Origins and evolution of eukaryotic RNA interference

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Small interfering RNAs (siRNAs) and genome-encoded microRNAs (miRNAs) silence genes via complementary interactions with mRNAs. With thousands of miRNA genes identified and genome sequences of diverse eukaryotes available for comparison, the opportunity emerges for insights into the origin and evolution of RNA interference (RNAi). The miRNA repertoires of plants and animals appear to have evolved independently. However, conservation of the key proteins involved in RNAi suggests that the last common ancestor of modern eukaryotes possessed siRNA-based mechanisms. Prokaryotes have an RNAi-like defense system that is functionally analogous but not homologous to eukaryotic RNAi. The protein machinery of eukaryotic RNAi seems to have been pieced together from ancestral archaeal, bacterial and phage proteins that are involved in DNA repair and RNA processing.

The miRNA and siRNA machinery

Recent transcriptome analyses have shown that most of the eukaryotic genome is transcribed [1,2], and the genomes of all cellular life forms, in addition to protein-coding genes, contain varying numbers of non-protein-coding RNA [3,4]. MicroRNAs (miRNAs) are an abundant class of small (21–22 nucleotides) non-protein-coding RNAs that regulate translation in eukaryotes [5]. miRNAs are key components of a major, evolutionarily conserved system of gene regulation in plants and animals that typically post-transcriptionally downregulates gene expression either by inducing degradation of the target mRNAs, or by blocking their translation [6,7]. miRNA-mediated pathways belong to a vast network of regulatory systems known as RNA interference (RNAi) [4,8]. RNAi consists of three major branches. These branches are small interfering (si)RNA-mediated pathways and miRNA-based pathways that are involved, respectively, in defense against viruses and transposable elements and in regulation of eukaryotic gene expression, and the Piwi-interacting (pi)RNA pathway that appears to be mechanistically distinct from the other two pathways [9].

There are two key differences between miRNA- and siRNA-mediated systems:
(i) miRNAs are endogenous non-protein-coding RNA molecules that are encoded by their own, distinct genes; by contrast, there are no dedicated genes for siRNAs. Instead, siRNAs are either generated by

degradation of exogenous (e.g. viral) double-stranded (ds)RNAs or transcribed from transposable elements integrated in the genome, or from other types of inverted repeats; and
(ii) siRNAs are fully complementary to their targets, whereas miRNAs, at least in animals, show limited complementarity to their recognition sites.

Although the structures and mechanisms of animal and plant miRNAs differ substantially, the same or homologous key proteins are involved both in miRNA biogenesis and in the siRNA pathways, suggesting that animal and plant miRNAs derive from the same, ancestral proto-RNAi system but subsequently evolved along widely different trajectories so that the extant repertoires of miRNAs are unrelated. Prokaryotes have no RNAi systems homologous to the eukaryotic ones, but seem to possess an independently evolved, analogous defense mechanism. However, apparent ancestors of the key protein components of eukaryotic RNAi can be identified among prokaryotic proteins involved in other processes.

Here we discuss the origin and evolution of RNAi systems and miRNAs, with an emphasis on their deep eukaryotic roots and prokaryotic connections. We review evidence on conservation of the key proteins involved in

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Glossary

Bilaterians: members of the animal kingdom exhibiting bilateral symmetry (two similar sides with definite upper and lower surfaces and anterior and posterior ends).
Dicer: ribonuclease that produces ∼22 nucleotide long mature miRNAs containing 5′ phosphate and 3′ hydroxy termini.
Drosha: nuclear endonuclease that cuts stem-loop precursor miRNAs from primary miRNA (pri-miRNA) transcripts in animals.
microRNAs: (miRNAs): an abundant class of small (21–22 nucleotide) non-protein-coding RNAs that regulate translation in eukaryotes.
Mirtrons: pre-miRNAs that are located in introns of different genes and processed first by the pre-mRNA splicing machinery in the nucleus, rather than Drosha, and then by Dicer in the cytoplasm.
piRNAs: Piwi-interacting RNAs, 24–30 nucleotide long RNAs found in germ cells of animals. piRNAs are unique among small silencing RNAs in that they require neither an RdRP nor Dicer for their production.
RNAi: RNA interference, a mechanism that inhibits gene expression at the stage of translation or transcription of specific genes. RNAi consists of two major branches, namely siRNA-mediated gene-silencing and miRNA-based pathways that are involved, respectively, in defense against viruses and transposable elements, and in regulation of eukaryotic gene expression.
Seed complementarity: complementarity of the target to nucleotides 2–7 of miRNA.
siRNAs: small interfering RNAs that are involved in the defense response to alien nucleic acids (e.g. primary antiviral defense) and are fully complementary to their targets.
Slicer: ribonuclease of the Ago family that cleaves target mRNA in siRNA pathways.

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RNAi suggesting that the last common ancestor of modern eukaryotes (LECA) already possessed siRNA-based mechanisms, and implying that miRNA-like regulation in plants and metazoans evolved before the divergence of these two distinct types of multicellular life.

Origin and evolution of eukaryotic RNA interference systems

The staggering complexity of RNAi phenomena notwithstanding, there are only three key proteins involved in RNAi, namely Ago-Piwi, Dicer-like protein that typically consists of RNase III and helicase domains, and RNA-dependent RNA polymerase (RdRP). The diversity of RNAi-related complexes and pathways is created by multiple paralogous forms of these proteins that emerged via numerous duplications at different stages of eukaryotic evolution, along with several accessory proteins (Table 1; Figure 1) [8]. These three key components are present in at least some members of four of the five supergroups of eukaryotes. Notably, excavates either lack Dicer homologs or possess Dicer-like proteins that lack either the helicase component or the tandem RNase III portion (Table 1) [10]. The existence of RNAi in most of the excavates remains an open question [11], but considering the 'star phylogeny' of the five supergroups that is the current best approximation

of early eukaryotic evolution [12], it appears most likely that a functional RNAi system containing, at least, these three proteins antedates the last common ancestor of the extant eukaryotes [13]. Moreover, members of the ancient paralogous pair Ago-Piwi show a scattered distribution in four eukaryotic supergroups (Table 1) [8,14], suggesting that, multiple losses during subsequent evolution notwithstanding, this duplication antedates the radiation of the supergroups. Thus, LECA might have had the capacity for small-RNA-mediated gene silencing both at the level of translation (which involves Ago) and at the level of transcription (which involves Piwi).

