

# Operating System: Chap2 OS Structure

National Tsing Hua University  
2019, Fall Semester



# Outline

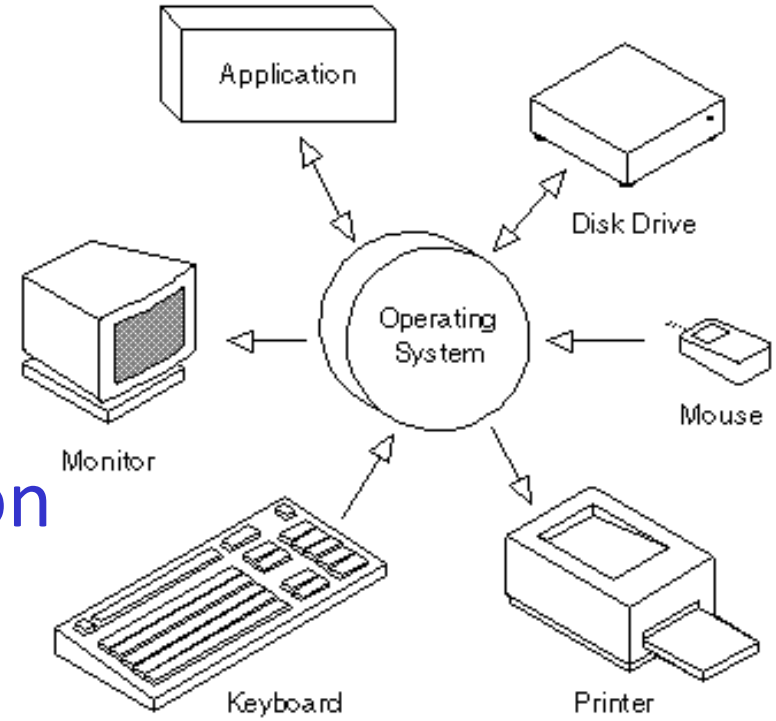
- OS Services
- OS-Application Interface
- OS Structure



# OS Services

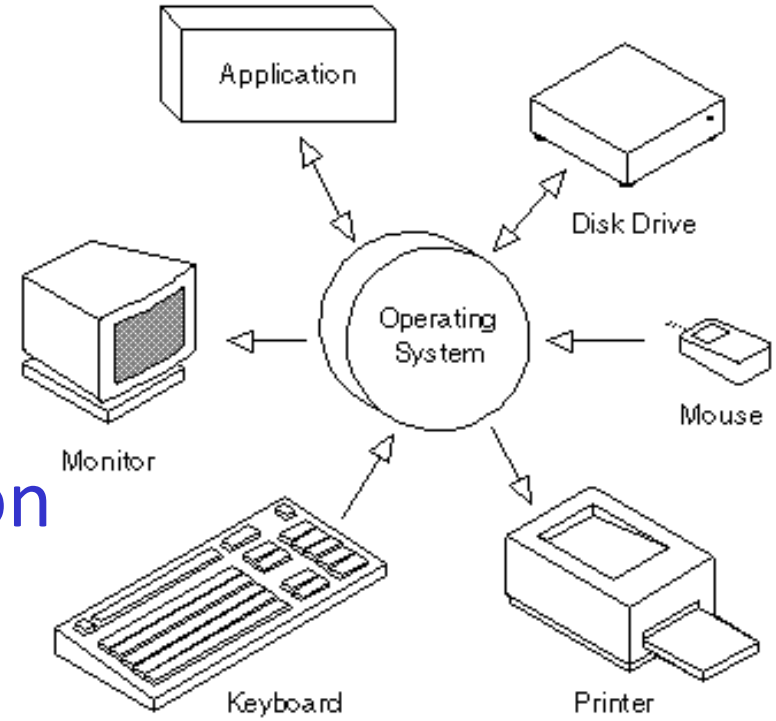
# OS services

- User interface
- Program Execution
- I/O operations
- File-system manipulation
- Communication
- Error detection
- Resource allocation
- Accounting
- Protection and security



# OS services

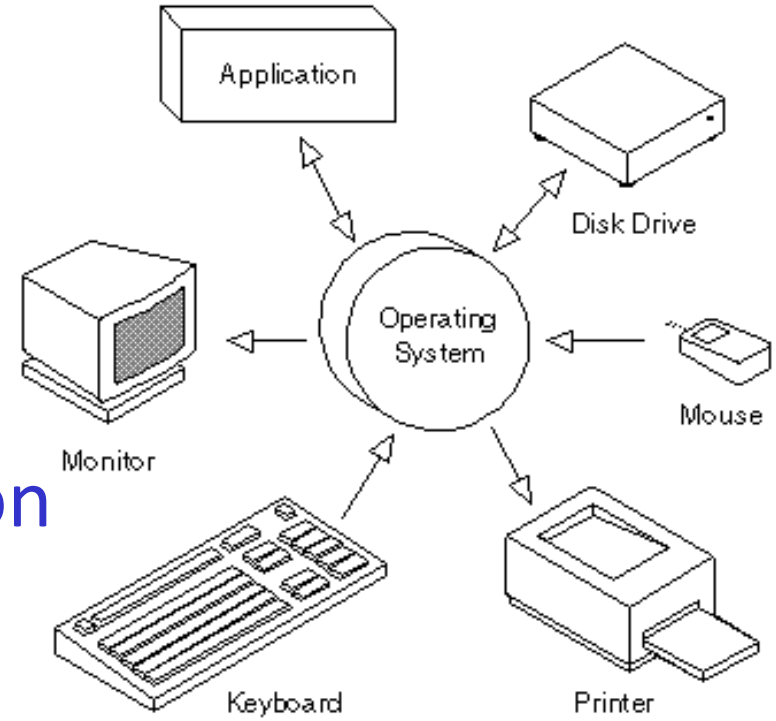
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ensuring the **efficient**  
operation of the **system itself**

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# User Interface

## ■ CLI (Command Line Interface)

- Fetches a command from user and executes it
- **Shell: Command-line interpreter (CSHELL, BASH)**
  - ◆ Adjusted according to user behavior and preference

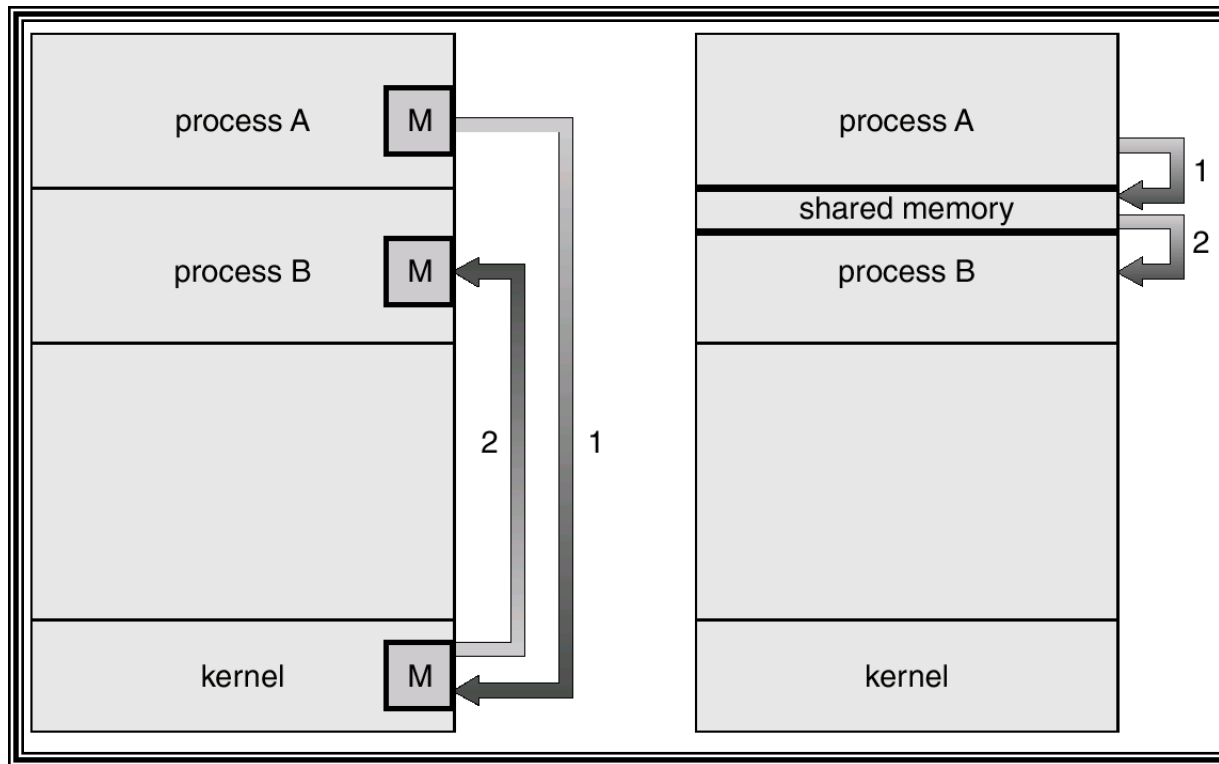
## ■ GUI (Graphic User Interface)

- Usually mouse, keyboard, and monitor
- **Icons** represent files, programs, actions, etc
- Various mouse buttons over objects in the interface cause various actions

## ■ Most systems have both **CLI** and **GUI**

# Communication Models

- Communication may take place using either message passing or shared memory.



