

# Real Patterns Need Closure: A Dynamical Criterion for Non-Gerrymandered Macro-Objects

## Abstract

Real-pattern realism captures an important insight: higher-level descriptions can track objective structure rather than mere convenience. The unresolved problem is permissiveness. Compression and predictive success alone do not exclude dynamically idle or gerrymandered aggregates. This paper argues that real-pattern realism therefore needs an explicit closure condition. For a fixed regime, horizon, and admissible intervention class, a candidate macro-object qualifies when macrostate information is sufficient for macro-transition structure, so within-class micro-differences do not change macro-level what-follows. In exact Markov settings, strong lumpability provides a benchmark realization of this condition. In non-ideal settings, closure is graded through leakiness and convergent diagnostics under fixed constraints. The result is realist but disciplined. It excludes high-leak composites, allows qualified verdicts in borderline cases, and remains compatible with microphysical completeness. The paper contributes a philosophical criterion and an audit protocol for applying it, while leaving domain-specific estimator engineering to downstream methodological work.

## 1. Introduction: Why Pattern Realism Needs a Stricter Criterion

Dennett's core thought is still compelling. Scientific and everyday inquiry often succeeds by tracking patterns rather than exhaustively tracking microstates, and this success is not always reducible to convenience language (Dennett 1991). In that sense, real-pattern realism captures something correct about the structure of explanation.

The persistent difficulty is permissiveness. If compression and predictive utility are the only standards, then almost any coding strategy that helps with a target prediction can look ontologically respectable. Disjunctive and high-maintenance constructions can be made to look acceptable whenever they are tuned to a narrow data slice. This is exactly the point where many critics infer instrumentalism (Elgin 2017).

The thesis of this paper is that real-pattern realism needs an explicit closure condition to avoid that drift.

For a fixed regime, horizon, and admissible intervention class, a candidate macro-object qualifies when macrostate information is sufficient for macro-transitions, so within-class micro-differences do not change macro-level what-follows.

This thesis is a tightening move, not a replacement project. Existing pattern realism captures compression and projectibility insights. The present contribution

adds a discriminating condition that excludes gerrymandered candidates by transition structure rather than by intuitive naturalness. The formal benchmark for this condition is strong lumpability in exact Markov settings. The non-ideal extension is graded closure via leakiness and convergent diagnostics under fixed constraints.

The argument is philosophical, not a methods paper in disguise. The paper does not claim to deliver a universal estimation recipe. It provides a criterion and a disciplined protocol for applying it. Estimator selection, finite-sample behavior, and domain-specific implementation remain downstream methodological tasks.

## 1.1 Novelty and Positioning

The novelty claim has three parts.

1. Beyond Dennett alone: compression realism is retained, but permissiveness is reduced by an explicit anti-gerrymandering condition.
2. Beyond formal closure results alone: strong lumpability is used as a benchmark for an ontological criterion, not only as a mathematical property.
3. Beyond pure interventionist pragmatism: admissibility is fixed upstream and physically constrained, so verdicts are not back-fit to analyst preference.

This is a conditional metaphysical proposal. Under structural realist and interventionist commitments, closure under admissible conditions is sufficient for macro-objecthood in regime. Readers with different priors can still accept a narrower conclusion: closure is at least a necessary anti-gerrymandering constraint on serious macro-ontology claims.

This positioning is deliberately dialogical. With Dennett, the paper keeps compression realism while rejecting permissiveness (Dennett 1991). With Ladyman and Ross, it keeps structural and projectibility ambitions while insisting on an explicit transition criterion for objecthood verdicts (Ladyman and Ross 2007). With Rosas, it treats formal closure diagnostics as benchmark machinery, then uses them to support a philosophical criterion that remains stable in non-ideal cases (Rosas 2024). With causal-emergence work, it treats macro-level gains as corroborating diagnostics rather than as a standalone ontology test (Hoel 2013). With Kim-style exclusion pressure, it defends non-redundancy at explanatory and control levels without denying microphysical implementation (Kim 1998).

## 1.2 Scope and Non-Claims

The paper is restricted to induced closure in spatiotemporal systems. It does not offer a complete metaphysics of levels, and it does not settle all questions about abstract objects or full mereology. Where those debates arise, they are handled only to protect the central claim from predictable misreadings.

The roadmap is direct. Section 2 states the criterion and admissibility framework. Section 3 gives the exact benchmark. Section 4 extends the criterion to non-ideal settings. Section 5 addresses the strongest objections. Section 6 summarizes gains and limits.

## 1.3 Three Nearby Positions

It helps to separate three nearby stances that are often run together.