Considering the major difference in the miRNA structures in plants and animals (Box 1), it seems likely that the ancestral RNAi system functioned, primarily, in defense against viruses (via cytoplasmic, Ago-centered pathways) and transposons (via nuclear, Piwi-based pathways) [15–18]. The notion that the ancestral RNAi primarily had a defense function is further supported by the inference that LECA possessed RdRP, because in extant eukaryotes the RdRP is primarily involved in siRNA amplification but not in miRNA pathways [19,20]. The defense role of RNAi is widely conserved among eukaryotes but, in addition, distinct miRNA pathways appear to have evolved in animals and plants, and perhaps in fungi as well. An intriguing

Table 1. Distribution of RNAi machinery components in the five supergroups of eukaryotes[a]

Species	Argonaute-Piwi-like		Dicer-like	RdRP	miRNAs (Rfam v10)
	Argonaute	Piwi			
Excavata					
Giardia intestinalis	–	1	(1) No helicase domain; might not be Dicer ortholog	1	–
Trypanosoma brucei	1	1	(1) Dicer-like helicase only	–	–
Trypanosoma cruzi	–	–	–	–	–
Leishmania major	–	–	–	–	–
Leishmania braziliensis	–	1	–	–	–
Chromalveolata					
Paramecium tetraurelia	–	4	2 (Dcr1p without helicase domain)	2	–
Tetrahymena thermophila	–	4	2 (Dcr1p without helicase domain)	1	–
Plasmodium falciparum	–	–	–	–	–
Phytophthora infestans	1	–	?	?	–
Thalassiosira pseudonana	–	–	–	–	–
Archaeplastida					
Cyanidioschyzon merolae	–	–	–	–	
Chlamydomonas reinhardtii	4	–	3	–	+
Arabidopsis thaliana	10	–	4	6	+
Oryza sativa (japonica)	18	–	5	5	+
Unikonta					
Dictyostelium discoideum	–	4	2 (No helicase domain)	3 (2 fused to Dicer-like helicase)	
Entamoeba histolytica	–	3	–	1	–
Saccharomyces cerevisiae	–	–	–	–	–
Schizosaccharomyces pombe	1	–	1	1	–
Neurospora crassa	2	–	3	3	–
Aspergillus nidulans	1	–	2	2	–
Caenorhabditis elegans[b]	5	3	2 (Dicer + Drosha)	4	+
Drosophila melanogaster	2	3	3 (2 Dicers + Drosha)	–	+
Strongylocentrotus purpuratus	1	1	2 (Dicer + Drosha)	–	–
Danio rerio	4	4	2 (Dicer + Drosha)	–	+
Homo sapiens	4	4	2 (Dicer + Drosha)	–	+

[a]The number of detectable paralogs in each genome is indicated; the data are from Refs [8,14] (Argonaute-Piwi) and additional BLASTP searches (for species that encode a single version of the respective protein or no more than four paralogs, all sequences were used as queries to search the NCBI nonredundant protein sequence database; for species with more than four paralogs, 2–3 representative sequences were used).

[b]In addition, the nematode genome encodes a large family of highly derived Argonaute proteins, the Argonaute group 3 [58].
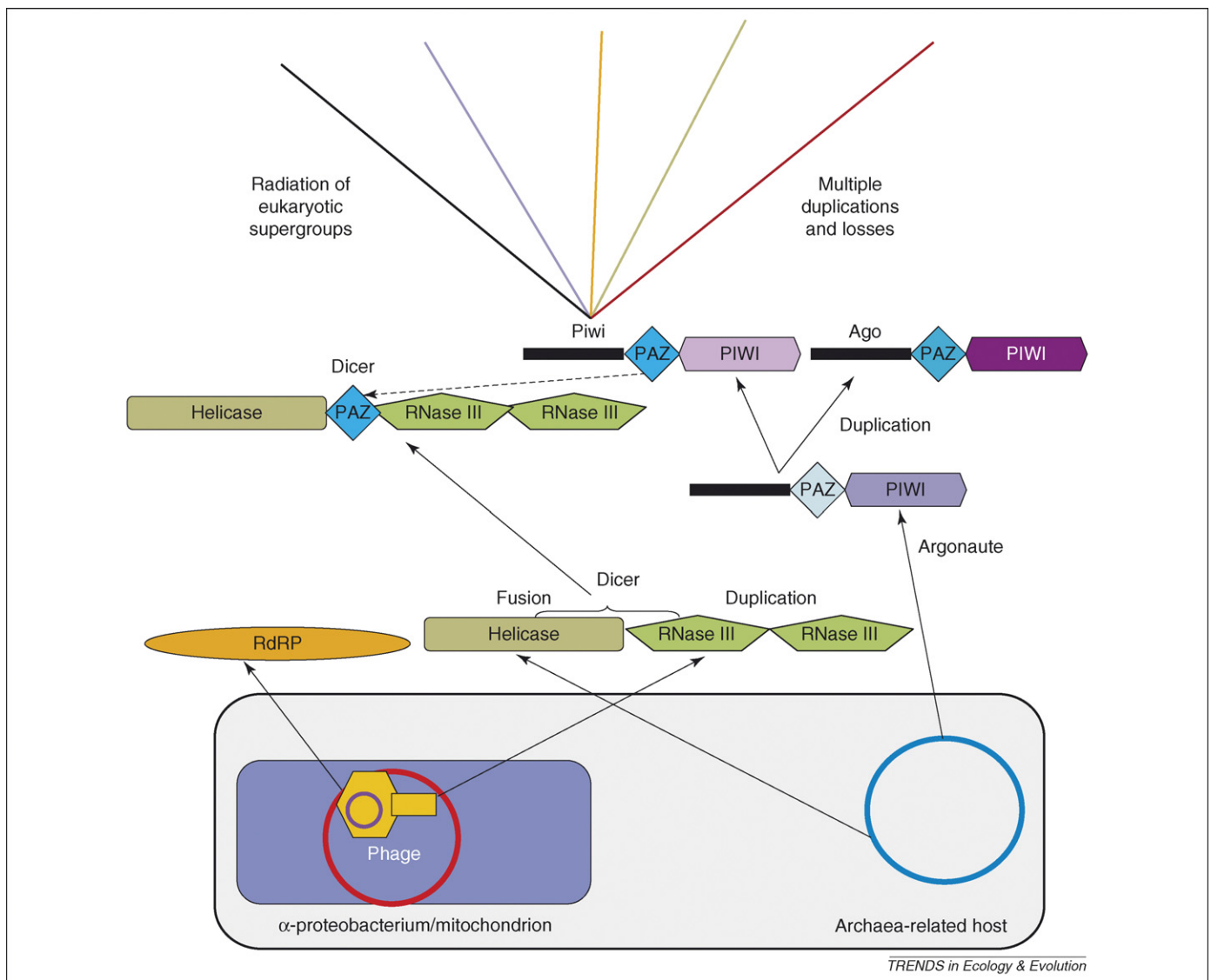
Figure 1. A scenario for the origin and earliest stages of evolution of eukaryotic RNAi protein machinery. The scenario is based on the symbiotic model of eukaryotic cell origin [60]. Domains are depicted by unique shapes; proteins are not shown to scale. Arrows denote inferred derivation of the coding regions for proteins or (in the case of Dicer) individual domains from homologous sequences residing in the genomes of the postulated archaea-related host, the proto-mitochondrial (α-proteobacterial) endosymbiont and a bacteriophage. Considering the spread of protein involved in RNAi among the eukaryotic supergroups (Table 2), the assembly of Dicer from domains of distinct origins and the duplication of Argonaute that produced Ago and Piwi are mapped to the 'stem' phase of eukaryotic evolution (between the symbiosis event that is assumed here to have triggered eukaryogenesis and the radiation of the supergroups that is shown as a multifurcation in the upper part of the figure as per Ref. [12]). The dashed arrow shows the likely derivation of the PAZ domain of Dicer from Piwi subsequent to Argonaute duplication [8]. Evolution of the eukaryotic supergroups involved multiple duplications and losses of key components of RNAi that are not elaborated on in the figure (see Table 1 and Refs [8,13,14]).