Msg Passing

Shared Memory





# Applications-OS Interface

System calls

API

# System Calls

## ■ Request OS services

- **Process control**—abort, create, terminate process  
allocate/free memory
- **File management**—create, delete, open, close file
- **Device management**—read, write, reposition device
- **Information maintenance**—get time or date
- **Communications**—send receive message

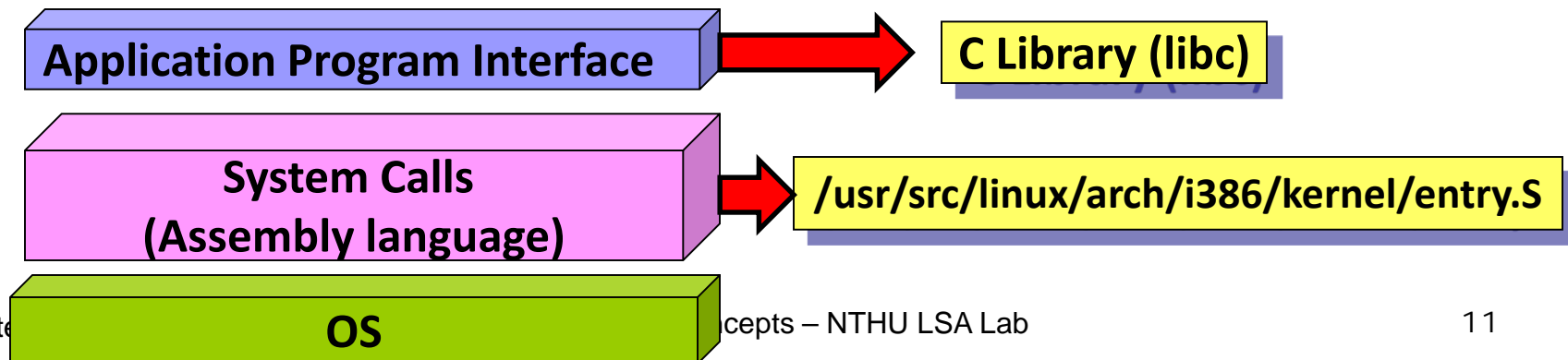
# System Calls & API

## ■ System calls

- The **OS interface** to a running program
- An explicit request to the **kernel** made via a **software interrupt**
- Generally available as **assembly-language** instructions

## ■ API: Application Program Interface

- Users mostly program against API instead of system call
- Commonly implemented by language libraries, e.g., **C Library**
- An API call could involve **zero or multiple system call**
  - ◆ Both malloc() and free() use system call brk()
  - ◆ Math API functions, such as abs(), don't need to involve system call



# Interface vs. Library

- User program:

```
printf("%d", exp2(int x, int y));
```

- Interface:

```
int exp2(int x, int y);
```

i.e. return the value of  $X \cdot 2^y$

- Library:

```
Imp1: int exp2(int x, int y) { for (int i=0; i<y; i++) x=x*2; return x;}
```

```
Imp2: int exp2(int x, int y) { x = x << y; return x;}
```

```
Imp3: int exp2(int x, int y) { return HW_EXP(x,y);}
```

# API: Application Program Interface

## ■ Three most common APIs:

### ➤ Win32 API for Windows

- ◆ [http://en.wikipedia.org/wiki/Windows\\_API](http://en.wikipedia.org/wiki/Windows_API)
- ◆ <http://msdn.microsoft.com/en-us/library/windows/desktop/ff818516%28v=vs.85%29.aspx>

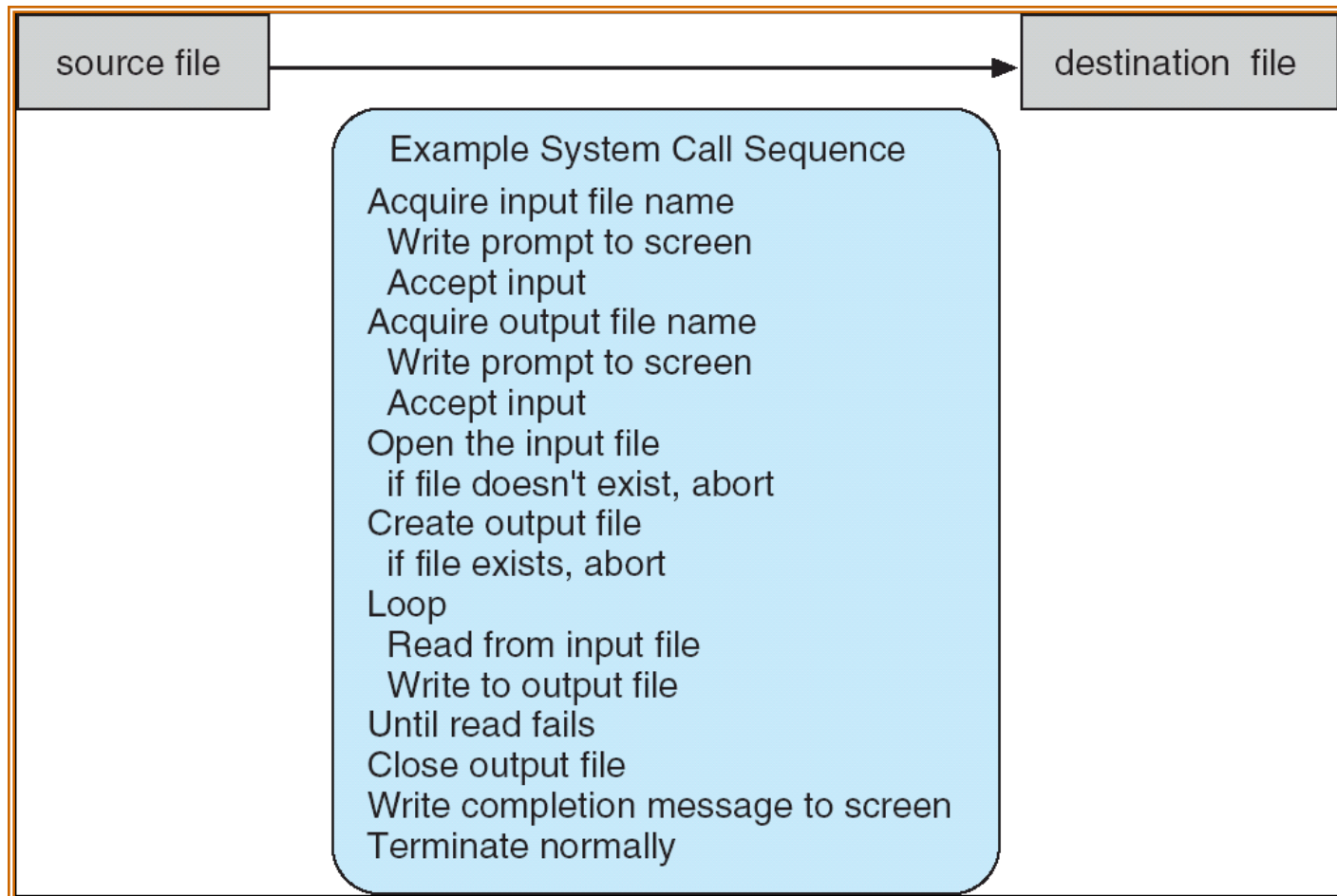
### ➤ POSIX API for POSIX-based systems (including virtually all versions of UNIX, Linux, and Mac OS X)