1. Compression-prediction realism: successful compression and forecasting are treated as sufficient for realist commitment.
2. Pure pragmatism: model choice is guided by utility alone, with no ontological consequence.
3. Closure realism: compression and prediction matter, but objecthood requires transition autonomy under fixed regime, horizon, and admissible intervention class.

The third stance preserves the explanatory strengths of the first while avoiding its permissiveness. It also avoids reducing ontology to convenience, which is the pressure on the second.

## 1.4 Contribution Map

The paper makes three linked contributions.

1. It gives a criterion-level tightening of real-pattern realism by adding closure as a discriminating objecthood condition.
2. It provides a bridge from exact benchmark cases to non-ideal cases without changing criterion content.
3. It offers a disciplined verdict structure with explicit downgrade conditions, so realism claims remain answerable to failure.

The central payoff is selective commitment. The framework can support strong commitments where transition autonomy is robust, while avoiding forced commitments where evidence is fragile or regime-sensitive.

## 2. Closure and Admissibility

### 2.1 Criterion in Plain Language

Closure can be stated without heavy formalism. A candidate macro-object passes when knowing its current macrostate is enough to determine macro-level what-follows over a specified horizon and intervention class. If two microstates inside one macrostate produce different macro-transitions, closure fails at that grain.

The key point is dynamic. The criterion concerns transition sufficiency, not mere descriptive fit. A representation can summarize trajectories elegantly and still fail objecthood if it requires persistent within-class micro-bookkeeping to preserve forecast quality.

### 2.2 Formal Statement

Let micro-process be  $X_t$  and candidate macro-process be  $Z_t = g(X_t)$ . For horizon  $L$ , closure asks whether  $Z_t$  is sufficient for forecasting  $Z_{t+1}^L$  under a fixed regime and admissible intervention class.

Informationally, the predictive side asks whether adding  $X_t$  beyond  $Z_t$  yields material gain for macro-future prediction. Interventionally, the causal side asks whether macro-transition laws remain stable across admissible perturbations without needing within-class micro-identification.

These are not competing tests. They are two readings of the same target: transition autonomy at the macro level.

## 2.3 Why This is More Than Compression

Compression is necessary but not sufficient for objecthood. A compressed code can hide transition-relevant heterogeneity and still score well on narrow tasks. Closure blocks that loophole by asking whether hidden heterogeneity matters for macro-level what-follows.

This is why closure functions as an anti-gerrymandering condition. It does not reward convenient coding alone. It rewards coarse-grainings that carry stable transition structure under declared constraints.

Put differently, this paper accepts Dennett's claim that compression success is evidence of objective patterning, but denies that compression success is the full ontological test (Dennett 1991). It also accepts Ladyman and Ross style concern that real patterns should be projectible and structurally disciplined, while adding an explicit transition criterion for adjudicating borderline cases (Ladyman and Ross 2007). The aim is not to replace those insights. The aim is to make their realist force less permissive.

## 2.4 Admissibility and Hierarchical Evaluation

Closure is always relative to an intervention class. The standard objection is that this invites circularity. The response is procedural and hierarchical.

Admissibility is fixed upstream of objecthood verdicts by three constraints:

1. Epistemic admissibility: interventions are measurable and implementable by bounded agents.
2. Dynamical admissibility: interventions preserve the target regime class.
3. Explanatory admissibility: interventions probe stable invariances rather than one-off artifacts.

These constraints are physically anchored in available control channels and implementation structure. They are not analyst preferences about favored ontologies.

Evaluation order matters.

1. Fix regime, horizon, admissible intervention class, diagnostics, and model class.
2. Compare candidate partitions under those fixed constraints.
3. If constraints are revised after inspecting results, restart and disclose the revision.

This order blocks back-fitting and makes disagreement tractable. If verdicts remain highly sensitive across nearby defensible admissibility specifications, commitment should be downgraded.

## 2.5 Commitment Transparency

The strongest conclusion is conditional on explicit commitments. Under structural realism and interventionism, closure under admissible conditions is sufficient for macro-objecthood in regime. If a reader withholds sufficiency, the narrower anti-gerrymandering conclusion still follows.

This explicit two-level structure is not rhetorical hedge. It is dialectical hygiene for readers with different priors.

## 2.6 Pattern Reality Versus Macro-Objecthood

One distinction is essential for avoiding confusion. A pattern can be real in a weaker sense without satisfying this paper’s objecthood criterion. A representation can capture regularities that are descriptively useful while still failing closure under admissible interventions.

The criterion in this paper is stricter. It targets macro-objecthood, not any and every projectible summary. This is why the paper can preserve a generous attitude toward pattern detection while denying ontological standing to high-leak candidates.

That distinction clarifies dialectical burden. The paper does not need to show that non-closed representations are worthless. It only needs to show that they do not meet the objecthood standard defended here.

