

# Sum<sub>1</sub>

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This file contains the details on the  $n = 1$  case, to be merged into the main file as soon as they become polished enough.

## Contents

### 1

Let us denote by  $\text{Fam}_0(\mathbb{C})$  the set of equivalence classes of finite groupoids equipped with functors to  $\mathbb{C}$  (seen as a trivial category). In other words, a representative of an element of  $\text{Fam}_0(\mathbb{C})$  is a pair  $(X, \rho)$  consisting of a finite groupoid  $X$  together with a map  $\rho: \pi_0(X) \rightarrow \mathbb{C}$ . The map

$$\text{Sum}_0: \text{Fam}_0 \rightarrow \mathbb{C}$$

is defined as

$$\text{Sum}_0(X, \rho) = \sum_{[x] \in \pi_0(X)} \frac{\rho(x)}{|\text{Aut}(x)|}.$$

We will also use the more evocative notation

$$\int_X \rho(x) d\mu(x)$$

to denote  $\text{Sum}_0(X, \rho)$ . Notice that  $\text{Sum}_0$  is linear in the  $\rho$  variable.

A nice property of the morphism  $\text{Sum}_0$  (which we are going to use later) is the following: let  $X$  be a finite set with an action of a finite group  $G$ , and let  $X//G$  denotes the action groupoid for this action; finally, let  $\rho: X//G \rightarrow \mathbb{C}$  any functor, i.e., let  $\rho: X \rightarrow \mathbb{C}$  be a function that is constant on the  $G$ -orbits in  $X$ . Then we have

$$\text{Sum}_0(X//G, \rho) = \frac{1}{|G|} \sum_{x \in X} \rho(x). \quad (1.1)$$

Namely, the set  $\pi_0(X//G)$  is the quotient set  $X/G$  and for any  $x \in X$  seen as an object in  $X//G$  we have  $\text{Aut}(x) = \text{Stab}_G(x)$ . Therefore

$$\begin{aligned}
\text{Sum}_0(X//G, \rho) &= \sum_{[x] \in X/G} \frac{\rho(x)}{|\text{Stab}_G(x)|} \\
&= \frac{1}{|G|} \sum_{[x] \in X/G} \frac{|G|}{|\text{Stab}_G(x)|} \rho(x) \\
&= \frac{1}{|G|} \sum_{[x] \in X/G} |[x]| \rho(x) \\
&= \frac{1}{|G|} \sum_{[x] \in X/G} \sum_{x \in [x]} \rho(x) \\
&= \frac{1}{|G|} \sum_{x \in X/G} \rho(x)
\end{aligned}$$

**Remark 1.1.** A function  $f: \pi_0(X) \rightarrow \mathbb{C}$  can equivalently be seen as an element in  $\text{End}_{[X, \text{Vect}]}(\mathbf{1}_X)$ , where  $\mathbf{1}_X: X \rightarrow \text{Vect}$  is the constant functor taking the value  $\mathbb{C}$  on  $X$ . The map  $\text{Sum}_0$  can therefore be seen as the datum of a map

$$\text{Sum}_0: \text{End}_{[X, \text{Vect}]}(\mathbf{1}_X) \rightarrow \mathbb{C},$$

for any finite groupoid  $X$ .

**Definition 1.2.** The category  $\text{Fam}_1(\text{Vect})$  has as its objects finite groupoids equipped with functors to  $\text{Vect}$ , i.e., pairs  $(X, \rho)$  consisting of a finite groupoid  $X$  together with a functor  $\rho: X \rightarrow \text{Vect}$ . Morphisms in  $\text{Fam}_1(\text{Vect})$  are spans of groupoids over  $\text{Vect}$ , i.e., commutative diagrams

$$\begin{array}{ccccc}
& & Z & & \\
& \swarrow \pi_X & & \searrow \pi_Y & \\
X & & & & Y \\
& \searrow \rho_X & \xRightarrow[\alpha]{} & \swarrow \rho_Y & \\
& & \text{Vect} & & 
\end{array}$$

where the natural transformation  $\alpha: \rho_X \circ \pi_X \rightarrow \rho_Y \circ \pi_Y$  is part of the data of the commutative diagram.

**Remark 1.3.** The identity morphisms and the composition of morphisms in  $\text{Fam}_1(\text{Vect})$  will be defined later.