- ◆ POSIX ➔ “Portable Operating System Interface for Unix”
- ◆ <http://en.wikipedia.org/wiki/POSIX>
- ◆ [http://www.unix.org/version4/GS5\\_APIs.pdf](http://www.unix.org/version4/GS5_APIs.pdf)

### ➤ Java API for the Java virtual machine (JVM)

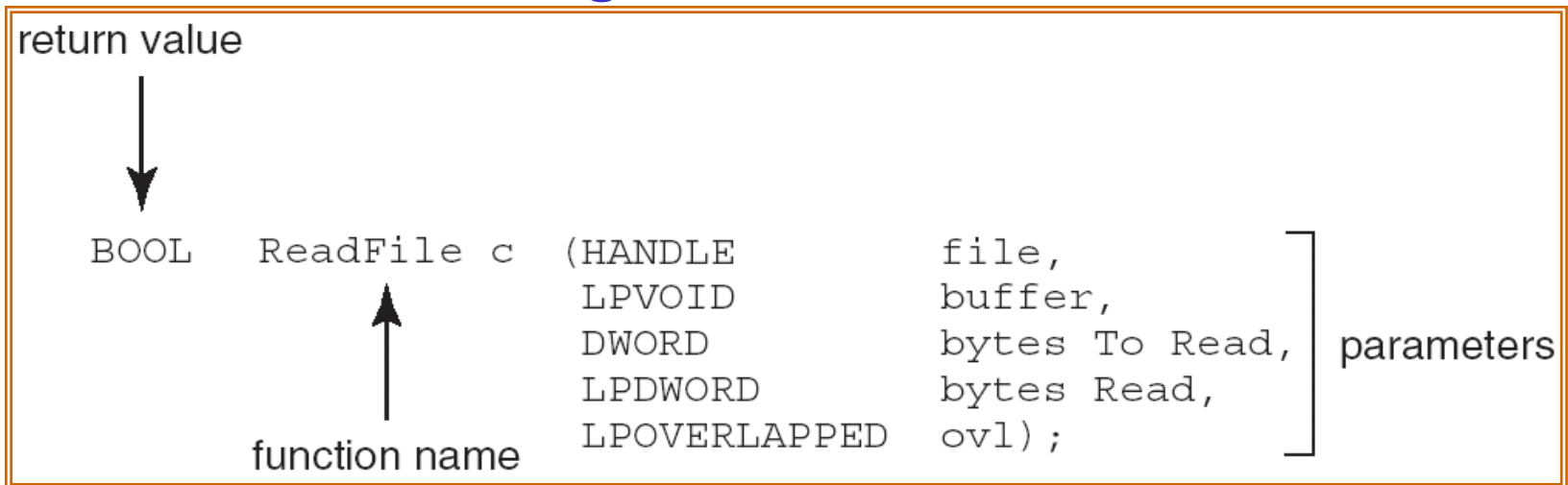
# An Example of System Calls

- System call sequence to copy the contents of one file to another file



# An Example of Standard API

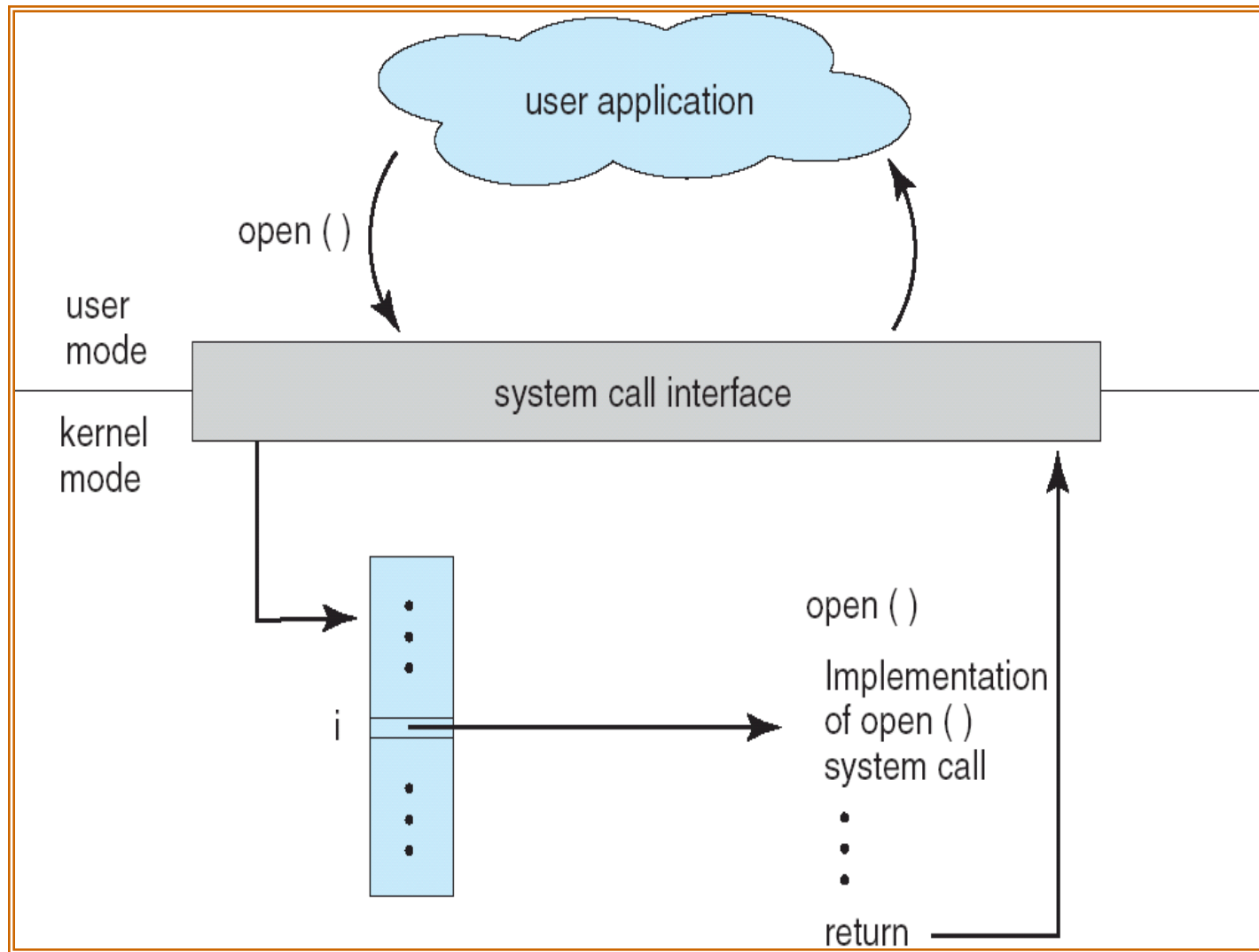
- Consider the ReadFile() function in the Win32 API—a function for reading from a file



## A description of the parameters passed to ReadFile()

- `HANDLE file`—the file to be read
- `LPVOID buffer`—a buffer where the data will be read into and written from
- `DWORD bytesToRead`—the number of bytes to be read into the buffer
- `LPDWORD bytesRead`—the number of bytes read during the last read
- `LPOVERLAPPED ovl`—indicates if overlapped I/O is being used