question remains as to whether or not LECA also possessed proto-miRNAs, and if it did, what the structure and mechanism of these were.

Several lineages of unicellular eukaryotes from different supergroups, namely *Saccharomyces cerevisiae* (Unikonta), *Trypanosoma cruzi* and *Leishmania major* (Excavata), *Cyanidioschyzon merolae* (Archaeplastida) and *Plasmodium falciparum* (Chromalveolata), appear to have lost the RNAi machinery independently and completely. This supports the notion that, however prominent as a defense and regulatory mechanism, RNAi is nonessential in eukaryotes, specifically in unicellular forms [8]. In many multicellular eukaryotic lineages, RNAi seems to have become essential (as evidenced by the embryonic lethality of Dicer mutants) owing to the involvement of miRNAs in developmental gene regulation [21].

Origin of the key proteins Ago-Piwi, Dicer and RdRP

There seems to be no prokaryotic ancestor of eukaryotic RNAi as a functional system. However, prokaryotic homologs of the three key proteins of eukaryotic RNAi have been identified. These proteins show a peculiar gamut of archaeal and bacterial connections (Table 2). The two enzymatic domains of Dicer, namely RNase III and Superfamily II RNA helicase, are common in prokaryotes, but their combination in a single protein is a eukaryotic signature that is shared by all eukaryotic supergroups. The helicase domain of Dicer is specifically related to the archaeal family of Superfamily II helicases (Hef proteins) [22]. Archaeal Hef proteins have been shown to play an important role in DNA replication [23,24]. By contrast, the RNase III domain, the nuclease domain of Dicer, is mostly of bacterial provenance. In bacteria, RNase III is involved

Table 2. The prokaryotic connections of the key components of the eukaryotic RNAi machinery

Protein	Taxonomic range of homologs in prokaryotes	Closest archaeal homolog (E-value, % identity)	Closest bacterial homolog (E-value, % identity)	Functions of prokaryotic homologs (Ref.)
Dicer helicase[a]	All archaea; no bacteria (only distantly related helicases with statistically insignificant similarity)	ERCC4-like helicase (Hef), uncultured crenarchaeote 31-F-01 (5e-19; 24%)	No significant similarity	Resolution of stalled replication forks [23]
Dicer-RNase III[a]	Several mesophilic archaea; all bacteria	RNase III, *Methanococcus maripaludis* S2 (9e-14; 25%)	RNase III, *Mannheimia haemolytica* PHL213 (1e-14; 27%)	rRNA and mRNA processing [25]
Argonaute[b]	Scattered distribution among archaea and bacteria (mostly, in cyanobacteria)	No significant similarity	No significant similarity	No direct evidence; prokaryotic Piwi-domain proteins are DNA-guided RNA endonucleases, so thought to participate in chromatin remodeling [27,59]
Piwi[c]		Homolog of the eukaryotic Argonaute protein, implicated in translation or RNA processing, *Methanopyrus kandleri* AV19 (0.14, 24%); limited sequence conservation only in Piwi domain	No significant similarity	
RdRP[d]	Bacteriophages and prophages from diverse bacteria; uncharacterized cyanobacterial proteins (possibly, prophage-derived)	No significant similarity	Hypothetical DNA-directed RNA polymerase, *Bacillus* phage 0305phi8–36 (1e-14, 14%, detected in second PSI-BLAST iteration)	Putative DNA-dependent RNA polymerases

[a]The Human Dicer protein sequence (Q9UPY3.2) was employed as the query for searching the NCBI nonredundant protein sequence database using BLASTP.
[b]The Human Argonaute protein sequence (NP_036331) was used as the query.
[c]The Human Piwi-like protein sequence (Q96J94) was used as the query.
[d]The *C. elegans* RdRP sequence (CAA91312) was used as the query.

in essential reactions of rRNA processing as well as mRNA degradation [25]. Conceivably, fusion of the helicase and RNase III domains from different sources was one of the pivotal, early events that led to the consolidation of the eukaryotic RNAi system.

