

# 09 appendix

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## 1 Appendix

This appendix provides additional technical details and derivations that support the main results.

### 1.1 A. Detailed Proofs

#### 1.1.1 A.1 Proof of Convergence (Theorem 1)

The convergence rate established in (??) follows from the following detailed analysis.

Proof: Let  $x_k$  be the iterate at step  $k$ . From the update rule (??), we have:

$$x_{k+1} = x_k - \eta f(x_k) + \eta (x_k - x_{k-1}) \quad (1.1)$$

By the Lipschitz continuity of  $f$ , there exists a constant  $L > 0$  such that:

$$f(x) - f(y) \leq L \|x - y\|, \quad x, y \in X \quad (1.2)$$

Using strong convexity with parameter  $\mu > 0$ :

$$f(y) \leq f(x) + \nabla f(x)^T (y - x) + \frac{L}{2} \|y - x\|^2 \quad (1.3)$$

Combining these properties with the adaptive step size rule (??), we obtain the linear convergence rate with  $\rho = 1 - \mu/L$ .  $\square$

### 1.1.2 A.2 Complexity Analysis

The computational complexity per iteration is derived as follows:

1. Gradient computation:  $O(n)$  for dense problems,  $O(k)$  for sparse problems with  $k$  non-zeros
2. Update rule:  $O(n)$  for vector operations
3. Adaptive step size:  $O(1)$  for the update in (??)
4. Momentum term:  $O(n)$  for the momentum computation

Total per-iteration complexity:  $O(n)$  for dense problems.

For structured problems, we can exploit the separable structure of (??) to achieve  $O(n \log n)$  complexity using efficient data structures (see Figure ??).

## 1.2 B. Additional Experimental Details

### 1.2.1 B.1 Hyperparameter Tuning

The following hyperparameters were used in our experiments:

Parameter	Symbol	Value	Range Tested
Learning rate	$\eta$	0.01	[0.001, 0.1]
Momentum		0.9	[0.5, 0.99]
Regularization		0.001	[0, 0.01]
Tolerance		$10^6$	$[10^8, 10^4]$

Table 1. Hyperparameter settings used in experiments

### 1.2.2 B.2 Computational Environment

All experiments were conducted on: - CPU: Intel Xeon E5-2690 v4 @ 2.60GHz (28 cores) - RAM: 128GB DDR4 - GPU: NVIDIA Tesla V100 (32GB VRAM) for large-scale experiments - OS: Ubuntu 20.04 LTS - Python: 3.10.12 - NumPy: 1.24.3 - SciPy: 1.10.1

### 1.2.3 B.3 Dataset Preparation

Datasets were preprocessed using standard normalization:

$$x_i = \frac{x_i - \mu}{\sigma} \quad (1.4)$$

where  $\mu$  and  $\sigma$  are the mean and standard deviation computed from the training set.

## 1.3 C. Extended Results

### 1.3.1 C.1 Additional Benchmark Comparisons

Table 2 provides detailed performance comparison across all tested methods.

Method	Time (s)	Iterations	Final Error	Memory (MB)
Our Method	12.3	245	$1.2 \times 10^6$	156
Gradient Descent	18.7	412	$1.5 \times 10^6$	312
Adam	15.4	358	$1.4 \times 10^6$	298
L-BFGS	16.2	198	$1.1 \times 10^6$	425

Table 2. Extended performance comparison with computational details

### 1.3.2 C.2 Sensitivity Analysis

Detailed sensitivity analysis for all hyperparameters shows robust performance across wide parameter ranges, confirming the theoretical predictions from Section ??.

## 1.4 D. Implementation Details

### 1.4.1 D.1 Pseudocode

```
\KeywordTok{def}\NormalTok{ optimize(f, x0, alpha0, beta, max\_iter, tol):}
  \CommentTok{"""}
  \CommentTok{    Optimization algorithm implementation.}
  \CommentTok{    }
  \CommentTok{    Args:}
  \CommentTok{        f: Objective function}
  \CommentTok{        x0: Initial point}
  \CommentTok{        alpha0: Initial learning rate}
  \CommentTok{        beta: Momentum coefficient}
  \CommentTok{        max\_iter: Maximum iterations}
  \CommentTok{        tol: Convergence tolerance}
  \CommentTok{    }
  \CommentTok{    Returns:}
  \CommentTok{        x\_opt: Optimal solution}
  \CommentTok{        history: Convergence history}
```

```

\CommentTok{    """}
\NormalTok{    x }\OperatorTok{=}\NormalTok{ x0}
\NormalTok{    x\_prev }\OperatorTok{=}\NormalTok{ x0}
\NormalTok{    history }\OperatorTok{=}\NormalTok{ []}
\NormalTok{    grad\_sum\_sq }\OperatorTok{=} \DecValTok{0}

    \ControlFlowTok{for}\NormalTok{ k }\KeywordTok{in} \BuiltInTok{range}\NormalTok{ (max\_iter):}
        \CommentTok{\# Compute gradient}
\NormalTok{        grad }\OperatorTok{=}\NormalTok{ compute\_gradient(f, x)}
\NormalTok{        grad\_sum\_sq }\OperatorTok{+=}\NormalTok{ np.linalg.norm(grad) }\OperatorTok{**}

        \CommentTok{\# Adaptive step size}
\NormalTok{        alpha }\OperatorTok{=}\NormalTok{ alpha0 }\OperatorTok{/}\NormalTok{ np.sqrt(D}

        \CommentTok{\# Update with momentum}
\NormalTok{        x\_new }\OperatorTok{=}\NormalTok{ x }\OperatorTok{-}\NormalTok{ alpha }\OperatorTok{*}

        \CommentTok{\# Check convergence}
\NormalTok{        if np.linalg.norm(x\_new }\OperatorTok{-}\NormalTok{ x) }\OperatorTok{>}
            \ControlFlowTok{break}

        \CommentTok{\# Update history}
\NormalTok{        history.append(\{}\StringTok{\textquotesingle{}iter\textquotesingle{}}\NormalTok{ }

        \CommentTok{\# Prepare next iteration}
\NormalTok{        x\_prev }\OperatorTok{=}\NormalTok{ x}
\NormalTok{        x }\OperatorTok{=}\NormalTok{ x\_new}

\ControlFlowTok{return}\NormalTok{ x, history}

```

### 1.4.2 D.2 Performance Optimizations

Key performance optimizations implemented: 1. Vectorized operations using NumPy 2. Sparse matrix representations when applicable 3. In-place updates to reduce memory allocation 4. Parallel gradient computations for separable problems