

## Chapter Four: Submersions, Immersions, and Embeddings

Lee, *An Introduction to Smooth Manifolds*

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**Exercise 4.9** We give a counterexample if  $M$  has boundary. Consider the inclusion  $i : \mathbb{H}^n \rightarrow \mathbb{R}^n$ . This map is clearly smooth since it has the identity as a smooth extension on any open set. Also,  $i$  is an immersion and a submersion. For the differential of  $i$  at a point  $p$  is given by the differential of any smooth extension, so  $Di_p = D(\text{Id})_p$ . However, given  $p \in \partial\mathbb{H}^n$ , there cannot be an inverse diffeomorphism in a neighborhood of  $i(p) \in \mathbb{R}^n$ . For say there is such a diffeomorphism  $G$ . Then  $G$  maps an open subset of  $\mathbb{R}^n$  into a subset of  $\mathbb{H}^n$  that intersects the boundary in  $p$ . But  $G$  is an open map since  $DG_{i(p)}$  has nonzero determinant, so this is a contradiction.

On the other hand,  $M$  is a manifold without boundary and  $N$  a manifold with boundary, a similar argument (in coordinates) shows that  $F$  maps  $M$  into the interior of  $N$ , in which case Proposition 4.8 applies. But if  $F$  is a local diffeomorphism  $M \rightarrow \text{Int } N$ , then it is a local diffeomorphism  $M \rightarrow N$ , since  $\text{Int } N$  is an open subset of  $N$ .

**Exercise 4.24** Let  $A = [0, 1] \subseteq \mathbb{R}$  and consider the inclusion  $i : A \hookrightarrow \mathbb{R}$ . Then  $i$  is a topological embedding since the identity map restricts to a continuous inverse  $i(A) \rightarrow A$ , and it is smooth as a map from a manifold with boundary to a manifold in the obvious coordinates. Since  $i$  is the identity in these coordinates, the differential is injective. Therefore  $i$  is a smooth immersion. But  $[\frac{1}{2}, 1] \subseteq A$  is closed, while  $i(A)$  fails to be closed, and on the other hand  $[0, \frac{1}{2}] \subseteq A$  is open, while  $i(A)$  fails to be open.

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**4.2 A smooth map with surjective differential maps interior points to interior points.** Take  $p \in M$ . Working in coordinates, we can assume that  $M \subseteq \mathbb{R}^n$  and  $N \subseteq \mathbb{H}^m \subset \mathbb{R}^m$ . The assumption that  $dF_p$  is nonsingular means that  $F$  maps an open neighborhood of  $p$  to an open neighborhood of  $F(p)$  in  $\mathbb{R}^m$ . By the smooth invariance of the boundary, this implies that  $F(p)$  is an interior point of  $N$ .

**4.4 A dense curve in  $\mathbb{T}^2$ .** Let  $f(x) = x - [x]$  as in the proof of Lemma 4.21. We have seen that for all  $N$ , there must be a pair of integers  $0 \leq n, m \leq N$  such that  $0 < |f(n\alpha) - f(m\alpha)| = \delta < 1/N$ .

This implies that for all  $t \in [0, 1]$ , there exists  $k$  such that  $|t - f(\alpha k(n - m))| \leq 1/N$ . For if  $t > f(n\alpha)$ , then let  $d = t - f(n\alpha)$  and  $s = \lfloor d/\delta \rfloor$ . Note that  $f(a + b) = f(f(a) + f(b))$  and if  $0 \leq u < 1/f(r)$  then  $f(ur) = uf(r)$ . Therefore

$$f(\alpha(n + s(m - n))) - t = f(f(\alpha n) + s\delta) - t < \epsilon.$$

The argument if  $t < f(n\alpha)$  is similar. This shows that the points  $f(n\alpha) : n \in \mathbb{Z}$  are dense in  $[0, 1]$ , which shows that the points  $e^{2\pi i \alpha n} : n \in \mathbb{Z}$  are dense in  $\mathbb{S}^1$ .

Now, take any point  $p = (e^{2\pi i x}, e^{2\pi i y}) \in \mathbb{T}^2$ . The above allows us to find an integer  $n$  such that  $|e^{2\pi i \alpha n} - e^{2\pi i (x - \alpha y)}| < \epsilon$ . But then

$$e^{2\pi i \alpha (y+n)} - e^{2\pi i x} = e^{2\pi i (\alpha y + \alpha n)} - e^{2\pi i (\alpha y + (x - \alpha y))} = e^{2\pi i \alpha n} - e^{2\pi i (x - \alpha y)}$$

and

$$\gamma(y+n) = (e^{2\pi i \alpha (y+n)}, e^{2\pi i (y+n)}) = (e^{2\pi i \alpha (y+n)}, e^{2\pi i y})$$

so  $\|\gamma(y+n) - p\| < \epsilon$ , as desired.