The second key protein, Ago, also displays apparent archaeal roots, because archaeal Ago homologs seem to be more closely related to eukaryotic Ago than bacterial homologs are [26]. The biological functions of the archaeal and bacterial Ago homologs remain unclear, although there is some evidence to suggest that they are involved in chromatin remodeling [27,28]. Ultimately, Ago proteins probably originated from basal DNA replication machinery, as suggested by the structure of the RNase (Slicer) domain which possesses a derived RNase H fold. The presence of multiple Ago-Piwi paralogs in metazoa indicates that animals possess multiple RNA-induced silencing complexes (RISC) that carry out related but

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Box 1. Plant and animal miRNAs

In animals, miRNA genes mostly reside within introns of annotated genes and often form clusters that can be transcribed as a single primary transcript [69]. The majority of plant miRNAs are produced from individual transcription units that are located in intergenic regions [70]. In both animals and plants, the mechanism through which miRNAs are generated is dictated by the highly conserved secondary structures of their transcripts that form stable imperfectly paired hairpins. These hairpins are processed by RNAi machinery into miRNA precursor duplexes [6,71]. The miRNA duplex has essentially the same structure as a double-stranded siRNA [64,72–74], except that the mature miRNA strand is only partially paired to the complementary miRNA* strand.

Processing of miRNA precursors is mediated by proteins of the Dicer family that contain a helicase domain and two tandem RNase III domains [75]. miRNA-processing pathways vary in plants and animals in multiple aspects (see Table I and Figure I). Plants lack an ortholog of Drosha that is predominantly localized in the nucleus in animals (see Figure I). Instead, it is thought that the function of Drosha is carried out by one or more of the specialized Dicer paralogs found in plants.

However, Drosha-mediated cleavage is not the only way to produce canonical pre-miRNA hairpins in animals. An alternative pathway utilizes splicing of suitable RNAs transcribed from introns (mirtrons) that mimic the structural features of pre-miRNAs and enter the miRNA-processing pathway without Drosha-mediated cleavage (Figure Ia). This pathway has been identified in flies, worms [62,63] and mammals [76,77]. The evolutionary conservation of mammalian mirtrons suggests that mirtrons were incorporated into endogenous regulatory pathways at a very early stage. Thus, there seems to be a direct link between the evolution of introns and the evolution of miRNAs. Given that the positions of numerous introns have been conserved throughout the evolution of eukaryotes [78] and massive intron invasion is thought to be one of the pivotal events of eukaryote genesis [60], it seems plausible that mirtrons were already operational in LECA.

The miRNA:miRNA* duplex is further processed in the cytosol by the RNA-induced silencing complex (RISC). The RISC directs gene silencing in both miRNA and siRNA pathways in plants and animals, although the subunit compositions of the miRNA-specific and siRNA-specific RISCs differ (Figure I). The common features of miRNAs and siRNAs in plants suggest that complex RNA-silencing systems evolved before multicellularity and were a feature of primitive eukaryotic cells [79].

