVU) are available, the very first such structure was the one from *E. coli* reported by Bochtler et al. (16), later those from *Haemophilus influenzae* (17) and another *E. coli* structure (18) were published (reviewed in ref. 19). Crystal structures of constructs spanning most of the cytosolic region, thus containing AAA and protease domains, of FtsH from *Thermotoga maritima* (20) and *Thermus thermophilus* (21) are also available. Many other AAA+ proteins have been crystallized only in the monomeric state and 6-fold-symmetric rings have been modeled frequently (22, 23). Recent biochemical data, however, have demonstrated that at least in some nucleotide states the symmetry has to be lower, e.g., only maximally 4 ATP molecules bind to 1 hexameric ClpX or PAN or HslU particle (24–26).

A conserved  $\Phi$ VG motif ( $\Phi$  indicating an aromatic amino acid) has been identified as mediator between ATP hydrolysis and substrate unfolding (27–33). The aromatic side chains of this motif are thought to grab a hydrophobic degradation tag and are implied to perform a power stroke inward movement, driven by conformational rearrangements of the AAA+ domains.

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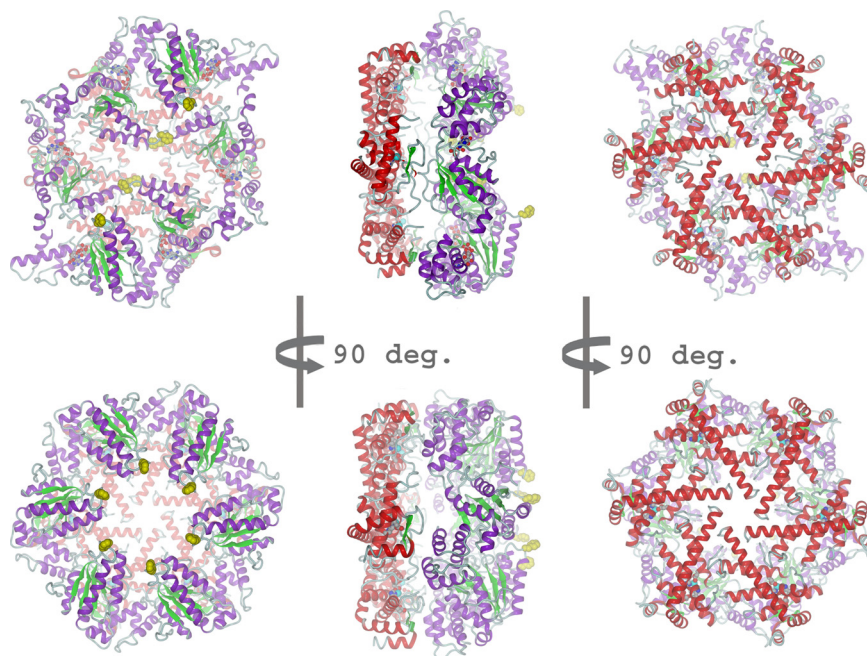
Data deposition: The atomic coordinates and structure factors have been deposited in the Protein Data Bank, www.pdb.org (PDB ID code 3KDS).

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**Fig. 1.** Cartoon representation of ADP-FtsH (Top) and apo-FtsH (Bottom) with view onto AAA ring (Left), side (Middle) and protease (Right). The protease ring is shown in red and the AAA ring in magenta and green. Zinc ions are displayed as cyan spheres, the pore residues Phe-234 in yellow space-filling mode. Carbon atoms of ADP and TAPI are shown in gray. (A) ADP-bound state, the AAA ring has C2 symmetry. (B) Apo-state, the AAA ring has C6 symmetry.

