

Scratch paper

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March 2019

Abstract Poche idee, ben confuse.

1 Introduction

2 Preliminaries

The **finite expansion** of a structure M is a many-sorted expansion that has a sort for M , which we call the home-sort, a sort for the natural numbers, and a sort for the finite multisets of elements of M^n , for any $n < \omega$. We call these multisets **samples** of M^n . Recall that a multiset is a function $s : M \rightarrow \omega$. We write $a \in s$ for $s(a)$, and this is interpreted as the number of occurrences of $a \in s$. The **size** of a sample s is defined as follows

$$|s| = \sum_{a \in M} a \in s$$

We will write M^f for the expansion above. The language of M^f is denoted by L^f . It expands L and the language of arithmetic. Moreover, L^f has a function $a \in s$ for the number of occurrences of the tuple a in the sample s , and a function $|s|$ for the size of the sample s .

For ease of exposition we may also use rational numbers, though these need not have their own sort as they are interpretable in the natural numbers.

Let \mathcal{U} be a monster model of cardinality κ , an inaccessible cardinal larger than the cardinality of L . The **hyperfinite expansion** of \mathcal{U} is a saturated elementary extension of \mathcal{U}^f of cardinality κ . We will write \mathcal{U}^{f*} for this expansion. As all saturated models of cardinality κ are isomorphic, we can assume that \mathcal{U} is the home-sort of \mathcal{U}^{f*} .

We write $\mathcal{S}(s)$ for the **support** of s , that is, the subset of \mathcal{U}^n where $x \in s$ is positive. Note that its cardinality is either finite or κ . If s is a sample in \mathcal{U}^{f*} , we write $s \subseteq \mathcal{U}^n$ as a shorthand of $\mathcal{S}(s) \subseteq \mathcal{U}^n$.

2.1 Fact Every model M is the domain of the home-sort of some $M^f \preceq \mathcal{U}^{f*}$.

Proof Difficile da scrivere senza hand-waving. □

Let $s \subseteq \mathcal{U}^{|x|}$ be a sample. For every formula $\varphi(x; z) \in L$ and every $b \in \mathcal{U}^{|z|}$ we define

$$\text{Av}_s \varphi(x; b) = \frac{|\{a \in s : \varphi(a; b)\}|}{|s|},$$

where we use the following suggestive abbreviation

$$|\{a \in s : \varphi(a; b)\}| = \sum_{a \models \varphi(x; b)} a \in s$$

Below, ε always ranges over the positive standard rational. If a and b are hyperrationals, we write $a \approx_\varepsilon b$ for $|a - b| < \varepsilon$. We write $a \approx b$ if $a \approx_\varepsilon b$ holds for every ε . If μ is a real number we write $a \approx_\varepsilon \mu$ if there is a standard rational $b \approx_{\varepsilon/2} a$ such that $|b - \mu| < \varepsilon/2$ (this last inequality is evaluated in the standard real line). We write $\text{st}(a)$, for the standard part of the hyperrational number a . That is, the unique real number μ such that $\mu \approx a$.

The standard part of Av_s induces a finite probability measure on the algebra of definable subsets of $\mathcal{U}^{[x]}$. This measure has an unique extension to a Lebesgue probability measure on a σ -algebra. This is known as the **Loeb measure**.

2.2 Theorem Let $\varphi(x; z) \in L$ be a nip formula. Let M be a model. For every sample $s \subseteq \mathcal{U}^{[x]}$, and every ε , there is a sample $s_\varepsilon \subseteq M^{[x]}$ such that $\text{Av}_s \varphi(x; b) \approx_\varepsilon \text{Av}_{s_\varepsilon} \varphi(x; b)$ for all $b \in M^{[z]}$.

Moreover, the cardinality of s_ε solely depends on ε , namely

$$|s_\varepsilon| \leq c \frac{k}{\varepsilon^2} \ln \frac{k}{\varepsilon}$$

where c is an absolute constant.