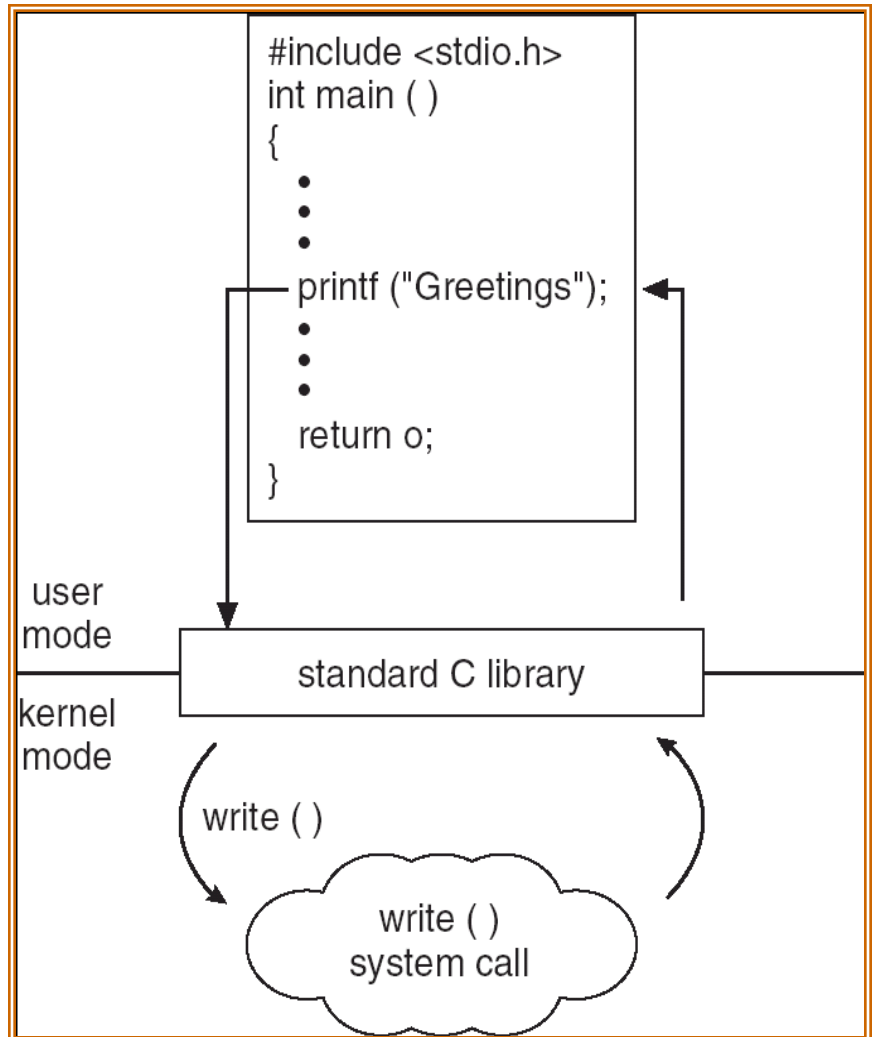
# API – System Call – OS Relationship





# Standard C Library Example

- C program invoking printf() library call, which calls write() system call



# Why use API?

## ■ Simplicity

- API is designed for applications

## ■ Portability

- API is an unified defined interface

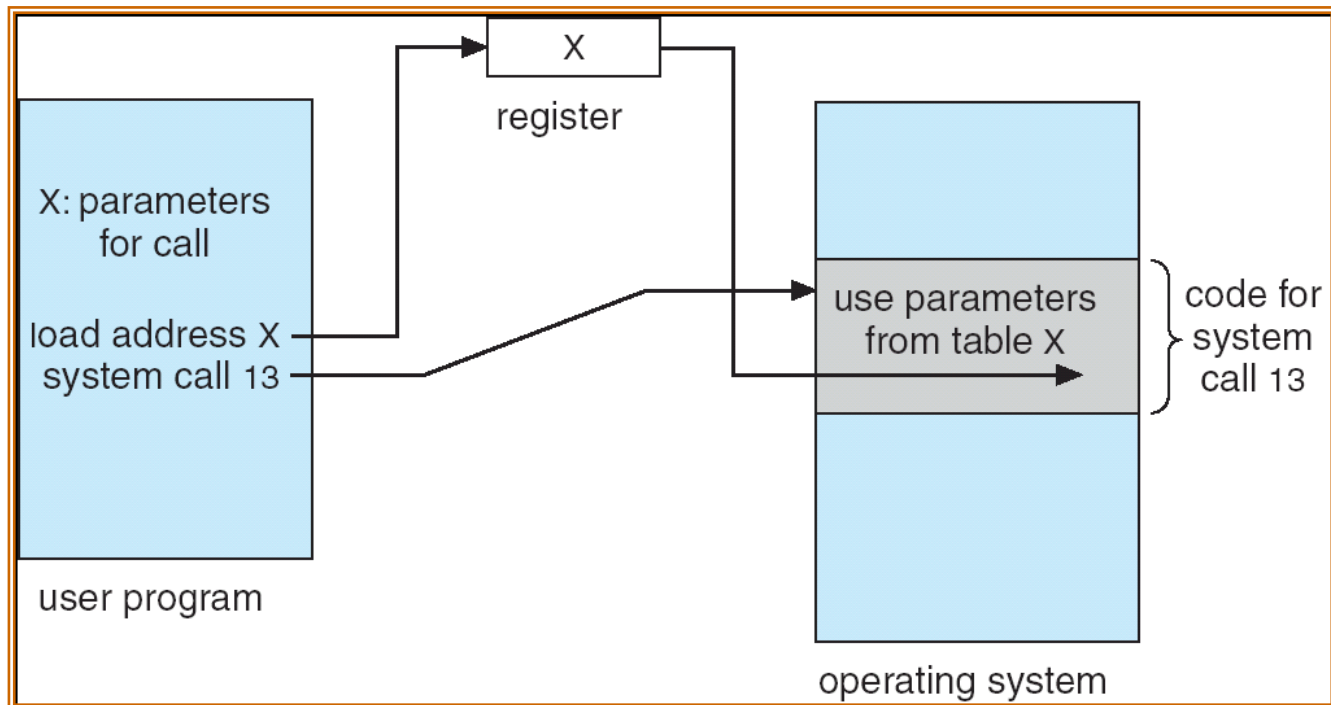
## ■ Efficiency

- Not all functions require OS services or involve kernel

# System Calls: Passing Parameters

- Three general methods are used to pass parameters between a running program and the operating system.
  - Pass parameters in registers
  - Store the parameters in a table in memory, and the table address is passed as a parameter in a register
  - Push (store) the parameters onto the stack by the program, and pop off the stack by operating system

# Parameter Passing via Table



# Review Slides (1)

- What are the two communication models provided by OS?
- What is the relationship between system calls, API and C library?
- Why use API rather than system calls?



