

# Scratch paper

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**Abstract** Poche idee, ben confuse.

## 1 Introduction

## 2 Abstract samples

Let  $M$  be a structure of signature  $L$ . The **fractional multiset expansion** of  $M$  is a many-sorted expansion that has a sort for  $M$ , which we call the home-sort, a sort for  $\mathbb{R}$ , and a **sample-sort** for the set of functions  $s : M \rightarrow \mathbb{R}$  that are almost always 0. We write  $\bar{M} M^f = \langle M, \mathbb{R}, S \rangle$  for the expansion above.

Below  $x, y, z$  are always tuples of variables of home-sort,  $x^s, y^s, z^s$  are tuples of sample-sort of the same length of  $x, y$ , respectively  $z$ .

The language of  $M^f$  is denoted by  $L^f$ . It expands  $L$ , the language of ordered rings (on  $\mathbb{R}$ ), the language of real vector spaces (on  $S$ ). Moreover, for every  $\varphi(x; z) \in L$  there is a symbol for a function

$$\bar{\varphi}(x^s; z) : S^{|x|} \times M^{|z|} \longrightarrow \mathbb{R}.$$

Below,  $\varepsilon$  always ranges over the positive standard reals. If  $a$  and  $b$  are (hyper)reals, we write  $a \approx_\varepsilon b$  for  $|a - b| < \varepsilon$ . We write  $a \approx b$  if  $a \approx_\varepsilon b$  holds for every  $\varepsilon$ .

**2.1 Definition** Let  $\mathcal{U}$  be a monster model of cardinality  $\kappa > |L|$  and let  $\mathcal{U}^f = \langle \mathcal{U}, \mathbb{R}, S \rangle$  be the corresponding expansion. We denote by  $\mathcal{U}^{f*}$  a saturated elementary extension of  $\mathcal{U}^f$  of cardinality  $\kappa$ . As all saturated models of cardinality  $\kappa$  are isomorphic, we can assume that  $\mathcal{U}^{f*} = \langle \mathcal{U}, \mathbb{R}^*, S^* \rangle$ , where  $\mathbb{R}^*$  and  $S^*$  are suitable saturated extensions of  $\mathbb{R}$ , respectively  $S$ . Elements  $s$  are called **finite samples**, elements in  $S^*$  are called **internal samples**.

Finally, a **global sample** is a type  $p(x^s) \in S(\mathcal{U}^f)$ . □

Every automorphism of  $\mathcal{U}^{f*}$  fixes  $S$  (???).

**2.2 Notation** For any global type  $p(x^s) \in S(\mathcal{U})$  and formula  $\psi(x^s; z) \in L^f$  we write

$$\psi(p; \mathcal{U}) = \left\{ b \in \mathcal{U}^{|z|} : \psi(x^s; b) \in p \right\}$$

(the same notation applies with  $x$  for  $x^s$ ). This notation intentionally confuses  $p$  with any of its realizations in some elementary extension of  $\mathcal{U}^f$ . Sets of this form are called **externally definable**. □

**2.3 Definition** A global sample  $p(x^s)$  is *smooth* if it is realized in  $\mathcal{U}^{f*}$ . □

The following notions are standard

**2.4 Definition** Let  $M$  be given. A global sample  $p(x^s)$  is

1. *home-sort invariant* if for any  $\varphi(x^s; z) \in L(M^f)$  the set  $\varphi(p; \mathcal{U})$  is invariant over  $M^f$ ;
2. *finitely satisfiable* if for every finite subset of  $p(x^s)$  is realized in  $M^f$ ;
3. *definable* if, for every  $\psi(x^s; z) \in L^f$ , the set  $\psi(p; \mathcal{U})$  is definable over  $M^f$ . □

**2.5 Fact** Let  $M$  be given. Let  $p(x^s)$  be a global sample. Then, if  $p$  is finitely satisfiable, it is invariant. □

**2.6 Fact** Let  $M$  be given. Let  $p(x^s)$  be a smooth sample. Then, if  $p$  is invariant, it is also finitely satisfiable and definable.