Earlier, we have reported the crystal structure of the cytosolic region of *T. maritima* FtsH, representing the ADP bound state and revealing a hexameric molecule composed of 2 rings (20). The protease ring shows the expected C6 symmetric assembly where the monomers possess a new fold consisting of 8  $\alpha$ -helices and a short 2-stranded antiparallel  $\beta$ -ribbon. Surprisingly, the AAA ring exhibited C2 symmetry only, leading to a model of protein unfolding and translocation where some of the crucial aromatic pore residues (Phe-234) move inwards. An alternative structure of a glycine-mutant of FtsH from *T. thermophilus* was published later, revealing a C3-symmetric AAA ring (21), suggesting a pair-wise mechanism of substrate translocation.

To gain more insight into the ATPase cycle and the unfolding and translocation mechanism, high-resolution structures of different nucleotide states are needed. Therefore, we have crystallized the apo-state and report the results here. The new structure reveals the large conformational rearrangements and pore residue movements necessary for protein unfolding and translocation and uncovers an active-site switch that is important for substrate fixation.

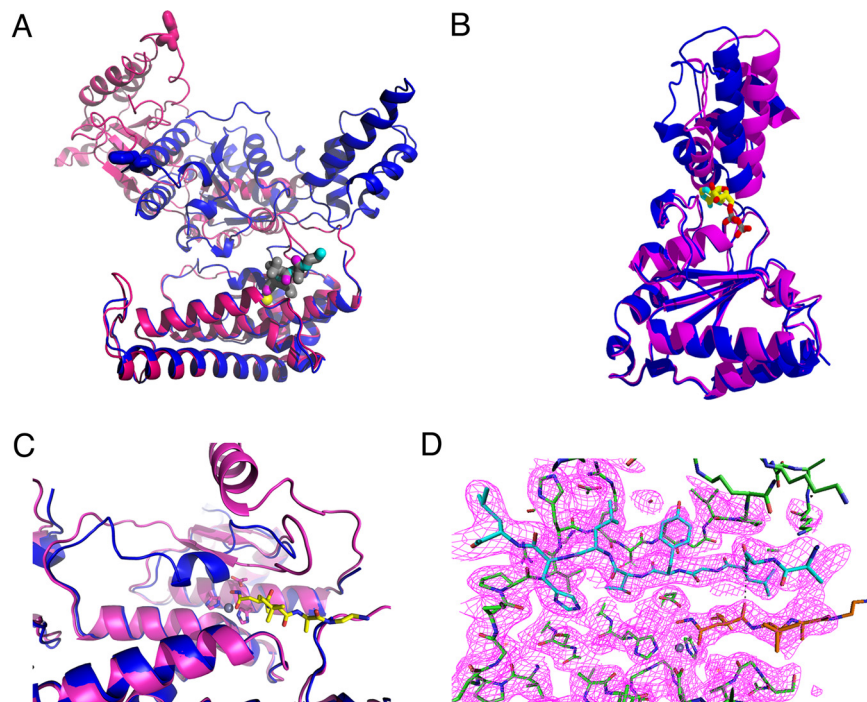
## Results

**The apo-Form of ( $\Delta$ tm)FtsH Is a C6 Symmetrical Hexamer.** To obtain nucleotide-free ( $\Delta$ tm)FtsH, we mutated the lysine in the Walker A motif to alanine (K207A). This mutation prevents nucleotide binding (2). The mutant crystallizes in spacegroup P622 with 3 monomers per asymmetric unit. Molecular replacement calculations failed to reveal the positions of the AAA domains, therefore, the structure was solved by selenomethionine single wavelength anomalous dispersion (SAD). Nineteen out of 27 seleniums were found, 6 of them in the 3 proteolytic domains (2 methionines each), the others belong to the AAA domains (Fig. S1). The resulting experimental electron density map allowed placement of all proteolytic and AAA domains. The latter have weaker density (Fig. S2), but the localization and arrangement is unambiguous.