# System Structure:

Simple OS Architecture

Layer OS Architecture

Microkernel OS

Modular OS Structure

Virtual Machine

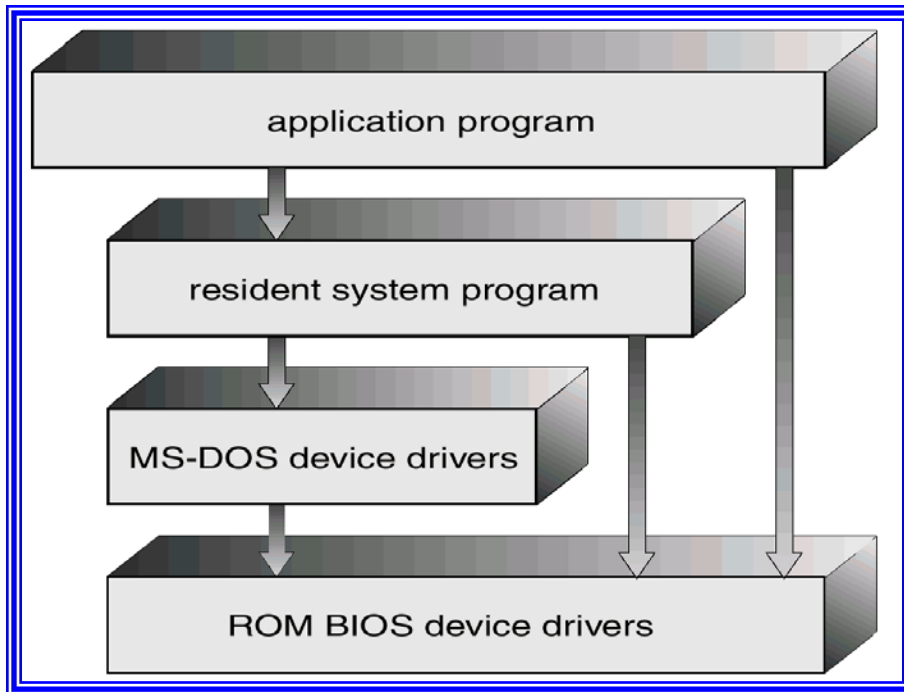
Java Virtual Machine

# User goals and System goals

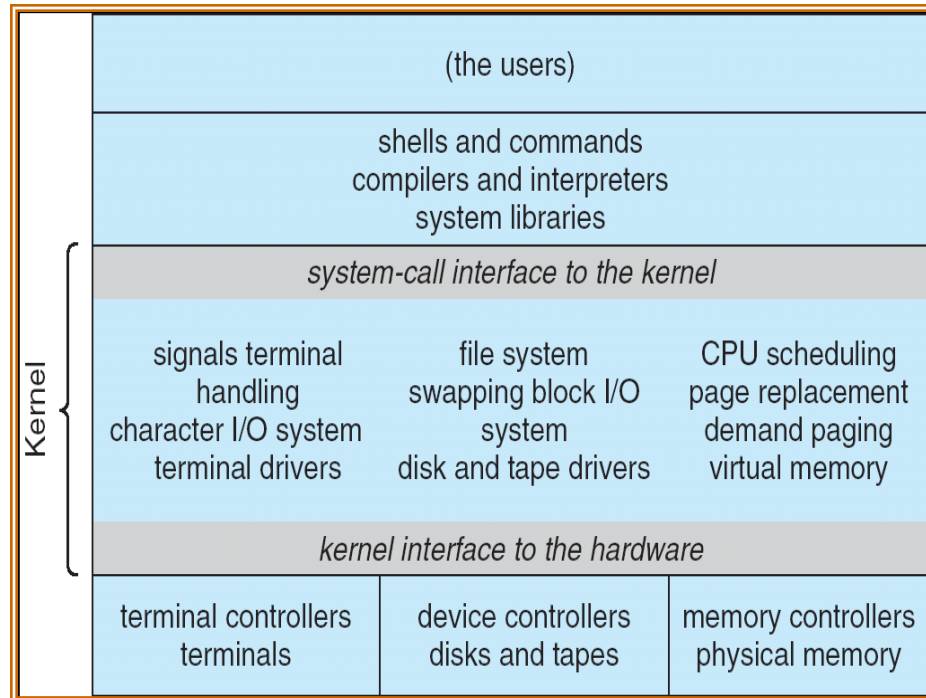
- User goals – operating system should be **easy to use** and **learn**, as well as **reliable**, **safe**, and **fast**
- System goals – operating system should be **easy to design, implement, and maintain**, as well as **reliable**, **error-free**, and **efficient**

# Simple OS Architecture

- Only one or two levels of code
- Drawbacks: Un-safe, difficult to enhance



MS-DOS

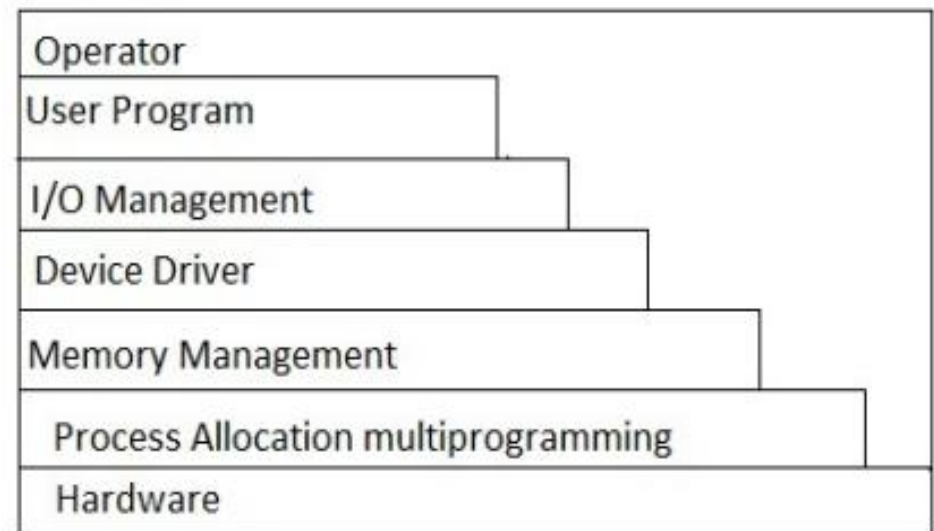
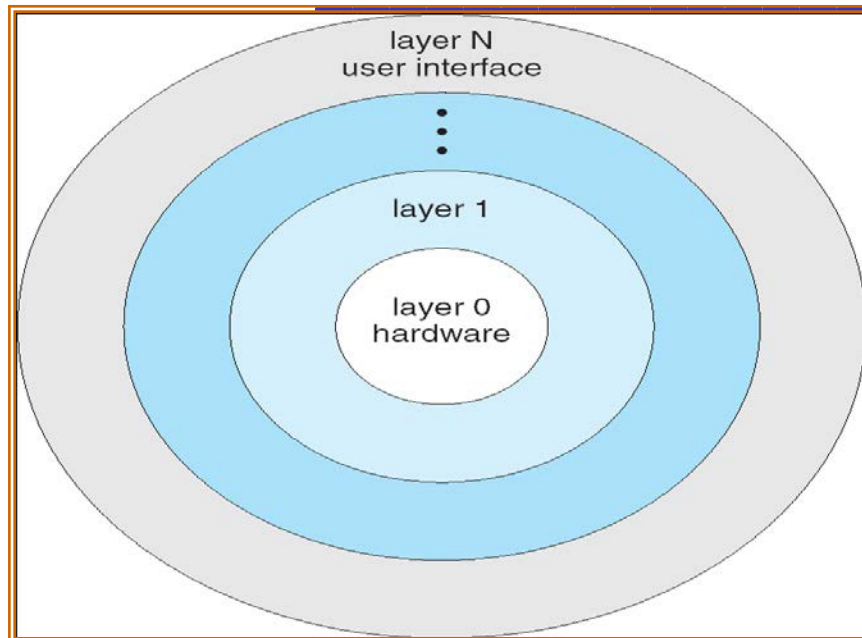


UNIX



# Layered OS Architecture

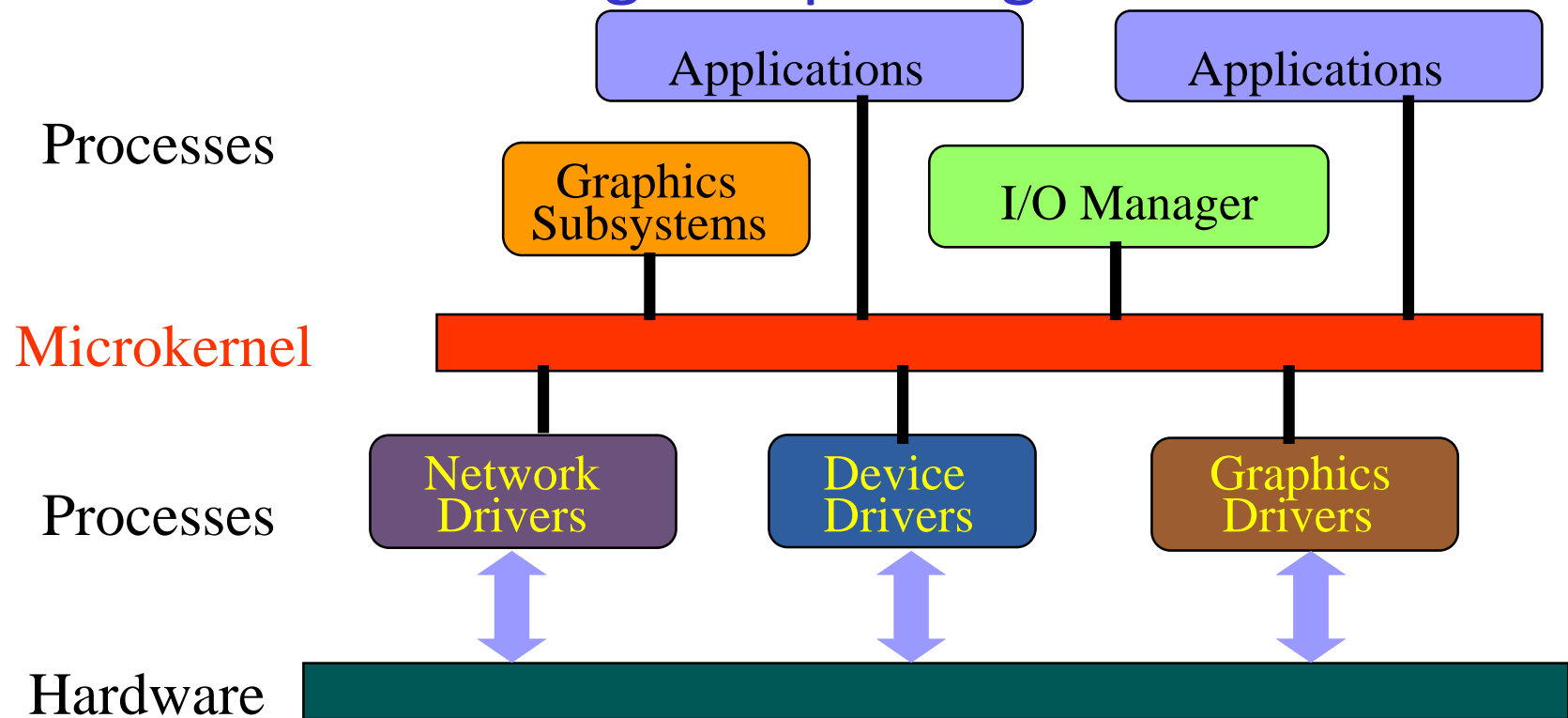
- Lower levels independent of upper levels
  - $N^{\text{th}}$  layer can only access services provided by  $0 \sim (N-1)^{\text{th}}$  layer
- Pros: Easier debugging/maintenance
- Cons: Less efficient, difficult to define layers