For every object  $(X, \rho)$  in  $\text{Fam}_1(\text{Vect})$  we can consider the vector space

$$[\mathbf{1}_X, \rho] = \text{Hom}_{[X, \text{Vect}]}(\mathbf{1}_X, \rho).$$

If  $f: Y \rightarrow X$  is a functor between finite groupoids, and  $\rho: X \rightarrow \mathbf{Vect}$  is a functor, we write  $f^*\rho: Y \rightarrow \mathbf{Vect}$  for the functor  $\rho \circ f$ . If  $\alpha: \mathbf{1}_X \rightarrow \rho$  is a natural transformation, then  $f^*\alpha$  is a natural transformation between  $f^*\mathbf{1}_X = \mathbf{1}_Y$  and  $f^*\rho$ . This defines a linear map

$$f^*: [\mathbf{1}_X, \rho] \rightarrow [\mathbf{1}_Y, f^*\rho].$$

Similarly, we can consider the vector space

$$[\rho, \mathbf{1}_X] = \mathrm{Hom}_{[X, \mathbf{Vect}]}(\rho, \mathbf{1}_X)$$

together with the linear map

$$f^*: [\rho, \mathbf{1}_X] \rightarrow [f^*\rho, \mathbf{1}_Y].$$

Passing to the linear duals we get the linear map

$$(f^*)^\vee: [f^*\rho, \mathbf{1}_Y]^\vee \rightarrow [\rho, \mathbf{1}_X]^\vee.$$

Next, notice that composition of morphisms gives a bilinear map

$$[\rho, \mathbf{1}_X] \otimes [\mathbf{1}_X, \rho] \rightarrow [\mathbf{1}_X, \mathbf{1}_X]$$

and so composing this with the linear map  $\mathrm{Sum}_0(X, -): [\mathbf{1}_X, \mathbf{1}_X] \rightarrow \mathbb{C}$  we get a bilinear pairing  $\langle | \rangle_X$  between  $[\rho, \mathbf{1}_X]$  and  $[\mathbf{1}_X, \rho]$ , for any  $\rho$ . Notice that, by its very definition, the pairing  $\langle | \rangle_X$  satisfies

$$\langle v | \alpha \circ w \rangle_X = \langle v \circ \alpha | w \rangle_X,$$

for any  $v \in [\rho_2, \mathbf{1}_X]$ , any  $w \in [\mathbf{1}_X, \rho_1]$  and any natural transformation  $\alpha: \rho_1 \rightarrow \rho_2$ . Equivalently, the bilinear pairing  $\langle | \rangle_X$  is a linear map

$$\delta_{(X, \rho)}: [\mathbf{1}_X, \rho] \rightarrow [\rho, \mathbf{1}_X]^\vee.$$

Our main *duality assumption* will be that  $\delta_{(X, \rho)}$  is a linear isomorphism for any  $(X, \rho)$ .

**Remark 1.4.** The duality assumption holds for every finite groupoid  $X$  if and only if it holds for finite connected groupoids. Up to equivalence we are therefore reduced to considering the case  $X = \mathbf{B}G$ , with  $G$  a finite group. In this case  $\rho: \mathbf{B}G \rightarrow \mathbf{Vect}$  is the datum of a linear representation  $V$  of  $G$ , and the vector spaces  $[\mathbf{1}_{\mathbf{B}G}, \rho]$  and  $[\rho, \mathbf{1}_{\mathbf{B}G}]$  are isomorphic to the space  $V^G$  of  $G$ -invariants and the linear dual  $(V_G)^\vee$  of the space of  $G$ -coinvariants, respectively. The map  $\delta_{(\mathbf{B}G, \rho)}$  is therefore a map

$$\delta_{(\mathbf{B}G, \rho)}: V^G \rightarrow (V_G)^\vee \cong V_G,$$

from  $G$ -invariants to  $G$ -coinvariants. Let  $v \mapsto [v]$  be the canonical projection  $V \rightarrow V_G = V/\langle v - g \cdot v \rangle_{v \in V; g \in G}$ . By composing with the inclusion  $V^G \hookrightarrow V$  we get the morphism  $\iota: v \mapsto [v]$  from  $V^G \rightarrow V_G$ . This is an isomorphism. Indeed, the canonical projection  $\pi: V \rightarrow V^G$  given by

$$\pi: v \mapsto \frac{1}{|G|} \sum_{g \in G} g \cdot v$$

is zero on the elements of the form  $v - g \cdot v$  and so induces a linear map  $\tilde{\pi}: V_G \rightarrow V^G$ , which is immediate to see is the inverse of  $\iota$ . For any  $w \in (V_G)^\vee$  seen as an element in  $[\rho, \mathbf{1}_{\mathbf{B}G}]$  and any  $v \in V^G$  seen as an element in  $[\mathbf{1}_{\mathbf{B}G}, \rho]$  we have  $w \circ v = w([v])$ . Therefore

$$\langle w|v \rangle_{\mathbf{B}G} = \frac{1}{|G|} w \circ v = w \left( \frac{1}{|G|} \iota(v) \right).$$