**Proof** Let  $s$  be an internal sample that realizes  $p(x^s)$ . Let  $\varphi(x^s; b) \in p$  for some  $\varphi(x^s; z) \in L^f$  and  $b \in \mathcal{U}^{|z|}$ . Let  $b'$  be such that  $s \downarrow_{M^f} b' \equiv_{M^f} b$ . By invariance,  $\varphi(s; b')$ . Therefore there is an  $r \in M^f$  such that  $\varphi(r; b')$ . As  $b' \equiv_{M^f} b$ , we obtain  $\varphi(r; b)$ . This proves finite satisfiability.

Now, let  $\psi(x^s; z) \in L^f$ . As  $\psi(p; \mathcal{U}) = \psi(s; \mathcal{U})$  is definable in  $\mathcal{U}^{f*}$ . By invariance it is definable in  $M^f$ . □

**2.7 Fact** Let  $M$  be given. For every global sample  $p(x^s)$  the following are equivalent

1.  $p$  is smooth and invariant;
2.  $p$  is definable and for every  $\varphi(x^s; z) \in L$  there are some finitely many  $r_i \in M^f$ , say  $0 \leq i < n$ , such that for every  $b \in \mathcal{U}^{|z|}$  we have  $\varphi(p; b) \leftrightarrow \varphi(r_i; b)$  for some  $i$ ;

**Proof**  $1 \Rightarrow 2$  definability has been proved above. Let  $s$  be an internal sample that realizes  $p(x^s)$ . Let  $\varphi(x; z) \in L$  and  $\varepsilon$  be fixed. The type

$$p(z) = \left\{ \varphi(s; z) \nleftrightarrow \varphi(r; z) : r \in M^f \right\}$$

is inconsistent by Fact 2.6. Hence compactness yields the required  $r_i \in M^f$ .

$2 \Rightarrow 1$  For any given  $\varphi(x^s; z) \in L^f$  let  $\vartheta(z) \in L(M)$  the formula defining the set  $\{b \in \mathcal{U}^{|z|} : \varphi(p; b) \leftrightarrow \varphi(r_i; b)\}$  and let  $r_i \in M^f$  be as in 2. Let  $p(x^s)$  contain the formulas

$$\bigwedge_{i < n} \forall z \left[ \vartheta(z) \wedge \varphi(r_i; z) \rightarrow \varphi(x^s; z) \right]$$

as  $\varphi(x^s; z)$  ranges in  $L^f$ . □

### 3 Less abstract samples

In this section we assume that for every  $\varphi(x), \psi(x) \in L(\mathcal{U})$  if  $\varphi(x) \rightarrow \psi(x)$  then  $\bar{\varphi}(x^s) \leq \bar{\psi}(x^s)$ .

**3.1 Fact** *Let  $M$  be given. Let  $p(x^s)$  be global sample. Suppose for every  $\varphi(x) \in L(\mathcal{U})$  and  $\varepsilon$  there are  $\vartheta_1(x), \vartheta_2(x) \in L(M)$  such that  $\vartheta_1(x) \rightarrow \varphi(x) \rightarrow \vartheta_2(x)$  and  $\bar{\vartheta}_2(p) \approx_\varepsilon \bar{\vartheta}_1(p)$ . Then  $p$  is finitely satisfiable.*

**Proof** Let  $\varphi_i(x)$ , for  $0 \leq i < n$ , be given. We claim that there is an  $r \in M^f$  such that  $\bar{\varphi}_i(p) \approx_{3\varepsilon} \bar{\varphi}_i(r)$  for every  $i$ .

Let  $\vartheta_{i,1}(x)$  and  $\vartheta_{i,2}(x)$  be as in the fact. As  $\vartheta_{i,1}(p) \leq \varphi_i(p) \leq \vartheta_{i,2}(p)$ , then  $\vartheta_{i,1}(p) \approx_\varepsilon \varphi_i(p)$ . By elementarity there is an  $r \in M^f$  such that  $\vartheta_{i,1}(r) \approx_\varepsilon \vartheta_{i,1}(p)$  and  $\vartheta_{i,2}(r) \approx_\varepsilon \vartheta_{i,2}(p)$ . Then the claim follows immediately.  $\square$