The 3 monomers in the asymmetric unit belong to 2 hexameric particles, which are placed on the 6-fold and the 3-fold crystal-

lographic axes. Both hexamers show no significant deviations from each other, i.e., both possess perfect 6-fold symmetry in both the AAA and the protease ring (Fig. 1). While the protease ring in the apo- and ADP-bound structures is essentially the same, very large conformational rearrangements can be observed within the AAA-ring. The transition from the ADP to the apo-form involves changes in the inter-domain angle between protease and AAA moieties by some 40 to 60° (Fig. 2), thus causing movements of the conserved pore residues Phe-234 of the FGV pore motif of more than 45 Å (Fig. 2A). These rearrangements of the AAA domains are largely of rigid body nature. A smaller movement takes place within the individual AAA domains itself. Due to the loss of the nucleotide, the angle between N-terminal “wedge” subdomain and C-terminal helical subdomain increases by about 20° (Fig. 2B). This change concurs with earlier studies (7, 34). This more open conformation of the AAA domain is incompatible with the C2-symmetric packing observed in the ADP-bound state.

**An Active-Site Switch Formed by a Substrate-Binding  $\beta$  Strand.** A striking feature of all FtsH structures published so far is the small amount of  $\beta$  structure in the protease domain. The edge strands of  $\beta$  sheets in endo-proteinases, especially in zincins with their HEXXH motif that is also present in FtsH, are usually used for fixing the substrate polypeptide chain in an extended conformation around the scissile peptide bond by binding the substrate as an additional antiparallel  $\beta$ -strand to these edges. In the apo-form of FtsH described here, the stretch comprising amino acids 450 to 460 complements the short 2-stranded  $\beta$ -ribbon already present in the ADP form by an additional antiparallel strand. This segment is disordered in 4 of the 6 independent monomers of the ADP state, and an  $\alpha$ -helical conformation is observed in the remaining 2 (Fig. 2C). The cocrystallized hydroxamic acid inhibitor TAPI binds in an extended parallel conformation to this newly formed edge strand (Fig. 2 C and D). The formation of this edge strand is independent of the presence of inhibitors or substrates, because it is also observed in crystals of the K207A mutant obtained in the



**Fig. 2.** (A) Overlay of monomers in the ADP (blue) and apo-state (magenta). The pore phenylalanine Phe-234 is shown as sticks. The distance of these residues between ADP and apo-form is about 45 Å.  $Zn^{2+}$  is shown as yellow sphere, the hydroxamate inhibitor as stick model with gray carbon atoms. The protease domain (bottom) was used as reference for the alignment. (B) Overlay of the AAA domain only. The angle between “wedge” subdomain and  $\alpha$ -helical subdomain increases by about 20° in the apo form (red) compared to the ADP form (blue). (C) Overlay of the proteolytic active site in the ADP (blue) and apo-state (magenta). The active-site switch (residues 450 to 460) adopts a helical conformation in the ADP state and forms a  $\beta$ -strand in the apo-state. TAPI is displayed with yellow carbon atoms,  $Zn^{2+}$  as gray sphere. (D) Active site with electron density (1.0  $\sigma$ ) above mean.  $Zn^{2+}$  is shown as gray sphere, the active-site switch (residue 450 to 460) is depicted in cyan. The TAPI inhibitor is shown with orange carbon atoms.

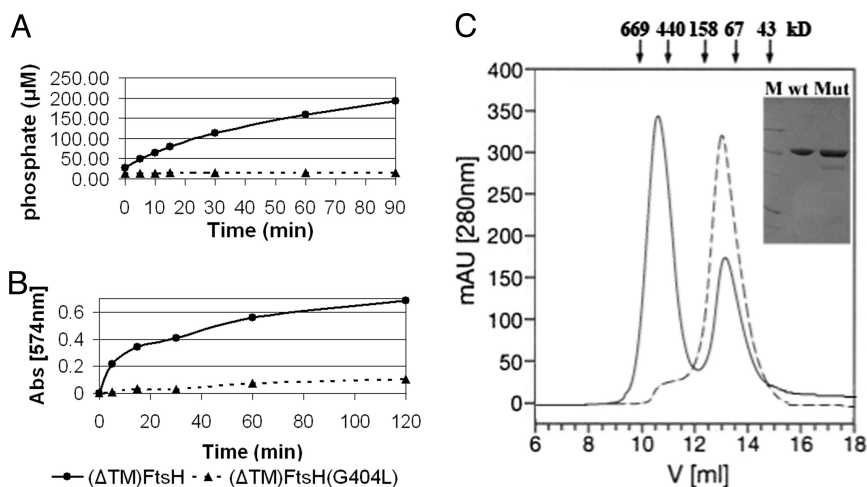
absence of these (e.g., the selenium-labeled crystal use for structure determination).