This means that  $\delta_{(\mathbf{B}G, \rho)} v$  is the evaluation on  $\frac{1}{|G|} \iota(v)$ . Therefore, under the canonical isomorphism  $(V_G)^{\vee\vee} \cong V_G$ , we have  $\delta_{(\mathbf{B}G, \rho)} = \frac{1}{|G|} \iota$ . As  $\iota$  is an isomorphism, so is  $\delta_{(\mathbf{B}G, \rho)}$ .

Under the duality assumption we may define a linear morphism

$$f_*: [\mathbf{1}_Y, f^* \rho] \rightarrow [\mathbf{1}_X, \rho]$$

as the composition

$$[\mathbf{1}_Y, f^* \rho] \xrightarrow{\delta_{(Y, f^* \rho)}} [f^* \rho, \mathbf{1}_Y]^\vee \xrightarrow{(f^*)^\vee} [\rho, \mathbf{1}_X]^\vee \xrightarrow{\delta_{(X, \rho)}^{-1}} [\mathbf{1}_X, \rho].$$

In other words, if  $v \in [\mathbf{1}_Y, f^* \rho]$ , the element  $f_* v \in [\mathbf{1}_X, \rho]$  is defined by the equation

$$\langle w|f_* v \rangle_X = \langle f^* w|v \rangle_Y$$

for any  $w \in [\rho, \mathbf{1}_X]$ . Similarly, we have a morphism  $f_*: [f^* \rho, \mathbf{1}_Y] \rightarrow [\rho, \mathbf{1}_X]$  defined by

$$\langle f_* w|v \rangle_X = \langle w|f^* v \rangle_Y.$$

We can now define our wannabe functor

$$\text{Sum}_1: \text{Fam}_1(\text{Vect}) \rightarrow \text{Vect}$$

on objects as

$$\text{Sum}_1(X, \rho) = [\mathbf{1}_X, \rho]$$

and on a morphism

$$\begin{array}{ccc} & Z & \\ \pi_X \swarrow & & \searrow \pi_Y \\ X & \xRightarrow[\alpha]{} & Y \\ \rho_X \searrow & & \swarrow \rho_Y \\ & \text{Vect} & \end{array}$$

as the composition

$$[\mathbf{1}_X, \rho_X] \xrightarrow{\pi_X^*} [\mathbf{1}_Z, \pi_X^* \rho_X] \xrightarrow{\alpha \circ -} [\mathbf{1}_Z, \pi_Y^* \rho_Y] \xrightarrow{\pi_Y^*} [\mathbf{1}_Y, \rho_Y].$$

**Remark 1.5.** Before giving a more explicit expression of the above morphism, let us notice that  $[\mathbf{1}_X, \rho]$  is a model for the limit of the functor  $\rho: X \rightarrow \mathbf{Vect}$ , in accordance with the prescription in [? ]. Also, notice that the morphism associated to the span  $X \leftarrow Z \rightarrow Y$  together with the natural transformation  $\alpha$  has the form “pull-compose-push”, and so it is an instance of a Fourier-Mukai-type transform.

**Lemma 1.6.** (The projection formula) Let  $f: Y \rightarrow X$  be a functor between finite groupoids, let  $\rho_1, \rho_2: X \rightarrow \mathbf{Vect}$  be functors and let  $\alpha: \rho_1 \rightarrow \rho_2$  be a natural transformation. We have an identity of morphisms  $[\mathbf{1}_Y, f^* \rho_1] \rightarrow [\mathbf{1}_X, \rho_2]$

$$f_*(f^* \alpha \circ -) = \alpha \circ f_*$$

*Proof.* The identity

$$f_*(f^* \alpha \circ v) = \alpha \circ f_* v$$

for every  $v \in [\mathbf{1}_Y, f^* \rho_1]$  is equivalent to the identity

$$\langle w | f_*(f^* \alpha \circ v) \rangle_X = \langle w | \alpha \circ f_* v \rangle_X$$

for every  $v \in [\mathbf{1}_Y, f^* \rho_1]$  and every  $w \in [\rho_2, \mathbf{1}_X]$ . By the properties of the pairings  $\langle | \rangle_X$  and  $\langle | \rangle_X$ , and by definition of  $f_*$ , we have

$$\begin{aligned} \langle w | f_*(f^* \alpha \circ v) \rangle_X &= \langle f^* w | f^* \alpha \circ v \rangle_Y \\ &= \langle f^* w \circ f^* \alpha | v \rangle_Y \\ &= \langle f^*(w \circ \alpha) | v \rangle_Y \\ &= \langle w \circ \alpha | f_* v \rangle_X \\ &= \langle w | \alpha \circ f_* v \rangle_X \end{aligned}$$

□

For later use, let us derive an explicit formula for  $f_*$  in case  $f: \mathbf{BG} \rightarrow \mathbf{BH}$  is a morphism of finite groups (seen as groupoids with a single object).