Plant miRNAs are almost perfectly complementary to their mRNA targets. By contrast, the complementarity between animal miRNAs and their targets is usually much lower and restricted to the 5′ core miRNA region and, in some cases, an additional region in the 3′ part of the miRNA that compensates for imperfect core pairing [80]. The degree of complementarity between miRNAs and their targets determines, at least in part, the regulatory mechanism (cleavage versus translation repression).
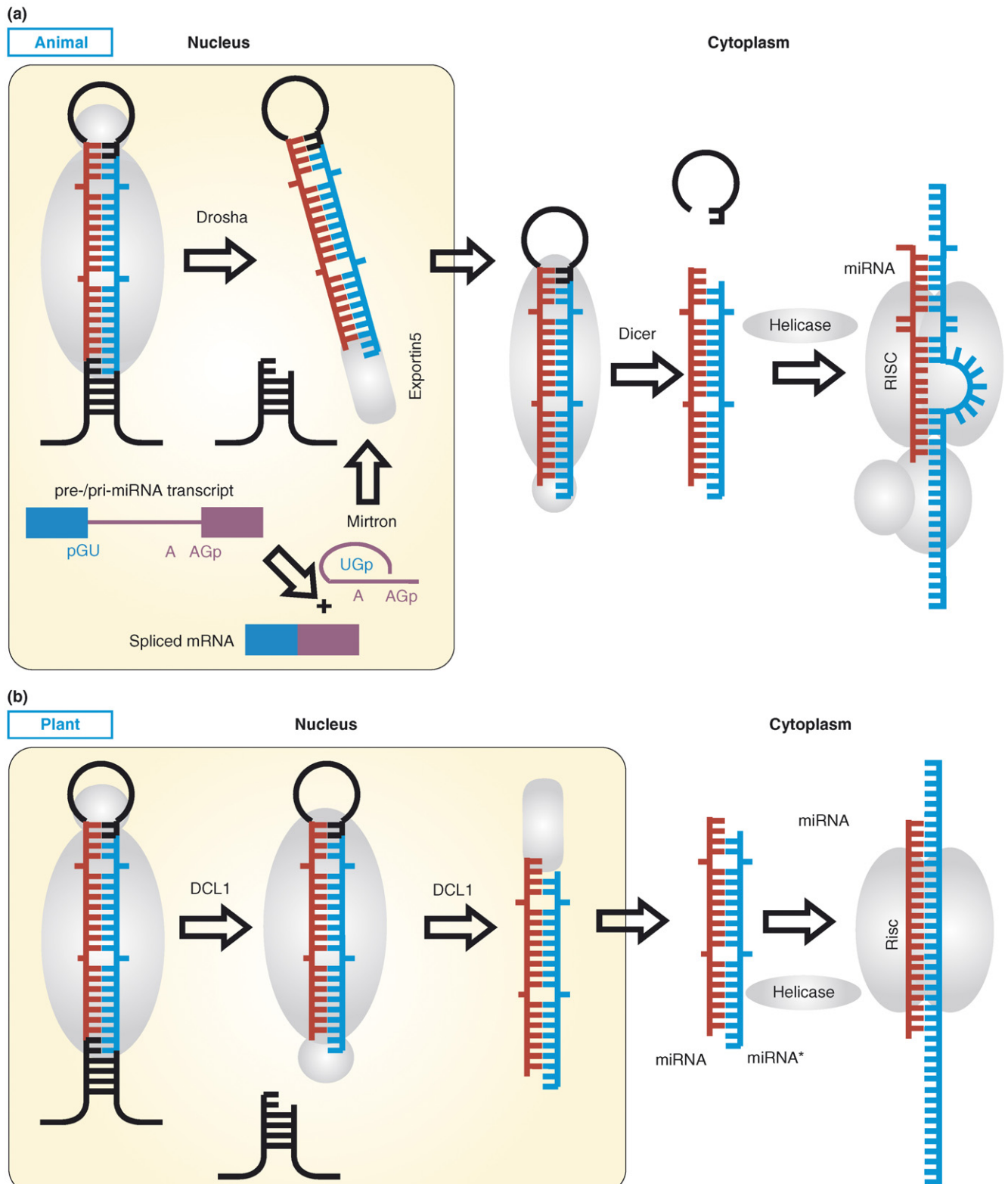
Figure I. miRNA biogenesis pathways in **(a)** animals and **(b)** plants. The canonical and mirtronic biogenesis pathways are shown for animal miRNAs (upper left panel). Mature miRNAs are shown in red; antisense strands are shown in blue. (a) In animals, sequential cleavages of miRNA primary transcripts by two RNase III enzymes, Drosha and Dicer, lead to the formation of small, imperfect dsRNA duplexes (miRNA:miRNA*) that contain both the mature miRNA strand and its complementary strand (miRNA*). Drosha is predominantly localized in the nucleus and cleaves the flanks of pri-miRNA to liberate an ~70–100 nucleotide stem-loop, the precursor miRNA (pre-miRNA) [61]. An alternative pathway for miRNA biogenesis occurs when intronic miRNA precursors (mirtrons) enter the miRNA-processing pathway without a Drosha-mediated step [62,63]. The pre-miRNA is exported from the nucleus to the cytosol by Exportin5/RanGTP that specifically recognizes the characteristic structural features of pre-miRNAs [64]. Dicer is a cytosolic RNase III that functions in a complex with a double-stranded RNA-binding domain (dsRBD) protein partner. Dicer makes two cuts that define the other end of the mature miRNA, producing an ~19–21 nt RNA duplex [65,66]. The miRNA:miRNA* duplex is further processed in the cytosol by the RNA-induced silencing complex (RISC). Only one strand, the miRNA, from the duplex enters the RISC; the other miRNA* strand is degraded. The complementarity between

animal miRNAs and their mRNA targets is usually restricted to the 5′ region, and binding of the RISC blocks translation of the target mRNA into protein [64]. (b) The maturation of miRNAs in plants differs from miRNA maturation in animals. Plants lack an ortholog of Drosha, and it is thought that the function of Drosha is carried out by one or more of the specialized Dicer paralogs found in plants. In particular, Dicer-like 1 (DCL1) is localized in the plant nucleus and is required for both processing steps that are performed in animals by Drosha and Dicer [67], suggesting that DCL1 has Drosha-like activity [68]. As in animals, the miRNA:miRNA* duplex is further processed in the cytosol by RISC, although the subunit composition of the complex differs between plants and animals. When the one-strand RNA guide in the RISC pairs to a target mRNA, the RISC functions as an endonuclease and cleaves the mRNA between the target nucleotides paired to bases 10 and 11 of the miRNA [64].

Table I. A comparison of the miRNA systems of plants and animals

miRNA features	Plants	Animals
Secondary structure	Stem-loop	Stem-loop
Thermodynamic stability	Stable	Stable
dsRNA duplex	Strand asymmetry	Strand asymmetry
Number of miRNA genes	100–200	100–500
Location within genome	Intergenic regions (mostly), introns	Intergenic regions, introns
Clusters of miRNAs	Not common	Common
Biogenesis	Dicer-like	Drosha (or splicing), Dicer
Repression mechanism	mRNA cleavage	Translation repression
Degree of complementarity to target	High	Partial, relatively low
Location of miRNA targets	Open reading frames (mostly)	3′ Untranslated regions (mostly)
Number of miRNA target sites	One (generally)	Multiple (generally)
Function of target genes	Enzymes, regulators of development	Enzymes, regulators of development, structural proteins)
miRNA methylation	3′ End methylated	Not methylated

distinct biological functions [5]. Ago proteins are ubiquitously expressed and bind to siRNAs or miRNAs. By contrast, expression of Piwi proteins is mostly restricted to the germline, and these proteins associate with piRNAs to facilitate silencing of mobile genetic elements and to provide adaptive defense in the transposon arms race [29].

The third key component of the RNAi machinery, the RdRP, appears to share a common origin with the second largest, catalytic subunit of DNA-dependent RNA polymerases [30,31]. The direct ancestors of the eukaryotic

Box 2. RNAi-silencing systems of prokaryotes

RNAi-like mechanisms do exist in prokaryotes and seem to show functional analogies both to the miRNA and the siRNA pathways of eukaryotes, even though the proteins involved in these processes are nonhomologous. A growing repertoire of small, noncoding regulatory RNAs (∼60 in *Escherichia coli*) have been discovered in bacteria and archaea [81], although the repertoire of such segments is relatively small compared to complex eukaryotes. Similarly to eukaryotic miRNAs, these regulatory RNAs show partial complementarity to the target mRNAs and either trigger their degradation or inhibit translation. The action of bacterial small RNAs is mediated by the RNA chaperone Hfq, which is homologous to the core domain of a eukaryotic spliceosome protein rather than to any protein involved in RNAi [77].