**fig:- layered Architecture**

# Microkernel OS

- Moves as much from the kernel into “*user*” space
- Communication is provided by message passing
- Easier for extending and porting



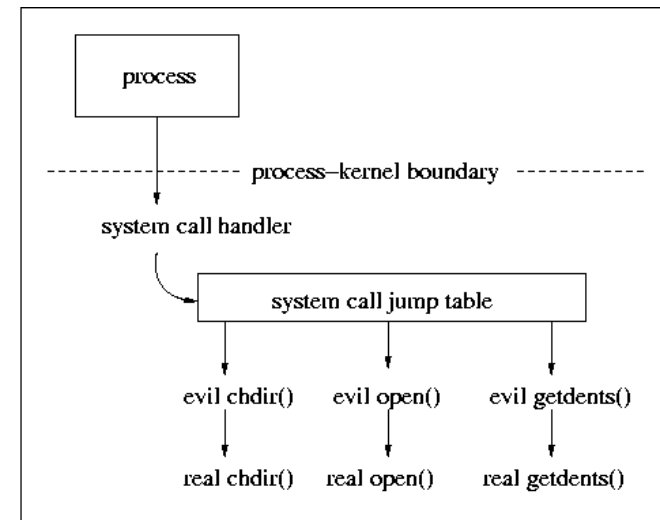
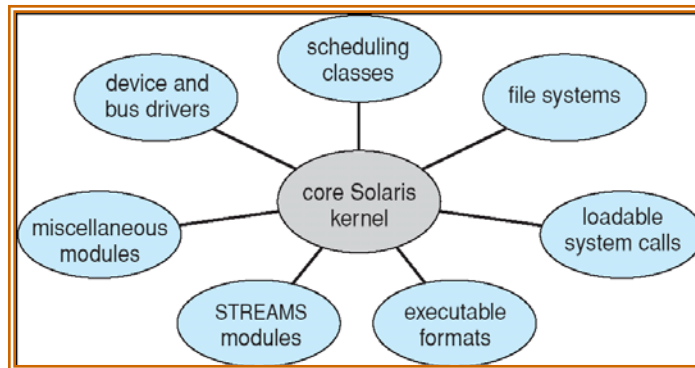
# Modular OS Architecture

- Most modern OS implement **kernel modules**

- Uses **object-oriented approach**
- Each core **component is separate**
- Each talks to the others over **known interfaces**
- Each is **loadable** as needed within the kernel

- Similar to layers but with more flexible

- E.g., Solaris



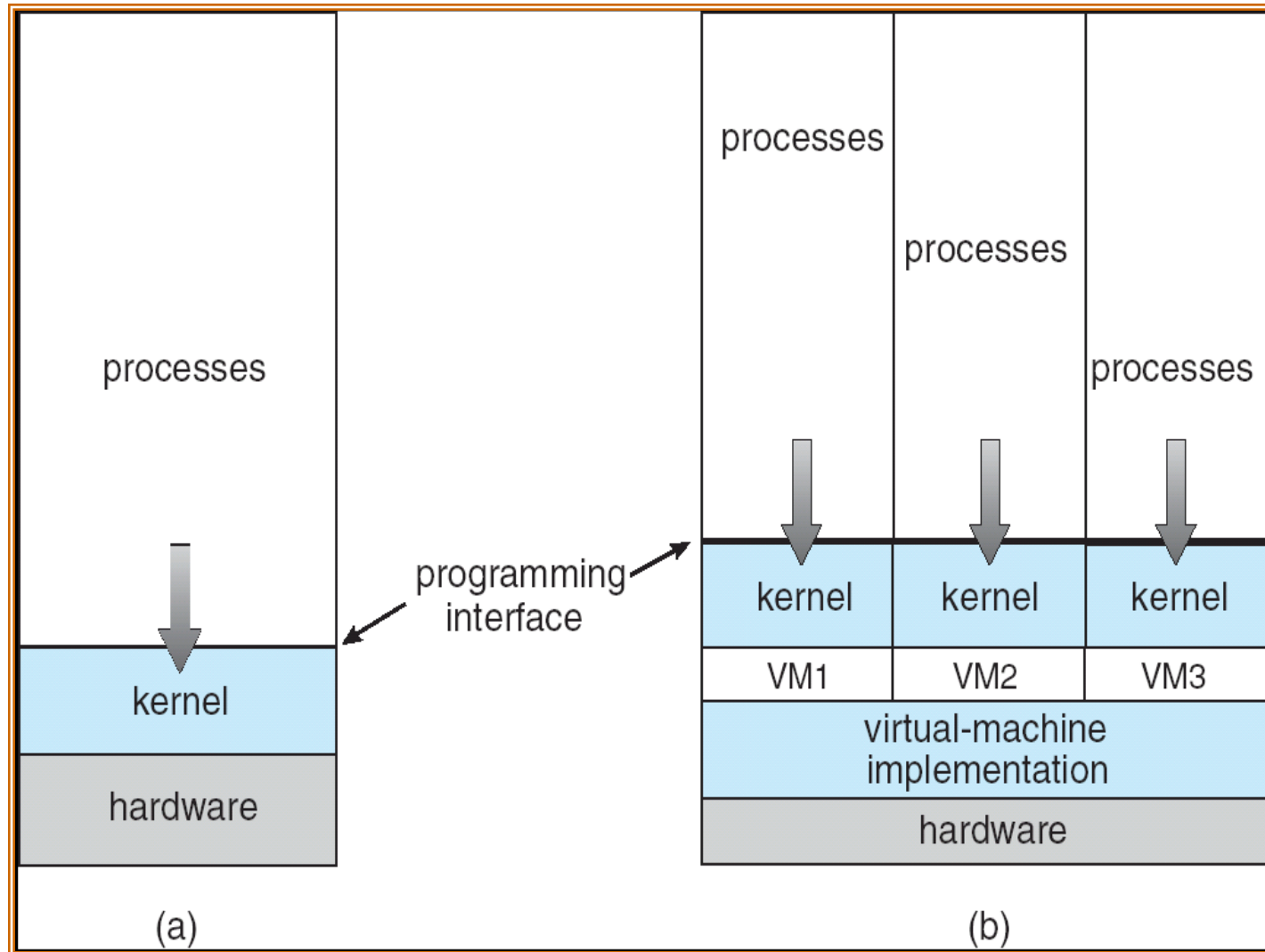
- How to write kernel module

- [http://www.linuxchix.org/content/courses/kernel\\_hacking/lesson8](http://www.linuxchix.org/content/courses/kernel_hacking/lesson8)
- [http://en.wikibooks.org/wiki/The\\_Linux\\_Kernel/Modules](http://en.wikibooks.org/wiki/The_Linux_Kernel/Modules)
- [https://www.thc.org/papers/LKM\\_HACKING.html](https://www.thc.org/papers/LKM_HACKING.html)

# Virtual Machine

- *A virtual machine* takes the **layered** approach to its logical conclusion
  - It treats hardware and the **operating system** kernel as though they were all hardware
- A virtual machine provides an interface *identical* to the underlying bare hardware
  - Each process is provided with a (virtual) copy of the underlying computer
- Difficult to achieve due to “***critical instruction***”