## 2.7 Admissibility Disputes and How to Handle Them

Admissibility disputes are expected, especially across domains. The framework should not pretend they disappear. It should show how they are disciplined.

A practical adjudication rule is to compare candidate intervention classes by what they physically permit and whether they preserve the same target regime. Boundary-pressure perturbations can be admissible for storm dynamics, while molecule-by-molecule remote rewriting is not. In institutional settings, changing enforcement intensity can be admissible, while instant arbitrary rewriting of all agent commitments is not.

When two intervention classes are both admissible and target the same regime, the framework permits plural testing rather than forced monism. The key requirement is transparency. Verdicts should report which admissibility class was used and how sensitive results are across nearby defensible classes.

This is a strength, not a weakness. A criterion that cannot represent admissibility uncertainty will hide it. A criterion that makes it explicit can discipline it.

## 2.8 Canonical Criterion Statement

For ease of reference, the core criterion can be stated compactly:

A candidate coarse-graining  $Z = g(X)$  qualifies for macro-objecthood in regime when, under fixed horizon  $L$  and admissible intervention class  $\mathcal{I}$ , macro-transition structure is autonomous up to declared tolerance, so within-class micro-differences do not materially improve macro-future prediction or intervention-guided control.

In exact Markov settings, strong lumpability is a sufficient benchmark realization of this criterion. In non-ideal settings, leakiness-centered diagnostics estimate distance from that ideal under fixed constraints.

## 2.9 Predictive and Interventional Closure

Predictive and interventional closure should be distinguished but not separated. Predictive closure concerns whether macrostate information screens off transition-relevant micro-detail for macro-future forecasting. Interventional closure concerns whether macro-transition structure remains stable under admissible perturbation.

The evidential relation is asymmetric. Strong interventional closure typically implies predictive adequacy for the same target and horizon, while predictive adequacy alone can persist in cases where interventional stability fails under distribution shift. This is why the criterion treats predictive evidence as important but not final.

This asymmetry also clarifies burden of proof. Claims to robust macro-objecthood need either direct interventional support or compelling indirect evidence that tracks intervention-relevant invariance. Otherwise, the responsible verdict is qualified or indeterminate.

## 2.10 Why Closure Carries Ontological Weight

A reviewer can still ask why transition autonomy should count as objecthood rather than as a mere success condition for modeling. The answer depends on the paper's explicit commitments. Under structural realism, what ontology should track is stable relational and causal organization rather than intrinsic micro-identity as such. Under interventionism, what counts as causally relevant structure is structure that supports stable manipulation and control.

Given those commitments, closure does not function as a convenient heuristic layered on top of ontology. It is the criterion that identifies when a candidate macro-description tracks stable structure at the level where explanation and intervention are being assessed. This is why the framework can remain compatible with microphysical completeness while still defending non-redundant macro commitment.

Readers who reject those commitments can still accept the narrower point. Even on a more deflationary reading, closure is a stringent anti-gerrymandering test. It excludes candidates that look plausible only because transition-relevant micro-heterogeneity was hidden by coding choices.

## 2.11 Levels of Claim and Representation

Some recurring misunderstandings come from sliding between different levels of claim. The paper uses the following distinction throughout.

1. World dynamics: the implemented process itself.
2. Pattern type: stable transition structure supported by that process.
3. Pattern token: a concrete instance under specific boundary conditions.
4. Representation: a model, equation, classifier, or narrative that tracks the pattern.

A representation can fail while the pattern type remains real. A token can fail while the type remains robust. A token can also succeed while the type is weak, for example in narrow calibration windows. Closure claims in this paper are primarily type-level claims under specified regimes, then secondarily claims about token reliability under perturbation.

This is why the criterion does not equate model performance with ontology. It asks whether the represented transition structure is genuinely autonomous, not whether one representation currently performs well.

## 2.12 Criterion Summary Before Formal Benchmark

Before moving to strong lumpability, the framework can be summarized in three lines.

1. **Criterion:** macro-objecthood requires transition autonomy under fixed regime, horizon, and admissible intervention class.
2. **Evaluation:** when exact closure fails, leakiness-centered diagnostics estimate comparative distance from closure.
3. **Commitment rule:** commitment strength tracks evidential stability, with explicit downgrade to qualified or indeterminate status when evidence is unstable.

The next sections unpack these three claims in exact and non-ideal settings.

## 3. Exact Benchmark: Strong Lumpability

Strong lumpability is introduced as a benchmark, not as destiny. It gives a clean exact case in Markov settings where closure can be stated and checked without ambiguity.