In addition to the miRNA-like, Hfq-dependent pathways, an siRNA-type antiphage system has been recently discovered in numerous bacteria and archaea, first by computational prediction [82] and then experimentally [83,84]. The detailed mechanism of this system, named CAS (CRISPR-Associated System), remains to be elucidated, but it seems clear that CAS functions via the siRNA mechanism although with an important difference from eukaryotic RNAi. The functioning of this system involves integration of fragments of foreign genes into CRISPR repeats in prokaryotic genomes, providing heritable immunity to the respective agents. These regions are apparently transcribed yielding siRNA-like molecules that fold into miRNA-like stable hairpins [82,83].

The piRNA system in animals, with small RNAs originating from clustered master loci [85], also bears resemblance to the prokaryotic CRISPR system. The CRISPR loci are associated with a large number of genes that encode proteins with predicted activities involved in the siRNA-like pathway, including a distinct polymerase and helicase as well as several predicted nucleases and RNA-binding proteins [82]. The activities of some of these proteins are thought to be analogous to those of Dicer, Slicer and RdRP, but there are no direct homologies (except for the generic relationship between the respective helicases).

RdRPs are likely to be uncharacterized bacteriophage proteins that, possibly, function as DNA-dependent RNA polymerases [30,31] (Table 2).

With the exception of some highly derived versions of Ago, all three key proteins of RNAi show high levels of sequence conservation among eukaryotes. By contrast, the relationships of these proteins with their prokaryotic homologs are quite different. The two enzymatic domains of Dicer show highly significant sequence similarity to their prokaryotic orthologs that are ubiquitous and, by implication, essential in archaea (helicase) or bacteria (RNase III). By contrast, the prokaryotic homologs of Ago and, especially, RdRP show scattered distributions in archaea and bacteria, and limited, not easily detectable sequence similarity to eukaryotic RNAi components (Table 2). Conceivably, during the emergence of eukaryotic RNAi, the latter two proteins have undergone major mechanistic changes, perhaps linked to the transition from DNA-dependent to RNA-dependent activities, which led to substantial acceleration of evolution.

In conclusion, the evolution of the eukaryotic RNAi machinery seems to have followed the same general principle that governs evolution of other novel eukaryotic functional systems, such as the nuclear pore or the spliceosome [13,32,33]. In each of these cases, the eukaryotic system was pieced together from diverse building blocks of prokaryotic origin, with a substantial change in the specific function of each component. The RNAi protein apparatus is particularly notable in that it seems to have been assembled from three distinct prokaryotic sources, archaeal (the helicase domain of Dicer and Ago), bacterial (the RNase domain of Dicer) and viral (RdRP). Like in other eukaryotic systems, the earliest stages of RNAi evolution involved domain fusion and shuffling that led to the emergence of Dicer via the fusion of the helicase and RNase III domain, and acquisition of the RNA-binding PAZ domain from Piwi. Subsequently, each of these signature eukaryotic systems evolved via a series of duplications, some of which antedate the divergence of the major eukaryotic lineages, and some are lineage–specific [8,13,32,33] (Table 2).

In addition, the fact that the eukaryotic RNAi machinery seems to have been assembled from prokaryotic

proteins involved in DNA-repair and RNA-processing pathways unrelated to RNAi points toward an independent origin of RNAi in eukaryotes. Indeed, whereas prokaryotes possess RNAi-type systems which are functionally similar to eukaryotes, these systems are constructed from very different, nonhomologous proteins (Box 2). It thus appears that, although both prokaryotes and eukaryotes possess multiple RNAi-type pathways, the systems evolved independently.

Evolution of miRNAs

Although thousands of miRNA genes have been discovered, their origin and evolution remain largely obscure. Conserved miRNA families have been identified in many metazoans, indicating that their functions could persist throughout the evolution of animals. Thirty miRNA gene families are present throughout bilaterians [34,35]. However, only three of these miRNA families have been identified in the sequenced genome of an early-branching animal, the sea anemone *Nematostella vectensis* [34]. The dramatic expansion of the miRNA repertoire in bilaterians suggests that increased miRNA-mediated gene regulation accompanied and, probably, substantially contributed to the emergence of complex, organ-containing animal body plans [36]. New miRNAs seem to contribute to functional innovation at later stages of evolution as well [37,38]. An example is a recently described X-linked miRNA cluster with multiple copies in primates but not in rodents or dog [39].

Similar evidence for early evolutionary origin exists for plant miRNAs. A member of the miR166-miR165 family is highly conserved in species ranging from angiosperms to liverworts and hornworts. Thus, miR166 miRNA likely dates back to the last common ancestor of land plants [40].

The two oldest conserved miRNA families, miR166-miR165 in plants and let-7 in animals, are both involved in crucial symmetry-establishing and differentiation events during plant and animal development. Indeed, such evolutionary conservation is expected for loci involved in essential biological functions. Generally, it should be expected that the more fundamental a process regulated by a miRNA is, the greater the likelihood that a common ancestor existed and can be reconstructed. However, in contrast to the conservation of several miRNA families within plants and animals, no compelling cases of cross-kingdom conservation of miRNA are known (with a single potential exception [41]).

The major differences in the biogenesis and mechanisms of action between animal and plant miRNAs (Box 1, Table I) have led to the hypothesis that the two systems originated and evolved independently [42]. However, the mechanism of miRNA generation and action is dictated by their secondary structures in both animals and plants, so parallel selection for specific structures could have played an important role in miRNA evolution (Box 1, Figure I; Box 2). The advent of an RNA-silencing system mediated by miRNAs required coevolution of three complementary or homologous sequences, namely the two miRNA hairpin strands and the target sequence in plants and animals.