# Virtual Machine

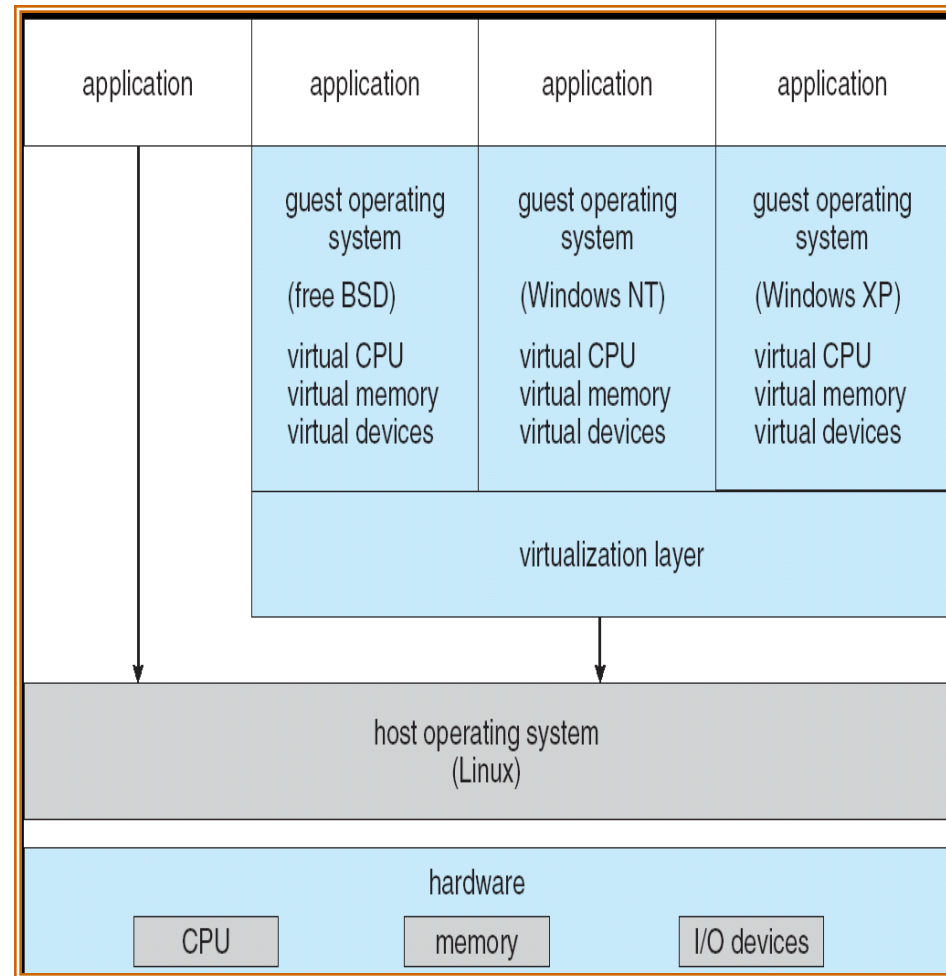


# Usage of Virtual Machine

- provides complete protection of system resources
- a means to solve system compatibility problems
- a perfect vehicle for operating-systems research and development
- A mean to increase resources utilization in cloud computing

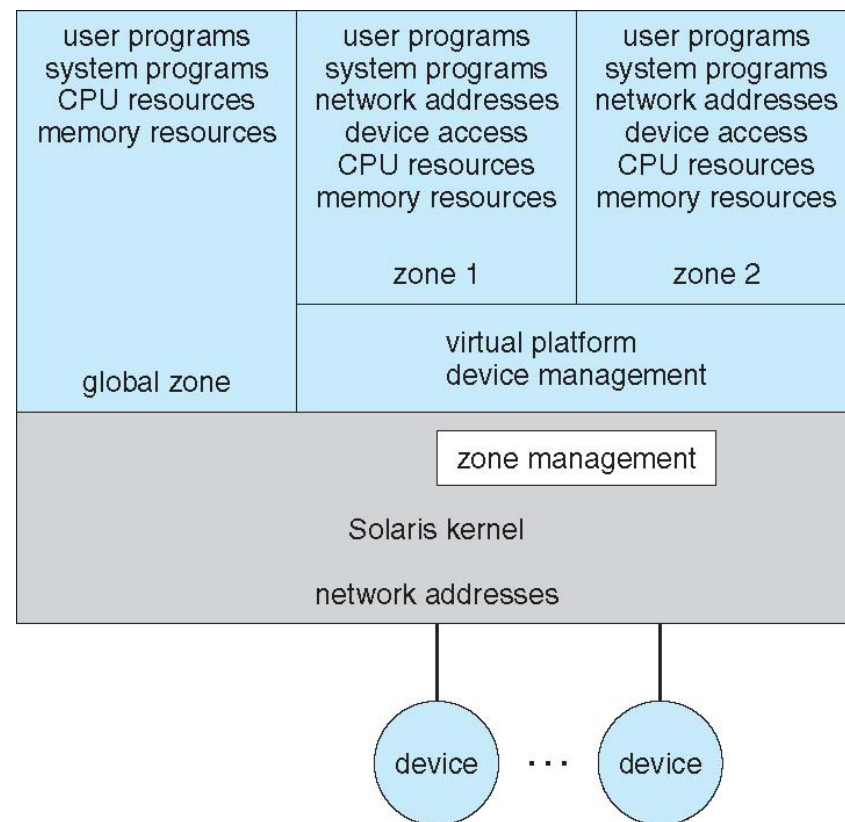
# Full Virtualization: VMware/KVM

- Run in **user** mode as an application on top of OS
- Virtual machine believe they are running on bare hardware but **in fact** are running inside a user-level application



# Para-virtualization: Xen

- Presents guest with system **similar but not identical** to the guest's preferred systems (**Guest must be modified**)
- **Hardware** rather than **OS** and **its devices are virtualized** (Only one kernel installed)
- Within a **container (zone)** processes thought they are the only processes on the system

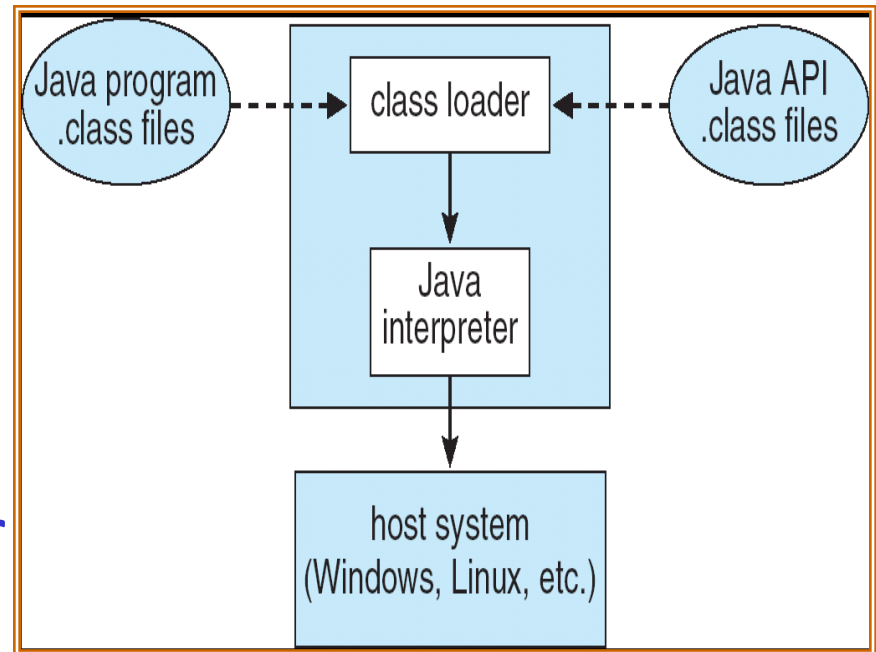


- **Solaris 10:** creates a virtual layer between OS and the applications



# Java Virtual Machine

- Compiled Java programs are **platform-neutral bytecodes** executed by a **Java Virtual Machine (JVM)**
- JVM consists of
  - class loader
  - class verifier
  - runtime interpreter
- **Just-In-Time (JIT)** compilers increase performance



# Review Slides (2)

- What is the difference between the layer approach, the modular approach and microkernel?
- What are the advantages of using virtual machine?

# Reading Material & HW

## ■ Chap 2

## ■ HW (Problem set)

- 2.7: What is the purpose of the command interpreter? Why is it usually separate from the kernel?
- 2.10: What is the main advantage of the layered approach to system design? What are the disadvantages of using the layered approach?
- 2.13: What is the main advantage of the microkernel approach to system design? How do user programs and system services interact in a microkernel architecture? What are the disadvantages of using the microkernel approach?

