

AVCB:
The Mobility Challenge

Yellow Paper

eatondo

December 2, 2018

Contents

I	Platoon Framework Introduction	7
II	Platoon Control	8
1	Abstract	9
2	Introduction	10
2.1	Main Objectives	10
3	Related Works	11
4	Background	12
5	The 4 Platooning Characteristics	13
5.1	Node Dynamics	13
5.2	Information Flow Topology	13
5.3	Formation Geometry	13
5.4	Distributed Controller	13
6	Methodologies	13
6.1	Problem Definition	13
6.2	Platoon Metrics	13
6.2.1	String Stability	13
6.2.2	String Margin	13
6.2.3	String Coherence Bounds	13
6.3	Platoon Method Properties	13
6.3.1	Optimality	13
6.3.2	Sub-Optimality Bounds	13
6.3.3	Stability	13
6.3.4	Robustness	13
6.4	Platoon Characteristic	13
6.4.1	Random Variables	14
6.4.2	Speed	14
6.4.3	Gap	14
6.4.4	Headway	14
6.4.5	Platoon Size	15
6.4.6	Platoon Density	15
6.4.7	Platoon Lifetime	15
6.4.8	Platoon Dispersion	15
6.4.9	Platoon Variability	15
6.4.10	Platoon Viscosity	15

6.4.11	Platoon Flow	15
6.4.12	Platoon Capacity	15
6.4.13	Platoon Ratio	15
6.5	Distributed Model Predictive Control	15
6.6	Longitudinal Control	15
6.6.1	Longitudinal Stabilization	15
6.7	Lateral Control	15
6.8	Maneuver Control	15
6.9	Critical Points	15
6.9.1	Formation	15
6.9.2	Merging	15
6.9.3	Splitting	15
6.9.4	Regrouping	15
6.9.5	Dissolution	15
6.10	Junction Comportment	15
6.10.1	Junction Scheduling	15
6.11	System Identification	15
6.12	Crowding	15
6.12.1	Characteristics	15
6.12.2	Type Models	16
6.12.3	Eulerian Models	16
6.12.4	Lagrangian Models	16
6.12.5	Balance of Forces	16
7	Vehicle Dynamics Modeling	17
7.1	Overview	17
8	Platoon Negotiations	17
8.1	Attitude	17
8.1.1	Cooperation	17
8.1.2	Non Cooperation	17
9	Platooning Controls Framework	17
9.1	Overview	17
9.2	Data Structures	17
9.3	States	17
9.4	Maneuvers	17
9.5	Publisher Subscriber Model	18
9.5.1	Overview	18
9.5.2	Model	18
9.5.3	Messages	18
9.5.4	Strategies	18
9.6	Platoon Recognition	18
9.6.1	Overview	18
9.6.2	Objectives	19
9.6.3	Mathematic Notations	20

9.6.4	Metrics	20
9.6.5	Considerations	21
9.6.6	Assumptions	21
9.6.7	Parameters	21
9.6.8	Strategies	21
9.7	Platoon Sustainability	23
9.7.1	Objectives	23
9.7.2	Mathematic Notations	23
9.7.3	Metrics	23
9.7.4	Considerations	23
9.7.5	Assumptions	23
9.7.6	Parameters	23
9.7.7	Strategies	23
9.8	Platoon Prediction	24
9.8.1	Objectives	24
9.8.2	Mathematic Notations	24
9.8.3	Metrics	24
9.8.4	Considerations	24
9.8.5	Assumptions	24
9.8.6	Parameters	24
9.8.7	Strategies	24
9.9	Vehicle Controller	24
9.9.1	Overview	24
9.9.2	The Local Control System	24
9.9.3	Components	24
9.10	Platoon Controller	24
9.10.1	Overview	24
9.10.2	The Distributed MPC System	24
9.10.3	Components	24
9.11	The Complete Controller	24
9.11.1	Overview	24
9.11.2	The Complete System	24
9.11.3	Components	24
10	Simulation	25
10.1	The Environment	25
10.1.1	Plexe	25
10.1.2	Veins	25
10.1.3	Flow	25
10.1.4	Controls Environment	25
10.1.5	Environment Manager	25
10.2	Simulation Parameters	25
10.3	Scenarios	25
10.3.1	Overview	25
10.3.2	Single Ring, Single Lane	25
10.3.3	Single Ring, Double Lane	26

