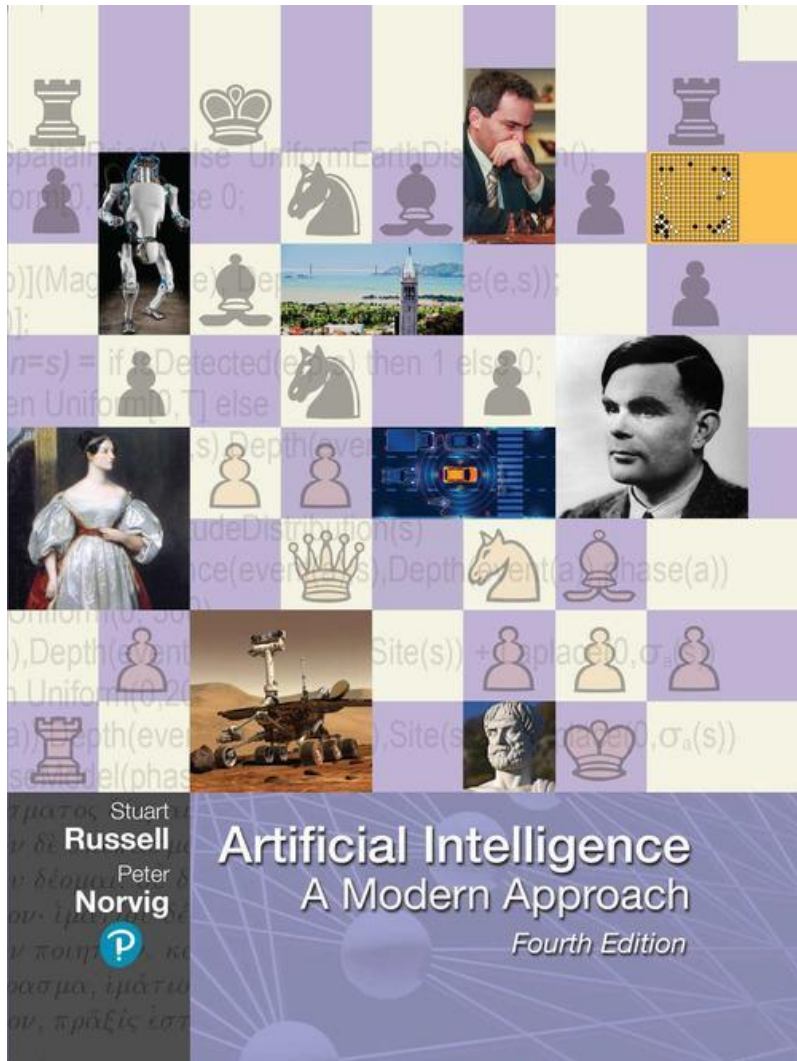


# Artificial Intelligence: A Modern Approach

Fourth Edition



## Chapter 6

### Constraint Satisfaction Problems

## Outline

- ◆ Defining Constraint Satisfaction Problems (CSP)
- ◆ CSP examples
- ◆ Backtracking search for CSPs
- ◆ Local search for CSPs
- ◆ Problem structure and problem decomposition

## Defining Constraint Satisfaction Problems

A constraint satisfaction problem (CSP) consists of three components,  $X$ ,  $D$ , and  $C$ :

- $X$  is a set of variables,  $\{X_1, \dots, X_n\}$ .
- $D$  is a set of domains,  $\{D_1, \dots, D_n\}$ , one for each variable
- $C$  is a set of constraints that specify allowable combination of values

CSPs deal with assignments of values to variables.

- A complete assignment is one in which every variable is assigned a value, and a solution to a CSP is a consistent, complete assignment.
- A partial assignment is one that leaves some variables unassigned.
- Partial solution is a partial assignment that is consistent

## Constraint satisfaction problems (CSPs)

Standard search problem:

**state** is a “black box”—any old data structure  
that supports goal test, eval, successor

CSP:

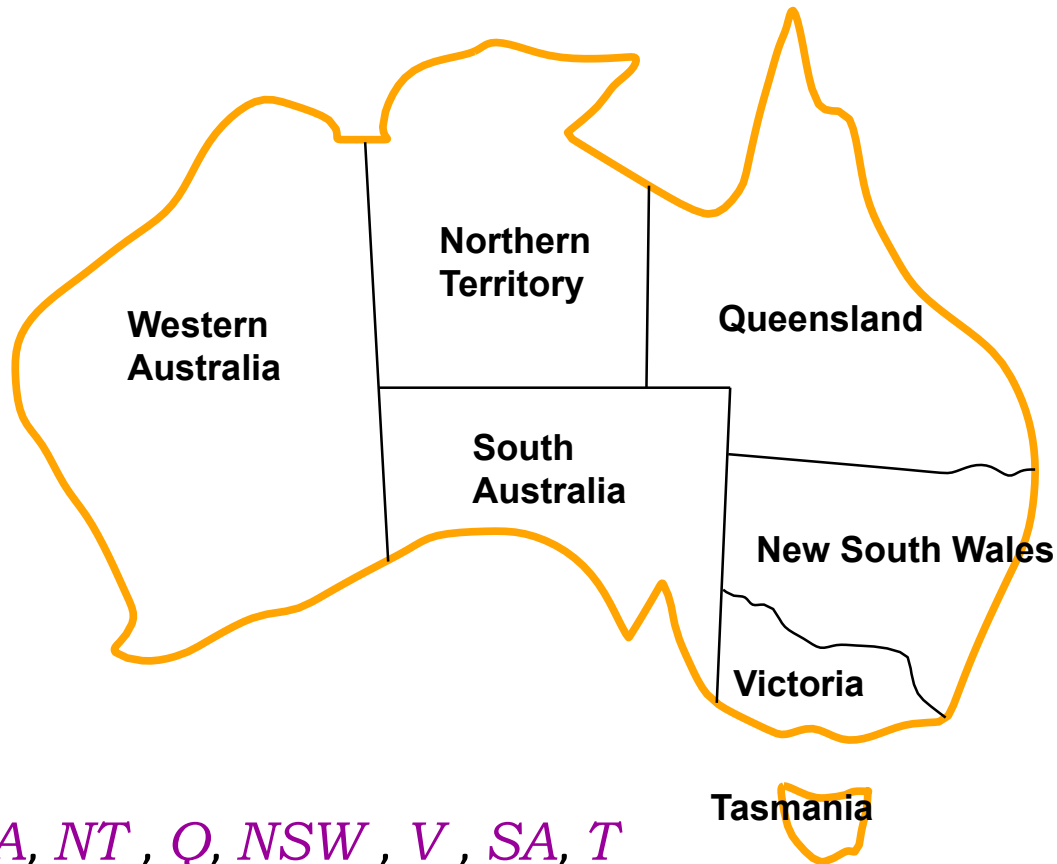
**state** is defined by **variables**  $X_i$  with **values** from **domain**  $D_i$

**goal test** is a set of **constraints** specifying  
allowable combinations of values for subsets of  
variables

Simple example of a **formal representation language**

Allows useful **general-purpose** algorithms with more  
power than standard search algorithms