There is no definitive answer to the critical unresolved question: did miRNA regulation in plants and metazoans evolve before or after the divergence of these two funda-

mentally different types of multicellular life? Below we discuss several hypotheses and potential mechanisms that have been proposed recently for the evolution of miRNA genes. At least some of these models, such as the origin of miRNAs from transposable elements, are compatible with the emergence of miRNA pathways at an early stage of eukaryotic evolution.

Evolution of miRNAs by duplication and mutation in animals

The evolution of at least some miRNA genes could be explained by a duplication-mutation scenario whereby miRNAs with new binding specificities evolve by duplication of an existing miRNA followed by mutations in the target recognition region. If tandem duplication was the dominant mode of miRNA family evolution, clustering of paralogous miRNA genes in the genome would be expected [41]. Indeed, ~37% of human miRNA genes [28] and ~50% of *Drosophila* miRNA genes are clustered, that is, they form arrays of miRNA genes without intervening genes [6]. Some of the clusters contain multiple miRNA genes that can be transcribed as a single primary transcript [43]. Furthermore, the clusters are likely to be maintained during evolution by selection for coregulation of miRNA expression [6,28]. Thus, clustering of miRNA genes in animal genomes is certainly greater than previously thought and expected by chance, and could reflect the evolutionary mechanisms responsible for spreading of miRNA genes in genomes under the duplication-mutation model.

Inverted duplication model of the origin of plant miRNA genes

miRNA gene families in plants are much larger than in animals. However, plant miRNAs are usually scattered over the genome, and only a few members are occasionally clustered within a range of several kilobases. A possible explanation of miRNA gene scattering is that plant genomes have undergone extensive shuffling since the amplification of ancient miRNA families [44]. For several miRNA genes in *Arabidopsis*, not only the mature miRNAs but also adjacent regions of pre-miRNAs are complementary to their mRNA target. This could be expected if the miRNA genes have originated from inverted duplication of their target loci [45,46]. If this is the case, the genes might be expected to be evolutionarily young. Indeed, sequence analyses of miRNA precursors revealed evidence of recent origins of 16 miRNA loci by inverted duplication of protein-coding sequences [47]. Although the inverted duplication model (Figure 2a) is an attractive explanation for the evolution of perfectly pairing miRNAs in plants, it seems to apply only to nonconserved plant miRNA genes, because no such similarity has been found between conserved miRNA genes and their targets [44]. Furthermore, this model appears irrelevant for animal miRNAs, where complementary sequences in miRNA-binding sites are much shorter than in plants.

Origin of miRNAs from transposable elements and repeats

Many animal miRNAs appear to be derived from repeats and transposable elements (TE). For example, ~20% of human miRNA genes share sequences with TEs [48–50]. In
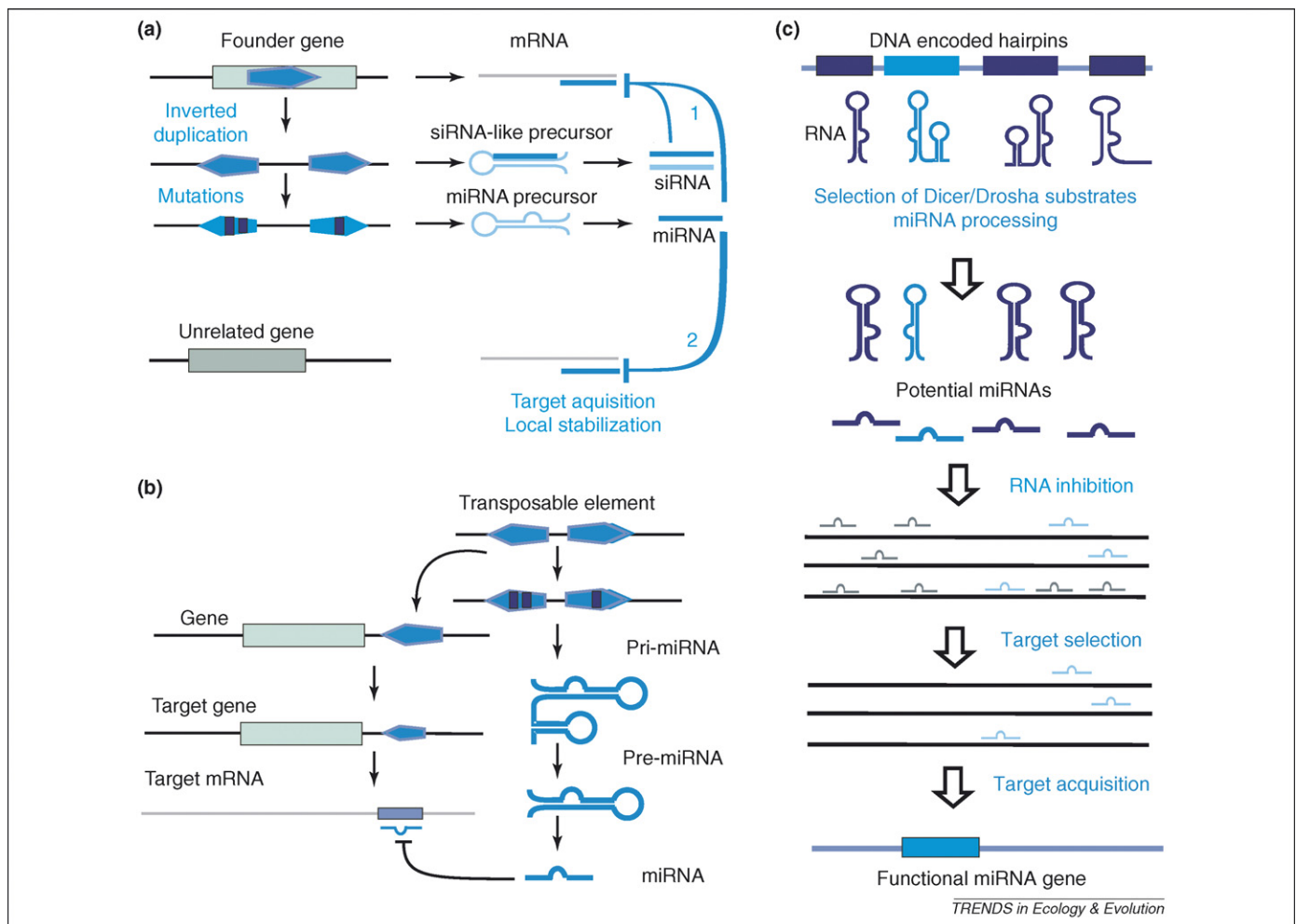
Figure 2. Genesis and evolution of miRNA loci. **(a)** Inverted duplication model of the origin of plant miRNAs [45] and potential pathways of stabilization of new miRNA loci in plants [4]. A new miRNA is selected with the capacity to regulate the progenitor or a family member gene (1) or unrelated gene (2). **(b)** Origin of miRNAs from genomic repeats or transposable elements in animals [48–52]. **(c)** Random selection model of miRNA origin [53]. Potential miRNA genes are selected from hairpins encoded in the genome. Random targeting of transcripts by potential miRNAs could be deleterious, with only a few targets being selectively neutral or advantageous. Acquisition and expression of a potential novel miRNA gene can occur only when, by chance, it is not strongly deleterious [41].