Proof Questo è Vapnik-Chervonenkis. □

2.3 Remark ??? When the model M in the theorem above is ω_1 -saturated, we can claim that there is a (necessarily finite) sample $s_M \subseteq M^{[x]}$ such that $\text{Av}_s \varphi(x; b) \approx \text{Av}_{s_M} \varphi(x; b)$. □

2.4 Definition ??? Let $\varphi(x; z) \in L$. We say that the sample $s \subseteq \mathcal{U}^{[x]}$ is **invariant** for $\varphi(x; z)$ over A if, for every $s' \equiv_A s$ and every $b \in \mathcal{U}^{[z]}$, we have $\text{Av}_s \varphi(x; b) \approx \text{Av}_{s'} \varphi(x; b)$. □

For invariant samples the Vapnik-Chervonenkis Theorem can be strengthened as follows.

2.5 Corollary Let $\varphi(x; z) \in L$ be a nip formula. Let M be any model. For every sample $s \subseteq \mathcal{U}^{[x]}$ that is invariant for $\varphi(x; z)$ over M , and every ε , there is a sample $s_\varepsilon \subseteq M^{[x]}$ such that $\text{Av}_s \varphi(x; b) \approx_\varepsilon \text{Av}_{s_\varepsilon} \varphi(x; b)$ for all $b \in M^{[z]}$.

Proof By the theorem above, there is a sample $s_\varepsilon \subseteq M^{[x]}$ such that $\text{Av}_s \varphi(x; b) \approx_\varepsilon \text{Av}_{s_\varepsilon} \varphi(x; b)$ for all $b \in M^{[z]}$.

Suppose for a contradiction that $\text{Av}_s \varphi(x; b') \not\approx_\varepsilon \text{Av}_{s_\varepsilon} \varphi(x; b')$ for some $b' \in \mathcal{U}^{[z]}$. Pick a sample $s' \subseteq \mathcal{U}^{[x]}$ such that $b' \downarrow_M s' \equiv_M s$. By invariance, $\text{Av}_{s'} \varphi(x; b') \not\approx_\varepsilon \text{Av}_{s_\varepsilon} \varphi(x; b')$. As $b' \downarrow_M s'$, the same formula holds for some $b \in M^{[z]}$. Once again by invariance, $\text{Av}_s \varphi(x; b) \not\approx_\varepsilon \text{Av}_{s_\varepsilon} \varphi(x; b)$. A contradiction. □

The following is stronger form of invariance.

2.6 Definition ??? We say that a sample $s \subseteq \mathcal{U}^{|x|}$ is *smooth* for $\varphi(x; z)$ over A if for any other sample s' such that $\text{Av}_s \varphi(x; b) \approx \text{Av}_{s'} \varphi(x; b)$ for all $b \in M^{|z|}$, the same equivalence holds for all $b \in \mathcal{U}^{|z|}$. \square

2.7 Definition ??? A sample $s \subseteq \mathcal{U}^{|x|}$ is *finitely satisfiable* for $\varphi(x; z)$ in M if, for every $b \in \mathcal{U}^{|z|}$ such that $\text{Av}_s \varphi(x; b) \not\approx_\epsilon 0$, there is $a \in M^{|z|}$ such that $\varphi(a; b)$.

We say that s is *generically stable* for $\varphi(x; z)$ over M if it is both invariant and finitely satisfiable for $\varphi(x; z)$ over M . \square

2.8 Definition ??? A formula $\varphi(x; z) \in L$ is *distal* if there is a formula $\psi(x; z_1, \dots, z_n) \in L$ such that for every finite sample s and every $b \in \mathcal{U}^{|x|}$ there are $a_1, \dots, a_n \in s^{|z|}$ such that $\psi(x; a_1, \dots, a_n) \leftrightarrow p(x)$, where $p(x) = \text{tp}_\varphi(b / \dots s)$. \square

2.9 Theorem The following are equivalent

1. $\varphi(x; z) \in L$ is distal;
2. if s is generically stable for $\varphi(x; z)$ over M then s is smooth for $\varphi(x; z)$ over M .