## Example: Map-Coloring



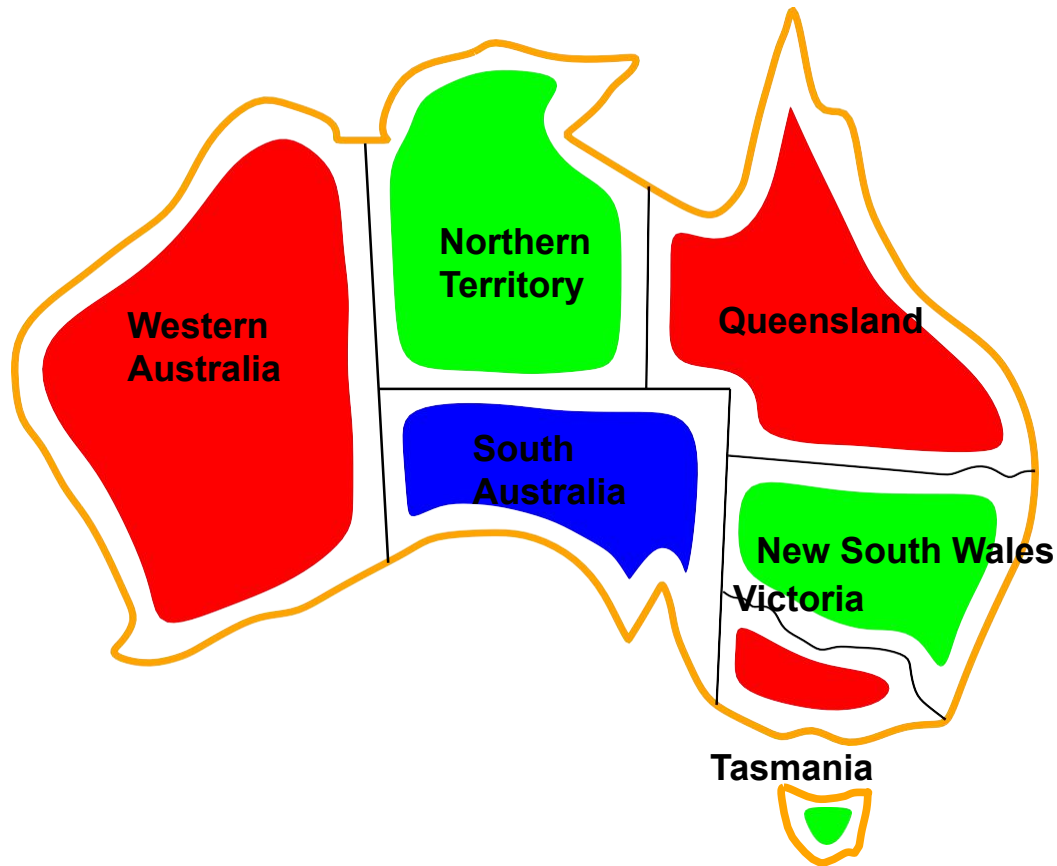
Variables  $WA, NT, Q, NSW, V, SA, T$

Domains  $D_i = \{\text{red}, \text{green}, \text{blue}\}$

Constraints: adjacent regions must have different colors e.g.,  $WA \neq NT$  (if the language allows this), or

$(WA, NT) \in \{(\text{red}, \text{green}), (\text{red}, \text{blue}), (\text{green}, \text{red}), (\text{green}, \text{blue}), \dots\}$

## Example: Map-Coloring contd.



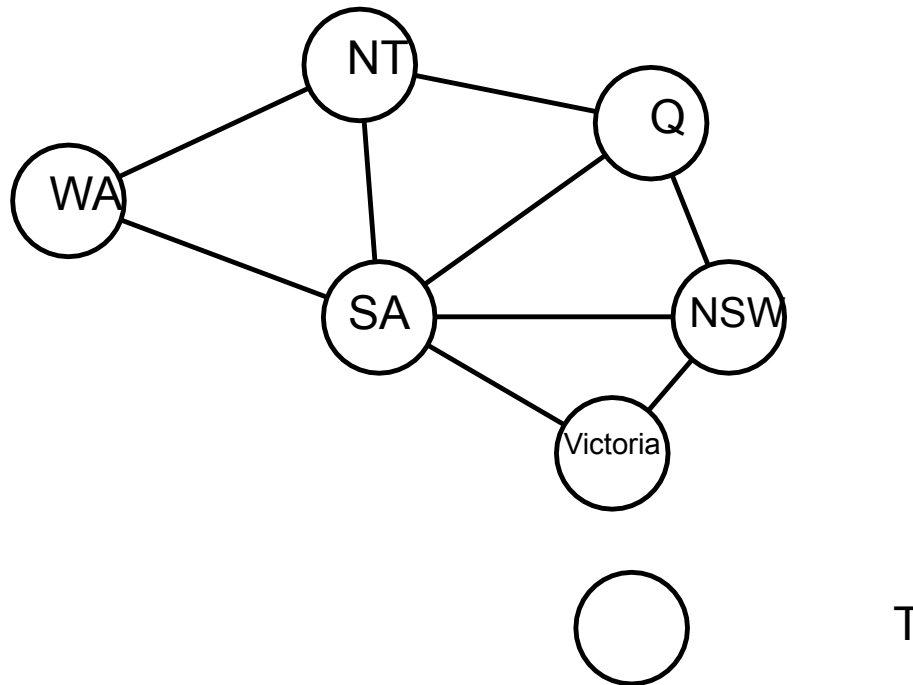
**Solutions** are assignments satisfying all constraints, e.g.,

$\{WA = \text{red}, NT = \text{green}, Q = \text{red}, NSW = \text{green}, V = \text{red}, SA = \text{blue}, T = \text{green}\}$

## Constraint graph

**Binary CSP:** each constraint relates at most two variables

**Constraint graph:** nodes are variables, arcs show constraints



General-purpose CSP algorithms use the graph structure to speed up search. E.g., Tasmania is an independent subproblem!

## Varieties of CSPs

### Discrete variables

finite domains; size  $d \Rightarrow O(d^n)$  complete assignments

- ◆ e.g., Boolean CSPs, incl. Boolean satisfiability (NP-complete)

infinite domains (integers, strings, etc.)