Let partition cells under  $g$  be macroclasses. Strong lumpability holds when microstates within the same macroclass induce identical transition probabilities to all macroclasses. When this condition holds, macro-transitions are autonomous by construction.

The philosophical significance is straightforward. A partition that satisfies this condition is not merely useful. It preserves transition structure at the macro grain. A partition that fails it mixes transition-heterogeneous microstates and therefore lacks macro autonomy.

Equivalent language from computational mechanics clarifies why this is not superficial bookkeeping. If the minimal macro-predictive machine and the minimal full-information machine are equivalent for macro-futures, extra micro-information is redundant for that target (Shalizi and Crutchfield 2001) (Rosas 2024). This gives an exact ideal where closure is not approximate.

The important limitation is equally clear. Exact strong lumpability is uncommon in open, noisy, and path-dependent systems. That limitation motivates the graded extension. It does not undermine the criterion.

### 3.1 Minimal Formal Illustration

The anti-gerrymandering role can be shown with a minimal symbolic example. Let microstates be  $\{x_1, x_2, x_3, x_4\}$  with transition rows:

$$\begin{aligned}P(x_1, \cdot) &= (\alpha, \beta, 0, 0), \\P(x_2, \cdot) &= (\alpha, \beta, 0, 0), \\P(x_3, \cdot) &= (0, 0, \gamma, \delta), \\P(x_4, \cdot) &= (0, 0, \gamma, \delta),\end{aligned}$$

with  $\alpha + \beta = 1$  and  $\gamma + \delta = 1$ .

Partition  $A$  groups  $\{x_1, x_2\}$  and  $\{x_3, x_4\}$ . Partition  $B$  groups  $\{x_1, x_3\}$  and  $\{x_2, x_4\}$ . In  $A$ , members of each macroclass have matching onward profiles to

macroclasses, so macro-transitions are autonomous. In  $B$ , members of one macroclass differ in onward profiles whenever  $\alpha \neq \gamma$ , so the macro-label hides a transition-relevant difference.

The philosophical lesson is simple. Both partitions are definable. Only one preserves transition autonomy. Closure therefore discriminates between legitimate macro-candidates and merely codable aggregates.

### 3.2 If an Unusual Partition Closes

A common reaction is that a strange disjunctive partition might satisfy the formal condition in a symmetric system. That is correct. On this framework, if such a partition genuinely supports autonomous macro-transitions under fixed constraints, it counts as real at that grain.

This is not a concession to arbitrariness. The criterion tracks transition coherence, not intuitive naturalness. If one wants a separate naturalness filter, that is an additional criterion and should be declared as such.

The exclusion claim should therefore be read carefully. The framework excludes dynamically incoherent, high-leak aggregates. It does not exclude every unusual grouping.

### 3.3 Compression and Closure Are Related but Not Identical

The paper relies on compression and closure, but they do different jobs. Compression concerns descriptive economy. Closure concerns autonomous transition structure under admissible interventions.

A representation can compress in-sample trajectories and still fail closure if it hides transition-relevant within-class differences. For that reason, compression is often a useful indicator but not a sufficient objecthood condition.

The division of labor is simple. Compression helps identify promising candidates. Closure carries final ontological weight.

## 4. Approximate Closure Without Instrumentalist Drift

### 4.1 Why Approximation is Expected

In complex systems, boundaries leak and couplings shift across regimes. Exact closure is therefore unusual. A credible realism criterion cannot require perfect closure everywhere.

Approximation here is not concession to arbitrariness. It is the expected non-ideal form of the same criterion, provided constraints are fixed and diagnostics are comparative.

The right ontology test is type-level before token-level. A pattern type can be robustly closed for a regime even when a specific token fails because of boundary violation, atypical perturbation, or timescale mismatch. One anomalous token is

therefore not decisive. The relevant question is whether failures are exceptional or systematic for the type under the stated constraints.

## 4.2 Leakiness as Canonical Target

Leakiness measures how much within-class micro-detail still improves macro-future prediction once current macrostate is fixed. A canonical quantity is  $I(X_t; Z_{t+1} | Z_t)$ . Low values support closure. High values indicate hidden transition-relevant heterogeneity.

In this paper, leakiness is the default target quantity. Other diagnostics, such as within-class transition divergence and predictive gain from added micro-features, function as estimators or proxies when direct estimation is limited.

## 4.3 Procedural Safeguards in Non-Ideal Cases

Approximate closure claims require explicit safeguards.

1. Fix regime, horizon, admissibility class, and diagnostics before comparative scoring.
2. Compare candidate partitions under the same fixed setup.
3. Test robustness under modest changes in horizon and intervention distribution.
4. Report verdicts as robust, qualified, or indeterminate.