10.3.4	Single Ring, Multi Lane	26
10.3.5	Extended Ring (Junction), Single Lane	26
10.3.6	Extended Ring (Junction), Double Lane	26
10.3.7	Extended Ring (Junction), Multi Lane	26
10.3.8	Grid, Single Lane	26
10.3.9	Grid, Double Lane	26
10.3.10	Grid, Multi Lane	26
10.3.11	OSM, Single Lane	26
10.3.12	OSM, Double Lane	26
10.3.13	OSM, Multi Lane	26
10.3.14	Random Generated, Single Lane	26
10.3.15	Random Generated, Double Lane	27
10.3.16	Random Generated, Multi Lane	27
10.4	Measurements	27
10.4.1	Overview	27
10.4.2	Vehicle Speed	27
10.4.3	Vehicle Headway	27
10.4.4	Vehicle Gap	27
10.4.5	Inter Platoon Gap	27
10.4.6	Intra Platoon Gap	27
10.4.7	Platoon Size	27
10.4.8	Platoon Density	27
10.4.9	Platoon Lifetime	27
10.4.10	Platoon Speed Dispersion	27
10.4.11	Platoon Spatial Dispersion	27
10.4.12	Inter Platoon Variability	27
10.4.13	Platoon Viscosity	27
10.4.14	Platoon Flow	27
10.4.15	Platoon Capacity	27
10.5	Validation	27
11	Performance and Evaluation	28
12	Conclusion	29
13	Future Work	29
14	References	30
	Appendices	31
A	Metric Derivations	31
A.1	Platoon Size	31
A.2	Platoon Density	31
A.3	Platoon Lifetime	31
A.4	Platoon Viscosity	31

A.5 Platoon Flow Rate	31
B Proofs	31
C Simulation Parameters	31
 III Platoon Testing and Analysis	 32
 IV Platoon Application	 33
 V Platoon Simulation Environment	 34

Part I

Platoon Framework Introduction

Part II
Platoon Control

1 Abstract

2 Introduction

2.1 Main Objectives

- Create optimal vehicle locality formation strategies
- creation of optimal vehicle platooning strategies for formation of physical layer for a vehicle edge network

3 Related Works

f

4 Background

5 The 4 Platooning Characteristics

5.1 Node Dynamics

5.2 Information Flow Topology

5.3 Formation Geometry

5.4 Distributed Controller

6 Methodologies

6.1 Problem Definition

6.2 Platoon Metrics

6.2.1 String Stability

6.2.2 String Margin

6.2.3 String Coherence Bounds

6.3 Platoon Method Properties

6.3.1 Optimality

6.3.2 Sub-Optimality Bounds

6.3.3 Stability

6.3.4 Robustness

6.4 Platoon Characteristic

In this section the mathematical formulation of the key characteristics and metrics involved in the platooning framework are defined. The metrics are formed in a distributed manner, meaning that in a given road network of connected communicating vehicles, every vehicle is collecting data from its surrounding environment and constructs a localized statistical model. Each vehicle measures the platoon characteristics and performs local analytics from this model, and these results drive the behavior of each vehicles local controller model. Each of the following metrics is, therefore, formulated with respect to some given reference vehicle $v_n \in V$ for a given set of vehicle V . It is important to note that, in this work, the measurements of a vehicle's mobility characteristics (e.g. it's speed, velocity, etc...) are assume the origin of measurements are from the center of the vehicle.

**Measurements of position/velocity/etc... assume origin of measurements at center of vehicle

6.4.1 Random Variables

Due to the highly variable nature of the characteristics involved in the formation of optimal vehicle platoons, a stochastic framework was chosen as to give the most accurate mathematical model.

6.4.2 Speed

$$v_n$$

6.4.3 Gap

The gap between two vehicles is defined as the displacement between two vehicles, a lead vehicle and a follow vehicle. The mathematical formulaion is as follows:

$$\Delta l_n = |l_{lead} - l_{follow}|$$

6.4.4 Headway

The vehicle headway is defined as the displacement between two vehicles, a following and a lead vehicle, divided by the velocity of the following vehicle. The mathematical formulaion is as follows:

$$h_n = \frac{\Delta l_n}{v_{follow}}$$

6.4.5	Platoon Size
6.4.6	Platoon Density
6.4.7	Platoon Lifetime
6.4.8	Platoon Dispersion
6.4.9	Platoon Variability
6.4.10	Platoon Viscosity
6.4.11	Platoon Flow
6.4.12	Platoon Capacity
6.4.13	Platoon Ratio
6.5	Distributed Model Predictive Control
6.6	Longitudinal Control
6.6.1	Longitudinal Stabilization
6.7	Lateral Control
6.8	Maneuver Control
6.9	Critical Points
6.9.1	Formation
6.9.2	Merging
6.9.3	Splitting
6.9.4	Regrouping
6.9.5	Dissolution
6.10	Junction Comportment
6.10.1	Junction Scheduling
6.11	System Identification
6.12	Crowding
6.12.1	Characteristics