- ◆ e.g., job scheduling, variables are start/end days for each job
- ◆ need a **constraint language**, e.g.,  $StartJ\ ob_1 + 5 \leq StartJ\ ob_3$
- ◆ **linear** constraints solvable, **nonlinear** undecidable

### Continuous variables

- ◆ e.g., start/end times for Hubble Telescope observations
- ◆ linear constraints solvable in poly time by LP methods



## Varieties of constraints

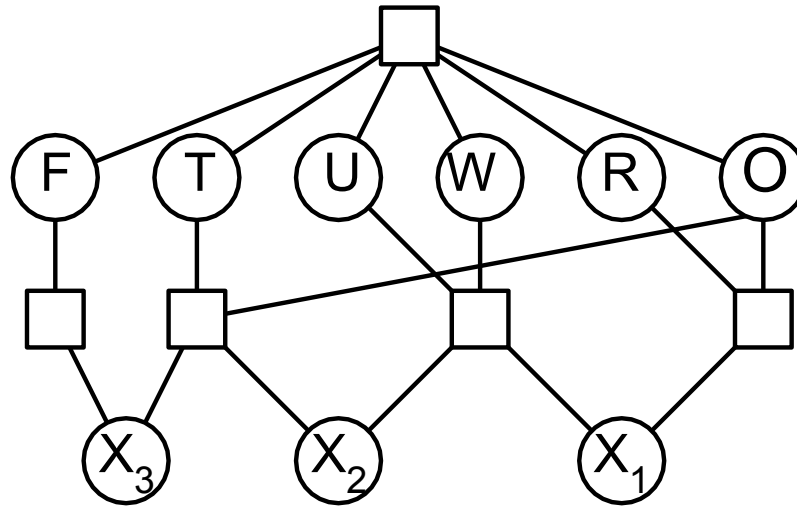
**Unary** constraints involve a single variable, e.g., *SA*  $\neq$  *green*

**Binary** constraints involve pairs of variables, e.g., *SA*  $\neq$  *WA*

**Higher-order** constraints involve 3 or more variables, e.g., cryptarithmic column constraints

**Preferences** (soft constraints), e.g., *red* is better than *green*  
often representable by a cost for each variable assignment  
→ constrained optimization problems

## Example: Cryptarithmic

$$\begin{array}{r}
 \phantom{+} T \phantom{+} W \\
 \phantom{+} O \\
 + \phantom{+} I \phantom{+} \underline{W} \\
 \underline{O} \phantom{+} F \phantom{+} O \\
 U \phantom{+} R
 \end{array}$$


Variables:  $F, T, U, W, R, O, X_1, X_2, X_3$

Domains:  $\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$

Constraints

$alldiff(F, T, U, W, R, O)$

$O + O = R + 10 \cdot X_1$ , etc.

## Real-world CSPs

Assignment problems

e.g., who teaches what class

Timetabling problems

e.g., which class is offered when and where?

Hardware configuration

Spreadsheets

Transportation scheduling

Factory scheduling

Floorplanning

Notice that many real-world problems involve real-valued variables

## Standard search formulation (incremental)

Let's start with the straightforward, dumb approach, then fix it

States are defined by the values assigned so far

- ◆ **Initial state:** the empty assignment,  $\{ \}$
- ◆ **Successor function:** assign a value to an unassigned variable that does not conflict with current assignment.  
⇒ fail if no legal assignments (not fixable!)
- ◆ **Goal test:** the current assignment is complete

- 1) This is the same for all CSPs! 😊
- 2) Every solution appears at depth  $n$  with  $n$  variables  
⇒ use depth-first search
- 3) Path is irrelevant, so can also use complete-state formulation 😞
- 4)  $b = (n - \epsilon)d$  at depth  $\epsilon$ , hence  $n!d^n$  leaves!!!!

## Backtracking search

Variable assignments are **commutative**, i.e.,

[*WA = red* then *NT = green*] same as [*NT = green* then *WA = red*]

Only need to consider assignments to a single variable at each node

⇒ *b = d* and there are *d<sup>n</sup>* leaves

Depth-first search for CSPs with single-variable assignments is called **backtracking** search

Backtracking search is the basic uninformed algorithm for

CSPs Can solve *n*-queens for *n* ≈ 25

## Backtracking search

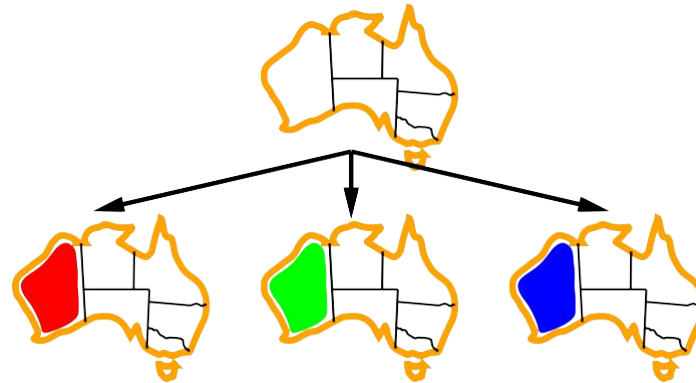
```
function Backtracking-Search(csp) returns solution/failure
  return Recursive-Backtracking({ }, csp)

function Recursive-Backtracking(assignment, csp) returns soln/failure
  if assignment is complete then return assignment
  var ← Select-Unassigned-Variable(Variables[csp], assignment, csp)
  for each value in Order-Domain-Values(var, assignment, csp) do
    if value is consistent with assignment given Constraints[csp] then
      add {var = value} to assignment
      result ← Recursive-Backtracking(assignment, csp)
      if result ≠ failure then return result
      remove {var = value} from assignment
  return failure
```