This keeps threshold choice procedural rather than discretionary. It also addresses a predictable confusion. Estimation difficulty is an epistemic limit on access, not a defect in the metaphysical criterion itself.

## 4.4 Regime Dependence and Projectibility

Regime dependence does not imply observer-relativity. It states that closure depends on actual transition structure under specified constraints. A pattern can be closed in one regime and leaky in another because the structure has changed.

Projectibility provides a further check. Defensible regime specifications should support stable induction under modest counterfactual variation. Narrowly engineered regimes that protect a favored partition usually fail under small context shifts. When that occurs, the candidate was never robustly closed in the relevant sense.

This is where the paper is closest to Ladyman and Ross. Projectibility is not treated as an optional methodological virtue. It functions as a realism-relevant stress test on whether a closure claim survives beyond calibration conditions (Ladyman and Ross 2007). Closure without projectible robustness is too cheap to support robust objecthood claims.

Regime dependence itself should be split into two forms. Ontic regime dependence occurs when system structure changes, such as phase transitions or boundary-condition shifts. Epistemic regime dependence occurs when measurement or control access changes while underlying structure remains fixed. The first changes what is there to be tracked. The second changes what can be warranted from available evidence.

## 4.5 One Distributed Illustration

Macro does not mean large or spatially contiguous. Coarse-graining can be logical and distributed. Monetary systems illustrate this point without requiring new machinery.

The macro-transition structure of transactions can remain stable across heterogeneous micro-realizations, such as cash tokens, ledger records, and digital balances, under admissible legal and financial interventions. If that stability holds, macro-objecthood is warranted in regime. If implementation channels degrade, closure can fail even while symbolic representations persist.

The illustration does one job only. It shows that closure tracks transition structure, not spatial shape.

## 4.6 Failure Conditions and Downgrade Rules

The framework should also say clearly when commitment should be withdrawn or downgraded. Three failure patterns are especially relevant.

1. Persistent high leakiness across defensible diagnostics under fixed constraints.
2. Strong disagreement among diagnostics that does not resolve under modest robustness checks.
3. High sensitivity of verdicts to small, defensible changes in admissibility or horizon.

When these patterns appear, the right response is not forced binary judgment. The right response is explicit downgrade to qualified or indeterminate status. This keeps the criterion resilient without pretending that every case must produce a sharp ontology verdict.

## 4.7 Stable Versus Merely Entailed Patterns

The graded framework also supports an important distinction. A pattern can be entailed by microhistory and laws without being stable as a macro-handle.

Entailment alone is cheap. Stability under admissible perturbation is demanding.

This distinction matters for permissiveness debates. A contrived disjunctive construction can be true of a realized trajectory while still failing closure. It can require continual within-class micro repair, fail under modest regime shifts, and lose interventional reliability.

So the claim is not that gerrymandered constructions are false. The claim is that they usually fail to qualify as macro-objects. They may remain descriptions, but not object-level descriptions in the relevant regime.

## 4.8 Practical Qualification Under Sparse Intervention Access

In many domains, direct interventions are sparse, ethically constrained, or expensive. This does not invalidate the criterion. It changes evidential strategy.

Where intervention data are limited, predictive closure functions as an operational indicator while interventional closure remains the ontological target. A model can fit historical trajectories and still fail under novel perturbation. For that reason, claims should be qualified by available intervention access and downgraded when out-of-regime behavior is unstable.

The paper therefore does not treat in-sample prediction as decisive. The standard remains robustness under admissible perturbation, even when that robustness must be assessed indirectly.

This point also explains why diagnostics should be triangulated. Predictive closure can look strong in-sample while interventional closure fails under admissible perturbation. Partial observability can hide within-class heterogeneity that later appears as instability. Nonstationarity can make a previously low-leak partition unstable outside its calibration window. Triangulation does not remove these risks, but it makes them visible.

## 4.9 Why Computational Adequacy Is Not Enough

A higher-level model can be computationally useful without meeting closure standards. That is exactly why the criterion is needed.

Computational adequacy means the model serves some predictive or control purpose. Closure requires more: that transition-relevant micro-differences are screened off in the specified regime. A computationally adequate but high-leak representation remains a valuable tool, but it does not automatically earn macro-object status.

## 4.10 Conceptual Contrast: Near-Tie Prediction, Different Ontology Verdicts

Two partitions can show similar short-horizon observational performance and still receive different closure verdicts. This is where the criterion does real philosophical work.

Suppose partition  $P_1$  and partition  $P_2$  produce comparable one-step observational fit in calibration data. Under fixed admissible interventions,  $P_1$  preserves stable macro-transition structure while  $P_2$  requires repeated within-class micro refinements to maintain performance. Observationally, they may look close. Structurally, they are not.