Empirical rules:

- **Flock centering:** agents stay together with/nearby other agents
- **Collision avoidance:** avoid colliding with other agents
- **Velocity matching:** keep similar velocity with other agents

6.12.2 Type Models

6.12.3 Eulerian Models

6.12.4 Lagrangian Models

6.12.5 Balance of Forces

As the vehicles move in the environment, a series of "forces" acts upon them, pulling or pushing them in certain directions and controlling their overall behavior. Vehicles take into account these forces by measuring and recording information from their environment through either sensors, or via the communication network. Upon receiving information from the environment, the vehicle reacts to the "forces" that it detects from the environment. These forces become the main focus of the controller as through their manipulation, the vehicles will be able to achieve their local objectives and the platoon will be able to achieve its globalized objectives as well.

Table 1: Platooning Forces

Force	Notation	Type	Description
Crowding	$F_{crowding}$	Attractive	force pulling vehicles in platoon toward one another
Foci	F_{foci}	Attractive	Forces which the vehicles desire
Vehicle	$F_{Vehicle}$	Repulsive	Forces emitted from individual vehicles

7 Vehicle Dynamics Modeling

7.1 Overview

8 Platoon Negotiations

Dealing with misalignment of interests

8.1 Attitude

8.1.1 Cooperation

8.1.2 Non Cooperation

9 Platooning Controls Framework

9.1 Overview

9.2 Data Structures

9.3 States

Table 2: Platoon States

State	Notation	Value	Description
Formation	$s_{formation}$	0	force pulling vehicles in platoon toward one another
Dissolution	$s_{dissolution}$	1	Forces which the vehicles desire
Modification	$s_{modification}$	2	Forces which the vehicles desire
Disruption	$s_{disruption}$	3	Forces which the vehicles desire
Regroup	$s_{regroup}$	4	Forces which the vehicles desire
Negotiation	$s_{negotiation}$	4	Forces which the vehicles desire

9.4 Maneuvers

Table 3: Platoon Maneuvers

Maneuver	Notation	Value	Description
Join	m_{join}	0	force pulling vehicles in platoon toward one another
Leave	m_{leave}	1	Forces which the vehicles desire
Merge	m_{merge}	2	Forces which the vehicles desire
Split	m_{split}	3	Forces which the vehicles desire
Regroup	$m_{regroup}$	4	Forces which the vehicles desire

Table 4: Vehicle Maneuvers

Maneuver	Notation	Value	Description
Approach	$m_{Approach}$	0	force pulling vehicles in platoon toward one another
Regress	$m_{Regress}$	1	Forces which the vehicles desire
Enter	m_{enter}	2	Forces which the vehicles desire
Exit	m_{exit}	3	Forces which the vehicles desire

9.5 Publisher Subscriber Model

9.5.1 Overview

9.5.2 Model

9.5.3 Messages

Table 5: Platoon Messages

Message	Notation	Value	Description
Join	m_{join}	0	force pulling vehicles in platoon toward one another
Leave	m_{leave}	1	Forces which the vehicles desire
Merge	m_{merge}	2	Forces which the vehicles desire
Split	m_{split}	3	Forces which the vehicles desire
Regroup	$m_{regroup}$	4	Forces which the vehicles desire

9.5.4 Strategies

9.5.4.1 Publisher

Algorithm 1 Publish

```

1: procedure PUBLISH( $p_n, v_n, p_n$ )
2:   return  $b$ 

```

9.5.4.2 Subscriber

9.5.4.3 Forward

9.5.4.4 Matching

9.6 Platoon Recognition

9.6.1 Overview

Platoon recognition is the process of probabilistically recognizing the formation of platoon. This can be realized through a three step process which includes

Algorithm 2 Subscriber

```
1: procedure SUBSCRIBE( $p_n, v_n, p_n$ )  
2:   return  $b$ 
```

Algorithm 3 Forward

```
1: procedure FORWARD( $p_n, v_n, p_n$ )  
2:   return  $b$ 
```

Identification, Estimation, and Filtering. Platoon identification is the process of identifying the existence of platoons through identifying which, if any, possible candidate vehicles that may belong to a platoon. Platoon estimation involves the estimation of key platoon parameters, which include platoon size, density, viscosity, flow rate, interstatic forces, and lifetime. The final step **of platoon filtering** is the process of finding optimal candidate vehicles which have high likelihood of belonging to a platoon.