## Backtracking example

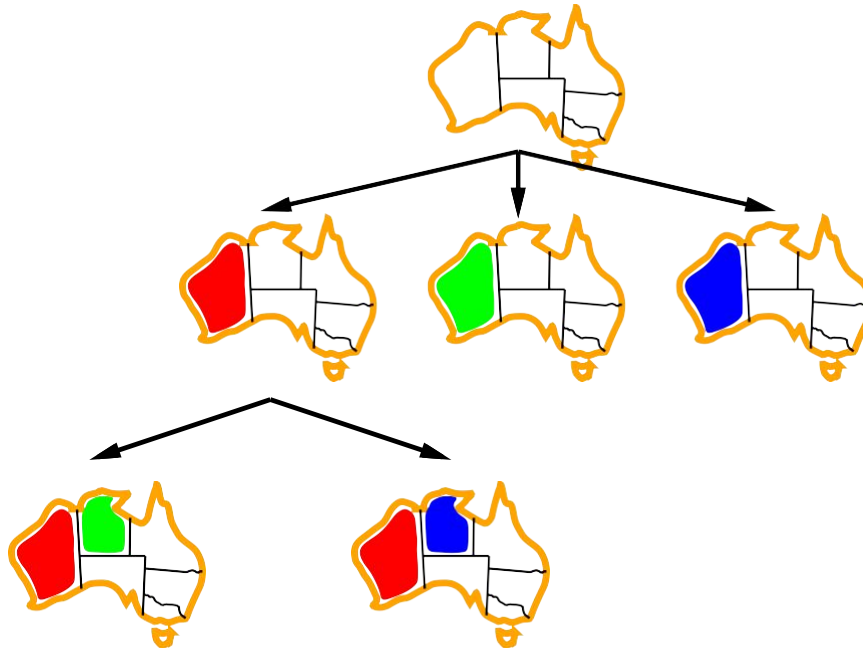


## Backtracking example

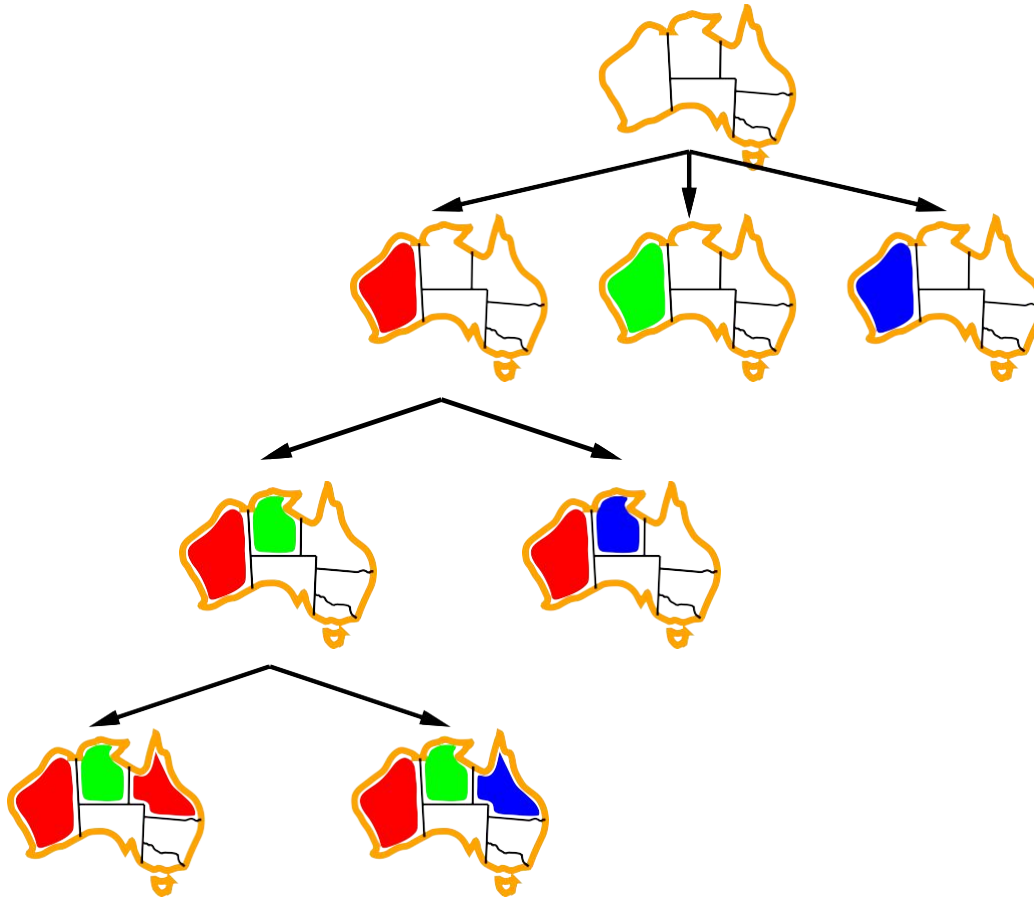




## Backtracking example



## Backtracking example



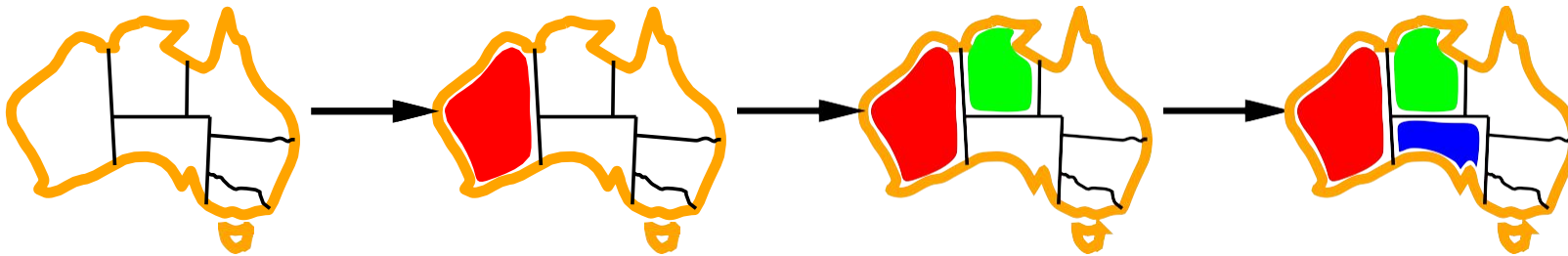
## Improving backtracking efficiency

**General-purpose** methods can give huge gains in speed:

1. Which variable should be assigned next?
2. In what order should its values be tried?
3. Can we detect inevitable failure early?
4. Can we take advantage of problem structure?

## Minimum remaining values

Minimum remaining values (MRV):  
choose the variable with the fewest legal values

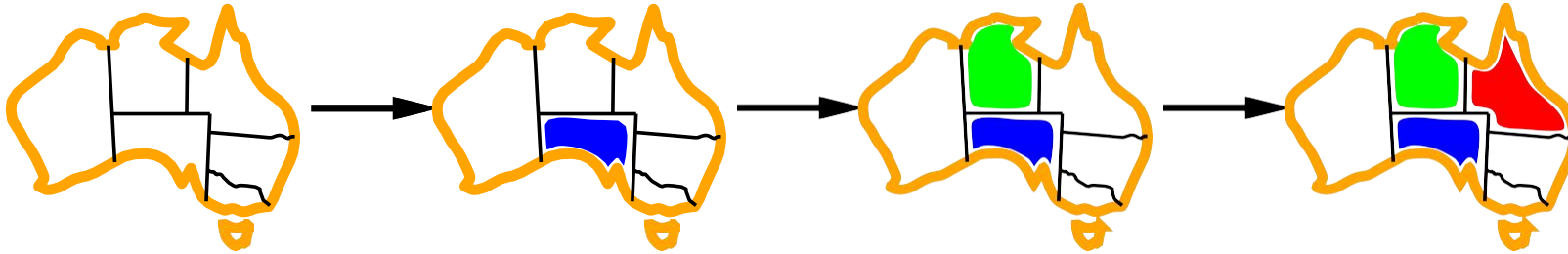


## Degree heuristic

Tie-breaker among MRV variables

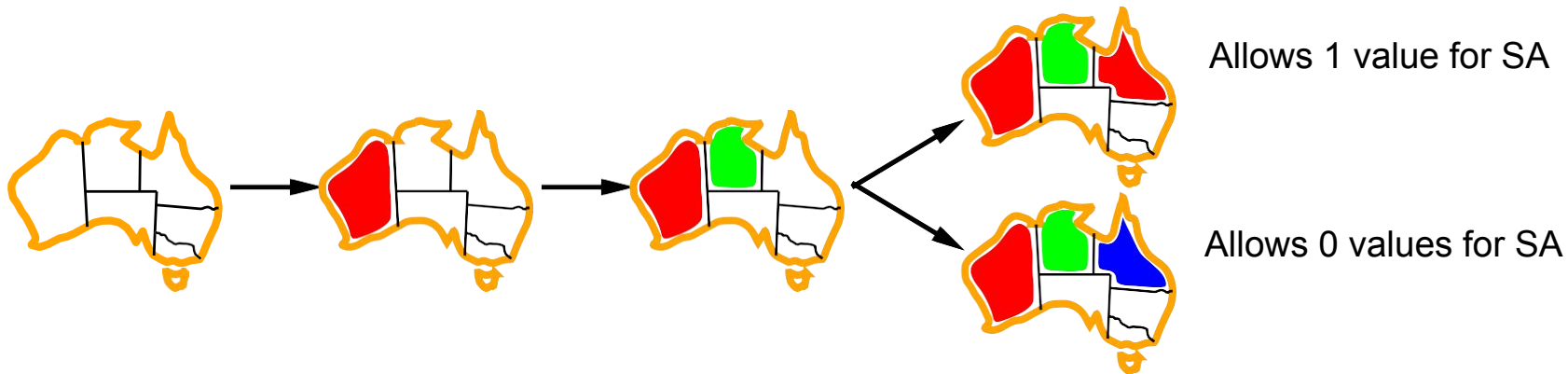
Degree heuristic:

choose the variable with the most constraints on remaining variables



## Least constraining value

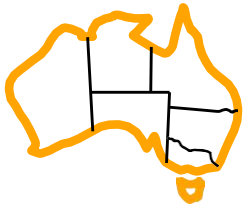
Given a variable, choose the least constraining value:  
the one that rules out the fewest values in the remaining variables



Combining these heuristics makes 1000 queens feasible

## Forward checking

**Idea:** Keep track of remaining legal values for unassigned variables  
Terminate search when any variable has no legal values



WA

NT

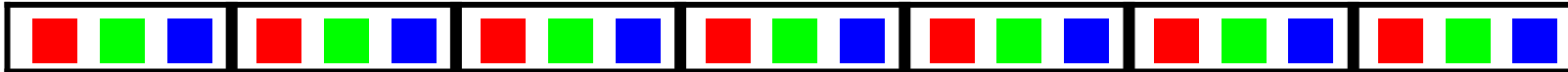
Q

NSW

V

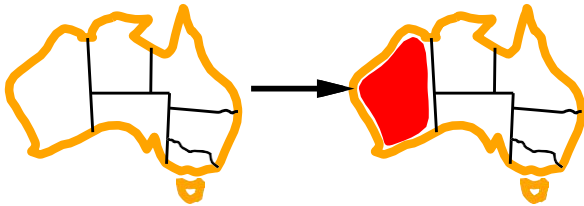
SA

T



## Forward checking

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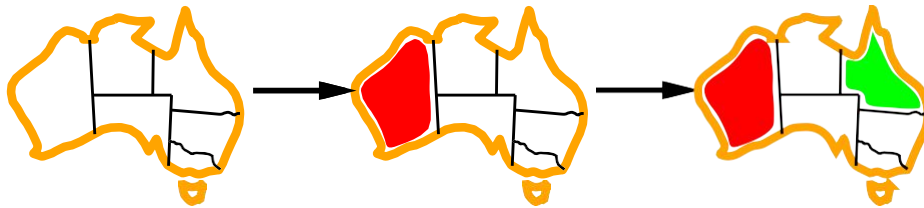


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# Forward checking

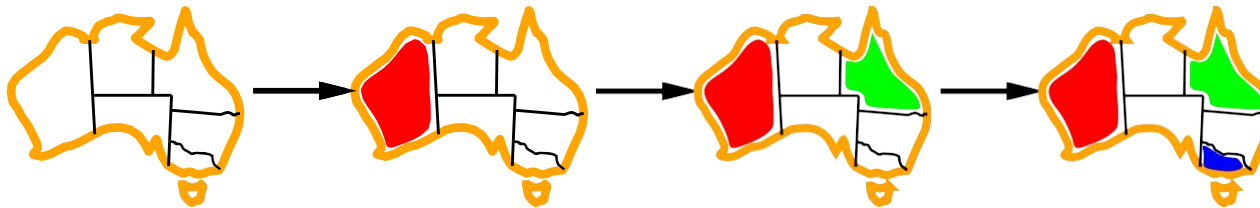
**Idea:** Keep track of remaining legal values for unassigned variables  
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WA	NT	Q	NSW	V	SA	T
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# Forward checking

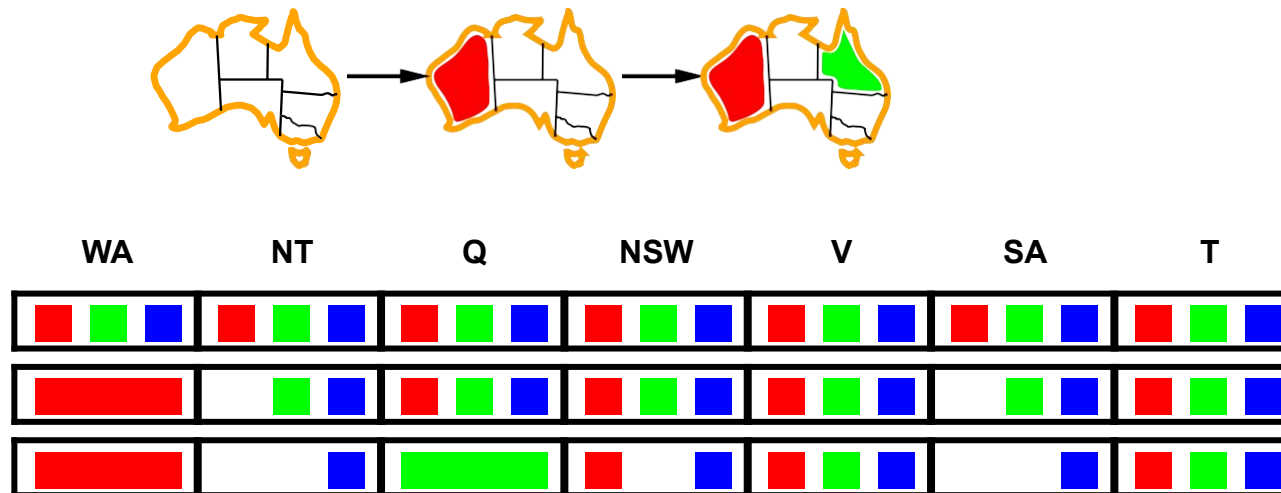
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WA	NT	Q	NSW	V	SA	T
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## Constraint propagation

Forward checking propagates information from assigned to unassigned variables, but doesn't provide early detection for all failures:



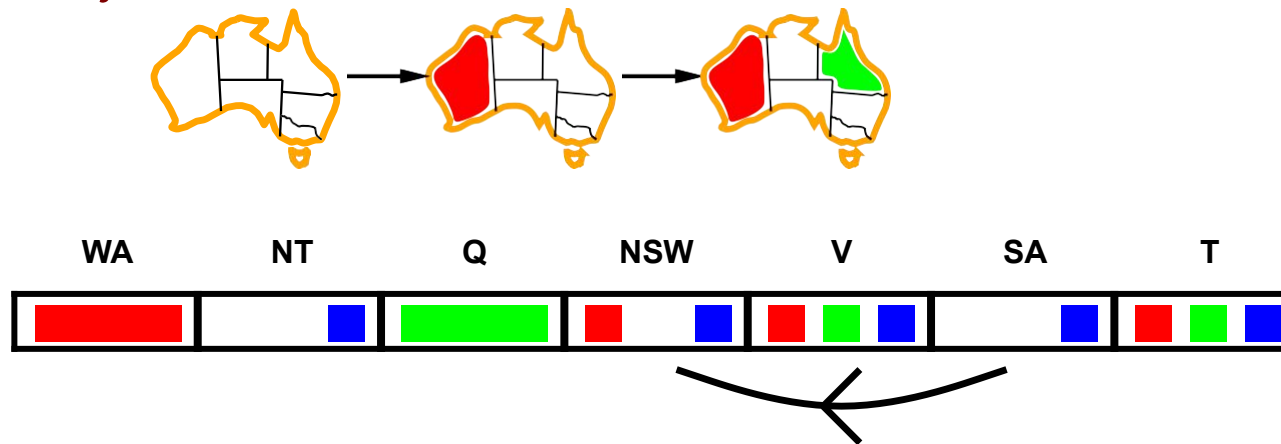
*NT* and *SA* cannot both be blue!