**The G404L Mutant Is Monomeric and Inactive.** To improve crystal quality by restricting conformational flexibility, Suno and coworkers mutated a glycine residue (G399 in *T. thermophilus*) in the linker between AAA and protease domain to leucine (21). This glycine is completely conserved among all FtsH homologs (Fig. S3). To examine the effects of this mutation more closely, we introduced the

same mutation in *T. maritima* FtsH, replacing Gly-404 by a leucine. Contrary to the wild type, this mutant elutes exclusively as a monomer from the gel filtration column (Fig. 3C). Activity measurements show that the *T. maritima* G404L mutant is inactive in the ATPase assay and possesses only very low proteolytic activity (Fig. 3A and B).

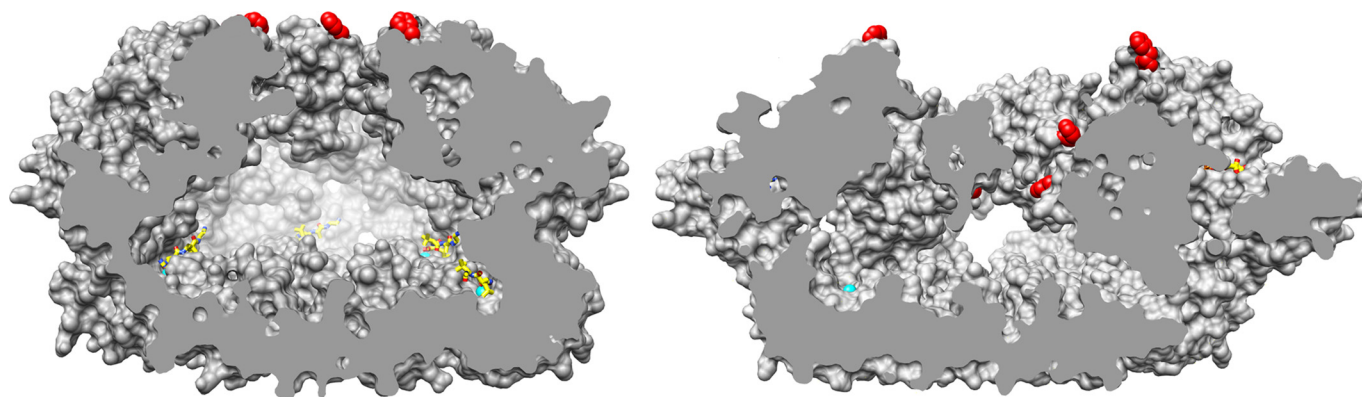
## Discussion

The mechanism through which AAA+ proteases unfold and translocate their substrates into the proteolytic chambers is cur-



**Fig. 3.** Activity assays and oligomeric state for the G404L mutant. (A) ATPase activities of wild-type  $\Delta$ (tm)FtsH (solid line, ●) and  $\Delta$ (tm)G404L (dashed line, ▲). (B) Resorufin casein proteolytic assay, symbols as in (A). (C) Gel filtration profile of wild-type  $\Delta$ (tm)FtsH (solid line) and G404L (dashed line). The insert shows the SDS/PAGE with molecular weight markers in lane M (66, 45, 24, 14, and 12 kDa), wild-type  $\Delta$ (tm)FtsH (wt) and G404L (Mut).