**4.6 No smooth submersion from a manifold to  $\mathbb{R}^k$ .** Say there is a smooth submersion  $\pi : M \rightarrow \mathbb{R}^k$  for any  $k > 0$ . By Proposition 4.28,  $\pi$  is an open map, so  $\pi(M)$  is open in  $\mathbb{R}^k$ . But continuous mappings are compact mappings, so  $\pi(M)$  is also a compact subset of  $\mathbb{R}^k$ . This contradicts the Heine-Borel theorem.

**4.8 A map that preserves smoothness under composition but is not a smooth submersion.** Clearly  $\pi$  is smooth and surjective. If  $F : \mathbb{R} \rightarrow P$  is smooth then  $F \circ \pi$  is smooth by composition, while if  $F \circ \pi$  is smooth, then  $F$  can be written as the composition  $F \circ \pi \circ \psi$  where  $\psi : x \mapsto (x, 1)$  is smooth, so  $F$  is smooth. But  $\pi$  is not a smooth submersion, since its differential vanishes at  $(0, 0)$ .

**4.10  $S^n$  is a smooth twofold cover of  $\mathbb{R}P^n$ .** Let

$$U_j^+ = \{(x_1, \dots, x_{n+1}) \in S^n : x_j > 0\}$$

and similarly for  $U_j^-$ . Also, let

$$W_j = \{[x_1, \dots, x_{n+1}] \in \mathbb{R}P^n : x_j \neq 0\}.$$

It has already been shown that  $q$  is smooth and surjective, and we know that  $\{W_j : j = 1, \dots, n+1\}$  is a cover of  $\mathbb{R}P^n$ . We claim that for each  $j$ , the components of  $q^{-1}(W_j)$  are  $V_j^+ = U_j^+ \cap q^{-1}(W_j)$  and  $V_j^- = U_j^- \cap q^{-1}(W_j)$  and that  $q$  restricts to a diffeomorphism of each component with  $W_j$ .

To produce a smooth local inverse, define  $r^+ : W_j \rightarrow V_j^+$  by the equation

$$r^+([x_1, \dots, x_{n+1}]) = \frac{x_j}{|x_j| \sqrt{\sum_{i=1}^{n+1} x_i^2}} (x_1, \dots, x_{n+1}),$$

which is easily seen to be well-defined. The coordinate representation using the standard coordinates on  $\mathbb{R}P^n$  and  $S^n$  (Examples 1.4, 1.5) is

$$(x_1, \dots, x_n) \mapsto \frac{1}{\sqrt{1 + \sum_{i=1}^n x_i^2}} (x_1, \dots, x_n)$$

which is clearly smooth. Now if  $x = (x_1, \dots, x_{n+1}) \in U_j^+ \cap q^{-1}(W_j)$ , then  $r^+(q(x)) = \frac{x_j}{|x_j|} (x_1, \dots, x_{n+1}) = x$ , while if  $y = [y_1, \dots, y_n] \in W_j$ , then  $q(r^+([y_1, \dots, y_n])) = [y_1, \dots, y_n]$ . An analogous argument gives an inverse  $r^-$  on  $V_j^-$ .

To show that  $V_j^+$  and  $V_j^-$  are the components of  $q^{-1}(W_j)$ , we note that  $W_j$  is connected, since its coordinate representation is  $\mathbb{R} \setminus \{0\}$ , and so  $r^+(W_j)$  and  $r^-(W_j)$  are connected. But these two sets are clearly disjoint, and since each point in  $\mathbb{R}P^n$  has a two-element preimage, they must be all of  $q^{-1}(W_j)$ .

**4.12 Embedding  $\mathbb{T}^2$  in  $\mathbb{R}^3$ .** Since a smooth covering map is a surjective smooth submersion, and since  $X$  is clearly constant on the fibers of  $\epsilon^2$ , Theorem 4.30 gives that  $X$  descends to a smooth map  $\tilde{X} : \mathbb{T}^2 \rightarrow \mathbb{R}^3$ . Since  $X$  is a submersion and  $\epsilon^2$  a local diffeomorphism,  $\tilde{X}$  is a submersion. It is easily seen to be a bijection, so it is a homeomorphism as a continuous bijection from a compact space into a Hausdorff space. It is straightforward to see that the image of this map is the surface of revolution described on Page 79.