Constraint propagation repeatedly enforces constraints locally

# Arc consistency

Simplest form of propagation makes each arc **consistent**

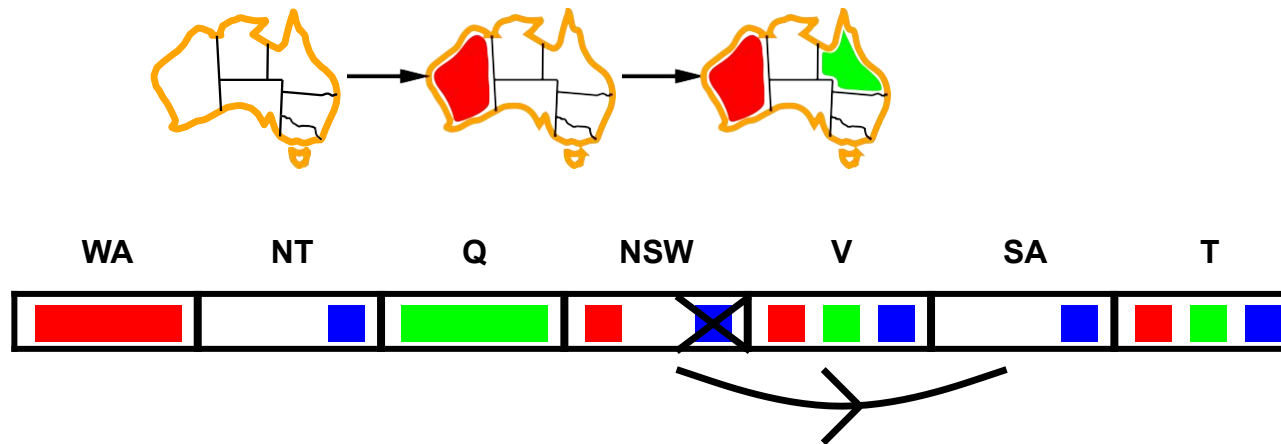
$X \rightarrow Y$  is consistent iff  
for **every** value  $x$  of  $X$  there is **some** allowed  $y$



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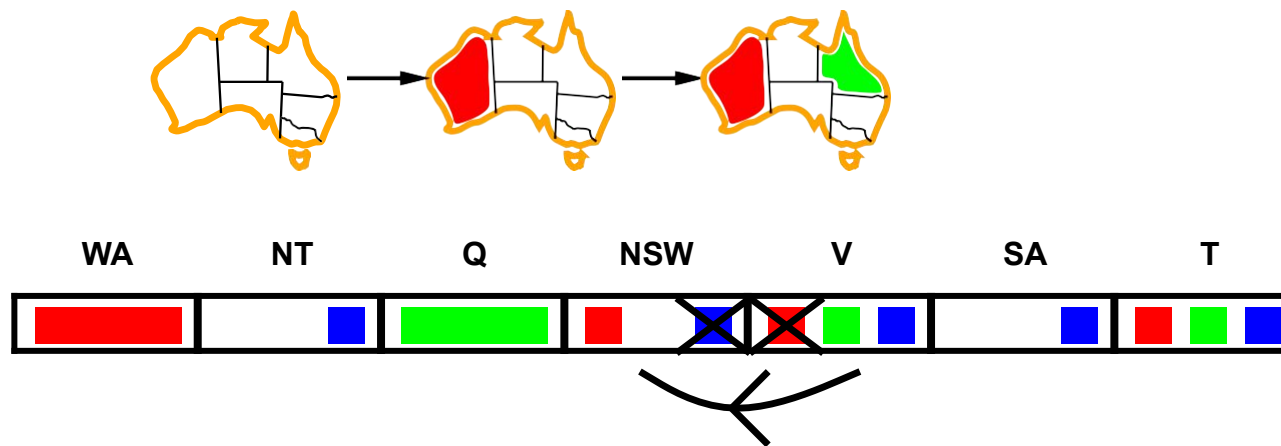
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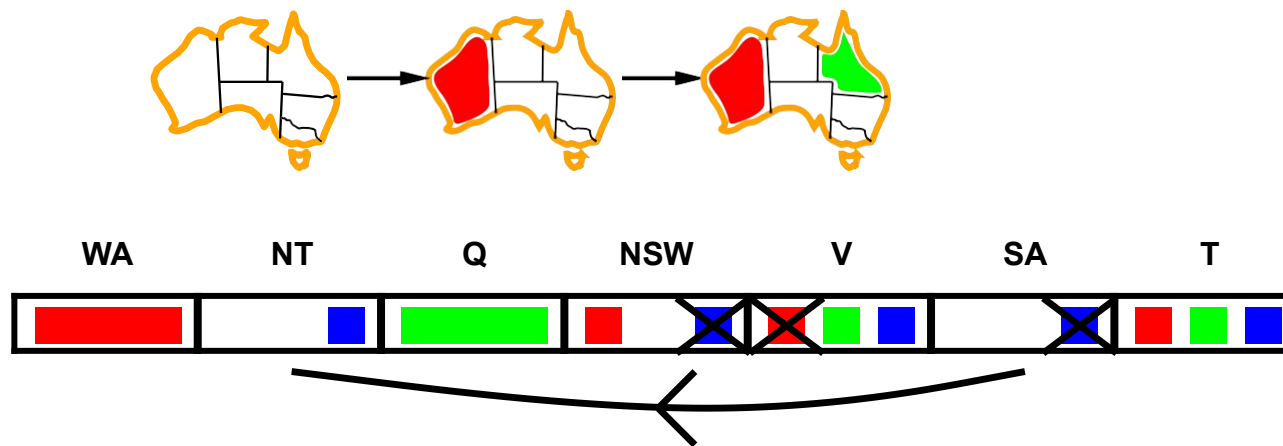


If  $X$  loses a value, neighbors of  $X$  need to be rechecked

## Arc consistency

Simplest form of propagation makes each arc **consistent**

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If  $X$  loses a value, neighbors of  $X$  need to be rechecked  
Arc consistency detects failure earlier than forward checking