**3.2 Lemma** *The following are equivalent for every global sample  $p(x^s)$*

1.  $p$  is smooth and invariant;
2. for every finite  $B \subseteq \mathcal{U}^{|z|}$  and every  $\varepsilon$ , there is an  $r \in M^f$  such that  $\bar{\varphi}(p; b) \approx_\varepsilon \bar{\varphi}(r; b)$  for all  $b \in B$ ;
2. for every  $\varphi_i(x; z) \in L$ , every  $b_i \in \mathcal{U}^{|z|}$ , and every  $\varepsilon$ , there is an  $r \in M^f$  such that  $\bar{\varphi}_i(p; b_i) \approx_\varepsilon \bar{\varphi}_i(r; b_i)$ , for every  $0 \leq i < n$ ;
2. for every  $\psi_0(x), \dots, \psi_{n-1}(x) \in L(\mathcal{U})$  and every  $\varepsilon$ , there is an  $r \in M^f$  such that  $\bar{\psi}_i(p) \approx_\varepsilon \bar{\psi}_i(r)$ , for every  $i < n$ ;
4. there are finitely many formulas  $\vartheta_i(x) \in L(M)$  such that for every  $b \in \mathcal{U}^{|z|}$  we have that  $\vartheta_i(x) \rightarrow \varphi(x; b) \rightarrow \vartheta_j(x)$  and  $\bar{\vartheta}_j(p) - \bar{\vartheta}_i(p) < \varepsilon$  for some  $i, j$ .

**Proof** Let  $s$  be an internal sample that realizes  $p(x^s)$ .

$1 \Rightarrow 2$ . Let  $B$  and  $\varepsilon$  be given. Let  $\bar{b} = \langle b_i : i < n \rangle$  be an enumeration of  $B$ . Let  $\mu_i \in \mathbb{R}$  be such that  $\bar{\varphi}(p; b_i) \approx \mu_i$  for every  $i < n$ . Let  $\bar{b}'$  be such that  $s \perp_{M^f} \bar{b}' \equiv_{M^f} \bar{b}$ . By invariance,  $\bar{\varphi}(s; b'_i) \approx \mu_i$ . Therefore there is an  $r \in M^f$  such that  $\bar{\varphi}(r; b'_i) \approx_\varepsilon \mu_i$  for every  $i < n$ . As  $\bar{b}' \equiv_{M^f} \bar{b}$ , we obtain  $\bar{\varphi}(r; b_i) \approx_\varepsilon \mu_i$ .

$1 \Rightarrow 2$ . Let  $\psi_i(x) = \varphi_i(x; b_i)$  for some  $\varphi_i(x; z) \in L$  and  $b_i \in \mathcal{U}^{|z|}$ . Let  $\bar{b} = \langle b_i : i < n \rangle$ . Let  $\mu_i \in \mathbb{R}$  be such that  $\bar{\varphi}_i(p; b_i) \approx \mu_i$ . Let  $\bar{b}'$  be such that  $s \perp_{M^f} \bar{b}' \equiv_{M^f} \bar{b}$ . By invariance,  $\bar{\varphi}_i(s; b'_i) \approx \mu_i$ . Therefore there is an  $r \in M^f$  such that  $\bar{\varphi}_i(r; b'_i) \approx_\varepsilon \mu_i$  for every  $i < n$ . As  $\bar{b}' \equiv_{M^f} \bar{b}$ , we obtain  $\bar{\varphi}_i(r; b_i) \approx_\varepsilon \mu_i$ .

$1 \Rightarrow 3$ . By smoothness we it suffices to prove 3 with  $s$  for  $p$ . The type

$$p(z) = \left\{ \bar{\varphi}(s; z) \not\approx_\varepsilon \bar{\varphi}(r; z) : r \in M^f \right\}$$

is inconsistent by 2. Hence compactness yields the required  $r_1, \dots, r_n \in M^f$ .

$3 \Rightarrow 2$ . Clear.

$2 \Rightarrow 1$  Assume 2. We prove that  $p$  is invariant. Pick some  $b \equiv_{M^f} b'$  and some

$\varepsilon$ . Let  $r, r' \in M^f$  be such that  $\bar{\varphi}(p; b) \approx_\varepsilon \bar{\varphi}(r; b)$  and  $\bar{\varphi}(p; b') \not\approx_\varepsilon \bar{\varphi}(r'; b')$ . As  $\bar{\varphi}(r; b) \approx \bar{\varphi}(r'; b')$  follows from  $b \equiv_{M^f} b'$ , we obtain  $\bar{\varphi}(p; b) \approx_\varepsilon \bar{\varphi}(p; b')$ .