Recall that if  $v \in [\mathbf{1}_Y, f^* \rho]$ , the element  $f_* v \in [\mathbf{1}_X, \rho]$  is defined by the equation  $\langle w | f_* v \rangle_{\mathbf{BH}} = \langle f^* w | v \rangle_{\mathbf{BG}}$  for any  $w \in [\rho, \mathbf{1}_X]$ . As both  $\mathbf{BG}$  and  $\mathbf{BH}$  have a single object, this equation reduces to

$$\frac{w \circ f_* v}{|H|} = \frac{f^* w \circ v}{|G|}$$

The morphism  $\rho: \mathbf{B}H \rightarrow \mathbf{Vect}$  is the datum of a linear representation of  $H$  on a vector space  $V$ , and  $f^*\rho$  is the datum of representation of  $G$  obtained by pull-back, i.e., the group  $G$  acts on  $V$  by  $g \cdot \xi := f(g) \cdot \xi$ . The element  $v \in [\mathbf{1}_Y, f^*\rho]$  is therefore a  $G$ -invariant vector of  $V$ , while  $w \in [\rho, \mathbf{1}_X]$  is a linear functional on  $V$  factoring through the space of  $H$ -coinvariants. The element  $f^*w$  is the same linear functional on  $V$ , but this time seen as factoring through the space of  $H$ -coinvariants. Therefore the composition  $f^*w \circ v$  is just the evaluation of  $w: V \rightarrow \mathbb{C}$  on the vector  $v \in V$ , under the canonical isomorphism  $\text{End}(\mathbb{C}) \cong \mathbb{C}$ . Finally,  $f_*v \in [\mathbf{1}_X, \rho]$  is an  $H$ -invariant vector of  $V$ , and  $w \circ f_*v$  is the evaluation of  $w: V \rightarrow \mathbb{C}$  on the vector  $f_*v \in V$ . The defining equation for  $f_*v$  then becomes

$$w\left(\frac{f_*v}{|H|}\right) = w\left(\frac{v}{|G|}\right)$$

for any  $w: V \rightarrow \mathbb{C}$  factoring through the space of  $H$ -coinvariants. From  $v/|G|$  we get the  $H$ -invariant vector

$$\frac{1}{|H|} \sum_{h \in H} h \cdot \frac{v}{|G|}$$

and, since  $w$  factors through the  $H$ -coinvariants,

$$w\left(\frac{v}{|G|}\right) = w\left(\frac{1}{|H|} \sum_{h \in H} h \cdot \frac{v}{|G|}\right)$$

The defining equation for  $f_*v$  can therefore be rewritten as

$$\langle w | f_*v \rangle_{\mathbf{B}H} = \langle w | \sum_{h \in H} \frac{h \cdot v}{|G|} \rangle_{\mathbf{B}H},$$

for any  $w \in [\rho, \mathbf{1}_X]$ . As the pairing  $\langle | \rangle_{\mathbf{B}H}$  is nondegenerate, this finally gives

$$f_*v = \frac{1}{|G|} \sum_{h \in H} h \cdot v.$$

**Remark 1.7.** This formula simplifies a bit in case the group homomorphism  $f: G \rightarrow H$  is surjective. Indeed, in that case, the  $G$ -invariant vector  $v$  will be automatically  $H$ -invariant and we find

$$f_*v = \frac{|H|}{|G|} v$$

in this case.

**Lemma 1.8.** Consider a homotopy pullback diagram of finite groupoids

$$\begin{array}{ccc}
 & X \times_T Y & \\
 p_1 \swarrow & & \searrow p_2 \\
 X & \xRightarrow{\eta} & Y \\
 f_1 \searrow & & \swarrow f_2 \\
 & T &
 \end{array}$$

and a morphism  $\rho: T \rightarrow \mathbf{Vect}$ . Then the Beck-Chevalley condition is satisfied, i.e., we have an identity of morphisms  $f_2^* f_{1*} = p_{2*}(\eta^* \rho \circ -) p_1^*$  from  $[\mathbf{1}_X, f_1^* \rho]$  to  $[\mathbf{1}_Y, f_2^* \rho]$ .