# Reading Material & HW

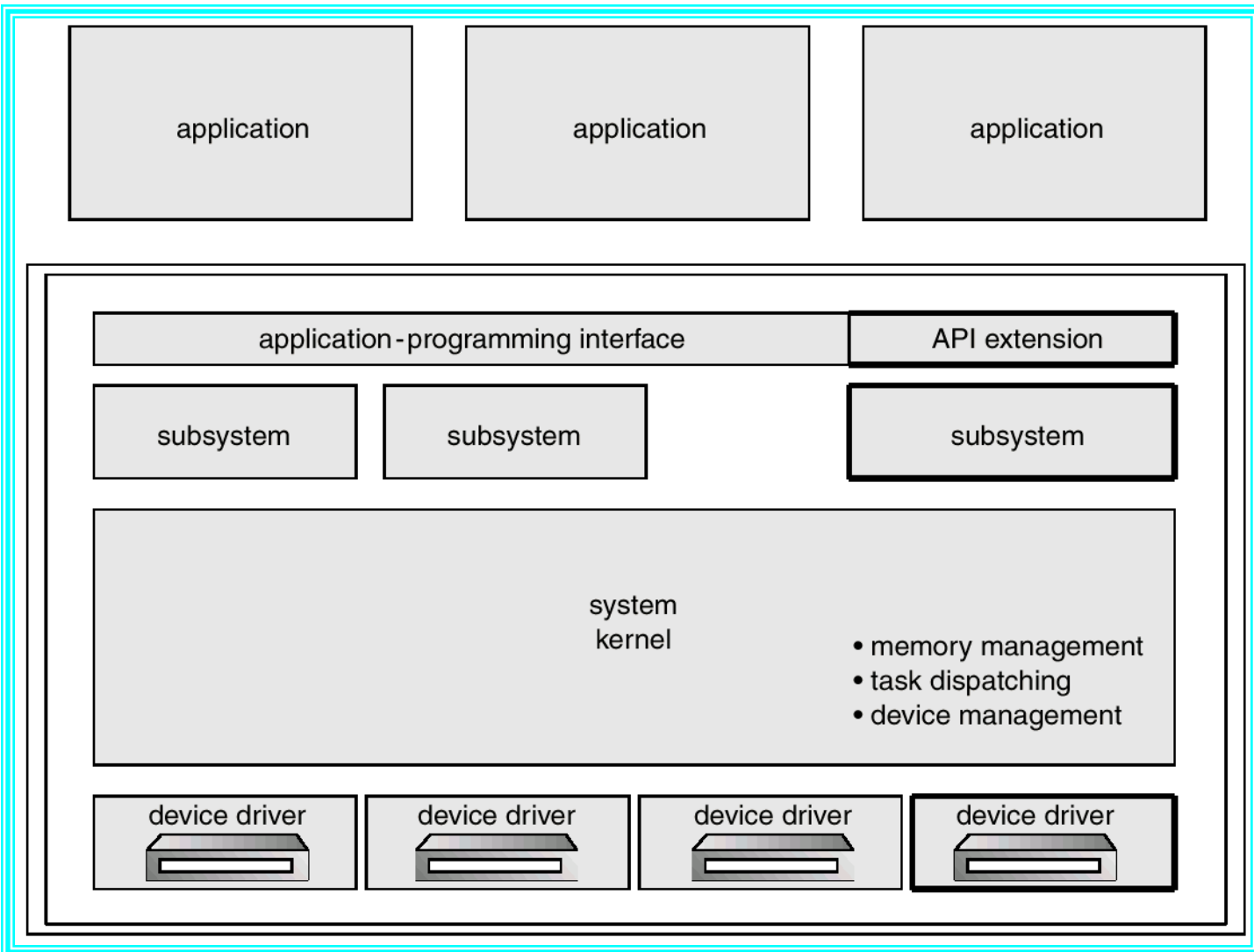
## ■ Reference

- *Understanding Full Virtualization, Paravirtualization, and Hardware Assist*
- [www.vmware.com/files/pdf/VMware\\_paravirtualization.pdf](http://www.vmware.com/files/pdf/VMware_paravirtualization.pdf)
- *APIs, POSIX and the C Library*
- [http://book.chinaunix.net/special/ebook/Linux\\_Kernel\\_Development/0672327201/ch05lev1sec1.html](http://book.chinaunix.net/special/ebook/Linux_Kernel_Development/0672327201/ch05lev1sec1.html)

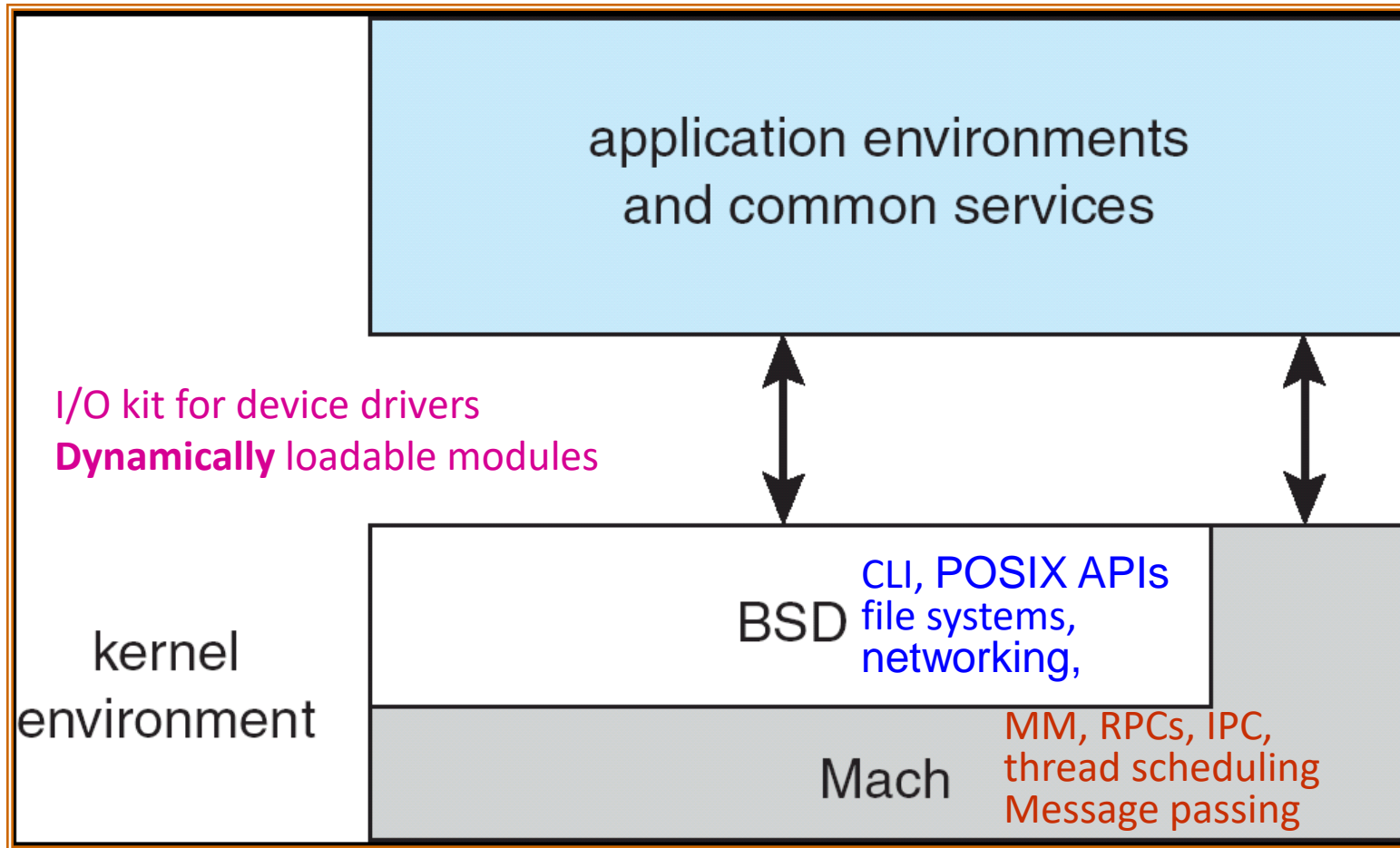


# Backup

# OS/2



# Mac OS X Structure hybrid structured



# Simulation

- Simulation: the host system has one system architecture and the guest system was **compiled for a different architecture**
- The programs (such as important programs that were compiled for the old system) could be run in an **emulator** that **translates** each of the outdated **system's instructions** into the current instruction set. (disadv.: 10 times slow usually)