Can be run as a preprocessor or after each assignment

## Arc consistency algorithm

function **AC-3**( *csp* ) returns the CSP, possibly with reduced domains

inputs: *csp*, a binary CSP with variables  $\{X_1, X_2, \dots, X_n\}$

local variables: *queue*, a queue of arcs, initially all the arcs in *csp*

while *queue* is not empty do

$(X_i, X_j) \leftarrow \text{Remove-First}(\textit{queue})$

    if Remove-Inconsistent-Values( $X_i, X_j$ ) then for

        each  $X_k$  in Neighbors[ $X_i$ ] do

            add  $(X_k, X_j)$  to *queue*

---

function **Remove-Inconsistent-Values**(  $X_i, X_j$  ) returns true iff succeeds

*removed*  $\leftarrow$  false

    for each  $x$  in Domain[ $X_i$ ] do

        if no value  $y$  in Domain[ $X_j$ ] allows  $(x, y)$  to satisfy the constraint  $X_i \leftrightarrow X_j$

            then delete  $x$  from Domain[ $X_i$ ]; *removed*  $\leftarrow$  true

    return *removed*

$O(n^2 d^3)$ , can be reduced to  $O(n^2 d^2)$  (but detecting **all** is NP-hard)



## Local Search for CSPs

Local search algorithms can be very effective in solving many CSPs.

Local search algorithms use a complete-state formulation where each state assigns a value to every variable, and the search changes the value of one variable at a time.

**Min-conflicts heuristic:** value that results in the **minimum number of conflicts** with other variables that **brings us closer to a solution**.

- Usually has a series of **plateaus**

**Plateau search:** allowing sideways moves to another state with the same score.

- can help local search find its way off the plateau.

**Constraint weighting** aims to concentrate the search on the important constraints

- Each constraint is given a numeric weight, initially all 1.
- weights adjusted by incrementing when it is violated by the current assignment

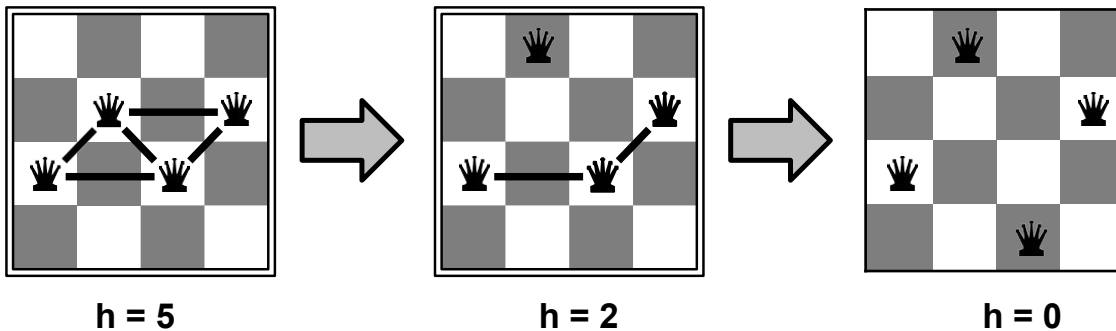
## Example: 4-Queens

States: 4 queens in 4 columns ( $4^4 = 256$  states)

Operators: move queen in

column Goal test: no attacks

Evaluation:  $h(n)$  = number of attacks

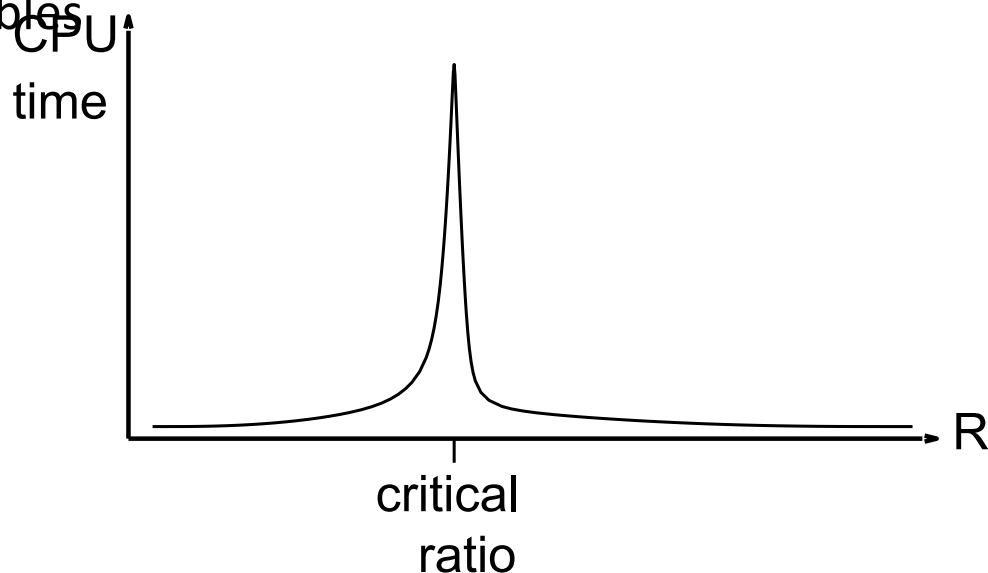


## Performance of min-conflicts

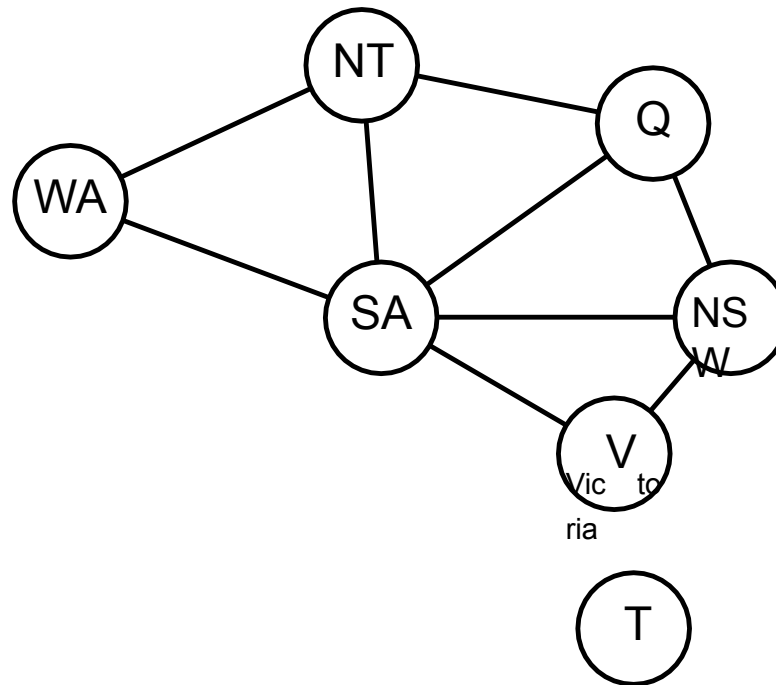
Given random initial state, can solve  $n$ -queens in almost constant time for arbitrary  $n$  with high probability (e.g.,  $n = 10,000,000$ )