*Proof.* By restricting to connected components, and working up to equivalence of finite groupoids, we may assume that the given pullback diagram has the form

$$\begin{array}{ccc}
 & G \backslash \backslash H // K & \\
 p_1 \swarrow & & \searrow p_2 \\
 \mathbf{B}G & \xRightarrow{\eta} & \mathbf{B}K \\
 f_1 \searrow & & \swarrow f_2 \\
 & \mathbf{B}H &
 \end{array}$$

where the natural transformation  $\eta$  is defined by  $\eta_h = h$ ; this precisely expresses the fact that a morphism from  $h$  to  $h'$  in the action groupoid  $G \backslash \backslash H // K$  is given by a commutative diagram

$$\begin{array}{ccc}
 * & \xrightarrow{h} & * \\
 f_1(g) \downarrow & & \downarrow f_2(k) \\
 * & \xrightarrow{h'} & *
 \end{array}
 .$$

The equation  $f_2^* f_{1*} = p_{2*}(\eta^* \rho \circ -) p_1^*$  is equivalent to  $\langle w | f_2^* f_{1*} v \rangle_{\mathbf{B}K} = \langle w | p_{2*}(\eta^* \rho \circ p_1^* v) \rangle_{\mathbf{B}K}$  for any  $v \in [\mathbf{1}_{\mathbf{B}G}, f_1^* \rho]$  and any  $w \in [f_2^* \rho, \mathbf{1}_{\mathbf{B}K}]$ . This is equivalent to the equation

$$\langle f_{2*} w | f_{1*} v \rangle_{\mathbf{B}H} = \langle p_{2*} w | \eta^* \rho \circ p_1^* v \rangle_{G \backslash \backslash H // K}.$$

The morphism  $\rho: \mathbf{B}H \rightarrow \mathbf{Vect}$  is the datum of a linear representation of the finite group  $H$  on a vector space  $V$ . The element  $v$  is a  $G$ -invariant vector of  $V$  (where  $G$  acts on  $V$  via  $f$ ) and  $w$  is a linear functional on  $V$  which factors through the  $K$ -coinvariants (with  $K$

acting via  $g$ ). By the above explicit formula for  $f_*$  in the case of a group morphism, we have

$$f_{1*}v = \frac{1}{|G|} \sum_{h \in H} h \cdot v; \quad f_{2*}w = \frac{1}{|K|} \sum_{h \in H} h \cdot w,$$

where on the right we have the  $H$ -action on linear functionals on  $V$ , i.e.,  $(h \cdot w) = w(h^{-1} \cdot -)$ . We therefore find

$$\begin{aligned} \langle f_{2*}w | f_{1*}v \rangle_{\mathbf{BH}} &= \frac{1}{|H||G||K|} \sum_{h_1, h_2 \in H} w(h_1^{-1}h_2 \cdot v) \\ &= \frac{1}{|H||G||K|} \sum_{h \in H} \sum_{h_1^{-1}h_2=h} w(h_1^{-1}h_2 \cdot v) \\ &= \frac{1}{|H||G||K|} \sum_{h \in H} \sum_{h_1 \in H} w(h \cdot v) \\ &= \frac{1}{|G||K|} \sum_{h \in H} w(h \cdot v) \end{aligned}$$

To every object  $h$  of  $G \backslash H // K$ , the functors  $p_1^* f_1^* \rho$  and  $p_2^* f_2^* \rho$  both assign the vector space  $V$ , so  $p_1^* v$  and  $p_2^* w$  consist in a copy of the vector  $v$  and of the linear functional  $w: V \rightarrow \mathbb{C}$  for each of the copies of  $V$  associated with the elements of  $H$ . Moreover, since  $\eta_h = h$ , the natural transformation  $\eta^* \rho = \rho \circ \eta$  at the object  $h$  is precisely the multiplication by  $h$  as a linear map from  $V$  to  $V$ . This means that  $\eta^* \rho \circ p_1^* v = (h \cdot v)_{h \in H}$ , i.e.,  $\eta^* \rho \circ p_1^* v$  consists of the choice of the vector  $h \cdot v$  in the copy of  $V$  associated with the object  $h$  of  $G \backslash H // K$ . We therefore find

$$\langle p_2^* w | \eta^* \rho \circ p_1^* v \rangle_{G \backslash H // K} = \frac{1}{|G||K|} \sum_{h \in H} w(h \cdot v),$$

by formula 1.1. □