We prove that  $p$  is smooth.

$$p(x^s) = \left\{ \bar{\varphi}(x^s; b) \approx_\varepsilon \mu_b : b \in \mathcal{U}^{|z|} \right\}$$

□

**3.3 Definition** Let  $\varphi(x; z) \in L$  and  $M$  be given. A global sample  $p(x^s)$  is

1. *invariant* if  $\bar{\varphi}(p; b) \approx \bar{\varphi}(p; b')$  for every  $b \equiv_{M^f} b'$ ;
2. (pointwise) *approximable* if, for every  $b \in \mathcal{U}^{|z|}$  and every  $\varepsilon$ , there is an  $r \in M^f$  such that  $\bar{\varphi}(p; b) \approx_\varepsilon \bar{\varphi}(r; b)$ ;
3. *definable* if, for every  $\varepsilon$ , the set  $\{b \in \mathcal{U}^{|z|} : \bar{\varphi}(p; b) < \varepsilon\}$  is definable over  $M^f$ .

□

The following is a useful characterization of smooth samples.

## 4 Old stuff/garbage

We write  $\text{supp}(p)$  for the *support* of  $p$ , that is, the set of those  $a$  in  $\mathcal{U}$  such that  $p \vdash (a \in x^s) > \varepsilon$  for some standard positive  $\varepsilon$ . If  $s$  is a smooth sample  $\text{supp}(s)$  is defined to be  $\text{supp}(p)$  for  $p(x^s) = \text{tp}(s/\mathcal{U}^f)$ .

Let  $s$  be an external sample. For every formula  $\varphi(x; z) \in L$  and every  $b \in \mathcal{U}^{|z|}$  we define

$$\text{Av}_{x/s} \varphi(x; b) = \frac{|\{a \in s : \varphi(a; b)\}|}{|s|},$$

When possible we abbreviate  $\text{Av}_{x/s} \varphi(x; b)$  with  $\bar{\varphi}(s; b)$ .

We write  $\text{ft}(a)$ , for the standard part of the hyperrational number  $a$ . That is, the unique real number  $\mu$  such that  $\mu \approx a$ .

The standard part of  $\text{Av}_s$  induces a finite probability measure on the algebra of definable subsets of  $\mathcal{U}^{|x|}$ . This measure has an unique extension to a Lebesgue probability measure on a  $\sigma$ -algebra. This is known as the *Loeb measure*.

All definitions and facts in this section are relative to some given formula  $\varphi(x; z) \in L$  and some model  $M$ .

**4.1 Definition** Let  $\varphi(x; z) \in L$  and  $M$  be given. We say that a sample  $s$  is *smooth* if, for every  $s' \equiv_M s$  and every  $b \in \mathcal{U}^{|z|}$ , we have  $\bar{\varphi}(s'; b) \approx \bar{\varphi}(s; b)$ .

□

**4.2 Definition** Let  $\varphi(x; z) \in L$  and  $M$  be given. An external sample  $s$  is

1. *invariant* if, for every  $b, b' \in \mathcal{U}^{|z|}$  such that  $b \equiv_M b'$ , we have  $\bar{\varphi}(s; b) \approx \bar{\varphi}(s; b')$ ;
2. *definable* if, for every  $\varepsilon$ , the set  $\{b \in \mathcal{U}^{|z|} : \bar{\varphi}(s; b) < \varepsilon\}$  is definable over  $M$ ;

3. *finitely satisfiable* if, for all  $b \in \mathcal{U}^{|\mathcal{Z}|}$  there is an  $r \in M^f$  such that  $\bar{\varphi}(s; b) \approx_\varepsilon \bar{\varphi}(r; b)$ ;
4. *generically stable* if all of the above hold. □

Smooth roughly means internal and invariant.

**4.3 Fact** Let  $\varphi(x; z) \in L$  and  $M$  be given. The following are equivalent for every external sample  $s$

1.  $s$  is smooth;
2. there is an invariant internal sample  $s' \equiv_M s$ .