**Fig. 4.** Cut-away surface representation of *apo* (Left) and ADP-bound state (Right). Pore residues Phe-234 are shown in red. The inward movement and staggered arrangement of these residues in the ADP state, after power stroke, is clearly visible. Zinc ions are indicated as cyan spheres, ADP and TAPI carbon atoms are shown in yellow.

rently a topic of intense discussion. A gap in structural information has been a hindrance to achieve a deeper understanding. The 2 crystal structures of *T. maritima* FtsH in its ADP- and apo-state provide a basis for the movements occurring between the different nucleotide states. The protease ring is the stationary component and nucleotide-driven movements of the AAA domains accomplish substrate unfolding and translocation by pulling the polypeptide chain against and through the central pore. The 2 structures available now illuminate the transition from the ADP- to the apo-state. The ATP-loaded hexamer might look roughly similar as the nucleotide-free, but is presumably not 6-fold symmetric anymore, because it is unlikely that 6 ATP bind simultaneously and ATPs are bound with different affinities (24–26). Furthermore, the nucleotide induced subdomain closure within the AAA moiety would lead to a more compact, closed appearance. However, the location of the pore residues could still be similar to the *apo*-state and the inward movement of 4 of the 6 phenylalanines, as seen in the ADP-bound conformation (Fig. 4) (20), describes the actual

“pulling” on the substrate polypeptide chain. The currently most accepted hypothesis is that the energy-stroke of ATP hydrolysis is converted into a mechanical force by conformational changes involving the pore residues. Atomic force microscopy experiments show that typically a force of a few hundred pico-Newtons (pN) is needed to unfold many proteins. Estimating the energy of ATP hydrolysis under cellular conditions as 45 kJ/mol, a movement of 50 Å can generate a force of about 150 pN. While some cryo-EM reconstructions of different nucleotide states can be interpreted in this way, x-ray structures have failed so far to demonstrate conformational changes in this order of magnitude. The structure presented here reveals for the first time nucleotide-dependent changes in this order of magnitude (see [Movie S1](#)), whereby hydrolysis does not take place simultaneously in all subunits (24, 35). Our model is in agreement with the 1:1 enzyme:substrate stoichiometry that has been observed in at least 2 examples of AAA+ proteins (24, 25). On the other hand, the proposed alternative model (21) would principally allow the simultaneous binding of 3 substrate molecules.

**Table1. Data collection and refinement statistics**

Crystal	TAPI	SeMet
<b>Unit cell</b>		
a, b, c (Å)	190.64, 190.64, 152.21	191.20, 191.20, 152.63
$\alpha$ , $\beta$ , $\gamma$ (degrees)	90.0, 90.0, 120.0	90.0, 90.0, 120.0
<b>Data collection</b>		
Beamline	ID-29, ESRF, Grenoble	ID-29, ESRF, Grenoble
Wavelength (Å)	0.987	0.987
Resolution range (Å)	34.0–2.60 (2.74–2.60)	50–3.20 (3.37–3.20)
No. unique reflections	50,229	27,700
Redundancy	10.9 (4.2)	13.7 (14.3)
Completeness (%)	99.7 (98.2)	100.0 (100.0)
$R_{\text{sym}}$ (%)	7.9 (91.1)	9.5 (44.2)
$I/\sigma$ (I)	20.6 (1.9)	24.3 (6.5)
<b>Refinement</b>		
Resolution range (Å)	45.78–2.60	
No. reflections work/test set	48,222/1,986	
No. atoms		
Total	9,999	
Water molecules/ $\text{Zn}^{2+}$	0/3	
TAPI	87	
R/R-free (%)	25.0 (31.7)/30.1 (40.1)	
RMS bonds (Å)	0.006	
RMS angles (deg.)	0.94	
Ramachandran outliers* (%)	4.2	

\*Ramachandran outliers according to ref. 44.

We could also provide evidence here that the low-resolution model proposed by Suno et al. (21) suffers from an underlying nonconservative mutation that, at least for *T. maritima* FtsH, inactivates the protein.