9.6.2 Objectives

Global

- Platoon size $L_{platoon}$
- Platoon lifetime $T_{platoon}$
- Platoon density $D_{platoon}$
- Platoon variability $\mathcal{V}_{platoon}$

$$\max_{\{parameter\}} L_{platoon} \quad (1)$$

$$\max_{\{parameter\}} T_{platoon} \quad (2)$$

$$\max_{\{parameter\}} D_{platoon} \quad (3)$$

$$\min_{\{parameter\}} \mathcal{V}_{platoon} \quad (4)$$

Local

Vehicle

Algorithm 4 Matching

```
1: procedure MATCHING( $p_n, v_n, p_n$ )  
2:   return  $b$ 
```

- vehicle force v_f
- vehicle communication delay v_{delay}
- vehicle travel time v_{ttime}
- vehicle travel distance $v_{tdistance}$

$$optimize(v_f) \tag{5}$$

$$\min_{\{parameter\}} v_{delay} \tag{6}$$

$$\min_{\{parameter\}} v_{ttime} \tag{7}$$

$$\min_{\{parameter\}} v_{tdistance} \tag{8}$$

9.6.3 Mathematic Notations

- Junctions $j \in \mathcal{J}$
- Roads $r \in \mathcal{R}$
- vehicles $v \in \mathcal{V}$

9.6.4 Metrics

Principle Metrics:

- Platoon Lifetime
- Platoon Size
- Platoon density
- Platoon variability

Vehicle Metrics:

- Vehicle position
- Vehicle velocity
- Vehicle headway
- Vehicle gap

9.6.5 Considerations

Platoons

- Critical Formation decision boundary
- CV Penetration Ratio
- Points of formation
- Points of dissolution
- Points of merging
- Points of splitting
- junction scheduling
- platoon assignment
- platoon regrouping (mid-road)

Road Network

- Road infrastructure
- merging points

9.6.6 Assumptions

9.6.7 Parameters

- Road Capacity
- Platoon Capacity
- Vehicle Length / Size
- inter-platoon distance
- intra-platoon distance

9.6.8 Strategies

9.6.8.1 Grouping

9.6.8.2 States

9.6.8.3 Estimating the Local Vehicle State

9.6.8.4 Identification

9.6.8.5 Estimation

9.6.8.6 Filtering

9.6.8.7 Complete

Algorithm 5 Vehicle State

```
1: procedure ESTIMATESTATE( $p_n, v_n, p_n$ )  
2:   return  $b$ 
```

Algorithm 6 Platoon Identification

```
1: procedure IDENTIFY( $p_n, v_n, p_n$ ) ▷ The g.c.d. of a and b  
2:    $I_n \leftarrow \langle p_n, v_n, p_n \rangle$   
3:   if  $I_n \in [T_{min}, T_{max}]$  then  $a$   
4:   record  $I_n$   
5:   return  $b$ 
```

Algorithm 7 Platoon Estimation

```
1: procedure ESTIMATE( $p_n, v_n, p_n$ )  
2:   return  $b$ 
```

Algorithm 8 Platoon Filtering

```
1: procedure FILTER( $p_n, v_n, p_n$ )  
2:   return  $b$ 
```

Algorithm 9 Platoon Recognition

```
1: procedure RECOGNIZE( $p_n, v_n, p_n$ )  
2:   EstimateState( $i$ )  
3:   Identify( $i$ )  
4:   Estimate( $i$ )  
5:   Filter( $i$ )  
6:   return  $b$ 
```

Table 6: Vehicle States

State	Notation	Value	Description
Following	S_{follow}	0	force pulling vehicles in platoon toward one another
Free Speed	S_{free}	1	Forces which the vehicles desire
Approaching	$S_{approach}$	2	Forces which the vehicles desire
Receding	$S_{receding}$	3	Forces which the vehicles desire