**Proof**  $1 \Rightarrow 2$ . Let  $s$  be smooth and let  $s' \equiv_M s$  be any internal sample. If  $b, b' \in \mathcal{U}^{|\mathcal{Z}|}$  and  $b \equiv_M b'$ , then  $b' = fb$  for some  $f \in \text{Aut}(\mathcal{U}^{f^*}/M)$ . Then  $\bar{\varphi}(s'; fb) \approx \bar{\varphi}(f^{-1}s'; b)$ . Moreover, by smoothness  $\bar{\varphi}(f^{-1}s'; b) \approx \bar{\varphi}(s'; b)$ . The invariance in  $s'$  follows.

$2 \Rightarrow 1$ . It suffices to prove that if  $s$  is internal and invariant then it is smooth. Suppose for a contradiction that there is an  $s' \equiv_M s$  such that  $\bar{\varphi}(s'; b) \not\approx_\varepsilon \bar{\varphi}(s; b)$ . Pick a internal sample  $s'' \equiv_{M, s} s'$ . Then  $\bar{\varphi}(s''; b) \not\approx_\varepsilon \bar{\varphi}(s; b)$ . As  $s'' \equiv_M s$  are both in  $\mathcal{U}^{f^*}$ , then  $s'' = fs$  for some  $f \in \text{Aut}(\mathcal{U}^{f^*}/M)$ . Hence we obtain  $\bar{\varphi}(s; f^{-1}b) \not\approx_\varepsilon \bar{\varphi}(s; b)$  which contradicts the invariance of  $s$ . □

**4.4 Definition** Let  $\varphi(x; z) \in L$  and  $M$  be given. Let  $\mathcal{B} \subseteq \mathcal{U}^{|\mathcal{Z}|}$  be arbitrary. We say that  $s$  is *(uniformly) approximable* on  $\mathcal{B}$  if for every  $\varepsilon$  there is a finite sample  $r \in M^f$  such that  $\bar{\varphi}(s; b) \approx_\varepsilon \bar{\varphi}(r; b)$  for every  $b \in \mathcal{B}$ . (The sample  $r$  depends on  $\varepsilon$ , not on  $b$ .) □

**4.5 Lemma** Let  $\varphi(x; z) \in L$  and  $M$  be given. Let  $s$  be approximable on  $\mathcal{B}$  and such that  $\bar{\varphi}(s; b) > \varepsilon$  for every  $b \in \mathcal{B}$ . Then there is a finite cover of  $\mathcal{B}$ , say  $\mathcal{B}_1, \dots, \mathcal{B}_n$ , such that all the types  $p_i(x) = \{\varphi(x; b) : b \in \mathcal{B}_i\}$  are consistent.

**Proof** Let  $r \in M^f$  be as in Definition 4.4. As  $r$  is finite, we may assume that  $\text{supp}(r) = \{a_1, \dots, a_n\}$ . Let  $\mathcal{B}_i = \{b \in \mathcal{B} : \varphi(a_i; b)\}$ . As  $\bar{\varphi}(r; b) > 0$  for all  $b \in \mathcal{B}$ , these  $\mathcal{B}_i$  are the required cover of  $\mathcal{B}$ . □

**4.6 Corollary** Let  $\varphi(x; z) \in L$  and  $M$  be given. If  $s$  be approximable on  $\langle b_i : i < \omega \rangle$ , where  $\langle b_i : i < \omega \rangle$  is a sequence of indiscernibles such that  $\bar{\varphi}(s; b_i) > \varepsilon$  for every  $i < \omega$ , then the type  $\{\varphi(x; b_i) : i < \omega\}$  is consistent. □

**4.7 Corollary** Let  $\varphi(x; z) \in L$  and  $M$  be given. Let  $s$  be approximable on  $\mathcal{C}$ . Then for every  $\varepsilon$  there is a pair of distinct  $c, c' \in \mathcal{C}$  such that  $\text{Av}_{x/s}[\varphi(x; c) \not\leftrightarrow \varphi(x; c')] < \varepsilon$