The same appears to be true for any randomly-generated CSP **except** in a narrow range of the ratio

$$R = \frac{\text{number of constraints}}{\text{number of variables}}$$



## Problem structure



Tasmania and mainland are **independent subproblems**

Identifiable as **connected components** of constraint graph

## Problem structure contd.

Suppose each subproblem has  $c$  variables out of  $n$  total

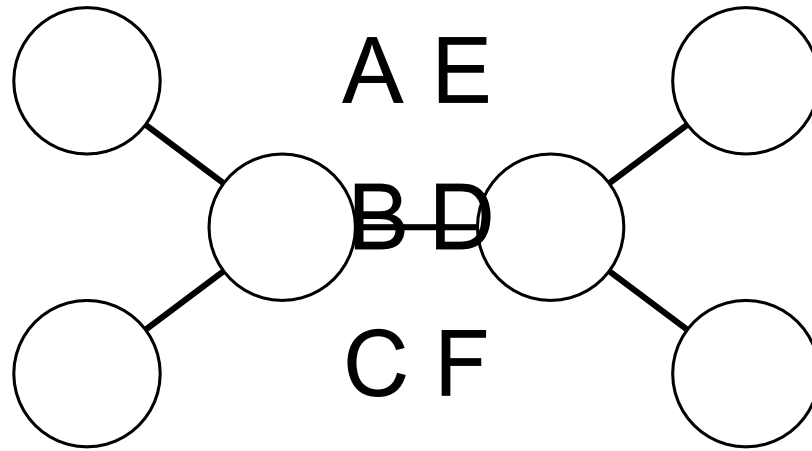
Worst-case solution cost is  $n/c \cdot d^c$ , linear in  $n$

E.g.,  $n = 80$ ,  $d = 2$ ,  $c = 20$

$2^{80} = 4$  billion years at 10 million nodes/sec

$4 \cdot 2^{20} = 0.4$  seconds at 10 million nodes/sec

## Tree-structured CSPs



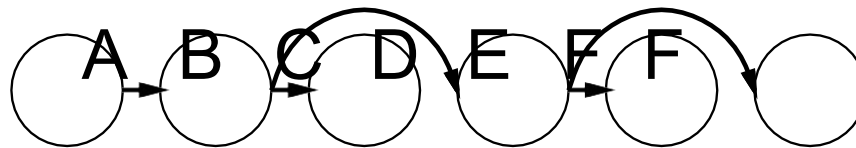
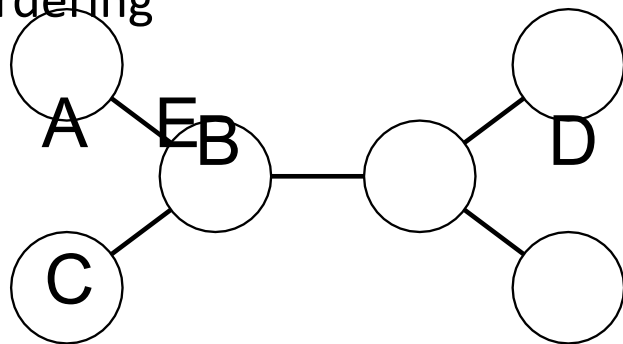
**Theorem:** if the constraint graph has no loops, the CSP can be solved in  $O(n d^2)$  time

Compare to general CSPs, where worst-case time is  $O(d^n)$

This property also applies to logical and probabilistic reasoning:  
an important example of the relation between syntactic  
restrictions and the complexity of reasoning.

## Algorithm for tree-structured CSPs

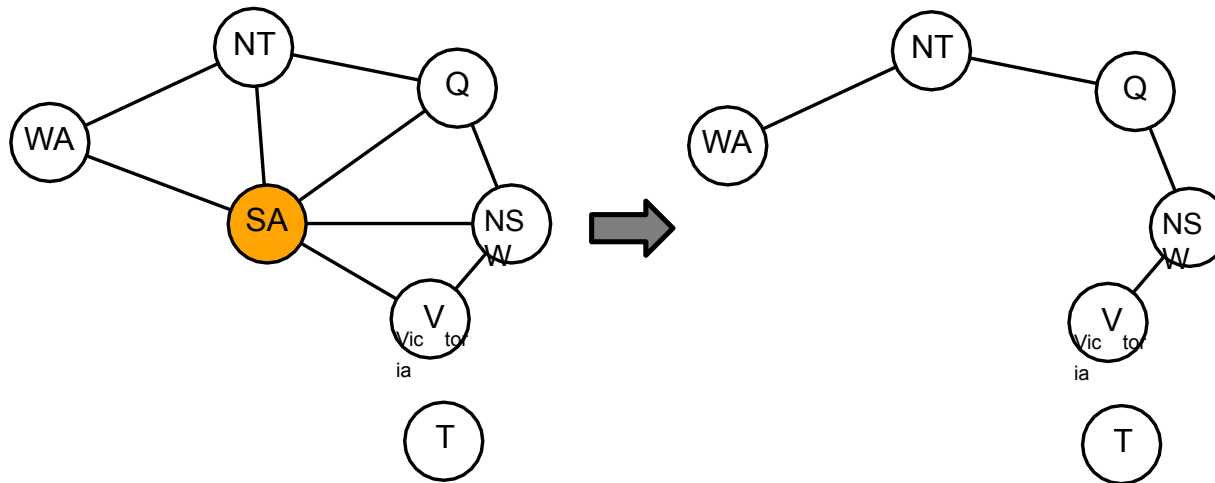
1. Choose a variable as root, order variables from root to leaves such that every node's parent precedes it in the ordering



2. For  $j$  from  $n$  down to  $2$ , apply  $\text{RemoveInconsistent}(\text{Parent}(X_j), X_j)$
3. For  $j$  from  $1$  to  $n$ , assign  $X_j$  consistently with  $\text{Parent}(X_j)$

## Nearly tree-structured CSPs

**Conditioning:** instantiate a variable, prune its neighbors' domains



**Cutset conditioning:** instantiate (in all ways) a set of variables such that the remaining constraint graph is a tree

Cutset size  $c \Rightarrow$  runtime  $O(d^c \cdot (n - c)d^2)$ , very fast for small  $c$



## Iterative algorithms for CSPs

Hill-climbing, simulated annealing typically work with “complete” states, i.e., all variables assigned

To apply to CSPs:

- allow states with unsatisfied constraints
- operators **reassign** variable values

Variable selection: randomly select any conflicted

variable Value selection by **min-conflicts** heuristic:

- choose value that violates the fewest constraints
- i.e., hillclimb with  $h(n)$  = total number of violated constraints

## Summary

CSPs are a special kind of problem:

- states defined by values of a fixed set of variables
- goal test defined by **constraints** on variable values

Backtracking = depth-first search with one variable assigned per

node Variable ordering and value selection heuristics help

significantly Forward checking prevents assignments that guarantee

later failure Constraint propagation (e.g., arc consistency) does

additional work

to constrain values and detect inconsistencies

Local search using the min-conflicts heuristic has also been applied to constraint satisfaction problems with great success

The CSP representation allows analysis of problem