particular, some human miRNAs exhibit typical short seed complementarity with a specific site within *Alu* repeats which are highly conserved within 3′ untranslated regions of human mRNAs [48]. Similarly, it has been proposed that a subset of mammalian miRNA genes originated from MIR/LINE-2 TEs [49]. A recently discovered family of human miRNA genes, hsa-mir-548, was derived from miniature, transposable inverted repeats with a high potential to form extremely stable miRNA-like hairpin structures [51]. The primate-specific hsa-mir-548 family is represented by numerous paralogs in the human genome. Their palindromic organization suggests an origin from actively transcribed TEs that produce transcripts with characteristic miRNA-like hairpins [51]. Thus, insertion of TEs into new genomic sites could be one of the driving forces of miRNA evolution in animals [52,53] (Figure 2b). Recently, many plant TE insertions that encode both siRNAs and miRNAs have been discovered, suggesting that this route of evolution could be important in plants as well [54].

Random selection model
According to this model, spuriously produced potential miRNAs form a pool from which they are randomly drawn

to silence potential mRNA targets [53] (Figure 2c). It has been argued that for many miRNAs, no mRNA targets have been identified. Therefore, the number of functional miRNAs could be much smaller than the number of potential miRNAs that could be randomly produced by Drosha and Dicer via cleavage of suitable miRNA-like hairpin precursors. This random selection model does not explain how 'successful' random miRNAs that are efficient silencers are fixed in the lineage. However, a recent genome-wide bioinformatic screen showed that the human genome encodes millions of potential hairpins, mostly located in the transcribed part of the genome [55]. Thus, a modified random selection model could be envisaged whereby target-specific miRNAs emerge from the pool of hairpin transcripts via random mutation, after which the miRNA-target pair is locked by purifying selection. Indeed, a scenario of this kind is implicit in the transcriptional control model of miRNA evolution, which is further described below.

Transcriptional control model for the emergence of new miRNAs
When a new animal miRNA emerges, it is likely to non-specifically target many mRNAs by chance, because the

minimal binding site is short. The transcriptional control model [41] postulates that, to avoid an adverse effect on the fitness of the organism, the initial transcription level of a novel miRNA should be low. With time, provided that the regulation elicited by the new miRNA is physiologically important, purifying selection would purge deleterious off-target sites from the genome while maintaining physiologically beneficial sites and/or fixing newly emerging ones. After that, expression of the miRNA can increase without deleterious consequences. This scenario is in good agreement with the observation that short 3′ untranslated regions of a large set of genes involved in basic cellular processes are specifically depleted of miRNA-binding sites, which allows them to avoid miRNA regulation [56]. This model incorporates aspects of the random selection model by postulating that numerous, randomly transcribed hairpins serve as a pool of novel miRNA genes that have the potential to eventually acquire regulatory function. This is compatible with the available human miRNA expression data that reveal a strong, positive correlation between the estimated evolutionary age of a miRNA and its expression level [41,57]. This model seems less relevant in plants than in animals owing to the higher specificity of the interaction between plant miRNAs and their targets.

Conclusions and perspective

Comparative genomic analysis shows that the protein machinery of RNAi is conserved in all major eukaryotic lineages, independent loss in many unicellular forms notwithstanding. Thus, it appears most likely that LECA possessed relatively complex RNAi machinery. At a minimum, this primordial RNAi machinery consisted of an Argonaute-like protein, a Piwi-like protein, a Dicer and an RNA-dependent RNA polymerase. Comparison of these proteins with their prokaryotic homologs suggests that, during eukaryogenesis, the protein machinery of RNAi was pieced together from archaeal, bacterial and phage proteins that performed diverse functions in DNA repair and RNA processing, unrelated to RNA interference.

Although aspects of biogenesis and mechanisms of miRNA-mediated gene silencing are substantially different in plants and animals, plant and animal miRNAs share a common processing pathway and certain structural features. Thus, although it remains a distinct possibility that LECA possessed only siRNA-mediated pathways involved in defense against parasites, the alternative, namely that a proto-miRNA system also existed at that early stage of evolution, cannot be ruled out. Considering the constrained structure of miRNAs, it seems likely that the primordial proto-miRNAs evolved from inverted repeats, perhaps derived from transposable elements, and were processed via an siRNA-processing mechanism.

Crucial questions on origins and evolution of the miRNA system remain open, in particular what were the structure and mechanism of biogenesis of ancestral miRNAs, if any such existed, and what is the predominant path(s) of new miRNA generation during the further course of eukaryotic evolution. A comprehensive search for miRNAs in all walks of eukaryotic life and comparative-genomic analysis of miRNA genes are indispensable to address these questions. For such searches to be productive, improved experimental and computational methods for miRNA identification and comparison are required.

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