**Proof** Suppose for a contradiction that  $\text{Av}_{x/s}[\varphi(x; c) \not\leftrightarrow \varphi(x; c')] \geq \varepsilon$  for all distinct  $c, c' \in \mathcal{C}$ . Apply Lemma 4.5 to the formula  $\psi(x; z, z') = [\varphi(x; z) \not\leftrightarrow \varphi(x; z')]$  and the set  $\mathcal{B} = \{\langle c, c' \rangle \in \mathcal{C}^2 : c \neq c'\}$ . The sets  $\mathcal{B}_i$  obtained from Lemma 4.5 induce a finite coloring of the complete graph on  $\mathcal{C}$ . By the Ramsey theorem there is an infinite monochromatic set  $A \subseteq \mathcal{C}$ . Hence  $\{\varphi(x; a) \not\leftrightarrow \varphi(x; a') : a, a' \in A, a \neq a'\}$  is consistent. As  $|A| > 2$ , this is impossible. □

## 5 The nip formulas

**5.1 Theorem** Let  $\varphi(x; z) \in L$  and  $M$  be given and assume that  $\varphi(x; z)$  is nip. Then every sample is approximable on  $M$  over  $M$ .

**Proof** Questo è Vapnik-Chervonenkis. □

For smooth samples the Vapnik-Chervonenkis Theorem can be strengthened as follows. (The difference is that below  $b$  ranges over  $\mathcal{U}^{|z|}$ .)

**5.2 Corollary** Let  $\varphi(x; z) \in L$  be nip. Every smooth sample  $s$  is approximable on  $\mathcal{U}$  over  $M$ .

**Proof** By Theorem 5.1, there is an  $r \in M^f$  such that  $\bar{\varphi}(s; b) \approx_\varepsilon \bar{\varphi}(r; b)$  for all  $b \in M^{|z|}$ .

Suppose for a contradiction that  $\bar{\varphi}(s; b') \not\approx_\varepsilon \bar{\varphi}(r; b')$  for some  $b' \in \mathcal{U}^{|z|}$ . Pick a  $s' \subseteq \mathcal{U}^{|x|}$  such that  $b' \downarrow_M s' \equiv_M s$ . By the smoothness of  $s$ , we obtain  $\bar{\varphi}(s'; b') \not\approx_\varepsilon \bar{\varphi}(r; b')$ . As  $b' \downarrow_M s'$ , the same formula holds for some  $b \in M^{|z|}$ . Once again by smoothness,  $\bar{\varphi}(s; b) \not\approx_\varepsilon \bar{\varphi}(r; b)$ . A contradiction. □

**5.3 Definition ??** We say that  $\varphi(x; z) \in L$  is *distal* if there is a formula  $\psi(x; z_1, \dots, z_n) \in L$  such that for every finite set  $B \subseteq \mathcal{U}$  and every  $a \in \mathcal{U}^{|x|}$  there are  $b_1, \dots, b_n \in B$  such that  $\psi(a; b_1, \dots, b_n)$  and  $\psi(x; b_1, \dots, b_n)$  decides all formulas  $\varphi(x; b)$  for  $b \in B$ . □

**5.4 Definition ??\*** We say that  $\varphi(x; z) \in L$  is *distal* if there is a formula  $\psi(x_1, \dots, x_n; z) \in L$  such that for every finite set  $A \subseteq \mathcal{U}^{|x|}$  and every  $b \in \mathcal{U}^{|z|}$  there are  $a_1, \dots, a_n \in A$  such that  $\psi(a_1, \dots, a_n, z)$  and  $\psi(x_1, \dots, x_n, z)$  decides all formulas  $\varphi(a; z)$  for  $a \in A$ . □

For every finite sample  $r \in M^f$  and every  $\mu \in \mathbb{R}$  there is a formula  $\psi(z) \in L(\text{supp } r)$  such that  $\bar{\varphi}(r; z) = \mu \leftrightarrow \psi(z)$ . The formula  $\psi(z)$  depends on  $r$ .

When  $\varphi(x; z)$  is distal

If  $\varphi(x; z)$  is distal then there is a formula  $\psi$  such that for every  $r \in M^f$  there is  $r_0 \in M$

**5.5 Theorem (false)** The following are equivalent

1.  $\varphi(x; z) \in L$  is distal;
2. for every sample  $s \in \mathcal{U}^{f*}$ , if  $s$  is generically stable then  $s$  is smooth.

There is a formula  $\psi(x_1, \dots, x_n; z)$  such that for every  $r \in M^f$  there are  $a_1, \dots, a_n$  such that  $\varphi(r; b) = \mu \leftrightarrow \psi(a_1, \dots, a_n; z)$