The structure of apo-FtsH presented here together with the fully ADP-loaded structure presented earlier (20) provides for the first time a high-resolution image of the movement of the crucial pore residues. We propose that this mechanism is universal for all AAA+ proteins—substrate processing is achieved by pulling it through the central pore.

## Experimental Procedures

**Cloning, Protein Expression, and Purification.** ( $\Delta$ tm)FtsH (amino acids 147–610 of FtsH from *T. maritima* with K410L-K415A surface mutations) and its mutants were expressed and purified as described previously (20). Point mutations were introduced by a modified overlap-extension protocol (36). Mutation K207A was generated employing the primers K207A-for (5'-gtaggccctccgggaccggtgcacgctccttgcgagggc-3') and K207A-rev (5'-gccctcgcaaggagcgttcacccggtccgggagggccctac-3'), while the G404L mutation was introduced with primers G404L-for (5'-gggtgatagccttgcggcaagaaagtgaagctgatcagc-3') and G404L-rev (5'-cgactttcttgcggcaagcgtatcacctgtctatggttcc-3').

**Crystallization.** Crystals were obtained at 20 °C using the microbatch method by mixing equal volumes of protein (20 mg/ml) and crystallization buffer: 30% (wt/vol) PEG 400, 200 mM CaCl<sub>2</sub>, 100 mM Hepes (pH 7.5), 200 mM glycine, and 0.1–0.2% (wt/vol) low-melt agarose (FMC BioProduct). Crystals belong to the spacegroup P6<sub>2</sub>2 (compare Table 1). For crystallization of ( $\Delta$ tm)FtsH(K207A) bound to TAPI-0, 1  $\mu$ l of 30 mM TAPI-0 (Calbiochem) was added to the crystallization set-up. For cryoprotection, crystals were transferred to crystallization buffer containing 5% (vol/vol) glycerol for about 1 min before flash-cooling in liquid nitrogen. Selenomethionine-incorporated protein was produced by the methionine biosynthesis inhibition method (37) and purified as the wild-type protein.

**X-Ray Data Collection, Phasing, and Refinement.** X-ray data were collected at 100 K at beamlines X06SA (Swiss Light Source,

Paul-Scherer-Institute, Villigen, Switzerland), and ID29 (European Synchrotron Radiation Facility, Grenoble, France) as well as at the National Light Source, Brookhaven National Laboratory, Upton, NY. Data collection statistics are given in Table 1. Diffraction intensities were processed and scaled with XDS (38) and MOSFLM/SCALA (39, 40). Selenium positions were determined employing SHELXD (41) and phases were computed by SHARP (42). Refinement was carried out using PHENIX (43). Ramachandran outliers were determined according to (44).

**ATPase Assay.** ATPase activity measurements were performed using the EnzChek Phosphate Assay Kit from Invitrogen according to the method of Webb (45). 50  $\mu$ l 20x reaction buffer (1M Tris-HCl (7.5), 20 mM MgCl<sub>2</sub>, 2 mM sodium azide), 200  $\mu$ l 2-amino-6-mercapto-7-methylpurine riboside substrate solution (MESG), 10  $\mu$ l purine nucleoside phosphorylase (1 unit), and 3 nmol of purified FtsH protein were mixed in a total volume of 1 ml. The mixture was incubated for 10 min at room temperature; 1 mM ATP was then added as experimental substrate and change of absorbance at 360 nm was recorded. Absolute ATPase activity was calculated following the manufacturer's instructions.

**Protease Activity Assay.** Protease activity of purified FtsH proteins was determined as described before (20) using resorufin-labeled casein (Roche) as substrate. Samples were incubated at 50 °C. Reactions were terminated after 0, 5, 15, 30, 60, and 120 min by adding 5% trichloroacetic acid (wt/vol) and further incubation at 50 °C for 10 min. Samples were centrifuged at 12'000g for 5 min before 400  $\mu$ l of supernatant were mixed with 600  $\mu$ l assay buffer (0.5M Tris-HCl, pH 8.8). Protease activity was determined by measuring the absorbance at 574 nm.

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