On the present framework,  $P_1$  receives the stronger objecthood verdict because it remains transition-autonomous under the fixed constraints.  $P_2$  can remain useful as a representation, but its dependence on recurring hidden repair counts against macro-object standing.

## 4.11 Reporting Discipline

To keep conclusions comparable across cases, closure assessments should report five items explicitly.

1. Target partition and rationale.
2. Regime and horizon specification.
3. Admissible intervention class and justification.
4. Diagnostics used and their agreement or disagreement.
5. Final verdict category: robust, qualified, or indeterminate.

This reporting structure is simple, but philosophically important. It prevents silent shifts in target, timescale, or admissibility from being mistaken for genuine ontological progress.

For consistent application, the assessment sequence should be explicit.

1. Specify candidate partitions for one target process.
2. Fix regime, horizon  $L$ , admissible intervention class  $\mathcal{I}$ , diagnostics, and model classes in advance.
3. Evaluate all candidates comparatively under that same fixed setup.
4. Report verdict category and sensitivity under modest perturbation checks.

This sequence is not an optional implementation preference. It is part of what makes closure claims epistemically disciplined rather than post hoc.

## 4.12 From Diagnostics to Warranted Commitment

Diagnostics do not replace criterion-level argument. They provide evidence about whether a candidate satisfies the criterion under declared constraints. This distinction matters because reviewers can otherwise misread the framework as reducing ontology to whichever metric happens to be available.

The evidential logic is comparative and convergent. No single proxy is treated as infallible. Instead, confidence increases when different diagnostics track the same rank-order among candidate partitions and when those rankings remain stable under modest perturbation tests. Confidence decreases when diagnostics diverge persistently or when rankings are brittle under small design changes.

This is why verdict categories are graded. Robust verdicts require convergence and stability. Qualified verdicts fit mixed but non-trivial evidence. Indeterminate verdicts fit persistent instability.

The diagnostic set can vary by domain, but it should answer one fixed question: do within-class micro-differences still matter for macro-what-follows? In practice, three checks are usually enough.

1. Leakiness proxy based on predictive gain from added within-class micro-features.
2. Within-class transition-profile divergence.
3. Intervention-response invariance under admissible perturbation.

Agreement across these checks increases warrant. Persistent disagreement is evidence for revision or downgrade, not for forced commitment.

## 5. Objections and Replies

The objections are organized as a progression. The first three target metaphysical permissiveness and explanatory standing. The next three target scope and formal assumptions. The final cluster targets evidential practice and criterion discipline. This ordering is deliberate. It moves from foundational pressure to operational pressure without changing the core thesis.

### 5.1 “This Just Restates Instrumentalism”

Objection: target selection is interest-relative, so the view still collapses into usefulness.

Reply: target selection can be interest-shaped without making closure verdicts preference-shaped. Once regime, horizon, and admissibility are fixed, whether macro-transitions are autonomous is a system fact under explicit constraints.

The stronger version invokes Dennett's Martian. If an ideal micro-predictor can forecast everything, macro realism looks optional. The mistake is to infer ontological redundancy from representational power. Micro omniscience can coexist with objectively better macro bottlenecks for target dynamics. Refusing those bottlenecks increases representational burden. It does not erase macro transition structure.

The deeper point is that observer power changes convenience, not closure facts. Whether a partition is transition-autonomous under fixed constraints does not vary with who computes it.

## 5.2 “Admissibility Smuggles the Outcome”

Objection: admissibility criteria already encode what counts as the relevant level.

Reply: the framework prevents this by hierarchical order and disclosure rules. Admissibility is fixed by physically realizable and regime-preserving control channels before partition scoring. Post hoc admissibility revision triggers restart. This makes back-fitting explicit and sanctionable.

Residual disagreement can remain. The framework does not promise universal convergence from one pass. It promises disciplined comparison and explicit downgrade when verdicts are unstable across nearby defensible admissibility specifications. This is a feature for skeptical readers: hard cases are flagged as unresolved rather than settled by stipulation.

## 5.3 “Causal Exclusion Still Defeats Macro Claims”

Objection: if microphysics is causally complete, macro-level causal claims are redundant (Kim 1998).

Reply: closure does not posit a second fundamental cause layer. It identifies when macro-description is sufficient for prediction and intervention at the relevant target level. Microphysical implementation remains untouched.

The non-redundancy claim is explanatory and control-theoretic. A closed macro-partition carries autonomous transition structure for target dynamics. In that respect, macro-level variables are not placeholders for arbitrary omitted micro-details. They are level-appropriate carriers of intervention-relevant structure.