9.7 Platoon Sustainability

9.7.1 Objectives

- Platoon Recognition
- Speed Filtering
- Parameter Estimation

9.7.2 Mathematic Notations

9.7.3 Metrics

9.7.4 Considerations

9.7.5 Assumptions

9.7.6 Parameters

9.7.7 Strategies

Algorithm 10 Platoon Sustainment

- 1: **procedure** SUSTAIN(p_n, v_n, p_n)
 - 2: **return** b
-

9.8 Platoon Prediction

9.8.1 Objectives

- Predict future platoon states
- Cooperative path planning

9.8.2 Mathematic Notations

9.8.3 Metrics

9.8.4 Considerations

9.8.5 Assumptions

9.8.6 Parameters

9.8.7 Strategies

9.9 Vehicle Controller

9.9.1 Overview

9.9.2 The Local Control System

9.9.3 Components

Cloud Computing - Paradigms and Technologies

9.10 Platoon Controller

9.10.1 Overview

9.10.2 The Distributed MPC System

9.10.3 Components

9.11 The Complete Controller

9.11.1 Overview

9.11.2 The Complete System

9.11.3 Components

rounded

10 Simulation

10.1 The Environment

10.1.1 Plexe

10.1.2 Veins

10.1.3 Flow

10.1.4 Controls Environment

10.1.5 Environment Manager

10.1.5.1 Shared Memory

10.2 Simulation Parameters

10.3 Scenarios

10.3.1 Overview

A set of scenarios were designed for the testing and validation of the proposed controller, where each set of scenarios tested a different aspect of the controller performance. Each scenario considers three settings for testing which include the single lane setting, the double lane setting, and the multiple lane setting. The single lane setting focuses on the testing and validation of longitudinal control of the vehicle controller. The double lane setting focuses on testing the lateral control of the vehicle controller. The multiple lane setting then focuses on the testing and validation of the generalized control performance of the vehicle controller.

The scenarios include the single ring scenario, the extended ring scenario, the grid scenario, the OSM based scenario and finally the randomly generated scenario. Each scenario type provides a unique road structure as to provide a sufficient challenge to a given aspect of the controller. The single ring scenario merely tests the controller on a homogeneous road structure, a single road. The extended ring structure introduces a single junction and tests junction behavior and responses to such "new road structure". The grid scenario tests controller behavior in the presence of multiple junctions. The OSM scenario uses an imported map of a real world road network and tests the controller in such environments. The procedurally generated scenario creates a completely novel and random road network and tests the controller in this highly generalized setting.

10.3.2 Single Ring, Single Lane

-test longitudinal control

10.3.3 Single Ring, Double Lane

-test lateral control

10.3.4 Single Ring, Multi Lane

-test general control

10.3.5 Extended Ring (Junction), Single Lane

- test junction control with longitudinal control

10.3.6 Extended Ring (Junction), Double Lane

- test junction control with lateral control

10.3.7 Extended Ring (Junction), Multi Lane

- test junction control with general control

10.3.8 Grid, Single Lane

- baseline longitudinal control

10.3.9 Grid, Double Lane

- baseline lateral control

10.3.10 Grid, Multi Lane

- baseline general control

10.3.11 OSM, Single Lane

- baseline longitudinal control

10.3.12 OSM, Double Lane

- baseline lateral control

10.3.13 OSM, Multi Lane

- baseline general control

10.3.14 Random Generated, Single Lane

- baseline longitudinal control

10.3.15 Random Generated, Double Lane

- baseline lateral control

10.3.16 Random Generated, Multi Lane

- baseline general control

10.4 Measurements

10.4.1 Overview

10.4.2 Vehicle Speed

10.4.3 Vehicle Headway

10.4.4 Vehicle Gap

10.4.5 Inter Platoon Gap

10.4.6 Intra Platoon Gap

10.4.7 Platoon Size

10.4.8 Platoon Density

10.4.9 Platoon Lifetime

10.4.10 Platoon Speed Dispersion

10.4.11 Platoon Spatial Dispersion

10.4.12 Inter Platoon Variability

10.4.13 Platoon Viscosity

10.4.14 Platoon Flow

10.4.15 Platoon Capacity

10.5 Validation

11 Performance and Evaluation

12 Conclusion

13 Future Work

14 References

Appendices

A Metric Derivations

A.1 Platoon Size

A.2 Platoon Density

A.3 Platoon Lifetime

A.4 Platoon Viscosity

A.5 Platoon Flow Rate

B Proofs

C Simulation Parameters

Part III

Platoon Testing and Analysis

Part IV

Platoon Application

Part V

Platoon Simulation Environment