This reply aligns with interventionist causation. Macro-level interventions can be successful and projectible across perturbations without denying microphysical realization (Woodward 2003) (Pearl 2000). Compatibility with microphysical completeness is a design feature, not a concession.

The overdetermination worry can be handled directly. The framework does not claim two independent sufficient causes at one event. It claims one implemented process with multiple adequate descriptions for different explanatory and control targets. Exclusion pressure weakens once adequacy is indexed to target and intervention class rather than to a single privileged descriptive level.

This leaves a clear limit in place. The paper does not claim that every macro-description is causally on par with every micro-description. It claims that when closure conditions are met, macro-level variables earn non-redundant standing for the relevant explanatory and interventional tasks. That is the level of commitment needed to answer exclusion pressure in its strongest contemporary form (Kim 2005).

Relative to Kim's strongest formulations, the key move is target-indexing rather than layer multiplication (Kim 1998) (Kim 2005). If explanatory and interventional adequacy is indexed to declared macro-targets, a closed macro-description can be non-redundant without competing for micro-level fundamentality.

Readers who reject this move can still accept a narrower conclusion. Even without full macro-causal commitment, closure remains a stringent filter against gerrymandered ontology claims.

#### **5.4 “This Is Just Special-Sciences Autonomy Repackaged”**

Objection: the view sounds like a formal restatement of familiar special-sciences autonomy claims, not a distinctive realism thesis.

Reply: the paper is continuous with autonomy insights, but it is not equivalent to them. Generic autonomy claims often remain permissive about what qualifies as a level-worthy grouping. The present view adds a discriminating closure condition with explicit exclusion and downgrade rules. That addition changes verdict structure.

The difference is practical and philosophical. Practical, because the framework gives a protocol for ruling out high-maintenance, transition-incoherent candidates. Philosophical, because it ties realism commitment to transition autonomy under admissible interventions rather than to explanatory convenience alone. In this respect, causal-emergence style results are treated as evidence within a closure-governed framework, not as a replacement for the criterion itself (Hoel 2013).

So the paper should be read as a constrained realism refinement of autonomy views, not as an unrelated alternative and not as a mere restatement.

#### **5.5 “Pluralism Means Arbitrariness”**

Objection: if multiple grains can satisfy closure, ontology becomes permissive again.

Reply: plurality of closed grains does not imply arbitrariness. Once regime, horizon, and admissibility are fixed, which partitions close is determined by transition structure, not by analyst choice. Plurality can therefore be objective.

When multiple candidates remain viable, the framework permits constrained pluralism. Additional ranking by robustness and minimality is then an objective relation among candidate partitions under fixed constraints, not a convenience-based tie-break by preference.

This is a virtue for complex systems, not an embarrassment. Forcing a single grain in every context would be a stronger and less defensible claim than the paper needs.

#### **5.6 “The Markov Template is Too Restrictive”**

Objection: strong lumpability presupposes first-order Markov microdynamics and excludes memory-dependent systems.

Reply: the criterion is transition sufficiency, not first-order Markovity. Strong lumpability is an exact benchmark. In memory-bearing systems, state can be

enriched with relevant history and the same closure question can be asked over that enriched state. This changes state representation, not criterion content.

Minimality still matters. Enrichment should be the least extension needed to preserve closure performance under fixed constraints.

## 5.7 “Formal Closure Collapses the View into Formalism”

Objection: formal systems are closed by stipulation, so closure cannot ground empirical objecthood.

Reply: stipulated and induced closure must be separated. Formal systems can have objective internal closure under constitutive rules. The present criterion concerns induced closure in implemented spatiotemporal dynamics. Internal formal coherence does not by itself settle empirical macro-objecthood claims.

No collapse follows. The paper’s argument is narrower and clearer: induced closure is the objecthood criterion for implemented macro-patterns in regime.

## 5.8 “Predictive Success, Methodological Role, and Failure Conditions”

Objection: a model can predict well without warranting ontological commitment.

Reply: that is correct, and the framework does not deny it. Prediction alone is not the criterion. The criterion is transition autonomy under admissible interventions and fixed constraints. Predictive success functions as evidence only when it aligns with closure diagnostics and interventional stability.

This is also why the paper uses a two-level commitment structure. Under structural realist and interventionist commitments, closure suffices for macro-objecthood in regime. Without those commitments, closure still serves as a necessary anti-gerrymandering filter. In neither case does raw predictive fit settle ontology by itself.

A second concern is that protocol language turns the paper into a methods note. The response is scope-based. Diagnostics are included to prevent a familiar philosophical failure mode, where a criterion is asserted but not constrained enough to guide verdicts. The paper’s main claim remains conceptual: what the criterion is, why it is needed, and what follows from accepting it.

A third concern is insulation. The framework addresses this by making failure conditions explicit. If candidate partitions repeatedly fail closure diagnostics across defensible regimes and admissibility classes, commitment should be withdrawn or downgraded. The same applies when verdicts are unstable under small, defensible changes in horizon, admissibility specification, or diagnostic proxy.

These conditions are internal to the criterion’s logic. A proposal that cannot risk downgrade is not a serious realism criterion.

## 5.9 “Horizon-Relativity Makes the Criterion Too Weak”

Objection: if closure is indexed to horizon, any candidate can be made to pass at a short enough horizon.

Reply: horizon indexing is a constraint, not an escape clause. The framework requires horizons to be specified in advance, justified by regime structure, and

tested for robustness under modest variation. A candidate that only passes at a razor-thin horizon and fails immediately under slight extension receives, at best, qualified status.

So horizon-relativity does not trivialize the criterion. It forces explicit timescale discipline and prevents silent switching between incompatible temporal targets.

### 5.10 “The Criterion Is Too Conservative”

Objection: by demanding closure and downgrade discipline, the framework may be too conservative and may miss emerging macro-objects.

Reply: conservatism is partly intentional. The paper aims to avoid premature ontological inflation. Still, the framework is not rigid. It allows qualified verdicts for emerging patterns when closure evidence is partial but improving, and it allows verdict revision as regimes stabilize and intervention evidence accumulates.

So the criterion does not require all-or-nothing maturity before any commitment. It requires that commitment strength track available closure evidence. This is a virtue for dynamic systems where objecthood can be developmental rather than instantaneous.

## 6. Conclusion: A Disciplined Realism Claim

The paper advances a constrained upgrade of real-pattern realism. Compression and prediction are retained, but permissiveness is reduced by an explicit closure condition tied to transition autonomy under fixed constraints.

Strong lumpability provides the exact benchmark. Leakiness and convergent diagnostics provide a disciplined non-ideal extension. Together they support a realism claim that is neither naively permissive nor implausibly perfectionist.

The central philosophical move can be stated compactly. Real-pattern realism is plausible but underconstrained. Closure supplies the missing discriminating condition. Exact lumpability and graded leakiness then show how that condition can be used across ideal and non-ideal settings without changing criterion content.

What this framework buys is selective commitment. It supports robust commitment where closure is stable, qualified commitment in borderline cases, and downgrade where verdicts depend on fragile admissibility or horizon choices.

The proposal is intentionally middle-range. It is stronger than permissive pattern realism because it adds explicit exclusion and downgrade structure. It is weaker than a total-level metaphysics because it is restricted to induced closure in spatiotemporal systems under declared constraints.

The paper also marks clear limits. It is not a complete metaphysics of levels and not a universal methods manual. Its contribution is a philosophical criterion with application discipline. Future work should test the criterion across domains by comparing candidate partitions under fixed constraints, rather than by multiplying new concepts. If rejected, it should be rejected because one rejects its stated commitments, not because circularity, instrumentalist drift, or scope ambiguity were left unresolved.

## References

- Dennett, Daniel C. 1991. "Real Patterns." *Journal of Philosophy* 88(1): 27-51. <https://doi.org/10.2307/2027085>.
- Elgin, Catherine Z. 2017. "From Knowledge to Understanding." In *True Enough*, 35-53. Cambridge, MA: MIT Press. <https://doi.org/10.7551/mitpress/9780262036535.003.0003>.
- Hoel, Erik P., Larissa Albantakis, and Giulio Tononi. 2013. "Quantifying Causal Emergence Shows that Macro Can Beat Micro." *Proceedings of the National Academy of Sciences* 110(49): 19790–19795. <https://doi.org/10.1073/pnas.1314922110>.
- Kim, Jaegwon. 1998. *Mind in a physical world*. Cambridge, MA: MIT Press. ISBN 978-0262112345.
- Kim, Jaegwon. 2005. *Physicalism, or Something Near Enough*. Princeton, NJ: Princeton University Press. ISBN 978-0691113753.
- Ladymian, James, and Don Ross. 2007. *Every Thing Must Go: Metaphysics Naturalized*. Oxford: Oxford University Press.
- Pearl, Judea. 2000. *Causality*. Cambridge: Cambridge University Press. ISBN 978-0521773621.
- Rosas, Fernando E., Bernhard C. Geiger, Andrea I. Luppi, Anil K. Seth, Daniel Polani, Michael Gastpar, and Pedro A. M. Mediano. 2024. "Software in the natural world: A computational approach to hierarchical emergence" arXiv preprint arXiv:2402.09090.
- Woodward, James. 2003. *Making Things Happen*. Oxford: Oxford University Press. ISBN 978-0195155273.